

The role of the parafascicular thalamic nucleus in action initiation and steering

Highlights

- During tracking, many Pf neurons represent vector components of head velocity
- Optogenetic stimulation of Pf neurons produces ipsiversive head turning
- Prolonged optogenetic stimulation of Pf neurons results in full body turns
- Inhibition of Pf neurons stops turning and produces downward movements

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In brief

Fallon et al. use electrophysiology, optogenetics, and 3D motion capture to elucidate the role of parafascicular thalamic neurons in orienting behavior during continuous reward tracking.



Article

The role of the parafascicular thalamic nucleus in action initiation and steering

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SUMMARY

The parafascicular (Pf) nucleus of the thalamus has been implicated in arousal and attention, but its contributions to behavior remain poorly characterized. Here, using *in vivo* and *in vitro* electrophysiology, optogenetics, and 3D motion capture, we studied the role of the Pf nucleus in behavior using a continuous reward-tracking task in freely moving mice. We found that many Pf neurons precisely represent vector components of velocity, with a strong preference for ipsiversive movements. Their activity usually leads velocity, suggesting that Pf output is critical for self-initiated orienting behavior. To test this hypothesis, we expressed excitatory or inhibitory opsins in VGlut2+ Pf neurons to manipulate neural activity bidirectionally. We found that selective optogenetic stimulation of these neurons consistently produced ipsiversive head turning, whereas inhibition stopped turning and produced downward movements. Taken together, our results suggest that the Pf nucleus can send continuous top-down commands that specify detailed action parameters (e.g., direction and speed of the head), thus providing guidance for orienting and steering during behavior.

INTRODUCTION

The parafascicular (Pf) nucleus is an intralaminar thalamic nucleus that sends glutamatergic projections to the basal ganglia, a set of subcortical nuclei implicated in the initiation and execution of actions.^{1,2} Traditionally considered a thalamic component of the reticular activating system, the Pf receives afferent inputs from both neuromodulatory brainstem circuits as well as sensory pathways.³

Studies have implicated the Pf in arousal and attention.^{4–6} In primates, many Pf neurons respond with short latency to salient stimuli in multiple modalities, especially when they are unexpected. More recent work also showed that the Pf plays a role in action initiation. In mice, many Pf neurons increase activity at the time of action initiation in an operant lever-pressing task, and inhibition of these neurons could delay action initiation.⁷ Although the Pf is not required for instrumental learning (e.g., lever pressing for reward), it is critical for the regulation of behavioral flexibility, to adapt to changes in action-outcome contingencies.^{8,9} Moreover, activation of Pf projections to the subthalamic nucleus (STN) can restore movement during dopamine depletion.¹⁰ These results suggest the Pf plays a key role in action generation, but its contribution remains poorly understood.

A limitation of previous work on the Pf is the lack of precise quantification of continuous behavioral measures with high temporal and spatial resolution. To examine the relationship between Pf activity and continuous behavioral variables, in this study we trained mice on a continuous reward-tracking task

while simultaneously recording behavior using three-dimensional (3D) motion capture and single-unit neural activity from the Pf.^{11,12} We found distinct populations of Pf projection neurons that represent vector components of velocity with high precision. The most common type of Pf neurons represented ipsiversive velocity. Furthermore, optogenetic excitation of Pf neurons also produced ipsiversive turning of the head followed by the body, and optogenetic inhibition paused turning. These results reveal that the Pf is critical for orienting the head toward salient stimuli and quantitatively determines the parameters of turning behavior.

RESULTS

To understand the relationship between Pf neural activity and continuously generated behavior, we first used wireless electrophysiological recording to monitor neural activity while tracking behavior with a 3D motion capture system.^{12,13} Water-deprived mice with chronically implanted electrode arrays in the Pf were trained to track a reward spout (target) from left to right (~30 mm) to receive a sucrose reward (Figures 1A and 1B). Horizontal movements of the spout were chosen because previous work has shown Pf activity is related to turning behavior,¹⁰ and these movements were also modulated during transition from a reward-port to a lever on an operant lever press task.⁷ One infrared marker was placed on the target and two infrared markers were placed on each side of a bar affixed to the head (Figure 1B). Using six infrared cameras that were placed around



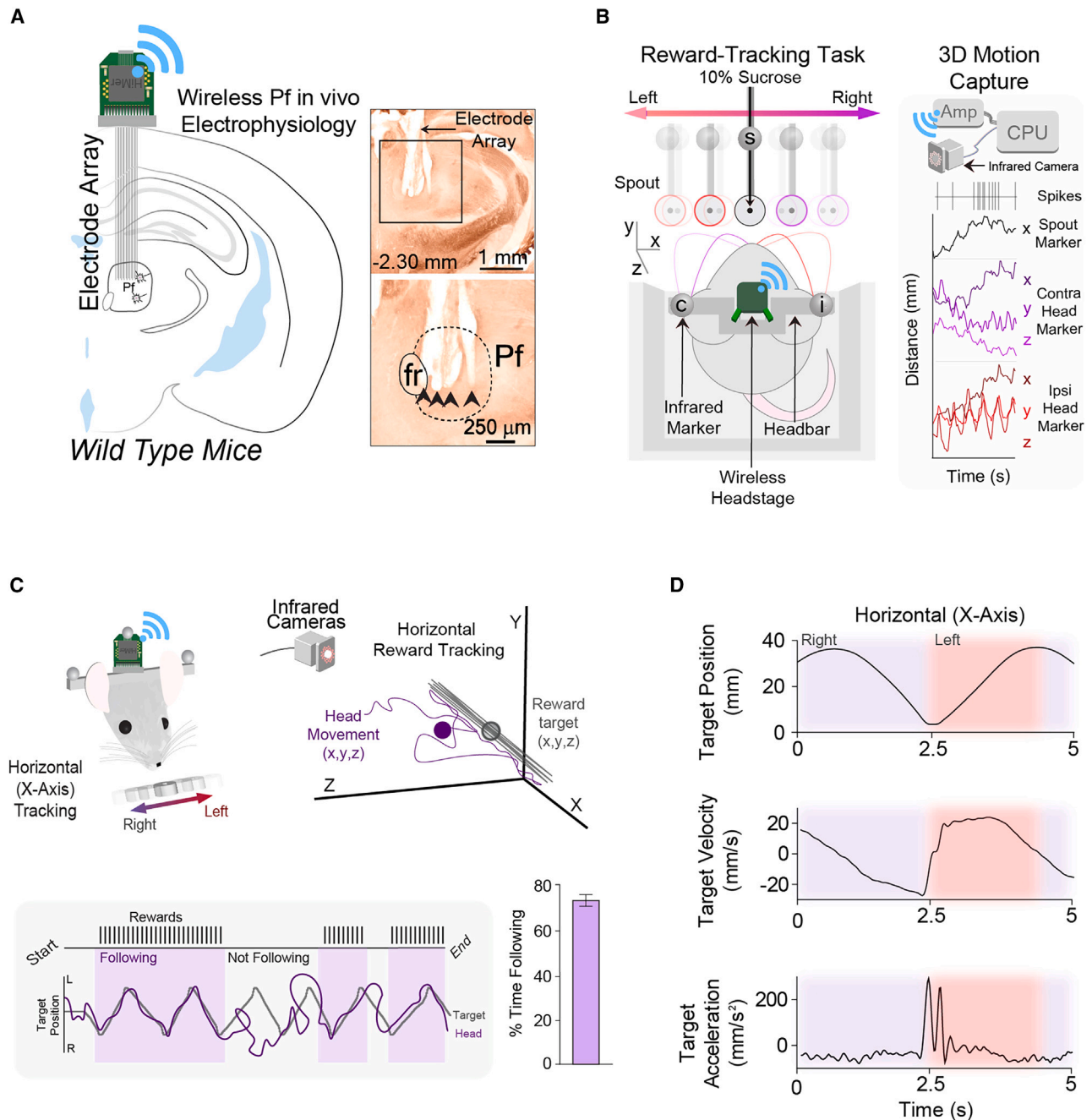


Figure 1. In vivo electrophysiological recordings in the Pf during a reward-tracking task

(A) Left: chronically implanted 16-channel electrode arrays were inserted into the right Pf of wild-type mice. Right: coronal sections through the thalamus and placement of electrode array into the Pf. Black arrows denote electrode tips.

