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Crystal structures of taxane-tubulin complexes: Implications for the mechanism of
 microtubule stabilization by Taxol

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#### 33 Abstract

Paclitaxel (Taxol<sup>®</sup>) is a first-line chemotherapeutic drug that promotes the 34 curved-to-straight conformational transition of tubulin, an activation step that is necessary 35 for microtubule formation. Crystallization of Taxol bound to tubulin has been long 36 elusive. We found that baccatin III, the core structure of paclitaxel which lacks the C13 37 side chain, readily co-crystallizes with curved tubulin. Tailor-made taxanes with 38 alternative side chains also co-crystallized, allowing us to investigate their binding 39 modes. Interestingly, these Taxol derived compounds lost their microtubule stabilizing 40 activity and cytotoxicity but kept their full microtubule binding affinity, and all induced 41 lattice expansion upon binding. Additional nuclear magnetic resonance studies propose 42 that Taxol binds to a small fraction of straight tubulin present in solution. Our results 43 suggest a mode of action of Taxol, where the core structure is responsible for the 44 interacting energy while the bulky hydrophobic C13 side chain enables binding 45 selectively to straight tubulin and promotes stabilization. 46

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#### 50 Introduction

Taxanes are the main choice among other chemotherapeutic agents for the 51 treatment of several solid tumors such as ovarian, lung and breast cancer, as well as 52 advanced Kaposi's sarcoma(1). The three active taxanes in clinical use, paclitaxel 53 (Taxol<sup>®</sup>; Ptx), docetaxel (Taxotere<sup>®</sup>; Dcx) and cabazitaxel (Jevtana<sup>®</sup>), are part of a big 54 family of chemically diverse compounds that bind to the taxane site of the  $\alpha\beta$ -tubulin 55 heterodimer(2) (Fig. 1A). This family of compounds also includes other non-taxane 56 molecules and a wide range of analogs derived thereof. Because taxane-site targeting 57 compounds promote tubulin assembly into microtubules (MTs)(3), they are called MT-58 stabilizing agents (MSAs). From the thermodynamic point of view, taxane-site MSAs 59 contribute to MT assembly by their preferred binding to them(4). Tubulin displays two 60 known conformations, related to its assembly state: a straight conformation (s-tubulin) 61 present in MTs and zinc-induced sheets(5, 6), and a curved conformation (c-tubulin) 62 observed in unassembled tubulin, which is non-related to the nucleotide bound to the 63 regulatory site of  $\beta$ -tubulin (GTP or GDP)(7, 8). The curved-to-straight conformational 64 transition is required for MT-assembly. Current hypotheses(9-11) establish that the 65 activation of tubulin for assembly could be accomplished by different pathways and each 66 MSA could favor a specific one, depending on its structure and its interaction pattern with 67 68 unassembled, c-tubulin. Structural studies of taxane-site agents(10, 12) bound to MTs or unassembled tubulin have shed light on the binding mode to s- and c-tubulin tubulin, 69 respectively. Nevertheless, their underlying mechanisms of action are still a matter of 70 71 debate. On one hand, zampanolide and epothilone induce the complete restructuring of 72 the  $\beta$ M-loop in unassembled, c-tubulin (Fig. 1B), which is a key secondary structural element required for the stabilization of lateral contacts between protofilaments (PF) 73 within the MT(12). These compounds mainly connect the base of the  $\beta$ M-loop with both 74 the N-terminal domain helix BH6 and the central helix BH7 (Fig. 1B), similarly to 75 dictyostatins and discodermolides(9, 13). However, neither dictyostatins nor 76 discodermolides fold the  $\beta$ M-loop into a helix, which suggests a different mechanism. 77 The interaction of Ptx with MTs affects the longitudinal tubulin interface along 78 protofiliaments (PFs), increasing the axial repeat of tubulin dimers (i.e., causing a lattice 79 80 expansion(10). The stabilizing effect promoted in assembled, s-tubulin involves subtle movements in the N-terminal domain helix BH1, the central helix BH7 and the 81 82 intermediate domain loop  $\beta$ S9- $\beta$ S10 (Fig. 1B)(10). However, the molecular details of how Ptx favors these movements and promotes MT assembly and stabilization are
unknown yet.

Ptx is one of the drugs in the World Health Organization's List of Essential 85 86 Medicines, but the appearance of side effects (e.g., neurotoxicity) compromises chemotherapy cycles during cancer treatment. Understanding the underlying mechanism 87 of MT stabilization by taxanes is highly required to lay the groundwork for future and 88 safer drugs. To date, all efforts to obtain high-resolution crystal structures and hence, 89 detailed information on the Ptx, Dcx or cabazitaxel interacting network within the taxane-90 site have been unssucessful(7, 8, 14). Here, we have taken a multidisciplinary approach 91 92 to unveil how Ptx recognizes the taxane site and stabilizes MTs. From the Ptx core structure (baccatin III) to specifically tailored Ptx derivatives with alternative engineered 93 94 C13 tails, we have combined macromolecular crystallographic high-resolution structures 95 with biochemical, cell biology, nuclear magnetic resonance (NMR) and X-ray fiber diffraction studies to explain how clinically used taxanes induce MT assembly from c-96 tubulin and how they stabilize MTs. 97

- 98
- 99 100 **Results**

# The bulky group at ring A position of the C13 side chain precludes binding to curved conformation and is essential for activity.

To determine the high-resolution structure of a taxane bound to tubulin we 103 104 performed both soaking and co-crystallization experiments using the previously described crystal system consisting of a protein complex composed of two ab-tubulin 105 heterodimers, the stathmin-like protein RB3 and the tubulin tyrosine ligase (termed T<sub>2</sub>R-106 TTL)(12, 14) as well as with the DARPin D1-tubulin crystal system (TD1)(8). Upon 107 failure with a first series of tested taxanes comprising Ptx, Dcx, the more soluble 108 3'N-aminopaclitaxel (N-AB-PT, Fig. 1C)(15) and the engineered high affinity taxanes 109 110 Chitax 40(16) and Chitax 68(17) (Fig. 1C), we approached the challenge from a different angle. We started with baccatin III, a precursor in the biosynthesis of Ptx that contains 111 both the C2-benzoyloxy ring C and the C10 acetate ester, but lacks the C13 side chain 112 with both the 3'-N-benzamido phenyl ring A and the 3'-phenyl ring B moieties(18). 113

Baccatin III (**Fig. 1C**) is reported to be biologically inactive(19-21) despite of having  $\mu$ M affinity for MTs(22) (ref. 22 describes a weak *in cell* activity as well). It is

