1 **Application of recyclable CRISPR/Cas9 tools for targeted**

2 **genome editing in the postharvest pathogenic fungi**

3 ***Penicillium digitatum* and *Penicillium expansum***

4 **Sandra Garrigues*, Paloma Manzanares and Jose F. Marcos**

5 Food Biotechnology Department, Instituto de Agroquímica y Tecnología de Alimentos (IATA),

6 Consejo Superior de Investigaciones Científicas (CSIC), Catedrático Agustín Escardino

7 Benlloch 7, 46980 Paterna, Valencia, Spain.

8 *Corresponding author: Sandra Garrigues sgarrigues@iata.csic.es

9

10

11 **Abstract**

12 *Penicillium digitatum* and *Penicillium expansum* are plant pathogenic fungi that cause the green

13 and blue mold diseases, respectively, leading to serious postharvest economic losses

14 worldwide. Moreover, *P. expansum* can produce mycotoxins, which are hazardous compounds

15 to human and animal health. The development of tools that allow multiple and precise genetic

16 manipulation of these species is crucial for the functional characterization of their genes. In this

17 sense, CRISPR/Cas9 represents an excellent opportunity for genome editing due to its

18 efficiency, accuracy and versatility. In this study, we developed protoplast generation and

19 transformation protocols and applied them to implement the CRISPR/Cas9 technology in both

20 species for the first time. For this, we used a self-replicative, recyclable AMA1-based plasmid

21 which allows unlimited number of genomic modifications without the limitation of integrative

22 selection markers. As test case, we successfully targeted the *wetA* gene, which encodes a

23 regulator of conidiophore development. Finally, CRISPR/Cas9-derived Δ*wetA* strains were

24 analyzed. Mutants showed reduced axenic growth, differential pathogenicity and altered

25 conidiogenesis and germination. Additionally, *P. digitatum* and *P. expansum* Δ*wetA* mutants

26 showed distinct sensitivity to fungal antifungal proteins (AFPs), which are small, cationic,

27 cysteine-rich proteins that have become interesting antifungals to be applied in agriculture,

28 medicine and in the food industry. With this work, we demonstrate the feasibility of the

29 CRISPR/Cas9 system, expanding the repertoire of genetic engineering tools available for these

30 two important postharvest pathogens and open up the possibility to adapt them to other

31 economically relevant phytopathogenic fungi, for which toolkits for genetic modifications are

32 often limited.

1. Introduction

Fungal plant pathogens cause serious losses and damages to agricultural products worldwide. Postharvest pathogenic fungi can blemish, disfigure and cause fruits rot, significantly reducing their market value (Snowdon 1988). Additionally, infected products pose a potential risk since some decay fungi produce mycotoxins that are hazardous to human and animal health (Liu et al. 2020).

Penicillium digitatum and *Penicillium expansum* are fungal phytopathogens that cause the green and blue mold diseases in harvested fruits, respectively (Ballester et al. 2014; Marcet-Houben et al. 2012). Although these two species are closely related, they differ in their host specificity. Whereas *P. digitatum* is restricted to citrus fruits (Marcet-Houben et al. 2012), *P. expansum* infects a wide range of pome and stone fruits, including apples, pears, and to a lesser extent peaches and grape berries, among others (Julca et al. 2016). Despite the application of fungicides and alternative strategies, the green and blue mold diseases continue to place high infection pressures on stored fruits worldwide (Vilanova et al. 2012). Besides their negative impact for the fruit industry, *P. expansum* additionally produces patulin and citrinin, two toxic mycotoxins that can contaminate infected fruits and their derived products (Ballester et al. 2014; Morales et al. 2007). Due to the industrial and social relevance of these two postharvest pathogens, many efforts have focused on the characterization of the genetic and molecular mechanisms involved in pathogenicity, virulence, fungicide resistance, and mycotoxin production (Ballester et al. 2019; Costa et al. 2019; Chen et al. 2018; de Ramón-Carbonell and Sánchez-Torres 2017; Gandía et al. 2019a; Gandía et al. 2019b; Gandía et al. 2014; Garrigues et al. 2020; Harries et al. 2015; Li et al. 2020; Zhang et al. 2013). In this sense, precise and versatile methods for genetic manipulation of these fungi are needed.

CRISPR/Cas9 (Clustered Regularly Interspaced Short Palindromic Repeats/CRISPR-associated protein-9 endonuclease) (Jinek et al. 2012) has revolutionized high-throughput

61 functional genomics, and has become a common genome editing system in a variety of

62 organisms, including filamentous fungi (Kun et al. 2019). This technology is based on the ability

63 of Cas9 endonuclease to precisely recognize and cut a specific DNA sequence, generating

64 double strand breaks (DSBs). Its specificity relies on a single RNA sequence (guide RNA,

65 gRNA) which can be designed to target a specific (and complementary) genetic sequence

66 through the interaction with the so called Protospacer Adjacent Motive (PAM). *Streptococcus*

67 *pyogenes* SpCas9 protein is one of the preferred Cas endonucleases to date mainly due to the

68 abundance of its target PAM sequences (5'-NGG-3') within the genomes (Jinek et al. 2012).

69 Once the DNA cutting occurs, DSBs are detected as potentially lethal damage and need to be

70 repaired. In eukaryotic systems like filamentous fungi, two DNA repair mechanisms co-exist: (i)

71 the error-prone non-homologous end-joining (NHEJ) (Davis and Chen 2013), and (ii) the high-

72 fidelity homology-directed repair (HDR), which occurs in the presence of a homologous DNA

73 template, often referred as donor DNA (dDNA) or as rescue template (RT), via homologous

74 recombination (HR) (Wright et al. 2018).

75 The first application of CRISPR/Cas9 in filamentous fungi was in the industrially relevant

76 *Trichoderma reesei* (Liu et al. 2015) and six *Aspergillus* species (Nødvig et al. 2015). Since

77 then, CRISPR/Cas9 has enabled the genetic manipulation of a variety of fungal species,

78 including economically relevant plant pathogenic fungi such as *Pyricularia* (*Magnaporthe*)

79 *oryzae* (Arazoe et al. 2015), *Alternaria alternata* (Wenderoth et al. 2017), *Fusarium*

80 *graminearum* (Gardiner and Kazan 2018), *Botrytis cinerea* (Leisen et al. 2020), or *Claviceps*

81 *purpurea* (Králová et al. 2021).

82 Despite the numerous examples on the application of CRISPR/Cas9 in fungi already in

83 literature, the establishment of the CRISPR/Cas9 system in filamentous fungi is not

84 straightforward, and its efficiency differs depending on the species under study. Although the

85 CRISPR system has been widely applied in many Aspergilli (Son and Park 2021), its

86 implementation in *Penicillium* species remains challenging. Currently, very few studies reported

87 the feasibility of application of CRISPR/Cas9 in *Penicillium* species despite the great interest of

88 this genus at the biotechnological and industrial level, those being *Penicillium rubens* (formerly

89 identified as *Penicillium chrysogenum*) (Pohl et al. 2016), *Penicillium subrubescens* (Salazar-

90 Cerezo et al. 2020), *Penicillium oxalicum* (Wang et al. 2021), *Penicillium polonicum* (Valente et

91 al. 2021), and *Penicillium roqueforti* (Seekles et al. 2021).

92 The alternatives for the application of CRISPR/Cas9 are the delivery of *in vitro* pre-assembled

93 Cas9 ribonucleoproteins; the transient expression of Cas9/gRNA; through self-replicating

94 AMA1-based plasmids or the stable integrative approaches (Wang and Coleman 2019). Self-

95 replicating AMA1-based non-integrative expression systems have the main advantages of the

96 recycling of the system -allowing unlimited number of genomic modifications without the

97 limitation of integrative selection markers-; and the non-integrating *cas9* gene, which minimizes

98 the risk of genotoxicity.

99 To date, genetic engineering of *P. digitatum* and *P. expansum* has only been achieved through

100 *Agrobacterium tumefaciens*-mediated transformation (ATMT) and homologous recombination

101 protocols (Buron-Moles et al. 2012; Wang and Li 2008). However, ATMT typically results in

102 genome integrations that often require the presence of selection markers for transformant

103 selection, which can be a major limitation for multiple transformation events. In this study, we

104 developed a protoplast-mediated transformation (PMT) method and implemented the

105 CRISPR/Cas9 genome editing technology in both *P. digitatum* and *P. expansum* for the first

106 time. As a test case, we successfully disrupted the *wetA* gene by using a non-integrative, self-

107 replicative, recyclable CRISPR/Cas9 plasmid. This gene has been reported to encode a

108 regulator of conidiophore development in economically relevant filamentous fungi such as

109 several *Fusarium, Aspergillus* and *Penicillium* species (Prade and Timberlake 1994; Son et al.

110 2014; Tao and Yu 2011; Wu et al. 2018; Wu et al. 2017), including *P. digitatum* (Wang et al.

111 2015) resulting in white-sporulated colonies. However, no information about its role in *P.*

112 *expansum* was previously available. Finally, the effects of the *wetA* disruption on growth,

113 conidia production and germination rates, sensitivity to antifungal compounds, and pathogenicity

114 in both *P. digitatum* and *P. expansum* are reported.

115 **2. Material and methods**

116 **2.1. Strains, media and growth conditions**

117 The fungal strains *P. digitatum* CECT 20796 (isolate PHI26) (Marcet-Houben et al. 2012) and

118 *P. expansum* CECT 20906 (CMP-1) (Ballester et al. 2014) were used as parental strains. These

119 strains and transformants generated in this study were routinely cultured on potato dextrose

120 agar (PDA) plates (Difco-BD Diagnostics) for 5-7 days at 25 ºC. Conidia were subsequently

121 harvested, dispersed in sterile Milli-Q H_2O, and the concentration was adjusted using a

122 hemocytometer. To analyze growth on solid plates, 5 µL of conidia suspension (5×10^4

123 conidia/mL) of parental and mutant strains were deposited in the center of PDA plates, and the

124 growth diameter was measured from 3 to 7 days. Assays were performed in technical triplicates.

125 Finally, vectors generated in this study were propagated in *E. coli* JM109 grown in Luria Bertani

126 (LB) medium supplemented with 25 µg/mL chloramphenicol (Sigma-Aldrich) at 37 °C.

