

1 **Influence of plant genetic diversity on interactions between higher trophic levels**

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3 Running title: Genetic diversity effects

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28 **ABSTRACT**

29 While the ecological consequences of plant diversity have received much attention, the
30 mechanisms by which intra-specific diversity affects associated communities remains
31 understudied. We report on a field experiment documenting the effects of patch
32 diversity in the plant *Baccharis salicifolia* (genotypic monocultures vs. polycultures of
33 four genotypes), ants (presence vs. absence) and their interaction on ant-tended aphids,
34 ants, and parasitic wasps, and the mechanistic pathways by which diversity influences
35 their multi-trophic interactions. Five months after planting, polycultures (vs.
36 monocultures) had increased abundances of aphids (3-fold), ants (3.2-fold) and
37 parasitoids (1.7-fold) due to non-additive effects of genetic diversity. The effect on
38 aphids was direct, as plant genetic diversity did not mediate ant-aphid, parasitoid-aphid
39 or ant-parasitoid interactions. This increase in aphid abundance occurred even though
40 plant growth (and thus aphid resources) was not higher in polycultures. The increase in
41 ants and parasitoids was an indirect effect, due entirely to higher aphid abundance. Ants
42 reduced parasitoid abundance by 60% but did not affect aphid abundance or plant
43 growth, and these top-down effects were equivalent between monocultures and
44 polycultures. In summary, intra-specific plant diversity did not increase primary
45 productivity but nevertheless had strong effects across multiple trophic levels, and
46 effects on both herbivore mutualists and enemies could be predicted entirely as an
47 extension of plant-herbivore interactions.

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49 **Keywords:** *ant-tended aphids, aphid-tending ants, Baccharis salicifolia, monocultures,*
50 *parasitic wasps, polycultures*

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53 **1. INTRODUCTION**

54 Plant biodiversity has profound ecological consequences for the structure of their
55 associated communities and ecosystem functions. Two decades of research have shown
56 that high plant species diversity can lead to increased primary production (e.g. [1, 2])
57 and the abundance and diversity of multitrophic populations and communities that
58 interact with plants (e.g. [3, 4]). More recent studies have shown that intra-specific plant
59 genetic diversity can also affect community structure and govern ecosystem processes
60 (e.g. [5, 6]), with an effect size comparable to those of plant inter-specific diversity [7].
61 Mechanistically, these effects of inter and intra-specific plant diversity have been shown
62 to occur through both sampling effects (diversity increases the likelihood of including
63 exceptional individuals) and non-additive effects (diversity alters the traits of
64 individuals) [5, 6].

65 Most studies on plant intra- and inter-specific diversity have focused exclusively
66 on the bottom-up effects of plant diversity within a single trophic level (herbivores)
67 (e.g. [8, 9]), but plant diversity may also directly or indirectly affect the third trophic
68 level, i.e. enemies and mutualists of herbivores (see [3, 4, 10], and the scheme
69 represented in Fig.1). The pathways for plant diversity to affect herbivore enemies or
70 mutualists can be classified into two types [11]. First, there are density-mediated
71 indirect interactions. In this case, plant diversity directly influences the density of
72 herbivores and, in so doing, indirectly influence enemy/mutualist abundance (no
73 changes in per capita interaction rates). Second, there are trait-mediated indirect
74 interactions. In this case, plant diversity indirectly influences herbivore, enemy, or
75 mutualist traits and, in so doing, changes the per capita herbivore-enemy or herbivore-
76 mutualist interaction. For example, plant diversity may modify (through changes in
77 plant quality/resistance) either herbivore quality or herbivore susceptibility to enemies

78 [11]. The distinction between these two mechanisms is in turn critical for predicting
79 whether plant effects on higher trophic levels feedback to influence herbivores and
80 plants; whereas trait-mediated effects alter the strength of such top-down effects, no
81 such feedbacks are predicted where bottom-up effects are density-mediated [11, 12].

