

# Horizon scanning for management of emerging parasitic infections in fishery products

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## Abstract

Public organizations operating in health and food-safety sectors are increasingly realizing the advantages of the long-term view of risk uncertainties associated to biological hazards, served-up in the short-term to anticipate the problem and its handling. Thus, the horizon scanning is becoming a major strand in proactive risk management and patient-consumer protection continuity. This approach was recently explained in the scientific opinion on risk assessment of parasites in fishery products by the European Food Safety Authority, EFSA (2010), followed by the launching of a funding scheme for a specific EU Framework Program Project under the Knowledge Based Bio-Economy concept, KBBE (FP7-KBBE-2012-6), which drives the new EU 2020 strategy. The aim of this paper is to examine horizon scanning issues in relation to public health and industrial concern on the presence of parasites in fishery products recorded in the Rapid Alert System for Food and Feed (RASFF) System. We focus on specific threats, targets, methods and challenges as a means of acquiring management goals and future objectives. The proposed horizon scanning identifies emerging ideas/technologies for an early handling of parasitized fish stocks/products for priority setting to inform strategic planning of stakeholders, policy-makers and health services. In order to accomplish this, a set of risk GIS maps illustrating the state of art about the effect of the zoonotic *Anisakis* spp. on commercial fish stocks of the last 65 years was firstly developed. Secondly, a program of 108 surveys among fish sellers of Galicia (NW Spain) were carried out with the main objective of getting information about hazard recognition, fish product management practices, quality self-controls and corrective and preventive measures in use. Additionally, during the “I International Symposium on strategies for management of parasitized seafood products” (Vigo, Spain), groups of researchers, technologists, official inspectors and industries participated in roundtables with 3 different perspectives: market-industry, inspection and academia. All scanners agreed that the *status quo* to manage fish parasites in the production-to-consumption food pathway is unsatisfactory. The central message proposed a stable network performance based on collaborative software to provide multi-

39 level information for industrial management of parasite contaminants in fish products. The discussion group  
40 also proposed to invigorate collaborative translational research and professional training as key drivers to fuel  
41 technological innovations and tech transfer, which may help to minimize/eliminate the risk of parasites that  
42 have public health and economic impacts in fish products.

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## 45 **Keywords**

46  
47 Horizon scanning; fishery products; parasite; public health; commercial value; inspection

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## 50 **1. Introduction**

51

52 Marine parasites constitute an important health and quality threat in fishery products (Sabater & Sabater,  
53 2000). Since the middle 20th century, scientific evidences have confirmed the presence of a high and raising  
54 prevalence of a “dirty dozen” of parasites in wild stocks of fishery products of commercial interest around the  
55 world (Køie, 1993; Wharton, Hassall & Aalders, 1999; Mladineo, 2001; Quijada, Lima dos Santos &  
56 Avdalov, 2005; Valero, López-Cuello, Benítez & Adroher, 2006; Smith & Wootten, 1979; McClelland, Misra  
57 & Martell, 1985; Adams, Murrell & Ross, 1997; Abollo, Gestal & Pascual, 2001; Rello, Adroher, Benítez &  
58 Valero, 2009). Reasons for these emerging fish diseases in fishery products are diverse. Primarily, outbreaks  
59 depend on the nature and life-cycle strategy of the parasites, but mostly on an uncontrolled ecosystem  
60 management and on new consumers feeding habits. Well-know examples of ecosystem-based implications for  
61 parasites are the outbreak spreading of *Giardia* and *Cryptosporidium* protozoans around shellfish harvesting  
62 areas due to fecal contamination by river and waste waters (Freire-Santos et al., 2000; Gómez-Couso,  
63 Mendez-Hermida, Castro-Hermida & Ares-Mazas, 2005), or protectionist policies for marine mammals  
64 followed by several fishing practices that may increase the recruitment of zoonotic, allergenic anisakid  
65 nematodes at fishing grounds (McClelland, Misra & Martell, 1990; Abollo et al., 2001; Rodriguez et al.,  
66 2009). Furthermore, the new wave of increasingly eating raw or undercooked fishery products has also  
67 epidemiological implications in industrialized countries. Specifically, *Giardia*, *Cryptosporidium*, some  
68 species of anisakids and more recently *Kudoa* have been recognized as human health hazards responsible for  
69 emergent zoonoses, that causes from gastro-allergic disorders in consumers (Chen et al., 2008; Dick, Dixon &  
70 Choudhury, 1991; Smith & Wootten, 1978; Audicana, Ansotegui, Fernández de Corres & Kennedy, 2002;  
71 Vidacek, de las Heras & Tejada, 2009; Kawai et al., 2012) to occupathional-asma in fish-farming workers  
72 (Plessis, Lopata & Steinman, 2004; Nieuwenhuizen et al., 2006). Besides these detrimental effects on public  
73 health, the presence of parasites in fishery products may also hamper the commercial value of products  
74 reducing thus its marketability (Crowden & Boom, 1980; Brassard, Rau & Curtis, 1982; Arthur, Margolis,  
75 Whitaker & McDonald, 1982; Lom & Dykova, 1992; Williams & Jones, 1994; Kumaraguru, Beamish &  
76 Woo, 1995; Woo, 1995). As an example, the economic losses among fish processing industries caused by  
77 anisakid larvae in fish flesh have been estimated to reach several millions of dollars (Bonnell, 1994).

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79 The “dirty dozen” genera that affect the quality and/or safety of fishery products comprise micro and  
80 macroparasites. Concerning microparasites (apart from waterborne *Giardia* and *Cryptosporidium*), the  
81 mixosporidians (*Kudoa* spp.) and the microsporidians (*Pleistophora* spp. and *Spraguea* spp.) are highly  
82 prevalent in the flesh of gadoid fish, mostly merluccidae and anglerfishes (Whipps & Diggles, 2006; Pascual  
83 & Abollo, 2008; Leiro, Ortega, Iglesias, Estévez & Sanmartín, 1996; Freeman, Yokoyama & Ogawa, 2004;  
84 Casal et al., 2012). Among the macroparasites, didymozoid trematodes occurring in scombrids (Pascual,  
85 Abollo & Azevedo, 2006), cestodes (*Gymnorhynchus* spp., *Molicola* spp.) present in pomfret fish and  
86 swordfish, the cosmopolitan anisakid nematodes (*Anisakis* spp, *Pseudoterranova* spp., *Contracaecum* spp.)  
87 and crustaceans of *Pennella* spp. in the swordfish, represent the relevant target parasites during veterinary  
88 inspections of fresh and frozen products in the European fish industry.

