

# Environmental Science and Pollution Research

## Dissimilar behavioral and spatial avoidance responses by shrimps from tropical and temperate environments exposed to copper

--Manuscript Draft--

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	Spanish Ministry of Science and Innovation (PID2019-105868RA-I00)	Mr Cristiano V.M Araújo
	Fundación General CSIC (201830I081)	Mr Enrique González-Ortegón
<b>Abstract:</b>	<p>Behavioral changes associated with exposure to pollutants represent the earliest response for organisms confronted by perceivable chemical signals. This study was carried out with the objective of evaluating behavioral responses associated with different scenarios of exposure to pollutants (non-forced vs forced) in two shrimp species ( <i>Penaeus vannamei</i> and <i>Palaemon varians</i> ), representative of different latitudes and using copper as a model contaminant. The effects on locomotion were evaluated by exposing the shrimps to a range of copper concentrations (0, 0.5, 5, 50 and 250 µg/L) in the forced scenario. After exposure, the movement patterns for each shrimp were recorded and used to estimate changes in the shrimps' locomotion. For the non-forced scenario, the avoidance response was assessed by placing shrimps in a multi-compartment system where they were able to move freely along a gradient of copper (0, 0.5, 5, 50 and 250 µg/L). In terms of locomotion, an opposite trend was observed between the species: movements were significantly reduced in <i>P. varians</i> with concentrations above 50 µg/L, while hyperactivity was observed for <i>P. vannamei</i>. When exposed to a gradient of copper in the multi-compartment system, both species significantly avoided the highest concentrations of copper, although the repellence of copper was stronger for <i>P. vannamei</i>. In summary, both species of shrimps were able to recognize and avoid copper; however, in terms of locomotion, they showed an opposite behavioral reaction. These results show that a contamination event can have different behavioral outcomes depending on the species and complementing forced and non-forced exposure with species specific information can be helpful to characterize and predict the effects of contaminants at higher biological</p>	

	levels.
<b>Response to Reviewers:</b>	<p>Responses to Reviewers' comments:</p> <p>Reviewer #1</p> <p># 1 - Line 99-102: Is there any problem of copper contamination in the any of the study area? I would like to know if copper was only used as "model contaminant" or it is a real contaminant in the environments where the study was carried out.</p> <p>Response: Copper was used as a model contaminant as its effects on decapods behavior are widely known.</p> <p># 2 - Line 120; 127, 139; 142 etc.: 30 g/L? Please, specify to which measure refer this value.</p> <p>Response: Although the units PSU and ‰ have been commonly used to express salinity, since 1985 it was recommended that salinity should be represented by a number, with no unit. The reason for this recommendation is explained in detail in the UNESCO report (see link: <a href="http://www.vliz.be/imisdocs/publications/ocrd/259194.pdf">http://www.vliz.be/imisdocs/publications/ocrd/259194.pdf</a>). Briefly, salinity has units of grams of solute per kilogram of seawater, thus salinities do not have units; even if we use the Practical Salinity Units, the value obtained is the ratio between two electrical conductivity values, therefore, it is also dimensionless.</p> <p># 3 Line 144-146: Copper solutions for the exposure of the shrimps were prepared using stocks (63.8 145 µg/ml Cu<sup>2+</sup> at IRET, Costa Rica / standard solution from Merck; 1000 mg/L at ICMAN, 146 Spain) solutions. Were the same salts and commercial marks used in both cases (i.e. Merck)?</p> <p>Response: Detail of the reagent used at IRET, Costa Rica and ICMAN, Spain was included in the text (lines 155-158). Furthermore, the chemical analyses of the samples collected from the experimental chambers confirmed the concentrations.</p> <p># 4 - Line 148: How the copper concentrations were selected? Were some acute toxicity test performed? Is there any environmental information of Cu concentrations for comparing with?</p> <p>Response: These species have been exposed to increasing environmental Cu concentrations over the last years from industrial and agricultural activities in estuaries where these species (<i>P. vannamei</i> as juvenile, and <i>P. varians</i> along its life cycle) are growing (see review Table 2 in González-Ortegón et al 2019). In addition, to explore thresholds concentrations leading to sublethal effects, these species were exposed to Cu at fifty and ten-times higher concentrations than those found in the environment. For the present study, preliminary range-finding avoidance experiments were performed with <i>P. varians</i>, after that concentration range was established, it was tested with <i>P. vannamei</i> making sure that no mortality occurred during the forced exposure.</p> <p>González-Ortegón, E., Laiz, I., Sánchez-Quiles, D., Cobelo-Garcia, A., Tovar-Sánchez, A., 2019. Trace metal characterization and fluxes from the Guadiana, Tinto-Odiel and Guadalquivir estuaries to the Gulf of Cadiz. <i>Science of The Total Environment</i> 650, 2454–2466.. doi:10.1016/j.scitotenv.2018.09.290</p> <p># 5 - Line 294: <i>Simocephalus</i> instead of <i>Simocephalum</i></p> <p>Response: Corrected in the main text.</p> <p># 6 - Line 338-339- The individual used in the experiments were juveniles in one case and adult in the other, thus I wonder if such differences could have interfered in the responses found in the locomotory behaviour?</p> <p>Response: Differences in the behaviour of both species could be diverse, and one of the reasons we discussed in the lines 354-360 is the developmental stage/age of the animals among other factors. So, we all agree that different stages could explain such differences in the responses, however, we intended to do the assessment with organisms (stages) that use the referred coastal environments.</p>

# 7 - Line 377- 390: I think this part of the discussion should be simplified because this explanation is quite speculative. I suggest discussing on the basis of the results obtained in this study and avoid translocating the results to what could potentially occur in nature. The natural environments are much more complex in terms of biodiversity, interactions and environmental characteristics, which may promote different responses as observed in the experiments.

Response: That section of the discussion was rewritten and simplified to focus on the results of this study. Lines 381-402.

Reviewer #2

# 1 - Line 79: This needs further description of the ecosystem implications. After that, start a new paragraph with behavioural responses.

Response: More details were added to describe the ecosystem implications. Lines 75-85

# 2 - Line 90-94: Under an ecotoxicological risk assessment, which is the worst-case scenario? Both?

Response: This is a very interesting issue. Even though both approaches have advantages and disadvantages, we consider that an important contribution of this work is to combine these approaches to the assessment of behavioral effects. Both outcomes: the avoidance of polluted areas or the reduced fitness of organisms that remain inside them would affect the ecosystem services that those populations provide and, consequently, increase the vulnerability of the ecosystem. More detail was added in the lines 100 -102.

# 3 - Line 97: Please, use the full genus for the species.

Response: The complete names of both species were given the first time they were mentioned, in lines 55 and 58.

# 4 - Line 118: Indicate the number of animals that were collected and transported to the laboratory. What water was used? Why mean field salinity? Was it conducted in several studies to get a mean value? How was the container, volume, etc.? What were the physical-chemical properties of water? What was the pond conditions and culture?

Response: Additional details were included to describe better the water and conditions of transport. Lines 126-131.

# 5 - Lines 120-121: Field seawater: please describe this water, properties, etc.

Response: The description of the water was improved.

# 6 Line 123: country, brand, etc. of the food.

Response: Details were included

# 7 - Line 126-127: Why if the animals show a better performance at 10 salinity there were cultured at 30? Only 4 days to change the salinity from 30 to 10?

