

1 **Original article**

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4 **Developmental patterns of a large set of barley (*Hordeum vulgare* L.) cultivars in**
5 **response to ambient temperature**

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17 **Running Head: Ambient temperature sensing in barley**

18

1 **Summary**

2 Ambient temperature plays an important role in plant development. In cereals, little is known
3 about the exact effects of ambient temperature in the range between it being a vernalizing
4 agent and an abiotic stress factor; thus the genetic determinants involved in the registering and
5 response to ambient temperature, and their natural variation has not been dissected either.
6 Principally, we wished to establish the level of natural variation in response to ambient
7 temperature in barley via studying plant phenological development. The responses to
8 temperature of 168 barley genotypes of different provenances and seasonal growth habit
9 groups were observed in controlled environments. The effects of four temperature regimes
10 (13°C, 16.5°C, 18°C, and 23°C) on the duration of plant phenophases were examined. The
11 plant development was characterised in a series of consecutive phenophases that span the
12 plant life cycle from germination through flowering to attainment of maximum plant height.
13 Ambient temperature affected significantly plant development, with substantial variation in
14 responses among the genotypes. Six major types of responses were identified, which
15 depended strongly on seasonal growth habit, with only a small degree of overlap. Although
16 the differences in the timing of development among clusters were significant under each
17 temperature regime, the 23°C treatment resulted in the largest diversity of responses, with
18 significant changes in the ranking of the six clusters compared to other treatments. Two
19 clusters showed particularly unusual responses to 23C: the development of one winter barley
20 cluster was extremely accelerated by the 23C treatment, while the development of one spring
21 barley cluster was significantly delayed. Ambient temperature assumes importance as a
22 regulatory cue in the intricate and complex temporal and spatial regulation network of plant
23 development in cereals and acts mostly through its regulatory effect on certain developmental
24 phases such as the onset and duration of the intensive stem elongation.

25 *Key-words:* phenophases, ambient temperature sensitivity, barley

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1 **Introduction**

2 Flowering time is one of the most important factors in the adaptation of plants to their
3 environment. The genetic regulation of physiological processes ensures that flowering occurs
4 under optimal environmental conditions, which thus improves seed set. Plant development
5 and time to flowering are determined primarily by temperature, both low and elevated. This
6 regulation occurs partly through the influence of temperature on the transition from vegetative
7 to generative development, and partly by its effect on the rate of plant development (Atkinson
8 and Porter, 1996). Vernalization, or prolonged exposure to low temperature, is necessary for
9 the induction of generative development in several temperate plant species. The genetic basis
10 of this process has already been described in detail in *Arabidopsis* and in cereals (Amasino,
11 2005; Cockram *et al.*, 2007; Trevaskis *et al.*, 2007; Distelfeld *et al.*, 2009). However, much
12 less is known about the effects of temperatures that are above the vernalization threshold on
13 plant development and gene action.

14 Temperature is an important seasonal cue and is more complex than photoperiod in
15 relation to both its characteristics and its effects. Photoperiod follows a predictable pattern
16 from year to year, whereas the temperature profile of a given area can show tremendous
17 variation among seasons and years. In addition, temperature affects plant development not
18 only via the daily average temperatures, but also via the amplitude of the daily fluctuations
19 (Yin *et al.*, 1996; Thingnaes *et al.*, 2003; Heggie and Halliday, 2005; Lobell and Ortiz-
20 Monasterio, 2007). Most plants in temperate regions face sub- or supra-optimal temperatures
21 on a daily basis and, consequently, need to be able to register and integrate these signals to
22 regulate their development in order to respond to and prevent the adverse effects of
23 environmental changes. Temperature can elicit both developmental and physiological changes
24 that range from subtle metabolic readjustments to dramatic effects on growth and
25 reproduction (Ruelland and Zachowski, 2010). The genetic factors that are involved in the

1 registration of temperature have been characterised in *Arabidopsis*. These studies have
2 revealed the presence of an intricate regulatory system with complex crosstalk among the
3 distinct signalling pathways that are regulated by light, plant hormones, and temperature,
4 which is in accordance with the complexity of temperature as an environmental cue (Heggie
5 and Halliday, 2005; Samach and Wigge, 2005; Balasubramanian *et al.*, 2006; Lee *et al.*, 2008;
6 Penfield, 2008; Franklin, 2009; McClung and Davis, 2010). These researchers hypothesized
7 the existence of a thermosensory pathway, which acts mostly independently of both the low-
8 temperature vernalization and photoperiod pathways (Lempe *et al.*, 2005; Balasubramanian *et*
9 *al.*, 2006; Lee *et al.*, 2007; Franklin, 2009). In addition, new discoveries are constantly being
10 made regarding the roles of other components that are involved in the plant response or
11 downstream signalling to the ambient temperature perception, such as photoreceptors
12 (Halliday *et al.*, 2003), various components of the circadian clock (Farré *et al.*, 2005; Strasser
13 *et al.*, 2009; Salomé *et al.*, 2010; Thines and Harmon, 2010), various individual genes from
14 lower hierarchical levels of regulatory gene cascades (Halliday *et al.*, 2003; Balasubramanian
15 *et al.*, 2006; Lee *et al.*, 2007; Strasser *et al.*, 2009), and some translational elements (Lee *et al.*,
16 2010).

17 In *Arabidopsis*, the level of natural variation in responses to ambient temperature has been
18 determined in a large set of wild accessions (Lempe *et al.*, 2005). Although the magnitude of
19 responses to ambient temperature is smaller than that of responses to vernalization and
20 photoperiod, genotypes have been identified which reacted with hastened or delayed plant
21 development to the higher ambient temperature.

22 In cereals, studies on the effect of ambient temperature on the duration of plant
23 phenophases (Pirasteh and Welsh, 1980; Slafer and Rawson, 1995*a–c*; Atkinson and Porter,
24 1996) have been used widely for crop modelling. The various cereal–climate models predict
25 plant development and yield capability, and place a special emphasis on the establishment of

1 the cardinal temperature values, such as the minimal base, maximal base (T_{base}), and the
2 optimal temperature (T_{opt}) levels, for plant development and organ growth (Atkinson and
3 Porter, 1996; Porter and Gawith, 1999; Lobell and Ortiz-Monasterio, 2007). By necessity,
4 these studies focused on a limited number of cultivars. Although the response to temperature
5 differs significantly among genotypes (Pirasteh and Welsh, 1980; Slafer and Rawson, 1995a-
6 c; Porter and Gawith, 1999), these experiments were not devised as detailed genetic studies.
7 Thus, with very few exceptions, little is known about the genetic determinants of the
8 registration of ambient temperature in cereals (Bullrich *et al.*, 2002; Appendino and Slafer,
9 2003; Lewis *et al.*, 2008; Hemming *et al.*, 2012). The importance of investigating the role of
10 ambient temperature (for temperatures greater than the vernalization threshold) on plant
11 development and flowering in cereals is based on the following facts: (i) the exact nature of
12 the involvement of ambient temperature in the genetic regulatory network for flowering is not
13 understood completely in *Arabidopsis* and even less so in cereals; (ii) limited information is
14 available on the extent and types of responses of cereal cultivars to ambient temperature, as
15 manifested in their plant developmental patterns; (iii) the risk of occurrence of extreme or
16 abnormal temperatures during any period of the growing season is increasing because of
17 global climate change, and the effect of this on plant development and flowering needs to be
18 investigated; and (iv) characterisation of the functional variation in the genes that participate
19 in the registration of temperature might enable flowering to be manipulated without affecting
20 major developmental requirements, such as responses to vernalization and the photoperiod.

21 With these facts in mind, our main aim was to establish the extent and types of responses
22 to ambient temperature in a large set of barley cultivars of different provenances that were
23 representative of the three barley seasonal growth habits. Herein, the effect of ambient
24 temperature on barley plant development, particularly on the onset and duration of
25 phenophases are discussed, against a backdrop of diverse barley germplasm.

