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Abstract: The improvement of heat dissipation in electronic and energy devices is a challenge that can be addressed through the use of highly porous materials. Presently, the additive manufacturing of 3D aluminum nitride is described, and different lattices patterns with corresponding porosities in the range 45-64% are achieved by direct ink writing. All the structures are robust and the effective thermal conductivity ( $k_{eff}$ ) for cuboid structures decreases by 50-75% with the filament separation and shows anisotropic characteristics, since  $k_{eff}$  along the longitudinal axis of the scaffold is up to six times greater than for the transversal. Heat transfer during free cooling experiments for cuboid and cylinder scaffolds, after rapid heating at temperatures above 1000 °C, takes place by radiation for temperatures  $\leq 500^\circ\text{C}$  and by convection through the complete cooling process. The heat dissipation time constants of both processes decrease almost linearly with the designed scaffold parameters of porosity and rod separation.

Research Data Related to this Submission

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There are no linked research data sets for this submission. The following reason is given:

Data will be made available on request

## **Highlights**

- 3D printing allows tailoring the thermal performance of cellular materials
- Mechanically robust 3D cellular AlN architectures are additive manufactured
- The cellular pattern controls the effective thermal conductivity and heat dissipation
- Heat dissipation is governed by convection and radiation
- Heat dissipation increases with the scaffold macroporosity and rod separation

## Heat dissipation in 3D printed cellular aluminum nitride structures

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### Abstract

The improvement of heat dissipation in electronic and energy devices is a challenge that can be addressed through the use of highly porous materials. Presently, the additive manufacturing of 3D aluminum nitride is described, and different lattices patterns with corresponding porosities in the range 45-64% are achieved by direct ink writing. All the structures are robust and the effective thermal conductivity ( $k_{eff}$ ) for cuboid structures decreases by 50-75% with the filament separation and shows anisotropic characteristics, since  $k_{eff}$  along the longitudinal axis of the scaffold is up to six times greater than for the transversal. Heat transfer during free cooling experiments for cuboid and cylinder scaffolds, after rapid heating at temperatures above 1000 °C, takes place by radiation for temperatures >500°C and by convection through the complete cooling process. The heat dissipation time constants of both processes decrease almost linearly with the designed scaffold parameters of porosity and rod separation.

**Keywords:** 3D printing; porous material; aluminum nitride; thermal conductivity; heat dissipation

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## 1. Introduction

The constant increases of power density and integration in electronic devices prompt to research in systems with improved thermal dissipation and, thus, to avoid device overheating and loss of functionality. Aluminum nitride (AlN) ceramics are non-toxic materials of high thermal conductivity  $\sim 320 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  at room temperature for a single crystal [1]- with a low dielectric constant, and thermal expansion coefficient close to that of silicon [2], which are used as substrates in high-quality electronic packaging [3].

In particular, these ceramics are employed for distinct power modules in all-electric and hybrid-electric vehicles [4]. Besides, dense AlN materials have attracted interest for encapsulating high-temperature silicon carbide converters and in

microelectromechanical systems [5]. Until now, dense parts of highly thermal conductive AlN have been developed by tape casting [6], gel casting [7,8] and injection molding [9]. In addition, complex AlN parts could also be used in patterned designed multi-chip modules, such as high-power light-emitting diodes (HP-LEDs) [10], where the thermal dissipation is a key parameter. In this sense, 3D architectures based on porous channels and a high thermal conductive ceramic skeleton would be a promising solution for many engineering and industrial applications linked to thermal managing, mainly due to its light weight and enhanced heat transfer by conduction and convection.

Hence, other fabrication technologies are required and, in this sense, additive manufacturing (AM) of 3D highly porous structures stands out as a promising solution.

We can only refer to a handful of works devoted to the AM of AlN parts [11-14]. In this way, Jankowski et al. [11] fabricated by stereolithography dense AlN microchannel integrated substrates for cooling semiconductor power devices, aiming at reducing the number of thermally resistive layers in power electronics packages, and reported a thermal conductivity of  $165 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ . Recently, Diaz-Moreno et al. [12] used binder

1 jetting and hot isostatic pressing to manufacture porous AlN specimens (~60% of the  
2 theoretical density) with a room temperature conductivity of  $\sim 5 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ . Conversely,  
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4 Huang et al. [13] employed fuse deposition modeling for 3D printing AlN materials,  
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6 although no detailed information on density and thermal properties was provided in the  
7  
8 filed patent. Finally, Duan et al. [14] lately reported the digital light processing of AlN  
9  
10 dense complex parts (4% of porosity) of high thermal conductivity ( $155 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ ).  
11  
12 Therefore, new studies on the thermal performance of additive manufactured AlN parts  
13  
14 are necessary to further progress in the development of thermal conductive and heat  
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16 dissipative AlN structures. Accordingly, the goals of the present work are to AM 3D  
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18 cellular AlN architectures with distinct shapes (cuboids and cylinders), patterned  
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20 designs (orthogonal and radial) and macroporosities (different span distances) and,  
21  
22 afterwards, to establish their thermal conductivity and heat dissipation characteristics.  
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24 These architectures are produced for the first time by Robocasting [15,16], a direct ink  
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26 writing technique that allows building scaffolds in a layer-by-layer sequence from  
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28 aqueous pseudoplastic inks, generally containing high amount of solids and low  
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30 percentage of organic additives, thus, enabling subsequent enhancement of the  
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32 mechanical integrity during the sintering of the ceramic skeleton.  
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## 45 **2. Experimental**