Response: This species, at the age tested, naturally inhabits estuaries. Therefore, they are exposed to frequent changes in salinity. In the referred study, the osmoregulation was assessed and they performed better at salinity of 10. They also tolerated the gradual change in salinity of five units/day.

# 8 - Line 132: How was treated the tap water, chloride? How was the physical-chemical conditions of water? natural water? synthetic?

Response: Additional details were included. Tap water was passed through two membrane filters (5 µm and 1 µm), then a filter of activated carbon and treated with a

UV lamp).

# 9 - Line 134-143: The same information must be included in this paragraph for the second species

Response: Information related to the changes in the salinity for experiments with *P. varians* was not provided, because the tests with this species were performed in water with the same salinity value of the culture conditions.

# 10 - Line 145: What was the salt used to get the copper? Include reference number, etc.

Response: Details were included.

# 11 - Line 146: Water properties

Response: Details of the water properties were included.

# 12 - Line 148: salinity affect the toxicity of copper, this must be included in the study, these nominal concentrations are more toxic at lower salinity, so they are not directly comparable among species. This factor needs to be included in the statistical analysis or one of the studies must be conducted at the same salinity and conditions.

Response: We agree with the Reviewer and, such as mentioned, if we want to discriminate the effect that salinity produces, either increasing or reducing the toxicity of copper, experiments should be performed at similar salinity values. However, our goal in the current study was to assess the behavioral response of both shrimps' species; then, we decided to use the salinity in which their osmoregulation capability was not altered. That decision was taken considering the results we have obtained in a previous study (Mena et al., 2020 – see reference in the manuscript), in which it was observed that avoidance response changes if organisms are exposed to an additional stress such as changes in salinity.

# 13 Line 149: Actual concentrations of copper must be measured at least at the beginning and at the end of the study.

Response: The concentrations were measured and confirmed with deviation under 10% from nominals.

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Response: Our aim was to assess behavioral endpoints in different conditions of exposure. For that reason, we established a range of sub-lethal concentrations to expose both species. We needed to use the same range to compare.

# 15 - Line 158: Characteristics of the flask, volume, etc.

Response: Details were included.

# 16 Line 159: this bioassay has not the minimum replication of three. The animals from each "replicate" are pseudoreplicate.

In general, time of exposures to copper need further justification. Why these exposure times?

Response: Regarding the exposure period, a phrase and a reference were included to justify it, based on the evidence that behavioral responses in most aquatic organisms can be observed early after the initiation of the exposure.

# 17 Line 200: salinity differences must be considered. Additionally, pseudoreplication for "individual behavior" needs to be taken into account.

Response: in the Reply #12 we have explained why salinity was different for each species. Regarding the pseudoreplication, although during the exposure period

	<p>different groups of organisms tested were exposed in only two times/replicates, the responses were individually measured in ten organisms, being each organism, a replicate of the stress produced by contaminant.</p> <p># 18 - Line 224-227: This main result can be a consequence of the different salinity and therefore the different toxicity. With the present experimental design authors cannot answer the question.</p> <p>Response: As mentioned in a previous comment, the decreased salinity used for <i>P. vannamei</i> was defined after assessing the physiological status (as osmoregulation) in different salinities. This criterion was used to run the present experiments focusing on behavioral outcomes with a realistic environmental background, eliminating or at least reducing the role of salinity in the behavior of the organisms.</p>
<b>Additional Information:</b>	
<b>Question</b>	<b>Response</b>
§Are you submitting to a Special Issue?	No

Costa Rica, Heredia, June, 2022.

Editorial Department of Environmental Science and Pollution Research.

Dear Editor,

Please find the attached revised manuscript entitled “Dissimilar behavioral and spatial avoidance responses by shrimps from tropical and temperate environments exposed to copper” to be considered for publication in Environmental Science and Pollution Research. The comments and suggestions by the Reviewers were carefully considered and replied to as follows.

To facilitate the review, the comments of the two reviewers have been listed with consecutive numbers and the answer to each comment has been placed immediately afterwards, in blue.

### Responses to Reviewers' comments:

#### Reviewer #1

# 1 - Line 99-102: Is there any problem of copper contamination in the any of the study area? I would like to know if copper was only used as "model contaminant" or it is a real contaminant in the environments where the study was carried out.

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González-Ortegón, E., Laiz, I., Sánchez-Quiles, D., Cobelo-Garcia, A., Tovar-Sánchez, A., 2019. Trace metal characterization and fluxes from the Guadiana, Tinto-Odiel and Guadalquivir estuaries to the Gulf of Cadiz. *Science of The Total Environment* 650, 2454–2466.. doi:10.1016/j.scitotenv.2018.09.290

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Yours respectfully,



Sergei Redondo López  
(on behalf of the authors)  
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Universidad Nacional  
Facultad Ciencias de la Tierra y el Mar  
Instituto Regional de Estudios en Sustancias Tóxicas



## Cover letter

January 20, 2022

Environmental Science and Pollution Research

Dear Editor,

We are submitting the manuscript entitled “Dissimilar behavioral and spatial avoidance responses by shrimps from tropical and temperate environments exposed to copper” to be considered for publication in Environmental Science and Pollution Research.

In this paper we report the evaluation of behavioural responses in shrimp, applying forced and non-forced scenarios of exposure to copper. The assessment was carried out using two species with different geographical distributions, one from the tropics and one from a temperate region. We found differences in the avoidance response observed under a non-forced exposure. Furthermore, a 24-hour forced exposure caused opposite effects on the locomotion of individuals of each species. We consider that these results are relevant as they demonstrate that the behavioural responses and effects elicited by one contaminant can vary greatly among taxonomically close species. In the context of coastal pollution such differences should be considered when estimating the risk for the ecosystems. For these reasons, we respectfully recommend this study to be considered for your journal.

We confirm that this manuscript has not been published elsewhere and is not under consideration by any other journal. All authors have approved the manuscript and agree with its submission to Environmental Science and Pollution Research. We declare that no conflict of interest exists. We declare that the study does not involve human subjects. We declared that we

have submitted our manuscript to a preprint server before submitting it to  
Environmental Science and Pollution Research.

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Thank you for your consideration.

Yours Respectfully,



Sergei Redondo-López

(On behalf of the authors)

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**2021**  
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1 Dissimilar behavioral and spatial avoidance responses by shrimps from tropical and  
2 temperate environments exposed to copper

3 Sergei Redondo-López<sup>a\*</sup>, Enrique González-Ortegón<sup>b</sup>, Freylan Mena<sup>a</sup>, Cristiano V.M.

4 Araújo<sup>b</sup>

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6 86-3000, Heredia, Costa Rica.

7 <sup>b</sup> Department of Ecology and Coastal Management, Institute of Marine Sciences of  
8 Andalucía (CSIC), 11510, Puerto Real, Cádiz, Spain.

9 \*Correspondence: sergei.redondo.lopez@est.una.ac.cr.