1

2 **Materials and methods**

3

4 **Plant materials**

5 A total of 168 barley cultivars of diverse geographical origins (78 from Europe [EU], 78 from
6 North America [NAM], four from Central America [CAM], four from West Asia [WA], two
7 from East Asia [EA], and two from Australia [AUS]) were included in the study. This set of
8 cultivars included representatives of the three barley growth habits (93 spring, 62 winter, and
9 13 facultative) and the two head types (92 two- and 76 six-rowed). Details of the cultivars are
10 listed in Suppl. Table 1.

11

12 **Phenotypic characterisations**

13 *Response to ambient temperature*

14 The experiments were carried out in the Phytotron facilities of the Agricultural Research
15 Institute, Hungarian Academy of Sciences, Martonvásár, using CONVIRON growth
16 chambers (Controlled Environments, Winnipeg, Canada). A combination of standard
17 procedures and standard environmental factors (listed below), excluding temperature, was
18 applied throughout the experiments to facilitate the analysis of ambient temperature alone on
19 plant growth. Germination was carried out in Jiffy pots. After the seedlings had emerged
20 (defined as the emergence of approximately one-third of the first leaf), the plantlets were
21 transferred to the vernalization chamber. All plants were vernalized for 45 d at 3 °C under a
22 short photoperiod and a low-light-intensity regime (8 h, 12–13 $\mu\text{mol m}^{-2} \text{s}^{-1}$ photosynthetic
23 photon flux density [PPFD]). After vernalization, the plantlets (in which the first leaf was
24 fully expanded or the second leaf was just visible) were transplanted into individual pots and
25 placed in the controlled growth chambers. All treatments were subjected to a long

1 photoperiod (16 h light/8 h dark) and light intensity of 200–240 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PPFD, which
2 was provided by metal halide lamps. Four ambient temperature treatments were applied in
3 four separate growth chambers: (1) 13 °C constant temperature (referred to as 13C), (2) 18 °C
4 daytime/15 °C night temperature with a daily average of 16.5 °C (16.5C), (3) 18 °C constant
5 temperature (referred to as 18C), and (4) 23 °C constant temperature (referred to as 23C).,
6 Each treatment was applied in one growth chamber. Given that the number of plants that can
7 be allocated to a chamber is fixed the design of the experiment in terms of number of
8 treatments and number of genotypes was a trade-off between the total number of plants that
9 could be handled, the minimum number of replicates that would ensure reliable results and
10 maximum coverage of barley germplasm. Thus each genotype was replicated twice per
11 treatment (two pots per genotype; with a soil capacity of approximately 1.5 kg of each pot,
12 giving a density of 60 plant/m²).

13 The following parameters were recorded for all plants twice per week: number of leaves on
14 the main stem, height from the soil surface of the last leaf sheath on the main stem, and
15 number of side tillers. In addition, the plants were checked regularly for the appearance of the
16 first node at the base of the main stem (plant developmental phase 31 or DEV31; Tottman and
17 Makepeace, 1979), and for the appearance of the awns just visible above the last leaf sheath
18 (DEV49). The plants were grown to full maturity, upon which six yield components were
19 determined for each plant: number of reproductive tillers, number of seeds, 1000-kernel
20 weight on the main stem, average number of seeds and 1000-kernel weight on the side tillers,
21 and total seed yield per plant.

22 The associations between the thermal time and time course data for plant height, number of
23 tillers, and number of leaves were calculated. Thermal time was expressed as growing degree
24 days (GDD) with the equation:

25
$$\text{GDD} = \Sigma((T_{\text{max}} + T_{\text{min}})/2) - T_{\text{base}},$$

1 where T_{base} was set to 0 °C. This calculation was performed in accordance with the method of
2 McMaster (2005), using the mean values for the two plants per genotype, at each time point.

3 The regular monitoring of the plant developmental parameters made it possible to identify
4 a series of consecutive phenophases that spanned the life cycle from germination to
5 attainment of maximum plant height, and some critical physiological milestones of plant
6 development (the method was described by Kiss *et al.*, 2011). The associations between
7 thermal time and time course data were characterised by linear regressions in the case of leaf
8 number and determination of the tillering period, whereas changes in plant height followed a
9 sigmoid curve with three distinct stages that fitted with linear regressions. Thus, the linear
10 regression equations were used to calculate the duration of the phenophases and several plant
11 developmental parameters. These regressions were calculated using the averages of leaf
12 number, and plant height for the two plants per genotype and treatment, as the best estimate
13 for each data point. The onset of the intensive stem elongation phase (DEV30) was
14 considered to be the point of intersection between the first two linear components of the
15 regression of plant height vs time ($y_{\text{initial growth}}$ and $y_{\text{maximal growth}}$). The termination of the
16 intensive stem elongation phase (DEV_SEend) was the point of intersection between y_{maximal}
17 y_{growth} and $y_{\text{end growth}}$. The phenophases were defined as follows: the beginning (DEV21) and
18 end (DEV29) of tillering, appearance of the first main stem node (DEV31), onset of intensive
19 stem elongation (DEV30), appearance of the flag leaf (DEV37), full expansion of the flag leaf
20 (DEV39), heading date (DEV49), end of intensive stem elongation (DEV_SEend), and
21 attainment of maximum plant height (DEV_PHfinal). In addition, the following parameters
22 were used: the phyllocron (the thermal time required for the expansion of each consecutive
23 leaf), partitioning of the final leaf number between the vegetative and generative phases, tiller
24 number at different developmental stages, rate of tillering, and the ratio between the
25 maximum number of tillers and number of reproductive tillers.

1

2 *Determination of the response to vernalization*

3 The response to vernalization of the entire set of barley cultivars was evaluated. A period of
4 vernalization was imposed using the Martonvásár Phytotron in accordance with procedures
5 described previously (Karsai *et al.*, 2004). Vernalization was applied in 15-day increments up
6 to a total of five applications from no vernalization to 60 d of vernalization, at a temperature
7 of 3 °C under an 8 h light/16 h dark photoperiod and low light intensity (12–13 $\mu\text{mol m}^{-2} \text{s}^{-1}$
8 PPF). After vernalization, seedlings from all treatments were transplanted by hand into the
9 field at Martonvásár, Hungary, on March 25th, 2010, when the average photoperiod was
10 longer than 12 h and increasing. The characteristics of two plants were recorded per genotype
11 and treatment. For each plant, the number of days to flowering (DEV49) was scored. The trial
12 was terminated after 100 d. For statistical analyses, plants that reached the generative phase
13 but did not head were assigned a value of 120 d to heading, whereas for plants that remained
14 in the vegetative phase this value was set to 150 d.