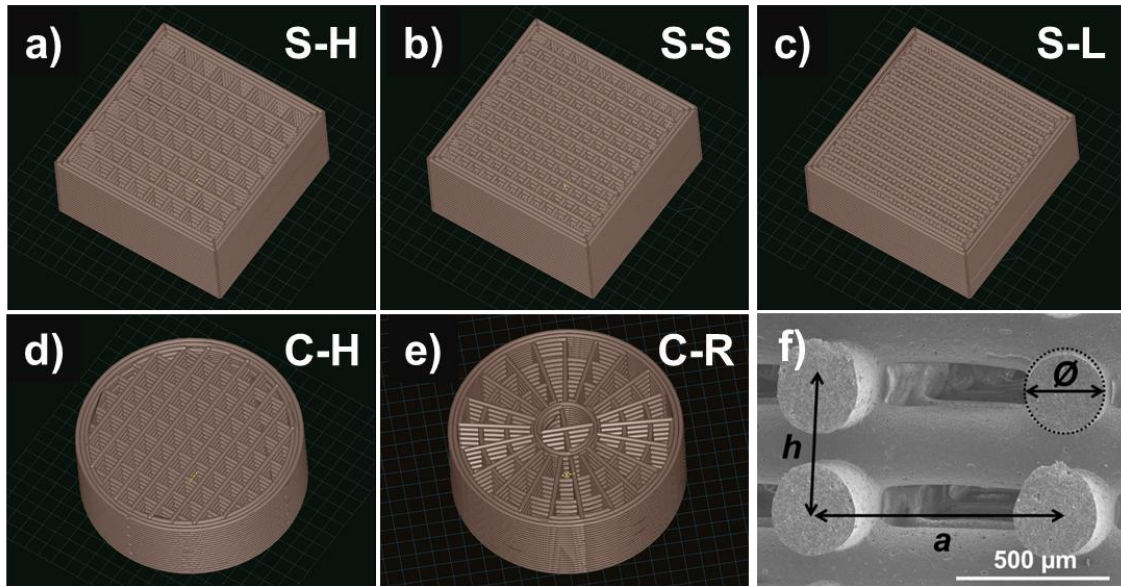
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48 The AlN ceramic powder composition was prepared by powder mixing 97 wt.% of AlN  
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50 (Grade C, H.C. Starck GmbH, particle size in the range of 0.8-2.0  $\mu\text{m}$ ) and 3 wt.% of  
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52  $\text{Y}_2\text{O}_3$  (Grade C, H.C. Starck GmbH, mean particle size  $d_{50} = 0.9 \mu\text{m}$ ). The  $\text{Y}_2\text{O}_3$  content  
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54 was selected because it promotes the densification of AlN and captures oxygen from the  
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56 ceramic lattice, which, therefore, contributes to increase the thermal conductivity  
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1 [17,18]. The powder composition was homogenized by ball milling  
2 (polytetrafluoroethylene balls) in isopropyl alcohol media for 90 min. Then, alcohol was  
3 removed in a rotary evaporator and the powder mix was dried at 120 °C overnight and,  
4 afterwards, sieved through a 63 µm mesh.  
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10 Next, a printable AlN ink was developed by mixing the powder composition, ultrapure  
11 water, and a set of organics in adequate proportions. The organics helped to get  
12 viscoelastic behavior and high storage modulus to the ink. For that purpose, high  
13 molecular weight polyethylenimine (H-PEI, PEI 25000, Sigma Aldrich; < 1 wt.% of  
14 water content) and low molecular weight PEI (L-PEI, PEI 2000, Sigma Aldrich; 50  
15 wt.% of water content) were added as dispersants, promoting electrostatic and steric  
16 repulsions that allowed higher solid contents in the ink formulation. Afterwards, a  
17 viscosifier, such as methylcellulose (MC, Methocel F4M, Dow Chemical Co.; 95 wt.%  
18 of water content), was added to produce a weak gel and, finally, the process was  
19 completed by incorporating polyacrylic acid (PAA, Alfa Aesar, 75 wt.% of water  
20 content), an anionic polyelectrolyte, to induce flocculation. All components (ceramic  
21 powders, water and organics) were sequentially added and homogenized at 1100 rpm  
22 for 30 s in a planetary centrifugal mixer (ARE-250, Thinky Co.) that contained Si<sub>3</sub>N<sub>4</sub>  
23 milling balls. The rheological behavior of the ink was analyzed at a constant  
24 temperature of 25 °C using a rheometer (CVO 100 D, Bohlin Instruments) equipped  
25 with a cone-and-plate geometry (diameter: 40 mm; cone angle: 4°). The apparent  
26 viscosity ( $\eta$ ) versus the shear rate ( $\dot{\gamma}$ ) was measured from 0.05 to 100 s<sup>-1</sup>; while the  
27 shear storage ( $G'$ ) and loss ( $G''$ ) moduli were recorded as a function of the shear stress  
28 ( $\tau$ ) using oscillation amplitude sweep tests at a frequency of 1 Hz and ascending stress  
29 sweeps from 0.5 to 1000 Pa.  
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Various sets of 3D AlN periodic lattices with distinct dimensions and patterns were computer designed (RoboCAD 4.0, 3-D Inks LLC) employing nozzle tips with an inner diameter of 330  $\mu\text{m}$  (Precision Tips; Nordson EFD Inc.). In particular, three types of square cuboids (“S”) of 12 x 12 x 6 mm<sup>3</sup> (24 layers with a linear array of parallel filaments in the x-y plane, each array rotated 90° respect the adjacent layers) with different distance between in-plane adjacent rods (“ $a_{\text{CAD}}$ ”) were designed in the CAD file (Figure 1a-c), namely: i) S-H for  $a_{\text{CAD}} = 1.22$  mm, ii) S-S,  $a_{\text{CAD}} = 0.90$  mm and iii) S-L with  $a_{\text{CAD}} = 0.60$  mm. Accordingly, structures containing 64, 144 and 324 cells, respectively, were produced. The “H”, “S” and “L” lettering corresponds to high, standard, and low distances, respectively. Besides, two cylindrical (“C”) periodic lattices (20 layers) of 5.0 mm of height and 14.0 mm of diameter were designed (Figure 1d,e) and labelled as: i) “C-H” for the so-called standard pattern of orthogonal layers,  $a_{\text{CAD}} = 1.22$  mm and 86 cells and ii) “C-R” with a radial pattern of 67 cells. All structures were printed in air onto flat alumina substrates with a three-axis robocasting system (A-3200, 3-D Inks LLC), where the X-Y table velocity was set at 10 mm·s<sup>-1</sup>.