127 **2.2. Generation of DNA constructs**

128 The CRISPR sites for the design of gRNA sequences were identified using the Geneious Prime

129 software version 11.0.4 (https://www.geneious.com/). The 20 bp spacer sequences for the

130 gRNAs (Table 1) with no off-targets and high on-target activity were predicted based on the

131 experimentally validated predictive model by (Doench et al. 2014). All genetic modifications

132 were designed with either *P. digitatum* CECT 20796 or *P. expansum* CECT 20906 genome and

133 annotation (Ballester et al. 2014; Marcet-Houben et al. 2012). The gRNA sequences were

134   synthesized (Integrated DNA technology, IDT) and used to target the *wetA* gene in *P. digitatum*

135   (gene ID: PDIG_73870) and *P. expansum* (gene ID: PEX1_019290).

136   Derivatives of the self-replicative CRISPR/Cas9 plasmid pLM-AMA15.0 (AddGene ID #138944)

137   (Supplementary Fig. S1) to target *wetA* genes in both *P. digitatum* and *P. expansum* were

138   constructed following previous guidelines (Mózsik et al. 2021). The gRNA backbone and the

139   hepatitis delta virus (HDV) ribozyme are supplied on the AMA1-vector pLM-AMA15.0 together

140   with the *lacZ* gene flanked by *Bsa*I restriction sites. The 20 bp spacer sequence defining the

141   CRISPR site was supplied on a separate DNA sequence together with the hammerhead

142   ribozyme (HH), which includes the 6 bp inverted repeat of the 5′-end of the spacer to complete

143   the HH cleavage site. This DNA molecule was generated by PCR using two overlapping primers

144   (Table 1) and subsequently purified (High Pure PCR Cleanup Micro Kit, Sigma-Aldrich). The

145   fragment was then inserted into pLM-AMA15.0 through *Bsa*I restriction sites (*Bsa*I,

146   ThermoFisher scientific) and T4 DNA ligase (Promega) with a vector/insert ratio of 1/100 by

147   Golden gate modular assembly (Engler et al. 2009). Positive bacterial clones were detected with

148   blue/white screening in the presence of 0.1 mM isopropyl β-d-1-thiogalactopyranoside (IPTG)

149   and 50 µg/mL 5-bromo-4-chloro-3-indolyl-β-D-galactopyranoside (Xgal). Finally, correct

150   sequence integrations were verified through PCR reaction (NZYTaq II DNA polymerase,

151   Nzytech) (Table 1) and plasmids were isolated using NZYMiniprep kit (Nzytech).

152   The homology-based RTs were constructed to delete *wetA* genes in both *P. digitatum* and

153   *P. expansum* through HR. All RTs were obtained through fusion PCR amplification using

154   NZYTaq II DNA polymerase (Nzytech) following manufacturer's instructions. First, two PCR

155   fragments were generated by amplifying ≈ 1500 bp upstream and downstream of the

156   *P. digitatum* and *P. expansum wetA* genes. Finally, these two fragments were fused together in

157   a second 'nested' PCR reaction obtaining ≈ 2400 bp RT with approximately 1200 bp homology

158   arms to both upstream and downstream regions of the *wetA* genes. RTs were subsequently

159   purified (High Pure PCR Product Purification Kit, Roche) and co-transformed with the pLM-

160   AMA15.0 plasmid. All the primers used to generate the RTs are listed in Table 1.

161   **2.3. Protoplast generation, fungal transformation and mutant confirmation**

162   All media and solutions for protoplasts generation and transformation are described in

163   Supplementary Table S1. Protoplasts from *P. digitatum* CECT 20796 and *P. expansum* CECT

164   20906 were generated as follows: a final concentration of 4×10^6 and 1×10^6 conidia/mL of

165   *P. digitatum* and *P. expansum* spores, respectively, were inoculated in 2 L plastic Erlenmeyer

166   flasks containing 250 mL of either *P. digitatum* transformation medium (PdTM), or *Aspergillus*

167   transformation medium for *P. expansum* (TM) at 25 ºC and 250 rpm. After 24 or 18 h of growth

168   of *P. digitatum* and *P. expansum*, respectively, the culture was filtered through sterile Miracloth,

169   washed with 0.6 M $MgSO_4$, and dried. The mycelia were then dissolved in PS buffer with a

170   resuspension ratio of 6.5 mL PS/g mycelium and mixed well with the VinoTaste® Pro lysing

171   enzyme (Novozymes) preparation (0.5 g enzyme/g mycelium in 10-15 mL PS). The mix was

172   incubated in a rotary shaker at 30 ºC, 80 rpm, for 2 and 3 h in the case of *P. digitatum* and *P.*

173   *expansum,* respectively. Protoplast suspensions were placed on ice, and filtered through sterile

174   Miracloth paper. Cold SC solution was added to reach 45 mL suspension volume. Protoplasts

175   were centrifuged for 10 min, $1700 \times g,$ 4 ºC and washed with 10 mL of solution B. Protoplast

176   suspensions were then centrifuged for 10 min, $750 \times g,$ 4 ºC, and finally resuspended in solution

177   B to a final protoplast concentration of $1\text{-}2 \times 10^7$ protoplasts/mL prior to transformation. A

178   schematic representation of the protoplast generation protocol is shown in Fig. 1.

179   For transformation, 200 µL of protoplasts ($1\text{-}2 \times 10^7$ protoplasts/mL) were mixed with 50 µL of

180   solution C (room temperature), and a maximum of 15 µL DNA solution containing 2.5 µg of the

181   pLM-AMA15.0 plasmid and 5 µg of RT. The mixture was incubated on ice for 20 min. After

182   incubation, 2 mL of solution C were added, and after 5 min incubation at room temperature, 2

183 mL of solution B were added to the protoplast suspension. Finally, protoplasts were spread over

184 phleomycin-containing square plates (35 µg/mL) of *P. digitatum* minimal medium sucrose

185 (PdMMS) in the case of *P. digitatum,* or *Aspergillus* minimal medium sucrose (MMS) in the case

186 of *P. expansum.* As internal controls for transformation, we additionally inoculated

187 untransformed protoplasts on non-selective plates to test protoplasts viability (regeneration

188 control) and on selective plates as negative control. Plates were incubated at 25 ºC until

189 sporulated colonies were observed (approximately 12 or 7 days for *P. digitatum* or

190 *P. expansum*, respectively). A schematic representation of the protoplast transformation

191 protocol is shown in Fig. 1.

192 Transformants were purified by one colony streak to selective PDA plates and at least four

193 consecutive single colony streaks to non-selective PDA plates in order to (1) obtain monosporic

194 cultures, and (2) cure the strains from the plasmid and ensure the recycling of the system.

195 Genomic DNA of the transformants was isolated using the NZY Tissue gDNA Isolation kit

196 (Nzytech) and mutants were confirmed by PCR reactions (NZYTaq II DNA polymerase,

197 Nzytech) using the primers indicated in Table 1 and by Sanger sequencing. Finally, the verified

198 CRISPR/Cas9 mutants were plated on selection plates again to confirm their inability to grow in

199 the presence of the antibiotic, confirming the loss (and thus, not a random integration) of the

200 plasmid.

201 **2.4. Conidia production and germination**

202 In order to compare conidia production between the parental and mutant strains, 250 conidia

203 from *P. digitatum* CECT 20796, *P. expansum* CECT 20906, and *ΔwetA* mutants were

204 inoculated in the center of PDA plates and, after 7 days of growth, spores were collected in

205 sterile Milli-Q H_2O, filtered, counted with a hemacytometer and normalized to the surface of the

206 fungal colony. Assays were performed in technical triplicates.

207   Two different approaches were performed to evaluate and compare the conidial germination

208   rates between the parental and mutant strains. First, a final concentration of 10^6 conidia/mL

209   were inoculated in 100 µL 5% potato dextrose broth (PDB, Difco-BD Diagnostics) for 20 h in the

210   case of *P. digitatum* and 16 h in the case of *P. expansum,* at 25 ºC and 60 rpm. On the other

211   hand, a final concentration of 10^6 conidia/mL were inoculated in 100 µL sterile H_2O Milli-Q water

212   for 72 h at 25 ºC and 60 rpm. After each incubation time, samples were assessed for the

213   presence of non-germinated conidia, swollen conidia/germlings, and germ tubes. All treatments

214   were performed in technical triplicates.

215   In all cases, statistical differences between parental and mutant strains were assessed using a

216   Student's *t*-test ($p < 0.05$).

217   **2.5. Antifungal activity assays**

218   Antifungal assays were performed in 96-well, flat-bottom microtiter plates (Nunc) as described

219   (Garrigues et al. 2018). Briefly, 50 µL of fungal conidia (5×10^4 conidia/mL) in 10% diluted PDB

220   and 0.02% (w/v) chloramphenicol were mixed with 50 µL of two-fold concentrated antifungal

221   proteins (AFPs) from serial twofold dilutions. Plates were statically incubated for 96 h at 25 ˚C.

222   Growth was determined every 24 h by measuring the optical density (OD) at 600 nm using

223   Spectrostar Nano microplate spectrophotometer (BMG labtech), and the OD_{600} mean and

224   standard deviation (SD) of three replicates were calculated. These experiments were repeated

225   three times. Minimum Inhibitory Concentration (MIC) is defined as the protein concentration that

226   completely inhibited growth in all the experiments.

227   **2.6. Fruit infection assays**

228   *P. digitatum* and *P. expansum* strains were inoculated on freshly harvested fruits of orange

229   (*Citrus sinensis* L. Osbeck cv Navel) and apples purchased in a local grocery (*Malus domestica*

230   cv Golden Delicious), respectively, as described (Ballester et al. 2014; González-Candelas et al.

231   2010). In brief, three replicates of five fruits were inoculated with 5 µL of conidial suspension (1

232   × 10^4 conidia/mL in oranges and 2.5 × 10^4 conidia/mL in apples) at four wounds around the

233   equator. Control mock-inoculations were carried out with 5 µL of sterile Milli-Q H_2O. After

234   inoculation, fruits were maintained at 20 °C and 90% relative humidity. Each inoculated wound

235   was scored daily for infection symptoms on consecutive days post inoculation (dpi). The

236   experiments were repeated at least two times.

237   **3. Results and Discussion**

238   **3.1. CRISPR/Cas9 was successfully applied to generate Δ*wetA* mutants in**

239   ***P. digitatum* and *P. expansum* through PMT.**

240   In this work, we developed protoplast generation and transformation protocols to genetically

241   modify both *P. digitatum* and *P. expansum* through CRISPR/Cas9 for the first time. Previously,

242   only ATMT protocols allowed the transformation and genetic modification of these two species

243   (Buron-Moles et al. 2012; Wang and Li 2008). However, ATMT typically results in (low copy)

244   genome integrations that often require selection markers for transformants selection, which can

245   be a limiting factor in case of multiple transformation events. On the other hand, PMT allows the

246   translocation of a high copy number of DNA molecules into the cells, which may result in higher

247   transformation rates (Weyda et al. 2017). Furthermore, PMT enables the transitive introduction

248   of non-integrative, self-replicating plasmids that can be cured after the genomic modification of

249   interest has been performed. Thus, it allows (i) the recycling of the system and (ii) an endless

250   number of genetic modifications without the limitation of selection markers.