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90 The nematode *Anisakis* is a good candidate to be eligible as a sentinel model for targeting a horizon scanning  
91 for managing emerging parasites in fishery products. The reasons are: i) it is by far the most prevalent  
92 macroparasite in fish products from major stocks around the world, with significant demographic infection  
93 values regardless of the host species and fishing area. Especially of concern is the fact that during fish  
94 inspections anisakids are usually found in high amount on the gut cavity (Vidacek et al., 2009), in a lower  
95 quantity on the belly flaps (Abollo et al., 2001), and sometimes in the flesh (Smith, 1984; Wharton et al.,  
96 1999; Valero et al., 2006; Llarena-Reino, González, Vello, Outeiriño & Pascual, 2012); ii) in the last 20 years  
97 anisakids have been a trending topic within the scientific community, fish consumers and the industry dealing  
98 with biological risks in seafood products. This results from many social alarms in most southern European  
99 countries (Poli, 2005; León, Meacham & Cláudio, 2006) linked with the trending record of available medical  
100 literature concerning the public health implications of anisakids in general, and the genus *Anisakis* in  
101 particular; iii) besides the repercussion they have on seafood safety, quality aspects in parasitized fish  
102 decrease its commercial value by affecting the aesthetic of products (Fig. 1). This fact is hampering  
103 marketability of seafood products within a fair international trade and European consumer preferences which  
104 demand products with high standard quality (Vidacek et al., 2009; Pascual, Antonio, Cabo & Piñeiro, 2010);  
105 iv) because the parasite recruitment is successfully adapted to the marine trophic webs, alterations in the  
106 ecosystem reflect changes in the epidemiological status of this hazard in fish stocks and products (Deardorff,  
107 1991; Slifko, Smith & Rose, 2000; Marcogliese, 2001; Pascual, González & Guerra, 2007; Wood, Lafferty &  
108 Micheli, 2010). This reinforces the idea of a management strategy enlarged from the net to the plates which  
109 also should include a study of viability of parasites in unprocessed marine fish waste used for feeding  
110 aquaculture fish, as juvenile wild fish on-grown in captivity; v) the risk assessment of this hazard demands a  
111 management strategy as the base of a fair international trade for products of different origin and production  
112 methods. In most cases neither the strategy is implemented nor available tools are integrated in the industry.

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114 In relation to the discussion paper on the guide interpretation of Regulation (EC) 853/2004, recently the  
115 European Commission considered necessary to carry out a consultation to operators regarding the regulation  
116 of consumer information on such legislation. This work aimed to propose the elaboration of a detailed and

117 complete horizon scanning of the situation resulting from the impact of the most relevant parasites on the  
118 value chain of commercial fishery products. To this end and following the mentioned example of the  
119 European Commission, authors decided to arrange a meticulous analysis and discussion by using the  
120 “consultation” method with fisheries stakeholders. Thus a triple strategy was put in practice:  
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- 122 (1) As a previous step it was considered the elaboration of risk GIS maps illustrating the state of the art  
123 concerning the condition of commercial fish stocks during the last 65 years, regarding the effect of  
124 the zoonotic parasite: *Anisakis* spp. Nowadays, there is an increasing interest on the use of GIS as an  
125 innovative technology to combine epidemiology, statistics and geographic information. This skill  
126 facilitates decision making, processing and analysis of information on several multidisciplinary areas.
- 127 (2) Secondly, it was planned a program of surveys to fishmongers. The consultative and anonymous  
128 character of this methodology, the potential amount of information available that offers this tool, the  
129 “consumer representation” made by fish sellers, and the “intermediary” role played by them within  
130 the fishing guild (exerts great influence on the extractive sector and on consumers), were important  
131 enough reasons to choose this methodology.
- 132 (3) Finally, it was carried out the organization of three round tables framed within an international  
133 symposium. Those panel discussions had the objective of agglutinating separately scientists, health  
134 inspectors and representatives of fishing companies, as the extractive sector, aquaculture businesses,  
135 restaurants, distributors, wholesalers and retailers of fish, etc. The main reason why horizon scanning  
136 was used as a suitable and useful method to identify key issues of concern and provide solutions to  
137 this biological hazard, is that the practice of horizon scanning is becoming a major strand in proactive  
138 risk management and business continuity.

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## 141 **2. Materials and Methods**

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143 EU legislation forces food market and industry to ensure, from the catch to the plate, that no contaminated  
144 fish reach the consumer. To that end stakeholders shall put in place, implement and maintain permanent  
145 procedures based on the HACCP principles (Regulations (EC) 852-854/2004; Commission Regulation (EC)  
146 2074/2005). The European Hygiene Package (Council Directive 91/493/EEC; Commission Decision  
147 93/140/EEC; Regulations (EC) 852-854/2004, Council Regulation (EC) 2406/96; Commission Regulation  
148 (EC) 2074/2005) and its modifications (Commission Regulations (EC) 1662-1664/2006), establishes that food  
149 business operators shall ensure that all stages of production, processing and distribution satisfy and comply  
150 with general and relevant hygiene requirements. Therefore fish industry has become responsible of the  
151 submission of fishery products for human consumption to visual inspection for the purpose of detecting  
152 visible parasites before being placed on the market. Considering the scientific literature to date and taking the  
153 European legislation in perspective, we defined the end-user prospect in a triple scheme:  
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### 155 **2.1 Maps**

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157 In order to agglutinate available data illustrating the impact of parasitism by *Anisakis* spp. over fisheries, a  
158 literature search using the ISI Web of Knowledge databases was performed to compile articles published from  
159 1947 to 2011 related to the keyword "*Anisakis*" in Atlantic Ocean. As a result a total of 929 publications were  
160 obtained and information from 104 selected papers with geo-referenced samples was extracted. The resulting  
161 1287 registers were added to a computerized database. The retrieved information covered parasite and host  
162 species, sampling size, geographic location, date, anatomical site of infection, prevalence, mean intensity,  
163 mean abundance and density of infection, and the methods used for parasite detection. According to compiled  
164 information, overall infection parameters were calculated for each FAO fishing subzone. Geographic  
165 Information Systems (GIS) software ArcGIS 9.3. was used to link epidemiological information to FAO  
166 fishing areas' vector layer. This map layer identified each fishing subzone by a unique ID polygon. A series  
167 of maps were produced to show the averages of the registered parameters of infection for each polygon in the  
168 Atlantic Area (Fig. 2). The cartography generated included a specific set of maps showing overall  
169 demographic infection values for *Anisakis* spp. for FAO subzones and also information relative to both host  
170 order and species of fishery importance.