## 10 **Abstract**

11 Behavioral changes associated with exposure to pollutants represent the earliest response  
12 for organisms confronted by perceivable chemical signals. This study was carried out  
13 with the objective of evaluating behavioral responses associated with different scenarios  
14 of exposure to pollutants (non-forced vs forced) in two shrimp species (*Penaeus*  
15 *vannamei* and *Palaemon varians*), representative of different latitudes and using copper  
16 as a model contaminant. The effects on locomotion were evaluated by exposing the  
17 shrimps to a range of copper concentrations (0, 0.5, 5, 50 and 250 µg/L) in the forced  
18 scenario. After exposure, the movement patterns for each shrimp were recorded and used  
19 to estimate changes in the shrimps' locomotion. For the non-forced scenario, the  
20 avoidance response was assessed by placing shrimps in a multi-compartment system  
21 where they were able to move freely along a gradient of copper (0, 0.5, 5, 50 and 250  
22 µg/L). In terms of locomotion, an opposite trend was observed between the species:  
23 movements were significantly reduced in *P. varians* with concentrations above 50 µg/L,  
24 while hyperactivity was observed for *P. vannamei*. When exposed to a gradient of copper  
25 in the multi-compartment system, both species significantly avoided the highest

26 concentrations of copper, although the repellence of copper was stronger for *P. vannamei*.  
27 In summary, both species of shrimps were able to recognize and avoid copper; however,  
28 in terms of locomotion, they showed an opposite behavioral reaction. These results show  
29 that a contamination event can have different behavioral outcomes depending on the  
30 species and complementing forced and non-forced exposure with species specific  
31 information can be helpful to characterize and predict the effects of contaminants at  
32 higher biological levels.

### 33 **Keywords**

34 Behavior toxicology, avoidance, locomotion, aquatic invertebrates, copper, pollutants.

### 35 **1. Introduction**

36 Coastal transition areas sustain rich and productive ecosystems (Arbi et al., 2018;  
37 Dalu et al., 2020; Wang et al., 2018; Yokoyama et al., 2009). The biota established in  
38 such habitats has adapted to the inherent stressful environmental conditions, mainly  
39 related to frequent changes in salinity, temperature, and dissolved oxygen (Elliott and  
40 Quintino, 2007; González-Ortegón et al. 2006). Apart from this natural stress, coastal  
41 ecosystems are exposed to high anthropogenic pressure caused by the dense human  
42 population and fast development of these areas (González-Ortegón et al., 2019; Michalec  
43 et al., 2013; Peng et al., 2013). Because of this, the contamination in coastal waters has  
44 increased in the last few decades, thus representing a risk factor for marine and estuarine  
45 organisms (Ali et al., 2019). Although contamination is a worldwide problem, the  
46 scenarios and dynamics for ecological risk assessment might differ between tropical and  
47 temperate regions due to the differences in physical, chemical, and biological attributes  
48 (Lacher Jr. & Goldstein, 2009). The diversity of the communities, the sensitivity of the  
49 species and the environmental behavior of contaminants require a better understanding of



50 the particularities in such different habitats (Abele, 1974; Daam & Van Den Brink, 2010;  
51 Peterson et al., 2017).

52 Decapods are representative fauna and play an integral ecological role in coastal  
53 ecosystems. Within this group, species with different geographical distributions and life  
54 histories have already been used for ecotoxicological studies. For instance, the Peneid  
55 *Penaeus* (= *Litopenaeus*) *vannamei* is a shrimp of tropical distribution that migrates into  
56 estuaries during its post-larvae stage and develops there until the sub-adult stage, leaving  
57 the estuary to spend its adult life in the open ocean (Valles-Jimenez et al, 2005).  
58 Meanwhile, the Palaemonid *Palaemon varians* inhabits temperate regions and spends its  
59 whole life cycle inside shallow coastal lagoons and salt marshes (González-Ortegón et al  
60 2015). These species are distributed throughout the tropical American area and in  
61 temperate European saltmarshes, respectively, with a high overall abundance and are also  
62 ecologically and economically important. Several studies have demonstrated the  
63 suitability of *P. vannamei* (Betancourt-Lozano et al., 2006; Comoglio et al., 2005; García-  
64 de la Parra et al., 2006; Osuna-Flores et al., 2019; Wang et al., 2012) and *P. varians*  
65 (Araújo, Gómez et al., 2019; Araújo et al., 2020; Brown and Hauton, 2018; Ehiguese et  
66 al., 2019) for ecotoxicological assessments, covering biochemical, physiological and  
67 behavioral responses to different environmental pollutants and physico-chemical  
68 stressors.

69 Regarding relevant endpoints to be assessed, behavioral changes associated with  
70 exposure to pollutants represent the earliest response and the first line of defense for  
71 organisms confronted by perceivable chemical signals (Beitinger & Freeman, 1983).  
72 Aquatic crustaceans use olfactory and taste receptors to gather information from their  
73 surroundings and assess the presence of hazardous molecules present in the ecosystem  
74 (Blinova & Cherkashin, 2012; Lahman et al., 2015; Olsén, 2011; Oulton et al., 2014).

75 Continuous exposure to pollutants can disrupt receptor function, which alters their ability  
76 to process and respond to key environmental information. Such alterations in behavior  
77 can lead to ecological consequences at the population, community and ecosystem level  
78 and are likely to have other cascading implications within the ecosystem (González-  
79 Ortegón et al., 2019; Oulton et al., 2014; Schmidt et al., 2010). For instance, populations  
80 with a high rate of organisms evading contamination are likely to have a reduced local  
81 abundance in their original area, which could impair the ability of those populations to  
82 recover from pollutant stress (Moe et al., 2013). Furthermore, emigration of the organisms  
83 to less contaminated zones can trigger (or even increase) competitive interactions  
84 between species, modifying the arrangement of the species throughout the surrounding  
85 environments (Silva et al. (2018).

86 Generally, behavioral responses have been measured by keeping organisms in  
87 confinement, exposed constantly to a sub-lethal concentration of a contaminant, after  
88 which an effect is recorded (Amiard-Triquet et al., 2013). However, when non-forced,  
89 multi-compartment exposure systems are used, this allows the avoidance response to be  
90 evaluated by replicating the possibility that organisms have of escaping from a polluted  
91 area and avoiding exposure in a real scenario, reducing the probability of suffering acute  
92 or even physiological transient effects (Moreira-Santos et al., 2019). One of the strengths  
93 of this approach is its ability to predict the effect of a pollutant on the spatial distribution  
94 of a population (Araújo, Rodríguez et al., 2016; Vera-Vera et al., 2019). By integrating  
95 both non-forced and forced approaches it is possible to assess the effects of contamination  
96 from two different and complementary perspectives: i) simulation of a heterogeneously  
97 dispersed contamination scenario to assess the potential repellence of contaminants by  
98 triggering an avoidance response in organisms and ii) assessment of the potential toxicity  
99 of contaminants by simulating the conditions in which organisms cannot escape from and,

100 therefore, are susceptible to suffer the damage caused by contamination. This  
101 combination of approaches should contribute to characterizing the risk for an ecosystem  
102 when either the spatial distribution or the fitness of organisms is affected by pollution.

