

1 **Targeting sources of drought tolerance within an *Avena* spp collection through multivariate**
2 **approaches.**

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1 **Abstract**

2 In this study we find and characterise sources of tolerance to drought amongst an oat (*Avena sativa* L.)
3 germplasm collection of 174 landraces and cultivars. We used multivariate analysis, non-supervised
4 Principal Component Analyses (PCA) and supervised Discriminant Functional Analyses (DFA) to
5 suggest the key mechanism/s responsible for coping with drought stress. Following initial assessment
6 of drought symptoms and area under the drought progress curve a subset of 14 accessions were
7 selected for further analysis. The collection was assessed for relative water content, cell membrane
8 stability, stomatal conductance, leaf temperature, water use efficiency, lipid peroxidation,
9 lipoxygenase activity, chlorophyll levels and antioxidant capacity during a drought time-course
10 experiment. Without the use of multivariate approaches it proved difficult to unequivocally link
11 drought tolerance to specific physiological processes in the different resistant oat accessions. These
12 approaches allowed the ranking of many supposed drought tolerance traits in order of degree of
13 importance within this crop thereby highlighting those with a causal relationship to drought stress
14 tolerance. Analyses of the loading vectors used to derive the PCA and DFA models indicated that two
15 traits involved in water relations; temperature and relative water content, together with the area of
16 drought curves were important indicators of drought tolerance. However, other parameters involved in
17 water use such as stomatal conductance and water use efficiency were less able to discriminate
18 between the accessions. These observations validate our approach which should be seen as
19 representing a cost-effective initial screen which could be subsequently employed to target drought
20 tolerance in segregating populations.

21

22 **Keywords** *Avena* · Drought · Multivariate approaches · Oat · Tolerance mechanisms

23

24 **Abbreviations**

25

26	AUCPC	Area under the conductance progress curve
27	AUDPC	Area under the drought progress curve
28	CMS	Cell membrane stability
29	daww	Days after withholding water
30	DFA	Discriminant function analysis
31	g_1	Stomatal conductance
32	IR	Infrared
33	LOX	Lipoxygenase activity
34	LP	Lipid peroxidation
35	OA	Osmotic adjustment
36	PCA	Principal components analysis

- 1 RWC Relative water content
- 2 SCMR SPAD chlorophyll meter reading
- 3 WUE Water use efficiency
- 4

1 **Introduction**

2

3 Oat (*Avena sativa* L.) ranks sixth in world cereal production statistics, following wheat, maize,
4 rice, barley and sorghum (FAO 2011). It is widely grown in temperate areas, with an increasing
5 interest to expand the crop to subtropical areas, Mediterranean countries (Stevens et al. 2004) and
6 northeast China (Islam et al. 2011). This is mainly due to its good adaptation to a wide range of soil
7 types and because on marginal soils oats can perform better than other small-grain cereals (Stevens et
8 al. 2004). However, oats can be sensitive to hot, dry weather and hence, in most Mediterranean and
9 dry regions drought is the main limiting factor for oat yield probably exceeding losses from all other
10 causes (Stevens et al. 2004). Thus, new sources of oat tolerance must be exploited which may be
11 introgressed into elite cultivars.

12

13 Plant breeding has an important role in improving germplasm to fit the agroclimatic conditions
14 of drought-prone areas (Chaouki et al. 2004). However, cereal breeding in general, and oat breeding in
15 particular, has been mainly based on empirical selection for yield but this is characterized by a low
16 heritability and a high genotype x environment interaction making it a poor assessment criterion
17 (Araus et al. 2002). As a result, modern breeding strategies attempt to include assessments of
18 physiological, biochemical and molecular characteristics which may better reflect lineage productivity
19 and responses to environmental stress (Araus 1996; Richards 1996; Slafer and Araus 1998). A
20 corollary of this approach is a better understanding of drought tolerance mechanisms which in turn
21 will further define targets in germplasm screens.

22

23 Several morphological, physiological and molecular plant responses can contribute for coping
24 with drought stress either increasing its ability to avoid damage (avoidance mechanisms) and/or to
25 maintain its metabolic functions under water limiting conditions (tolerance mechanisms). In this work
26 we focussed in the tolerance mechanisms, where the physiological bases of genetic variation are far
27 from being clear. Key features may be the capacity to maintain cell/tissue water, cell membrane
28 stability, and to avoid oxidative damage through antioxidant machinery (Farooq et al. 2009). Thus,
29 water related features such as relative water content (RWC), leaf water potential (LWP), stomatal
30 conductance (g_1), transpiration rate and leaf/canopy temperature have been studied in different species
31 under drought stress. In general water stressed plants have lower relative water content, leaf water
32 potential and transpiration rate with a concomitant increase in leaf temperature. Furthermore, a
33 positive correlation between grain yield and RWC has been observed in durum and bread wheat
34 (Singh and Patel 1996; Merah 2001). Particularly in oat, decreases in g_1 , in the difference between air
35 and leaf temperatures and in RWC were associated to water deficit. However none of these indices by
36 themselves were associated with degree of yield losses (Peltonen-Sainio and Makela 1995).

1
2 Improved tissue water status may be also achieved through osmotic adjustment (OA). This
3 involve accumulation of specific compounds such as sugars (i.e. from the raffinose family
4 oligosaccharides) sugar alcohols (such as mannitol), amino acids (such as proline) and amines (such as
5 glycine, betaine and polyamines) which allows the cell to decrease osmotic potential and hence
6 increase the gradient for water influx and turgor. Thus, OA has been related to grain yield under water
7 deficit environments (Moinuddin et al. 2005) and considered as a selection criterion for drought
8 tolerance in wheat (Morgan 1983).

9
10 In addition to water related features, physiological traits indicative of oxidative damage and
11 antioxidant defence have been well documented under drought stress conditions (Farooq et al. 2009
12 and references therein). Altogether these changes are thought to be associated with protecting cellular
13 functions or with maintaining the structure of cellular components (Seki et al. 2007). Particularly in
14 oat it has been shown that as part of acclimation to drought stress, the lipid composition of root plasma
15 membranes is selectively modified, possibly to increase their flexibility (Larsson et al. 2006).
16 Molecular targets contributing drought tolerance, including changes in gene expression, synthesis of
17 stress proteins and activation of molecular signalling have been only recently dissected disclosing the
18 intricate complexity of the resistance responses to this stress (Seki et al. 2007; Kavar et al. 2008;
19 Farooq et al. 2009).

20
21 This complexity is an important handicap for breeding. Efforts have been made to produce
22 drought tolerant genotypes based on the knowledge of plant responses to drought and the mechanisms
23 involved described above. However these were not always successful since although changes in water
24 related features have been described during drought stress, not all of these features are suitable for
25 discriminating tolerant from sensitive genotypes and not all plant species respond in a similar manner.
26 For instance, leaf water potential was able to discriminate drought resistant and susceptible barley
27 cultivars (Matin et al. 1989) and it has been reported to be the main trait responsible of the drought
28 tolerance phenotype in chickpea which allowed its use as phenotypic marker in breeding programmes
29 (Pannu et al. 1993); however, it is not a defining feature of tolerance in bread wheat (Schonfeld et al.
30 1988) or faba bean (Ricciardi et al. 2001). On the other hand, in bread wheat, RWC differed
31 significantly among susceptible and resistant populations under increasing drought (Schonfeld et al.
32 1988). Thus, it remains uncertain in a given species which is the best feature(s) indicative of drought
33 tolerance and/or when these should be assessed. In the present study in addition to seeking to
34 characterise new sources of drought tolerance, we use multivariate analysis in a range of drought
35 linked features and genotypes to reveal the key physiological mechanism/s in the oats for coping with
36 drought stress.

37

1 **Materials and methods**

2

3 Plant material and treatments

4

5 For the resistance screening we used a germplasm collection of landraces consisting in 107
6 Spanish accessions of *A. sativa* L. and 31 of *A. byzantina* K. Koch kindly provided by the “Centro de
7 Recursos Fitogenéticos”, INIA, Madrid, Spain, and 36 commercial cultivars supplied by the
8 Andalusian Network of Agriculture Experimentation (RAEA). For easier comparison among landraces
9 and manuscript reading, germplasm bank codes were substituted for others codes easier to read
10 (Sánchez-Martín et al. 2011). Oat cultivars studied were: Ac1, Acebeda, Adamo, Aintree, Alcudia,
11 Anchuela, Araceli, Brawi, Caleche, Cannele, Chambord, Chappline, Charming, Cobeña, Condor,
12 Cory, Edelprinzi, Flega, Fringante, Fuwi, Hammel, Kankan, Kantora, Karmela, Kassandra, Kazmina,
13 Mirabel, Mojacar, Norly, Orblanche, Pallini, Patones, Prevision, Primula, Rappiden and Saia.

14

15 As in previous cereal drought related studies, experiments were carried out at seedling stage (3
16 week old plants) (Xiao et al. 2007; Hao et al. 2009; Gong et al. 2010). Seedlings were grown in 0.5 L
17 pots filled with peat:sand (3:1) in a growth chamber with 20 °C, 65 % relative humidity and under 12 h
18 dark/12 h light with 150 $\mu\text{mol m}^{-2} \text{s}^{-1}$ photon flux density supplied by high-output white fluorescent
19 tubes (OSRAM). During growth trays carrying the pots were freely watered with a thin layer of water
20 of approximately 1 cm continuously present in the tray. At day 21, in those plants subjected to
21 drought, water was withheld (Hao et al. 2009; Gong et al. 2010) for 19 days at which experiment
22 finished. Control plants were watered as above mentioned during the whole experiment. During the
23 drought time course the relative water content of the soil was monitored daily reaching a level of 20%
24 by the end of the experiment as in previously reported oat work related to drought (Gong et al. 2010).

25

26 Additionally selected accessions were growth during the 2010-2011 crop season in a field plot
27 in Salamanca, Spain (40° 55' 28.2'' N, 5° 21' 45.54''W) in water prone conditions (although not
28 severe stress) i.e. 242.36 mm water in the growth season compared with the 600 mm by mean of north
29 European oat growing areas. The soil of the experimental field, around 0.8 m deep, is a sandy loam or
30 sandy-clay-loam Vertic Luvisol (FAO 2007). Plants were sown on 01/11/2010. Each
31 accession/replication was represented by 3 rows of 1 m long, with each row consisting of 30 plants.
32 The distance between rows was 0.5 m. Three replicates were grown in a randomized complete block
33 design.

34

35 Visual assessment of drought symptoms

36

1 From the time at which water was withheld for drought treatment (from now on T_0) all plants
2 were visually evaluated daily according to the following scale: 0 = vigorous plant, no leaves shows
3 drought symptoms; 1 = one or two leaves show slight drought symptoms (less turgor) but most
4 leaves remain erect; 2 = most leaves show slight levels of drought stress, however one or two leaves
5 still show no drought symptoms; 3 = all leaves show drought symptoms but these are no severe; 4 = all
6 leaves show severe drought symptoms including incipient wilting; 5 = the whole plant is wilted with
7 all leaves starting to dry, rolled and or shrunken (Online Resource 1). Five plants per accession were
8 assessed. Drought severity values daily assessed according to this scale were used to calculate the area
9 under the drought progress curve (AUDPC) for each oat accession similarly to the area under the
10 disease progress curve widely used to disease screenings (Jeger and Viljanen-Rollinson 2001) using
11 the formula:

12

$$13 \text{ AUDPC} = \sum_k^{i=1} \frac{1}{2} [(S_i + S_{i+1})(t_{i+1} - t_i)]$$

14

15 where S_i is the drought severity at assessment date i , t_i is the number of days after the first observation
16 on assessment date i and k is the number of successive observations.

17

18 Relative water content

19

20 RWC was measured in ten plants per accession according to Barrs and Weatherley (1962).
21 Measurements were carried out in the second leaves at time 0, 6, 9, 12, 15 and 18 days after
22 withholding water (daww). Six hours after the onset of the light period, leaf blade segments were
23 weighed (fresh weight; FW), floated on distilled water at 4 °C overnight and weighed again (turgid
24 weight; TW). They were then dried at 80 °C for 48 h. After this, the dry weight (DW) was determined.
25 RWC was then calculated as $\text{RWC} = (\text{FW} - \text{DW}) / (\text{TW} - \text{DW}) \times 100$.

26

27 Cell membrane stability

28

29 CMS was measured in ten plants per accession according to Tripathy et al. (2000).
30 Measurements were carried out in the second leaves at 0, 6, 9, 12, 15 and 18 daww. Samples collected
31 were washed three times in deionized water to remove electrolytes adhered on the surface. The
32 samples were then kept in a capped vial (20 mL) containing 10 mL of deionized water and incubated
33 in the dark for 24 h at room temperature. The conductance was measured with a conductivity meter
34 (CMD 510, WPA, UK). After the first measurement the vials were autoclaved for 15 min to kill the
35 leaf tissue and release the electrolytes. After cooling, the second conductivity reading was taken.
36 These two measurements were carried out individually for all the samples from both the control and
37 stress treatments. The control gave a measure of leakage solely due to the cutting and incubation of

1 leaf discs. The conductance of the stress sample was a measure of electrolyte leakage due to water
2 stress and was assumed to be proportional to the degree of injury to the membranes. CMS was
3 calculated as the reciprocal of cell-membrane injury after Blum and Ebercon (1981): $CMS\% = [(1-$
4 $(T1/T2)) / (1-(C1/C2))]$ x 100, where T and C refer to the treated and control samples, respectively;
5 the subscripts 1 and 2 refer to the initial and final conductance readings, respectively.