(B) Schematic of reward-tracking experimental design. Reflective markers were placed on the head (c and i) of water-deprived freely behaving mice and the reward spout (s) to track movements in relation to a 10% sucrose reward during wireless recordings of Pf neural activity. The right panel illustrates simultaneous neural recordings during acquisition of movement kinematic information from reflective markers while mice tracked a reward spout.

(C) As mice followed the reward spout (target) left and right, they received rewards only when their head was close to the target. Mice spent an average of 75% of their time following the target.

(D) The target moved along the horizontal axis, with a full cycle taking approximately 5 s (top).

See also [Video S1](#).

the testing arena, kinematic variables such as position, velocity, and acceleration could be obtained from the infrared markers, with high temporal and spatial resolution (100 Hz; Figures 1C and 1D).

The target is a sucrose spout that moves continuously along the horizontal axis. Mice had to follow it closely to earn the reward. When the mice entered a region close to the target (x axis, 30 mm; y axis, 20 mm; z axis, 30 mm), a 10% sucrose solution was delivered every 700 ms. After 3–4 days of training, mice learned to follow the target closely for approximately 75% of the recording session. This task allowed recordings of neural activity during continuous, self-initiated behaviors while the mice tracked a moving target.

We first recorded *in vivo* single-unit activity ($n = 111$) in the Pf of wild-type (WT) mice ($n = 7$, 5 males and 2 females, 10–13 weeks old) and compared their activity on the continuous tracking task with motion capture data. We found three distinct populations of neurons that represent different vector components of velocity. The first population is by far the most common ($n = 38$, 34%) and accurately represented ipsiversive velocity: these neurons increased firing when the mouse moved toward the side where the neurons are recorded and decreased their firing when the mouse moved in the contraversive direction. They showed an extremely high correlation (population $r^2 = 0.99$) with ipsiversive velocity (Figures 2A–2F and 5E). The second population, which is less common ($n = 9$, 8%) (Figures 3A–3F and 5E), represented contraversive velocity, increasing firing when moving in the contraversive direction and decreasing firing when moving in the ipsiversive direction (population $r^2 = 0.97$; Figures 3A–3F and 5E). The third population ($n = 12$, 11%) represented forward velocity, increasing firing rate when moving forward and decreasing firing rate when moving backward (population $r^2 = 0.98$) with forward velocity (Figures 4A–4F). The relationship between velocity and firing rate could be detected even when the mouse was not actively tracking the spout, though correlation was much stronger during tracking (Figure S1). The predictability of the spout movement did not seem to affect Pf activity, as single-unit activity immediately started to represent velocity when the spout suddenly started moving (Figure S2). Although mice frequently received sucrose rewards, the activity of the Pf neurons is not related to reward delivery. We quantified reward rate by measuring the number of sucrose deliveries per second. None of the neuronal populations showed activity that was significantly correlated with reward rate (Figure S3).

We used a high threshold for classifying neurons as representing velocity: neurons were only classified if r^2 was 0.7 or higher across the recording session. Ipsiversive velocity neurons were more common (34%) than contraversive velocity neurons (8%) or forward velocity neurons (11%) (Figure 5E). The population distribution was similar between sexes (Figure S4). All velocity populations showed significantly higher correlation with their classified behavioral variable than with other kinematic variables (Figures 5A–5C). The ipsiversive and contraversive velocity neurons had significantly higher firing rates than unclassified neurons (Figure 5D).

The changes in the firing rates of the Pf velocity neurons appeared to precede changes in velocity, as confirmed by cross-correlation analysis (Figures 2, 3, and 4). Because neural activity generally preceded behavior, we hypothesized that Pf neurons

could directly command the turning and orienting behavior. To test the causal role of Pf in behavior, we used optogenetics to selectively excite and inhibit Pf neurons in *Vglut2-Cre* mice while they were engaged in the reward-tracking task.^{14,15} Most Pf projection neurons are known to express vesicular glutamate transporter 2 (vGlut2).^{10,16} We therefore injected either the Cre-dependent excitatory channelrhodopsin (ChR2) (Figure 6A; *Vglut2::ChR2^{Pf}*; $n = 4$) or the inhibitory ChR2 (StGtACR2) into the Pf of *Vglut2-Cre* mice (Figure 7A; *Vglut2::stGtACR2^{Pf}*; $n = 6$). Histological examination showed that the opsins were expressed in *Vglut2+* neurons (Figures S5A and S5B).

We observed that optogenetic excitation produced ipsiversive turning during the reward-tracking task. To quantify their turning behavior due to stimulation, we measured stimulation-induced behavior while the spout was stationary (Figure 6). When exciting *Vglut2::ChR2^{Pf}* neurons, we first manipulated the frequency while keeping the pulse number constant (10 pulses). Mice received stimulation while licking the reward spout and in a random order of frequency and number of pulses (Figure 6C). We found that optogenetic excitation produced ipsiversive turning as well as upward and forward head movements. This is not surprising, given our finding of predominantly ipsiversive velocity neurons in the Pf. Stimulation significantly increased ipsiversive velocity (Figures 6D–6F). Next, we optogenetically excited *Vglut2::ChR2^{Pf}* by manipulating the pulse number (10, 20, and 30 pulses), but kept the frequency constant (20 Hz; Figures 6G–6I). Stimulation significantly increased ipsiversive movement and the number of pulses was correlated with ipsiversive changes in position or displacement. Finally, to test whether stimulation would produce ipsiversive turning in a different context, we optogenetically excited Pf neurons in a separate cohort of mice in the open field. We found that unilateral stimulation significantly increased ipsiversive turning relative to eYFP controls (30–40 Hz; Figure S6).

To determine how inhibition of Pf neurons may affect behavior, we used an inhibitory opsin to inhibit *Vglut2::stGtACR2^{Pf}* neurons and manipulated pulse duration (300, 600, and 900 ms; Figure 7B). Mice received stimulation while licking the stationary reward spout with a random inter-trial interval (ITI) and in a random order of simulation durations (Figure 7B). The stimulation protocol is different from the ChR2 experiments because using pulse trains with brief pulse durations may not produce sustained silencing of neurons.¹⁵ Using whole-cell patch-clamp recording in brain slices, we verified that Pf neurons can be effectively silenced using our stimulation protocol (Figure S7). Action potentials were generated in current clamp mode during which slices were injected with current that was adjusted to evoke action potentials (50–150 pA); photo-stimulation prevented the generation of action potentials. Using similar stimulation parameters, we showed that optogenetic inhibition during reward delivery paused turning behavior, produced downward movements (Figure 7C). Inhibition significantly increased the downward head movements compared with controls (Figures 7D and 7E).

DISCUSSION

Our results suggest that many Pf neurons play a key role in generating orienting and steering behavior. They are recruited