103 This study was carried out with the objective of evaluating the behavioral  
104 responses associated with two different scenarios of exposure to contaminants (non-  
105 forced vs forced exposure scenarios) in two shrimp species (*P. vannamei* and *P. varians*),  
106 representative of different latitudes with different life histories regarding the use of  
107 coastal ecosystems. As copper has been shown to affect the behavior of different decapod  
108 species (Gutierrez et al., 2012; Hansen and Roslev, 2016; Krång and Ekerholm 2006;  
109 Lahman et al., 2015; Mishra et al., 2018), it was selected as the test substance. Using this  
110 trace metal as a model contaminant, the avoidance response was assessed as a primary  
111 endpoint based on perception and escape response, while a forced, short, confined  
112 exposure allowed the assessment of the effects on locomotion. This experimental design  
113 should contribute to characterizing how the behavior of these organisms can be affected  
114 depending on how the exposure occurs in a homogeneous (forced exposure) or  
115 heterogeneous (non-forced exposure) contamination scenario. In addition, the use of both  
116 approaches makes it possible to integrate both behavioral responses by assessing whether  
117 a behavior of overexcitement implies higher avoidance, while lethargic behavior might  
118 imply a lower ability to escape from contamination.

119

## 120 **2. Material and Methods**

### 121 *2.1. Test organisms*

122 *Penaeus vannamei* (whiteleg shrimp) is a species widely cultured in American and  
123 Asian tropics, although its distribution was originally limited to the Pacific coast of  
124 America, from the North of Mexico to Peru (FAO 2006-2020). Juveniles of *P. vannamei*

125 [mean size:  $19.2 \pm 2.3$  mm carapace length (CL)] were collected from a culture pond in  
126 Punta Morales, Puntarenas, Costa Rica, during October 2019. Approximately 300  
127 individuals were transported to the laboratory in a plastic 200 L container with aerated  
128 field water (salinity of 30, pH = 8.0, conductivity = 49 mS/cm, dissolved oxygen >90%).  
129 In the laboratory, the shrimp were placed in a 100 L aquarium, filled with field seawater  
130 (with the same conditions of the transport), with continuous aeration and biological  
131 filtration. The temperature was maintained at  $26 \pm 2$  °C and the organisms were fed *ad*  
132 *libitum*, daily, with commercial dry pellets (Nicovita 28% protein, VITAPRO, Ecuador).  
133 The animals used in the experiments were not fed during the last 24 h. The salinity to  
134 which the juveniles of this species are more frequently exposed varies in a wide range;  
135 however, in a previous study it was observed that individuals from the same pond showed  
136 a better physiological performance at a salinity of 10 (Mena et al., 2020). Although the  
137 water salinity was 30 during the sampling of the organisms, and to reach the target salinity  
138 of 10, the organisms were gradually acclimated with changes of 5 units of salinity every  
139 24 h. The organisms used in the assays were acclimated to salinity of ten for at least 24 h  
140 before any exposure to copper was carried out. The reduction in salinity was achieved by  
141 diluting the seawater with filtered (1 µm membrane and activated carbon), UV-treated tap  
142 water (Millipore). Salinity was measured with a calibrated multi-field meter (WTW Cond  
143 315i;  $\pm 0.1$ ).

144 *Palaemon varians* naturally inhabit the North and Baltic seas, as well as the  
145 Atlantic and Mediterranean coasts of Africa and Europe (Holthuis 1980). Adult  
146 organisms (mean size:  $9.03 \pm 1.5$  mm CL) were captured in a salt marsh at Puerto Real,  
147 Cadiz, Spain, during February 2020. Approximately 300 individuals were transported to  
148 the laboratory in plastic bags, then immediately placed and maintained in 200 L tanks  
149 with a flow-through marine water at the salinity of 30 (field salinity in the salt-marsh) and

150 at room temperature or 15 °C, with continuous aeration and fed daily, ad libitum, with  
151 commercial dry pellets (Ultra Fresh - Shrimp Delight). As this species lives permanently  
152 in an environment with marine salinity, the tests were carried out with filtered marine  
153 water, with a salinity of 30.

154

## 155 2.2. Reagents (Copper)

156 Copper solutions for the exposure of the shrimps were prepared using stocks (63.8  
157 mg/L Cu<sup>2+</sup> at IRET, Costa Rica, prepared from copper chloride (Sigma-Aldrich 221783)  
158 in ultrapure water (Millipore) / standard solution from Merck; 1000 mg/L at ICMAN,  
159 Spain) solutions. Aliquots of these stock solutions were diluted in water with the  
160 appropriate salinity for each species: salinity of 10 and pH of 8.0 for *P. vannamei* and  
161 salinity of 30 and pH of 8.2 for *P. varians*. The same range of nominal concentrations of  
162 copper (0, 0.5, 5, 50 and 250 µg/L) was used in every experiment for both species.

163 At the end of the forced and avoidance tests, a sample of every solution used in  
164 the experiments were collected to evaluate the final copper concentration by ICP-MS  
165 (inductively coupled plasma mass spectrometry). The accuracy (recovery rate) of the  
166 standard concentrations was between 90 and 110%. As the concentrations measured at  
167 the end of the experiments did not vary to more than 10% regarding the nominal  
168 concentrations, these were used in the results.

169

## 170 2.3 Locomotion assessment experiments

171 Shrimps of both species were exposed to the same range of concentrations of  
172 copper (0, 0.5, 5, 50 and 250 µg/L) at the experimental salinity indicated previously. Ten  
173 organisms (five shrimps per flask) were exposed per treatment, using plastic containers  
174 (5L for *P. vannamei* and 1.5L for *P. varians*), and maintaining the density below 1 g of

175 shrimp/L. Each treatment was tested in duplicate during 24 h, this period was considered  
176 sufficient as behavioral locomotion responses are expected to occur earlier during the  
177 exposure than other toxicological outcomes (Faimali et al., 2016). After exposure, the  
178 movement patterns (total, vertical and horizontal displacement) for each shrimp were  
179 recorded individually inside an aquarium containing clean water, following a modified  
180 form of the methodology proposed by Sandoval-Herrera et al. (2019). The dimensions of  
181 the aquariums were adjusted to the size of the species: 40 cm long, 20 cm high and 10 cm  
182 wide for *P. vannamei* and 42 cm long, 28 cm high and 21 cm wide for *P. varians*. For the  
183 recordings, the tanks were illuminated with a fluorescent light, located 20 cm above the  
184 tank and lateral and posterior walls of the aquarium were covered with white adhesive  
185 paper. After the transfer, the shrimp were allowed to be in the aquarium for 3 min before  
186 the start of the recording, then a 5 min video was recorded per individual.

187 The videos were analyzed using the background subtraction and optical flow  
188 algorithms from the OpenCV Library in Python (Bradski, 2000). Coordinates (X/Y) from  
189 individual shrimp were extracted from each frame of the video each 0.07 seconds. These  
190 coordinates were used to estimate the shrimp's locomotion based on total, horizontal and  
191 vertical displacement; the use of the upper area [calculated as the proportion of time spent  
192 in the area above an imaginary line (drawn horizontally in the middle of the water column)  
193 divided by the proportion of time spent below that line] and the displacement routes were  
194 represented with the data visualization program Paraview (Ayachit & Utkarsh, 2015).