known that taxanes bind with high affinity to assembled s-tubulin(23) while having 116 undetectable affinity for unassembled c-tubulin(4). We found that baccatin III shows a 117 detectable affinity (Kb<sub>25°C</sub> 3.0±0.5x10<sup>3</sup> M<sup>-1</sup>) to unassembled c-tubulin (Fig. 3C), in the 118 same range as the ones previously measured for other compounds able to bind and co-119 crystallize with tubulin, such as epothilone A  $0.8\pm0.3\times10^4$  M<sup>-1</sup> (24) and discodermolide 120  $2.0\pm0.7\times10^4$  M<sup>-1</sup> (25). Therefore, we hypothesized that the presence of the C13 side chain 121 of the taxanes aforementioned might preclude the binding to the c-tubulin form present 122 123 in both the T<sub>2</sub>R-TTL and the TD1 complexes. Subsequently, we obtained a T<sub>2</sub>R-TTLbaccatin III complex structure at 1.9Å resolution (Fig. 2A, Fig. S1A & D, Table S1) 124 (PDB ID 7A7I) and found that baccatin III binds to the taxane site with its C2-benzoyloxy 125 ring C stacked between the side chains of BH229 and BL275 in the leucine rich pocket 126 formed by the side chains of  $\beta$ C213,  $\beta$ L217,  $\beta$ L219,  $\beta$ D226,  $\beta$ H229,  $\beta$ L230 and  $\beta$ L275. 127 Its carbonyl oxygen forms a weak hydrogen bond to the main chain amide of  $\beta$ R278 (Fig. 128 2A). The C10 acetate is exposed to the solvent and together with the C12 methyl is in 129 Van der Waals distance to  $\beta$ G370 of the  $\beta$ S9- $\beta$ S10 loop. Furthermore, the oxetane oxygen 130 and the C13 hydroxyl form hydrogen bonds to the main chain amide of BT276 and to 131 βH229 NE2, respectively. The C4 acetate is buried in the hydrophobic pocket formed by 132 βL230, βA233, βF272, βP274, βL275, βM302, βL371 and the aliphatic portion of the 133 134 βR369 side chain. Strikingly, we only found well-defined electron density for the aminoterminal section of the  $\beta$ M-loop up to  $\beta$ R278, while the remaining portion of the  $\beta$ M-135 loop was disordered. This suggests that baccatin III does not promote the stabilization of 136 this region into a helical conformation, in contrast to what has been previously observed 137 for both the taxane-site binders zampanolide and epothilone A(12). 138

Aiming to understand the implication of Ptx's C13 ring A moiety (or its equivalent 139 tert-butyl in Dcx) on tubulin activation and to understand why it precludes binding to c-140 141 tubulin, we took a synthetic effort to obtain new taxane ligands with modified side chains able to crystallize in complex with c-tubulin. We produced a series of modified taxanes 142 bearing smaller groups at the ring A position of Ptx: acrylamide (2a), haloacetamide (2b, 143 2c) and isocyanate (2d) (Fig 1C). Since we measured binding affinity of 2a to 144 unassembled tubulin dimers (Kb<sub>25°C</sub> 0.8±0.3x10<sup>3</sup> M<sup>-1</sup>) (Fig. 3C), but not of N-AB-PT(15), 145 Chitax 40(16) or Chitax 68(17), we concluded that the new modification applied to the 146 Ptx structure increases the binding of the compounds for the unassembled c-tubulin. In 147 fact, we unequivocally found difference electron densities at the taxane sites of T<sub>2</sub>R-TTL 148

149 crystals soaked with **2a** (**Fig S1B, E**) (PDB ID 7A7F) and **2b** (**Fig S1C, F**) (PDB ID 150 7A7J). The corresponding structures were refined to 1.95 Å and 2.35 Å resolution, 151 respectively. Although these densities displayed continuity between the 3'-N moieties of 152 these ligands and the side chain of  $\beta$ H229, covalent adduct formation was discarded both 153 biochemically (see extended data) and crystallographically with the help of anomalous 154 data collected from a T<sub>2</sub>R-TTL-**2b** crystal at the bromine peak wavelength (0.91501 Å, 155 **Fig S1G**).

The structure showed that compound 2a forms comparable interactions with both 156 157 the C2-benzoyloxy ring C and the oxetane moieties as described for baccatin III (Fig. **2B,**C). However, the core ring is tilted towards helix  $\beta$ H6 and strand  $\beta$ S7 by 18.5° (angle 158 between the two C1-C9 axis; rmsd<sub>bacIII-2a</sub> of 0.794 Å for 39 core atoms), adopting a pose 159 that is closer to that observed for Ptx bound to s-tubulin (PDB ID 6WVR; rmsd<sub>2a-Ptx</sub> of 160 0.845 Å for 56 core atoms Fig. 4B; rmsd<sub>bacIII-Ptx</sub> of 1.048 Å for 42 core atoms Fig. 4B). 161 Similar to Ptx bound to s-tubulin, the C39 carbonyl of the C13-3'-N-acrylamide moiety 162 of 2a forms an equivalent hydrogen bond to the NE2 of  $\beta$ H229 (Fig. 2B, 4B). The 163 164 acetamide moiety is exposed to the solvent and forms water-mediated hydrogen bonds to 165 the side chains of  $\beta$ E22 and  $\beta$ R369, a space that is otherwise occupied by water molecules in the baccatin III structure. In the context of Ptx-bound MTs the 3'-N-benzamido phenyl 166 ring A moiety of Ptx occupies this space and the  $\beta$ D26 side chain replaces the  $\beta$ R369 side 167 chain, which adopts a flipped-out conformation (PDB ID 6WVR, Fig. 2B, Fig. 4B). The 168 absence of the C10 acetate in 2a compared to baccatin III has no impact on the 169 orientations and conformations of both the BS9-BS10 and the BM-loops, which are 170 closely similar in both structures (Fig. 2C). In both structures, we found the  $\beta$ T5-loops in 171 172 the "out" conformation.

173 Interestingly, we found that the replacement of ring A by an acrylamide moiety has a deep impact in the compound's activity showing a four orders of magnitude lower 174 cytotoxicity than Ptx in KB and HeLa tumoral cells (Table 1). Biochemically 2a and 2b 175 behaved as weaker assembly inducers compared to Ptx (PEDTA buffer, Fig. 3AB). 176 Because both the tubulin assembly induction power and the cytotoxicity of MT stabilizing 177 agents is strongly linked to the binding affinity for MTs(13, 23, 26) we expected a 178 strongly compromised ability to bind MTs for 2a and 2b. Surprisingly, that was not the 179 case and the binding constants of **2a**  $(0.46 \pm 0.06 \times 10^7 \text{ M}^{-1})$  and **2b**  $(0.32 \pm 0.03 \times 10^7 \text{ M}^{-1})$ 180 to MTs were similar to the one observed for Ptx  $(1.43 \pm 0.17 \times 10^7 \text{ M}^{-1}(23))$ . Furthermore, 181

also baccatin III (inactive compound) displayed a significant binding affinity for assembled MTs  $1.5 \times 10^5 M^{-1}$  (22), which is even higher than that of other taxanes with detectable activity(16). Therefore, we concluded that the increase of binding affinity for the curved conformation (and the subsequently reduction of the preferential binding for

186 the straight one) could be linked to decreased MT stabilizing activity and cytotoxicity.

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### *Ptx binds to the straight conformation of unassembled tubulin in solution.*

To obtain information about the transient Ptx/unassembled tubulin interactions in 189 solution we approached TR-NOESY experiments. Surprisingly, despite both the low 190 solubility of Ptx and the reported very low binding constant of Ptx to unassembled, 191 c-tubulin ( $< 10^3 \text{ M}^{-1}$ )(4), we found weak but clear signals in the spectra (Fig. 5C). In the 192 193 presence of MTs, the spectra displayed strong signals (Fig. 5D), as expected(25). As a 194 control we acquired the NOESY spectrum of Ptx in the absence of tubulin, no peaks were 195 detected (not shown) indicating that all the signals observed in the presence of tubulin are 196 TR-NOESY ones. Strikingly, the correlation between both spectra was almost perfect (the observed displacement in the position of the cross peaks is due to the different 197 198 temperature at which the spectra were recorded 298 K for unassembled tubulin vs 310 K for MTs), suggesting that the conformation of Ptx was similar when bound to 199 unassembled, c-tubulin (Kb  $< 10^3$  M<sup>-1</sup>) or assembled s-tubulin (Kb  $10^7$  M<sup>-1</sup>)(23). Instead, 200 previous studies with epothilone and discodermolide revealed differences on the bound 201 202 conformation depending on the tubulin assembly state (24, 25). The quantitative analysis of the TR-NOESY spectra (Table S2), indicates that the conformation adopted by Ptx in 203 204 solution (Fig. 5A) is nearly coincident with this determined by cryo-EM. Considering that Ptx binding to unassembled, c-tubulin is not detected either by biochemical methods 205 nor by macromolecular crystallography, we assumed that the equal conformation of Ptx 206 bound to assembled and unassembled tubulin could be attributed to the observation of 207 Ptx bound to a small fraction of s-tubulin (see discussion and extended discussion). 208