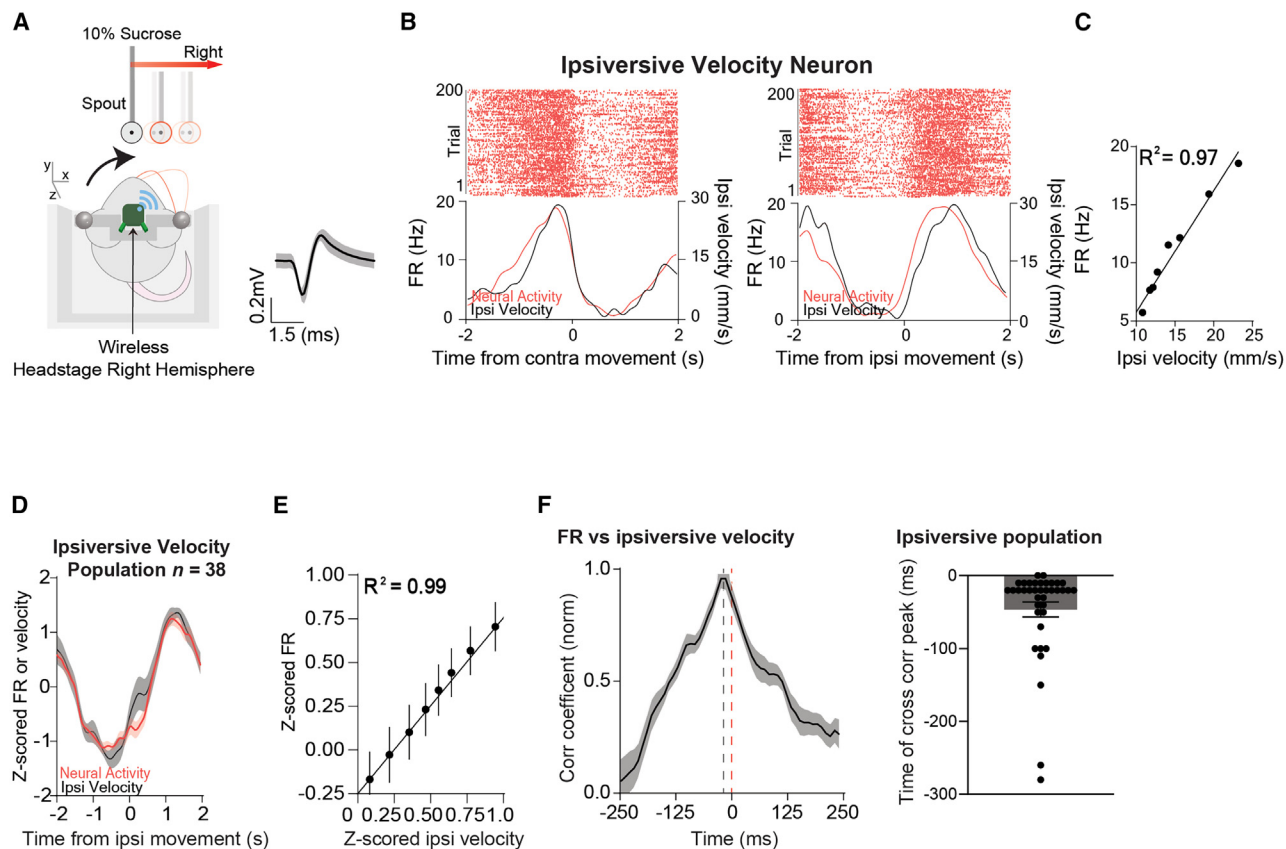


Figure 2. A population of Pf neurons represents ipsiversive velocity

(A) Illustration of a mouse tracking the reward in the direction ipsiversive to the electrode implant. The average wave form of the ipsiversive population. (B) Representative Pf ipsiversive velocity neuron showing high correlation with ipsiversive velocity. Left: peri-event raster plot of a representative Pf ipsiversive velocity neuron showing the neural activity and the corresponding velocity when the target was moving contraversive (0–2 s). Right: peri-event raster plot of the same Pf neuron and the corresponding velocity when the target was moving ipsiversive (0–2 s). (C) There was a high correlation between the ipsiversive velocity and the firing rate of the representative neuron. Correlation ($p < 0.0001$). (D) The population of ipsiversive velocity neurons ($n = 38$) increased their firing rate during ipsiversive movement and decreased during contraversive movement. (E) There was a high positive correlation between Pf firing rate and velocity. Correlation ($p < 0.0001$). (F) Cross-correlation between activity of ipsiversive velocity neurons and ipsiversive velocity shows that neural activity preceded the behavior. Error bars indicate mean \pm SEM.

See also [Figures S1](#) and [S2](#).

when mice orient to salient stimuli and generate high-level commands that specify detailed kinematic parameters for turning. We found distinct populations of Pf neurons that precisely represent turning velocity, as shown in [Figures 2, 3, and 4](#). There are opponent classes of neurons that increase firing depending on the direction of head movement. One class increases firing when turning ipsiversively and decreases firing when turning contraversively ([Figure 2](#)). The second class increases firing during contraversive turning and decreases during ipsiversive turning ([Figure 3](#)). The third class increases firing before forward movements ([Figure 4](#)). Pf neurons show an ipsiversive preference: ipsiversive velocity neurons are by far the most common population (~34% of all recorded neurons), whereas contraversive velocity neurons (8%) and forward velocity neurons (11%) are relatively rare ([Figure 5](#)). Together, these constitute over half of all recorded Pf neurons.

Because the neural activity representing kinematics usually leads observed kinematic measures ([Figures 2F, 3F, and 4F](#)),

our electrophysiological results strongly suggest that Pf neurons play a role in generating orienting movements, especially in the ipsiversive direction. Interestingly, in the forward velocity neurons, the increase in firing rate significantly precedes forward velocity (393 ms, [Figure 4F](#)), unlike the ipsiversive and contraversive neurons, which show activity that precedes kinematics slightly (~48 ms for ipsiversive and ~62 ms for contraversive). Optogenetic stimulation also produced forward movement as well as ipsiversive turning, in agreement with the electrophysiological results. But it remains unclear why the timing of neural activity in relation to kinematics is so different for the forward velocity neurons. It is possible that they generate movements indirectly, via distinct downstream targets, but this possibility remains to be tested. Because ipsiversive velocity neurons are the most common in the Pf, it is not surprising that the net effect of optogenetic excitation is ipsiversive turning.

These conclusions are further corroborated by our optogenetic results. We found that unilateral optogenetic excitation

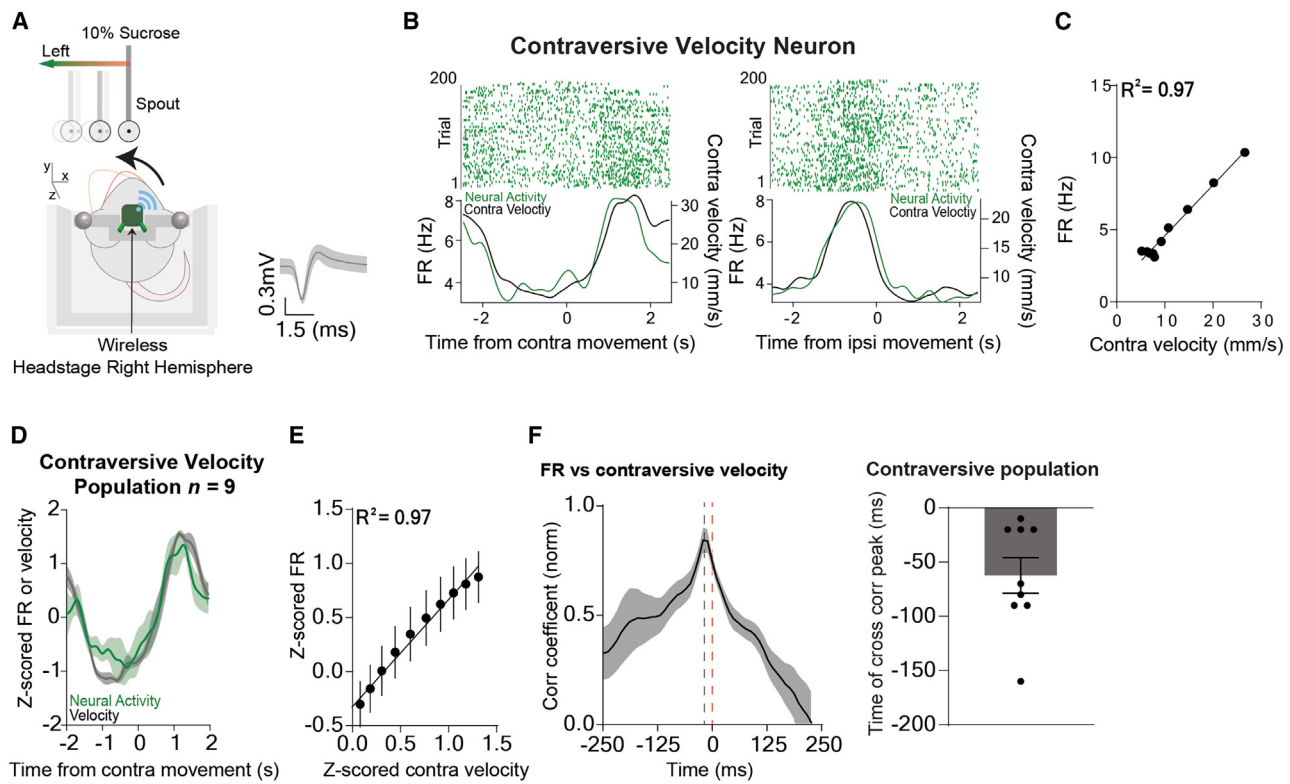


Figure 3. A population of Pf neurons represents contraversive velocity

(A) Illustration of a mouse tracking the reward in a direction contraversive to the electrode implant. The average wave form of the contraversive population. (B) Representative Pf neuron showing a high positive correlation with contraversive velocity. Left: peri-event raster plot of a representative neuron aligned to the target moving contraversive (0–2 s) and the corresponding velocity. Right: peri-event raster plot of the same Pf neuron and the corresponding velocity when the target was moving ipsiversive (0–2 s). (C) There was a high positive correlation between the contraversive velocity and the firing rate of the representative neuron. Correlation ($p < 0.0001$). (D) The population of contraversive velocity neurons ($n = 9$) increased their firing rate during contraversive movement and decreased during ipsiversive movement. (E) There was a high positive correlation between the firing rate of the contraversive velocity population and contraversive velocity. Correlation ($p < 0.0001$). (F) Cross-correlation between activity of contraversive velocity neurons and contraversive velocity shows that neural activity preceded the behavior. Error bars indicate mean \pm SEM.