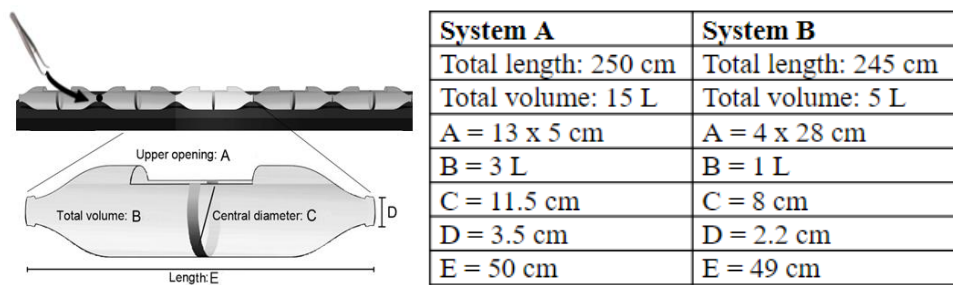
195

#### 196 2.4. Avoidance tests

197 The avoidance tests were carried out using multi-compartment, non-forced  
198 exposure systems (Fig. 1, system A for *P. vannamei* and system B for *P. varians*), where  
199 the shrimp were able to move freely along a gradient of copper. Previously, control tests

200 without copper were performed with each species in order to prove the random  
 201 distribution of the organisms in the system. For these tests, the systems were filled with  
 202 uncontaminated water and shrimps (four *P. vannamei* and five *P. varians*) were placed in  
 203 each compartment. For the tests with copper, the connections between compartments of  
 204 the system A and B were blocked with plastic plugs and plasticine, respectively, before  
 205 the volumes of the test solutions (3 L for system A and 1 L in system B) were added to  
 206 the compartments and only unblocked after the introduction of the shrimps. Plugs  
 207 between compartments were removed using tweezers (Fig. 1). In the systems, both  
 208 species were exposed to the same range of copper: 0, 0.5, 5, 50 and 250 µg/L. All the  
 209 experiments were carried out in triplicates (three systems in parallel), the organisms were  
 210 exposed during 4 h and their distribution in the system was recorded every 30 min. The  
 211 exposure was carried out in the dark to avoid any alteration to the behavior of the shrimps.  
 212 To reduce interference, a red light was used during the observations of the experiment.  
 213 No mortalities occurred during these assays.

214



215

216 Figure 1. Multi-compartment exposure systems used in the non-forced avoidance tests:  
 217 system A used with *P. vannamei* and system B used with *P. varians*. Tweezer and the  
 218 plugs used to block the connections between compartments are also shown.

219

220 *2.5. Statistical analysis*



221 Locomotion data were compared among treatments using the package FSA (Ogle  
222 et al., 2020) in R, v3.6.1 (R Core Team 2020). First, the data were tested for normality  
223 using the Shapiro test; as the distribution of the data was not normal, a Kruskal-Wallis  
224 test coupled with a Dunn post-hoc pairwise comparison was applied. Data outside two  
225 standard deviations from the mean of the treatment group were treated as outliers and  
226 excluded from the analyses. For the avoidance experiments, generalized estimating  
227 equation models (GEE) in R were used to compare the quantity of shrimps in each  
228 compartment during the time spent in the copper gradient. The function `geeglm` from the  
229 package `geepack` (Højsgaard et al., 2016) with the family ‘poisson’ with a logit link was  
230 used. The `geepack` contains an ANOVA method that allows us to compare models and  
231 perform Wald tests (Zuur et al., 2009). The model investigated the main effects; ‘copper  
232 concentration’ over ‘time’, including ‘ID’ and an ‘ar1’ correlation structure (continuous-  
233 time first-order autoregressive correlation structure) to account for temporal correlation.  
234 The grouping structure is provided by the ID option; this specifies which shrimp  
235 observations form a block of data. The correlation is applied on each block of data, and  
236 auto-correlation structure was used; hence `corstr = "ar1"`.

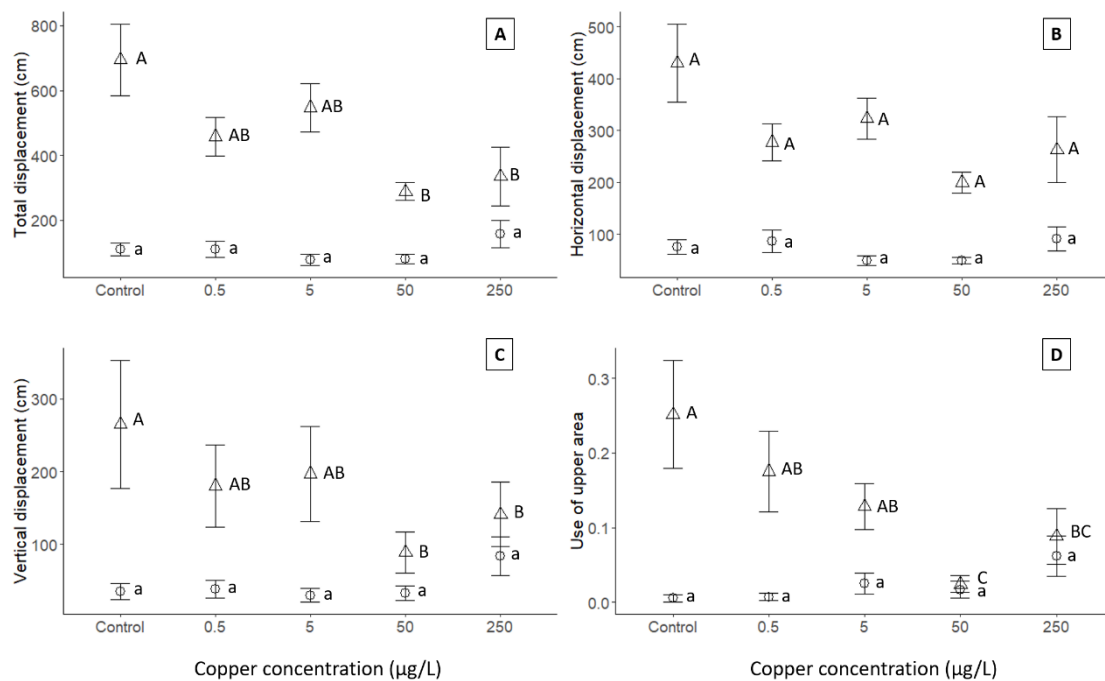
237 The avoidance percentages were calculated using the formula described by  
238 Moreira-Santos et al. (2008). The R package `ecotox` (Hlina et al., In Review) was used to  
239 estimate the concentrations of copper that caused an  $X\%$  of avoidance by shrimp (AC $x$ ),  
240 with a 95% confidence interval. Graphical representations and linear models were carried  
241 out in R.