6 7 Stomatal conductance

8
9 g_1 was measured in ten plants per accession with an AP4 cycling porometer (Delta-T Devices
10 Ltd, Cambridge, UK). g_1 is the sum of epidermal and stomatal conductance, but as epidermal
11 conductance of oat is low, changes in g_1 largely reflect changes in stomatal aperture. The porometer
12 allows rapid measurement that is non-destructive and samples a relatively large area (17.5 x 2.5 mm)
13 of leaf. It was used on the centre of the adaxial surface of leaf laminae. Measurements were carried out
14 in the second leaves during the first 10 daww. After this period stomata were strongly closed at all
15 hours of the day with similar readings of that at dark period. Measurements were taken three times per
16 day, two hours after onset of the light period, at the middle of the light period and two hours before the
17 onset of the dark period. The area of the conductance progress curve (AUCPC) of drought treated
18 plants with respect to the control curve of non-stressed plants was calculated using the formula above
19 mentioned for AUDPC.

20 21 Infrared temperature

22
23 Leaf temperature was estimated on the second leaves of five plants per accession, using an
24 infrared camera (FLIR i50, FLIR Systems Inc.). The final measurement of each plant was the mean of
25 four measurements per leaf. Measurements were taken 6 hours after the onset of the light period at 6,
26 12 and 18 daww in control and stressed plants.

27 28 Water use efficiency

29
30 Water use efficiency (WUE) expressed in terms of plant production per water consumed was
31 measured gravimetrically in 5 plants of each of the 14 selected accessions according to (Xin et al.
32 2008). Briefly, pots were filled with the above mentioned substrate and watered until water dripped
33 from the bottom. Three seeds were planted per pot and thinned to one plant at 7 days after emergence.
34 The pots were then covered from both ends with 2 polythene bags that were fixed to the pot with
35 elastic bands. A small slit was made in the top bag to allow the plant to grow through. Control pots
36 without plants showed minimum water loss. The initial and final (after five weeks) pot weight was
37 taken and water used was calculated by subtracting the final pot weight from the initial weight. Roots

1 were collected by washing the potting mix core on a wire mesh. Dry weight measurements of roots
2 and shoots were taken after a minimum of 72 h of drying at 80°C when the samples reached a constant
3 weight. WUE was calculated by dividing the total dry biomass by the amount of water transpired.

6 Lipid peroxidation and lipoxygenase activity

8 Lipid peroxidation and lipoxygenase activity was measured in five plants per accession in the
9 second leaves of control and stressed plants at time 9 and 12 daww.

11 Lipid peroxidation was determined by estimating the malondialdehyde (MDA) content
12 following the method of Rosales et al. (2006) with slight modification. For the assay of MDA, second
13 leaves were ground with a mortar and pestle with liquid nitrogen, homogenized in 50 mmol L⁻¹
14 potassium phosphate buffer (pH 6.0) (1:5 (p/v)) and centrifuged at 20 000 g for 25 min at 4°C. For
15 measurement of MDA content, 200 µL of 200 g L⁻¹ trichloroacetic acid containing 5 g L⁻¹
16 thiobarbituric acid was added to 50 µL aliquots of the supernatant. The mixture was heated at 95 °C
17 for 30 min and then quickly cooled in an ice-bath. Subsequently, the samples were centrifuged at
18 10000 g for 10 min at 4 °C and the absorbance of the supernatant was read at 532 nm. The value for
19 the non-specific absorption at 600 nm was subtracted from the A_{532} reading. The concentration of
20 MDA was calculated using a calibration curve (0.1-1.2 µg µL⁻¹) of MDA.

22 Lipoxygenase enzyme (LOX, EC 1.13.11.12) activity was measured according to (Minguez-
23 Mosquera et al. 1993) using 50 mmol L⁻¹ potassium phosphate buffer (pH 6.0) for extraction. The
24 reaction mixture carried out in microwells consisted of 285 µL of 50 mmol L⁻¹ potassium phosphate
25 buffer (pH 6.0), 10µL of crude extract and 5 µL of 0.5 mmol L⁻¹ linoleic acid in 50 mmol L⁻¹
26 potassium phosphate buffer (pH 6.0). The LOX activity was calculated following the increase in the
27 extinction at 234 nm using an extinction coefficient of 25 000 L mol⁻¹. For preparation of substrate,
28 linoleic acid (0.5 g) of higher than 99 % purity (Sigma) and 0.5 g Tween 20 were dissolved in
29 deionized and deoxygenated H₂O. In the case of turbidity, a few drops of 2 M NaOH were added until
30 complete transparency. The final volume of the mixture was taken to 25 mL. Aliquots of 2 mL were
31 put into flasks which were closed under N₂.

33 Leaf chlorophyll content

35 Leaf chlorophyll was indirectly estimated on the second leaves of five plants per accession,
36 using a SPAD-502 chlorophyll meter (Minolta Co., LTD., Japan) (Zhao et al. 2010). The final
37 measurement of each plant was the mean of three measurements per leaf, the adaxial side of the leaves

1 was always placed toward the emitting window of the instrument. Measurements were taken 6 hours
2 after the onset of the light period at 6, 9, 12, 15 and 18 daww in control and stressed plants.

3 4 Antioxidant activity

5
6 Antioxidant activity was measured in five plants per accession in the second leaves of control
7 and stressed plants at time 9 and 12 daww. Antioxidant activity was measured in the leaves using the
8 Ferric Reducing Ability of Plasma (FRAP) assay according to Rosales et al. (2006). The FRAP assay
9 was performed with FRAP reagent, i.e. 1 mmol L⁻¹ 2, 4, 6-tripyridyl-2-triazine (TPTZ) and 20 mmol
10 L⁻¹ ferric chloride in 0.25 mol L⁻¹ sodium acetate (pH 3.6). An aliquot of 50 µL of leaf extract (10 mg
11 per mL in methanol) was added to 1 mL of FRAP reagent and mixed thoroughly. After the mixture
12 had been left at ambient temperature (20 °C) for 5 min, the absorbance at 593 nm was measured.
13 Calibration was against a calibration curve (25–1600 mol L⁻¹ ferrous ion) constructed using freshly
14 prepared ammonium ferrous sulphate.

15 16 Statistical analysis

17
18 All experiments were designed in a randomized complete block design. For ease of
19 understanding, means of raw percentage data are presented in tables and figures. However, for
20 statistical analysis, data recorded as percentages were transformed to arcsine square roots (transformed
21 value = $180/\pi \times \arcsin[\sqrt{(\%/100)}]$) to normalize data and stabilize variances throughout the data
22 range, and subjected to analysis of variance using GenStat 7th Edition, after which residual plots were
23 inspected to confirm data conformed to normality. In addition Shapiro-Wilk test and Bartlett's test
24 were performed to test normality and homogeneity of variances, respectively. Significance of
25 differences between means was determined by contrast analysis (Scheffe's). In addition least
26 significant difference (LSD) values were added to tables and figures for comparison between each two
27 accessions. When appropriate, tukey test ($P < 0.05$) were used for multiple comparison among
28 accessions.

29
30 For multivariate analysis the data were first analyzed using principal components analysis
31 (PCA; Causton 1987). Briefly, this involves projecting a ('X') matrix formed from set of (N) data onto
32 multidimensional space. Principal components (PCs) are linear combinations of original variables
33 (known as loadings) which are used in the projection of the X matrix. Individual PCs are ranked (PC1,
34 PC2, etc.) on the basis of the variance within the original dataset that is explained. PCA is an
35 unsupervised method where no *a priori* knowledge of experimental structure is given. Thus, if there is
36 clustering of either 2D or 3D projections of PCA from replicate data, this indicates that the original
37 experimental parameters are the sources of maximal variation. PCA was followed by discriminant

1 function analysis (DFA) which is a supervised projection method (Manly 1994). DFA then
2 discriminated between groups on the basis of the retained PCs and the a priori knowledge of which
3 values were replicates (either biological or machine). DFA was programmed to maximize the Fisher
4 ratio (i.e. the within-class to between-class variance) and the similarity between different classes
5 reflects the optimal number of PCs that are fed into the DFA algorithm. All calculations were
6 performed in Pychem 2.0 (Jarvis et al. 2006).

7
8 PC-DFA models were created to identify the variables or parameters associated with the
9 differences between the susceptible and resistant accessions. The approach was based on deriving
10 robust models where differences between classes were separated along a particular PC-DF axis. This
11 allowed plotting the contributions of individual variables measured to the model (“loading vectors”).
12 Those parameters that appeared $> \pm 1$ standard deviation (STD) from the mean value loading were
13 recorded as ‘discriminatory’ variables associated with the differences.

14
15 Due to the complex experimental structure and the need to derive PC-DFA models where
16 classes were separated along particular PC-DF axes, differing approaches were followed, each
17 generating a list of key parameters. Firstly PC-DFA plots were constructed for each individual time
18 point (6, 9, 12, 15 and 18 daww). Since none of the models discriminate well between accessions, PC-
19 DFA plots were constructed grouping different time points. In addition models were derived by
20 grouping the accessions (i.e. most resistant vs. most susceptible or moderately resistant vs.
21 themselves). This identified the key time points and parameters to discriminate susceptible and
22 resistant accessions.

23 24 **Results**

25 26 Screening for drought resistance sources

27
28 Following daily visual assessment of drought symptoms, AUDPC values were calculated for
29 the 174 *Avena* accessions which could be grouped into 7 classes overall conforming to a normal
30 distribution (Fig. 1a). Accessions from the most frequent class, with AUDPC values of between 31
31 and 35, were considered neither resistant nor susceptible to drought stress. Accessions with values
32 lower than 20 were considered to be highly resistant to drought, with others with scores between 21
33 and 31 considered to be resistant or moderately resistant. Accessions with AUDPC values higher than
34 46 were considered to be highly susceptible but those between 35 - 45 to exhibit moderate
35 susceptibility. Thus, 31.6% of the accessions were regarded as moderately resistant, and 0.5% as
36 highly resistant (Fig. 1a) with these classes including 44 *A. sativa* and 4 *A. byzantina* landraces and 8
37 commercial cultivars. Fig. 1b represents the drought progress curves along the drought time course of

1 Patones and Flega, the most resistant and susceptible accessions, respectively. For all accessions the
2 drought progress curve was a sigmoidal which in susceptible accessions scores rapidly (by ~1-2 days)
3 started to increase and reached 5 by day sixteen. In contrast, the most resistant accessions maintained a
4 score of 0 for at least 2-3 days and did not achieve a score of 5 score until at least 18 days after the
5 imposition of drought stress. For further analysis of drought resistance components 14 accessions were
6 selected according to their AUDPC values, including 1 highly resistant (Patones), 1 resistant (Gen16),
7 9 moderately resistant (Mirabel, Anchuela, Gen17, Gen76, Gen100, Gen122, Gen124, Gen125 and
8 Gen 135), 1 moderately susceptible (Rapidena) and 2 highly susceptible (Flega and Alcludia)
9 accessions. Statistical comparison of the specific AUDPC values of these accessions showed that the
10 highly susceptible accessions significantly differed from the moderately resistant, resistant and highly
11 resistant ones (Tukey $P < 0.05$: Table 1).

12

13 Field assessment of yield of selected accessions grew in water prone conditions showed a high
14 correlation with the water stress tolerance showed under the assayed controlled conditions (Online
15 Resource 2).

16

17 Characterisation of resistance mechanisms underlying responses to drought

18

19 Assessment of RWC (Fig. 2a) showed values of approximately 90% in all accessions before
20 withholding water with no significant differences amongst accessions. Following the imposition of
21 drought conditions there was a decline in RWC in all accessions although those accessions classified
22 as highly susceptible (i.e. Alcludia and Flega) exhibited a more rapid decline compared to the most
23 resistant ones (i.e. Patones and Gen124). Overall statistical analysis showed significant differences
24 among accessions ($P < 0.001$), time points after withholding water ($P < 0.001$) and interaction
25 between these factors ($P < 0.001$). Interestingly, accessions such as Gen125, Anchuela and Gen17
26 considered moderately resistant rapidly reduced their RWC and at day 15 and 18 exhibited no
27 significant difference to Alcludia and Flega (Fig. 2a).

28

29 A similar pattern was observed following assessment of CMS (Fig. 2b). Thus, overall analysis
30 showed significant differences among accessions ($P < 0.001$), time points ($P < 0.001$) and interaction
31 between these factors ($P < 0.001$). CMS values ranged from 100 in non-stressed plants to 20% in the
32 most susceptible accessions after 18 days of drought treatment. This reduction in CMS with time was
33 observed in all accessions. However, we did not find a significant linear correlation between RWC
34 and CMS values. This suggested that not all accessions maintained membrane integrity under drought
35 stress in the same way. To further investigate this behaviour we derived model curves based on
36 observed values. RWC and CMS values fitted with negative gompertz curves (non-symmetrical) in all
37 accessions, except Gen100 and Gen125 for RWC and Patones for CMS that fitted with negative

1 logistic type curves ($P < 0.001$). Fig. 2c, d shows the observed points and derived curves for the most
2 susceptible, Flega, and most resistant accession, Patones. Table 2 shows the point of inflexion, and the
3 lower and upper asymptotes (floor and ceiling) for all accessions for RWC and CMS. Regarding to
4 RWC, susceptible accessions such as Flega showed the earlier inflexion point and also the lowest floor
5 together with Alcludia. By contrary Patones and also Gen135 showed inflexion points at longer times
6 and high floor values. All accessions show similar ceiling values of approximately 85% of RWC
7 (Table 2). Regarding CMS, Gen 122, Gen125 and Gen135 showed the earliest inflexion points but not
8 the lowest floor values that were shown by Flega, Alcludia, Gen 100 and Gen 17. Interestingly Patones
9 showed a later inflexion point together with a high floor value (Table 2). CMS values at a limit RWC
10 of 45% calculated from curves showed that Patones had the higher CMS. However other accessions,
11 such as Gen16 and Gen124, considered moderately resistant exhibited high membrane damage at 45%
12 RWC (Table2).