See also [Figures S1](#) and [S2](#).

produced ipsiversive turning ([Figure 6](#)). Turning behavior was limited to the head when brief stimulation was used. With more sustained stimulation, the whole body would turn as well ([Figures 6I](#), [6J](#), and [S7](#)). We could also pause turning behavior and cause a downward head movement with optogenetic inhibition ([Figure 7](#)).

Previous work showed that Pf neurons respond to salient stimuli, suggesting that they may play a role in attentional orienting and flexible behavioral switching.¹⁷ Our results are broadly in accord with this claim, but additionally reveal a major function of Pf output neurons in generating ipsiversive orienting behavior. Although Pf neurons represented velocity outside of the task ([Figure S4](#)), the correlation between firing rate and velocity was much higher when the mouse was actively engaged in the reward-tracking task ([Figures 2](#), [3](#), [4](#), and [S4](#)), suggesting that attention to the target may enhance kinematic representation in the Pf and modulate orienting behavior. Our results also agree with work showing that Pf

output provides top-down signals for action selection.^{17,18} But they further reveal an unexpected role in controlling the velocity, amplitude, and direction of actions; that is, the role of the Pf output is not only restricted to action initiation but also includes specification of action parameters (e.g., direction and velocity).

The Pf receives major inputs from the somatosensory cortex, superior colliculus, vestibular nuclei, motor cortex, and substantia nigra pars reticulata (SNr); in turn, it projects to the striatum and STN.^{19–22} In particular, vestibular inputs are critical in updating head direction signals in the absence of landmark cues²³; they may be needed to adjust head position for orienting and steering during locomotion.

Recent work has shown that the basal ganglia could provide precise representations of kinematic variables such as position or velocity.^{13,24,25} Given the prominent connectivity between the Pf and the basal ganglia, it is possible that Pf neurons could be one upstream source of signals related to kinematics. However,

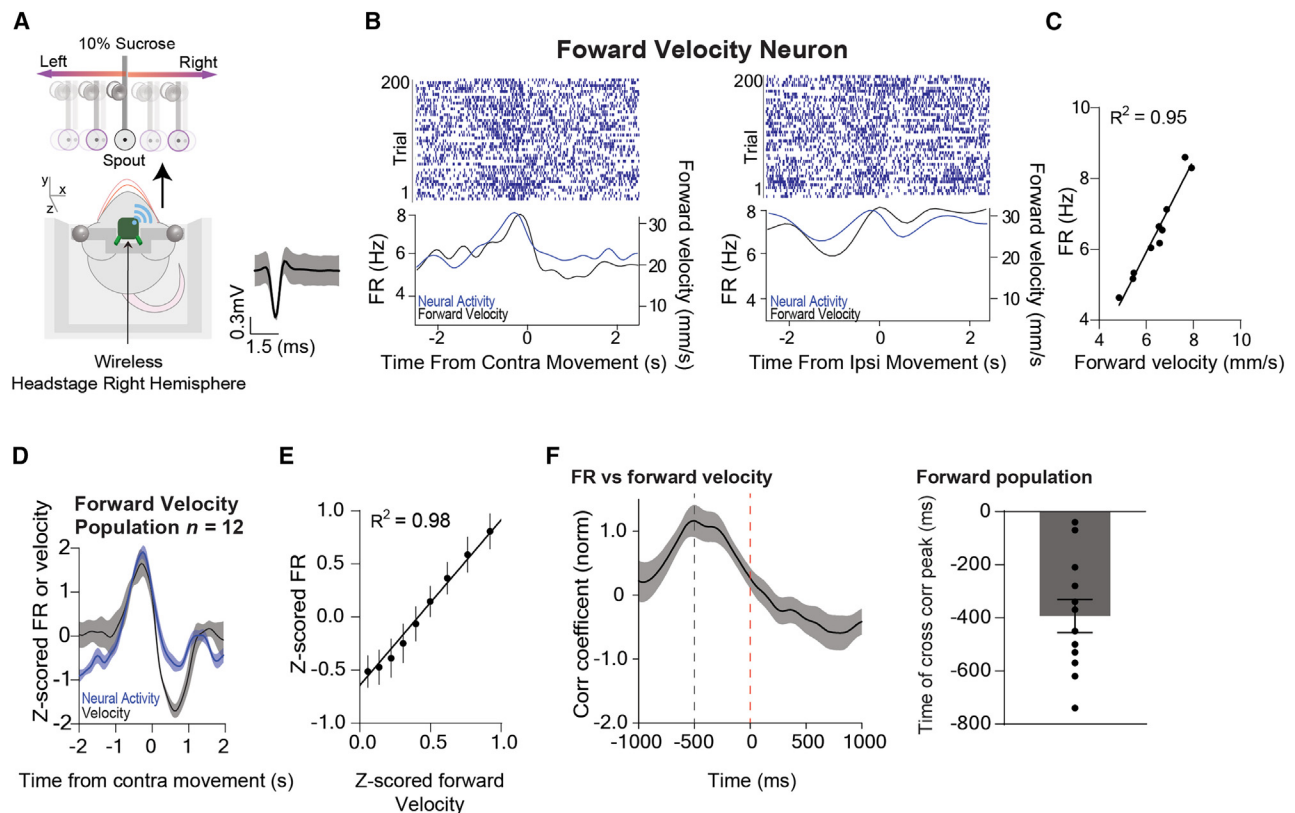


Figure 4. A population of Pf neurons represents forward velocity

(A) Illustration of a mouse moving forward while tracking the reward left and right. The average wave form of the forward velocity population. (B) Representative Pf forward velocity neuron showing high correlation with forward velocity. Left: peri-event raster plot of a representative Pf forward velocity neuron showing the neural activity and the corresponding velocity when the target was moving contraversive (0–2 s). Right: peri-event raster plot of the same Pf neuron and the corresponding velocity when the target was moving ipsiversive (0–2 s). As the mouse increased its velocity forward, the Pf neuron's firing rate would correspondingly increase. (C) There was a high positive correlation between the velocity and the firing rate of the representative neuron. Correlation ($p < 0.0001$). (D) The population of Pf forward velocity neurons ($n = 12$) increased their firing rate during forward movement and decreased during backward movement. (E) There was a high positive correlation between Pf firing rate and forward velocity across all neurons. Correlation ($p < 0.0001$). (F) Cross-correlation between activity of forward velocity neurons and forward velocity shows that neural activity preceded the behavior. Error bars indicate mean \pm SEM.

See also [Figures S1](#) and [S2](#).

striatal projection neurons most commonly represent contraversive velocity, and stimulation of the direct pathway (striatonigral) neurons usually generates contraversive turning.^{13,26,27} It is unlikely that the most common ipsiversive velocity neurons in the Pf, which are presumably glutamatergic, also drive the SPNs that represent contraversive velocity. Previously, many Pf neurons that project to the striatum were found to be related to the latency to initiate pressing in a lever-pressing task.⁷ Given that Pf-striatum activity was increased during the transition between the port and the lever, it is possible that these neurons also represent ipsiversive movement velocity, as found here. This possibility remains to be tested in an operant task.

A separate population of Pf neurons also project to the STN.^{10,19,28,29} Prior work has shown that optogenetic stimulation of Pf-STN projections produces ipsiversive turning, while stimulation of Pf-striatum projections does not. Pf projections to the STN have also been shown to be critical for movement initiation

during dopamine depletion.¹⁰ This pathway bypasses the striatum. STN neurons that receive afferents from the Pf also target downstream regions involved in posture, locomotion, and turning, such as the SNr and mesencephalic locomotor region (MLR).^{30,31} Recent work has also shown that selective stimulation of the STN also produces ipsiversive turning movements.³² It is therefore possible that the ipsiversive velocity neurons observed here also project to the STN.