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## 172 **2.2 Inquiries**

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174 A program of 108 surveys to fish sellers from fish stands, whose main objective was to get information about  
175 (1) hazard recognition, (2) fish product management practices, (3) quality self-controls at points of  
176 distribution or sale, and (4) corrective/preventive measures in use. All those fish stands were placed in: 17 city  
177 market squares, 20 village market squares, 4 super/hypermarkets and 4 fish shops, all located in Galicia (NW  
178 Spain). A brief description of each type of establishment aims to achieve a better understanding:

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- 180 - Market square: a place where different establishments sold daily food from agriculture, livestock and  
181 fishing.
- 182 - Super/hypermarkets: self-service expansive facilities offering a wide variety of food and household  
183 products. These establishments sells fish, meat, fresh produce, dairy, and baked goods, along with  
184 shelf space reserved for canned and packaged goods as well as for various non-food items.
- 185 - Fish shop: a shop that sells fish; a fishmonger's

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187 The reason why there was an over-representation of market squares in the survey and an under-representation  
188 of super/hypermarkets and fish shops, is because the surveys claimed to reflect the consumption habits of the  
189 population in the area studied. A total of 2 interviewers executed the surveys as individual and anonymous  
190 interviews composed of 8 questions. Selected queries for interviews were previously planned and described  
191 by a group of marine scientists, parasitologists and veterinarians whose lines of research are closely linked to  
192 parasites in commercial fish species. Those questions dealt with the recognition and the presence of anisakids  
193 in fish, handling practices and with improvements in sanitary conditions of the establishments.

194 The questions were as follows:

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1. Type of establishment interviewed (city market square, village market square, super/hypermarket, fish shop)
  2. Which improvements do you consider essential to ensure sanitary and quality conditions of fish at the point of sale: hot potable water, marine water, improved cleaning, better refrigerators, rain water system with timer, better illumination, flake ice machine, refrigerated desk, individual potable water, nothing?
  3. Do you eviscerate any of the following fish species or remove the hypaxial muscle before placing fish for sale? (*Engraulis encrasicolus*, *Merluccius Merluccius*, *Micromesistius poutassou*, *Conger conger*, *Lophius* spp., *Lepidorhombus* spp., *Sardinapilchardus*, *Zeus faber*, *Scomberscombrus*, *Trachurus* spp., other fish species)
  4. Do you eviscerate any fish species at points of sale before keeping fish overnight? (yes, no, certain species)
  5. Do you remove the hypaxial muscle at any fish species at points of sale before keeping fish overnight? (yes, no, certain species)
  6. Do you know anisakids? (yes, no)
  7. Do you usually reject fish species due to the presence of anisakids? (yes, no, which species)
  8. Do you usually have claims from consumers due to the presence of anisakids in any fish species? (yes, no, which species)

215 The results from the surveys performed were compiled, submitted to a descriptive analysis, worked out,  
216 compared, matched when necessary, and then represented in graphics (Fig. 3). Furthermore, a Spearman Rank  
217 Order Correlation was carried out to test the statistical inference between sellers' rejections and consumers'  
218 claims due to fish infected by anisakids.

### 220 **2.3 Round tables**

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222 The "I International Symposium on strategies for management of parasitized seafood products" gathered and  
223 organized in Vigo (Spain) in November 2010 (<http://www.iim.csic.es/parcode/>), had a total of 200 participants  
224 from different countries and professional areas. Among them, 30% were fisheries industry agents (from more  
225 than 50 fishing companies) including representatives of the extractive sector, aquaculture, distributors,  
226 wholesalers and retailers of fish, restaurants, etc., 30% were veterinarians responsible of inspection services  
227 for the Administration, 22% of the assistants came from academic institutions, and 18% were consumers,  
228 students and independent professionals. This event have represented an important approach between scientific  
229 researchers involved in the presence of parasites in seafood, and all the agents that in any way are affected by  
230 this problem.

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232 Parallel to the symposium, a set of round tables with 3 different groups of representative horizon scanners  
233 took place, by means of 3 different perspectives: academia, inspection and market-industry. Those 3 groups

234 included (a) 12 scientific researchers, (b) 25 public health official inspectors and (c) 25 technologists from the  
235 fish industry. The round tables began with a series of individual and illustrative presentations which included  
236 oral explanations of the current situation. In the case of scientific researchers' round table, each participant  
237 presented his point of view of the *status quo* during around 10-15 minutes. In the cases of official inspectors'  
238 and fish industries' round tables, some representatives of each group presented their professional approach to  
239 this problem. Posteriorly the moderator opened a panel discussion, with a starting question which was focused  
240 on technology push vs. market pull as forces of innovation in this field. The central message was the need to  
241 progress on the use of the knowledge already generated with the aim of minimizing the repercussions that  
242 parasites in general have on consumers and seafood industry. More specifically, the matter that was discussed  
243 in more detail was "anisakids", firstly due to their recognition by the European Food Safety Authority as the  
244 only family of parasites that potentially causes allergic reactions in humans, and secondly by reason of the  
245 rejections caused in consumers since it can be sometimes easily detected macroscopically.

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### 248 **3. Results and Discussion**

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#### 250 **3.1 Maps**

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252 Epidemiological maps of *Anisakis* spp. created on the basis of the available scientific literature, shows a  
253 cosmopolitan distribution of this "species complex" spreading throughout the Atlantic Ocean, even though the  
254 sampling effort was not equitable in whole Atlantic area, neither for all species. However, a number of "hot  
255 spots" can be identified, particularly in the Northeast Atlantic, South Africa and South America. Furthermore,  
256 distribution of marine helminth parasites can be influenced by a wide range of abiotic factors, as well as by a  
257 trophic relationship between final, intermediate and transport hosts (Kuhn, García-Màrquez & Klimpel,  
258 2011), a fact which may complicate the predictive mapping on infection parameters concerning commercial  
259 fish species. Despite this, the developed maps constitute a prospective valuable tool since they provide an  
260 overview of anisakids distribution and its incidence in major fish stocks. Although the impact of the  
261 epidemiological dynamics of *Anisakis* spp. on marine trophic structures and in fish populations are the subject  
262 of intensive studies, the spatial epidemiology of this re-emergent marine parasite with zoonotic and economic  
263 relevance have been disregarded so far. Nowadays, this useful tool brings important improvements to  
264 researches in the fields of medicine, health and environmental sciences. The creation of risk maps may help to  
265 underline hot-spot infection areas, as a pre-harvest control measure to reduce or minimize the risk of anisakids  
266 infection during the value chain of fishery products.