## 242 **3. Results**

### 243 **3.1. Locomotion assessment**

244 When the shrimp were placed in an aquarium with clean water after a 24 h  
245 exposure to copper, an opposite trend in the pattern of locomotion was recorded between

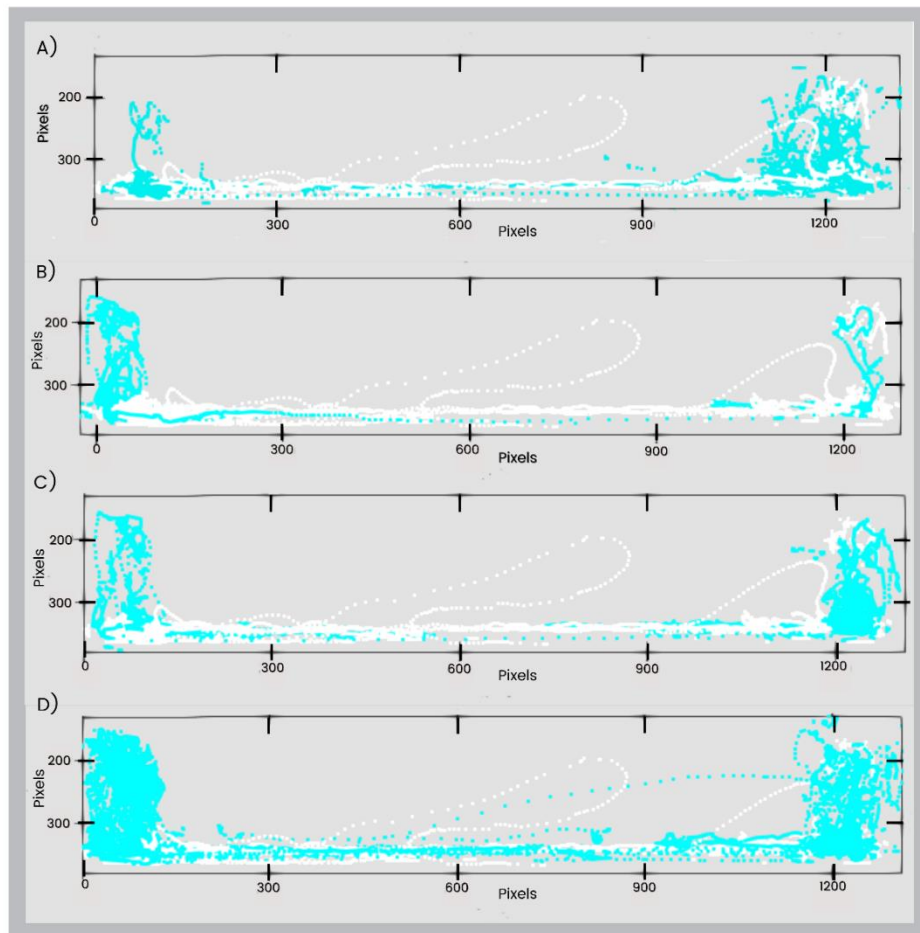
246 the species: movements were reduced in *P. varians*, while hyperactivity was observed in  
 247 *P. vannamei*. In general, in the absence of copper, the *P. varians* organisms were more  
 248 active than *P. vannamei*. The total displacement observed in the control organisms was  
 249 significantly higher ( $p < 0.05$ ) in *P. varians* compared to *P. vannamei*. Forced exposure of  
 250 *P. varians* to increasing concentrations of copper caused a significant decrease in the total  
 251 and vertical displacement with concentrations above 50  $\mu\text{g/L}$  compared with the controls  
 252 (Fig. 2-A, 2-C) and a reduced permanence in the superior zone of the water column at  
 253 those concentrations (Fig. 2-D). Horizontal displacement had no significant reduction,  
 254 although a tendency towards a decrease was observed (Fig. 2-B). On the other hand, an  
 255 opposite trend was observed in those responses by *P. vannamei*, with a trend to increase  
 256 locomotion after exposure to copper, especially at the highest concentration (250  $\mu\text{g/L}$ )  
 257 (Fig. 2).



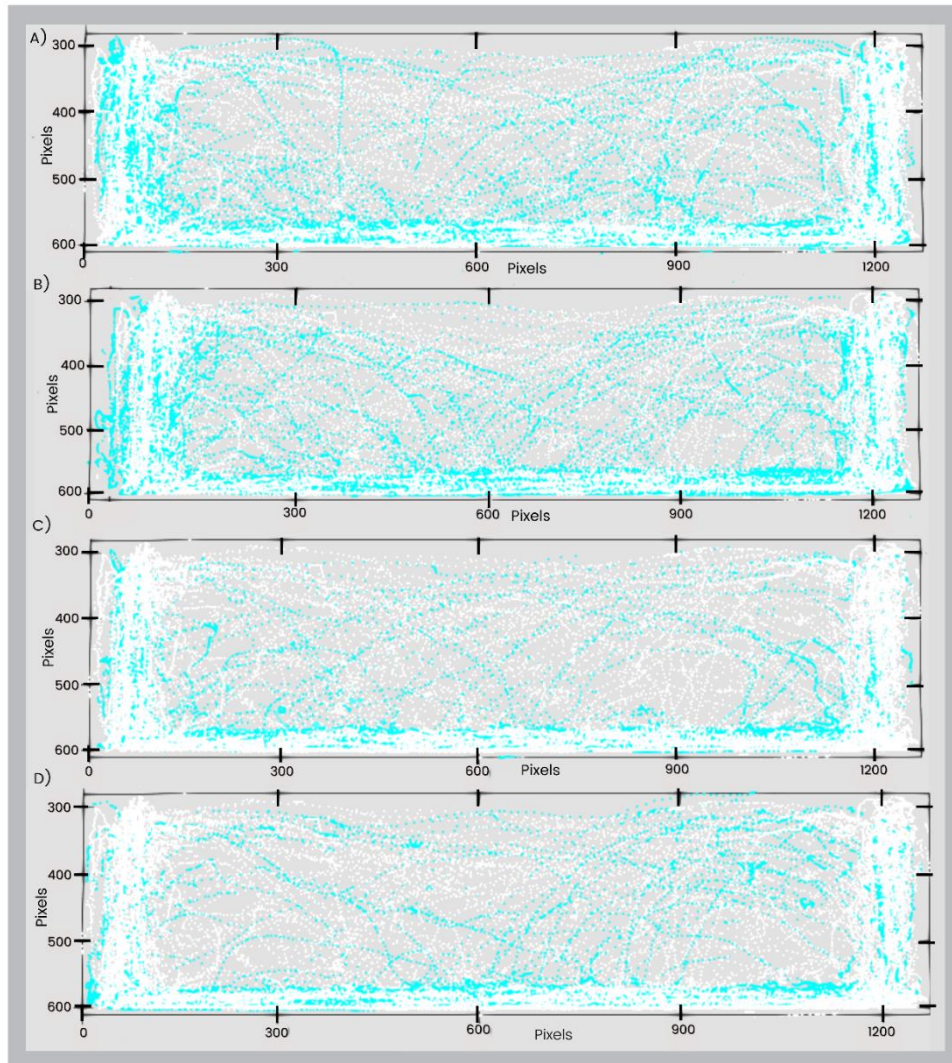
258  
 259 Figure 2. Changes in the locomotion of *P. varians* (triangles) and *P. vannamei* (circles)  
 260 after a forced 24-h exposure to a range of concentrations of copper. Different letters

261 indicate significant differences among the treatments for each species, uppercase for *P.*  
262 *varians* and lowercase for *P. vannamei*.

263 These results are complemented with the displacement routes shown in Figure 3  
264 where, in the case of *P. vannamei* (Fig 3), the apparent tendency towards increased  
265 activity in the organisms exposed to higher concentrations of copper is marked by a more  
266 intense movement at the borders of the aquarium compared with the control group.  
267 Analyzing the displacement routes by *P. varians* (Fig 4), it is shown the significant loss  
268 of movement that these organisms suffered, as they were exposed to the higher  
269 concentration of copper.



270  
271 Figure 3. Representation of the displacement routes by individuals of *P. vannamei*. White  
272 lines correspond to the control group and light blue to the organisms after exposure to the  
273 copper concentrations of 0.5 µg/L (A), 5 µg/L (B), 50 µg/L (C) and 250 µg/L (D).