Although our results show that different populations of Pf neurons represent different components of movement velocity, it is currently unknown whether these populations are topographically organized, express unique molecular markers, or have specific projection targets. The function of the remaining Pf neurons, which do not appear to represent kinematics, also remains obscure. Recent work has found that the Pf contains different sub-regions that participate in distinct cortico-thalamo-striatal loops corresponding to the limbic, associative,

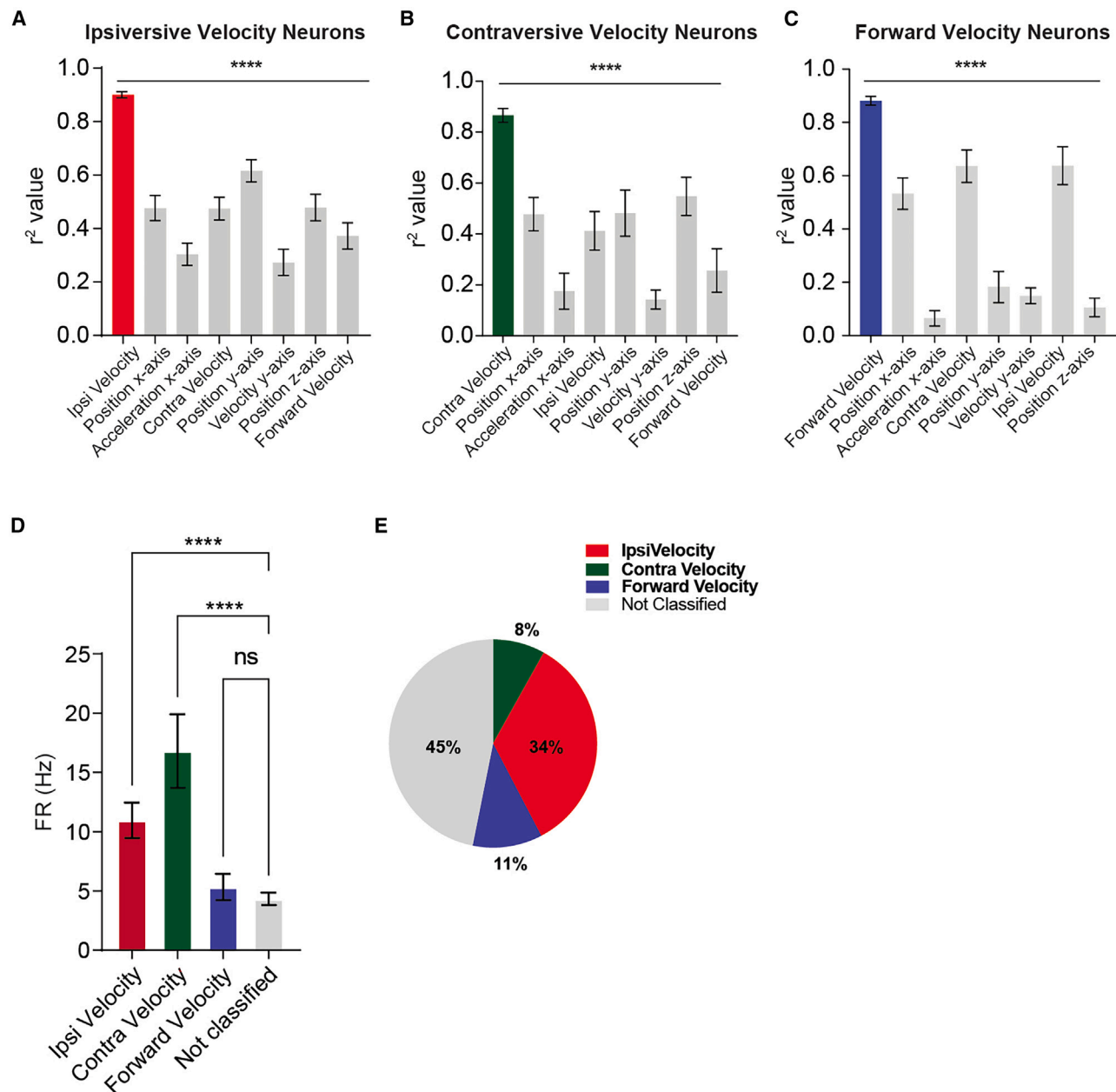


Figure 5. Correlations with other behavioral variables

(A) Ipsiversive velocity neurons have significantly higher positive correlation with ipsiversive velocity than all other kinematic variables (one-way ANOVA, main effect of kinematic variable $F_{(7, 296)} = 18.79$, $p < 0.0001$). Dunnett's post hoc comparison revealed that all other correlations are significantly lower than ipsiversive velocity ($p < 0.0001$).

(B) Contraversive velocity neurons have significantly higher positive correlation with contraversive velocity than other kinematic variables (one-way ANOVA, main effect $F_{(7, 64)} = 8.23$, $p < 0.0001$). Dunnett's post hoc comparisons revealed that other correlations are significantly lower than contraversive velocity ($p < 0.0001$).

(C) Forward velocity neurons have significantly higher positive correlation with forward velocity than other kinematic variables (one-way ANOVA, main effect $F_{(7, 83)} = 43.67$, $p < 0.0001$). Dunnett's post hoc comparison revealed that all other correlations are significantly lower than forward velocity, except for ipsiversive velocity ($p < 0.0001$, ipsiversive velocity $p = 0.11$).

(D) One-way ANOVA revealed a significant main effect of group in firing rates of the classified neurons ($F_{(3, 107)} = 13.71$, $p < 0.0001$). Ipsiversive and contraversive velocity neurons had significantly higher firing rates than unclassified neurons (Dunnett's, $p < 0.0001$).

(E) Percent of each population of neurons. Error bars indicate mean \pm SEM. **** $p < 0.0001$.

See also [Figures S3](#) and [S4](#).