82 Despite recent advances in the study of plant diversity effects on food web
83 dynamics (see [4, 6, 10]), the relative importance of these two mechanisms remains
84 understudied. Here we investigated the bottom-up effects of plant genetic diversity on
85 multitrophic communities and the mechanistic pathways by which plant genetic
86 diversity may vary in their influence on interactions between higher trophic levels.

87

88 **2. MATERIAL AND METHODS**

89 **(a) *Study system***

90 We studied the long-lived, dioecious woody shrub *Baccharis salicifolia* (Asteraceae) at
91 the University of California Irvine's Arboretum (33.66°N, 117.85°E; Orange County,
92 CA, USA). At this site, *B. salicifolia* is colonized by cotton aphid, *Aphis gossypii*
93 Glover (Hemiptera: Aphididae) [13]. This aphid is commonly tended by the non-native
94 ant *Linepithema humile* Mayr (Hymenoptera: Formicidae), which feeds upon the
95 aphid's sugary waste (so called "honeydew") in exchange for protection from predators
96 and parasitoids of aphids [13]. The most common natural enemies are parasitic wasps
97 (Hymenoptera: Braconidae) [13].

98

99 **(b) *Experimental design and measurements***

100 A common garden was established adjacent to the natural population from which the
101 experimental plants were originally collected. On March 1, 2012 we planted one-year
102 old *B. salicifolia* plants (plant height = 101.1 ± 1.8 cm) that were propagated from

103 cuttings of eight *B. salicifolia* genotypes (four male, four female). Plants were arranged
104 in plots with two levels of plant genetic diversity: (i) 32 monoculture plots, and (ii) 32
105 polycultures plots of four different genotypes (including two males and two females).
106 Genotypes were randomly selected for inclusion in each polycultures. Each plot
107 (genotypic combination hereafter) consisted of four plants in two parallel rows of two
108 plants each. Plants within genotypic combinations were separated by 10 cm, and plots
109 were separated by 1 m. On June 21 we excluded ants from half of the plants (plant
110 height = 250.3 ± 11.4 cm) by burying 20-cm-tall by 25-cm-diameter aluminium flashing
111 rings into the soil 5 cm deep, and coating the outside surface with sticky paste
112 (Tanglefoot Company, Michigan, USA) [12]. Control plants were surrounded by
113 unburied aluminium rings without sticky paste. The experiment followed a randomized
114 split-plot design replicated in eight blocks, with ant treatment (two levels: presence or
115 absence) as the whole plot factor and genetic diversity (mono-, polycultures) as the split
116 factor, with eight genotypic combinations in each block (four monocultures and four
117 polycultures), and plant position within genotypic combinations being randomly
118 assigned [10]. All blocks were separated by at least 2 m.

119 On July 20, approximately five months after planting, we measured the total
120 stem height of all the plants (plant height = 333.1 ± 14.3 cm) and censused all
121 arthropods by visually surveying every plant. Plant size (a surrogate for growth rate),
122 was taken an indicator of resource abundance for herbivores [10]. Arthropods were
123 classified as: Aphids (always *A. gossypii*), ants (always *L. humile*) and parasitic wasps
124 (Braconidae spp.). Other arthropods were rare.

125

126 **(c) *Statistical analyses***

127 Data analysis of plant growth and arthropod abundances (mean number per plant) was
128 performed with mixed linear models using the Mixed procedure in SAS (SAS 9.2
129 System, SAS, Cary, NC). The main effects of ants, genetic diversity, their interaction
130 and plant sex were treated as fixed factors. The effects of the genotypic combination
131 nested within the diversity treatments, and genotypic combination \times ant interaction were
132 also included as fixed factors. The effects of block and block \times ant interaction were
133 treated as random factors. To account for size differences among plant genotypes, final
134 height was included in analyses of arthropod abundance [10]. To test whether observed
135 diversity effects were due to sampling vs. non-additive effects, the approach of Loreau
136 & Hector [14] was followed; observed polyculture values were compared to expected
137 polyculture values based upon genotype measurements in monoculture according to
138 Johnson *et al.* [6] (Appendix 1). Normality was achieved by log-transforming arthropod
139 data.