13

14 WUE in terms of dry biomass per litre of water consumed ranged between 1.55 (Gen 16) and
15 2.63 (Mirabel), respectively (Table 3). Data showed differences among accessions with Mirabel and
16 Gen 17 showing significant higher values compared to the other accessions.

17

18 Stomatal conductance

19

20 In most accessions measurements taken two hours after the onset of the light period and the in
21 the middle of the light period tended to be higher than those taken two hours before the end of the
22 light period (Fig. 3a). Water-stressed plants showed a rapid reduction in g_1 with respect to the controls,
23 especially in susceptible accessions such as Flega and Alcludia (at ~ 134 h after withholding water).
24 Interestingly, other accessions considered moderately susceptible and resistant such as Rapidena and
25 Anchuela, respectively also showed this trend (between 110-158 h after withholding water) (Fig. 3a).
26 In order to compare the patterns of g_1 between accessions we calculated the difference between the
27 area under the conductance progress curve (AUCPC) of control and stressed plants. The values
28 depicted in Table 3 shows that Flega, Alcludia (both classed as susceptible), Rapidena (moderately
29 susceptible) and Anchuela (moderately resistant) exhibited a reduction in AUCPC values which were
30 significantly greater than that observed in the most resistant accessions such as Patones ($P < 0.001$).
31 However, other accessions such as Gen76 and Gen100 considered moderately resistant also showed
32 significant differences with Patones ($P < 0.001$) (Fig. 3b). Such observations indicated mechanisms of
33 drought resistance amongst the germplasm collection that were not only associated with stomatal
34 regulation.

35

36 Assessing infrared temperature

37

1 Analysis of infrared (IR) temperatures of control plants indicated significant differences
2 between accessions ($P < 0.001$), sampling times ($P < 0.001$) and interaction between these factors
3 ($P < 0.001$). This indicated that the accessions were at very different physiological statuses even at
4 optimal environmental conditions. For example, accessions such as Flega, Gen76 and Anchuela had
5 the lowest temperature values in most of the sampling times assessed whereas Gen124 had the highest
6 values (data not shown). Interestingly, water stressed plants exhibited a dramatic increase in leaf
7 temperature with time after withholding water when compared to controls ($P < 0.001$) with highly
8 significant differences between accessions ($P < 0.001$; Fig. 4a). The overall mean increase in
9 temperature in water stressed plants was 1.73 °C but was more dramatic at later time points. Thus,
10 whilst between T₆ and T₁₂ the mean increase in temperature of water stressed plants respect to the
11 controls was by 1.27 °C between T₁₂ and T₁₈ this was 3.45 °C. However not all accessions responded
12 in the same manner to the drought stress during the time course as indicated the interaction between
13 these two factors ($P < 0.001$). At T₆ Gen122, Gen135 and Rapidena showed the lowest differences
14 with respect to their controls whilst Gen17 showed the highest increase ($P < 0.001$). At T₁₂, Patones
15 and Rapidena showed the lowest temperature increase but Anchuela, Gen 122, Mirabel, Alcudia and
16 Flega had the highest increases ($P < 0.001$) compared to controls. At T₁₈ Patones showed the lowest
17 temperature increase of any of the accessions (Fig. 4a). Fig. 4b shows the infrared pictures of controls
18 and drought stressed Flega and Patones plants at T₁₅ and indicates the higher increase in temperature
19 with respect to the control observed in the susceptible Flega compared to that in Patones.

21 Lipid peroxidation and lipoxygenase activity

23 All accessions exhibited significantly greater MDA content at both, 9 and 12 daww ($P <$
24 0.001) compared with their controls. However, differences amongst accessions were highly significant
25 ($P < 0.001$) as were those between time points ($P = 0.022$) with lower relative MDA content 9 than 12
26 daww (Fig. 6a). We also observed significant accession x time points ($P = 0.004$) and accession x
27 treatment ($P = 0.005$) interactions. Thus, at 9 daww highly resistant accessions such as Patones,
28 Mirabel, and Gen122 had the lower MDA content whereas the most susceptible or moderately
29 susceptible accessions such as Flega and Rapidena had the highest levels (Fig. 5a). Nevertheless, other
30 accessions such as Alcudia which were considered highly susceptible did not significant differ in
31 MDA content when compared with resistant Patones or Mirabel. In the same way resistant accessions
32 such as Gen16 and Gen17 had similar concentrations to that found in the susceptible Flega.

34 Analysis of lipoxygenase (LOX) activity in non-stressed plants showed no significant
35 differences among accessions. However, we observed a significant increase in LOX activity in water
36 stressed plants respect to their controls, which differed amongst accessions ($P < 0.001$) and time
37 points ($P < 0.001$) which at 9 daww was lower than that at 12 daww (Fig. 5b). The lack of interaction

1 accession x time point indicated that all accessions increase LOX activity with time after withholding
2 water in a similar manner. We observed very high levels of LOX activity in the highly susceptible and
3 moderately susceptible accessions Flega, Alcudia and Rapidena, but also in Anchuela considered
4 moderately resistant (Fig. 5b). The LOX activity of the other accessions did not significantly differed
5 to that from Patones except Gen125 at 12 daww. There was an overall correlation between LOX
6 activity and MDA content ($r=0.856$; $P < 0.001$) but not at the level of all individual genotypes. Thus,
7 we found a significant correlation between LOX and lipid peroxidation in Alcudia ($r=0.874$; $P =$
8 0.018), Anchuela ($r=0.847$; $P < 0.001$), Flega ($r=0.904$; $P = 0.021$), Gen122 ($r=0.850$; $P = 0.002$),
9 Gen125 ($r=0.864$; $P = 0.03$) and Gen17 ($r=0.834$; $P < 0.001$) but not in the rest of accessions.

10 11 Leaf chlorophyll content

12
13 Analysis of SPAD chlorophyll meter reading (SCMR) showed significant differences between
14 accessions ($P < 0.001$), treatment ($P < 0.001$) and time points ($P < 0.001$) and interaction between all
15 factors ($P < 0.001$). This indicated that accessions respond very differently to water stress along the
16 course of the drought experiment. Thus, Patones, Mirabel, Gen135 and Gen122 had a very low
17 decrease in chlorophyll following the imposition of water stress compared to their controls, whilst
18 accessions such as Flega, Rapidena, Alcudia, Gen17 and Gen124, showed a rapid decrease ($P < 0.001$)
19 (Fig. 6). The highest overall differences between accessions respect to SCMR were observed from 15
20 days after withholding water. However, significant genotypic differences were observed at each time
21 point after withholding water (P between 0.01 and 0.001). Interestingly when we assessed the SCMR
22 in controls plants we also observed differences between accessions ($P < 0.001$), time points ($P <$
23 0.001) and interaction between these factors. Differences among control plants were observed at all
24 timepoints, with Mirabel and Patones showing the highest SCMR in 6, 9, 12 and 15 days and Flega,
25 Gen122 and Gen125 showing the lowest values in most time points assessed.

26 27 Antioxidant activity

28
29 Antioxidant activity significantly increased in leaves of water stressed plants compared to their
30 controls ($P < 0.001$) with overall means of 49.7 and 75.5 $\mu\text{mol g}^{-1}$ fresh weight for control and
31 drought treated plants, respectively. However, overall there were no significant differences amongst
32 accessions, time points or interactions between these factors. Only when analyzed separately, were
33 significant increases detected in Gen124 at 12 daww ($P = 0.04$) with respect the other accessions
34 except Gen 16, Gen122 and Gen125 (Fig. 7).

35
36 Selecting key physiological traits linked to drought resistance using multivariate statistical approaches.

37

1 Following the assessment of the above mentioned physiological traits during drought stress, a
2 multivariate analysis was performed in order to determine those traits that better discriminate between
3 susceptible and resistant accessions. In total 28 variables were studied derived from the data already
4 presented. Unsupervised Principal Component Analysis (PCA) the whole dataset including all
5 variables could not separate between accessions; hence, supervised PC-Discriminant Function
6 Analysis (PC-DFA) was performed on 19 PCs (explaining 99% of the total variation) but this still
7 failed to discriminate between accessions. To simplify the analyses, those variables from most extreme
8 sampling times were removed; i.e. 6 daww (since at this time water stress was very low and most
9 accessions had not registered any physiological change) and 18 daww (where most accessions
10 exhibited the most extreme damage). PC-DFA plots (Fig. 8a) showed that it was now possible to
11 distinguish between the highly resistant, the resistant and the susceptible accessions. PC-DFA
12 indicated that leaf temperature at 12 and 15 daww, (based on PC loading vectors) following by
13 AUDPC, and RWC at day 15 were the individual parameters that contributed most to the derived
14 projections (Online Resource 3A). In addition, hierarchical cluster analysis (HCA) based on the PCs
15 separated the most susceptible accessions, Flega and Alcludia and also the most resistant accession;
16 Patones, from other resistant and moderately resistant accessions (Fig. 8b). The model did not
17 discriminate between the considered moderately susceptible accession, Rapidenia, and the moderately
18 resistant accessions (Fig. 8a, b).

19
20 PCAs and DFAs projections of the trait data showed a very different trend depending on the
21 sampling time assessed (Fig. 9). When analyzed those parameters recorded at 6 and 9 daww none of
22 the PC-DF axes explained differences among any of the accessions (Fig. 9). By 12 daww it was
23 possible to discriminate between the most susceptible accessions, Flega and Alcludia, from other
24 accessions with examination of the PC loading vectors indicating that infrared temperature was the
25 parameter that most explained this variation following by AUCPC and LOX (Online Resource3B).
26 Analysis of parameters recorded at 15 daww allowed the discrimination between the most resistant,
27 Patones, and the remaining accessions with again infrared temperature and also AUCPC as the main
28 loading vectors explaining this difference (Fig. 9), (Online Resource 3c). A similar trend could be
29 observed when traits taken at 18 daww were analyzed, with infrared temperature and SCMR
30 measurements as main parameters explaining the model (Online Resource 3d).

31
32 Since the parameters that most contributed to the differences among accessions in the general
33 model (Fig. 8a), were leaf temperature at 12 and 15 daww, AUDPC and RWC at T₁₅ these were also
34 analysed together and separately from the others variables (Fig. 10). In these analyses PCA results
35 differed greatly from the general model (Fig. 8) and showed good discrimination among accessions. In
36 addition, when focussed only on these parameters, DFA analysis could also discriminate between the
37 highly resistant, the moderately resistant and the highly susceptible accessions (Fig. 10).

1
2 Since from the breeding point of view it would be highly desirable selection of resistant
3 accessions in the absence of the stress, those parameters from which genotypic differences were found
4 among control plants were also analysed. However, in this analysis, neither PCA nor DFA allowed
5 discrimination among accessions (Fig. 11). We also compared several of the accessions in order to
6 determine other key traits discriminating between them (Online Resource 4, 5). PCA and DFA models
7 clearly discriminated between the most susceptible, Flega, and most resistant, Patones, accession when
8 analyzed separately from the rest (Online Resource 4). SCMR9, AUCPC, LOX12, RWC12, IR12,
9 IR15, SCMR15 and LP12 were the parameters that most contributed to the discrimination between
10 these two accessions. When comparing only the moderately or resistant accessions three different
11 clusters were observed, the first one including Gen135, Gen122, Gen124, Gen125, Gen100 and
12 Gen17, the second one with Gen16, Gen 76 and Rapidena, and the third one with Mirabel, and
13 Anchuela (Online Resource 4). The significant parameters explaining this grouping were leaf
14 temperature at 12, 15 and 18 daww, SCMR at 18 daww and LOX at 9 daww.
15

16 **Discussion**

17
18 Drought is currently one of the main constrains preventing crops plants from expressing their
19 full genetic potential. The identification of sources of drought tolerance in germplasm which is
20 sexually compatible with elite crops is crucial to secure productivity. Thus, we sought to find novel
21 sources of tolerance to drought in an oat germplasm collection consisting of 174 accessions. Following
22 a visual assessment of the oat collection during a time course of withholding water, 11 accessions of
23 *A. sativa* and *A. byzantina* including landraces and commercial cultivars were identified as being either
24 highly resistant, resistant or moderately resistant to drought. *Avena sativa* and *A. byzantina*, sometimes
25 known, respectively as the white and red oats, are the main cultivated oats. They are self-pollinating
26 hexaploids and sexually compatible with hybridizing techniques (Stevens et al. 2004) so that our
27 identified germplasm could be readily introduced into breeding programmes. Crucially, field
28 assessment of yield under water prone conditions correlated with the drought tolerance observed under
29 controlled conditions thereby indicating the usefulness of the assay in young plants. Small differences
30 between field and controlled conditions might be due to other drought resistance mechanisms
31 expressed in field and/or older plants such as specific avoidance mechanisms (root architecture, date
32 of flowering etc.) which were not assessed in this work. In addition experimental factors such as pot
33 size for controlled experiments and/or row spacing for field experiments could influence the observed
34 differences.
35

1 Further, we characterize the selected accessions assessing several physiological drought
2 tolerance mechanisms and used a multivariate approach in order to determine the key
3 features/responses explaining the drought tolerance and susceptibility in oat. This is of high practical
4 importance for breeding since the lack of effective selection criteria is considered to be a major
5 impediment to breeding for drought-prone environments (Araus et al. 2002; Ouk et al. 2006;
6 Venuprasad et al. 2007). Many drought associated markers have been extensively studied by many
7 authors. However, many are based solely on correlation – i.e. they simply occur at the same time as
8 drought stress. However, the causal relationship to drought stress tolerance is often not fully assessed.
9 In line with this, we observed that several of the traits usually associated with drought resistance were
10 present in many, but not in all, of the resistant accessions. Indeed, even susceptible accessions
11 possessed some features associated with drought resistance. Such observations, ably demonstrated
12 how difficult it has been to best discriminate drought tolerance within a group of oat accessions.