251   In the present study, we applied the non-integrative self-replicating plasmid pLM-AMA15.0

252   (Supplementary Fig. S1) to genetically modify *P. digitatum* and *P. expansum* by means of

253   CRISPR/Cas9. As a test case, we targeted the *wetA* gene, which encodes a conidiophore

254 development-related transcription factor that is crucial for synthesis of cell wall layers that make

255 conidia mature and impermeable (Wang et al. 2015). Disruption of *wetA* has been reported to

256 generate white, cotton-like mutant colonies in several fungal species (Tao and Yu 2011; Wang

257 et al. 2015; Wu et al. 2018; Wu et al. 2017), making them easily distinguishable from their

258 parental strains, although other fungi e.g., *F. graminearum* Δ*wetA* mutants did not show

259 phenotypical differences compared to the wild type (Son et al. 2014).

260 After 12 and 7 days post-transformation of *P. digitatum* and *P. expansum,* respectively,

261 phleomycin-resistant colonies (Fig. 2A and Fig. 3A) were ready to be transferred, at least four

262 times, to non-selective plates in order to obtain monosporic cultures, and to induce the loss of

263 the plasmid and ensure the recycling of the system. This procedure generated *Penicillium*

264 strains that were again sensitive to the antibiotic used for transformant selection (data not

265 shown), as similarly reported for other CRISPR/Cas9-derived mutants (Pohl et al. 2016;

266 Salazar-Cerezo et al. 2020; Weyda et al. 2017), thus discarding the random integration of the

267 plasmid into the genome. Finally, the resulting *P. digitatum* and *P. expansum* transformants

268 were analyzed (Fig. 2B-C and Fig. 3B-C). Since the Cas9 cleavage efficiency through a gRNA

269 sequence is not yet well understood, and depends on several factors such as the genomic

270 region being targeted and the gRNA design (Katayama et al. 2016; Li et al. 2015), two different

271 gRNA sequences were designed and tested to disrupt *wetA* in both *Penicillium* species under

272 study. However, only one gRNA resulted in positive Δ*wetA* mutants (Table 1, and data not

273 shown). In case of *P. digitatum,* a genome editing efficiency of $10.1 \pm 1.4\%$ was reached with

274 the CRISPR/Cas9 system under study after several transformation experiments. Molecular

275 characterization of randomly chosen transformants showed that several strains underwent

276 NHEJ DNA repair mechanism (Pd_26, Pd_46, Pd_56), as depicted in Fig 2B-C. Sequencing

277 results of three independent white colonies demonstrated that all three had nucleotide deletions

278 within the *wetA* coding sequence, resulting in gene frameshift (Fig 2C, in yellow). Noteworthy,

279   one of our *P. digitatum* Δ*wetA* mutants presented a nucleotide deletion a few nucleotides

280   upstream of the gRNA complementary sequence (Pd_26, Fig. 2C). Similarly, in case of *P.*

281   *expansum*, an average genome editing efficiency of 12.7 ± 2.2% was reached with the pLM-

282   AMA15.0 Cas9 plasmid. Molecular characterization of transformants (Fig. 3B-C) showed that

283   both NHEJ (mutants Pe_04, Pe_20) and HR (Pe_16) occurred. In Pe_16, the complete Δ*wetA*

284   gene was removed by HR in the presence of RT (see also next section). Sequencing results of

285   two independent white colonies that underwent NHEJ (Pe_04, Pe_20) showed that all had

286   nucleotide deletions within the 20 bp sequence complementary to the gRNA targeting *wetA* (Fig

287   3C, in yellow). In addition, Pe_04 showed a nucleotide deletion a few nucleotides downstream

288   of the gRNA complementary sequence. In theory, Cas9-derived genetic modifications are

289   expected to occur within the 20 bp sequence complementary to the gRNA, and close to the

290   PAM sequence (Allen et al. 2019; Nødvig et al. 2015).These punctual nucleotide deletions in

291   off-target sites could be explained by the random nature of the NHEJ repair mechanism to

292   remedy DNA damage, which is not fully understood especially for the breaks inflicted by Cas9

293   (Allen et al. 2019).

294   The mutation rate of approx. 10-12% obtained for *P. digitatum* and *P. expansum* is comparable

295   to that obtained for other filamentous fungi such as *A. alternata* (Wenderoth et al. 2017)*,* or

296   *P. subrubescens* (Salazar-Cerezo et al. 2020) and higher than that described for other fungi

297   e.g., *A. carbonarius* (Weyda et al. 2017). However, this efficiency was not as high as reported

298   for other filamentous fungi in which also CRISPR/Cas9 plasmids where used (Nødvig et al.

299   2015; Pohl et al. 2016; Song et al. 2018; Wang et al. 2021). In these studies, gene editing

300   efficiencies could vary between 23-97% depending on the fungus and the CRISPR/Cas9

301   approach. Many factors can (in)directly affect CRISPR/Cas9 genome editing, such as the

302   efficiency of the transformation process, the promoters driving the expression of *cas9* and the

303   gRNAs, *cas9* codon usage, the 20-nucleotide gRNA design, or the accessibility of the target

304 sequence, among others (Katayama et al. 2016; Li et al. 2015). These factors will be taken into

305 account to improve genome editing efficiency in both *P. digitatum* and *P. expansum.*

3.2. Co-transformation of long homology-based repair templates does not increase HDR over NHEJ

306

307

308 In this study, we also tested if the presence of RTs would increase *P. digitatum* and

309 *P. expansum* preference for HDR over NHEJ. The NHEJ DNA repair mechanism mainly

310 involves the binding of the dimeric protein complex KU70/KU80 to the free DNA ends after

311 DSBs, resulting in random, error-prone DNA repair. In contrast, HDR-mediated recombination of

312 a homologous DNA sequence allows for precise genetic modifications and is more suited for

313 targeted genome editing (Snoek et al. 2009). The NHEJ is the dominant mechanism to repair

314 DSBs in filamentous fungi (Haarmann et al. 2008; Li et al. 2010; Snoek et al. 2009; Xu et al.

315 2014). Thus, reaching efficient levels of HDR is challenging in wild-type fungal strains in which

316 the NHEJ repair pathway has not been inactivated. The addition of homology-based RTs to

317 CRISPR/Cas9 transformation events has been shown to induce HDR-based mutations in some

318 fungal species without the necessity to previously inactivate the NHEJ pathway (Al Abdallah et

319 al. 2017; Foster et al. 2018; Jan-Vonk et al. 2019). In this work, we constructed RTs using

320 approx. 1.2 kb complementary DNA arms to both 5' and 3' flanking regions of the *wetA* genes in

321 *P. digitatum* and *P. expansum,* since previous studies demonstrated that Cas9-derived DSBs

322 could be repaired by homology arms of this size in other *Penicillium* species (Pohl et al. 2016;

323 Salazar-Cerezo et al. 2020). Molecular characterization of *P. digitatum* transformants through

324 PCR showed that, despite the co-transformation of the CRISPR/Cas9 plasmid with high

325 amounts of homology-based RT ($\approx$ 5 μg), none of the phleomycin-resistant transformants

326 analyzed showed a band size of around 2.4 kb indicative of clean deletion of *wetA* gene through

327 HR (Fig. 2B and data not shown). In the case of *P. expansum*, molecular characterization of

328 phleomycin-resistant transformants showed that only one of the mutants analyzed (Pe_16)

329 showed a band of 2.6 kb indicative of clean deletion of *wetA* through HR in the presence of RT

330 (Fig. 3B). However, despite the presence of RT, there is still a general preference for NHEJ over

331 HDR DNA repair system in both organisms (Fig. 2, Fig. 3, and data not shown).

332 A common approach to improve CRISPR/Cas9 efficiency through HDR in filamentous fungi is

333 the construction of *ku70/ku80* knockouts, leading to (partial) inactivation of the NHEJ repair

334 pathway. It has been shown that the disruption of these genes in filamentous fungi could

335 significantly improve the efficiency of HR up to 100% (Haarmann et al. 2008; Li et al. 2010;

336 Matsu-Ura et al. 2015; Pohl et al. 2016; Schuster et al. 2016; Xu et al. 2014). Based on this, and

337 taking into account our own results, the application of the CRISPR/Cas9 technology in NHEJ-

338 deficient *P. digitatum* and *P. expansum* could help improve the already obtained CRISPR/Cas9

339 efficiency rates, and will be addressed in the future.

340 **3.3. Disruption of *wetA* in *P. digitatum* and *P. expansum* results in reduction of axenic**

341 **growth, differential pathogenicity and altered conidiogenesis and germination.**

342 The *wetA* gene, together with *brlA* and *abaA,* defines a central regulatory pathway that governs

343 conidiation-specific gene expression and determine conidiophore formation and spore

344 maturation (Park and Yu 2012). Several studies have already shown that *wetA* deletion totally

345 blocks conidia pigmentation, resulting in conidia with albino phenotypes (Tao and Yu 2011;

346 Wang et al. 2015; Wu et al. 2018; Wu et al. 2017). Molecular characterization of phleomycin-

347 resistant transformants obtained by CRISPR/Cas9 demonstrated the disruption of the *wetA*

348 gene in both *P. digitatum* and *P. expansum* (Fig. 2 and Fig. 3). From this point onwards, the

349 molecularly verified Δ*wetA* mutants of *P. digitatum* Pd_46, Pd_26, and Pd_56 (Fig. 2) will be

350 referred to as Δ*PdwetA*_46, Δ*PdwetA*_26, and Δ*PdwetA*_56, respectively. Similarly, the verified

351 Δ*wetA* mutants of *P. expansum* Pe_04, Pe_16 and Pe_20 (Fig. 3) will be referred to as

352 Δ*PewetA*_04, Δ*PewetA*_16, and Δ*PewetA*_20. Phenotypic characterization of *P. digitatum* and

353   *P. expansum* Δ*wetA* mutants on solid plates confirmed the expected albino phenotypes (Fig.

354   4A). Additionally, growth of three independent Δ*wetA* mutants from each strain showed delayed

355   growth on PDA plates compared to their corresponding wild-type strains (Fig. 4B).

356   In filamentous fungi, the involvement of *wetA* in pathogenicity and virulence seems to be

357   species-dependent. For example, *wetA* disruption has been described to negatively affect

358   pathogenicity and virulence in the entomopathogenic fungus *Beauveria bassiana* (Li et al.