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#### 268 **3.2 Inquiries**

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270 Among the 108 total surveys, 98 were performed in market squares. From them, a total of 68 (60% from the  
271 total) were conducted in cities and other 30 interviews (28%) in villages (Fig. 3.1). With the aim of finding  
272 out the most important aspects of concern to fish sellers in order to improve sanitary and quality condition of

273 seafood, we asked them about the changes they would apply at their workplaces. Around the 30% of the  
274 survey respondents considered that they have optimal conditions and no changes must be done, despite the  
275 lack of hot potable water for cleaning, flake ice machine, adequate refrigerators (in size and quality), or  
276 sometimes the need of an improved cleaning, which are essential aspects to ensure a proper management of  
277 commercial and sanitary quality of seafood. Furthermore, other less related or more commercial contributions  
278 like having a rain water system with timer, better illumination over the desk, improvements in the building  
279 and in the stands, or some advances in marketing and promotion (the last two improvements not reflected in  
280 the graphic) were proposed by them as some necessary changes in the points of sale (Fig. 3.2). Concerning the  
281 practice of evisceration or removing specific parts of certain fish species before placing them for sale, about  
282 17% of the sellers confirmed the practice of evisceration in the case of *Pollachius pollachius*, and 6% in the  
283 case of *Trisopterus luscus*. For *Merluccius Merluccius*, 8% of the responders declared to eviscerate the fish  
284 and 3% said they removed the fish hypaxial muscle (Fig. 3.3), due to the fact that hypaxial muscle and viscera  
285 are the anatomical regions with higher amounts of larvae in parasitized fishes. Fish species with absence  
286 (*Sardina pilchardus*, *Zeus faber*, *Scomber scombrus*, *Lophius* spp., *Micromesistius poutassou* and *Engraulis*  
287 *encrasicolus*) or with lower (*Conger conger*, *Lepidorhombus* spp., *Trachurus* spp., *Gadus morhua* and  
288 *Thunnus* spp.) percentages of evisceration and/or hypaxial muscle removing were not represented in graphics.  
289 A similar question about eviscerating and removing the hypaxial muscle before keeping fishes overnight was  
290 made. About eviscerating 13% of the responders confirmed the practice, 28% performed evisceration only for  
291 certain species, and the remained 59% did not manipulate the fish. Moreover, no more than 9% of the sellers  
292 responded that sometimes remove the hypaxial muscle, depending on the species (Fig. 3.4). The majority  
293 answered “yes” to the question of whether they knew anisakids worms (94% of the responders) (Fig. 3.5).  
294

295 Finally the two following questions dealt with fish rejections and claims caused by obvious and annoying  
296 presence of anisakids in fishes. The most remarkable data is that 50% of the sellers are currently rejecting  
297 fishes (of any species), and almost 50% of them are receiving complaints from customers due to an excessive  
298 presence of anisakids. Fish species involved in both type of incidences were represented in one single graphic,  
299 in order to compare them by descriptive analysis (Fig. 3.6). For *Merluccius* spp. and *Trigloporus lastoviza*  
300 almost the same number of rejections were made by consumers and sellers. For *Brama brama* the number of  
301 consumers’ claims was higher than the amount of sellers’ refusals. For *Micromesistius poutassou*, the quantity  
302 of both kind of refusals was exactly the same. For other species included in this point of the surveys there  
303 were no coincidence between rejections and claims; so they have not been represented in the graph.  
304 Moreover, as Table 1 shows, the results from Spearman Rank Order Correlations revealed that the  
305 relationship between refusals led by sellers and consumers’ complaints in the species represented in Fig. 3.6,  
306 was evident ( $r=0.2861$ ;  $p=0.0026$ ). Specifically, for *Trigloporus lastoviza*  $r$  value was 0.699, for *Brama*  
307 *brama*  $r=0.292$  and for *Micromesistius poutassou* the correlation between refusals and complaints was the  
308 highest, giving a significant value of  $r$  (0.864). However, for *Merluccius merluccius* the correlation was not  
309 significant. Despite this species gave the highest number of customers’ claims due to the massive presence of  
310 anisakids, fish sellers believe that there are two types of Atlantic hake; the one which comes from nearby



311 waters (“high quality” Hake), and other from distant waters (“very parasitized” Hake). From this point, they  
312 associate consumers’ claims to a distant origin, rather than the species.

313

314 After talking with respondents it could be established that: (1) the main reason why there is a positive  
315 relationship between these two variables is because sellers usually reject fish species that generate customers  
316 complaints due to an evident presence of anisakids; (2) the fact that a fish species is highly parasitized do not  
317 lead sellers to consider it as a product unfit for human consumption, if that species can be sold eviscerated or  
318 without specific parts of musculature (more parasitized). These facts suggests a lack of sanitary education  
319 among fish sellers. The need of a training to this guild is more important since sellers are representing the  
320 sector, and have the opportunity to sensitize consumers on good management and consumption practices.

321

### 322 **3.3 Round tables**

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324 During the Symposium and round tables all horizon scanners agreed that the *status quo* to manage the parasite  
325 hazard in the production-to-consumption food pathway is clearly unsatisfactory. They also emphasized the  
326 advantages of the long-term view of risk uncertainties associated to biological hazards for anticipating the  
327 problem and its handling. As the European Food Safety Authority, EFSA (2010) recently explained in the  
328 scientific opinion on risk assessment of parasites in fishery products, the horizon scanning is becoming a  
329 major strand in proactive risk management and patient-consumer protection continuity.

330 Lastly, agents showed much concern for commercial rejections, their consequential economic losses and the  
331 increasing lack of confidence that anisakids and many other different types of parasites present in fishery  
332 products are currently producing.