15

16 **Characterisation of genotype**

17 The barley genotypes were analysed with gene-specific primers for the major genes for the
18 response to vernalization (*VRN-H1*, *VRN-H2*, and *VRN-H3*) and sensitivity to photoperiod
19 (*PPD-H1* and *PPD-H2*) to determine their types with respect to seasonal growth habit and
20 sensitivity to photoperiod. In the case of *VRN-H1*, the structure of intron 1 was examined with
21 the diagnostic marker sets suggested by von Zitzewitz *et al.* (2005) and Szűcs *et al.* (2007). In
22 the set of barley germplasm investigated, 10 different alleles were detected for intron 1 (see
23 Table 2). The characteristics of all but one of these alleles have been published previously
24 (Cockram *et al.*, 2007; Hemming *et al.*, 2009); the exception was the allele designated 1190 in
25 Table 2, which was found to be characteristic of certain Spanish barley cultivars (Orria and

1 GenBank accession no. DQ492705; unpublished data). Of the 10 alleles, four alleles
2 (*HvVRN1-4*, *HvVRN1-6*, and the two wild-type alleles *vrnH1*(5200) and *vrnH1*(5300)) are
3 considered to be recessive winter alleles (Hemming *et al.*, 2009; Casao *et al.*, 2011), whereas
4 the others are dominant spring alleles. For the other four genes, diagnostic primer pairs were
5 used. In the case of *VRN-H2*, the primer pair identified the presence/absence (dominant
6 winter/recessive spring) of the *VRN-H2a* and *VRN-H2b* genes (Karsai *et al.*, 2005). For *VRN-*
7 *H3*, the genotypes were determined on the basis of two single nucleotide polymorphisms
8 (SNPs) in intron 1, as reported by Yan *et al.* (2006). In the case of *PPD-H1*, a cleaved
9 amplified polymorphic sequence marker identified the diagnostic SNP 22 in exon 7 (Turner *et*
10 *al.*, 2005), for which the longer fragment size (506 bp) was characteristic of the insensitive
11 (recessive) allele, whereas the shorter fragment size (432 bp) corresponded to the sensitive
12 (dominant) allele. In the case of *PPD-H2*, the primer pair identified the presence of the full
13 gene (dominant, functional allele) or that of the truncated gene (recessive, nonfunctional
14 allele) (Faure *et al.*, 2007). Barley genotypes with a winter growth habit carried one of the
15 *VRN-H1* winter alleles together with the presence of the *ZCCT-H* genes diagnostic for the
16 winter allele at the *VRN-H2* locus. Genotypes with a spring growth habit were characterized
17 by spring alleles at the *VRN-H1* locus, irrespective of the presence or absence of *VRN-H2*.
18 Cultivars that carried the same *VRN-H1* allele as the winter genotypes (with the exception of
19 *HvVRN1-4*) but lacked *VRN-H2* were considered to be facultative genotypes.

20

21 **Statistical analyses**

22 The data were processed using Microsoft Excel and Statistica 6 for Windows software. A
23 two-way ANOVA for all traits measured directly was performed, considering genotypes and
24 temperature treatments as fixed factors. The replicate factor was nested within temperature
25 treatments, therefore making the design a split-plot, with temperature treatments as main plots

1 and genotypes as sub-plots. The variables that were measured or recorded at each plant (listed
2 above) were analysed after the split-plot design, with LSDs calculated separately for each of
3 the factors of the analysis. The variables derived by regression could not be analysed in the
4 same way, as there were no replicates. In this case, we used the interaction genotype-by-
5 temperature as error, assuming that it is an overestimation of the error (as it includes the true
6 experimental error plus the genotype by temperature interaction), but it is useful to describe
7 treatment overall treatment differences. There was a source of experimental error that is
8 confounded with temperature treatment. This is the difference between growth chambers as
9 each temperature treatment occupied one chamber. Therefore, any possible difference
10 between chambers is actually accounted for by the temperature treatments. These differences,
11 in any case, was not of a magnitude large enough to override the true effect of the treatments,
12 because the trends of plant growth between temperatures were smooth and steady, as seen in
13 Figure 1.

14 Cluster analysis was carried out by applying the UPGMA method to the squared Euclidean
15 distance matrix that was derived from the phenotypic data sets consisting of the GDD values
16 of the plant developmental phases measured under the four temperature treatments. The
17 groupings obtained were verified and analysed further using the k-means clustering, general
18 discriminant analysis (GDA) and principal component analysis (PCA) functions of the
19 Statistica 6 software package. The probable number of independent clusters was accepted
20 based on the results of k-means clustering and GDA.

21

22

23 **Results**

24 The results of the growth chamber experiment can be expressed in days or GDD. The choice
25 of unit is not trivial because the relationship between chronological time (days) and thermal

1 time (GDD) is not constant. At higher temperatures, thermal time accumulates faster than
2 chronological time. Consequently, the graph of the reaction of phasal development against
3 temperature assumed different shapes depending on which variable was chosen (Fig. 1). The
4 total thermal time required to complete the growth cycle increased by 13% at 23C averaged
5 over the 168 barley genotypes, compared to that at 13C, whereas the same comparison for
6 chronological time showed a reduction of 37%. However, for comparative purposes, these
7 differences do not affect the conclusions regarding the differential responses of groups of
8 barley genotypes to temperature (which will be described below). We favoured the use of
9 thermal time because the physiological and biochemical processes that underlie plant
10 development respond to temperature (Bonhomme, 2000, Trudgill et al. 2005).

11 In addition to the constant temperature, the daily fluctuating temperature treatment was also
12 applied for characterising its effect on plant development. Under the given set of experimental
13 factors, however the effect of the thermocycle of 18/15C was not significantly different in its
14 tendencies from that of the constant temperature of 18C, averaged over the genotypes (Table
15 1). The largest source of the difference found between the results of the barley cultivars here
16 and those published by Karsai *et al.* (2008) lies mostly in the different source of lights (metal
17 halide lamps versus fluorescent tubes, respectively). Metal halide lamps as light sources are
18 more inductive to plant development than the fluorescent tubes, resulting in much quicker
19 plant development in general (data not shown). Under metal halide lamps the phenological
20 data originated from the thermocycle experiment actually fitted well to the tendencies
21 obtained with the use of constant temperature, thus these data were used in the further
22 analyses as representing an extra temperature point in the equations (Figure 1) .

23

24 **General effect of ambient temperature on plant development**

1 Averaged over the 168 barley cultivars, the ambient temperature affected significantly the
2 thermal and chronological time required to reach the different phenophases and other
3 developmental parameters (Fig. 1). As the ambient temperature was increased from 13C to
4 23C, the chronological time required to complete each developmental phases decreased,
5 except for the beginning and the end of tillering. For the earlier developmental phases (up to
6 DEV37), this difference was only evident between 13C and all other temperatures, but for the
7 later phases the values were significantly different between each level of ambient temperature
8 tested. However, the decrease in chronological time was not sufficiently large to offset the
9 increase in daily average temperature. Consequently, the thermal time required to reach a
10 phenophase increased in parallel with ambient temperature (Fig. 1). At 23C, a marked delay
11 in development as early as DEV21 was observed. As plant development progressed, the delay
12 in development that was caused by increased temperature was evident at increasingly lower
13 temperatures: for DEV31 this phenomenon could be observed at 18C, and for DEV49 (and
14 subsequent stages) at 16.5C.

15 Parallel to the significant increase in phyllocron, a less marked, but still significant,
16 decrease in final leaf number was observed, which mainly affected the portion of leaves that
17 expanded during the generative phase (Table 1). The length of the intensive stem elongation
18 phase (expressed in thermal time) was shortest in the 13C and 23C treatments, and longest at
19 16.5C and 18C. The rate of increase in plant height (the thermal time required for 1 cm of
20 stem growth in the intensive growing phase) increased significantly with temperature. As a
21 result of these two tendencies, the plant height at DEV49 and the final plant height were
22 significantly lower at successively higher ambient temperatures.

23

24 **Ambient temperature-dependent plant developmental patterns**

1 In the two-way ANOVA, genotype had a strong effect on plant development, both as a main
2 factor and in interactions with temperature (Suppl. Table 2). Consequently, the data matrix of
3 thermal times for the nine developmental phases, 168 cultivars, and four temperature
4 treatments was subjected further to multifactorial analyses. The first five factors in the PCA
5 analysis showed an eigenvalue higher than 1, and collectively explained 87.0% of the total
6 variance. The first and second factors alone explained 77.7% of the variance (70.0 and 7.7%,
7 respectively). The first factor showed the strongest correlations (between -0.849 and -0.963)
8 with the thermal time values of the phenophases (with the exception of DEV21 and DEV29)
9 measured under the 13C, 16.5C, and 18C conditions. The second factor was correlated more
10 strongly with the thermal times of the phenophases determined at 23C (in the range 0.439 to
11 0.576), with the exception of DEV21. The beginning of tillering (DEV21) appeared to be
12 correlated with the third factor (data not shown).