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Figure 1. a-e) Computer designed square cuboid (S) and cylindrical (C) periodic lattices with different patterned structure, including variations in the distance between in plane adjacent rods (a-c) for cuboid scaffolds and two types of lattices for the cylindrical ones (d-e). f) FESEM image of a cross-section for a sintered AlN S-S cuboid with main cell parameters overlaid ( $\varnothing$  = mean diameter of the rods,  $a$  = distance between two adjacent rods,  $h$  = distance between two equivalent layers in the z-direction).

In order to choose a heating schedule for removing the organics employed in the ink formulation, and avoiding at the same time the AlN oxidation, thermogravimetric-differential thermal analysis (TGA-DTA, SDT Q600, TA Instruments) were first performed on as-printed structures using a heating rate of  $5\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$  up to  $1000\text{ }^{\circ}\text{C}$  under air conditions. Based on TGA-DTA results, the scaffolds were heat treated at  $600\text{ }^{\circ}\text{C}$  for 2 h, in air, using heating/cooling rates of  $3\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$ . Afterwards, the 3D specimens were pressureless spark plasma sintered (SPS, SPS-510CE; Fuji Electronic Industrial Co., Ltd) in nitrogen atmosphere at temperatures ranging from  $1650$  to  $1850\text{ }^{\circ}\text{C}$ , with a holding time of 5 min at the maximum temperature. The heating rate was about  $100\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$  up to  $1600\text{ }^{\circ}\text{C}$  and of  $50\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$  from  $1600\text{ }^{\circ}\text{C}$  to the given set point.

The weight and dimensions of the sintered scaffolds were measured and the geometrical density ( $\rho_{\text{geo}}$ ) was assessed. In addition, bulk density ( $\rho_{\text{bulk}}$ ) -corresponding to the skeleton density - was determined by Archimedes' method as  $\rho_{\text{bulk}} = P/(P_{\text{S}}-P_{\text{DIW}})$ , where  $P$ ,  $P_{\text{DIW}}$  and  $P_{\text{S}}$  are respectively the dry weight, the immersed weight (in de-ionized water), and the weight of the soaked scaffolds. Taking into account  $\rho_{\text{geo}}$  and  $\rho_{\text{bulk}}$  data, and the theoretical density of  $3.30\text{ g}\cdot\text{cm}^{-3}$  for the present AlN composition, the total porosity ( $\pi_{\text{total}}$ ) and rod porosity ( $\pi_{\text{rod}}$ ) were estimated, where  $\pi_{\text{total}}$  corresponded to the sum of the macro and microporosity of the entire scaffold (channels and skeleton). The



1 X-ray diffraction (XRD, Bruker D5000, Siemens) pattern of grinded and sintered 3D  
 2 structures was employed to identify the crystalline phases after the SPS process. The  
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 4 ceramic scaffolds were observed by optical stereomicroscopy (Nikon SMZ1000) and  
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 6 field emission scanning electron microscopy (FESEM, Hitachi S-4700). The  $d_{50}$  values  
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 8 of the sintered materials were estimated by imaging analysis methods on FESEM  
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 10 images, considering more than 150 features. Characteristic parameters of the structures,  
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 12 i.e. the mean rod diameter ( $\varnothing$ ), in-plane distance between two adjacent rods (a) and the  
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 14 distance between two equivalent layers in the z-direction (h), were measured on FESEM  
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 16 images (Fig. 1f).  
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22 S-S scaffolds were compression tested with their patterned sides facing compression  
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 24 platens, using a universal testing machine (ZwickiLine Z5.0 TS, Zwick-Roell) and a  
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 26 displacement rate of  $0.5 \text{ mm} \cdot \text{min}^{-1}$ . Few of these samples were also subjected to  
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 28 loading/unloading cycles up to a maximum stress of 4 kN until completing a total of  
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 30 100 cycles to estimate possible fatigue effects.  
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36 The thermal conductivity and the cooling rate studies were done on the 3D AlN to get a  
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 38 more complete representation of the thermal behavior of these structures. First, the  
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 40 effective thermal conductive ( $k_{eff}$ ) of a single AlN rod was estimated from the Maxwell-  
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 42 Eucken's model [19]. Here, the rod was considered as a composite of two phases, one is  
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 44 the continuous ceramic phase and the other, comprises just the pores, which is valid for  
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 46 contents of the dispersed phase lower than 20 vol.%:  
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$$51 \quad k_{eff} = k_t \frac{2k_t + k_{air} - 2(k_t - k_{air}) \pi_{rod}}{2k_t + k_{air} + (k_t - k_{air}) \pi_{rod}} \quad (1)$$