140

141 **3. RESULTS**

142 Five months after planting, we recorded 1,256 arthropods, classified as 248 ants (20%),
143 770 ant-tended aphids (61%), and 238 parasitic wasps (19%).

144 We found that genetic polycultures (vs. monocultures) increased the abundance
145 of aphids 3-fold ($F_{1,96} = 54.59$; $P < 0.001$; Table S2), ants 3.2-fold ($F_{1,48} = 21.74$;
146 $P < 0.001$; Table S3), and parasitic wasps 1.7-fold ($F_{1,96} = 14.55$; $P < 0.001$, Table S4)
147 (Fig. 2a, b, c respectively). In all cases, there were significant non-additive effects of
148 diversity (Table S1). However, when aphid abundance was accounted for in the
149 statistical model, the significant effect of plant diversity disappeared for ants ($F_{1,47} =$
150 1.71 ; $P = 0.197$; Table S3) and parasitic wasps ($F_{1,95} = 0.29$; $P = 0.588$; Table S4) (Fig.
151 2e, f), suggesting that plant diversity effects on higher trophic levels were density-

152 mediated indirect effects due to direct effects on aphid abundance. Genetic
153 monocultures ($n = 8$) did not differ significantly in arthropod abundance (aphids $F_{7,3} =$
154 0.94 , $P = 0.578$; ants $F_{7,1} = 0.42$, $P = 0.831$; parasitoids $F_{7,3} = 1.52$, $P = 0.396$; Fig. S1).

155 Interestingly, the effect of plant diversity on aphids was not attributable to
156 increased resource abundance, as diversity did not affect plant growth ($F_{1,96} = 0.46$; $P =$
157 0.501 ; Table S5, Fig. 2d), suggesting that instead higher aphid recruitment or retention
158 on variable resource patches. Furthermore, ant effects were not contingent on plant
159 diversity for either parasitoids ($F_{1,96} = 0.20$; $P = 0.659$) or aphids ($F_{1,97} = 0.11$; $P =$
160 0.741).

161 Similarly, plant diversity did not mediate the top-down effects; although the
162 presence of ants (*vs.* exclusion) reduced parasitoid abundance by 60% ($F_{1,6} = 10.43$; $P =$
163 0.018 ; Table S4; Fig. S2), ants did not affect aphid abundance ($F_{1,6} = 1.49$; $P = 0.268$;
164 Table S2, Fig. S2) or plant height ($F_{1,49} = 3.52$; $P = 0.110$; Table S5, Fig. S2).

165

166 **4. DISCUSSION**

167 Our results demonstrate that non-additive effects of plant genetic diversity strongly
168 determined arthropod community structure from the bottom-up, but did not affect the
169 interactions between higher trophic levels. Specifically, genetic diversity in *B.*
170 *salicifolia* increased the abundance of aphids, aphid-tending ants and parasitic wasps.
171 However, while plant genetic diversity exerted a direct influence over aphid abundance,
172 the effect on the third trophic level (ants and parasitoids) was a density-mediated
173 indirect effect due to changes in aphid abundance; herbivore-mutualist, herbivore-
174 enemy, and mutualist-enemy interactions were not mediated by plant genetic diversity.
175 Furthermore, these bottom-up effects were not due to changes in plant growth rate.

176 Finally, ants had top-down effects on parasitoids but not aphids and plants, and these
177 were consistent between monocultures and polycultures.