13
14 Among previously reported drought-linked physiological features, we assessed several
15 associated to water relations such as RWC, g_1 , leaf temperature and WUE. RWC is an appropriate
16 measure of plant water status in terms of the physiological consequence of cellular water deficit. Other
17 parameters such as water potential is useful in dealing with water transport in the soil-plant-
18 atmosphere continuum, but does not account for OA which is a powerful mechanism of conserving
19 cellular hydration (Islam et al. 2011). In contrast, RWC takes into account the possible effect of both
20 leaf water potential and OA (Blum 1999; Islam et al. 2011). Predictably, RWC declined in all
21 accessions after water was withheld but the rates of RWC reduction differed among accessions.
22 Susceptible accessions rapidly reduced their RWC but some of the moderately resistant accessions
23 were not very different in their RWC loss rates. In these latter accessions specific mechanisms such as
24 the preservation of cell membrane integrity might allow the maintenance of metabolic activity even at
25 low RWC. Indeed, we observed that most of these latter accessions had high values of CMS at low
26 (45%) RWC. Cell membrane is one of the main cellular targets to different stresses (Levitt 1980) and
27 maintenance of membrane stability during drought is important for normal physiological metabolism
28 to continue under low water potential (Tripathy et al. 2000). However, phenotype selection only for
29 CMS may not always be accurate for breeding purposes because of its complex nature and its strong
30 interaction with the environment (Tripathy et al. 2000). Ideally, it is important to evaluate this trait
31 under controlled environment and equal RWC for accurate comparisons as demonstrated in this study
32 and these are not readily transferred to a field situation.

33
34 In addition to RWC, g_1 is an important component contributing water relations during drought
35 stress. Stomata close progressively as drought progresses, followed by parallel decreases of net
36 photosynthesis. Indeed, stomatal closure has been suggested as the main determinant for decreased
37 photosynthesis under mild to moderate drought (Cornic and Massacci 1996; Medrano et al. 2002),

1 although some authors disagree (Tezara et al. 1999). We observed a reduction in g_s in all assessed
2 accessions during the water stress experiment although differences in stomatal conductance of stressed
3 and controls plants differed greatly among accessions. As previously reported (Medrano et al. 2002),
4 stomata of most accessions closed in response to drought before any change in leaf water content was
5 detectable. This is attributed to the abscisic acid (ABA) root-to-leaf signalling promoted as the soil
6 dries. Thus, g_s is responsive to several external (soil water availability, vapour pressure deficit) and
7 internal (ABA, leaf water status) factors related to drought and can be considered as an integrative
8 parameter reflecting photosynthetic response during water stress (Medrano et al. 2002). Accordingly,
9 accessions such as Alcudia, Flega, Anchuela and Rapidena with the highest decreases in stomatal
10 conductance compared to their controls during the water stress (AUCPC values) would be
11 photosynthetically more affected than Patones, Gen16, Gen17 Gen122, Gen124 and Gen135. Stomatal
12 movements are very dynamic due to complex regulation stated above. For this reason three
13 measurements during the light time course were performed. This was preferred to solely midday g_s
14 readings because, as drought becomes progressively intense, the daily peak conductance is displaced
15 (Flexas et al. 2000) and only one measurement might not display accurate g_s curves.

16

17 When water evaporates from the surface of the leaf, it becomes cooler due to stomatal
18 conductance (beside vapour pressure deficit). Thus, leaf temperature and temperature depression
19 compared to ambient air temperature is a good indicator of a genotypes' physiological fitness (Araus
20 et al. 2002). Since measurements performed in this study were achieved under controlled
21 environmental conditions, differences in leaf temperature among accessions could be easily compared
22 in stressed and non-stressed plants. Most moderately resistant accessions had very small increases in
23 leaf temperature 12 daww suggesting good physiological homeostasis since cooling improves the
24 photosynthetic activity and prevents premature senescence. Clearly, such homeostatic mechanisms are
25 compromised in most accessions by 18 daww.

26

27 Regarding WUE, although it is one of the most studied parameter related to drought
28 resistance, there is a constant debate of 'putative' drought resistance mechanisms, 'water-use
29 efficiency', and their interrelationship and associations with yield potential. WUE for yield is often
30 equated in a simplistic manner with drought resistance. However, several authors (i.e Condon et al.
31 2002; Blum 2005) suggested that selection for higher WUE assuming that it equated with improved
32 drought resistance or improved yield under stress may in fact lead to the selection of negatively acting
33 factors. Thus, genotypic variations in WUE are mainly driven by variations in water use rather than by
34 variations in plant production or assimilation per given amount of water use. In line with the
35 suggestions of such as Blum (2005) our data did not show a clear correlation of WUE with drought
36 tolerance suggesting that WUE did not highly contribute to the observed genotypic variation to
37 drought.

1
2 The above reported mechanisms directed to cell/tissue water conservation are tightly linked to
3 physiological traits associated with oxidative damage and/or activation of antioxidant mechanisms.
4 Under drought, stomata close and this limits CO₂ fixation in the chloroplast so that electron flow in the
5 light reactions exceeds that required for CO₂ assimilation. This leads to the over-reduction of
6 photosynthetic components and the resulting production of reactive oxygen species (ROS), such as
7 superoxide (O₂⁻), hydrogen peroxide (H₂O₂), or the hydroxyl radical (OH[•]) (Cruz et al. 2004). These
8 ROS, if not quenched by antioxidant machinery, can seriously disrupt metabolism through membrane
9 lipid peroxidation, chlorophyll loss and protein carbonylation (Basu et al. 2010). During the lipid
10 peroxidation, protons are abstracted from for example, phospholipids to initiate a lipid radical (L[•])–
11 lipid hydroperoxide (LOOH) chain reaction (LH + [•]OH → L[•] + H₂O; L[•] + O₂ → LOO[•]; LOO[•] + LH →
12 LOOH + L[•] etc. in a propagative cycle). The peroxidation of non-saturated groups within acyl chains
13 [also known as polyunsaturated fatty acids (PUFA)] in a membrane would severely disrupt its
14 integrity. Our results shows an increase in the PUFA breakdown product MDA content, in all
15 accessions with time after withholding water with higher increases in susceptible accessions.
16 However, several of the moderately resistant accessions had similar MDA content to the susceptible
17 ones. Further, in several of the accessions we found a correlation between the MDA content and the
18 lipoxygenase activity. This could indicate that in these accessions most of the peroxidation of the
19 membrane polyunsaturated fatty acids was mediated by this enzymatic reaction rather than free radical
20 chemistry. Furthermore, in several of the accessions with high MDA content we also observed
21 considerable chlorophyll-loss in stressed compared to control plants as indicated by SCMR values
22 (Yadava 1986) which can also suggests transpiration efficiency (Nageswara-Rao et al. 2001). Our
23 results showed that several of the resistant accessions maintained chlorophyll levels upon drought
24 stress indicating the maintenance of photosynthetic related activities. Interestingly, we also observed
25 significant differences among controls in all time points. Control plants of accessions showing low
26 SCMR in most time points assessed might indicate an early senescence of the leaves, than in the case
27 of i.e. Flega would add to the chlorophyll loss due to drought stress. Oxidative damage in the plant
28 tissue above reported may be alleviated by a concerted action of both enzymatic and non-enzymatic
29 antioxidant mechanisms. These mechanisms include scavenge of radicals by β-carotenoids, α-
30 tocopherol, ascorbate, glutathione, anthocyanins, flavonoids, carotenoids (Mittler and Blumwald
31 2010), and enzymes including superoxide dismutase, catalase, glutathione reductase, and ascorbate
32 peroxidase (Halliwell 1987; Asada 1992). The total non-enzymatic antioxidant activity estimated by
33 the FRAP assay revealed no differences amongst accessions in the present study either at 9 or at 12
34 daww. This does not rule out the possibility of significant differences between accessions in specific
35 non-enzymatic antioxidants or antioxidant enzymes which should be studied in detail. However,
36 antioxidant activity was not further assessed in the present study since it is more difficult to test in a
37 breeding population in a realistic manner.

1
2 Considered individually, it is difficult to determine which of the above stated drought linked
3 physiological features is the crucial one/s responsible for the tolerance phenotype in oat. However, by
4 screening large germplasm pools and following PC-DFA models based on all these variables assessed
5 together, we identified specific water use related features such as leaf temperature and RWC as the
6 main traits indicative of drought tolerance in oat. Other physiological processes involved in cell/tissue
7 water maintenance including g_1 and those reflecting oxidative damage and antioxidant defence albeit
8 linked with the resistance responses might be considered as weakly correlated events not suitable for
9 discriminating among oat accessions.

10
11 Interestingly not all measurement of leaf temperature and RWC discriminated among
12 accessions but only the intermediates taken at time 12 and 15 daww together with the AUDPC
13 assessed during the whole time course of water stress. With selected variables, both the unsupervised
14 PCA and the DFA analyses were useful in discriminating between the most susceptible, the moderate
15 and most resistant accessions indicating its appropriateness for breeding selection. Furthermore, the
16 very different nature of the plant material assessed (landraces, and commercial cultivars from *A. sativa*
17 and *A. byzantina* species) point to the strength of this approach as it was used in populations with very
18 different genetic backgrounds. The study also show that the physiological status of the control plants is
19 not enough to discriminate among accessions and parameters for selection need to be also measured
20 under the drought stress in order to perform an accurate selection.

21
22 Overall, this work allowed for the first time the ranking of many supposed drought resistance
23 traits in order of degree of importance within oat, highlighting those with a causal relationship to
24 drought stress tolerance and not only correlated with it in determinate accessions. Our approach was to
25 encompass as much oat biodiversity as possible but screened under controlled conditions. Screening
26 under natural stress conditions is difficult because of the irregular and erratic drought response
27 (Venuprasad et al. 2007) whereas controlled conditions allowed the inexpensive and robust screening
28 of large populations with optimized protocols for selection of plants carrying specific physiological
29 mechanisms that can be coupled later with yield assessments in the field for selected accessions. As
30 such, this initial stage can be readily adopted by crop breeders. By combining information on the basis
31 of yield limitation under contrasting environments with the new physiological/ biochemical /molecular
32 selection tools, the probability of accelerating the rate of genetic progress through plant breeding will
33 be significantly increased (Araus et al. 2002). This study shows the potential of multivariate analysis
34 as robust approach to target key mechanisms responsible for drought tolerance in oat. In addition
35 multivariate analysis can help breeders by speeding genotype selection from large breeding
36 populations otherwise difficult and expensive to test.

37

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7
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- 21
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1 **Supplementary material**

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3 Additional Supplementary material may be found in the online version of this article:

4

5 Online Resource 1 Visual assessment of drought symptoms during a 19 days time course of drought.

6 Online Resource 2. Yield of selected accessions in prone water conditions.

7 Online Resource 3 PC-DFA loading vectors contributing to the derived projections that discriminated
8 between accessions

9 Online Resource 4 Scatterplot of Principal Component Analysis (PCA) and Discriminant Function
10 Analysis (PCA) scores of components 1 and 2 of the most susceptible (Flega) and Most resistant
11 (Patones) accessions based on all variables assessed

12 Online Resource 5 Scatterplot of Principal Component Analysis (PCA) and Discriminant Function
13 Analysis (PCA) scores of components 1 and 2 of the moderately resistant accessions based on all
14 variables assessed.

15

1 **Figure Legends**

2

3 **Fig.1** Visual assessment of drought symptoms during a 19 days time course of drought. **a**
4 Classification of *A. sativa* (■) and *A. byzantina* (□) wild accessions and in commercial oat
5 cultivars (□) plants according to the Area Under the Drought Progression Curve (AUDPC) based on
6 a 5-0 visual scale of drought damages where 0= completely healthy plant and 5= completely wilted
7 plant. According to this, accessions were classified as highly susceptible, moderate susceptible,
8 moderate resistant, resistant and highly resistant. **b** AUDPC curves of the most susceptible, Flega
9 (solid circles), and most resistant, Patones (open circles), accession. Data are based on five plants per
10 accession distributed in randomized blocks + standard error

11

12 **Fig. 2** Relative Water Content and Cell Membrane Stability assessment. **a,b** RWC and CMS of the
13 selected oat accessions along a time course of drought. Data are mean of ten replicates per accession
14 and treatment. L.S.D bar ($p < 0.05$) is represented for accession comparison in any of the times points.
15 Alcudia (◇); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16
16 (□); Gen17 (▲); Gen76 (◆); Gen100 (◉); Gen122 (◇); Gen124 (□); Gen125 (△); Gen135
17 (●). **b,c** Original (solid line) and model derived (dotted line) of RWC and CMS curves of the most
18 susceptible, Flega, and most resistant, Patones, accessions. Equation of the fitted curve and the
19 correlation coefficient are also depicted in the figure

20

21 **Fig. 3** Stomatal conductance ($\text{mmol m}^{-2} \text{s}^{-1}$) of the selected oat accessions along a time course of
22 drought. Three measurement were taken during 10 days: two hours after beginning of light period, in
23 the middle, or two hours before the end of light period. Data are based on ten replicates per accession
24 and treatment. Control are represented by open circles and drought treatment by solid triangles

25

26 **Fig. 4** Infrared Temperature assessment. **a** Increase in Infrared Temperature (IRT) in °C, in the
27 selected oat accessions at day 6 (white bars) and day 12 (grey bars) and day 18 (black bars) after
28 withholding water. Data are based on five plants per accession and treatment and four IR readings,
29 each in one different leaf, per plant. **b** Infrared temperature images of the most susceptible, Flega, and
30 most resistant, Patones, accession, 12 days after withholding water

31

32 **Fig. 5** Lipid peroxidation of the selected oat accessions at day 9 (open bars) and day 12 (solid bars)
33 after withholding water. **a** Relative content of malondialdehyde in second leaves respect to its
34 corresponding controls. Data are based on five replicates per accession and treatment \pm standard error.
35 **b** Relative lipoxygenase activity in the second leaf respect to its corresponding controls. Data are
36 based on five replicates per accession and treatment \pm standard error.