359   2015). In contrast, virulence of *F. graminearum* Δ*wetA* mutants did not significantly differ from

360   the original strain (Son et al. 2014). Our pathogenicity assays revealed that *P. digitatum* Δ*wetA*

361   mutants showed significantly reduced pathogenicity compared to that of the wild type on orange

362   fruits ($p<0.05$) (Fig. 4 C-D). This is in discordance with a previously published work in which

363   other *P. digitatum* Δ*wetA* mutants were reported to show similar pathogenicity and virulence to

364   that of their corresponding parental strain (Wang et al. 2015). However, in our study, a different

365   *P. digitatum* strain, lower inoculum dose, and an alternative pathosystem were used, which

366   could be the main reasons for these discrepancies. In case of *P. expansum*, although there

367   seems to be a slight tendency of reduced pathogenicity in the Δ*wetA* mutants compared to the

368   control, this is not statistically significant (Fig. 4 E-F).

369   Null *wetA* mutants from both *P. digitatum* and *P. expansum* showed reduced conidia production

370   ability after 7 days of growth on PDA plates, although this reduction was only statistically

371   significant in the case of *P. expansum* Δ*wetA* mutants (Fig. 5A). Surprisingly, germination rates

372   of independent Δ*wetA* mutants from both *P. digitatum* and *P. expansum* was increased in liquid

373   PDB medium (Fig. 5B) and in sterile water (Fig. 5C).

374   A previous study reported decreased germination rate in a different strain of *P. digitatum wetA*

375   mutant measured on solid PDA plates (Wang et al. 2015). In that study, 44% and 97% of wild-

376   type *P. digitatum* PdKH8 conidia germinated at 8 h and 12 h after incubation in PDA,

377   respectively, while in the Δ*PdwetA* mutants, only approximately 7% and 42% of conidia

378   germinated at these two corresponding time points. In our case, we measured the germination

379   rate in a different medium (solid vs. liquid) and in a different *P. digitatum* strain, which could

380   (partially) explain these differences. In our study, only around 30% of the wild-type spores

381   completely germinated after 20 h of incubation in liquid PDB, while between 60-70% of the

382   Δ*PdwetA* conidia had already germinated (Fig. 5B, left panel). These results were also

383   comparable in case of *P. expansum* Δ*wetA* mutants, in which the amount of conidia was also

384   significantly reduced after 16 h incubation in PDB, correlating with an increase in the amount of

385   germ tubes compared to the parental strain CMP-1 (Fig. 5B, right panel). Around 30% of the

386   CMP-1 wild-type spores completely germinated after 16 h of incubation in liquid PDB, whereas

387   between 40-60% of the Δ*PewetA* conidia had already germinated (Fig. 5B, right panel).

388   In order to further confirm the increased germination rate of the mutants, we also tested their

389   germination ability in sterile H_2O, which is an alternative liquid matrix where germination is more

390   restricted due to lack of nutrients. Results showed that after 72 h incubation, there was a

391   significant reduction of spores and a significant increase of germ tubes in the case of

392   *P. digitatum* Δ*wetA* mutants compared to the parental strain PHI26 (Fig. 5C, left panel). In

393   parallel, results of *P. expansum* Δ*wetA* strains also showed an increased germination rate of

394   these mutants in H_2O. The amount of non-germinated spores of the Δ*wetA* strains was

395   significantly reduced, correlating with a significant increase in the amount of germlings and germ

396   tubes compared to the wild-type strain (Fig. 5, right panel). It is noteworthy that no germ tubes

397   of the parental strains PHI26 or CMP-1 were present in the water samples after 72 h incubation

398   time, thus confirming the increased germination ability of null *wetA* mutants in both species.

3.4. *P. digitatum* and *P. expansum* Δ*wetA* mutants show differential sensitivity to distinct fungal antifungal proteins (AFPs)

Apart from conidia pigmentation, *wetA* gene has also been reported to play a role in the integrity of the conidia cell wall (Park and Yu 2012; Wang et al. 2015), which acts as a key defense to withstand stressing agents and harsh environmental conditions (Fuchs and Mylonakis 2009). A previously published study already demonstrated altered tolerance of *P. digitatum* Δ*wetA* mutants to oxidative compounds (e.g., menadione, H_2O_2) osmotic agents (NaCl) and detergents (sodium dodecyl sulfate (SDS)) (Wang et al. 2015). However, no information about the putative altered tolerance to stressing compounds in *P. expansum* Δ*wetA* mutants is available to date.

In this work, we tested whether *wetA* disruption might affect *P. digitatum* and *P. expansum* susceptibility to the so called fungal antifungal proteins (AFPs). AFPs are small, cationic cysteine-rich proteins that are often secreted in large amounts by filamentous ascomycetes and are active against a wide range of fungi (Hegedüs and Marx 2013). AFPs have become interesting antifungals to be applied in agriculture, medicine and in the food industry (Marx et al. 2008; Delgado et al. 2016; Garrigues et al. 2018; Martínez-Culebras et al. 2021; Tóth et al. 2020), although their modes of action are still poorly understood. PeAfpA from *P. expansum* and PdAfpB from *P. digitatum* are among the most active AFPs described to date (Garrigues et al. 2018; Garrigues et al. 2017). Their antifungal efficacy has been demonstrated *in vitro* against a wide range of opportunistic human, animal, plant and foodborne pathogenic fungi (Garrigues et al. 2018; Garrigues et al. 2017), and *in vivo* against the economically relevant phytopathogens *P. digitatum, B. cinerea* and *P. expansum* during infection in oranges, tomato plants and apples, respectively (Gandía et al. 2020; Garrigues et al. 2018).

Growth inhibition assays of *P. expansum* Δ*wetA* mutants by PeAfpA and PdAfpB (Fig. 6A) showed that there is no differential susceptibility between the parental CMP-1 and the mutant strains for both of the two AFPs under study (MIC_{PeAfpA} = 2 µg/mL; MIC_{PdAfpB} = 8 µg/mL). In

424   contrast, whereas *P. digitatum* Δ*wetA* mutants showed similar tolerance to PeAfpA to that of the

425   reference strain PHI26 (MIC_{PeAfpA} = 1 µg/mL), they showed increased tolerance to PdAfpB

426   ($MIC_{PdAfpB/PHI26}$ = 4 µg/mL; $MIC_{PdAfpB/Pd\Delta wetA}$ = 8 µg/mL) (Fig. 6B). These results would suggest

427   that: (i) *wetA* deletion might differentially affect *P. expansum* and *P. digitatum* conidia; and/or

428   that (ii) there is a differential mode of action between PeAfpA and PdAfpB in *P. digitatum*. We

429   have already demonstrated that PdAfpB acts through a three-step killing mechanism in this

430   fungus, in which the conidia cell wall has a key role in the initial stage of protein-cell interaction

431   (Bugeda et al. 2020). However, there is no published information on the mode of action of

432   PeAfpA to date. Studies on the mode of action of PeAfpA are in progress and will help validate

433   this hypothesis.

434   **4. Conclusions**

435   In this study, we describe effective protoplast generation and transformation protocols for the

436   implementation of the CRISPR/Cas9 genome editing technology in the phytopathogenic fungi

437   *P. digitatum* and *P. expansum* for the first time. Although there is still room for improvement, the

438   CRISPR/Cas9 system was successfully applied through a recyclable AMA1-based plasmid to

439   disrupt the *wetA* gene in both species, and phenotypic characterization of these mutants was

440   performed. With this work, we expand the repertoire of genetic engineering tools available for

441   these two important postharvest pathogenic species, and open up new possibilities to study

442   gene function without the limitation of selection markers. In addition, the methods presented

443   here could probably be adapted to other economically relevant phytopathogenic fungi, for which

444   the availability of genetic modification tools is often limited.

445   **5. Declarations**

453   **Author Contributions:** All authors conceived and designed the study. SG performed the

454   experiments and wrote the first draft of the manuscript. PM and JFM reviewed and edited the

455   manuscript. All authors read and approved the final manuscript.

456   **Conflicts of interest/Competing interests:** The authors have no conflicts of interest to declare

457   that are relevant to the content of this article.

458   **Availability of data and material:** all data generated or analyzed during this study are included

459   in this published article.

460   **Code availability:** Not applicable.

461   **6. References**

462   Al Abdallah Q, Ge W, Fortwendel-Jarrod R, Mitchell-Aaron P (2017) A simple and universal
463   system for gene manipulation in *Aspergillus fumigatus*: In vitro-assembled Cas9-guide
464   RNA ribonucleoproteins coupled with microhomology repair templates. mSphere 2:
465   e00446-00417 doi: 10.1128/mSphere.00446-17
466   Allen F, Crepaldi L, Alsinet C, Strong AJ, Kleshchevnikov V, De Angeli P, Páleníková P, Khodak
467   A, Kiselev V, Kosicki M, Bassett AR, Harding H, Galanty Y, Muñoz-Martínez F,
468   Metzakopian E, Jackson SP, Parts L (2019) Predicting the mutations generated by
469   repair of Cas9-induced double-strand breaks. Nat Biotechnol 37: 64-72 doi:
470   10.1038/nbt.4317
471   Arazoe T, Miyoshi K, Yamato T, Ogawa T, Ohsato S, Arie T, Kuwata S (2015) Tailor-made
472   CRISPR/Cas system for highly efficient targeted gene replacement in the rice blast
473   fungus. Biotechnol Bioeng 112: 2543-2549 doi: 10.1002/bit.25662
474   Ballester A-R, López-Pérez M, de la Fuente B, González-Candelas L (2019) Functional and
475   pharmacological analyses of the role of *Penicillium digitatum* proteases on virulence.
476   Microorganisms 7: 7 doi: 710.3390/microorganisms7070198
477   Ballester A-R, Marcet-Houben M, Levin E, Sela N, Selma-Lázaro C, Carmona L, Wisniewski M,
478   Droby S, González-Candelas L, Gabaldón T (2014) Genome, transcriptome, and
479   functional analyses of *Penicillium expansum* provide new insights into secondary

metabolism and pathogenicity. Mol Plant-Microbe Interact 28: 232-248 doi: 10.1094/mpmi-09-14-0261-fi

Bugeda A, Garrigues S, Gandía M, Manzanares P, Marcos JF, Coca M (2020) The antifungal protein AfpB induces regulated cell death in its parental fungus *Penicillium digitatum*. mSphere 5: e00595-00520 doi: 10.1128/mSphere.00595-20

Buron-Moles G, López-Pérez M, González-Candelas L, Viñas I, Teixidó N, Usall J, Torres R (2012) Use of GFP-tagged strains of *Penicillium digitatum* and *Penicillium expansum* to study host-pathogen interactions in oranges and apples. Int J Food Microbiol 160: 162-170 doi: 10.1016/j.ijfoodmicro.2012.10.005