333

334 Half a dozen of key issues to conduct research, to inform policy and to practice were specifically identified by  
335 scanners during the round tables:

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#### 337 3.3.1 Standardization

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339 The lack of standardization is one of the most concerned bottleneck problems during parasite inspection in the  
340 fish industry. Improvement plans would require the development of more efficient, low cost, quick and  
341 accurate validated methods of parasite examination and detection during fish inspections. That lack of a  
342 golden standardization for fast and easy detection methods is hampering the consensus of parasite detection  
343 and diagnosis protocols at the fishing industry, thus reducing customer confidence in market transactions. The  
344 most debatable issue was the subjectivity and ambiguity of some concepts defined by legislation such as  
345 “visible parasite”, “clearly contaminated” and “obviously infested with parasites”, as specified in the  
346 European Hygiene Package (Council Directive 91/493/EEC; Commission Decision 93/140/EEC; Regulations  
347 (EC) 852-854/2004, Council Regulation (EC) 2406/96; Commission Regulation (EC) 2074/2005) and in its  
348 modifications (Commission Regulations (EC) 1662-1664/2006). These concepts evidence a lack of standard  
349 settings regarding the “*quantum satis*” conception, because no limit is defined between zero risk vs. tolerable

350 risk. Therefore, a detection limit provided by sanitary authorities for an allowable number of larvae or amount  
351 of DNA-antigen traces in fresh fish musculature is desirable (Pascual et al., 2010). Furthermore, the accuracy  
352 of a “visual examination” scheme in the fish industry depends on the training and skills of inspectors (Levsen,  
353 Lunestad & Berland, 2005), but mostly on a well-tested statistical significance between the number of  
354 observable parasites in the abdominal cavity and surrounded organs, and the number of parasites in  
355 musculature (Llarena-Reino et al., 2012). Although this method does not guarantee a parasite-free edible part  
356 of fish, no other method as a golden standardization has been accepted as the international reference protocol  
357 accomplish with the industrial requirements. Moreover, the establishment of epidemiological monitoring  
358 programmes to standardize the methodology for fish inspections should comprise the definition of the  
359 concepts “sampling size” or “epidemiological unit” which are not defined by legislation. These issues  
360 represent a source for uncertainty in hazard analysis during fish safety and quality self controls.

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### 362 3.3.2 Monitoring

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364 As most of scanners stated the industry as responsible of food security and quality, needs tools to detect  
365 parasites, sanitize seafood products and develop effective management strategies. They proposed that  
366 proactive self-inspections carried out by fish operators could provide a chance to transform the parataxonomic  
367 inspection carried out by the industry into a zoosanitary vigilance program by networking an industrial  
368 upgrading of national sanitary defense associations, as it is the case in aquaculture production. Furthermore, it  
369 also would be advisable to take into account samples from oceanographic and evaluation resource campaigns  
370 financed by national governments and international funds, which periodically are operated by research  
371 entities.

372

### 373 3.3.3 Innovation

374

375 With the increasing demand for ready-to-eat, fresh, and minimally processed fish, new ecology routes for  
376 parasite survival have emerged as it was demonstrated in modified atmosphere packaging (Pascual et al,  
377 2010). In order to minimize the loss of quality and to control parasite hazard, hurdle technology was  
378 suggested in the design of preservation systems for minimally processed foods at various stages of the food  
379 chain. However these new and other emergent technologies such as ultrasounds, electrolyzed oxidizing water,  
380 etc..., should be specifically evaluated for parasite hazards. Group discussion proposed to invigorate  
381 collaborative translational research and professional training as key drivers to fuel technological innovations  
382 and tech transfer, which may help to minimize or eliminate the risk of parasites with public health and/or  
383 economic concerns in fish products. Additionally, the proportionality of innovations that take into account the  
384 weight up of cost-benefit ratios for different interventions in the food chain was also stressed by industrial  
385 scanners. Finally, they also identified technological and economic benefits in outsourcing R&D in an open  
386 innovation strategy for component improvements, design and new process/product innovations.

387

### 388 3.3.4 Training

389

390 In general all fish food industry employees in Europe are educated and trained in relevant food safety  
391 practices, beyond basic food handler training. Some available guidebooks describe the good manufacturing  
392 practices and safe fish handling procedures that help fishermen, fish processors, truckers and retailers to  
393 assure and maintain the food safety and fish products quality from the boat to the retail counter. Nevertheless,  
394 educational seminars for relevant emerging topics like parasite hazards are needed and are still absent in many  
395 European regions. As surveys revealed, there is lack of sanitary education concerning parasites among fish  
396 sellers; they confuse basic notions and are not able to differentiate those parasites which can cause zoonotic  
397 disease, from those innocuous to public health.

398

### 399 3.3.5 Risk assessment

400

401 Among the surveys' findings, it was noted that fish sellers' rejections due to excessive parasitism matched in  
402 amount and fish species with consumers' complaints. Repeatedly, sellers' criteria seems to be conditioned by  
403 consumers' reactions to parasites. That absence of a proactive behaviour at points of sale implies that  
404 prevention is not being applied. Much more risk assessment information, both in fish products and for  
405 consumers and sellers has been a relevant plea throughout horizon scanning roundtables. A friendly SMART  
406 (self-monitoring and intelligence reporting technology) platform has been suggested to generate pre-harvest  
407 control tools (e.g., risk maps and epidemiological reporting). The creation of methodologies of categorization  
408 or staging which should include the parasite identity, the spread of parasites in the edible part of fish, and the  
409 food quality and safety implications of this biological hazard, was also recommended. The development of  
410 this kind of risk-based metrics (point and probabilistic estimates) should be incorporated, implemented and  
411 monitored in HACCP plans. Risk assessment from a public health perspective demands attending natural  
412 variability and scientific uncertainty through statistical inference for relationships between catch origin, fish  
413 species, fish stock structure and parasite quantitative descriptors in different "what-if" and simulations  
414 scenarios for parasite animals, traces and antigens. Mapping of *Anisakis* allergens in seafood and a deeper  
415 understanding of immune response to the parasite antigens were noted as important tasks for research.  
416 Furthermore, integration of epidemiological information on infectivity and inactivation of parasites taking the  
417 whole production-to-consumption food pathway, and the incidence of this zoonotic infection in humans, will  
418 aid to analyze, predict and prevent the probability of illness, complaints and fish rejections, thus enhancing  
419 public awareness and the effectiveness of control measures. As one of the more strong initiatives, scanners  
420 also proposed to create and develop a thematic network performance based on collaborative software to  
421 provide multi-level information (on-site and at-line) for industrial management of parasite contaminants in  
422 fish products. The ultimate goal for all implicated horizon scanners during this event was the collaboration  
423 and the creation of common spaces between agents, industries and scientists, getting thereby better advances  
424 in the strategies and technologies to fight against this important hazard. Only by achieving this purpose the  
425 international competitiveness of fish products could be enhanced.