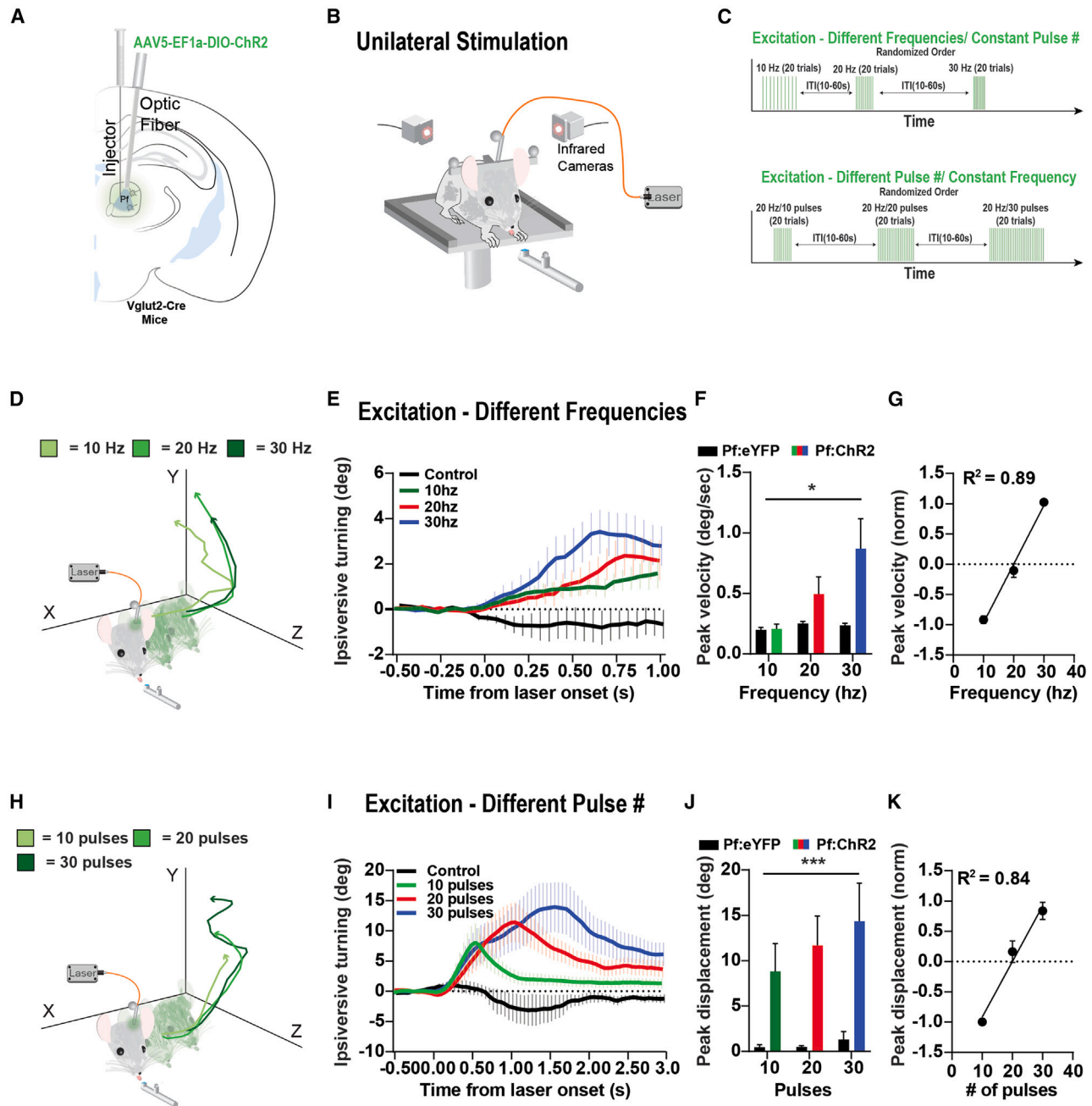


Figure 6. Optogenetic excitation produces ipsiversive turning

(A) For optogenetic excitation, the Cre-dependent excitatory opsin DIO-ChR2 was injected into the Pf of *Vglut2-Cre* mice. Controls received injections of eYFP. Optic fibers were placed above the Pf.

(B) Mice received unilateral optogenetic stimulation while engaged in the reward-tracking task.

(C) Either the stimulation frequency was varied with a fixed number of pulses (top: 10 pulses for 10, 20, and 30 Hz), or the frequency was held constant and the number of pulses was varied (bottom: 20 Hz with 10, 20, and 30 pulses). Stimulation was delivered with a random inter-trial interval (ITI).

(D) Head position traces of a representative animal from optogenetic excitation using different frequencies with the same number of pulses (10, 5-ms pulses). Excitation would produce ipsiversive head turning. In addition to horizontal head movement, they would also slightly move their head up and forward.

(E) Stimulation at different frequencies caused ipsiversive head turning (control = Pf:eYFP 30 Hz).

(F) Stimulation significantly increased ipsiversive velocity in Pf:ChR2 mice relative to Pf:eYFP controls (two-way ANOVA, virus [ChR2 or eYFP] × frequency, main effect of virus $F_{(1,24)} = 6.169$, $p = 0.0204$, no effect of frequency $F_{(2, 24)} = 2.879$, $p = 0.0757$, and no interaction $F_{(2, 24)} = 2.366$, $p = 0.1154$) (Pf:ChR2 $n = 6$ hemispheres, Pf:eYFP $n = 4$ hemispheres).

(G) Ipsiversive velocity increased linearly with stimulation frequency (linear regression, $p < 0.0001$).

(H) Head position traces of a representative animal from optogenetic excitation using different pulse numbers with the same frequency (20 Hz, 5-ms pulses).

(I) Stimulation at different number of pulses caused ipsiversive head turning (control = Pf:eYFP, 30 pulses).

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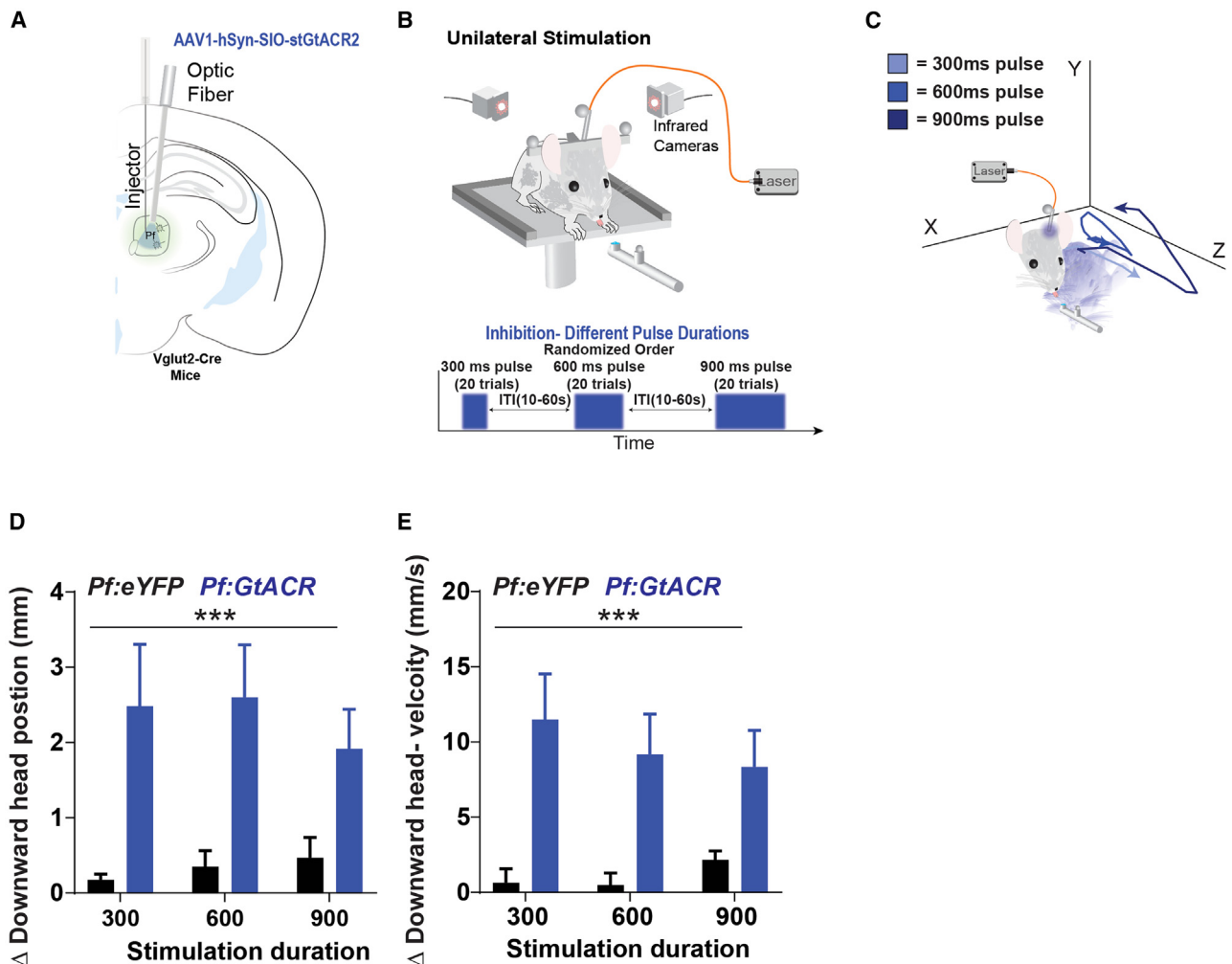


Figure 7. Optogenetic inhibition produces downward head movement

(A) For optogenetic inhibition, the Cre-dependent inhibitory opsin SIO-StGtACR2 was injected into the Pf of *Vglut2-Cre* mice. Controls received injections of eYFP. Optic fibers were placed above the Pf.

(B) Mice received unilateral optogenetic stimulation while engaged in the reward-tracking task. The duration of the pulses was varied (bottom: 300-, 600-, and 900-ms pulses) and was delivered with a random ITI.

(C) Head position traces of a representative animal from optogenetic inhibition using different durations. Inhibition would cause their head to move downward followed by a pause in movement.

(D) Photo-stimulation produced downward head movement, as indicated by downward position change (two-way ANOVA, virus [GtACR or eYFP] \times duration, significant main effect of virus, $F_{(1,24)} = 15.49$, $p = 0.0006$, no significant effect of duration, $F_{(2,24)} = 0.1041$, $p = 0.9015$, no significant interaction $F_{(2,24)} = 0.2963$, $p = 0.7462$).