Costa JH, Bazioli JM, de Moraes Pontes JG, Fill TP (2019) *Penicillium digitatum* infection mechanisms in citrus: what do we know so far? Fungal Biol 123: 584-593 doi: 10.1016/j.funbio.2019.05.004

Chen Y, Li B, Xu X, Zhang Z, Tian S (2018) The pH-responsive PacC transcription factor plays pivotal roles in virulence and patulin biosynthesis in *Penicillium expansum*. Environ Microbiol 20: 4063-4078 doi: 10.1111/1462-2920.14453

Davis AJ, Chen DJ (2013) DNA double strand break repair via non-homologous end-joining. Transl Cancer Res 2: 3 doi: 210.3978/j.issn.2218-676X.2013.04.02.

de Ramón-Carbonell M, Sánchez-Torres P (2017) The transcription factor PdSte12 contributes to *Penicillium digitatum* virulence during citrus fruit infection. Postharvest Biol Technol 125: 129-139 doi: 10.1016/j.postharvbio.2016.11.012

Delgado J, Owens RA, Doyle S, Asensio MA, Núñez F (2016) Antifungal proteins from moulds: analytical tools and potential application to dry-ripened foods. Appl Microbiol Biotechnol 100: 6991-7000 doi: 10.1007/s00253-016-7706-2

Doench JG, Hartenian E, Graham DB, Tothova Z, Hegde M, Smith I, Sullender M, Ebert BL, Xavier RJ, Root DE (2014) Rational design of highly active sgRNAs for CRISPR-Cas9– mediated gene inactivation. Nat Biotechnol 32: 1262-1267 doi: 10.1038/nbt.3026

Engler C, Gruetzner R, Kandzia R, Marillonnet S (2009) Golden gate shuffling: a one-pot DNA shuffling method based on type IIs restriction enzymes. PLOS ONE 4: e5553 doi: 10.1371/journal.pone.0005553

Foster AJ, Martin-Urdiroz M, Yan X, Wright HS, Soanes DM, Talbot NJ (2018) CRISPR-Cas9 ribonucleoprotein-mediated co-editing and counterselection in the rice blast fungus. Sci Rep 8: 14355 doi: 10.1038/s41598-018-32702-w

Fuchs B, Mylonakis E (2009) Our paths might cross: the role of the fungal cell wall integrity pathway in stress response and cross talk with other stress response pathways. Eukaryot Cell 8: 1616-1625 doi: 10.1128/ec.00193-09

Gandía M, Garrigues S, Bolós B, Manzanares P, Marcos JF (2019a) The Myosin motor domain-containing chitin synthases are involved in cell wall integrity and sensitivity to antifungal proteins in *Penicillium digitatum*. Front Microbiol doi: 1010.3389/fmicb.2019.02400

Gandía M, Garrigues S, Hernanz-Koers M, Manzanares P, Marcos JF (2019b) Differential roles, crosstalk and response to the antifungal protein AfpB in the three mitogen-activated protein kinases (MAPK) pathways of the citrus postharvest pathogen *Penicillium digitatum*. Fungal Genet Biol 124: 17-28 doi: 10.1016/j.fgb.2018.12.006

Gandía M, Harries E, Marcos JF (2014) The myosin motor domain-containing chitin synthase PdChsVII is required for development, cell wall integrity and virulence in the citrus postharvest pathogen *Penicillium digitatum*. Fungal Genet Biol 67: 58-70 doi: 10.1016/j.fgb.2014.04.002

Gandía M, Monge A, Garrigues S, Orozco H, Giner-Llorca M, Marcos JF, Manzanares P (2020) Novel insights in the production, activity and protective effect of *Penicillium expansum* antifungal proteins. Int J Biol Macromol 164: 3922-3931 doi: 10.1016/j.ijbiomac.2020.08.208

530 Gardiner DM, Kazan K (2018) Selection is required for efficient Cas9-mediated genome editing
531 in *Fusarium graminearum*. Fungal Biol 122: 131-137 doi: 10.1016/j.funbio.2017.11.006
532 Garrigues S, Gandía M, Castillo L, Coca M, Marx F, Marcos JF, Manzanares P (2018) Three
533 antifungal proteins from *Penicillium expansum*: different patterns of production and
534 antifungal activity. Front Microbiol 910.3389/fmicb.2018.02370
535 Garrigues S, Gandía M, Popa C, Borics A, Marx F, Coca M, Marcos JF, Manzanares P (2017)
536 Efficient production and characterization of the novel and highly active antifungal protein
537 AfpB from *Penicillium digitatum*. Sci Rep 7: 14663 doi: 10.1038/s41598-017-15277-w
538 Garrigues S, Marcos JF, Manzanares P, Gandía M (2020) A novel secreted cysteine-rich
539 anionic (Sca) protein from the citrus postharvest pathogen *Penicillium digitatum*
540 enhances virulence and modulates the activity of the Antifungal Protein B (AfpB). J
541 Fungi doi: 610.3390/jof6040203
542 González-Candelas L, Alamar S, Sánchez-Torres P, Zacarías L, Marcos JF (2010) A
543 transcriptomic approach highlights induction of secondary metabolism in citrus fruit in
544 response to *Penicillium digitatum* infection. BMC Plant Biol 10: 194 doi: 10.1186/1471-
545 2229-10-194
546 Haarmann T, Lorenz N, Tudzynski P (2008) Use of a nonhomologous end joining deficient
547 strain (Δ*ku70*) of the ergot fungus *Claviceps purpurea* for identification of a nonribosomal
548 peptide synthetase gene involved in ergotamine biosynthesis. Fungal Genet Biol 45: 35-
549 44 doi: 10.1016/j.fgb.2007.04.008
550 Harries E, Gandía M, Carmona L, Marcos JF (2015) The *Penicillium digitatum* protein O-
551 mannosyltransferase Pmt2 is required for cell wall integrity, conidiogenesis, virulence
552 and sensitivity to the antifungal peptide PAF26. Mol Plant Pathol 16: 748-761 doi:
553 10.1111/mpp.12232
554 Hegedüs N, Marx F (2013) Antifungal proteins: More than antimicrobials? Fungal Biol Rev 26:
555 132-145 doi: 10.1016/j.fbr.2012.07.002
556 Jan-Vonk P, Escobar N, Wösten HAB, Lugones LG, Ohm RA (2019) High-throughput targeted
557 gene deletion in the model mushroom *Schizophyllum commune* using pre-assembled
558 Cas9 ribonucleoproteins. Sci Rep 9: 7632 doi: 10.1038/s41598-019-44133-2
559 Jinek M, Chylinski K, Fonfara I, Hauer M, Doudna JA, Charpentier E (2012) A programmable
560 dual-RNA-guided DNA endonuclease in adaptive bacterial immunity. Science 337: 816-
561 821 doi: 10.1126/science.1225829
562 Julca I, Droby S, Sela N, Marcet-Houben M, Gabaldón T (2016) Contrasting genomic diversity
563 in two closely related postharvest pathogens: *Penicillium digitatum* and *Penicillium*
564 *expansum*. Genome Biol Evol 8: 218-227 doi: 10.1093/gbe/evv252
565 Katayama T, Tanaka Y, Okabe T, Nakamura H, Fujii W, Kitamoto K, Maruyama J-I (2016)
566 Development of a genome editing technique using the CRISPR/Cas9 system in the
567 industrial filamentous fungus *Aspergillus oryzae*. Biotechnol Lett 38: 637-642 doi:
568 10.1007/s10529-015-2015-x
569 Kowalczyk JE, Lubbers RJM, Peng M, Battaglia E, Visser J, de Vries RP (2017) Combinatorial
570 control of gene expression in *Aspergillus niger* grown on sugar beet pectin. Sci Rep 7:
571 12356 doi: 10.1038/s41598-017-12362-y
572 Králová M, Bergougnoux V, Frébort I (2021) CRISPR/Cas9 genome editing in ergot fungus
573 *Claviceps purpurea*. J Biotechnol 325: 341-354 doi: 10.1016/j.jbiotec.2020.09.028
574 Kun RS, Gomes ACS, Hildén KS, Salazar Cerezo S, Mäkelä MR, de Vries RP (2019)
575 Developments and opportunities in fungal strain engineering for the production of novel
576 enzymes and enzyme cocktails for plant biomass degradation. Biotechnol Adv 37:
577 107361 doi: 10.1016/j.biotechadv.2019.02.017
578 Leisen T, Bietz F, Werner J, Wegner A, Schaffrath U, Scheuring D, Willmund F, Mosbach A,
579 Scalliet G, Hahn M (2020) CRISPR/Cas with ribonucleoprotein complexes and
580 transiently selected telomere vectors allows highly efficient marker-free and multiple

581   genome editing in *Botrytis cinerea*. PLOS Pathog 16: e1008326 doi:
582   10.1371/journal.ppat.1008326

583 Li B, Chen Y, Zhang Z, Qin G, Chen T, Tian S (2020) Molecular basis and regulation of
584   pathogenicity and patulin biosynthesis in *Penicillium expansum*. Compr Rev Food Sci
585   Food Saf 19: 3416-3438 doi: 10.1111/1541-4337.12612

586 Li Z-H, Du C-M, Zhong Y-H, Wang T-H (2010) Development of a highly efficient gene targeting
587   system allowing rapid genetic manipulations in *Penicillium decumbens*. Appl Microbiol
588   Biotechnol 87: 1065-1076 doi: 10.1007/s00253-010-2566-7

589 Li Z, Yao G, Wu R, Gao L, Kan Q, Liu M, Yang P, Liu G, Qin Y, Song X, Zhong Y, Fang X, Qu Y
590   (2015) Synergistic and dose-controlled regulation of cellulase gene expression in
591   *Penicillium oxalicum*. PLOS Genet 11: e1005509 doi: 10.1371/journal.pgen.1005509

592 Liu R, Chen L, Jiang Y, Zhou Z, Zou G (2015) Efficient genome editing in filamentous fungus
593   *Trichoderma reesei* using the CRISPR/Cas9 system. Cell Discov 1: 15007 doi:
594   10.1038/celldisc.2015.7

595 Liu Y, Galani Yamdeu JH, Gong YY, Orfila C (2020) A review of postharvest approaches to
596   reduce fungal and mycotoxin contamination of foods. Compr Rev Food Sci Food Saf 19:
597   1521-1560 doi: 10.1111/1541-4337.12562