178 The direct positive effect of plant genetic diversity on herbivore abundance (here
179 ant-tended aphids) has been commonly observed in previous studies (e.g. [5, 6, 8]).
180 Several mechanisms have been proposed in order to explain these diversity effects, for
181 example: (i) complementarity in resource use among plant genotypes might increase
182 plant growth/quality and thus aphid abundance [7]. However, we did not find greater
183 plant growth in polyculture plots. (ii) Plant genetic diversity could increase the
184 attraction of herbivores to airborne volatiles as has been reported elsewhere [15]. For
185 example, Glinwood *et al.* [15] found that a mix of barley genotypes produced a more
186 attractive combination of volatiles for an aphid species.

187 The most noteworthy result of our study, as we previously mentioned, was that
188 plant genetic diversity effect on higher trophic levels (i.e. ant-aphid and parasitoid-aphid
189 interactions) was a density-mediated indirect effect through changes in aphid
190 abundance. Specifically, variation in aphid abundance caused parallel variation in ants
191 and parasitoids. Past studies have investigated the mechanisms by which genetic
192 diversity influence higher trophic levels in terms of sampling vs. non-additive diversity
193 effects (e.g. [5, 6]), while the novelty of our work was in manipulating top-down control
194 (ant presence/absence) and thus rigorously studying how genetic diversity mediates
195 interactions among higher trophic levels [10]. Contrasting with our results, these
196 previous works found that bottom-up effects of plant diversity increased the abundance
197 of individuals from the third trophic level through trait-mediated indirect effects of
198 herbivores [6, 10]. For example, in a similar work, Johnson *et al.* [6] found that plant
199 genetic diversity of Evening Primrose (*Oenothera biennis*) increased the abundance and
200 richness of predatory arthropods, independently of the herbivore abundance. In parallel,

201 Moreira *et al.* [10] found that pine species diversity increased ant abundance not only by
202 increasing aphid number, but also by increasing ant recruitment per aphid. Whereas this
203 study found density-mediated indirect interactions and no feedback, Moreira *et al.* [10]
204 found trait-mediated indirect interactions and feedbacks to plant performance, probably
205 due to suppression of untended herbivores by ants.

206 In conclusion, this study adds to the growing evidence for the community-wide
207 consequences of population genetic diversity within plants species [5, 6]. Intra-specific
208 plant diversity had strong effects across multiple trophic levels. Yet the effects on both
209 herbivore mutualists and enemies could be predicted entirely as an extension of plant-
210 herbivore interactions, and these bottom-up influences of diversity did not feedback to
211 mediate the top-down effects of ants. These results thus underscore the importance of a
212 mechanistic perspective for understanding and predicting the role of plant genetic
213 diversity in structuring multi-trophic communities.

214

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274 **FIGURE LEGENDS**

275

276 **Figure 1.** Food web associated with *Baccharis salicifolia*. Solid lines with arrowheads
277 indicate direct effects among trophic levels. Dashed lines with circles indicate trait-
278 mediated indirect effects, where plant diversity mediates the pairwise interactions
279 among higher trophic levels via direct effects on the traits of one or both interacting
280 partners. Density-mediated indirect effects occur through the product of sequential
281 direct effects (e.g. plant diversity influences the density of herbivores and, in so doing,
282 indirectly influence enemy/mutualist abundance without changes in per capita
283 interaction rates). Because we do not manipulate parasitoid presence/absence, the
284 effects of parasitoids on aphids and ants were not quantified.

285

286 **Figure 2.** Effect of plant genetic diversity (monocultures vs. polycultures) on (a) ant-
287 tended aphids, (b, e) aphid-tending ants, (c, f) aphid parasitoids and (d) total stem height
288 in cm. Total abundance (mean number per plant) was used to evaluate associated
289 arthropods. To remove the density-mediated indirect effect of aphids on ants and
290 parasitoids, we used aphid abundance as a covariate in the statistical model (e, f). Least-
291 square means \pm SE (N = 32), except for ants (N = 16). Different letters indicate
292 significant differences ($P < 0.05$) among genetic diversity treatments.