To further characterize the ligand binding epitope and to probe the pharmacophore region of Ptx bound to tubulin, we acquired STD-NMR spectra of tubulin preparations obtained under unassembled and assembled conditions. Similar to what has been described for Dcx(25), we found STD signals under both studied conditions (assembled and unassembled tubulin with very similar profiles (Fig. S3), denoting a similar binding mode of Ptx under both conditions. However, experiments performed with 2a under

unassembled and assembled tubulin conditions (Fig. S4) showed subtle differences in the 215 C13 side chain section corresponding to the acrylamide moiety that replaces the aromatic 216 ring A in Ptx, thereby suggesting two distinct interaction patterns of this moiety 217 depending on the tubulin conformation. Additionally, similar STD profiles of baccatin III 218 bound to unassembled and assembled tubulin (Fig. S5) were found, as expected, because 219 this compound lacks the C13 side chain responsible for the differential interaction for c-220 221 and s-tubulin. Together, these results support that the weak signals of Ptx bound to 222 unassembled tubulin arise from the compound bound to the small proportion of unassembled s-tubulin in equilibrium with c-tubulin. 223

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# C13 side chain promoted perturbations in unassembled c-tubulin, strengthen on straight MTs.

To understand the contribution of the C13 side chain to the observed differences 227 228 in biochemical and biological activity and in the NMR-experiments, we further investigated the perturbations induced by binding of baccatin III and 2a to the 229 unassembled c-tubulin  $\beta$  chains. To this aim, we first superimposed the structures of apo 230 231 (PDB ID 4155), baccatin III and **2a** bound states onto the N-terminal  $\beta$ -sheets of  $\beta$ -tubulin (residues 3-9, 63-66, 132-138, 163-169 and 198-202; rmsd<sub>BacIII</sub> 0.08 Å of 29 C<sub>α</sub>; rmsd<sub>2a</sub> 232 0.10 Å of 29 C<sub>a</sub>) and calculated the rmsd-differences between both the apo and the taxane 233 bound states using the rmsdByRes function in PyMOL (Fig. 6B, D). The rmsd-234 differences were also plotted and mapped onto the corresponding structures to highlight 235 the major regions of perturbation (Fig. 6A, C). Comparable perturbations were observed 236 for backbone and all atoms in the helix  $\beta$ H2', the  $\beta$ T5-loop, the  $\beta$ M-loop basis, the helix 237  $\beta$ H9, and both the helix  $\beta$ H11- $\beta$ H11' and  $\beta$ H12 regions of both the ligands, while the 238 rmsd-values for both the  $\beta$ S2'- $\beta$ S2" and the  $\beta$ S9- $\beta$ S10 loops were higher for 2a. To 239 investigate the effect of the observed perturbations on the relative domain arrangements 240 241 in  $\beta$ -tubulin of the individual complexes, we further superimposed the  $\beta$ -tubulin chains onto their central helices BH7. For baccatin III, a mild relative twist between the N-242 terminal and the intermediate domains was observed (Fig. S2; Suppl. Movie M1, M2), 243 244 while binding of 2a rather caused both the N-terminal and intermediate domains of the β-tubulin molecule to slightly move apart (Fig. S2; Suppl. Movie M3, M4). 245

Since these subtle movements were observed in the c-tubulin conformations in the 246 context of a crystal lattice, we sought to compare them to the perturbations that occur 247 248 upon Ptx binding in the context of MTs. To this aim, we performed the same type of analysis by superimposing the N-terminal  $\beta$ -sheets of  $\beta$ -tubulin from the cryo-EM 249 reconstruction of Ptx-bound GDP-MTs (PDB ID 6WVR)(27) onto the corresponding 250 domains of the undecorated apo GDP-MT structure (PDB ID 6DPV; rmsd 0.304 Å 30 251  $C_{\alpha}$ )(28). The rmsd-analysis of Ptx bound MTs revealed comparable but more pronounced 252 perturbations to the ones observed for 2a for the  $\beta$ S2'-S2", the  $\beta$ H3, the  $\beta$ S9- $\beta$ S10 and 253 the  $\beta$ H11- $\beta$ H12 regions, with a more prominent effect on the  $\beta$ M-loop (Fig. 4C, D). 254 These observations suggested that perturbations induced by the C13 side chain may have 255 an impact on stabilizing the MT-lattice, since they occur at positions that contribute to 256 lateral contacts in MTs, which is in agreement with the results published by Debs and 257 co-workers(27), where Ptx-bound MTs displayed higher curvature and distinct  $\beta$ M-loop 258 259 movements.

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#### C13 side chain is not required for lattice expansion but affects lateral contacts in MTs.

The main structural effect of Ptx on the MT lattice is a longitudinal expansion that have been related to the stabilization effect of this compound (29, 30). We have previously validated X-ray fiber diffraction of shear-flow aligned MTs as an accurate technique to discern main MT lattice structural features (31, 32) and, we used it to elucidate how our Ptx derivatives with reduced activities affect the structure of MTs.

The meridional diffraction patterns are related to axial helical repetitions on the 267 MT lattice and show a layer line at 4 nm (Fig. 7) and, when the lattice is expanded, a 268 second weaker layer line at 8 nm due to the length differences between  $\alpha$ - and 269  $\beta$ - tubulin(31). Additionally, the position of the 1 nm layer line (4<sup>th</sup> harmonic of the 4 nm 270 layer line) also moves towards the center of the image when lattice expansion occurs. 271 Both, Ptx (Fig. 7A) and Dcx (Fig. 7B) induced MTs expansion, as expected(29). And this 272 273 expansion occurred in either case, when the addition of the drug was previous to assembly into MT using GTP-bound or GDP-bound tubulin (named "pre-" in Table S3) or when 274 added directly to assembled MTs (named "post-" in Table S3). This was in clear 275 opposition to previous observations in which expansion was only observed in 276 pre-addition experiments(10). Interestingly, 2a (Fig. 7C), 2b (Fig. 7D) and baccatin III 277

278 (Fig. 7E) showed similar MT expanded lattices indicating that the lattice expansion is not related with taxane's MT stabilizing activity. Note that the diffraction patterns of MTs 279 stabilized with 2a or 2b showed a diffuse 1 nm layer line showing variations on the 280 monomer rise, which is in clear contrast to all other analyzed taxanes that displayed a 281 sharp band (i.e., a fixed monomer rise). Furthermore, we found that the effect of these 282 compounds on the lateral interactions (equatorial diffraction patterns) differed and, 283 284 thereby variations in the C13 side chain might modulate the lateral interactions between PFs (Table S3). 285

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# 287288 **Discussion**

Previous studies of MT stabilization by Ptx controversially discussed the 289 interaction of the drug with the  $\beta$ M-loop and lattice effects, through lateral versus 290 longitudinal contacts (10, 29, 33) and communication between  $\beta$ -tubulin domains through 291 core helix  $\beta$ H7(34). Here, we undertook a unifying approach to discern which parts of 292 293 the Ptx molecule are involved in protein recognition, in inducing conformational changes 294 on tubulin and MT and, in its final stabilization activity. We have studied and compared the structural effects as well as the biochemical and cellular activity of the Ptx core region, 295 296 baccatin III, and of two engineered Ptx derivatives, 2a and 2b, with modifications at the C13 side tail affecting ring A. We have gained insight into our understanding of the 297 298 molecular mechanism of action of Ptx finding that: (i) the taxane core (baccatin III) is responsible for most of the interacting energy of Ptx but it is only marginally involved in 299 300 the stabilization activity, (ii) the bulky hydrophobic group at 3'-N of the C13 side tail 301 distinguishes the s- from the c-tubulin conformation and promotes stabilization, and, (iii) 302 the lattice expansion is unrelated to the MT stabilization mechanism of Ptx.