37

1 **Fig. 6** Decrease of the SPAD Chlorophyll Meter Readings (SCMR) respect to the control in the
2 selected oat accessions. SCMR were assessed in the second leaf along a time course of drought. Data
3 are based on five replicates per accession and treatment and three SCMR per leaf. L.S.D bar ($p < 0.05$)
4 is represented for accession comparison in any of the times points. Alcuia (◇); Anchuela (■);
5 Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (■); Gen17 (▲); Gen76 (◆);
6 Gen100 (○); Gen122 (◇); Gen124 (□); Gen125 (▲); Gen135 (●).

7

8 **Fig. 7** Test FRAP of antioxidant activity in the second leaf of the selected oat accessions at day 9
9 (open bars) and day 12 (solid bars) after withholding water. Activity is expressed in percent respect to
10 its corresponding controls. Data are based on five replicates per accession and treatment \pm standard
11 error

12

13 **Fig. 8** Multivariate analysis of selected oat accessions according to the different parameters assessed. **a**
14 Scatterplot of Discriminant Function Analysis scores of components 1 and 2 based on the different
15 parameters assessed (AUDPC, RWC, CMS, Stomatal Conductance, MDA, LOX, Antioxidants,
16 SCMR, and IRT) at different time points after withholding water. Alcuia (▽); Anchuela (■);
17 Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (■); Gen17 (▲); Gen76 (▼);
18 Gen100 (○); Gen122 (▽); Gen124 (□); Gen125 (▲); Gen135 (●). **b** Hierarchical Cluster
19 Analysis of the selected oat accessions according to the model represented in a

20

21 **Fig. 9** Multivariate analysis of selected oat accessions according to the different parameters assessed
22 at different sampling times. Alcuia (▽); Anchuela (■); Flega (●); Mirabel (▲); Patones (○);
23 Rapidena (△); Gen16 (■); Gen17 (▲); Gen76 (▼); Gen100 (○); Gen122 (▽); Gen124 (□);
24 Gen125 (▲); Gen135 (●)

25

26 **Fig. 10** Scatterplot of Principal Component Analysis (PCA) and Discriminant Function Analysis
27 (PCA) scores of components 1 and 2 according to significant parameters obtained from the general
28 model (AUDPC, RWC15, IRT12 and IRT15). Alcuia (▽); Anchuela (■); Flega (●); Mirabel
29 (▲); Patones (○); Rapidena (△); Gen16 (■); Gen17 (▲); Gen76 (▼); Gen100 (○);
30 Gen122 (▽); Gen124 (□); Gen125 (▲); Gen135 (●)

31

32 **Fig. 11** Scatterplot of Principal Component Analysis (PCA) and Discriminant Function Analysis
33 (PCA) scores of components 1 and 2 according to parameters obtained from control plants. Alcuia
34 (▽); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (■); Gen17
35 (▲); Gen76 (▼); Gen100 (○); Gen122 (▽); Gen124 (□); Gen125 (▲); Gen135 (●)

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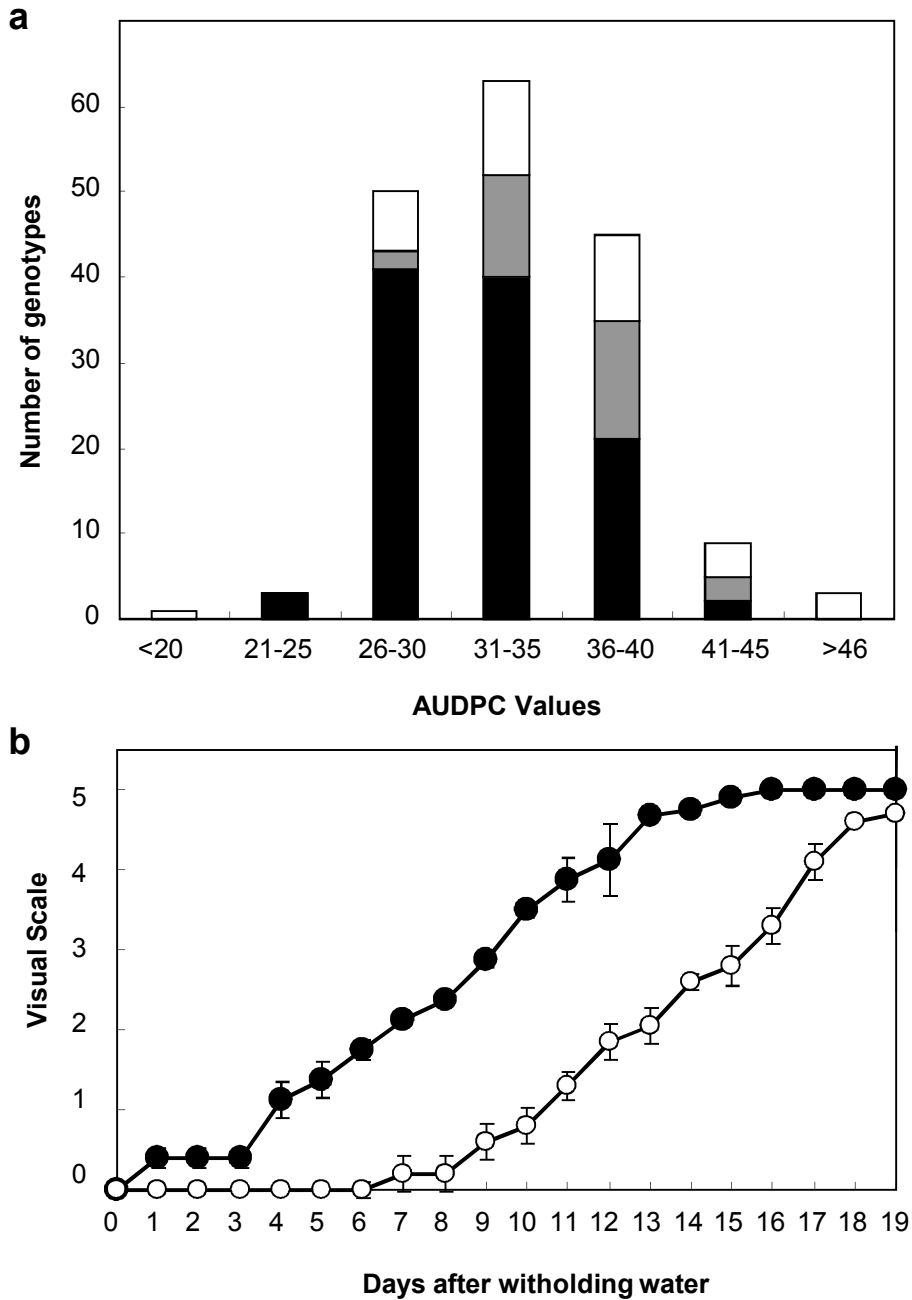


Figure 1. Visual assessment of drought symptoms during a 19 days time course of drought **A.** Classification of *A. sativa* (■) and *A. byzantina* (□) wild accessions and in commercial oat varieties (□) plants according to the Area Under the Drought Progression Curve (AUDPC) based on a 5-0 visual scale of drought damages where 0= completely healthy plant and 5= completely wilted plant. According to this, genotypes were classified as highly susceptible, moderate susceptible, moderate resistant, resistant and highly resistant. **B.** AUDPC curves of the most susceptible, Flega (solid circles), and most resistant, Patones (open circles), genotype. Data are based on five plants per genotype distributed in randomized blocks + standard error.

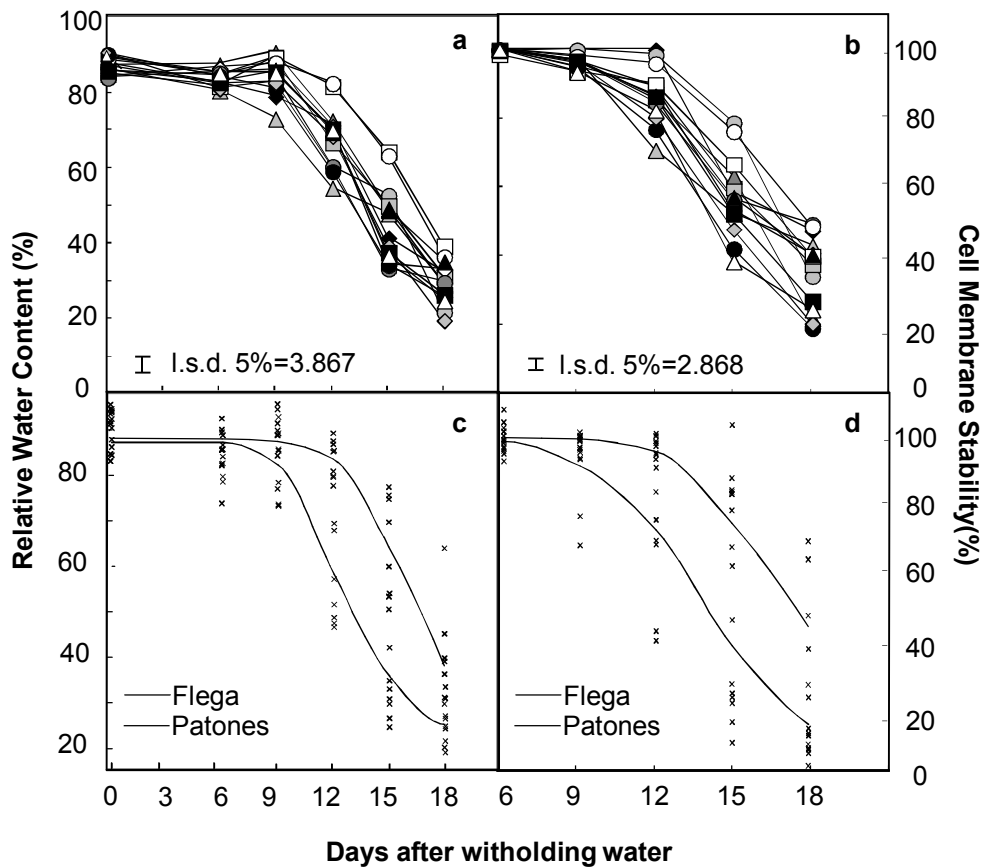


Figure 2. Relative Water Content and Cell Membrane Stability assessment. **A.** RWC and CMS of the selected oat genotypes along a time course of drought. Data are mean of ten replicates per genotype and treatment. L.S.D bar ($p < 0.05$) is represented for genotype comparison in any of the times points. Alcudia (\diamond); Anchuella (\blacksquare); Flega (\bullet); Mirabel (\blacktriangle); Patones (\circ); Rapidena (\triangle); Gen16 (\square); Gen17 (\triangle); Gen76 (\blacklozenge); Gen100 (\circ); Gen122 (\diamond); Gen124 (\square); Gen125 (\triangle); Gen135 (\bullet). **B.** Original (solid line) and model derived (dotted line) of RWC and CMS curves of the most susceptible, Flega, and most resistant, Patones, genotypes. Equation of the fitted curve and the correlation coefficient are also depicted in the figure.