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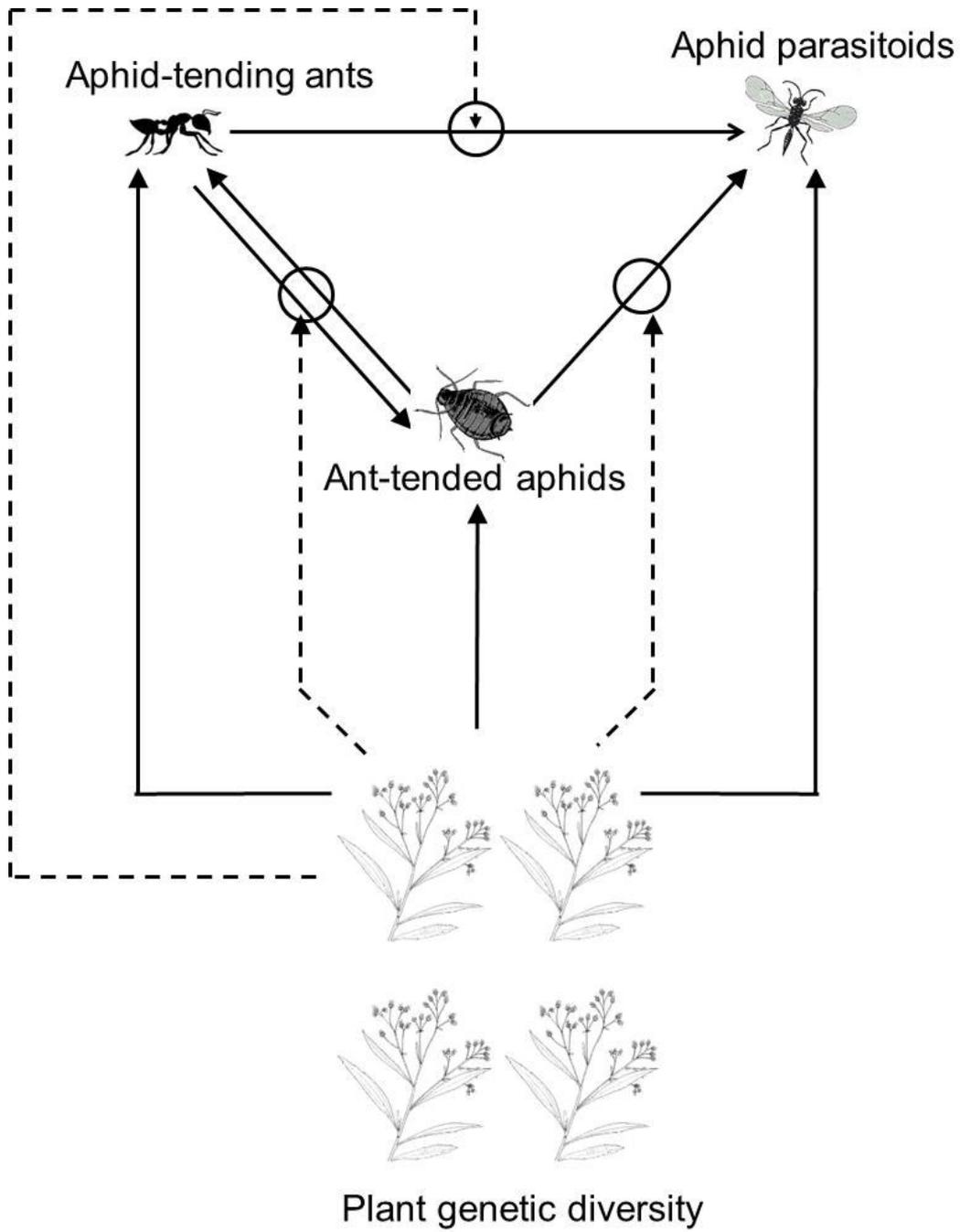
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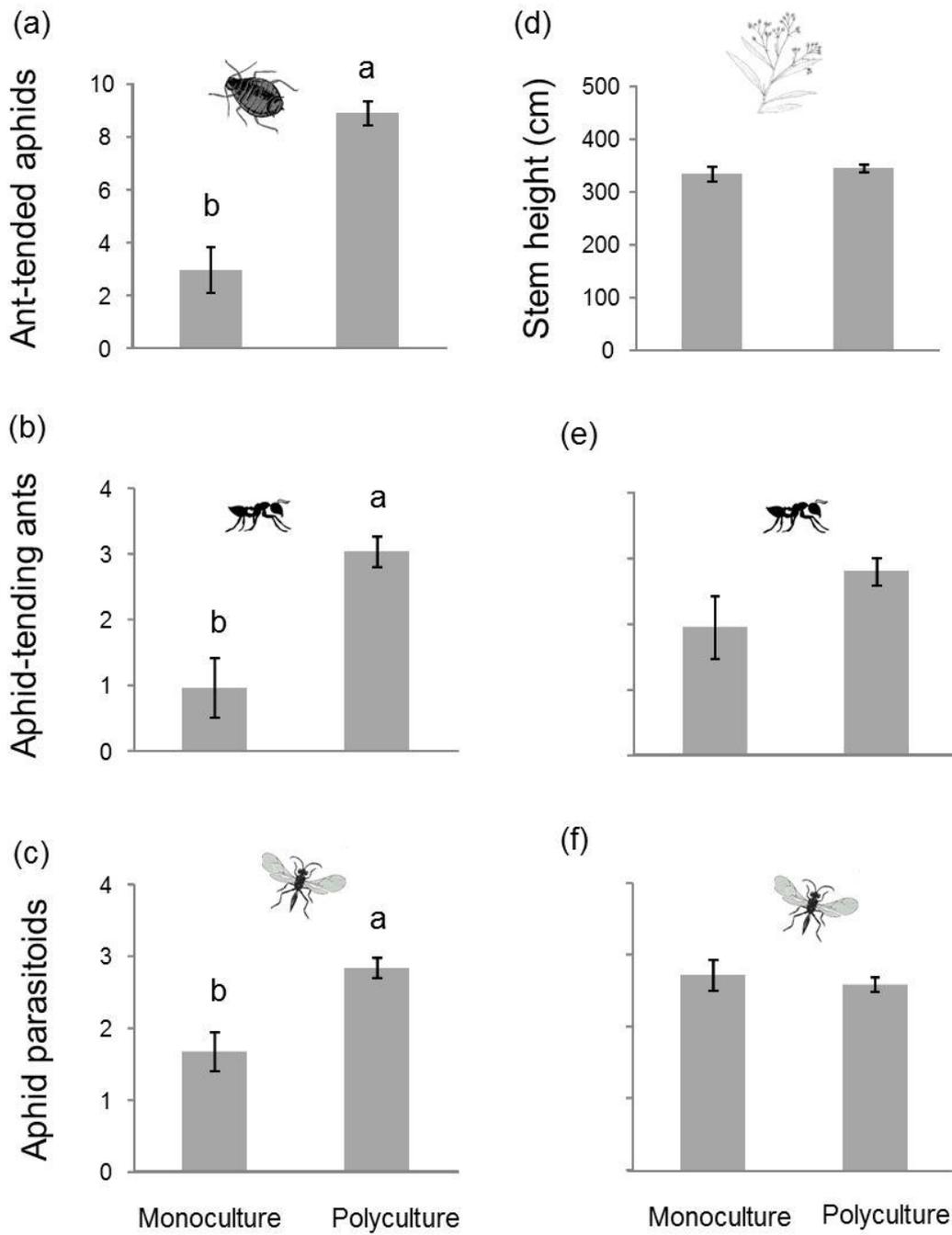
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303 **Figure 1.** Moreira and Mooney

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310 **Figure 2.** Moreira and Mooney

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