52 where  $k_t$  and  $k_{air}$  are the thermal conductivities of the AlN matrix and air ( $0.024 \text{ W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$   
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 54 at room temperature), respectively, and  $\pi_{rod}$  is the rod porosity (same as the  
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 56 skeleton).  $k_t$  was previously calculated by measuring the thermal diffusivity ( $\alpha$ ) of a  
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1 dense bulk sample from room temperature up to 800 °C, in argon atmosphere, with a  
2 laser flash equipment (Thermaflash 2200, Holometrix Netzsch), and using the equation  
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4  $k_t = \alpha \cdot \rho \cdot c_p$ , where  $\rho$  is the sample density and  $c_p$  the specific heat [18]. To get realistic  $k$   
5 data for present bulk AlN material, a dense disc (20 mm diameter and 3 mm thickness)  
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7 was obtained from a burnt-out printed scaffold that was ground to achieve a powdered  
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9 material representative of the scaffold. The resulting powders were sintered inside the  
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11 SPS furnace at 1700 °C for 5 min, in nitrogen atmosphere, and applying 50 MPa of  
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13 uniaxial pressure.  
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20 The thermal conductivities in the longitudinal ( $k_L$ ) and transverse ( $k_T$ ) directions of the  
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22 3D structure were assessed through a simple model of thermal resistances that only  
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24 depends on the cell parameters (Figure 1f) and  $k_{eff}$  of the rods as follows [20]:  
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$$28 \quad k_L = \frac{\phi}{2a} k_{eff} \quad (2)$$

$$29 \quad k_T = \frac{h \cdot \phi}{a^2} (1 - h/2\phi) \cdot k_{eff} \quad (3)$$

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34 On the other hand, the thermal performing of the different patterned structures was  
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36 analyzed by comparing their cooling rates. In this way, a micro-torch gas burner was  
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38 focused during 30 s on the cell-side of the 3D AlN scaffolds and, then, the temperature  
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40 of the structure was recorded for a period of 4 min that lasted the free cooling of the  
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42 samples. The scaffolds were placed on an insulating fiber mat and the distance to the  
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44 micro-torch was fixed to ~4 cm. A thermal imaging infrared camera (FLIR A325sc)  
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46 registered the temperature profiles during the whole thermal cycle, and data presented in  
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48 the next section correspond to the average value estimated into a square of about 6 x 6  
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50 mm<sup>2</sup> located at the center of the cell-side of the scaffold.  
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### 3. Results and discussion

The printable ink contained 70.2 wt.% of AlN, 20.6 wt.% of water (ultrapure plus water from the organics), and 9.2 wt.% of organics (5.8% H-PEI, 2.9% L-PEI, 0.1% MC, 0.4% PAA), that corresponded to 42.3, 40.4, and 17.3 in vol.%, respectively. The addition of H-PEI and L-PEI, acting as strong dispersants, allowed developing an ink composition with high AlN content, essential for keeping the robustness of the printed structures. Besides, the combination of those organics with MC (viscosifier) and PAA (flocculant) led to an ink with a shear thinning behavior, where the apparent viscosity diminished in more than four orders of magnitude as the shear rate augmented in the  $10^1$ - $10^2$  s<sup>-1</sup> interval (Figure 2a). Accordingly, AlN filaments were readily extruded through the nozzle tip, when the injector pressured on the barrel containing the ink, due to its low viscosity at high shear rates (Figure 2a). It is also remarkable that these pseudoplastic inks presented a high storage modulus ( $G' \sim 10^5$  Pa), which is about one order of magnitude higher than the loss modulus ( $G'' \sim 10^4$  Pa) in the constant regime, and showed a cross over at the yield stress ( $\tau_y \sim 180$  Pa), as Figure 2b depicts. The high  $G'$  and  $\tau_y$  data predict a good shape retention of the printing filaments. In fact, the structures, independently of the CAD design, kept the filamentary shape and did not exhibit any deformation or damage during the building step, proving a high accuracy to the design architectures (Figure 2c).

DTA/TGA plots evidenced a weight loss of 16.4% up to 575 °C (Figure 2d) for the as-printed AlN scaffolds, which matches the sum of the organics and water in the structure. Water and PAA evaporated and burnt-out, respectively, below 200 °C; whereas both PEI, as well as MC, were eliminated in the 200-575 °C interval. At temperatures above 800 °C, AlN started to oxidize and, hence, the burning-out temperature was fixed at 600 °C to get a pure 3D AlN ceramic skeleton.