The high-resolution crystallographic data show that the taxane core region reduces 303 the flexibility of the M-loop by inducing a partial structuring of its N-terminal section. 304 Further changes occur in the presence of a C13 side chain (2a and 2b), tilting the 305 positioning of the Ptx core region by 18.5° within the pocket, and inducing a subtle 306 reorientation of the tubulin domains. Therefore, tubulin conformational changes related 307 308 to the binding of taxanes are initiated when bound to c-tubulin (in solution or at the tip of MTs(35)) and strengthen upon tubulin straightening through the formation of polymer 309 contacts. Despite we have not observed a complete folding of the  $\beta$ M-loop upon baccatin 310

311 III, 2a and 2b binding, perturbations were detected in the c-tubulin structures, which were comparable but weaker to the ones observed upon Ptx-binding to assembled MTs. 312 313 Moreover, fiber diffraction studies showed differences on the lateral arrangement of shear-flow aligned MTs bound to these compounds. This suggests that Ptx and Ptx-314 derived compounds indeed lock the lateral contacts to promote MT lattice stability 315 316 through interactions with the N-terminal section of the  $\beta$ M-loop, which is in agreement with both the observations reported by Manka and Moores(33) and by Debs and co-317 workers(27). Interestingly, fiber diffraction studies also showed the same MT-lattice 318 319 expansion for these analogs as the one observed in the presence of the active compounds 320 Ptx and Dcx. This structural feature is not related to the stabilization power since all taxanes and Ptx-derived compounds similarly induced MT axial expansion but their 321 322 stabilization power ranged from highly stabilized MTs (Ptx and Dcx) to lower (2a) or none or very weak (bacattin III). Therefore, the axial effect of these taxanes and hence, 323 324 the longitudinal contacts might not be the main reason of the stabilizing activity and this activity may rely more on the effect of these compounds on the lateral interactions. 325

Importantly, the Ptx structure is optimized for its activity and changes introduced 326 at the ring A of the C13 side chain severely hamper the MT stabilizing activity. These 327 changes did not affect the binding to s-tubulin in MTs since the binding modes of 2a and 328 2b were very similar to the ones described for Ptx-bound to MTs(10, 29, 33) (Fig. S6), 329 but significantly enhanced the interaction with unassembled c-tubulin. The curved-to-330 331 straight conformational change in tubulin induces subtle modifications at the taxane-site that were also previously detected by changes of the STD profiles of other taxane site 332 binders (discodermolide and epothilones)(24, 25). In contrast, no changes in the STD 333 334 profiles were detected for Ptx samples. Moreover, TR-NOESY determined, Ptx-bound conformations in unassembled tubulin and MTs were identical (Figs 5; S3; S4; S5) 335 336 (detailed information about the conformational studies are given in supporting information, including the quantitative analysis of the NOE intensities by taking into 337 338 account the full matrix relaxation treatment implemented in MSpin software(36)). We hypothesize that very likely we are detecting the binding of Ptx to a small fraction of 339 340 unassembled, s-tubulin. This binding event would shift the equilibrium towards the straight conformation due to the higher affinity (Fig. 8, extended discussion) and hence, 341 342 Ptx-bound unassembled s-tubulin would easily nucleate and would be more prone to assembly because the energy needed for the curved-to-straight transition is provided by 343

Ptx binding (extended discussion). Furthermore, these nucleated, straight oligomers 344 (previously observed in (37)) would be less prone to disassembly. In the case of assembled 345 MTs, the effect of the preferential binding implies that drug-bound subunits would be 346 restricted to bend (bending would require release of the tightly bound drug), therefore 347 preserving the stabilizing contacts. Concordantly, compounds with higher differential 348 affinity between c- vs s-tubulin conformations (Ptx and Dcx) are better assembly inducers 349 350 and more cytotoxic than those with lower difference of affinities (Ptx derivatives of this 351 work) (Fig. 3 and Table 1), supporting a link between preferential binding for the stubulin conformation and the stabilizing activity. 352

We have seen that several secondary structural elements are perturbed upon Ptx 353 derivative binding. Moreover, we observed a major structural difference in proximity of 354 the position occupied by the 3'-N-benzamido phenyl ring A moiety of Ptx in MTs: In the 355 c-tubulin-2a structure, the  $\beta$ R369 side chain occupies the same space as the  $\beta$ D26 side 356 chain in the context of the s-tubulin conformation in MTs. These changes could explain 357 the observed differences of binding affinity for the unassembled and the assembled 358 359 tubulin structures. The C13 side chain is involved in the interaction with helix βH1, which is flanked by the  $\beta$ H1- $\beta$ S2 loop (the lock in the key-lock lateral interplay with the  $\beta$ M-360 loop). Upon transition to the assembled s-tubulin state, this space is narrowed down by 361 the side chains of  $\beta$ D26,  $\beta$ K19,  $\beta$ E22 and  $\beta$ H229 to form a favorable environment for the 362 interaction with the ring A moiety, which may lock the Ptx-bound tubulin in the straight 363 conformation (Fig. 9B). In the absence of the C13 side chain (baccatin III) or in the 364 365 presence of the less bulky moieties in ring A position (2a and 2b), ligand binding is likely less affected by the conformational transition from curved to straight, since much looser 366 interactions can still be established to the charged residue side chains of the  $\beta$ S9- $\beta$ S10 367 loop and the helix H1 through water molecules (Fig. 2C, Fig. 9A). However, they may 368 not be strong enough to lock the tubulin in the straight conformation. These observations 369 suggest that modifications of the C13 side chain greatly affect the differential binding 370 affinity of Ptx derived taxanes for unassembled c- vs. assembled s-tubulin in MTs. 371

In summary, our results support the essential role of the ring A in C13 side chain of Ptx in the mechanisms of MT stabilization. The presence of the ring A in the side chain precludes binding to c-tubulin and contributes to the consolidation of lateral contacts over the axial ones.

#### 377 Materials and Methods

#### 378 Proteins and ligands

379 Purified calf brain tubulin and chemicals were obtained as previously described(4, 38). Paclitaxel (Taxol<sup>®</sup>) (Ptx) was from Alfa Aesar Chemical, Docetaxel (Taxotere<sup>®</sup>) 380 (Dcx) was kindly provided by Rhône Poulenc Rorer, Aventis (Schiltigheim, France), 381 baccatin III was from Sigma, Flutax-2, Chitax 40, 3'N-aminopaclitaxel (N-AB-PT) and 382 Chitax-68 were synthesized as described(15-17, 39). All compounds were diluted in 383 99.8% D6-DMSO (Merck) to a final concentration of 20 mM and stored at -20 °C. Their 384 solubility in aqueous media was determined as described in(40), Flutax-2 was found 385 386 soluble, while a 100 µM solubility was found for docetaxel and a 50 µM for both paclitaxel and Chitax40. 387