(E) Stimulation significantly increased downward head velocity (two-way ANOVA, virus \times duration, significant main effect of virus $F_{(1,24)} = 18.23$, $p = 0.0003$, no significant effect of duration $F_{(2,24)} = 0.1324$, $p = 0.8766$, no significant interaction $F_{(2,24)} = 0.4542$, $p = 0.6403$) (Pf:GtACR $n =$ hemispheres, Pf:eYFP $n = 4$ hemispheres). Error bars indicate mean \pm SEM. *** $p < 0.001$.

See also [Figures S5](#) and [S7](#).

and sensorimotor circuits of the basal ganglia.¹⁶ It is possible that the velocity-related Pf neurons participate in the sensorimotor circuits, whereas those neurons that are not related to

movement kinematics may participate in limbic or associative circuits and contribute to behavioral flexibility and other functions.³³ Such a possibility remains to be determined.

(J) Stimulation significantly increased ipsiversive displacement in Pf:ChR2 mice relative to Pf:eYFP controls (two-way ANOVA, virus [ChR2 or eYFP] \times pulses, main effect of virus $F_{(1,24)} = 18.19$, $p = 0.0003$, no effect of pulses, $F_{(2,24)} = 8.05252$, $p = 0.5981$, and no interaction $F_{(2,24)} = 0.2886$, $p = 0.7519$) (Pf:ChR2 $n = 6$ hemispheres, Pf:eYFP $n = 4$ hemispheres).

(K) Ipsiversive displacement increased linearly with stimulation pulse number (linear regression, $p < 0.0001$). Error bars indicate mean \pm SEM. * $p < 0.05$, *** $p < 0.001$.

See also [Figures S5](#) and [S6](#).

STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

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SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.cub.2023.06.025>.

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AUTHOR CONTRIBUTIONS

I.P.F., R.N.H., G.D.R.W., and H.H.Y. designed experiments; I.P.F. and R.N.H. performed *in vivo* electrophysiological experiments; N.K. performed *in vitro* electrophysiological experiments; I.P.F., R.N.H., and C.M.L. conducted optogenetic experiments; I.P.F. and R.N.H. analyzed data; and I.P.F., R.N.H., and H.H.Y. wrote the manuscript.

DECLARATION OF INTERESTS

The authors declare no competing interests.

INCLUSION AND DIVERSITY

We support inclusive, diverse, and equitable conduct of research.

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STAR★METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Polyclonal guinea pig anti-VGlut2	Synaptic System	Cat#: 135404
Goat anti-Guinea Pig IgG (H+L) Highly Cross-Adsorbed Secondary Antibody, Alexa Fluor 488	Invitrogen	Cat#: A-11073
Goat anti-Guinea Pig IgG (H+L) Highly Cross-Adsorbed Secondary Antibody, Alexa Fluor 594	Thermo Fisher	Cat#: A-11076
Bacterial and virus strains		
pAAV5-EF1a-DIO-hChR2(E123T/T159C)-eYFP	Addgene	Cat#: 35509
rAAV5-hSyn-eYFP	UNC Core	N/A
pAAV-hSyn1-SIO-stGtACR2-FusionRed	Addgene	Cat#: 105677
Deposited data		
Raw and analyzed data	This paper	https://figshare.com/s/4afa6c3ab5185866b774
Experimental models: Organisms/strains		
<i>Vglut2-ires-Cremice</i>	Jackson Labs	Mouse Strain: 016963
<i>Wild type mice (C57BL/6J)</i>	Jackson Labs	Mouse Strain: 000664
Software and algorithms		
MATLAB 2022b	MathWorks	https://www.mathworks.com/products/matlab.html
Cerebus Central Suite - Fiber Optic	Blackrock Neurotech	https://blackrockneurotech.com/research/support/software/
Offline Sorter 3.0	Plexon	https://plexon.com/products/offline-sorter/
NeuroExplorer 5.414	Nex Technologies	http://www.neuroexplorer.com/downloadpage/
GraphPad Prism 9	GraphPad	https://www.graphpad.com/scientific-software/prism/
Cortex 5.0	MotionAnalysis	http://ftp.motionanalysis.com/html/industrial/cortex.html
DeepLabCut	GitHub	https://github.com/DeepLabCut/DeepLabCut
Other		
DAPI Fluoromount-G	Southern Biotech	Cat# 0100-20

RESOURCE AVAILABILITY

Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Henry Yin (hy43@duke.edu). This study did not generate new unique reagents.

Materials availability

All of the materials used in the experimental procedures are available upon request.

Data and Code Availability

- All data reported in this paper is located here: <https://figshare.com/s/4afa6c3ab5185866b774>.
- This paper does not report original code.
- Any additional information required to reanalyze the data reported in this paper is available from the lead contact upon request.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Mice

All experimental and surgical procedures were conducted in accordance with standard ethical guidelines and were approved by the Duke University Institutional Animal Care and Use Committee. Both *Wild-type* ($n = 17$, 7 male and 10 female) and *Vglut2-ires-Cre* C57BL/6J mice ($n = 9$, 5 female and 4 male) were used. Adult *Vglut2-ires-Cre* mice were randomly assigned to *Vglut2::ChR2^{Pf}* ($n = 5$), *Vglut2::stGtACR2^{Pf}* ($n = 4$). WT mice were assigned to *WT::eYFP^{Pf}* ($n = 6$), *WT::Ephys^{Pf}* ($n = 7$), and *WT::ChR2^{Pf}* ($n = 4$) groups. All mice were between 2-8 months old, group housed with 3-5 animals per cage on a 12:12 light cycle and tested during the light phase. For experiments, mice were put on water restriction and maintained at 85-90% of their initial body weights. Animals received 2 hours of free access to water following the experimental sessions.

METHOD DETAILS

Viral Constructs

pAAV5-EF1a-DIO-hChR2(E123T/T159C)-eYFP was obtained from Addgene (35509). rAAV5-hSyn-eYFP was obtained from the UNC Core. pAAV-hSyn1-SIO-stGtACR2-FusionRed was purchased from Addgene (105677).

Surgery

Mice were initially anesthetized with 2.0 to 3.0% isoflurane and maintained at 1.0 to 1.5 % during surgical procedures. They were placed into a stereotactic frame (David Kopf Instruments, Tujunga, CA) and administered Meloxicam (2 mg/kg) and bupivacaine (0.20 mL) before surgical incisions. Craniotomies were made bilaterally above the Pf. The virus was then injected (0.4 μ L) with a microinjector (Nanoject 3000, Drummond Scientific) through a glass pipette into the Pf (Relative to bregma: AP: -2.30 – 2.50 mm, ML: \pm 0.60 – 0.75 mm, DV: -3.70 – 3.40 mm from skull surface). For electrophysiology experiments a prefabricated 4x4 electrode array (Innovative Neurophysiology, 150 μ m electrode and row spacing) was slowly lowered into the Pf (AP: -2.30 mm, ML: \pm 0.65 mm, DV: 3.30 mm) over the course of 30 minutes. After insertion of optic fibers or electrode array, screws were inserted into the skull and dental acrylic was used to secure the implant. Mice were allowed to recover for two weeks before training and experimentation began.

Reward tracking task

The continuous reward tracking allows us to record neural activity in freely moving mice as well as continuous kinematic variables with high temporal and spatial resolution.¹³ Mice were placed on a custom designed elevated (40cm tall) platform that allowed access to a motorized reward spout (Bipolar, 56.3 \times 56.3 mm, DC 1.4A, 2.9 Ω , 1.8 degree / step, Oriental motor, USA) that was placed approximately 4 cm away from the front of the platform. Mice were trained for 4 to 6 days to follow the moving spout from left to right. Experimental sessions were conducted when the mice would follow the reward spout for the majority of the testing session (>70%). During recordings, the spout was set to travel a total of 4.5m. To measure the behavior of the mice, infrared reflective markers (B&L Engineering) were placed on a metal head bar extending from the left and right side of the animal's dental cement head cap. A third marker was placed on either the wireless electrode head stage or the fiber optic patch cord. A reflective marker was attached to a connected arm extending \sim 20mm from the spout to track the spout location. The positions of the reflective markers were detected by six Raptor-H Digital infrared cameras encircling the platform and recorded in Cortex at 100FPS (Motion Analysis, CA) as Cartesian coordinates. The reward was delivered (12 μ L of 10% condensed milk solution) every \sim 700 milliseconds when the mouse is tracking the reward spout (head marker with an area surrounding the reward spout - X axis: 30mm, Y axis: 20mm, Z axis: 30mm). Contingent reward delivery as well as movement of the reward spout were controlled by custom MATLAB scripts. Behavioral data was then post-processed in Cortex. Timestamps for neural activity, reward delivery, and optogenetic stimulation were recorded using a Cerebus Blackrock data acquisition system. Behavioral data and timestamps were then aligned and analyzed using Neuroexplorer (Nexus).