13 The *k*-means cluster analysis offered two possible results based on one of two different
14 criteria. Either four (where Δk was the highest) or six (where $\text{diff}(\Delta k)$ was the lowest and
15 negative) separate clusters of cultivars could be distinguished. However, the discriminant
16 analysis supported a higher probability of six clusters: the percentage of correct classifications
17 and the distances between the clusters increased, whereas the average distances within the
18 clusters decreased, when the number of clusters was increased from four to six (Suppl. Fig.
19 1).

20 The six clusters, which represented different plant developmental patterns in response to
21 the ambient temperature, were represented in the first and second factorial axes of the PCA
22 (Fig. 2). These clusters are differentiated by some interesting characteristics regarding
23 geographical origin, ear type, seasonal growth habit, and allelic frequencies for the major
24 flowering time genes (Table 2). European cultivars were distributed relatively evenly among
25 the six clusters, whereas the majority of North American cultivars were distributed between

1 two clusters, Clu3 and Clu6. With regard to ear type, the majority of the cultivars in Clu1 and
2 Clu3 were two-rowed, whereas Clu4 included mostly six-rowed types, and the other clusters
3 included similar numbers of genotypes of each ear type. With regard to seasonal growth habit,
4 Clu1, Clu4, and Clu5 consisted solely of winter cultivars, Clu3 and Clu6 comprised the
5 majority of the spring cultivars (together with a few winter genotypes), whereas Clu2
6 contained most of the facultative cultivars (later on, these clusters will be referred to
7 according to the most frequent types of seasonal growth habit present in each cluster). One of
8 the differences between the three winter barley clusters was the allele frequencies for *PPD-*
9 *H1*. Approximately two-thirds of the winter barley cultivars in Clu1 carried the insensitive
10 allele, whereas two-thirds of the cultivars carried the sensitive allele in Clu5. All cultivars in
11 Clu4 carried the sensitive allele. Of the two spring barley clusters, Clu6 contained a higher
12 proportion of winter genotypes (13 out of 55 cultivars) than Clu3, which resulted in higher
13 frequencies of the winter allele at *VRN-H2* and the sensitive allele at *PPD-H1*.

14 With the exception of DEV21, significant differences were observed among the average
15 values of thermal time required to reach the different developmental phases for the six
16 clusters at all four ambient temperatures (Suppl. Table 3). It has been noted above that the
17 ambient temperature affected significantly the thermal time required to reach a given
18 developmental phase, but that this effect varied widely among the six clusters (Fig. 3). The
19 ambient temperature had the smallest effect on the development of cultivars in Clu2, which
20 contained the majority of the facultative cultivars. This group almost always reached a given
21 developmental phase first at each ambient temperature, the only exception being the thermal
22 time needed to reach DEV21 at 23C (Fig. 4). The differences between the two clusters that
23 contained the majority of spring cultivars (Clu3 and Clu6) were relatively small at 13C.
24 However, at higher temperatures, the differences between these two groups for the
25 phenophases between DEV31 and DEV49 increased significantly and were most pronounced

1 at 23C. For all developmental phases, Clu6 required a shorter thermal time than Clu3. In the
2 case of the three winter barley clusters, the thermal time required for each consecutive phase
3 tended to increase significantly between the ambient temperature regimes of 13C and 18C,
4 and this increase more or less paralleled the increase in ambient temperature. Clu1 cultivars
5 always presented the slowest development and cultivars in Clu4 the fastest, in a statistically
6 significant manner, whereas Clu5 had intermediate values and differed significantly from
7 Clu1 and Clu4 for most variables (Fig. 3, Suppl. Table 3). Just as in the case of the spring
8 cultivars, the 23C treatment resulted in the largest differences among the responses of the
9 three winter barley clusters. For the cluster that developed the slowest in general (Clu1), the
10 23C treatment resulted in a further increase in the thermal time required to reach each
11 developmental phase. For Clu5, the increase in thermal time at 23C was only significant for
12 the DEV31 phase; for the later phases no significant differences between the values measured
13 at 18C and 23C were observed. The most striking characteristic at 23C was shown by the
14 genotypes in Clu4, which showed a large decrease in the thermal time required to reach each
15 phase; in fact, the values were the same as those obtained with the 13C treatment. As a result,
16 Clu4 was the second earliest cluster at 23C at DEV49.

17 The duration of the period between two consecutive phenophases was also analysed (Fig.
18 4). In general, the thermal time needed to complete DEV21 was increased clearly at 23C, in
19 all clusters. However, Clu2 showed the largest delay. The phase between DEV21 and DEV31
20 showed marked differences in response to temperature among the winter clusters. For Clu1,
21 the thermal time of this phase increased continuously with increasing temperature, whereas
22 for Clu4 and Clu5 the thermal time peaked at 18C and then decreased to different extents at
23 23C. Clu2 showed a shortening of this phase at 23C, in a manner similar to that of Clu4. The
24 period between DEV31 (appearance of the first main stem node) and DEV30 (the beginning
25 of intensive stem elongation) proved to be a critical phase in determining the rate of plant

1 development. In these controlled environmental tests, the appearance of the first main stem
2 node usually occurred earlier than the onset of intensive stem elongation, but the extent of the
3 difference depended on both the ambient temperature and the genotype. Significant positive
4 correlations ($r = 0.45$ to 0.68) between the length of the DEV30–DEV31 phase and the
5 thermal time of the later developmental phases were observed under three of the four ambient
6 temperatures (the exception was 13C). In general, the DEV30–DEV31 period was shortest in
7 Clu2 (the fastest developing group) and longest in Clu1 (the slowest developing group). The
8 shape of the temperature-dependent response for the DEV30–DEV31 phase was similar for
9 the facultative and the two spring barley clusters (Clu3 and Clu6). In these clusters, the period
10 was longest at 13C and showed a significant decrease at higher temperatures; it was similar
11 for Clu2 and Clu6 in the range 16.5C to 23C, whereas Clu3 showed a sharp increase in
12 thermal time at 23C. The two spring cultivar clusters showed similar thermal times for most
13 of the other phases. However, between DEV31 and DEV30, they showed different absolute
14 thermal durations that increased as the temperature increased, with a maximum at 23C. With
15 regard to the three winter barley clusters, the duration of this period increased (in Clu1) or
16 was relatively similar (in Clu4 and Clu5) between 13C and 18C, and then decreased at 23C.
17 These temperature-dependent changes in the duration of the DEV30–DEV31 interval were
18 largest in Clu1.

19

20 **Association between yield components and ambient temperature**

21 The ambient temperature had a strong effect on seed yield and yield components. Averaged
22 over the 168 barley cultivars, all yield components, and thus the final seed yield per plant,
23 were highest at 13C and decreased significantly at successively higher ambient temperatures
24 (Table 3). This decrease was smallest for 1000-kernel weight measured for both the main ear
25 and side tillers. The seed number and seed weight of the main tiller and the number of

1 reproductive side tillers decreased to a greater extent at the higher temperatures than at the
2 lower temperatures. However, the major contributor to the decreased yield was the marked
3 decrease in fertility of the side tillers. These trends were similar for all six of the growth habit
4 clusters, although the overall rate of decline depended on the time required for the cultivars
5 within a given cluster to reach each developmental stage (Suppl. Table 4). The decrease in
6 yield and fertility was most marked in Clu1, in which the rate of plant development at 23C
7 was delayed to the greatest extent, whereas the reduction in yield components in clusters that
8 developed earlier (the spring and facultative clusters and Clu4 of the winter cultivars) was
9 significantly less severe.