426

### 427 3.3.6 Risk communication

428  
429 Risk communication was determined by scanners as a matter of concern to manage alerts instead of alarms. It  
430 was suggested to elaborate a risk profile for each emergent parasite species with the aim of sharing multi-level  
431 information and to aid technology-knowledge transfer. Each “parasite array” will assure communication with  
432 public regulatory authorities and the industry, thus reinforcing the industry’s competitiveness by  
433 implementing added-value strategies to guarantee a high standard quality in healthy fishery products.  
434 Similarly to the above knowledge-based bio-economic approach, it would be of high priority to spread the  
435 knowledge to the broader society to ensure consumer protection within an open public access plan.  
436  
437 To be relevant and useful the participants agreed to bring horizon scanning under a QCA perspective by  
438 repeating the process and collation annually, and to include the topic and the information in the working  
439 groups of the European Fish Technology Platform.  
440

#### 441 442 **4. Conclusions**

443  
444 The data collected from the maps, inquiries and during the round tables, contains valuable suggestions  
445 orienting current and future strategies, identifying key problems with the existing procedures and providing  
446 advices that could improve public health policy and reduce economic losses. These ideas have been  
447 summarized and compiled around six key issues conforming a very constructive horizon scanning effort for  
448 managing emerging parasites in fishery products, as follows:

- 449  
450 ▪ The lack of standardization during parasite inspection in the fish industry is the main reason why the  
451 industry demands that the transfer of food safety co-responsibility from governs to companies should be  
452 led by a tough and progressive program of unified standards more closely monitored by governs. This  
453 lack of consensus and standardization concerning self-control, makes easier a free criteria and  
454 heterogeneity when internal inspection of batches, manufacturing facilities or processes take place. FAO  
455 protocols, facto standards by CODEX, military standards or statistical standards are some examples of  
456 quality criteria in use for internal controls by food companies.  
457
- 458 ▪ Supervised proactive self-inspections at industries could lead to set up stable zoosanitary vigilance  
459 programs. The monitoring of demographic values of infection by parasites in fishes could be integrated  
460 for its study as a part of the evaluation programs during oceanographic campaigns.  
461
- 462 ▪ The setting of innovations based in positive weight up of cost-benefit ratios as labeling requirements for  
463 parasite-free trademarks, could provide a chance for enable commercial blister beneficiaries of process  
464 monitoring programs, for periodic analysis of products and for preventive and corrective measures for  
465 parasites with public health and economic implications. Furthermore, the elaboration of an innovation

466 guide directory with the portfolio of services was suggested as a key drive to help identify organizations  
467 which do outsourcing R&D work for fish companies.

- 468
- 469 ▪ Educational seminars concerning relevant emerging topics like parasite hazards, for industry employees  
470 and retailers should be implemented in all European regions, especially the establishment of proof-of-  
471 concepts and demos linked to GMP and SOP programs within the legal scenarios to monitor into real-life.  
472 Fish sellers represent a critical point that must be conscientiously trained and instructed, since they are the  
473 target vehicle to reach the consumer in an immediate, inexpensive, effective, continuous and conservative  
474 way.
  - 475
  - 476 ▪ Regardless of the method used for fish inspection, it is essential to design methodologies of categorization  
477 or staging which should be incorporated, implemented and monitored in HACCP plans. Integration of  
478 epidemiological information of parasites will aid to study, predict and avoid fish rejections and zoonoses,  
479 and will enhance public consciousness and the success of control measures.
  - 480
  - 481 ▪ With the aim of improving risk communication to the broader society it would be indispensable to spread  
482 the knowledge to ensure consumer protection within an open public access plan.
- 483

484

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486

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494

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699

700

701 **Table**

702

<i>Fish species</i>	<i>N</i>	<i>r</i>	<i>t (N-2)</i>	<i>p-level</i>
<i>Merluccius merluccius</i>	108	0.166583	1.60274	0.112495
<i>Brama brama</i>	108	0.292306	2.89971	0.004693
<i>Trigloporus lastoviza</i>	108	0.699164	9.27722	0.000000
<i>Micromesistius poutassou</i>	108	0.864426	16.31130	0.000000

703

704 **Table 1** Spearman Rank Order Correlations between sellers' rejections and consumers' claims due to  
705 commercial fish infected by anisakids.

706

707

708 **Figures Captions**

709

710 **Fig. 1** The unaesthetic figures that many parasites produce on seafood products represent a serious problem  
711 that has a significant impact on consumer's preferences by decreasing enormously the commercial value of  
712 affected products. Regardless of the concern for the public health, the effects that parasites causes on  
713 marketability forces seafood industry to discard large quantities of fish and to intensify quality inspection  
714 protocols on seafood products. At this point, the most valuable goals of the industry are increasing the quality  
715 of parasitized products and the consumer's confidence. A-H. Macrophotographs showing unaesthetic  
716 problems associated to visible parasites found in commercial fish lots. 1. Up to 3 copepods belonging to  
717 *Pennella* sp. with the anterior end anchored internally in the musculature of *Xiphias gladius*. 2. *Pennella* sp  
718 causing inflammatory and ulcerous wounds around the entrance hole followed by abscesses in host  
719 musculature. 3. Large number of *Molicola* sp. within the flesh of *X. gladius*. 4. Pseudocysts of *Kudoa* sp. in  
720 the flesh of *Salmo salar*, at times associated to post-mortem myoliquefaction ("milky flesh syndrome"). 5.  
721 Microsporidian xenomas of *Spraguea lophii* infecting nervous tissues of *Lophius budegassa*, usually located  
722 along the length of the vertebral column (body), and on the medulla oblongata of the hind brain (head). 6.  
723 Encysted larval of *Anisakis* sp. in the flesh of *Micromesistius poutassou*. 7. Encysted larvae of *Anisakis* sp. in  
724 the gut cavity and belly flap of *M. poutassou*. 8. Larval of *Anisakis* sp. migrating under the skin of *M.*  
725 *poutassou*. 9. Larval of *Pseudoterranova decipiens* in the flesh of *Lophius piscatorius*. 10. Old encysted  
726 (melanin capsules) larvae of *Anisakis* sp. embedded in the flesh of *Merluccius merluccius*. 11. Copepod

727 belonging to the family Lernaeopodidae in *Sebastes mentella*, anchored internally in the musculature  
728 surrounding fins.