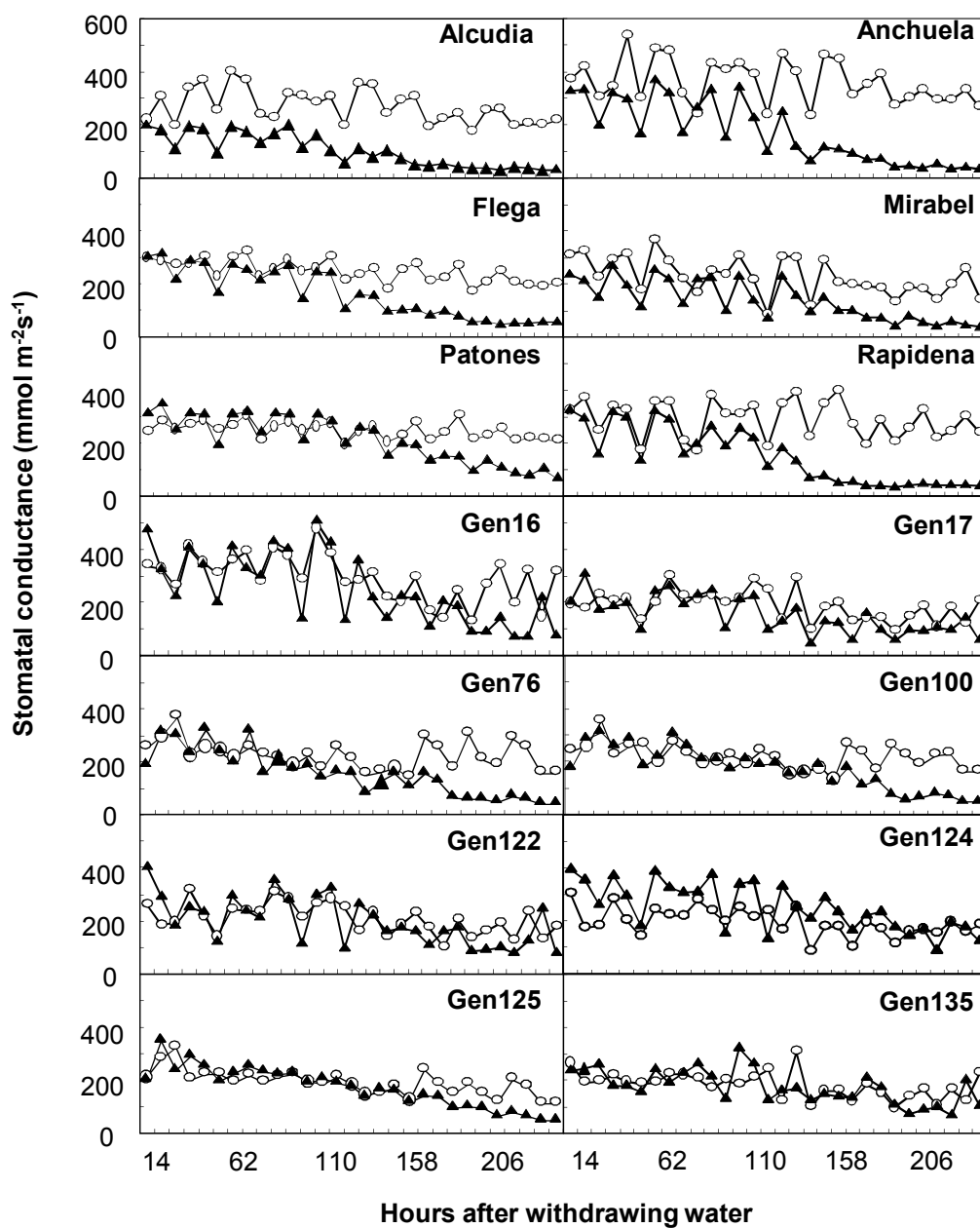


Figure 3. Stomatal conductance ($\text{mmol m}^{-2}\text{s}^{-1}$) of the selected oat genotypes along a time course of drought. Three measurements were taken during 10 days: two hours after beginning of light period, in the middle, or two hours before the end of light period. Data are based on ten replicates per genotype and treatment. Control are represented by open circles and drought treatment by solid triangles.

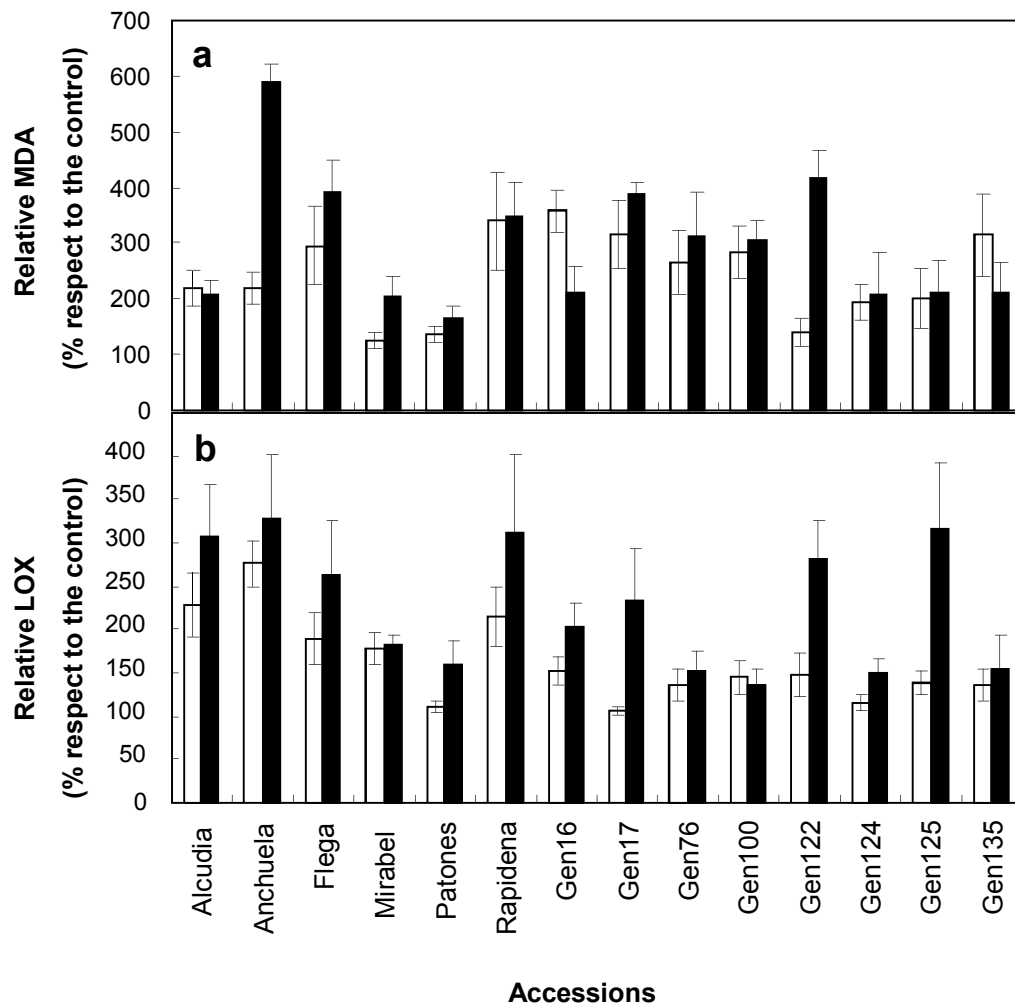


Figure 4. Lipid peroxidation of the selected oat genotypes at day 9 (open bars) and day 12 (solid bars) after withholding water. **A.** Relative content of malondialdehyde in second leaves respect to its corresponding controls. Data are based on five replicates per genotype and treatment \pm standard error. **B.** Relative lipoxigenase activity in the second leaf respect to its corresponding controls. Data are based on five replicates per genotype and treatment \pm standard error.

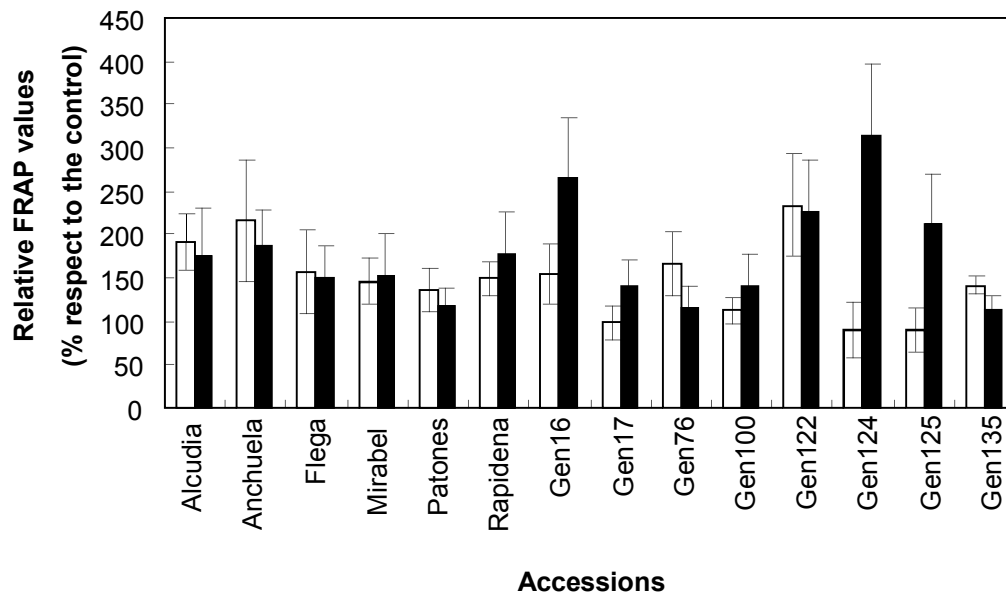


Figure 5. Test FRAP of antioxidant activity in the second leaf of the selected oat genotypes at day 9 (open bars) and day 12 (solid bars) after withholding water. Activity is expressed in percent respect to its corresponding controls. Data are based on five replicates per genotype and treatment \pm standard error.

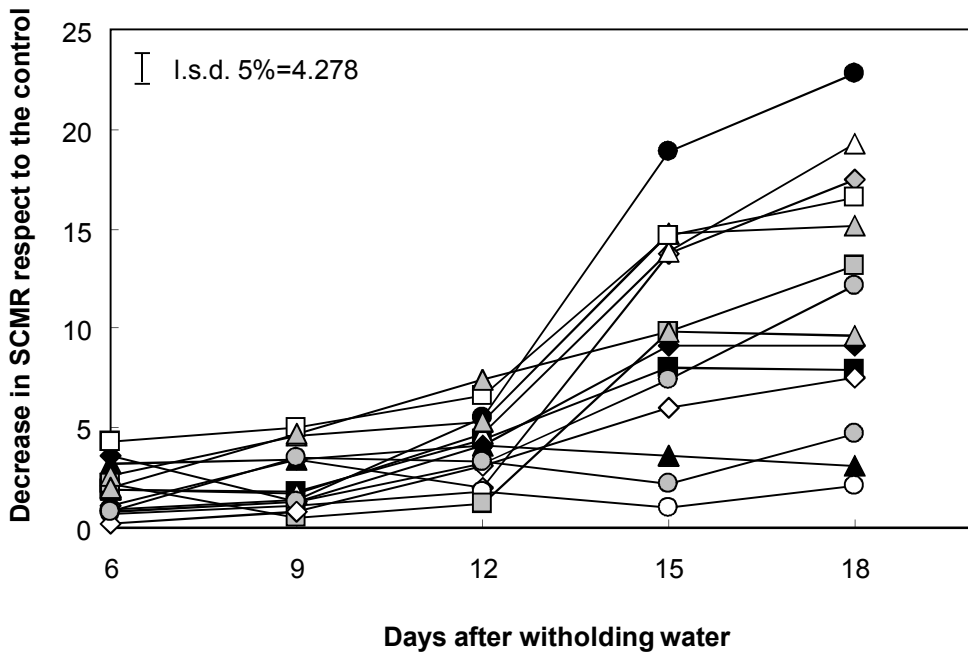


Figure 6. Decrease of the Spad Chlorophyll Meter Readings (SCMR) respect to the control in the selected oat genotypes. SCMR were assessed in the second leaf along a time course of drought. Data are based on four replicates per genotype and treatment and three SCMR per leaf. L.S.D bar ($p < 0.05$) is represented for genotype comparison in any of the times points. Alcudia (◇); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (□); Gen17 (▲); Gen76 (◆); Gen100 (○); Gen122 (◇); Gen124 (□); Gen125 (△); Gen135 (●).

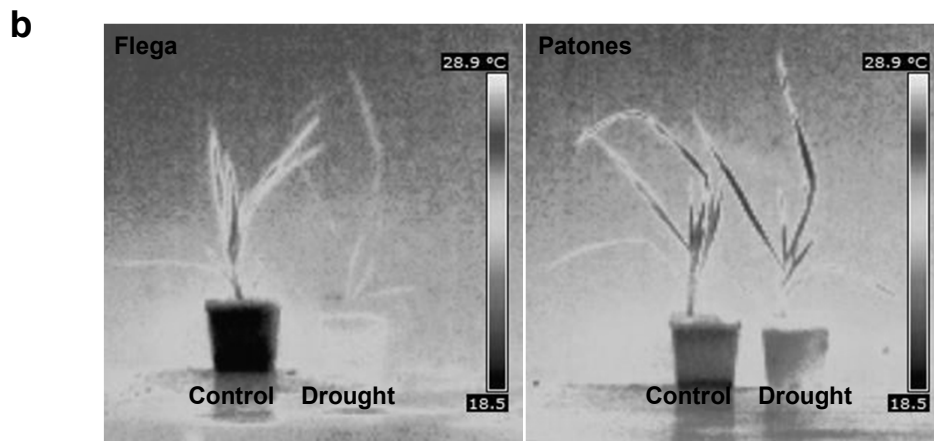
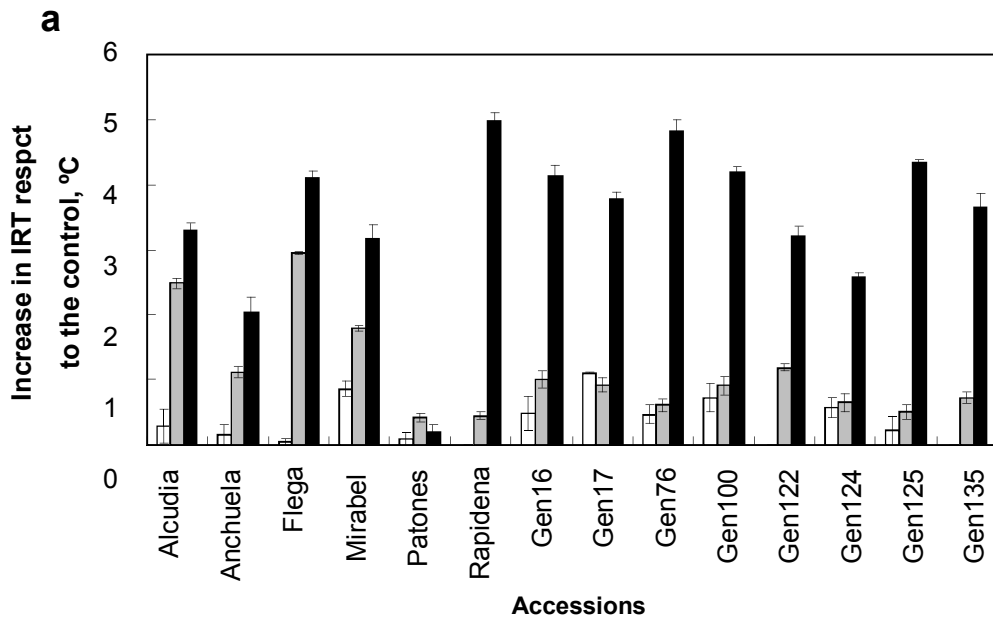


Figure 7. Infrared Temperature assessment. **A.** Increase in Infrared Temperature (IRT) in °C, in the selected oat genotypes at day 6 (white bars) and day 12 (grey bars) and day 18 (black bars) after withholding water. Data are based on four plants per genotype and treatment and four IR readings, each in one different leaf, per plant. **B.** Infrared temperature images of the most susceptible, Flega, and most resistant, Patones, genotype, 12 days after withholding water.