10

11 **Responses to vernalization responses of the barley cultivar clusters**

12 The responses to vernalization of the cultivars were monitored in a separate experiment, in
13 which the plants were vernalized artificially for five different periods, then transplanted
14 simultaneously to the field in spring (when the photoperiod was longer than 12 h and
15 increasing). The number of days to reach heading (DEV49) was recorded. Vernalization had a
16 significant effect on the time needed to reach DEV49 for all six clusters (Fig. 5). The effect
17 was greatest for the three winter barley clusters (Clu1, Clu4, and Clu5) and smallest for the
18 facultative and spring barley clusters (Clu2, Clu3, and Clu6). The vernalization requirements
19 of Clu2, Clu3, Clu4, and Clu6 were apparently saturated by 30 d of artificial vernalization
20 because the number of days required to reach DEV49 did not decrease in response to a longer
21 vernalization period, whereas 45 d of vernalization was the saturation threshold for the other
22 two clusters. The trends in the curves for the responses to vernalization for the six clusters
23 were similar to those obtained for the responses to the ambient temperature treatments. Clu2
24 (facultative cultivars) was always the earliest, whereas Clu1 was always the last, to achieve
25 heading. Of the two spring barley clusters, Clu6 (which included some winter cultivars)

1 developed significantly earlier than Clu3 after the saturation threshold for vernalization had
2 been reached. The DEV49 values of two of the winter barley clusters (Clu1 and Clu5) did not
3 differ significantly after 15 d of vernalization, even though their saturation thresholds were 45
4 and 30 d, respectively. In contrast, in Clu4, DEV49 occurred gradually earlier with increasing
5 duration of vernalization to such an extent that, at the saturation point, heading occurred
6 significantly earlier than in the late-developing spring barley cluster Clu3.

7 To check the accuracy of the observed similarity between the responses to ambient
8 temperature and vernalization that were identified for the six clusters, we calculated
9 correlations between the DEV49 values (the thermal and chronological times yielded similar
10 correlation values) of the 168 genotypes at the four ambient temperatures in growth chambers
11 and the chronological data for DEV49 in the field after the five vernalization treatments. For
12 the complete set of cultivars, all possible correlations were positive and highly significant ($r =$
13 0.44 to 0.76) (Suppl Table 5). However, when correlations were analysed within each of the
14 three subgroups (in the facultative cluster, in the two spring cultivar clusters, and in the three
15 clusters of winter cultivars) significant differences were apparent. For the spring cultivars, the
16 correlation between DEV49 at 13C and DEV49 after 45 d vernalization was the strongest ($r =$
17 0.49^{***}), whereas for the facultative cultivars, the value of DEV49 measured at 13C showed
18 the strongest correlation with the field data for DEV49 after vernalization for 30 d ($r =$
19 0.94^{***}). However, with regard to winter cultivars, DEV49 measured at 23C was correlated
20 most highly with values of DEV49 obtained in the field; similar and strong correlations were
21 evident for 30, 45, and 60 d vernalization ($r = 0.61^{***}$, 0.61^{***} , and 0.62^{***} , respectively).

22

23 **Discussion**

24 The principal goal of the research described herein was to identify the effect of ambient
25 temperature on development in barley by analysing the responses to temperature of a

1 comprehensive set of barley genotypes that were representative of different provenances and
2 germplasm groups. The variety of cultivars and the breadth of responses described herein
3 span the cultivated species in Europe and North America, with smaller representations of
4 other World areas; hence, they encompass a level of diversity that is uncommon in studies of
5 plant and crop physiology.

6 Under natural conditions the ambient temperature, as an environmental cue, exerts its
7 complex effects on plant development in close association with other environmental factors
8 such as photoperiod, and the quantity and quality of light. The combined effects of these
9 factors also depend strongly on the phenological phase of the plant, when it registers these
10 signals (Pirasteh and Welsh, 1980; Borrás-Gelonch *et al.*, 2012; Hemming *et al.*, 2012). This
11 dependence makes it difficult to separate the effects of ambient temperature from those of
12 other factors, and explains the scarcity of information on this phenomenon in cereals (Luo,
13 2011). We attempted to isolate the effect of ambient temperature by conducting experiments
14 under controlled conditions in which all factors other than temperature were held constant.
15 The plants were subjected to inductive conditions, i.e., a standard vernalization treatment
16 followed by growth under long days (16 h) under a controlled light spectrum and intensity, to
17 avoid the confounding effects of vernalization, photoperiod, and light quality.

18 Information on the optimal temperature range for the growth of barley is scarce.
19 However, given the phylogenetic proximity of wheat and barley, it is feasible to use
20 information on wheat as a proxy for barley. For wheat, Porter and Gawith (1999) identified an
21 optimum ambient temperature range of 17–23 °C over the course of the entire growing
22 season. This information is complemented by the results of a separate study (Slafer and
23 Rawson, 1995a), such that the rate of wheat development declined at temperatures higher
24 than 22 °C. In keeping with these findings, the temperatures used in the present study were in
25 the suboptimal (13C and 23C) or optimal (16.5C and 18C) range. Under such conditions, the

1 ambient temperature also affected barley development, but large differences in responses
2 among genotypes were observed.

3 Several major types of response to temperature were distinguished under the controlled
4 environmental conditions. These types depended strongly on the seasonal growth habit, with
5 only a small degree of overlap, although the vernalization requirement of the winter barley
6 cultivars was theoretically saturated in the growth chamber experiment (this observation was
7 supported by the results of the field experiment). The largest variation in responses to
8 temperature was shown by the winter cultivars. In general, in the winter cultivars, the range of
9 temperature sensitivity was narrow for temperatures under 18C, because all three clusters
10 required similarly fewer GDD for a given developmental phase at 13C than at 18C. In
11 contrast, striking differences in sensitivity among the winter barley clusters were observed at
12 23C. Among the spring cultivars, two distinct groups were distinguished that showed again
13 significant difference in sensitivity to temperature towards the warmest temperatures, the
14 level of which was much smaller than in the winter cultivars. The data collected for the
15 different growth habit clusters in the controlled growth chamber tests were in strong
16 agreement with the heading dates recorded under field conditions. The associations between
17 the experiments support the validity of our measurements of sensitivity to temperature. In
18 addition, when we compared the thermal times required to reach heading for barley across the
19 range of ambient temperatures, with those published for four wheat cultivars using a
20 comparable experimental design (Slafer and Rawson 1995c), we found good agreement
21 among the basic response types. The only exception was the winter barley cluster Clu4, for
22 which a counterpart in wheat was not identified among the limited number of samples.

23 Previous studies have developed linear models to characterise crop development in
24 association with ambient temperature between the cardinal temperature points of T_{base} and T_{opt}
25 (Slafer and Rawson 1995a, 1995b). In the present study, these cardinal points could not be

1 established directly because of the limited number of temperature regimes studied. However,
2 results reported in the literature indicate that the 13C and 18C regimes apparently lie within
3 the linear section. In this range, linearity was only typical of the winter barley cluster Clu5
4 throughout all plant developmental phases. However, as plant development advanced,
5 linearity became evident in additional clusters, especially at the DEVSEend developmental
6 phase, in which all clusters showed almost linear associations with ambient temperature, with
7 the remarkable exception of Clu4. Plants subjected to the 23C treatment showed the largest
8 range of responses, with significant changes in the ranking of the thermal (and chronological)
9 times for the genotypic clusters compared to the other temperature regimes, even though 23C
10 is close to the optimal temperature that has been established for the most advanced
11 phenophases (Slafer and Rawson, 1995b; Atkinson and Porter, 1996; Porter and Gawith,
12 1999).