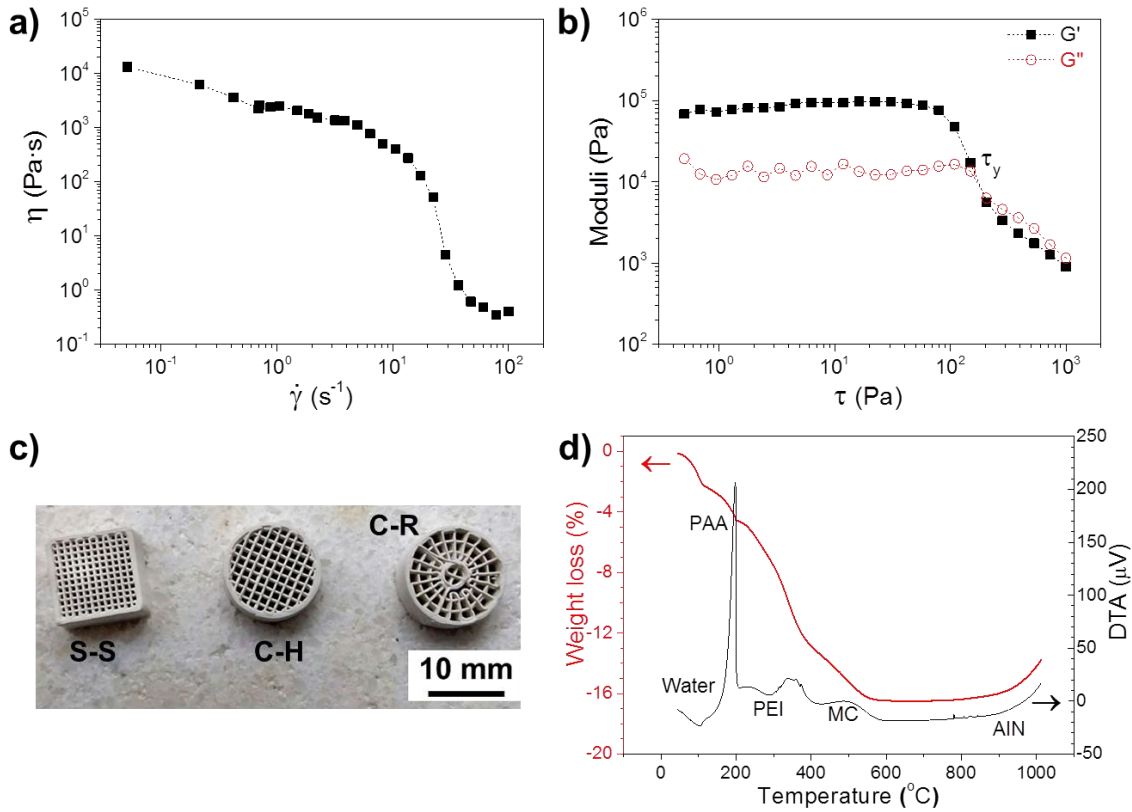


Figure 2. a) Apparent viscosity ( $\eta$ ) versus shear rate ( $\dot{\gamma}$ ) and b) shear storage ( $G'$ ) and loss ( $G''$ ) moduli versus shear stress ( $\tau$ ) of the AlN inks. c) Some examples of as-printed square cuboid (S-S) and cylindrical (C-H and C-R) scaffolds. d) DTA/TGA (weight loss) of the as-printed AlN structures. DTA peaks associated to the removal of water, each organic, as well as to the AlN oxidation are identified.

A set of sintering tests was done on S-S scaffolds at temperatures ranging from 1650 to 1850 °C. Densification in these structures progressively increased up to 1800 °C, reaching  $\rho_{\text{geo}}$  and  $\pi_{\text{total}}$  values of  $1.50 \pm 0.07 \text{ g}\cdot\text{cm}^{-3}$  and  $54.3 \pm 2.0\%$ , respectively (Figure 3a). Accordingly, the  $\pi_{\text{rod}}$  decreased from 40 to 14% for SPS treatments of 1650 and 1800 °C, respectively (see Table S2 in the Supplementary Information). A further increase in the sintering temperature did not enhance the densification of the present structures and, therefore, 1800 °C was selected as the most appropriate sintering temperature. The main crystalline phase in the S-S scaffolds sintered at 1800 °C

1 corresponded to AlN (Figure 3b), although traces of secondary phases, in the form of  
 2 yttrium aluminates produced by the reaction between AlN and  $Y_2O_3$  -in particular,  
 3  $Al_{12}Y_4O_9$  (YAM) and  $Al_5Y_3O_{12}$  (YAG)- were also identified. The cross-section view of  
 4 the scaffold showed the perfectly straight filaments of the ceramic skeleton (Figure 3c),  
 5 with a  $d_{50}$ , estimated from FESEM images, of  $\sim 4.3 \mu m$ , indicating that a certain grain  
 6 growth took place during sintering since AlN raw powders had particle sizes in the  
 7 range of 0.8-2.0  $\mu m$ .  
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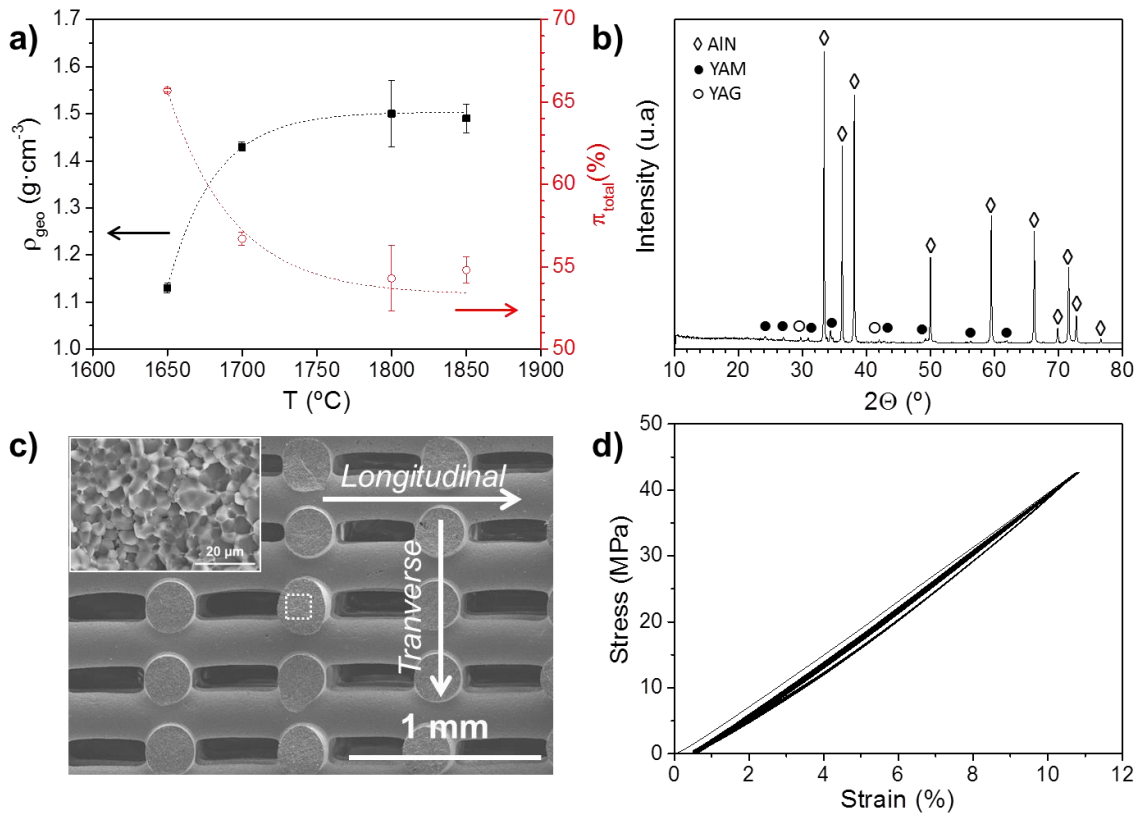