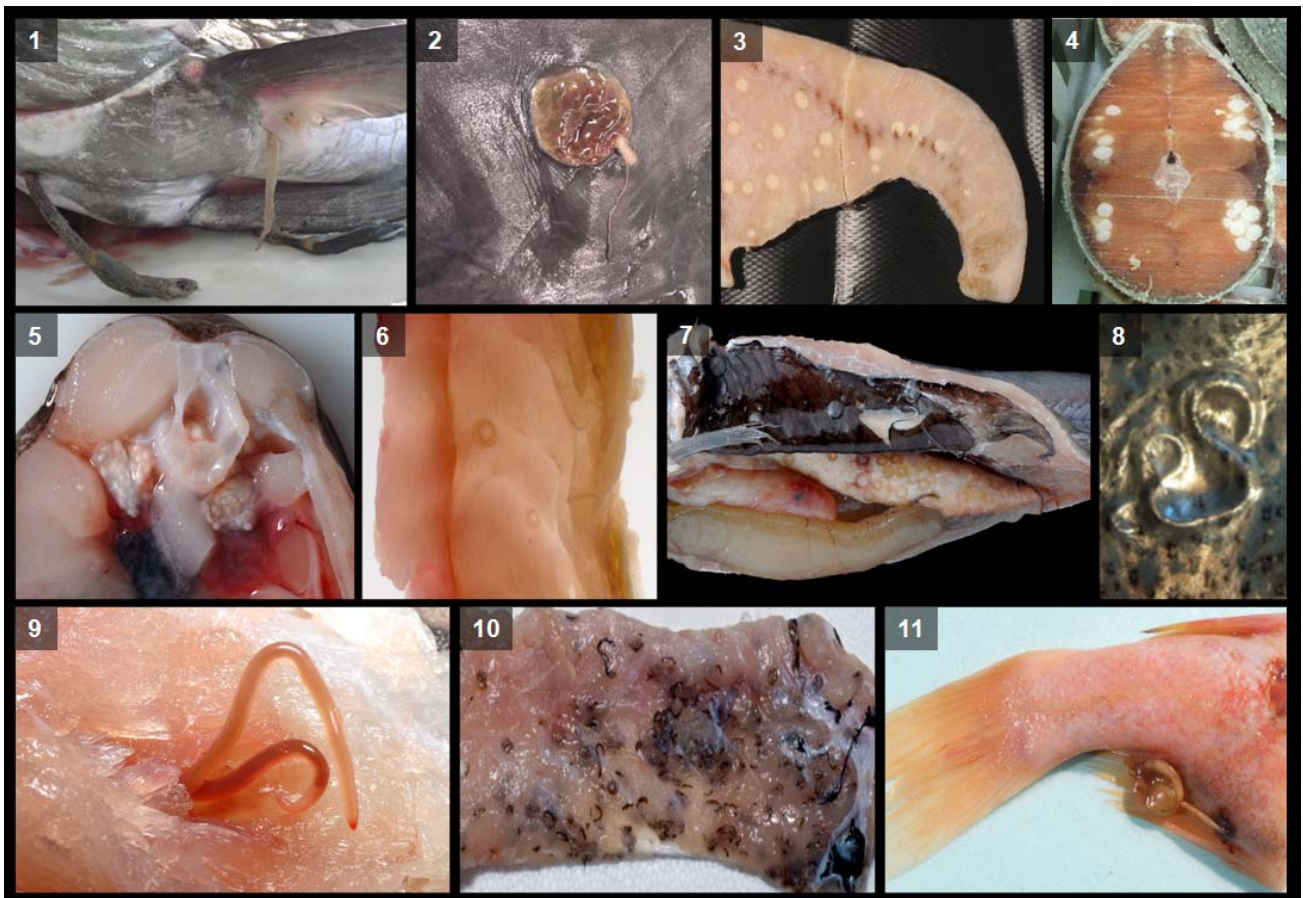
729

730 **Fig. 2** Cartography that includes specific set of maps illustrating the averages of demographic infection values  
731 for *Anisakis* spp. in each Atlantic FAO fishing subarea (1st row), and related to both host order (2nd row) and  
732 species of fishery importance (3rd row).

733

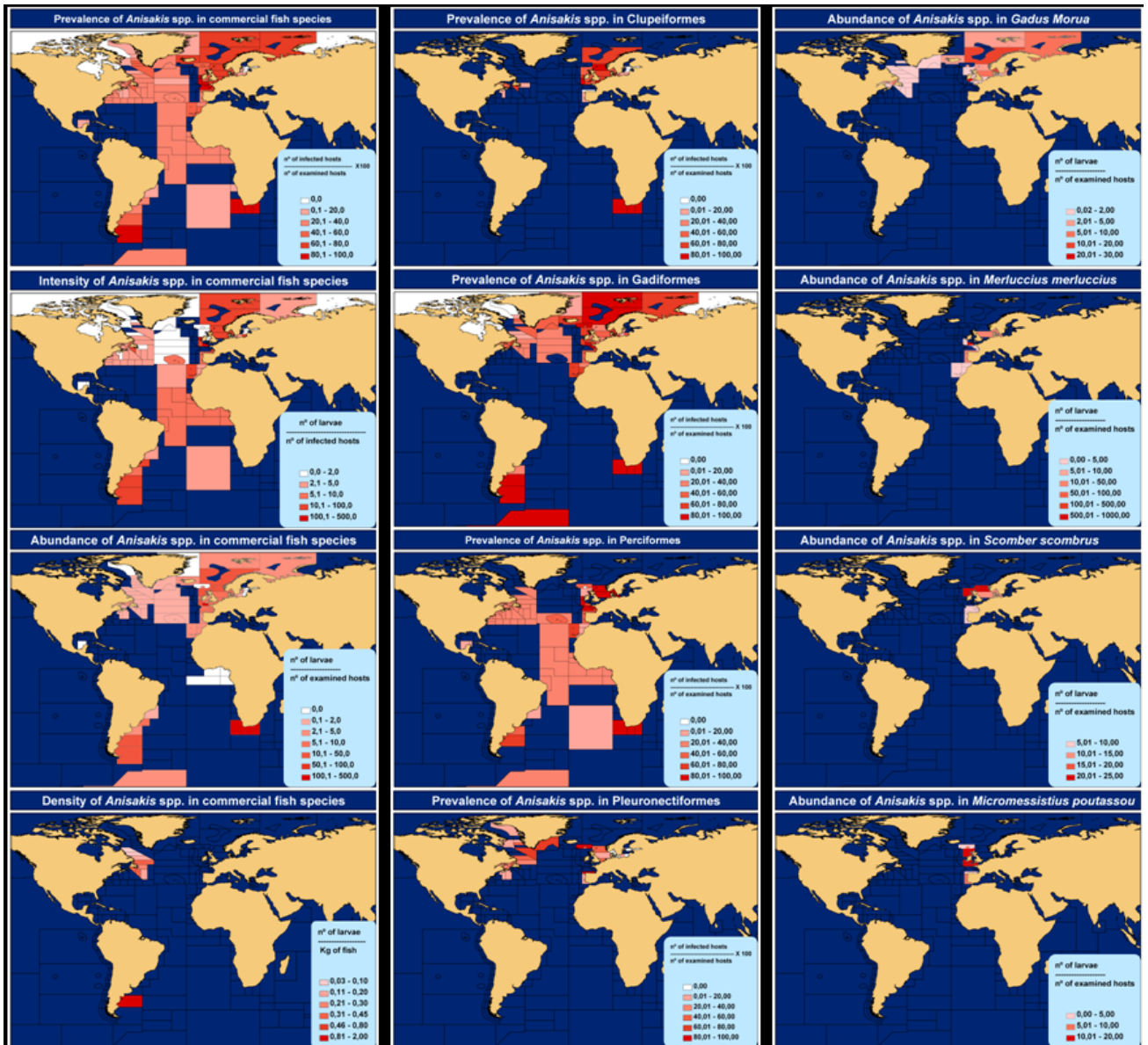
734 **Fig. 3** Graphical representation of the results obtained after carrying out a total of 108 surveys among fish  
735 sellers in Galicia, NW Spain.