598 Marcet-Houben M, Ballester A-R, de la Fuente B, Harries E, Marcos JF, González-Candelas L,
599   Gabaldón T (2012) Genome sequence of the necrotrophic fungus *Penicillium digitatum*,
600   the main postharvest pathogen of citrus. BMC Genom 13: 646 doi: 10.1186/1471-2164-
601   13-646

602 Martínez-Culebras PV, Gandía M, Boronat A, Marcos JF, Manzanares P (2021) Differential
603   susceptibility of mycotoxin-producing fungi to distinct antifungal proteins (AFPs). Food
604   Microbiol 97: 103760 doi: 10.1016/j.fm.2021.103760

605 Marx F, Binder U, Leiter É, Pócsi I (2008) The *Penicillium chrysogenum* antifungal protein PAF,
606   a promising tool for the development of new antifungal therapies and fungal cell biology
607   studies. Cell Mol Life Sci 65: 445-454 doi: 10.1007/s00018-007-7364-8

608 Matsu-Ura T, Baek M, Kwon J, Hong C (2015) Efficient gene editing in *Neurospora crassa* with
609   CRISPR technology. Fungal Biol Biotechnol 2: 4 doi: 10.1186/s40694-015-0015-1

610 Morales H, Marín S, Rovira A, Ramos AJ, Sanchis V (2007) Patulin accumulation in apples by
611   *Penicillium expansum* during postharvest stages. Lett Appl Microbiol 44: 30-35 doi:
612   10.1111/j.1472-765X.2006.02035.x

613 Mózsik L, Hoekzema M, de Kok NAW, Bovenberg RAL, Nygård Y, Driessen AJM (2021)
614   CRISPR-based transcriptional activation tool for silent genes in filamentous fungi. Sci
615   Rep 11: 1118 doi: 10.1038/s41598-020-80864-3

616 Nødvig CS, Nielsen JB, Kogle ME, Mortensen UH (2015) A CRISPR-Cas9 system for genetic
617   engineering of filamentous fungi. PLOS ONE 10: e0133085 doi:
618   10.1371/journal.pone.0133085

619 Park H-S, Yu J-H (2012) Genetic control of asexual sporulation in filamentous fungi. Curr Opin
620   Microbiol 15: 669-677 doi: 10.1016/j.mib.2012.09.006

621 Pohl C, Kiel JAKW, Driessen AJM, Bovenberg RAL, Nygård Y (2016) CRISPR/Cas9 based
622   genome editing of *Penicillium chrysogenum*. ACS Synth Biol 5: 754-764 doi:
623   10.1021/acssynbio.6b00082

624 Prade RA, Timberlake WE (1994) The *Penicillium chrysogenum* and *Aspergillus nidulans wetA*
625   developmental regulatory genes are functionally equivalent. Mol Gen Genet 244: 539-
626   547 doi: 10.1007/bf00583905

627 Salazar-Cerezo S, Kun RS, de Vries RP, Garrigues S (2020) CRISPR/Cas9 technology enables
628   the development of the filamentous ascomycete fungus *Penicillium subrubescens* as a
629   new industrial enzyme producer. Enzyme Microb Technol 133: 109463 doi:
630   10.1016/j.enzmictec.2019.109463

631 Schuster M, Schweizer G, Reissmann S, Kahmann R (2016) Genome editing in *Ustilago maydis*
632   using the CRISPR–Cas system. Fungal Genet Biol 89: 3-9 doi:
633   10.1016/j.fgb.2015.09.001
634 Seekles SJ, Teunisse PPP, Punt M, van den Brule T, Dijksterhuis J, Houbraken J, Wösten HAB,
635   Ram AFJ (2021) Preservation stress resistance of melanin deficient conidia from
636   *Paecilomyces variotii* and *Penicillium roqueforti* mutants generated via CRISPR/Cas9
637   genome editing. Fungal Biol Biotechnol 8: 4 doi: 10.1186/s40694-021-00111-w
638 Snoek ISI, van der Krogt ZA, Touw H, Kerkman R, Pronk JT, Bovenberg RAL, van den Berg
639   MA, Daran JM (2009) Construction of an *hdfA Penicillium chrysogenum* strain impaired
640   in non-homologous end-joining and analysis of its potential for functional analysis
641   studies. Fungal Genet Biol 46: 418-426 doi: 10.1016/j.fgb.2009.02.008
642 Snowdon AL (1988) A review of the nature and causes of post-harvest deterioration in fruits and
643   vegetables, with especial reference to those in international trade. In: Houghton DR,
644   Smith RN, Eggins HOW (eds) Biodeterioration 7. Springer Netherlands, Dordrecht, pp.
645   585-602.
646 Son H, Kim M-G, Min K, Lim JY, Choi GJ, Kim J-C, Chae S-K, Lee Y-W (2014) WetA is required
647   for conidiogenesis and conidium maturation in the ascomycete fungus *Fusarium*
648   *graminearum*. Eukaryot Cell 13: 87-98 doi: 10.1128/ec.00220-13
649 Son Y-E, Park H-S (2021) Genetic manipulation and transformation methods for *Aspergillus*
650   spp. Mycobiology 49: 95-104 doi: 10.1080/12298093.2020.1838115
651 Song L, Ouedraogo J-P, Kolbusz M, Nguyen TTM, Tsang A (2018) Efficient genome editing
652   using tRNA promoter-driven CRISPR/Cas9 gRNA in *Aspergillus niger*. PLOS ONE 13:
653   e0202868 doi: 10.1371/journal.pone.0202868
654 Tao L, Yu J-H (2011) AbaA and WetA govern distinct stages of *Aspergillus fumigatus*
655   development. Microbiology 157: 313-326 doi: 10.1099/mic.0.044271-0
656 Tóth L, Boros É, Poór P, Ördög A, Kele Z, Váradi G, Holzknecht J, Bratschun-Khan D, Nagy I,
657   Tóth GK, Rákhely G, Marx F, Galgóczy L (2020) The potential use of the *Penicillium*
658   *chrysogenum* antifungal protein PAF, the designed variant PAFopt and its γ-core peptide
659   Pγopt in plant protection. Microb Biotechnol 13: 1403-1414 doi: 10.1111/1751-
660   7915.13559
661 Valente S, Piombo E, Schroeckh V, Meloni GR, Heinekamp T, Brakhage AA, Spadaro D (2021)
662   CRISPR-Cas9-based discovery of the verrucosidin biosynthesis gene cluster in
663   *Penicillium polonicum*. Front Microbiol 1210.3389/fmicb.2021.660871
664 Vilanova L, Viñas I, Torres R, Usall J, Jauset AM, Teixidó N (2012) Infection capacities in the
665   orange-pathogen relationship: compatible (*Penicillium digitatum*) and incompatible
666   (*Penicillium expansum*) interactions. Food Microbiol 29: 56-66 doi:
667   10.1016/j.fm.2011.08.016
668 Wang J-Y, Li H-Y (2008) *Agrobacterium tumefaciens*-mediated genetic transformation of the
669   phytopathogenic fungus *Penicillium digitatum*. J Zhejiang Univ Sci B 9: 823-828 doi:
670   10.1631/jzus.B0860006
671 Wang M, Sun X, Zhu C, Xu Q, Ruan R, Yu D, Li H (2015) *PdbrlA, PdabaA* and *PdwetA* control
672   distinct stages of conidiogenesis in *Penicillium digitatum*. Res Microbiol 166: 56-65 doi:
673   10.1016/j.resmic.2014.12.003
674 Wang Q, Coleman JJ (2019) Progress and challenges: development and implementation of
675   CRISPR/Cas9 technology in filamentous fungi. Comput Struct Biotechnol J 17: 761-769
676   doi: 10.1016/j.csbj.2019.06.007
677 Wang Q, Zhao Q, Liu Q, He X, Zhong Y, Qin Y, Gao L, Liu G, Qu Y (2021) CRISPR/Cas9-
678   mediated genome editing in *Penicillium oxalicum* and *Trichoderma reesei* using 5S
679   rRNA promoter-driven guide RNAs. Biotechnol Lett 43: 495-502 doi: 10.1007/s10529-
680   020-03024-7

681 Wenderoth M, Pinecker C, Voß B, Fischer R (2017) Establishment of CRISPR/Cas9 in
682   *Alternaria alternata*. Fungal Genet Biol 101: 55-60 doi: 10.1016/j.fgb.2017.03.001
683 Weyda I, Yang L, Vang J, Ahring BK, Lübeck M, Lübeck PS (2017) A comparison of

Primer ID	Use [a]	Sequence (5'-3') [b]	Target organism	Description [c]

684   *Agrobacterium*-mediated transformation and protoplast-mediated transformation with
685   CRISPR-Cas9 and bipartite gene targeting substrates, as effective gene targeting tools
686   for *Aspergillus carbonarius*. J Microbiol Methods 135: 26-34 doi:
687   10.1016/j.mimet.2017.01.015
688 Wright WD, Shah SS, Heyer WD (2018) Homologous recombination and the repair of DNA
689   double-strand breaks. J Biol Chem 293: 10524-10535 doi: 10.1074/jbc.TM118.000372
690 Wu M-Y, Mead ME, Lee M-K, Ostrem-Loss EM, Kim S-C, Rokas A, Yu J-H (2018) Systematic
691   dissection of the evolutionarily conserved WetA developmental regulator across a genus
692   of filamentous fungi. mBio 9: e01130-01118 doi: 10.1128/mBio.01130-18
693 Wu M-Y, Mead ME, Kim S-C, Rokas A, Yu J-H (2017) WetA bridges cellular and chemical
694   development in *Aspergillus flavus*. PLOS ONE 12: e0179571 doi:
695   10.1371/journal.pone.0179571
696 Xu Q, Zhu C-y, Wang M-s, Sun X-p, Li H-y (2014) Improvement of a gene targeting system for
697   genetic manipulation in *Penicillium digitatum*. J Zhejiang Univ Sci B 15: 116-124 doi:
698   10.1631/jzus.B1300213
699 Zhang T, Sun X, Xu Q, Candelas LG, Li H (2013) The pH signaling transcription factor PacC is
700   required for full virulence in *Penicillium digitatum*. Appl Microbiol Biotechnol 97: 9087-
701   9098 doi: 10.1007/s00253-013-5129-x
702
703