Figure 3. a) Geometrical density ( $\rho_{geo}$ ) and total porosity ( $\pi_{total}$ ) of S-S scaffolds as a  
 function of the SPS temperature. b) XRD pattern, c) FESEM micrograph of the cross-  
 section view, and d) stress-strain recorded during 100 cycles for the S-S scaffold  
 sintered at 1800 °C. Arrows in c) indicate the longitudinal and transverse directions;  
 while the inset shows a high magnification FESEM image of the microstructure inside a  
 rod that corresponds to the area enclosed by a square.

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3 The mechanical study on S-S scaffolds sintered at 1800 °C evidenced that the structures  
4 remained undamaged during the compression test up to the maximum load that  
5 permitted the load cell (5 kN), also showing a linear elastic behavior in the load-  
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7 displacement plot for the whole load interval (Figure S1 in the Supplementary  
8 Information). Therefore, the compressive strength of these structures should be at least  
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10 53 MPa, which is placed among the highest values reported for 3D printed ceramics by  
11 Robocasting with similar  $\pi_{\text{total}}$  (~53%) [21]. Besides, stress-strain curves at a maximum  
12 load of 4 kN through 100 load-unload cycles (Figure 3d) illustrate that S-S scaffold  
13 recovered its original dimensions after each cycle, keeping its mechanical integrity and  
14 showing no evidence of fatigue.

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28 The thermal diffusivity of the dense bulk material (see Figure S2 in the Supplementary  
29 Information) decreased with the temperature from  $0.27 \text{ cm}^2 \cdot \text{s}^{-1}$  at 298 K to  $0.08 \text{ cm}^2 \cdot \text{s}^{-1}$   
30 at 1073 K. The thermal conductivity decreased with the temperature as well (Figure 4a),  
31 from a maximum value of  $65 \text{ W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$  at room temperature down to  $31 \text{ W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$  at  
32 1073 K. These  $\alpha$  and  $k_t$  data are about 25% lower than those attained for bulk dense  
33 specimens sintered with the same SPS conditions but employing the pristine ceramic  
34 powder composition ( $k_t \sim 87 \text{ W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$  at 298 K), instead of the crushed, milled and  
35 burnt-out robocast structures. This reduced  $k_t$  can probably be due to certain oxygen  
36 diffusion into the AlN lattice during the ink preparation, as some degree of AlN  
37 hydrolysis occurred. The oxygen atoms in solid solution would induce the formation of  
38 aluminum vacancies that further decrease the thermal conductivity through a phonon  
39 scattering mechanism [22]. In the case of the S-S scaffold sintered at 1800 °C, the  
40 effective thermal conductive of the AlN rods (Figure 4a) was estimated from the  
41 equation (1), considering the  $k_t$  value of the bulk material, also included in Figure 4a,  
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1 and the porosity of the rods (14%), determined by the Archimedes' method. As a result,  
2  $k_{eff}$  of the skeleton material decreased from  $52 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  (at 298 K) to  $25 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  (at  
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4 1073 K). Furthermore,  $k_{eff}$  of the 3D S-S structure in the longitudinal and transverse  
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6 directions (Figure 4a) were assessed from equations (2) and (3) substituting  $k_{eff}$  of the  
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8 rods and the cell parameters for the actual values ( $\varnothing = 240 \text{ }\mu\text{m}$ ,  $h = 380 \text{ }\mu\text{m}$  and  $a = 650$   
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10  $\mu\text{m}$ ). In this way,  $k_L$  varied with temperature from 9.5 to  $4.5 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ , likewise,  $k_T$   
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12 decreased from 2.3 to  $1.1 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  (Figure 4a). We would like to emphasize that these  
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14  $k_L$  and  $k_T$  values are close to the reported by Diaz-Moreno et al. ( $\sim 5 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ ) [12],  
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16 measured using the laser flash method, for AlN pellets of similar total porosity than the  
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18 present 3D printed cellular structures ( $\sim 60\%$ ). The thermal conductivity of the current  
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20 structures in the longitudinal direction is  $\sim 310\%$  higher than in the transverse one  
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22 (Figure 4b). This difference can be explained by the fact that distinct variables affect the  
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24 heat flow in each condition. In this way,  $k_L$  just depends on the number of rods oriented  
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26 along the analyzed direction, that is, on the ratio between the diameter and the  
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28 separation of the rods in the plane; while  $k_T$  depends on the number of available paths  
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30 for heat flow in the z-direction and, following the design, it is strongly affected by the  
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32 number of contacts and the effective contact area between adjacent orthogonal rods, i.e.  
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34 the overlapping between adjacent layers. It should be noticed that this thermal  
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36 anisotropy is equivalent to that observed for the electrical conductivity of analogous 3D  
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38 printed SiC scaffolds that was also modeled using both analytical and finite element  
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40 analysis [20].  
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51 Parallel calculations for estimating  $k_L$  and  $k_T$  (Figure 4b) were done for the square  
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53 cuboid scaffolds sintered at  $1800 \text{ }^\circ\text{C}$  and for different rod separations as well (S-L, S-S  
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55 and S-H). Data reflect the predicted dependence of the conductivity with the parameter  
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57  $a$ , decreasing as the rod separation augmented. Besides,  $k_L$  was always superior to  $k_T$   
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and the thermal anisotropy increased with  $a$ , for example,  $k_L/k_T$  varied from  $\sim 3$  for  $a = 0.47$  mm (S-L) to  $\sim 6$  for  $a = 1$  mm (S-H).