274

275 Figure 4. Representation of the displacement routes by individuals of *P. varians*. White  
 276 lines correspond to the group and light blue to the organisms after exposure to the copper  
 277 concentrations of 0.5 µg/L (A), 5 µg/L (B), 50 µg/L (C) and 250 µg/L (D).

### 278 3.2. Avoidance response

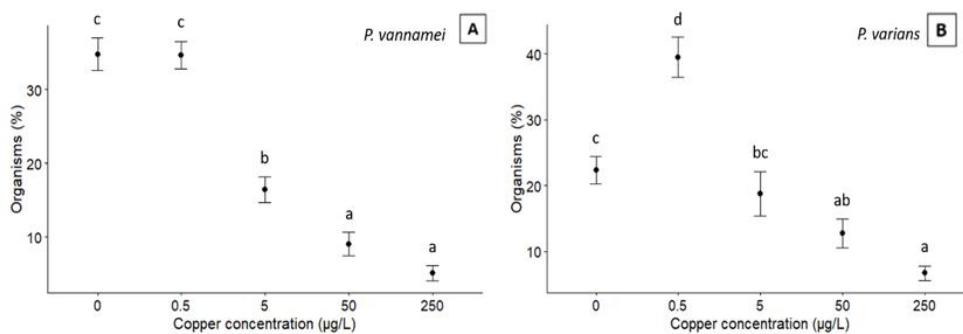
279 When exposed to a gradient of copper, both species significantly avoided the  
 280 highest concentrations of copper, although the repulsion of copper was stronger for *P.*  
 281 *vannamei* (Table 1). The distribution of shrimps throughout the compartments of the  
 282 exposure system was clearly conditioned by the copper concentration for both species  
 283 (Fig. 5). At the lowest concentration (0.5 µg/L), the absence of avoidance was observed  
 284 for *P. vannamei*, while an effect of attraction was observed for *P. varians*. Then, a

285 significant reduction of the number of organisms occurred in *P. vannamei* from the  
 286 compartment with 5 µg/L, and that reduction continued in the compartments with the two  
 287 higher concentrations of the metal (Fig. 5, left). Meanwhile, the number of *P. varians*  
 288 only diminished significantly, compared to the control compartment, at concentrations of  
 289 50 and 250 µg/L (Fig. 5, right). This clearer and sharper avoidance by *P. vannamei* lead  
 290 to lower AC<sub>x</sub> values (Table 1). AC<sub>25</sub>, AC<sub>50</sub> and AC<sub>75</sub> for *P. vannamei* were about 6.3, 3.8  
 291 and 2.3 times lower than those for *P. varians*.

292 Table 1. Concentrations (in µg/L) of copper (with their respective 95% confidence  
 293 intervals) that triggered avoidance in 25, 50 and 75 percent (AC<sub>25</sub>, AC<sub>50</sub> and AC<sub>75</sub>,  
 294 respectively) of the shrimp populations (*Penaeus vannamei* and *Palaemon varians*) after  
 295 4 h exposure in a non-forced system.

Species	AC <sub>25</sub>	AC <sub>50</sub>	AC <sub>75</sub>
<i>P. vannamei</i>	0.75 (0.10 - 2.29)	11.30 (4.07 - 30.50)	170.0 (56.8 - 1200)
<i>P. varians</i>	4.70 (0.14 - 18.9)	42.7 (9.78 - 512)	389 (76.8 - 124000)

296



297

298 Figure 5. Distribution of the individuals of *P. vannamei* (A) and *P. varians* (B) exposed  
 299 to a gradient of copper in a multi-compartment system. Different letters indicate  
 300 statistically ( $p < 0.05$ ) significant differences among treatments.

301 **4. Discussion**

302 In this study, we tested two behavioral responses in two shrimp species with  
303 different latitudinal distributions and different use of coastal habitats. Both species were  
304 able to recognize and avoid copper when they were exposed to the metal in a free-choice  
305 multi-compartment system; however, the avoidance response was clearer in *P. vannamei*,  
306 while *P. varians* showed some tolerance to lower concentrations of (or inability to  
307 recognize the risk of) copper. When locomotion was assessed after a forced exposure, a  
308 clear induction of lethargy was observed in *P. varians*, which suggests that this activity  
309 was strongly affected by the exposure to copper; though, an opposite reaction was  
310 observed in *P. vannamei*, showing an apparent tendency of hyperactivity after exposure  
311 to higher concentrations of copper, even though, in the absence of contamination, it  
312 moved slower than *P. varians*.

313 Hyperactivity has been documented for other species of crustaceans exposed to  
314 heavy metals such as: *Simocephalus vetulus* (Mishra et al., 2018), *Hippolyte inermis*  
315 (Untersteiner et al., 2005), *Macrobrachium lamarrei* (Lodhi et al., 2006), *Neomysis*  
316 *integer* (Verslycke et al., 2003), *M. nipponense* (Gerhardt et al., 2002) and an increase in  
317 the startle response in crabs (White & Briffa, 2017). The tendency of *P. vannamei* to  
318 increase movement speed at high concentrations could be related to the stress response  
319 model proposed by Untersteiner et al. (2005) for the shrimp *H. inermis* exposed to  
320 cadmium. According to this model, at low concentrations of the metal, there is an  
321 adaptation reaction where metabolic energy is used for osmoregulation, a process that is  
322 characterized by a decreased motility due to a decline in spontaneous muscular activity  
323 (Knops et al., 2001). Then, as the concentrations of the metal increase, there is an escape  
324 reaction characterized by increased motility, ventilation, and powerful beats of the pleon  
325 (Untersteiner et al., 2005). This model might be appropriate for the behavior shown by *P.*  
326 *vannamei*, since at low concentrations the organisms' movement was very low and, at

327 higher concentrations, an apparent hyperactivity was shown. This is also coherent with  
328 the greater capacity of *P. vannamei* to regulate their internal concentration of trace metals,  
329 as shown by Núñez-Nogueira et al. (2012) and Dadar et al. (2014). When the regulation  
330 of the internal body concentration of metals makes it possible to maintain the value below  
331 the threshold level, no negative effect is developed, but if the capacity for regulation is  
332 surpassed, effects like changes in the behavior of the organism start to appear (Núñez-  
333 Nogueira et al., 2012).

334 Further, the loss of locomotion (as shown by *P. varians*) has been documented in  
335 other crustaceans. Lahman et al. (2015) reported that organisms of the species *Orconectes*  
336 *rusticos* had significantly lower walking speed towards the odor of food after exposure to  
337 copper. Also, Krång and Ekerholm (2006) found delayed reactions and increased time  
338 before initiating mating activity in *Carcinus maenas* exposed to the metal. Trace metals  
339 influence many physiological processes in crustaceans, including neurological processes.  
340 These effects on the nervous system are very important in the regulation and coordination  
341 of the locomotory behaviors (Untersteiner et al., 2005). Trace metals affect cellular  
342 calcium levels, resulting in low levels of serotonin, acetylcholine, and norepinephrine,  
343 which affects the organisms' motivation and, therefore, can restrict locomotion (Tripathi,  
344 2016). Additionally, exposure to copper has been related to cytological and histochemical  
345 damages, ion regulation and disruption of protein functions in osmoregulation and  
346 respiration, which causes a decrease in oxygen consumption and metabolic rate (Frías-  
347 Espericueta et al., 2008; Lahman et al., 2015b; Thatipaka et al., 2020). This can lead to  
348 physiological impairment and therefore decreased muscular activity, which might be  
349 related to the drop in locomotion showed by *P. varians* (Lahman et al., 2015).