388

# 389 Biochemistry and cell biology

HeLa S3 and HeLa  $\beta$ III(41) cells were cultured in Dulbecco Modified Eagle 390 Medium (DMEM) supplemented with 10% fetal calf serum (FCS), glutamine (2 mM), 391 gentamycin (40 µg/mL), penicillin (100 IU/mL), and streptomycin (100 µg/mL). The 392 medium for HeLa βIII cells was additionally supplemented with geneticin (0.5 mg/mL). 393 KB-3-1 (HeLa cells) derived from a cervical carcinoma and its multidrug resistant 394 395 counterpart KB-V1 (42) were grown in DMEM, 10% FCS, 1 mM piruvate and penicillin (50 IU/mL) streptomycin (50 mg/mL), the latter cell line with 1 mg/mL of vinblastine. 396 Compounds were screened for toxicity against HeLa cells (KB-3-1, KB-V1, HeLa S3 and 397 HeLa BIII) using MTT assay following previously described protocols with minor 398 399 modifications(43). Briefly, cells were seeded in 96-well plates using regular DMEM medium and incubated for 24 h. Then, cells were treated with vehicle or compound 400 diluted in the DMEM medium without serum for 72 h. The vehicle and compound 401 solution was changed once every 24 h. MTT solution (5 mg/ml) was added into each well 402 and further incubated for another 2 h. Finally, DMSO was added to stop the MTT reaction 403 and then, optical density was measured at 570 nm using a Molecular Devices SpectraMax 404 405 M5 (Molecular Devices, USA). The statistical significance of differences in IC<sub>50</sub> values were evaluated using the t-test option implemented in the Sigma Plot 13 software package 406 (version 13, Systat Software, Inc., San Jose, CA, USA). 407

Polymerization of 20  $\mu$ M Tubulin in PEDTA buffer (10 mM sodium phosphate (NaPi), 1 mM EDTA, 1 mM GTP, pH 6.7) plus 4 or 7 mM MgCl<sub>2</sub>, was monitored in the presence of 25  $\mu$ M taxanes by turbidity at 350 nm employing a Thermo Appliskan plate reader (Thermo Fisher, Waltham, MA, USA). The binding constants of the compounds to the taxane binding site of stabilized cross-linked microtubules (MTs) were measured by Flutax-2 displacement as previously described(*23*).

414 The binding constants of both 2a and baccatin III to unassembled dimeric tubulin were measured by centrifugation. Increasing amounts of dimeric tubulin (up to  $150 \mu$ M) 415 prepared in NaPi-GTP buffer (10 mM sodium phosphate, 0.1 mM GTP, pH 7.0) were 416 incubated with a fixed concentration (50 µM) of either baccatin III or 2a, incubated for 417 30 min at 25 °C and centrifuged at 100000 rpm in a TLA-100.2 rotor for 2h at 25 °C. 418 Then, samples were divided into upper (100  $\mu$ L) and lower (100  $\mu$ L) parts and 100  $\mu$ L of 419 NaPi were added to both of them. Afterwards, 10 µM of either docetaxel or paclitaxel 420 were added as internal standard and samples were subjected three times to an organic 421 422 extraction using dichloromethane (v:v). Dichloromethane was removed by evaporation and samples were resuspended in methanol 70%. Finally, ligand content was analyzed 423 424 using an HPLC system (Agilent 1100 Series) and samples were separated using a Zorbax Eclipse XDB-C18 column (Methanol 70% isocratic condition; 20 minutes runs). Tubulin 425 content was determined by BCA for each sample. Ligand concentration in the upper 100 426  $\mu$ L was considered as free concentration, while this in the lower 100  $\mu$ L was considered 427 as the sum of bound and free concentrations. Binding constants of tubulin for the ligand 428 429 were calculated assuming a single binding site per tubulin dimer using SIGMAPLOT 14.5 Sigmastat Software Inc. 430

Binding constant of 2a to cross-linked assembled MTs was measured as
previously described(16).

Reactivity of **2a** with tubulin and MTs was studied using organic solvent extraction and HPLC. Reaction of the compound with MTs was studied by incubating 25  $\mu$ M of tubulin in 10 mM NaPi, 1mM EDTA, 0.1 mM GTP and 7 mM MgCl<sub>2</sub> buffer pH 6.7, in the presence of 27.5  $\mu$ M of **2a** (polymerizing conditions). Duplicated samples were collected from the reaction tube at 0', 30', 1h, 2h and 4h and were centrifuged at 50000 rpm in a TLA100.2 rotor for 20'. Subsequently, supernatant was collected and a similar volume of buffer was used to dissolve the pellet. Paclitaxel at 10  $\mu$ M was added to all the samples as internal standard. Duplicated samples were subjected to organic solvent extraction either with 3 times of dichloromethane (v:v) or with the milder solvent ethyl acetate, and the organic solvent was evaporated afterwards. Residues left were resuspended in 70% methanol/Water and developed isocratically using an Agilent 1100 HPLC system connected to a reverse phase column Zorbax Eclipse XDB-C18 (mobile phase 70% methanol) with detection at 254 and 273 nm to quantify **2a** using the internal standard.

447 Reaction of **2a** with dimeric tubulin was also studied. 20  $\mu$ M tubulin in 10 mM 448 NaPi, 1 mM EDTA, 0.1 mM GTP buffer pH 7.0 (non-polymerizing conditions) was 449 incubated for 2 hours with 22.5  $\mu$ M of **2a** at 4°C and 37°C, after incubation 10  $\mu$ M of 450 paclitaxel was added to all the samples as internal standard. Samples were further 451 developed and analyzed as described above. As control, a sample of **2a** in the absence of 452 tubulin was processed and analyzed in the same way.

453

# 454 *NMR sample preparation and experiments*

455 Samples of ligand bound to non-polymerized  $\alpha/\beta$ -tubulin -heterodimers were prepared in 3 mm NMR tubes using a 300 µM concentration of baccatin III or 150 µM of 456 paclitaxel and 10 µM of tubulin in D<sub>2</sub>O (10 mM NaPi, 0.1 mM GTP pD 7.3) as described 457 in(25). Samples of ligands bound to MTs were prepared in 3 mm NMR tubes using a 150458 µM of paclitaxel and 20 µM of tubulin in D<sub>2</sub>O (10 mM KPi, 0.1 mM GMPCPP, 6 mM 459 MgCl<sub>2</sub>, pD 7.0) as described in(25). An 8% DMSO was added to the buffer to solubilize 460 the ligand. NMR spectra were then recorded at 298 K (dimeric tubulin samples) or 310 461 462 K (polymeric tubulin samples) in D<sub>2</sub>O on a Bruker AVANCE 500 MHz or on a 700 MHz spectrometer equipped with a triple-channel cryoprobe as described in(25). Additionally, 463 464 the oligomerization state of the tubulin samples for the NMR experiments was analyzed by sedimentation velocity in a Beckman Optima XL-I analytical ultracentrifuge as 465 466 described(25).