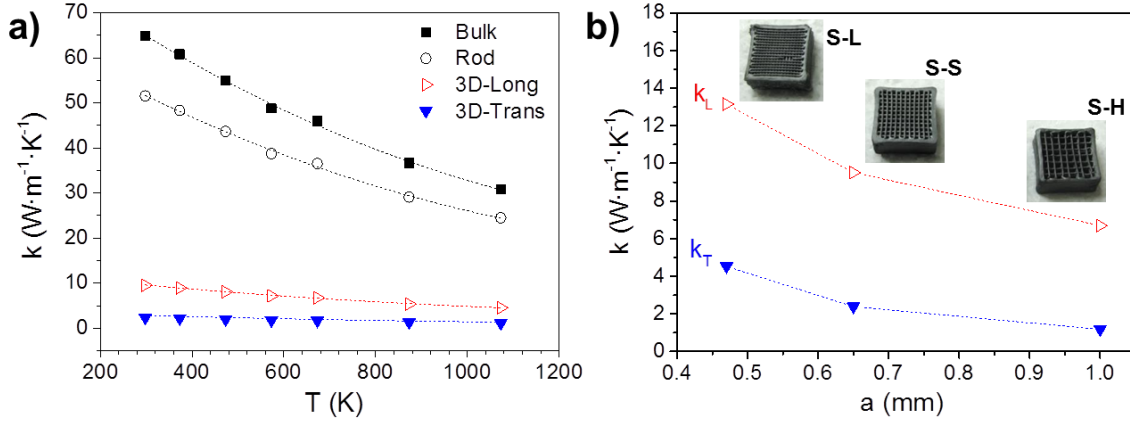


Figure 4. a) Thermal conductivity ( $k$ ) of bulk AlN samples as a function of the temperature. The effective thermal conductivity of the AlN rods, estimated from equation (1), and 3D S-S scaffolds sintered at 1800 °C through the longitudinal ( $k_L$ ) and transverse ( $k_T$ ) directions are also plotted. b)  $k_L$  and  $k_T$  of square cuboids sintered at 1800 °C as a function of the rod separation parameter,  $a$ .  $k_L$  and  $k_T$  data are calculated from equations (2) and (3).

If the effect of conduction along the framework is disregarded, cylindrical structures of similar pattern (C-H) would show the same thermal conductivity values than those estimated for cuboids (S-H) in both the longitudinal and transverse directions. In the case of cylindrical structures with a radial pattern (C-R) containing  $N$  radii, we can easily estimate the ratio between its axial thermal conductivity and that of similar cylindrical specimen with the standard pattern (C-H), as it would be given by the ratio between the corresponding number of contacts in each structure:

$$\frac{Contacts_{C-H}}{Contacts_{C-R}} = \frac{\left(\frac{2R}{a}\right)^2 \cdot \pi/4}{N \text{ of circles} \cdot N \text{ of radii}} \quad (4)$$