350 This kind of opposite behavioral reaction has been reported previously. For  
351 example, Gutierrez et al. (2012) found hyperactivity and a loss of the ability to escape in



352 copepods and cladocerans. The interaction of metals with the chemoreception system of  
353 crustaceans can lead to different responses depending on: the intensity of the exposure  
354 (time and concentration), mechanism of action, the developmental stage of the animal,  
355 the species and other environmental factors (Blinova & Cherkashin, 2012). For instance,  
356 differences in the avoidance response in larvae of *P. vannamei* when confronted with a  
357 copper gradient have been related to the age of the organisms (Araújo et al., 2016). This  
358 difference might be linked to the development of the sensory organs in younger animals  
359 and then an enhanced tolerance at older stages. Pollutants like trace metals have been  
360 linked to a reduction of the length of antennular flagellum in crustaceans, which leads to  
361 problems in perceiving, interpreting, and responding to a chemical attractant and, finally,  
362 changes in the animal's behavior (Blinova & Cherkashin, 2012; Oulton et al., 2014; White  
363 & Briffa, 2017). This erroneous processing of olfactory information may lead to opposite  
364 responses to a pollutant between species or even between organisms of the same species.  
365 If the exposure is above the specific limit of sensitivity, the organisms will not be able to  
366 make accurate assessments of the environment due to an inhibition of the sensory and  
367 motor systems (Gutierrez et al., 2012). However, there is a lack of information regarding  
368 species-specific sensitivity of chemoreceptors to sublethal concentrations of  
369 contaminants (Blinova & Cherkashin, 2012). It is remarkable that the forced exposure  
370 approach has elicited opposite behaviors (lethargy vs hyperactivity) in the species tested.  
371 According to Gerhardt (2007), regarding locomotion, organisms confronted by pollution  
372 can either increase movement and actively avoid it, or reduce their movement, and drift  
373 away. Whichever kind of behavioral response they display, it could make the organism  
374 more vulnerable to predators or other threats they face in the environment (Gutierrez et  
375 al., 2012).

376           Regarding the lack of avoidance response observed in *P. varians* at lower  
377 concentrations and the more intense response presented by *P. vannamei*, these differences  
378 between species and their decision to stay near or avoid the pollutant could be attributed  
379 to two main factors: how repulsive the stimulus caused by the pollutant is and the  
380 organism's ability to identify the substance and recognize the risks of exposure (Araújo  
381 et al., 2016; Rodríguez et al., 2016; Harper et al., 2009). Some species such as *P. varians*,  
382 are naturally less sensitive to detecting and interpreting the risk associated to copper  
383 contamination, as evidenced by the hormesis at the lowest copper concentration tested  
384 (the density of shrimps was significantly higher at this concentration than in the other  
385 ones; Figure 5, B). In others, such as *P. vannamei*, its hyperactivity favored the avoidance  
386 response when confronted by a copper gradient, showing a greater ability to detect and  
387 recognize the risk of copper contamination.

388           From an ecological point of view, the responses based on both exposure  
389 approaches (non-forced and forced) may indicate possible changes in the spatial  
390 distribution of the species and help to understand the decline of populations at the local  
391 scale (Rosa et al., 2012; Araújo & Blasco, 2019). Considering the outcome of our  
392 experiment, *P. vannamei* could be better suited to deal with this specific stressor than *P.*  
393 *variens*, due to its ability to escape from contamination. The differences in the responses  
394 observed between both shrimp species could help us to understand how the spatial  
395 distribution of these species would be affected by contamination. On the one hand, one  
396 would be repelled from contaminated sites earlier (with a lower level of contamination)  
397 than the other and, on the other hand, the lower ability to escape from the contamination  
398 would lead to a higher susceptibility to suffering the toxic effects. This evidence  
399 reinforces the hypothesis that contaminants act as habitat disruptors by affecting  
400 organisms directly (e.g., lethal and sub-lethal effects if the organisms do not avoid them)

401 and indirectly by triggering the organisms' avoidance response (Araújo et al., 2014,  
402 2016).

403

## 404 **5. Conclusions**

405 Organisms of the species *P. vannamei* and *P. varians* were able to recognize and  
406 avoid a copper gradient. However, in terms of locomotion, they showed an opposite  
407 reaction, with *P. vannamei* showing an apparent hyperactivity and *P. varians* showing a  
408 significant decrease in its movement. This result shows that a contamination event can  
409 have different behavioral outcomes depending on the species. Even though behavior and  
410 avoidance stand as important endpoints in the evaluation of contaminants present in an  
411 ecosystem, there is a need for more information regarding species-specific sensitivity to  
412 sublethal concentrations of contaminants. The risk for aquatic organisms being affected  
413 by environmental contamination has increased in coastal habitats, that is why  
414 complementing forced and non-forced exposure with species specific information can be  
415 helpful to characterize and predict the effects of contaminants at higher biological levels.

416

## 417 **6. Statements & Declarations**

### 418 **6.1 Funding**

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## 428 **6.2 Competing Interests**

429 The authors declare that they have no known competing financial interests or  
430 personal relationships that could have appeared to influence the work reported in this  
431 paper.

## 432 **6.3 Author Contributions:**

433 **Sergei Redondo-López:** Conceptualization, Methodology, Investigation, Data  
434 curation, Writing—original draft preparation, Writing— review and editing. **Freylan**  
435 **Mena:** Conceptualization, Supervision, Methodology, Investigation, Resources, Data  
436 curation, Writing—original draft preparation, Writing— review and editing. **Enrique**  
437 **González-Ortegón:** Methodology, Investigation, Resources, Data curation. **Cristiano**  
438 **V.M. Araújo:** Conceptualization, Methodology, Investigation, Resources, Writing—  
439 review and editing.

## 440 **6.4 Compliance with Ethical Standards:**

441 Under the legislation applicable during the assessment, this project did not require  
442 any special permits.

## 443 **6.5 Consent to Participate:**

444 Not applicable.

## 445 **6.6 Consent to Publish:**

446 All authors agree to submit this paper for publication, and we all have the consent  
447 from our institutional authorities.

## 448 **6.7 Availability of data and materials.**

449 The authors declare that the results presented in this paper are supported by data, and  
450 that such data are available upon request to the authors.

## 451 **7. References**

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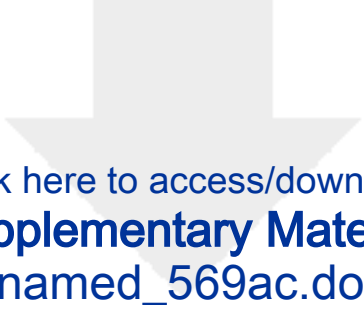
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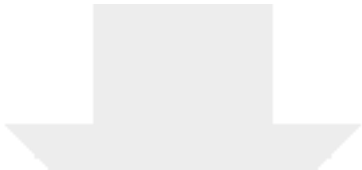


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