467

# 468 Crystallization, Data Collection and Structure Determination

469 Crystals of T<sub>2</sub>R-TTL were generated as described(*12, 14*). Suitable T<sub>2</sub>R-TTL 470 crystals were soaked for 8h in reservoir solutions (2-4% PEG 4K, 2-10% glycerol, 30 471 mM MgCl<sub>2</sub>, 30 mM CaCl<sub>2</sub>, 0.1 M MES/Imidazole pH 6.7) containing either 10 mM

baccatin III, 5 mM 2a or 2b. Ssubsequently, crystals were flash cooled in liquid nitrogen 472 following a brief transfer into cryo solutions containing the reservoir supplemented with 473 16% and 20% glycerol. All data were collected at beamline X06DA at the Swiss Light 474 Source (Paul Scherrer Institut, Villigen, Switzerland). Images were indexed and 475 processed using XDS(44). Structure solution using the difference Fourier method and 476 refinement were performed using PHENIX(45). Model building was carried out 477 iteratively using the Coot software(46). Data collection and refinement statistics for all 478 479 three T<sub>2</sub>R-TTL-complexes are given in Supplemental Table S1. Molecular graphics and analyses were performed with PyMol (The PyMOL Molecular Graphics System, Version 480 2.3.2, Schrödinger, LLC). To compare the structures of both baccatin III and 2a 481 complexes in the curved tubulin (c-tubulin) conformation to the straight tubulin (s-482 tubulin) in paclitaxel stabilized MT (PDB ID 5SYF), all structures were superimposed 483 onto the taxane-site of 2a (residues 208-219+225-237+318-320+359-376+272-276+287-484 296; rmsd<sub>BacIII</sub> 0.171 Å (48 C<sub> $\alpha$ </sub> atoms), rmsd<sub>5SYF</sub> 0.868 Å (52 C<sub> $\alpha$ </sub> atoms)). 485

486

# 487 MT shear-flow alignment and X-ray fiber diffraction experiments

488 X-ray fiber diffraction data were collected in BL11-NDC-SWEET beamline of 489 ALBA Synchrotron at a  $\lambda$ =0.827 nm as described in(*32*). Radial structural parameters 490 (MT diameter and average inter-PT distances) and dimer/monomer length (from the 4th 491 harmonic of the first layer-line signals) were determined as described in(*32*).

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# 627 Acknowledgments

- We thank Ganadería Fernando Díaz for calf brains supply and staff of beamlines X06DA of the Swiss Light Source (Paul Scherrer Institut, Villigen PSI, Switzerland) and BL11-NDC-SWEET (ALBA, Cerdanyola del Vallès, Spain) for their support. We also thank Mr. Pedro Gascón Blanco for his private donation to the project to support a month of a student salary.
- This article is dedicated to the memory of Dr. Linda Amos, a dear friend and pioneer in the study of microtubules and the mechanism of action of Taxol(34), who passed away while we were assembling this manuscript.
- 636 Funding: Ministerio de Ciencia e Innovación PID2019-104545RB-I00 (J.F.D.); CSIC
- 637 PIE 201920E111 (J.F.D.); CSIC PIE 202020E301(J.F.D.); Fondo de Investigaciones
- 638 Sanitarias COV20/01007 (J.F.D.); EU H2020-MSCA-ITN-2019 860070 TUBINTRAIN
- 639 (J.F.D., A.E.P.); Swiss National Science Foundation (31003A 166608) (M.O.S.); JSPS
- 640 KAKENHI (16K07328/17H03668) to (S.K.); NSFC Grant No. 30930108 (W-S. F.);
- 641 CAMS Innovation Fund for Medical Sciences 2016-I2M-1-010 (W-S. F.)
- Author contributions: Conceptualization: A.E.P., W-S.F., M.O.S. and J.F.D. ;
  Investigation: A.E.P., K.B., T.M., N.O., D.L-A., M.A.O., F.J-P., K.G., J.F.G.A. C.R.,

- 644 J.F.D., A.C. J. E-G., S.K., Y.M. and S.L.; Visualization: A.E.P. and M.A.O. Writing-
- original draft: J.F.D. Writing—review & editing: A.E.P., W-S.F., M.O.S., J.M.A. and K-
- 646 H.A.
- 647 **Competing interests:** Authors declare that they have no competing interests.
- 648 Data and materials availability: All raw data non presented in the manuscript are
- 649 available upon reasobable request from the authors. Cell biology, biochemistry, fiber
- 650 diffraction and NMR data requests should be addressed to J.F.D. Crystallographic data
- 651 requests should be addressed to A.E.P.

## 652 Figures and Tables

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Table 1. IC50<sup>1</sup> values ( $\mu$ M) of tested compounds in Ptx sensitive KB (HeLa), HeLa S3 and in Ptx resistant KBV (HeLa) P-gp overexpressing and HeLa  $\beta$ III-tubulin overexpressing cells.

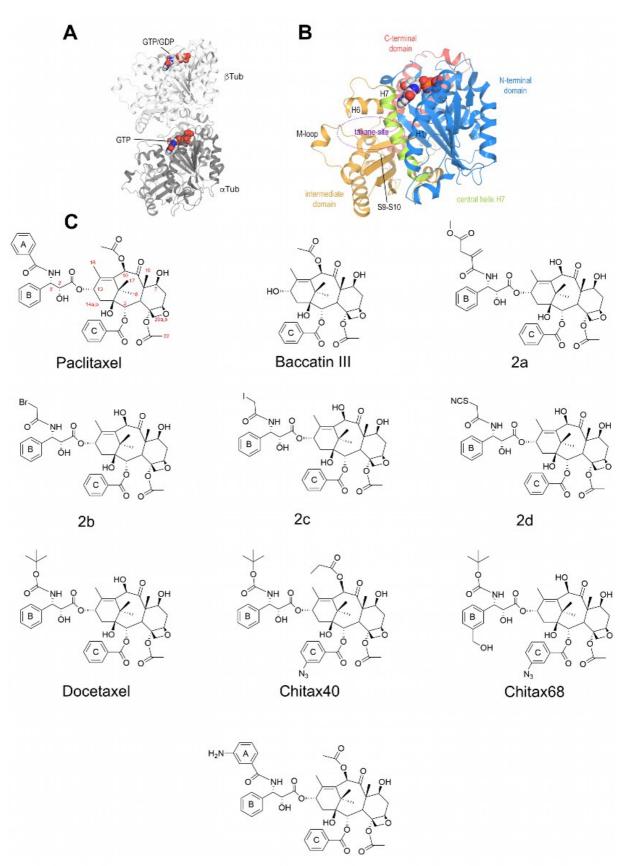
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Compound	ΚΒ μΜ	KBV µM	HeLa S3 µM	HeLa βΙΙΙ μΜ
2a	0.6±0.1	>20	11.5±0.8	11.8±0.3
2b	10±3	>20	17.7±0.6	16.6±0.3
2c	13±1	>20	12.9±0.8	>20
2d	>20	>20	>20	>20
Ptx <sup>2</sup>	$0.0019 \pm 0.0001$	9±4	$0.0011 \pm 0.0004$	$0.034\pm0.009$

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<sup>1</sup>IC50 values of growth inhibition in a panel of HeLa (KB and Hela S3) cervical carcinoma cells expressing P-glycoprotein (KBV) or the  $\beta$ III isotype of tubulin (Hela  $\beta$ III), compared to the isogenic parental lines. Values are presented as the mean±SE of three independent measurements<sup>2</sup>. Data from reference(*47*).

663



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3'N-aminopaclitaxel

Figure 1. (A, B) Structural features of tubulin. (C) Structures of taxanes used in this
study.