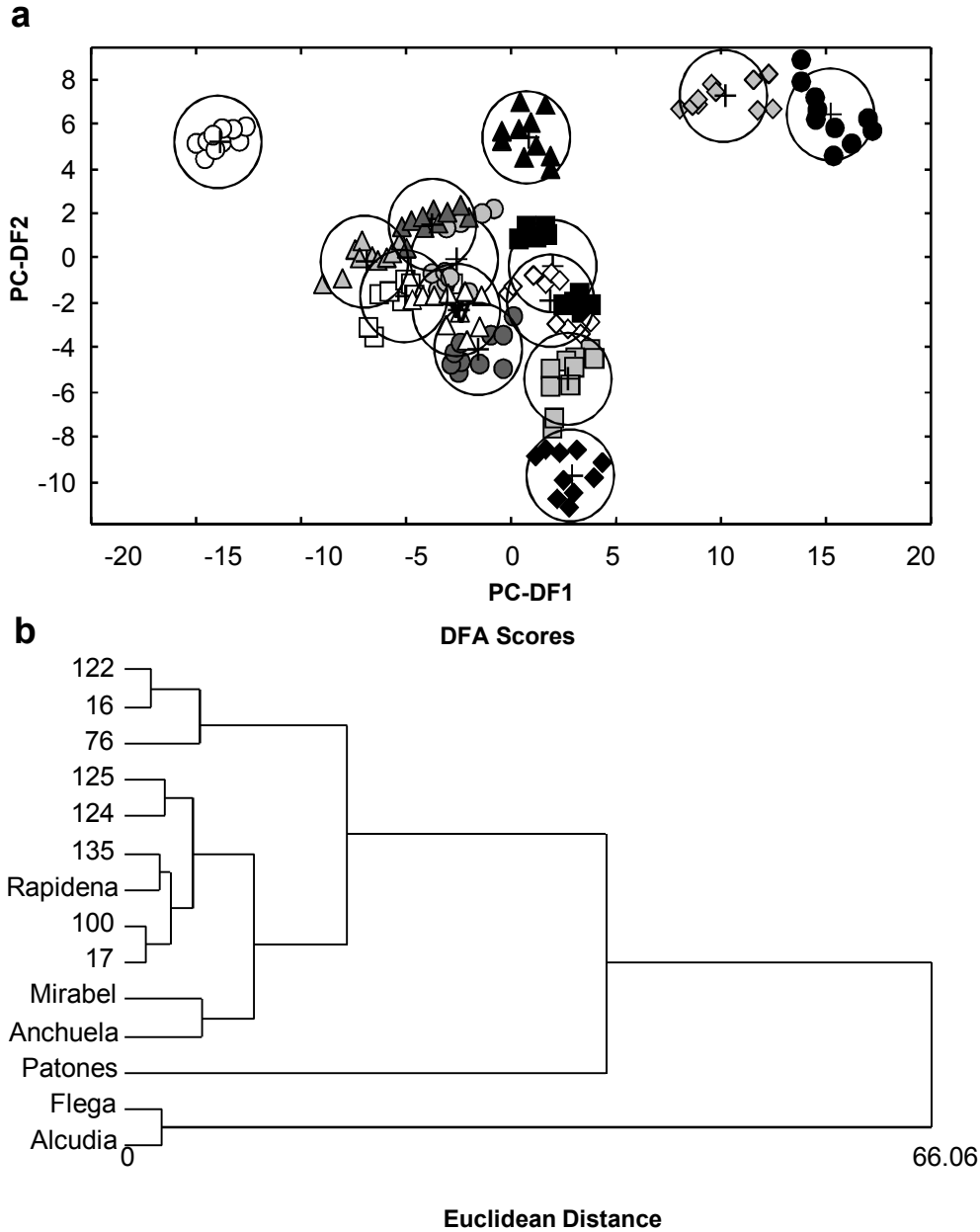


Figure 8. Multivariate analysis of selected oat genotypes according to the different parameters assessed **A.** Scatterplot of Discriminant Function Analysis scores of components 1 and 2 based on the different parameters assessed (AUDPC, RWC, CMS, Stomatal Conductance, MDA, LOX, Antioxidants, SCMR, and IRT) at different time points after withholding water. Alcudia (∇); Anchuela (\blacksquare); Flega (\bullet); Mirabel (\blacktriangle); Patones (\circ); Rapidena (\triangle); Gen16 (\square); Gen17 (\blacktriangle); Gen76 (\blacktriangledown); Gen100 (\odot); Gen122 (∇); Gen124 (\square); Gen125 (\triangle); Gen135 (\bullet). **B.** Hierarchical Cluster Analysis of the selected oat genotypes according to the model represented in A.

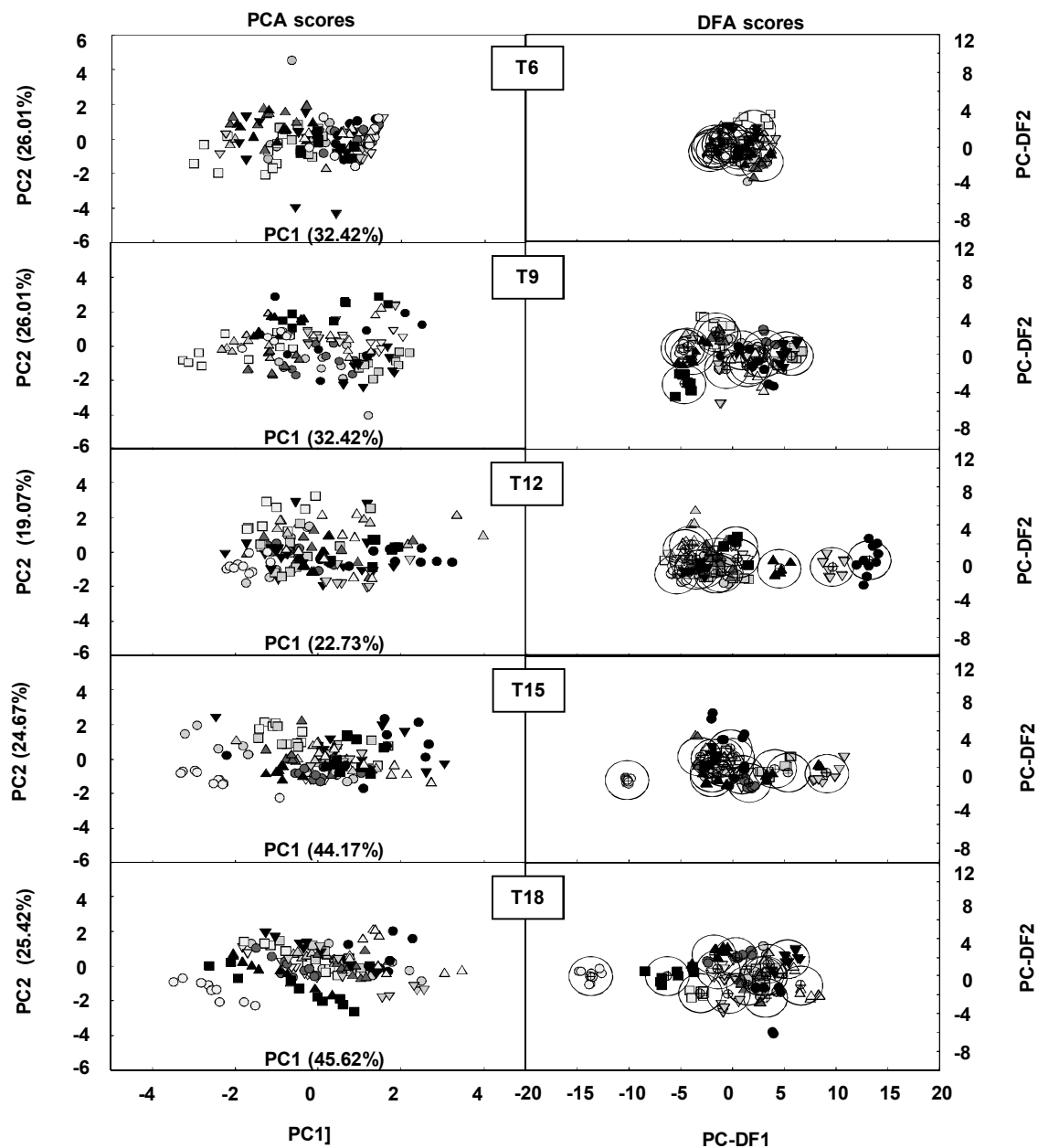


Fig. 9 Multivariate analysis of selected oat accessions according to the different parameters assessed at different sampling times. Alcudia (▽); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (□); Gen17 (▲); Gen76 (▼); Gen100 (○); Gen122 (▽); Gen124 (□); Gen125 (△); Gen135 (●)

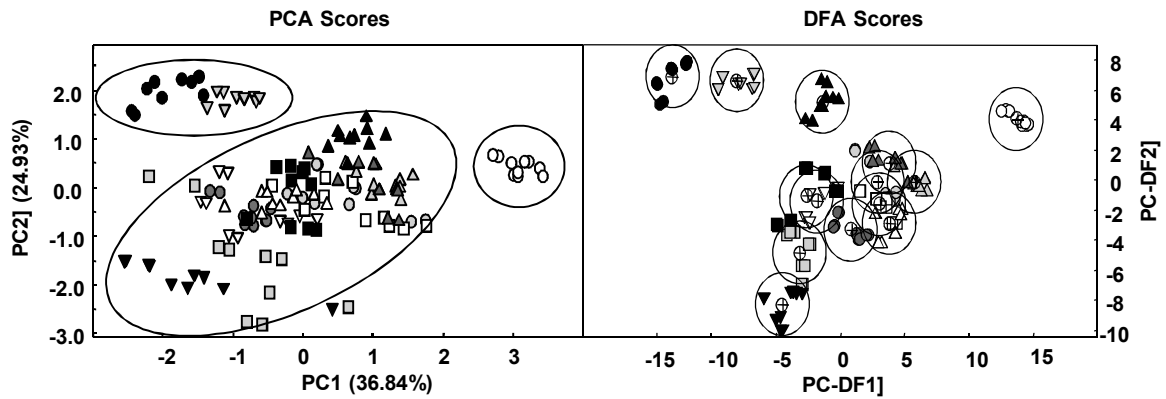


Fig. 10 Scatterplot of Principal Component Analysis (PCA) and Discriminant Function Analysis (DFA) scores of components 1 and 2 according to significant parameters obtained from the general model (AUDPC, RWC15, IRT12 and IRT15). Alcudia (▽); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (□); Gen17 (▲); Gen76 (▼); Gen100 (●); Gen122 (▽); Gen124 (□); Gen125 (△); Gen135 (●)

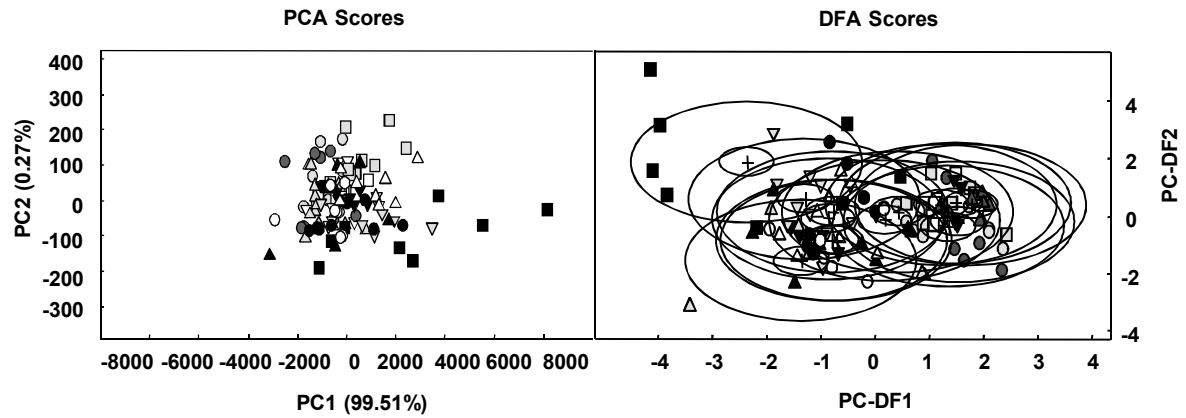


Fig. 11 Scatterplot of Principal Component Analysis (PCA) and Discriminant Function Analysis (DFA) scores of components 1 and 2 according to parameters obtained from control plants. Alcudia (○); Anchuela (□); Flega (△); Mirabel (●); Patones (■); Rapidena (▽); Gen16 (◇); Gen17 (○); Gen76 (△); Gen100 (●); Gen122 (□); Gen124 (◇); Gen125 (○); Gen135 (●)

Planta

Targeting sources of drought tolerance within an *Avena* spp collection through multivariate approaches.