13 In *Arabidopsis*, most genes that participate in temperature-mediated gene regulatory
14 pathways were identified through alterations in the responses of mutant lines when they were
15 grown under 22–23 °C as compared with 16 °C (Halliday *et al.*, 2003; Lempe *et al.*, 2005;
16 Lee *et al.*, 2007; 2010). In the present set of barley genotypes, two clusters showed
17 particularly unusual responses to 23C: the winter barley cluster Clu4, in which development
18 was extremely accelerated by the 23C treatment, and the spring barley cluster Clu3, in which
19 development was significantly delayed. The response of Clu4 showed a strong resemblance to
20 that of the thermosensitive late-flowering allele of *Eps-A^m1* that was identified in a *T.*
21 *monococcum* line (Bullrich *et al.*, 2002). In that genetic background, the higher temperature
22 regime (23 °C vs 16 °C) significantly inhibited the delaying effect of the late-flowering allele,
23 whereas the regime did not modify the effect of the early-flowering allele. Thus, the
24 identification of different responses to the ambient temperature range of 13C to 23C reveals
25 the presence of significant natural variation in responses to ambient temperature in barley. In

1 addition, the identification of barley genotypes with contrasting responses to temperature
2 between and within the different growth habit groups is a possible first step in the
3 determination of genetic components of ambient temperature perception in barley.

4 We observed that sensitivities to temperature depended on the developmental phase.
5 These observations confirm the findings of Slafer and Rawson (1995*b*, 1995*c*) in relation to
6 wheat. Comparison of the responses to temperature of the major clusters showed that the
7 differences between the clusters were smaller in magnitude during the early developmental
8 phases and became more pronounced at the later phases, which indicated the general
9 cumulative effects of ambient temperature on plant development. However, significant
10 differences in sensitivity to temperature were detected at the different phenophases. In
11 general, sensitivity to temperature was higher in the early developmental phases DEV31 and
12 DEV30. In other studies, the appearance of the first main stem node was considered to
13 coincide with the stem elongation phase (McMaster, 2005; Borrás *et al.*, 2009). However, the
14 present results show that the onset of intensive stem elongation can follow, with various time
15 lags, the appearance of the first node. The characteristic response patterns of the barley
16 clusters were caused partially by differences in the duration of precisely this period. At 23C,
17 DEV30 proved to be the most sensitive phase for the two clusters (Clu4 and Clu3) that
18 showed the most unique responses to 23C and DEV30 accounted for the largest portion of
19 this specific response. The extreme earliness of Clu4 at 23C was primarily because the
20 appearance of the first node was followed immediately by the onset of intensive stem
21 elongation without a time lag. In comparison, the lateness of Clu3 reflected the longest time
22 lag between DEV31 and the beginning of intensive stem elongation (DEV30).

23 These results confirm that a variety of factors are involved in the intricate and complex
24 temporal and spatial regulation network of plant development, as was suggested by Boss *et al.*
25 (2004), and provide an insight into the possible role that ambient temperature may play within

1 this network. The primary environmental cues that determine the vegetative–generative
2 transition are vernalization and photoperiod (Trevaskis *et al.*, 2007; Distelfeld *et al.*, 2009).
3 However, after the transition has occurred, parallel to the photoperiod, ambient temperature
4 assumes importance as a regulatory cue and acts as the next mechanism for the control of
5 plant development via its regulatory effect on certain phases such as the onset of intensive
6 stem elongation. This mechanism provides the plant with sufficient plasticity to respond to
7 constantly changing environmental factors. If the ambient temperature is suboptimal, onset of
8 stem elongation can be delayed to ensure further protection of the sensitive generative tissues
9 from late spring frosts or low temperature stress. In contrast, under a supra-optimal
10 temperature, the timing of the intensive stem elongation phase is one of the factors
11 responsible for specific responses to temperature.

12 The genetic mechanisms that are responsible for the ambient temperature-mediated control
13 of the various plant developmental phases are unknown. Several studies have reported
14 substantial variation among cultivars in relation to the duration of the different developmental
15 phases, and genetic studies in bi-parental mapping populations have contributed to the
16 identification of some of the genetic components (Borras *et al.*, 2009; Borras-Gelonch *et al.*
17 2010; Borras-Gelonc *et al.* 2012; Chen *et al.*, 2009; Reynolds *et al.*, 2009; Chen *et al.*, 2010).
18 However, none of these studies have considered the response to ambient temperature.

19 The importance of this area of research is underlined by the fact that neither the changes in
20 local conditions that are caused by global climate changes, nor their effects on local
21 adaptation with respect to plant developmental strategies, can be predicted exactly. A more
22 comprehensive and quantitative understanding of the physiological and genetic determinants
23 of the registering of ambient temperature and its effect on time to heading and the partitioning
24 of time among preflowering phenophases is a prerequisite to managing the fine-tuning of
25 adaptation, both in the present and future, and to optimising plant development to achieve

1 maximum yield potential. Thus, it is extremely important to characterize the variation that
2 exists in the various phases of plant development in cereal germplasm and to identify those
3 factors that contribute to their genetic control. The present work also demonstrates the
4 importance of studying physiological responses across the range of genetic diversity of any
5 given crop, in this instance specifically among the seasonal growth habit types of barley. The
6 study of the present set of barley cultivars has revealed substantial phenotypic variation in
7 responses to ambient temperature for multiple traits and will contribute to the feasibility of
8 further genetic studies. We speculate that the variety of responses results from the presence of
9 multiple genetic pathways. These systems must be identified in order to breed superior
10 cultivars for regions that are challenged by increasing temperatures under conditions of
11 climate change.

12

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20

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4

1 **Table 1.** Average values for plant morphological traits under four ambient temperature
 2 treatments including the LSD values

Trait ¹	Temperature treatment				
	13C	16.5C	18C	23C	LSD (0.05)
Duration of stem elongation (GDD)	292	382	364	289	17
Duration of tillering period (GDD)	307	430	527	408	36
Leaf number at DEV31	6.2	5.9	6.0	6.1	0.1
Leaf number at DEV31 (%)	63.4	63.4	65.0	67.3	1.1
Phyllochron (GDD)	79	88	93	115	2
Final leaf number ²	9.9	9.3	9.3	9.1	
Plant height at DEV31 (cm)	12.9	12.7	12.4	11.9	0.4
Plant height at DEV49 (cm)	56.7	53.4	48.7	39.9	1.2
Final plant height (cm) ²	67	65	58	44	
Rate of plant height growth (GDD)	6	8	9	11	1

3 ¹ GDD, growing degree days

4 ² For traits analysed in two replications the LSD values between treatments (LSD-T), between
 5 genotypes (LSD-G) and between any two values (LSD-TxG) are given below

Trait	LSD-T	LSD-G	LSD-TxG
Final leaf number	0.08	0.39	0.79
Final plant height (cm)	0.45	2.33	4.65

6

1 **Table 2.** Characterisation of the six ambient temperature-dependent clusters of barley
2 cultivars of different growth habits with regard to ear type, growth habit, provenance, and
3 allelic composition for the major genes that affect time to flowering (*VRN-H1* alleles
4 highlighted in bold are the recessive, winter alleles)
5

Character	Type	Cluster_1 N=11	Cluster_2 N=21	Cluster_3 N=47	Cluster_4 N=13	Cluster_5 N=21	Cluster_6 N=55
Ear type	2	8	9	39	2	10	24
	6	3	12	8	11	11	31
Growth habit	Spring	0	8	46	0	0	39
	Facultative	0	10	0	0	0	3
	Winter	11	3	1	13	21	13
Provenance	AUS		2	1			
	EA		2				
	WA	1	1			1	2
	NAM	1	5	34	4	3	31
	CAM						2
	EU	9	11	12	9	17	20
<i>VRN-H1</i> intron 1*	<i>VRN1-1</i>		3	28			20
	<i>VRN1-2</i>			2			7
	<i>VRN1-3</i>		1	10			7
	<i>1190</i>		1				1
	<i>VRN1-4</i>		5	4			3
	<i>VRN1-5</i>		1	1			3
	<i>VRN1-6</i>				2		1
	<i>VRN1-7</i>			1			
	<i>vrnH1(5200)</i>	11	5	1	6	12	4
	<i>vnrH1(5300)</i>		5		5	9	9
<i>VRN-H2</i> gene	Spring (absent)	0	16	44	0	0	34
	Winter (present)	11	5	3	13	21	21
<i>VRN-H3</i> intron 1 SNP	AG	3	2	15	5	2	18
	TC	8	19	32	7	19	37
<i>PPD-H1</i> 22. SNP**	Recessive	8	2	46	0	6	41
	Dominant	3	19	1	13	15	14
<i>PPD-H2</i> gene	Recessive (truncated)	9	11	2	11	16	9
	Dominant (full)	2	10	45	2	5	46