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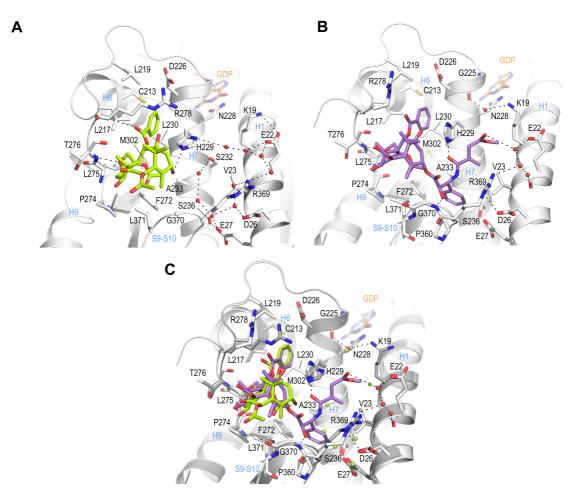
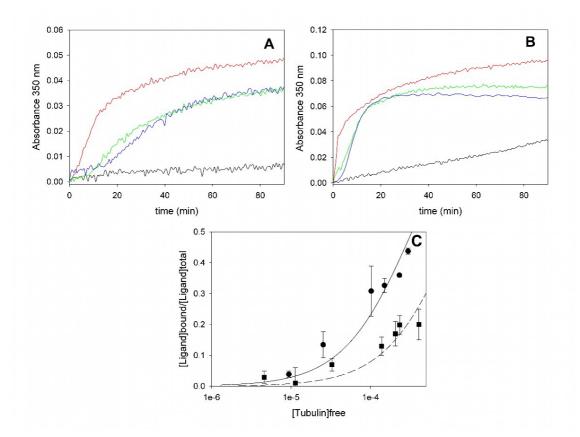


Figure 2. Crystal structure of T<sub>2</sub>R-TTL-baccatin III (PDB ID 7A7I) and T<sub>2</sub>R-TTL-669 2a (PDB ID 7A7F) complexes. (A) Close-up view of the interaction network observed 670 between baccatin III (lemon) and tubulin (light gray). Interacting residues of tubulin are 671 shown in stick representation and are labeled. Oxygen and nitrogen atoms are colored red 672 and blue, respectively; carbon atoms are in lemon (baccatin III) or light gray (tubulin). 673 674 Hydrogen bonds are depicted as black dashed lines. Secondary structural elements of tubulin are labeled in blue. (B) Close-up view of the interaction of 2a (violet) with tubulin 675 676 in the same view and representation as in (A). (C) The same close-up view as in (A) and (B) with the superimposed baccatin III (lemon) and 2a (violet) complex structures. Water 677 molecules belonging to the baccatin III structure are represented as lemon spheres. 678



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Figure 3. Biochemical characterization of the tubulin-2a and 2b interaction. Timecourse assembly of 25  $\mu$ M tubulin in 10 mM phosphate buffer, 1 mM EDTA, 1 mM GTP, pH 6.7, with 4 (A) or 7 mM (B) MgCl<sub>2</sub> in the presence of 27.5  $\mu$ M Ptx (red), 2a (green), b (blue) or vehicle (DMSO, black). (C) Isotherm of binding of 50  $\mu$ M baccatin III (circles and solid line) or 2a (squares and dashed line) to tubulin. Error bars are standard errors of three independent measurements. Lines are the fitting to a single site model.

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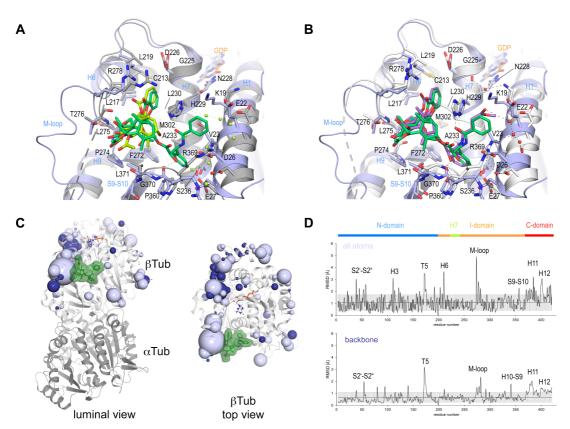


Figure 4. Comparison of taxane binding to unassembled c- vs. assembled, s- tubulin. 691 (A) Close-up view of the superimposed baccatin III bound (ligand in lemon; protein in 692 grey ribbon and sticks) to unassembled, c-tubulin and Ptx bound to assembled, s-tubulin 693 as found in a MT (PDB ID 6WVR; ligand in dark green; protein in slate ribbon and sticks) 694 structures. Interacting residues of tubulin are shown in stick representation and are 695 labeled. Oxygen and nitrogen atoms are colored red and blue, respectively. Hydrogen 696 bonds are depicted as black dashed lines. Secondary structural elements of tubulin are 697 labeled in blue. Water molecules belonging to the baccatin III structure are represented 698 as lemon spheres. The structures were superimposed onto their taxane-sites (residues 699 208-219 + 225-237 + 272-276 + 286-296 + 318-320 + 359-376; rmsd 0.894 Å (52 Ca 700 atoms). (B) Close-up view of superimposed 2a bound to unassembled tubulin (ligand in 701 violet; protein in grey ribbon and sticks) and Ptx bound to assembled tubulin (PDB ID 702 6WVR; ligand in dark green; protein in slate ribbon and sticks) structures (rmsd 0.826 Å 703 704 (52 C<sub> $\alpha$ </sub> atoms) using the same settings as in (A). (C) Perturbations on  $\beta$ -tubulin induced by Ptx upon binding to assembled tubulin in MTs (PDB ID 6WVR). The α-tubulin and 705 β-tubulin chains are in ribbon representation and are colored in dark and light grey, 706 respectively. The rmsd differences between unbound and Ptx-bound, assembled MTs are 707 represented as light (all atom rmsd) and dark (backbone rmsd) blue spheres. Only the 708 rmsd-differences above a threshold of average  $\pm$  standard deviation are displayed. The 709 710 sphere-radii correspond to the average-subtracted rmsd-values displayed in panel (**D**). (**D**) rmsd plots of all atoms (top) and backbone (bottom) positions between the Ptx bound 711 (PDB ID 6WVR) and the apo (PDB ID 6DPV) assembled tubulin state in MTs. The grey 712 error bar represents the average rmsd  $\pm$  standard deviation. The top bar is colored 713 according to the following domain assignment: N-domain (marine blue), I-domain 714 (orange), central helix βH7 (lemon) and C-domain (red). The β-tubulin chains of the 715 corresponding structures were superimposed onto their β-tubulin N-terminal β-sheets 716  $(\text{rmsd } 0.304 \text{ Å } 30 \text{ C}_{\alpha}).$ 717

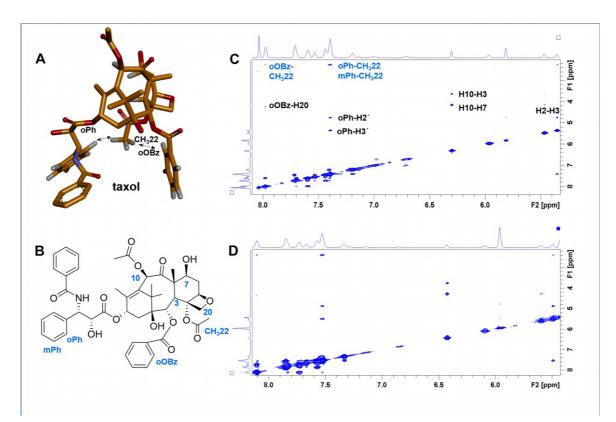


Figure 5. NMR-TR-NOESY characterization of the Ptx-tubulin interaction. (A)
Bioactive structure of Ptx as determined by TR-NOESY. (B) Chemical structure of Ptx,
indicating the protons involved in the TR-NOESY detected interactions. (C) TR-NOESY
spectra (298 K) of Ptx bound to tubulin dimers. (D) TR-NOESY spectra (310 K) of Ptx
bound to MTs.