Javier Sánchez-Martín, Luis AJ Mur, Diego Rubiales and Elena Prats.

Supplementary material

Supplementary Online Resource Legends

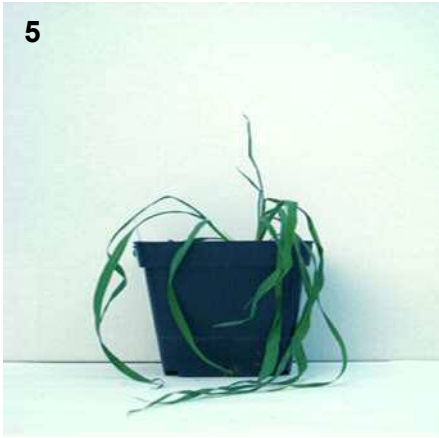
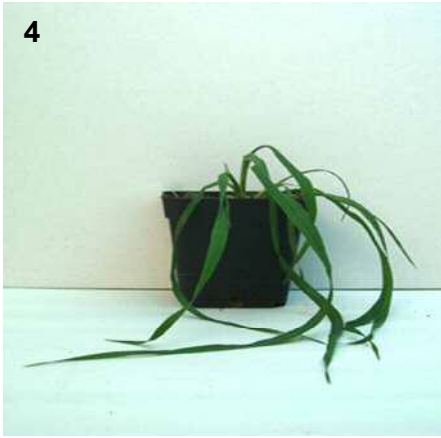
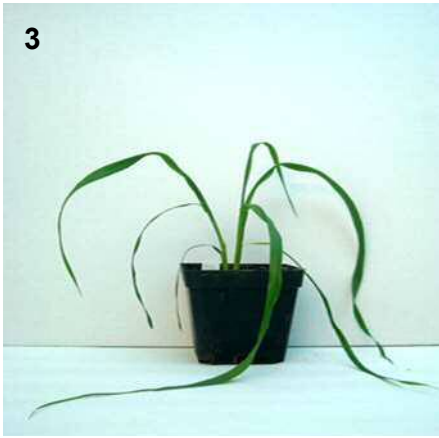
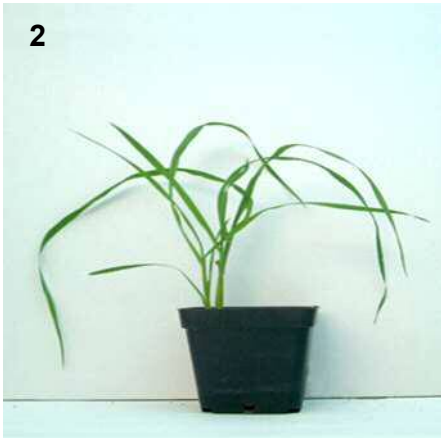
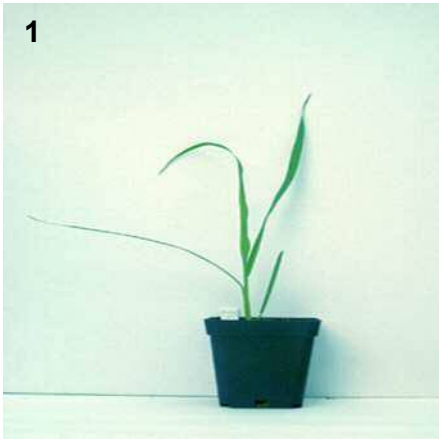
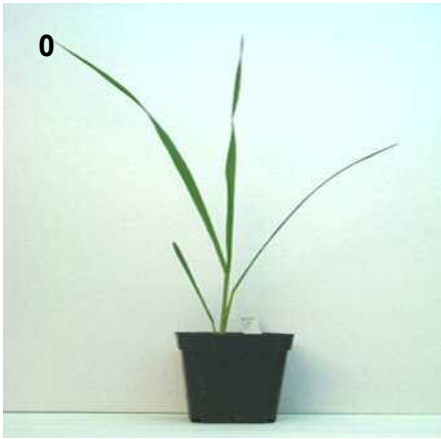
Online Resource 1 Visual assessment of drought symptoms during a 19 days time course of drought.

Online Resource 2 Yield (Kg per Ha) of selected genotypes in prone water conditions (242.36mm in the growing season).

Online Resource 3 PC-DFA loading vectors contributing to the derived projections that discriminated between genotypes when assessing **a** all variables; **b,c** and **d**. variables taken at 12, 15 and 18 d. a. w. w respectively together with AUDPC and AUCPC taken during the whole time course. The inner and outer circles represent respectively one and two standard deviations from the mean (shown by a cross). Hence, the variables shown represent major sources of variation in the datasets.

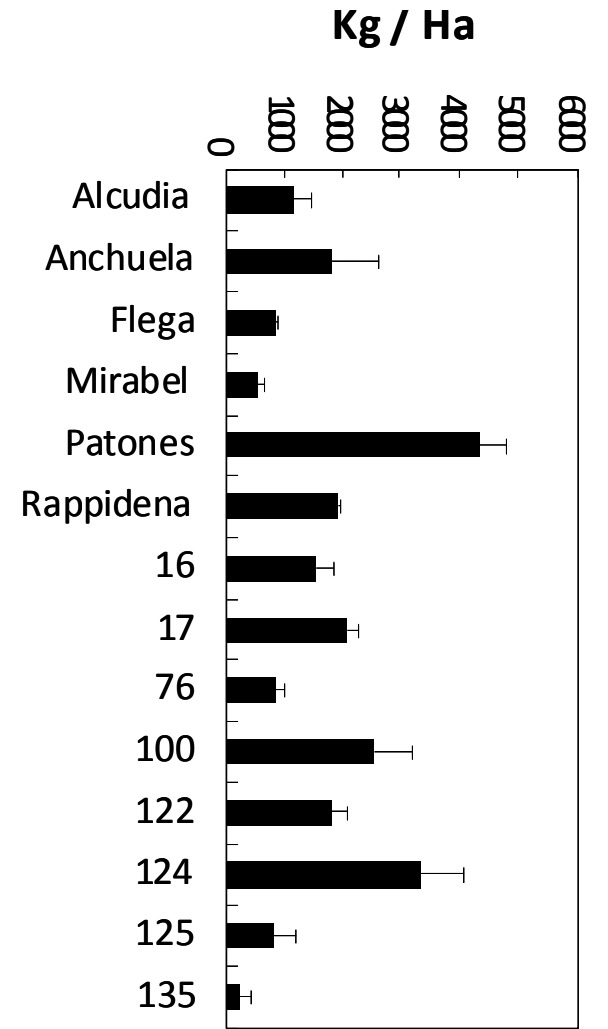
Online Resource 4 Scatterplot of Principal Component Analysis (PCA) and Discriminant Function Analysis (DFA) scores of components 1 and 2 of the most susceptible (Flega) and most resistant (Patones) genotypes based on all variables assessed. Alcudia (▽); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (◻); Gen17 (▲); Gen76 (▼); Gen100 (○); Gen122 (▽); Gen124 (◻); Gen125 (△); Gen135 (●).

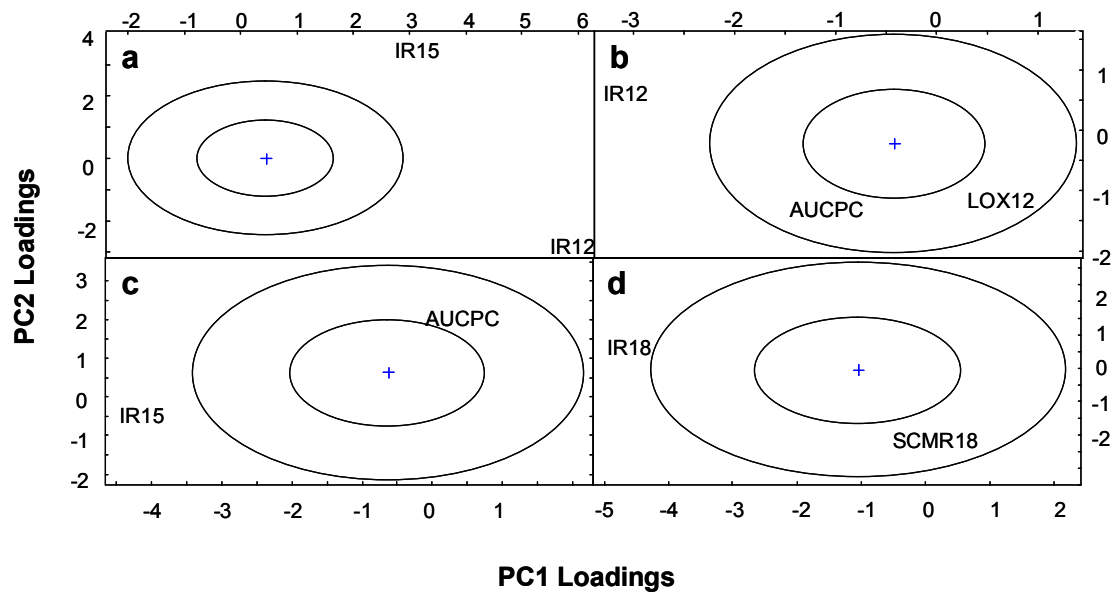
Online Resource 5. Scatterplot of Principal Component Analysis (PCA) and Discriminant Function Analysis (PCA) scores of components 1 and 2 of the moderately resistant genotypes based on all variables assessed. Alcudia (▽); Anchuela (■); Flega (●); Mirabel (▲); Patones (○); Rapidena (△); Gen16 (□); Gen17 (▲); Gen76 (▼); Gen100 (○); Gen122 (▽); Gen124 (□); Gen125 (△); Gen135 (●).



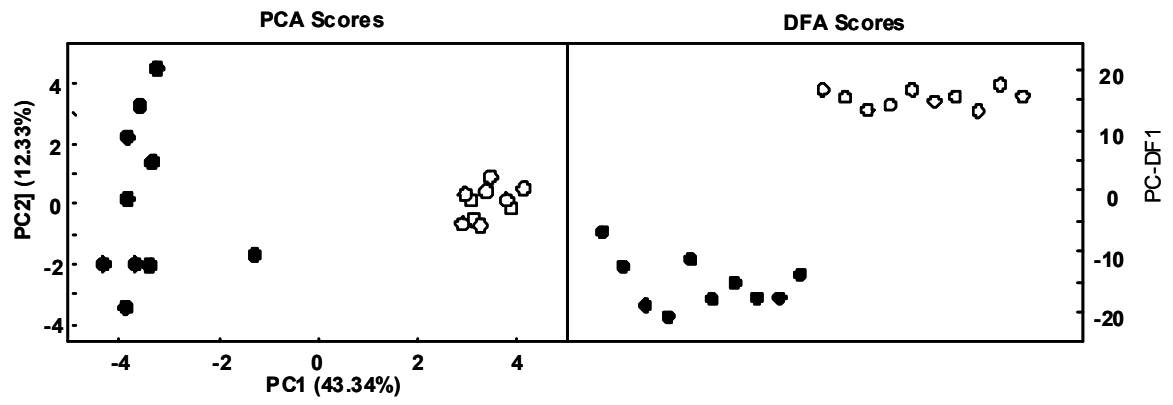
Online Resource 1.

Online Resource 2.

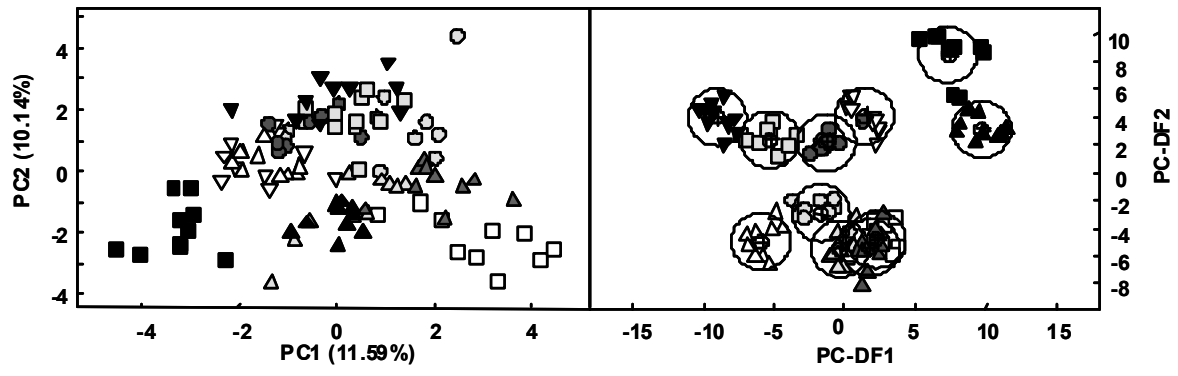




Online Resource 3.



Online Resource 4.



Online Resource 5.