6 * The classification is based on Hemming *et al.* (2009)

7 ** The classification is based on Turner *et al.* (2005)

Table 3. Changes in yield components under the four ambient temperature treatments averaged over all 168 barley cultivars, with the LSD values between temperatures (LSD-T), between genotypes (LSD-G) and between any two values (LSD-TxG)

Trait	13C	16.5C	18C	23C	LSD-T	LSD-G	LSD-TxG
No. of reproductive tillers	4.3	3.5	2.9	2.0	0.2	0.6	1.3
Seed no. in the main ear	44.7	36.8	33.5	20.2	0.7	3.4	6.8
Seed weight in the main ear (g)	2.4	1.7	1.5	0.8	0.1	0.2	0.4
1000-Kernel weight in the main ear (g)	55.4	49.9	46.2	38.3	1.4	2.7	5.5
Seed no. in the side tillers	128.8	90.7	62.2	23.4	8.3	17.6	35.5
Seed weight in the side tillers (g)	6.0	3.5	2.3	0.8	0.4	0.8	1.5
1000-Kernel weight in the side tillers (g)	48.6	39.4	36.0	28.4	6.7	1.9	13.4
Seed yield per plant (g)	8.4	5.3	3.8	1.6	0.4	0.8	1.6

Figure 1. Change in the thermal time (GDD) and chronological time (days) required to reach a given developmental phase at different ambient temperatures averaged over 168 barley cultivars (with error bars representing the significant differences)

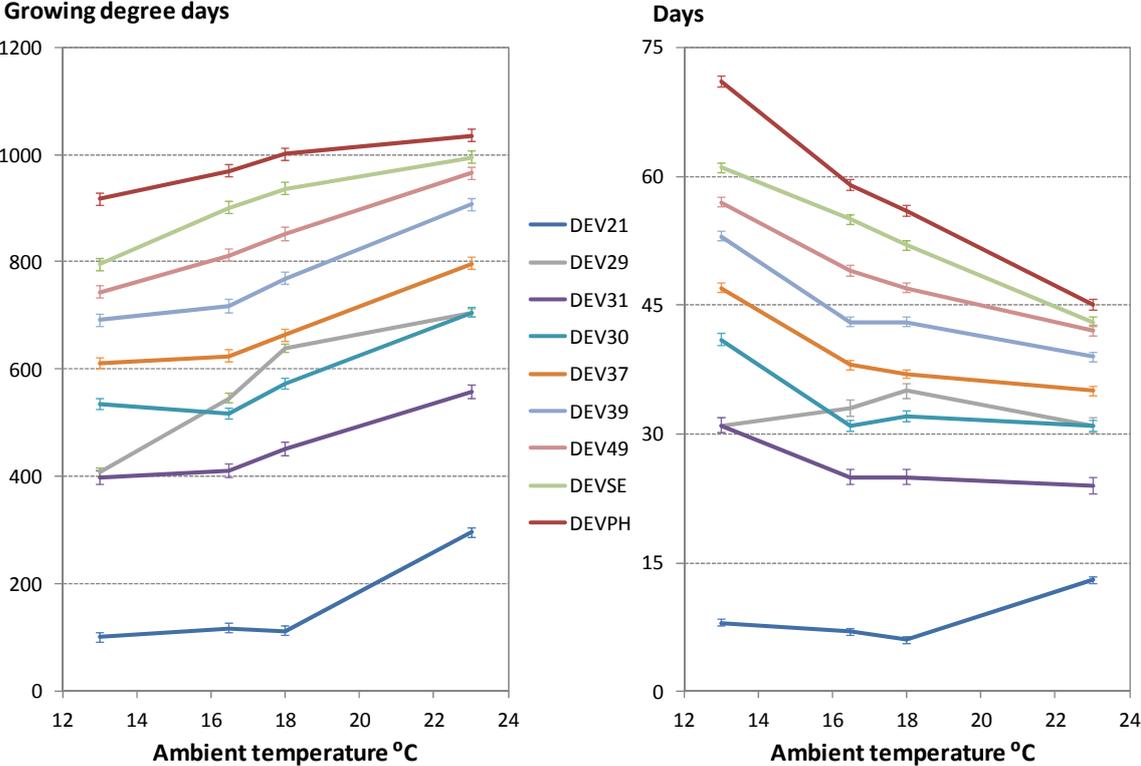


Figure 2. Principal component analysis of the 168 barley cultivars based on the values of thermal time required to attain each of nine phases of plant development (DEV21, DEV29, DEV31, DEV30, DEV37, DEV39, DEV49, DEV_SEend, and DEV_PHfinal) measured under four ambient temperature regimes (13C, 16.5C, 18C, and 23C)

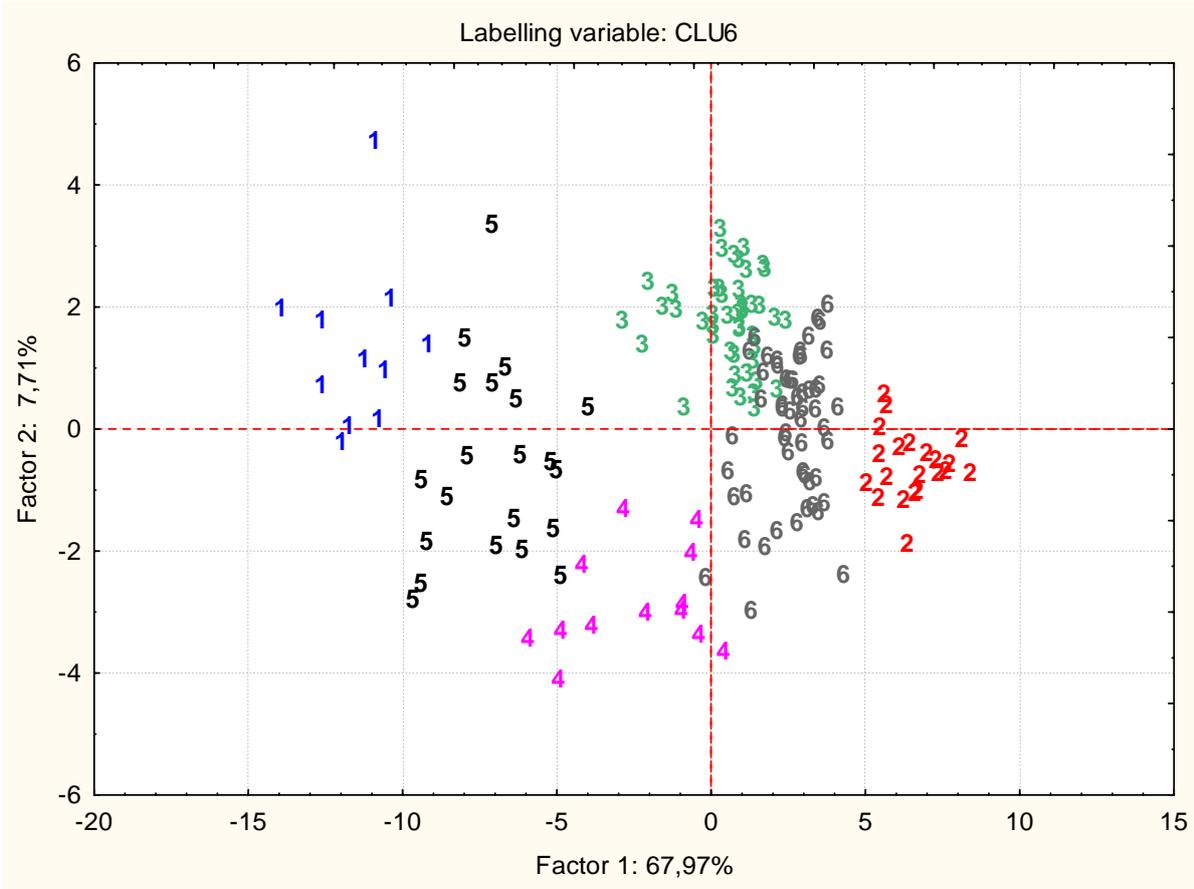


Figure 3. Thermal times required to attain the plant developmental phases of DEV31 (appearance of the first main stem node) and DEV49 (awn just visible) in the six clusters of barley cultivars with different growth habits under four ambient temperature treatments