736

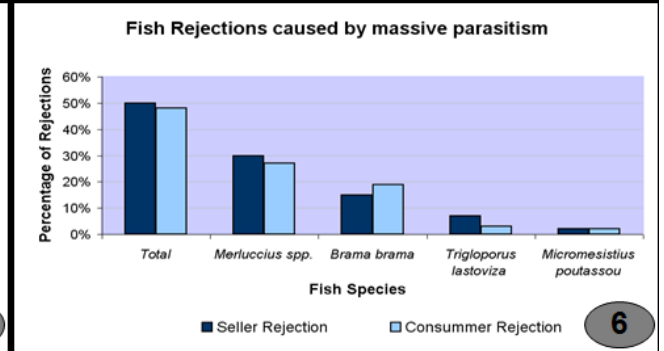
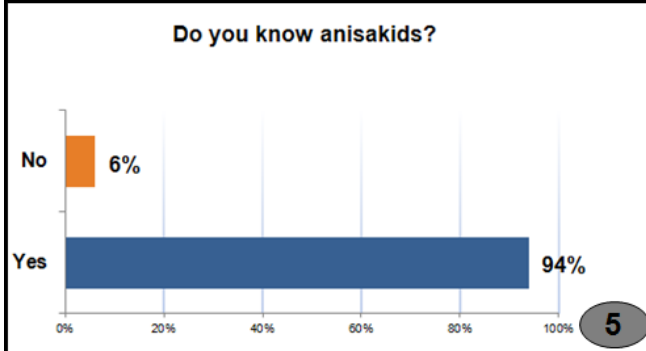
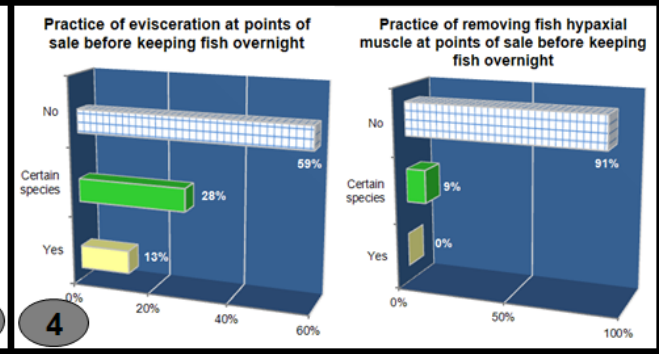
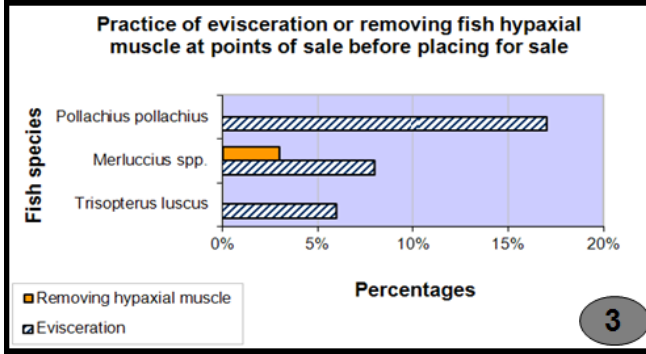
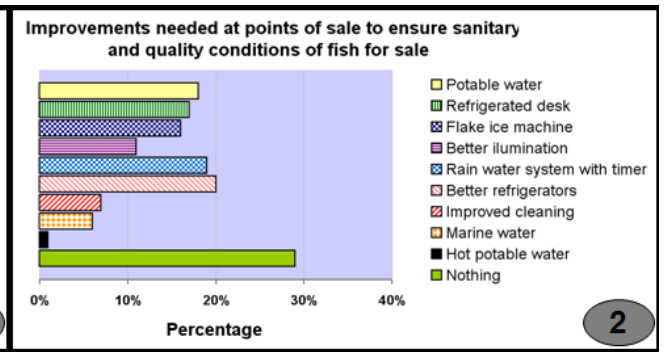
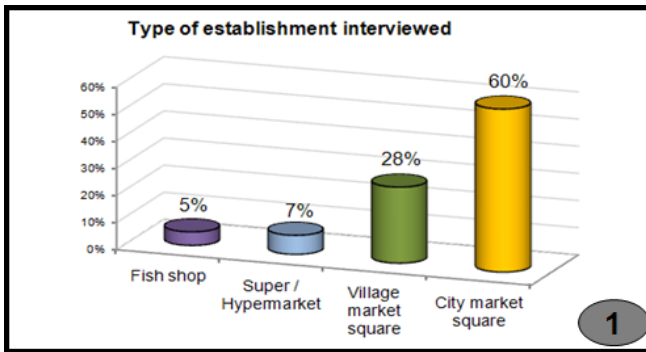


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