704 **7. Tables**

OJM654	F	CGACTCGGTGCCACTTTTTC	*E. coli*	plasmid screening
OJM655	R	CATCCATACTCCATCCTTCCC	*E. coli*	plasmid screening
OJM670	F	ATGGTCTCACCGAGAAGGACTGATGAGTCCGTGAGGACGAAACGAG	*P. expansum*	gRNA construction
OJM671	R	ATGGTCTCTAAACCCGACATGTCGTAGGAAGGAGACGAGCTTACTCGTTTCGTCCTCACGGACTCA	*P. expansum*	gRNA construction
OJM672	F	ATGGTCTCACCGAGCGAACCTGATGAGTCCGTGAGGACGAAACGAG	*P. digitatum*	gRNA construction
OJM673	R	ATGGTCTCTAAACACGCTTTGCATGGTGCGAACGACGAGCTTACTCGTTTCGTCCTCACGGACTCA	*P. digitatum*	gRNA construction
OJM678	F	GGTCGAAGCAAACACTCC	*P. digitatum*	RT construction. Amplification of 5' flank region of *wetA*. HR transformants screening
OJM679	R	CGATAGCGAATCCTAGCAGTCAAAGCAAAAGTACGGGGC	*P. digitatum*	RT construction. Amplification of 5' flank region of *wetA*.
OJM680	F	ACTGCTAGGATTCGCTATCGTTTTGATTCGATCCTCCA	*P. digitatum*	RT construction. Amplification of 3' flank region of *wetA*
OJM681	R	GATAGTGATGTAAAGACGG	*P. digitatum*	RT construction. Amplification of 3' flank region of *wetA*. HR transformants screening
OJM682	F	TCTAAACCACTGAACAGG	*P. digitatum*	RT construction. For 5' + 3' flank fusion of *wetA*
OJM683	R	CGGACTAAAGCAGCAAAGC	*P. digitatum*	RT construction. For 5' + 3' flank fusion of *wetA*
OJM684	F	GTTATTTGAGTTTTGGTCGC	*P. expansum*	RT construction. Amplification of 5' flank region of *wetA*. HR transformants screening
OJM685	R	CGATAGCGAATCCTAGCAGTTGGATGTGATTGGACAACC	*P. expansum*	RT construction. Amplification of 5' flank region of *wetA*
OJM686	F	ACTGCTAGGATTCGCTATCGTCTCTGTTTCTTTCAGCCG	*P. expansum*	RT construction. Amplification of 3' flank region of *wetA*
OJM687	R	AAAGGTAGGTCTTGCTGC	*P. expansum*	RT construction. Amplification of 3' flank region of *wetA*. HR transformants screening
OJM688	F	GCTTTATTTATTTGTGATGC	*P. expansum*	RT construction. For 5' + 3' flank fusion of *wetA*
OJM689	R	TAGATTGTTGAGATGTATGG	*P. expansum*	RT construction. For 5' + 3' flank fusion of *wetA*
OJM690	F	TTCTCTTTCACTCCAGACC	*P. digitatum*	Sanger sequencing
OJM691	R	CCCTCAATGCGGCTTCG	*P. digitatum*	Sanger sequencing
OJM692	F	TGTCTCCACTCCCAAACGCC	*P. expansum*	Sanger sequencing
OJM693	R	AGAGAGAGATGGTGAACGG	*P. expansum*	Sanger sequencing

Table 1. Oligonucleotides used in this study.

[a] F: forward; R: reverse.

[b] *Bsa*I restriction site is highlighted.

[c] gRNA: single guide RNA; RT: repair template; HR: Homologous recombination.

8. Figure captions

710 **Fig. 1 Schematic representation of *P. digitatum* and *P. expansum* protoplast generation and**

711 **transformation protocols**

712 See main text for more details

713 **Fig. 2 Application of CRISPR/Cas9 in *P. digitatum* to target *wetA***

714 A) Results of the transformation of *P. digitatum* with AMA1-based p15.0 plasmid through protoplast-

715 mediated transformation for *wetA* disruption after 12 days of incubation at 25ºC. Arrows indicate the

716 albino phenotype of the mutants. B) Molecular characterization of *P. digitatum* transformants with primers

717 OJM678 and OJM681. Mutants showing white phenotypes are highlighted in red. Note that no HR

718 occurred in any of the strains characterized. C) Nucleotide sequence alignment of Sanger sequencing

719 results of *wetA* in the selected mutants. Protospacer for gRNA design is highlighted in red. Nucleotide

720 alterations are highlighted in yellow. The PAM sequence is underlined

721 **Fig. 3 Application of CRISPR/Cas9 in *P. expansum* to target *wetA***

722 A) Results of the transformation of *P. expansum* with AMA1-based p15.0 plasmid through protoplast-

723 mediated transformation for *wetA* disruption after 7 days of incubation at 25 ºC. Arrow indicates the albino

724 phenotype of a mutant. B) Molecular characterization of *P. expansum* transformants with primers OJM684

725 and OJM687. Mutants showing white phenotypes are highlighted in red. Note that the 2.6 kb band for

726 Pe_16 shows HR. C) Nucleotide sequence alignment of Sanger sequencing results of *wetA* in the

727 selected mutants. Protospacer for gRNA design is highlighted in red. Nucleotide alterations are

728 highlighted in yellow. The PAM sequence is underlined

729 **Fig. 4 Phenotypic characterization of Δ*wetA* mutants**

730 A) Colony morphology of *P. digitatum* (left) and *P. expansum* (rigth) Δ*wetA* mutants on solid PDA plates

731 after 8 days of growth. B) Growth on PDA plates determined by colony diameter measurement from 3 to 7

732 days of incubation. Data are mean values ± standard deviation (SD) of three technical replicates. C-D)

733 Fruit infection assays of *P. digitatum* Δ*wetA* mutants on orange fruits. E-F) Fruit infection assays of *P.*

734 *expansum* ΔwetA mutants on apples. Data indicate the percentage of infected wounds (mean ± SD) at

735 each day post-inoculation (dpi). Asterisks (*) show statistical difference compared to the control at each

736 dpi (Student's *t*-test, $p<0.05$). D and F show representative images of orange and apple fruits,

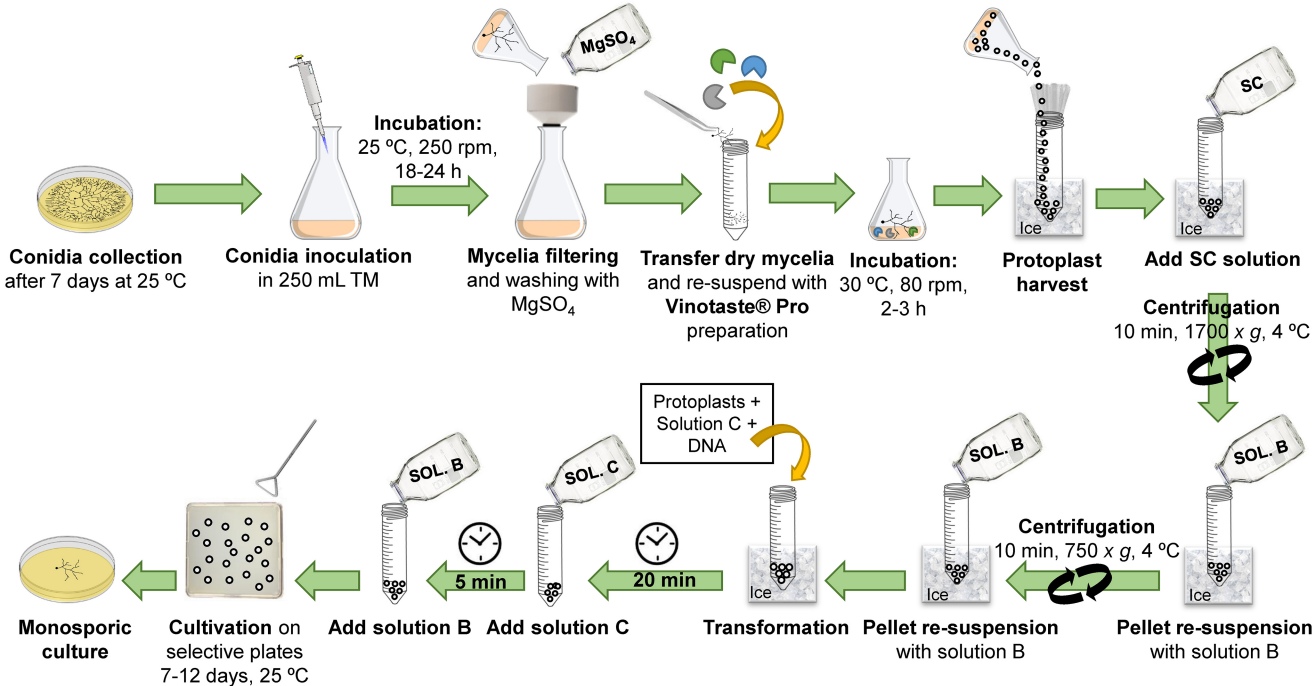737 respectively, infected by the indicated strains at 7 dpi

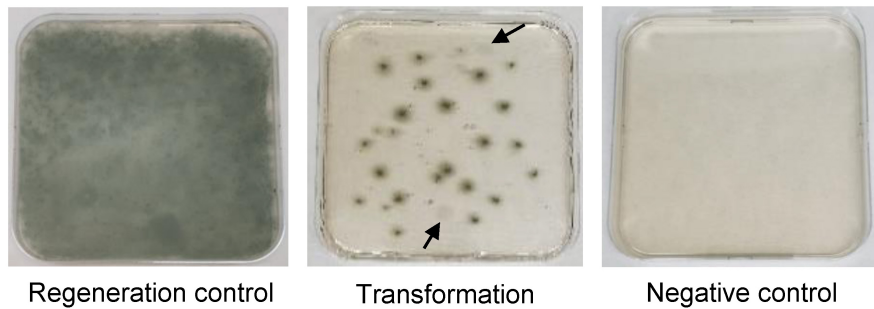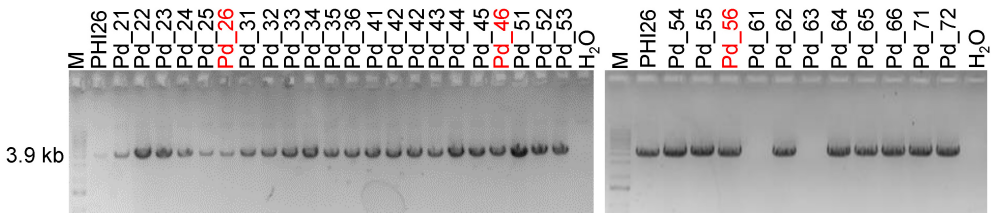738 **Fig. 5 Conidia production and germination of ΔwetA mutants**

739 A) Conidia production per colony surface area of parental strains compared to ΔwetA mutants after 7

740 days of growth in PDA. B) Germination ability of ΔwetA mutants in 5% PDB represented as % of conidia,

741 germlings and germ tubes after 20 h of incubation for *P. digitatum* and 16 h for *P. expansum*. C)

742 Germination ability of ΔwetA mutants in H_2O represented as % of conidia, germlings and germ tubes after

743 72 h incubation at 25ºC. Data are mean values ± standard deviation (SD) of three technical replicates.