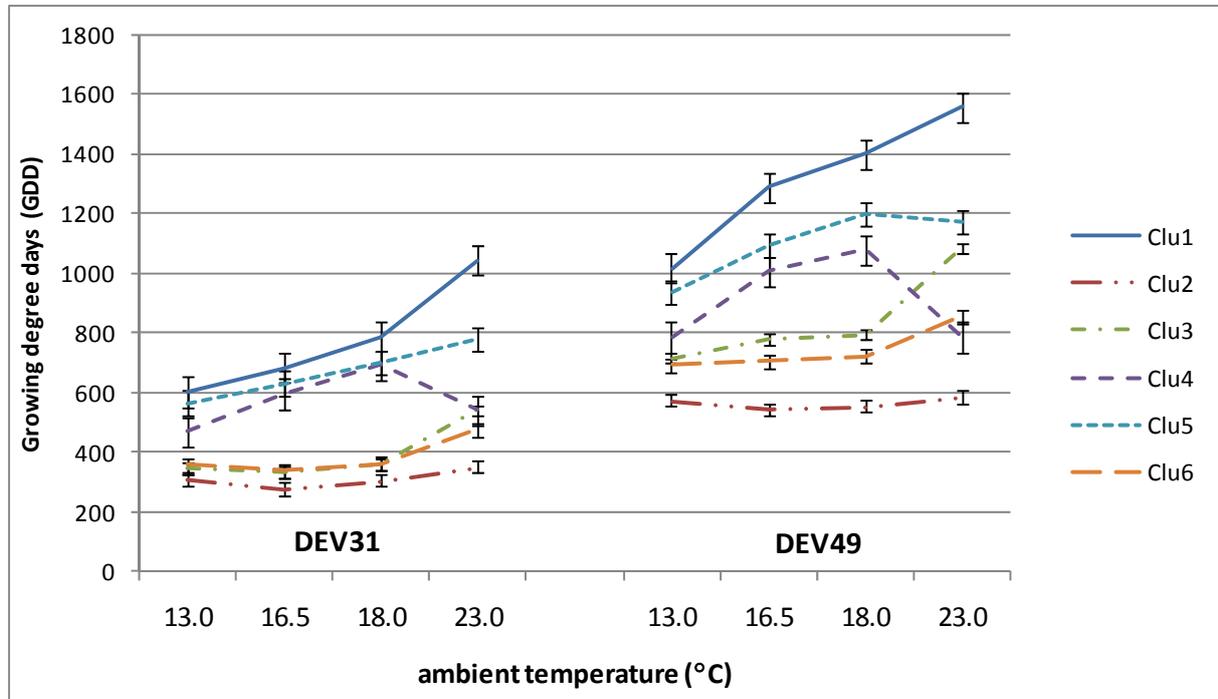


Figure 4. Differences in thermal time between two consecutive developmental phases in the six clusters of barley cultivars with different developmental patterns, under four ambient temperature regimes. The clusters are ordered as winter-facultative-spring: Clu1, Clu4, Clu5, Clu2, Clu3, Clu6

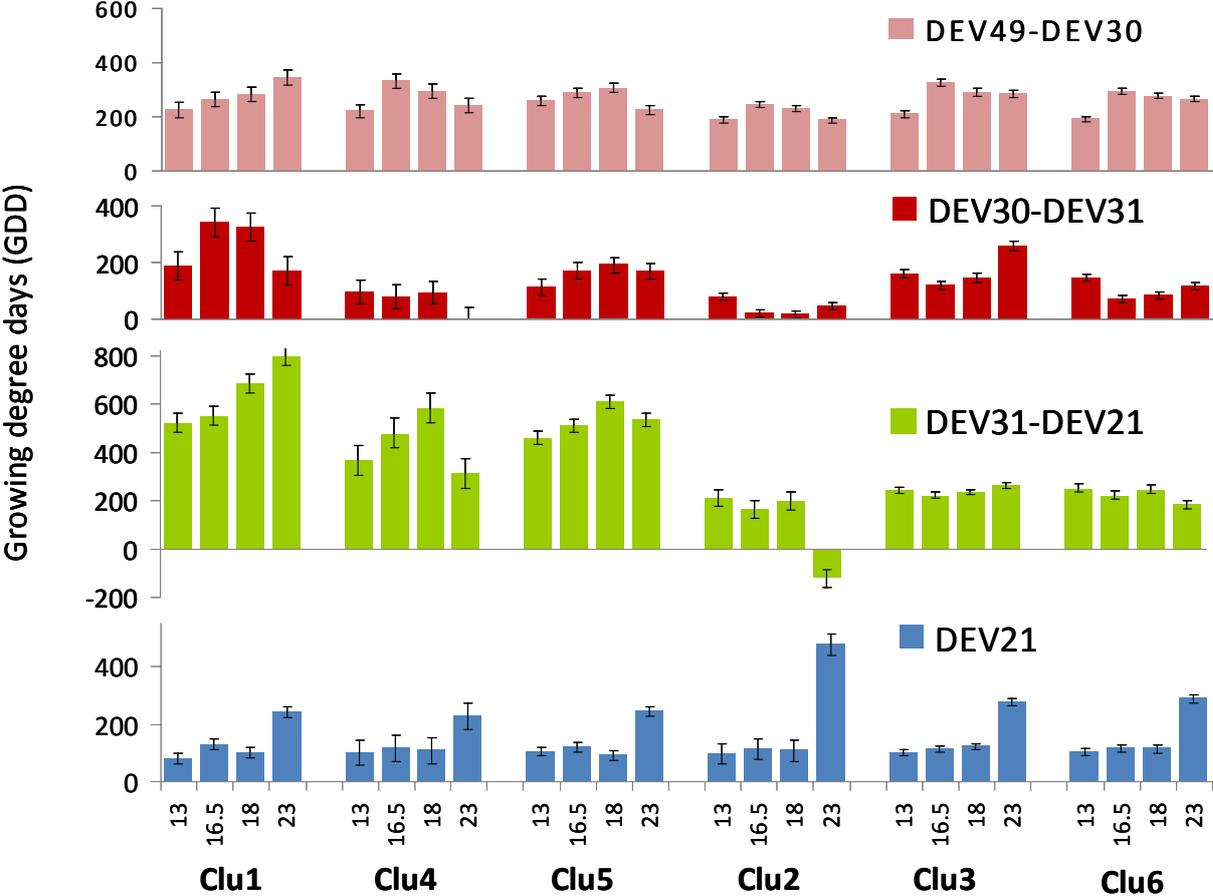


Figure 5. Average responses to vernalization of six barley clusters with different developmental patterns determined under field conditions following artificial vernalization treatments for 0 to 60 days