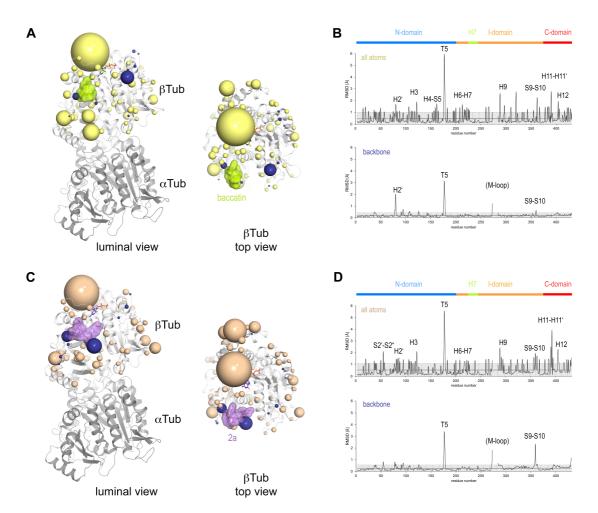
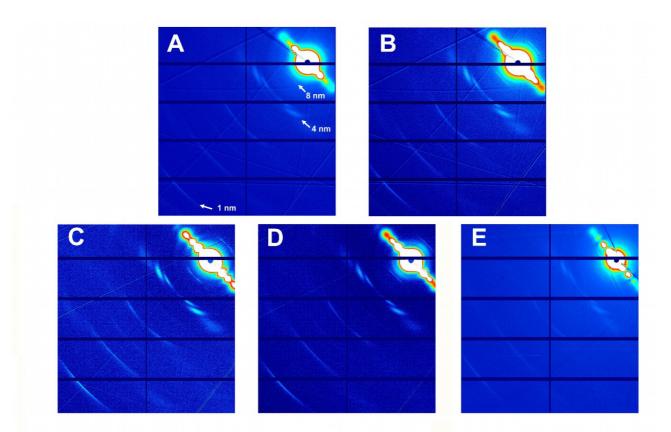


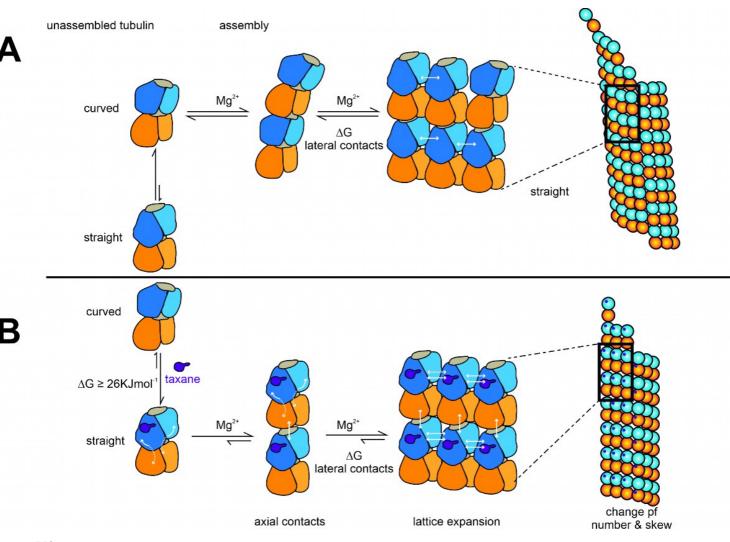
Figure 6. Perturbations induced by taxane binding to unassembled, c-tubulin. (A) 729 Perturbations on all residue (yellow) and backbone atoms (dark blue) of the β-tubulin 730 chain induced by baccatin III upon binding to unassembled tubulin. The tubulin chains 731 are in ribbon representation and are colored in dark ( $\alpha$ -tubulin) and light (B-tubulin) grev. 732 respectively. The rmsd-values of the superimposed unbound and baccatin III bound, 733 unassembled tubulin are represented as pale vellow (all atom rmsd) and dark blue 734 (backbone rmsd) spheres, respectively. Only the rmsd-values above a threshold of 735 average + standard deviation are displayed. The sphere-radii correspond to the average-736 subtracted rmsd-values displayed in panel (B). (B) rmsd plots of the all atoms (top) and 737 backbone (bottom) positions between the baccatin bound and the apo (PDB ID 4I55) 738 unassembled tubulin state. The grey error bar represents the average rmsd  $\pm$  standard 739 deviation. The top bar is colored according to the following domain assignment: N-740 domain (marine blue), I-domain (orange), central helix H7 (lemon), C-domain (red). The 741 β-tubulin chains of the corresponding structures were superimposed onto their β-tubulin 742 N-terminal  $\beta$ -sheet (rmsd 0.08 Å 29 C<sub> $\alpha$ </sub>). (C) Perturbations on all residue (wheat) and 743 744 backbone atoms (dark blue) of the β-tubulin chain induced by 2a upon binding to unassembled tubulin. (D) rmsd plots of the all atoms (top) and backbone (bottom) 745 positions between the 2a bound and the apo (PDB ID 4I55) unassembled tubulin state 746 (rmsd 0.10 Å of 29  $C_{\alpha}$ ). The same display settings as in (**B**) are applied. 747

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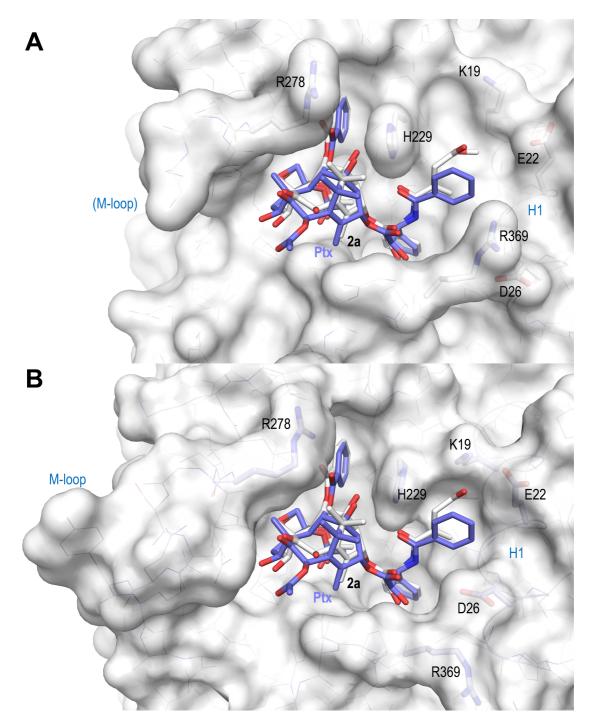
- 749 **Figure 7. Fiber diffraction patterns of MTs assembled from (A)** GTP-tubulin and Ptx,
- 750 (B) GTP-tubulin and Dtx, (C) GTP-tubulin and 2a, (D) GTP-tubulin and 2b, and (E)
- 751 GTP-tubulin and baccatin III.
- 752



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Figure 8. Schematic representation of the mechanism of taxane-induced MT 754 assembly. (A) MT assembly scheme with a classical bidimensional nucleus for 755 cooperative polymerization. (B) Taxane-induced MT assembly scheme with an activated, 756 757 s-tubulin for cooperative polymerization. In both panels, α-Tubulin is in orange (Ntdomain light orange and, intermediate domain dark orange), ß-tubulin is in blue 758 (Nt-domain light blue and, intermediate domain dark blue), while the nucleotide binding 759 site in tubulin is in gray. Taxane molecules in (B) are depicted in purple. White arrows 760 show direct and allosteric protein-protein interactions. 761

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Figure 9. Surface representations of liganded taxane sites in both (A) the c- and (B) s-tubulin conformational state. The structures of 2a (white) and Ptx (slate) bound to MTs (PDB ID 6WVR) were superimposed onto their central helices  $\beta$ H7. The side chains of the  $\beta$ M-loop residue  $\beta$ R278 and of residues surrounding the C13 side chains of the ligands are in stick representation and are labeled. Helix  $\beta$ H1 is highlighted in ribbon representation