Wireless *in vivo* Electrophysiology Recordings

A 128-Channel neural signal data acquisition system (Cerebus, Blackrock Microsystems) recorded action potentials through a miniaturized wireless head stage (Triangle Biosystems) interfaced to electrode arrays (Innovative Neurophysiology). Data were filtered with both analog and digital bandpass filters before being sampled as previously described.^{31,34,35} Collected neural data was sorted offline with OfflineSorter (Plexon) and analyzed in Neuroexplorer (Nexus). Discharges with a signal-to-noise ratio of at least 3:1 were time-stamped at a resolution of 0.1 ms. Waveforms were classified as single units using the following criteria: 1) a signal to noise ratio of at least 3:1; 2) consistent waveforms throughout the recording session; 3) refractory period of at least 800 μ s.

Whole-cell patch clamp recording

For whole-cell patch-clamp recordings (Figure S7), 2 *Vglut2-Cre* animals were used. Virus (stGtACR2) was injected into the Pf bilaterally and the mice were sacrificed 4 weeks post-injection. The brain was removed then left in an ice-cold solution bubbled with 95% O₂-5% CO₂ containing the following (in mM): 194 sucrose, 30 NaCl, 2.5 KCl, 1 MgCl₂, 26 NaHCO₃, 1.2 NaH₂PO₄, and 10 D-glucose.

After approximately 5 minutes, the brain was cut in 250 μm coronal sections placed in 35.5°C oxygenated artificial cerebrospinal fluid (aCSF) solution containing (in mM): 2.5 KCl, 124 NaCl, 2 CaCl₂, 26 NaHCO₃, 1 MgCl₂, 1.2 NaH₂PO₄, and 10 D-glucose. The slices were left in aCSF at \sim 22–23°C for a minimum of 30 min before the experiment. Whole-cell patch clamp recordings were then performed in current clamp mode with continuous perfusion of aCSF at 29–30°C. The internal solution contains (in mM): 150 potassium gluconate, 2 MgCl₂, 10 HEPES, 3 sodium ATP, 1.1 EGTA and 0.2 sodium GTP.

During recording, slices were injected with current that was adjusted to evoke action potentials (50–150 pA). Current was kept on for 2 seconds. Slices were stimulated with 470-nm light from an LED (Thor Labs) 500 ms after the start of the current injection. Stimulation at different frequencies (5 ms pulses at 5, 10, 25, 50 and 100 Hz; MASTER-8) was delivered with an LED current driver (Thor Labs; \sim 2 mW/mm²) to the entire 40 \times field. Action potentials were recorded for 500 ms after light delivery. All recordings were performed with a MultiClamp 700B amplifier (Molecular Device). They were filtered at 10 kHz and digitized at 20 kHz with a Digidata 1440A digitizer (Molecular Devices).

Optogenetic stimulation

Unilateral optogenetic stimulation experiments were conducted using bilaterally implanted Vglut2::ChR2^{Pf}, Vglut2::stGtACR2^{Pf}, and WT::eYFP^{Pf} mice. For open field stimulation experiments, bilaterally implanted Vglut2::ChR2^{Pf}, WT::ChR2^{Pf}, and WT::eYFP^{Pf} mice were used. For each animal, left and right hemisphere stimulation occurred on separate days. Prior to the experimental session, optical fiber (105- μm core diameter, 0.22 NA Precision Fiber Products) power output was measured (PM120VA, ThorLabs) to obtain \sim 5mW, \sim 8mW, and \sim 7mW for Vglut2::stGtACR2^{Pf}, Vglut2::ChR2^{Pf}, and WT::ChR2^{Pf} mice, respectively. During the reward tracking task, 473 nm DPSS laser output (Shanghai Laser & Optics) was triggered by a MATLAB program interfaced to a National Instruments DAQ when the animal's head entered an experimentally defined region surrounding the stationary reward spout (x-axis: 20 mm; Y-axis: 20 mm; Z-axis: 15 mm). Upon head entry into the defined region, stimulation occurred randomly with an inter-stimulation interval ranging 8–16 seconds. For open field stimulation, 473 nm DPSS laser output (Shanghai Laser & Optics) was triggered by a custom Arduino script 25 times randomly across the recording session. For stimulation during reward, timestamps for stimulation, video frames and reward delivery were recorded in a Cerebrus Blackrock data acquisition system. For stimulation in the open field, timestamps for stimulation and video frames were recorded on an Open Ephys acquisition board. Behavioral data and timestamps were analyzed in Neuroexplorer (Nexus) and GraphPad (Prism).

Histology

Mice were first anesthetized and perfused with 20 ml of 0.1M PBS followed by 20 ml of 4% paraformaldehyde. For mice with fiber implants, the head and skull cap were left intact and were stored in 4% paraformaldehyde for 2–3 days at 4 °C to aid histological verification of fiber placement. Brains were then removed and fixed in 30% sucrose. After 2 days, brains were sliced at 60 μm along the coronal plane using a Leica CM1850 cryostat.

For electrophysiological experiments, sections were stained for cytochrome oxidase to visualize electrode tracks. Sections were rinsed in 0.1M PB before incubating in a diaminobenzidine, cytochrome C, and sucrose solution for \sim 2 hours at room temperature. For immunohistochemistry, 30 μm free-floating sections were rinsed three times in TBS with 0.2% Triton-X 100 (TBST; Roche, Switzerland) at room temperature for 10 min. Sections were blocked in 5% Normal Goat Serum (NGS) in TBST for 1 h at room temperature. Sections were then incubated with a primary antibody (polyclonal guinea pig anti-VGlu2; 1:5000 dilution; Synaptic System; catalog no. 135 404) in blocking solution overnight at 4 °C. Sections were then rinsed three times in TBST for 10 min before being placed in a secondary antibody used to visualize VGlu2 colocalization with either stGtACR2 conjugated with fusionRed (goat anti-guinea pig Alexa Fluor 488, 1:200 dilution; Invitrogen; catalog no. A-11073) or ChR2 conjugated with YFP (Alexa Fluor 594, 1:200 dilution; Thermo Fisher; catalog no. A-11076) for 2 hr at room temperature. Fiber placement and injection site visualization was further aided by DAPI staining in the mounting medium (Fluoromount-G).

QUANTIFICATION AND STATISTICAL ANALYSIS

For main electrophysiological experiments, data from time windows when the mice were engaged in the task were analyzed (\sim 75% of the whole sessions). For supplemental electrophysiological experiments both data from time windows when the mice were engaged and disengaged in the task were analyzed. The Cartesian coordinates for the position of the head were obtained from the motion capture data (Cortex software) and were then further processed offline to take derivatives of position. Data were imported into Neuroexplorer (Nexus) for further analysis and comparison with neural data. Data were binned using 50 millisecond time bins and Gaussian smoothed over 5 bins. Neural and behavioral data were also imported into Graphpad Prism 9 for statistical analyses. For electrophysiological experiments, correlation (Pearson's r) between firing rates and behavioral variables was calculated by comparing the binned data's averages for the neural activity and the corresponding behavioral measures. When classifying a neuron as representing a particular movement variable, a criterion of $r^2 > 0.7$ was used and also needed to be greater than all other movement comparisons. Neuroexplorer (Nexus) was then utilized to perform cross-correlation analyses. Latencies were determined by finding the lag to the maximum value of the cross-correlation for positively correlated neurons. Cross-correlations were normalized and averaged together across all animals to obtain population data. For the optogenetic experiments, the body turn angle was computed from behavioral tracking data and imported into Neuroexplorer (Nexus) for further analysis. Data were binned using 50 millisecond time bins and aligned to stimulation. The average peak angular velocity or displacement following

stimulation were computed for each mouse. A Two-way ANOVA was used to determine the effects of reward stimulation. For open field experiments, mouse behavior videos were analyzed using DeepLabCut (DeepLabCut software) and further processed using a custom MATLAB script to convert values to millimeters (MATLAB 2022b). Body turn angle was then computed. The peak angular displacement during the start of stimulation to 2.5 seconds following was computed. A Two-way ANOVA was used to determine the effects of open field stimulation.