744 Asterisks (*) show significant differences between the mutant and the control strains (Student's *t*-test,

745 $p<0.05$).

746 **Fig. 6 Comparative antifungal activities of PeAfpA and PdAfpB against ΔwetA mutants**

747 Dose-response curves of growth inhibition of *P. expansum* ΔwetA mutants (A) and *P. digitatum* ΔwetA

748 mutants (B) by PeAfpA and PdAfpB. Curves show the mean ± standard deviation (SD) of triplicate
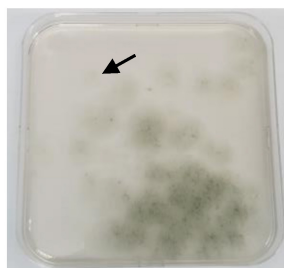
749 samples after 72 h of incubation at 25 °C

Conidia collection
after 7 days at 25 ºC

Conidia inoculation
in 250 mL TM

Incubation:
25 ºC, 250 rpm,
18-24 h

Mycelia filtering
and washing with
MgSO₄

Transfer dry mycelia
and re-suspend with
Vinotaste® Pro
preparation

Incubation:
30 ºC, 80 rpm,
2-3 h

Protoplast harvest

Add SC solution

Centrifugation
10 min, 1700 x g, 4 ºC

Monosporic culture

Cultivation on
selective plates
7-12 days, 25 ºC

Add solution B

5 min

Add solution C

20 min

Protoplasts +
Solution C +
DNA

Transformation

Pellet re-suspension
with solution B
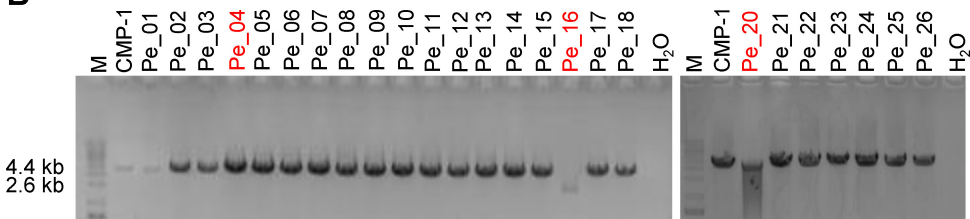
Centrifugation
10 min, 750 x g, 4 ºC

Pellet re-suspension
with solution B

A

Regeneration control   Transformation   Negative control

B

M PHI26 Pd_21 Pd_22 Pd_23 Pd_24 Pd_25 Pd_26 Pd_31 Pd_32 Pd_33 Pd_34 Pd_35 Pd_36 Pd_41 Pd_42 Pd_43 Pd_44 Pd_45 Pd_46 Pd_51 Pd_52 Pd_53 H₂O

M PHI26 Pd_54 Pd_55 Pd_56 Pd_61 Pd_62 Pd_63 Pd_64 Pd_65 Pd_66 Pd_71 Pd_72 H₂O

3.9 kb

C

```
Pd_wetA_ref   ATGGTGGTCCCCCATGACTTCTCGGGTCGCTCAACAACAGGCCTCTTACCTCACGTCTCC   60
Pd_46         ATGGTGGTCCCCCATGACTTCTCGGGTCGCTCAACAACAGGCCTCTTACCTCACGTCTCC   60
Pd_26         ATGGTGGT-CCCCATGACTTCTCGGGTCGCTCAACAACAGGCCTCTTACCTCACGTCTCC   59
Pd_56         ATGGTGGTCCCCCATGACTTCTCGGGTCGCTCAACAACAGGCCTCTTACCTCACGTCTCC   60
              ******** ***************************************************

Pd_wetA_ref   CACGCCTGTTCGCACCATGCAAAGCGTTGGAAGCCAAAATGACATTATGCAAGGAGGACT   120
Pd_46         CACGCCTGTTCGCACCATGCAAAG-GTTGGAAGCCAAAATGACATTATGCAAGGAGGACT   119
Pd_26         CACGCCTGTTCGCACCATGCAAAG-GTTGGAAGCCAAAATGACATTATGCAAGGAGGACT   119
Pd_56         CACGCCTGTTCGCACCATGCAA-AGCGTTGGAAGCCAAAATGACATTATGCAAGGAGGACT   119
              *********************** * ***********************************
```

A

Regeneration control   Transformation   Negative control

B

M CMP-1 Pe_01 Pe_02 Pe_03 Pe_04 Pe_05 Pe_06 Pe_07 Pe_08 Pe_09 Pe_10 Pe_11 Pe_12 Pe_13 Pe_14 Pe_15 Pe_16 Pe_17 Pe_18 H₂O

4.4 kb
2.6 kb

M CMP-1 Pe_20 Pe_21 Pe_22 Pe_23 Pe_24 Pe_25 Pe_26 H₂O
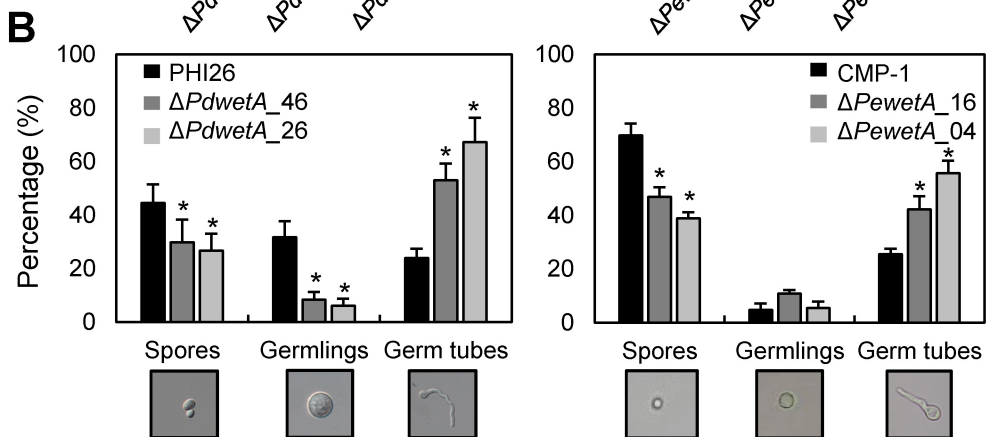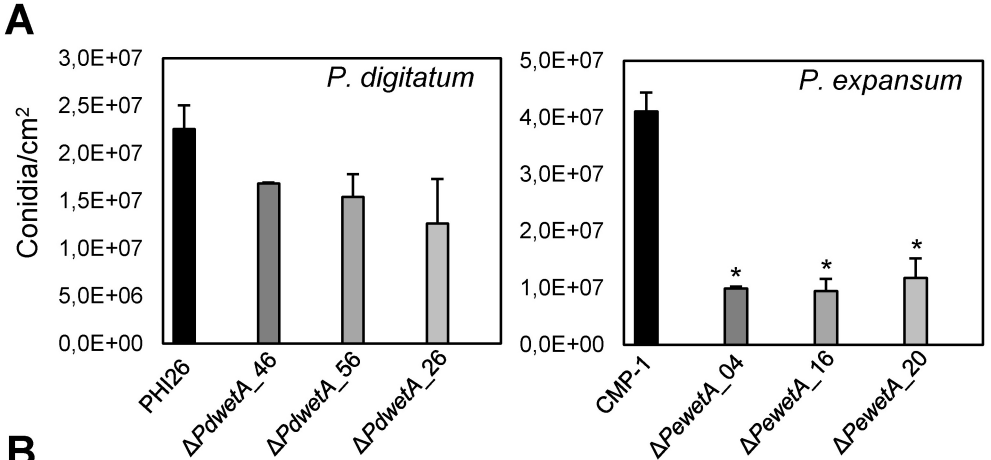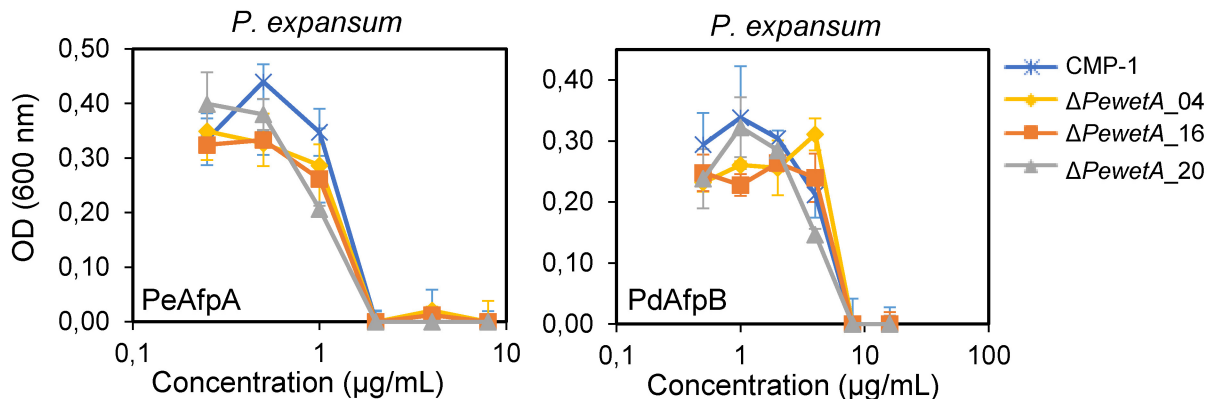
C

```
Pe_wetA_ref    CAATCCTTCCTACGACATGTCGGCGGAACACCCATTCTCATCATCTAATATGCTCCCGC    120
Pe_04          CAATCCTTCCTACGACA-GTCGGCGGAACACCCATTCTCATCATCTAATATGCTCCCGC    119
Pe_20          CAATCCTTCCTACGACATG-GGGCGGAACACCCATTCTCATCATCTAATATGCTCCCGC    119
               ******************* * ************************************

Pe_wetA_ref    CACCTCTCAAAAATTCGACA              140
Pe_04          CACCTCTCAAAAAT-CGACA              138
Pe_20          CACCTCTCAAAAATTCGACA              139
               *************  *****
```

A

B

P. digitatum

P. expansum

C

D

E

F

A

P. expansum — PeAfpA

P. expansum — PdAfpB

B

P. digitatum — PeAfpA

P. digitatum — PdAfpB

Legend (A): CMP-1, Δ*PewetA*_04, Δ*PewetA*_16, Δ*PewetA*_20

Legend (B): PHI26, Δ*PdwetA*_46, Δ*PdwetA*_56, Δ*PdwetA*_26