1 where R is the diameter (~5 mm in the present structures). The factor  $\pi/4$  results from  
2 the volume ratio of a cylinder to a cuboid when the first is inscribed within the second  
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4 (the diameter has the same length as the side of the cuboid). For current cylindrical  
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6 scaffolds, the distance  $a$  is ~ 1 mm in the case of the orthogonal pattern; whereas the  
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8 radial has 21 radii in the first layer and 3 circles in the second and, therefore, equation  
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10 (4) gives a thermal conductivity for the radial design 0.8 times that of the standard  
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12 pattern. According to equation (4), the thermal conductivity can be tuned by varying the  
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14 number of inner circles or the number of radii. On the other hand, radially patterned  
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16 structures should have isotropic in-plane thermal conductivity; while the standard  
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18 cylindrical pattern would show certain radial anisotropy defined by the rod alignment  
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20 along x and y directions. Thermal conductivity is a key parameter that can enhance the  
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22 heat dissipation under stationary temperature gradients, and the present 3D printed  
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24 structures stand out as a promising solution in applications where heat dissipation in a  
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26 particular direction is required.  
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34 Figure 5a illustrates some examples of the heating and cooling curves recorded by the  
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36 infrared camera on the cell-side surface of the 3D AlN S-S scaffolds for each sintering  
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38 schedule. During the gas burner heating for 30 s, the temperature on the scaffold surface  
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40 rose considerably (see inset in Figure 5a), until reaching a limit temperature ( $T_{\max}$ ) that  
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42 depended on the sample sintering temperature (Figure 5a,b). When plotting  $T_{\max}$  as a  
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44 function of the total porosity of the specimens (Figure 5b), a clear correlation is not  
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46 deduced. In this way,  $T_{\max}$  ranged from ~1000 °C for the for S-S structures sintered at  
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48 1800 °C ( $\pi_{\text{total}} = 52.9\%$ ) to ~1200 °C for samples sintered at 1650 °C ( $\pi_{\text{total}} = 65.7\%$ ).  
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51 However, this trend was not observed for the S-S scaffolds with different pattern  
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53 designs sintered at 1800 °C, all of them showing  $T_{\max} \sim 1000$  °C despite their distinct  
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55 total porosities (45-58%). It should be noticed that specimens sintered at 1800 °C show  
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1 a dark gray color; whereas those sintered at lower temperatures display a lighter color  
2 (see images of the scaffolds in Figure 5b). Therefore, the radiation effect is expected to  
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4 be higher in the darkest scaffolds affecting the balance between absorbing and emitting  
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6 heat and, therefore,  $T_{\max}$  decreased. On the other hand, cylindrical periodic lattices  
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8 sintered at 1800 °C, also dark grey colored, showed higher  $T_{\max}$  values (1150 and 1075  
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10 °C for C-H and C-R, respectively) than the alike S-S scaffold (Figure 5b), although their  
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12 masses are significantly lower (0.47 and 0.53 g, respectively) than that of S-S structures  
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14 (0.73 g).  
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20 As seen in Figure 5c, the experimental data of the cooling rate showed a clear deviation  
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22 from the straight line plot linked to the simple exponential cooling curve of Newton's  
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24 law. That deviation occurred during the first ~20-40 s, depending on the sintering  
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26 temperature, that corresponded to temperature differences ranging from 350 °C, in the  
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28 case of the specimen sintered at 1800 °C, up to 650 °C, for the specimen sintered at  
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30 1650 °C. Since the range of validity of Newton's law does just depend on the ratio of  
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32 convective to radiative heat transfer [23], this result agrees with the lower emissivity of  
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34 1650 °C specimens for which the radiative dissipation exhibited a lower impact.  
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40 In order to support that the heat transfer from the scaffold to the surrounding air due to  
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42 the convection and radiation can be analyzed using the Newton's law, it is assumed that  
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44 the internal heat flow is much faster than the heat loss from the surface (i.e. Biot  
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46 number,  $Bi$ ,  $\ll 1$ ), and, hence, there is a temperature equilibrium within the specimen.  
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50 In this way,  $Bi$  can be estimated considering typical values for heat-transfer coefficients  
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52 for free convection (solids to gases) in the range of 2-25  $W \cdot m^{-2} \cdot K^{-1}$ , [23] and the values  
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54 of the thermal conductivity deduced for the 3D structures (maximum  $k_L = 13 W \cdot m^{-1} \cdot K^{-1}$   
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56 and minimum  $k_T = 1 W \cdot m^{-1} \cdot K^{-1}$ , taken from Figure 4b). As result,  $Bi$  values in the range  
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58 of  $2.5 \times 10^{-1} - 1.5 \times 10^{-3}$  are obtained for a 10 mm scaffold size.  
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1 Accordingly, in the present case, the cooling profiles for the scaffolds can be perfectly  
2 fitted ( $R^2 > 0.999$ ) to the following second order exponential function:  
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$$4 \quad T(t) = y_0 + A_1 e^{-t/\tau_1} + A_2 e^{-t/\tau_2} \quad (5)$$

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9 where  $y_0$  corresponds to the environment temperature and  $A_1$  and  $A_2$  parameters are  
10 related to the difference between the starting and the final temperatures. The resulting  
11 fitting parameters for all the structures, using the corresponding  $T_{\max}$  as starting points,  
12 are collected in Table S1 of the Supplementary Information.  
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19 It can be inferred from Figure 5d that radiation cooling was predominant in S-S  
20 structures sintered at 1800 °C ( $A_1/A_2 = 0.50$ ), particularly when compared to ratios of  
21 samples sintered at 1650 °C (0.28) and 1700 °C (0.35). Furthermore, the  $A_1/A_2$  ratio was  
22 quite similar (0.5-0.6) for the S type scaffolds, independently of the rod separation;  
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24 while for cylindrical periodic lattices, the ratio was slightly higher (~0.68), fact that  
25 could be related to a lower contribution of convection cooling. The higher  $A_1/A_2$  values  
26 for specimens sintered at 1800 °C can be explained by their higher emissivity, proving  
27 again that, despite convection is the main mechanism for heat transfer in the present 3D  
28 highly porous structures and under the current experimental conditions, radiative heat  
29 transfer plays a significant role at the onset of cooling for temperatures above 500 °C.  
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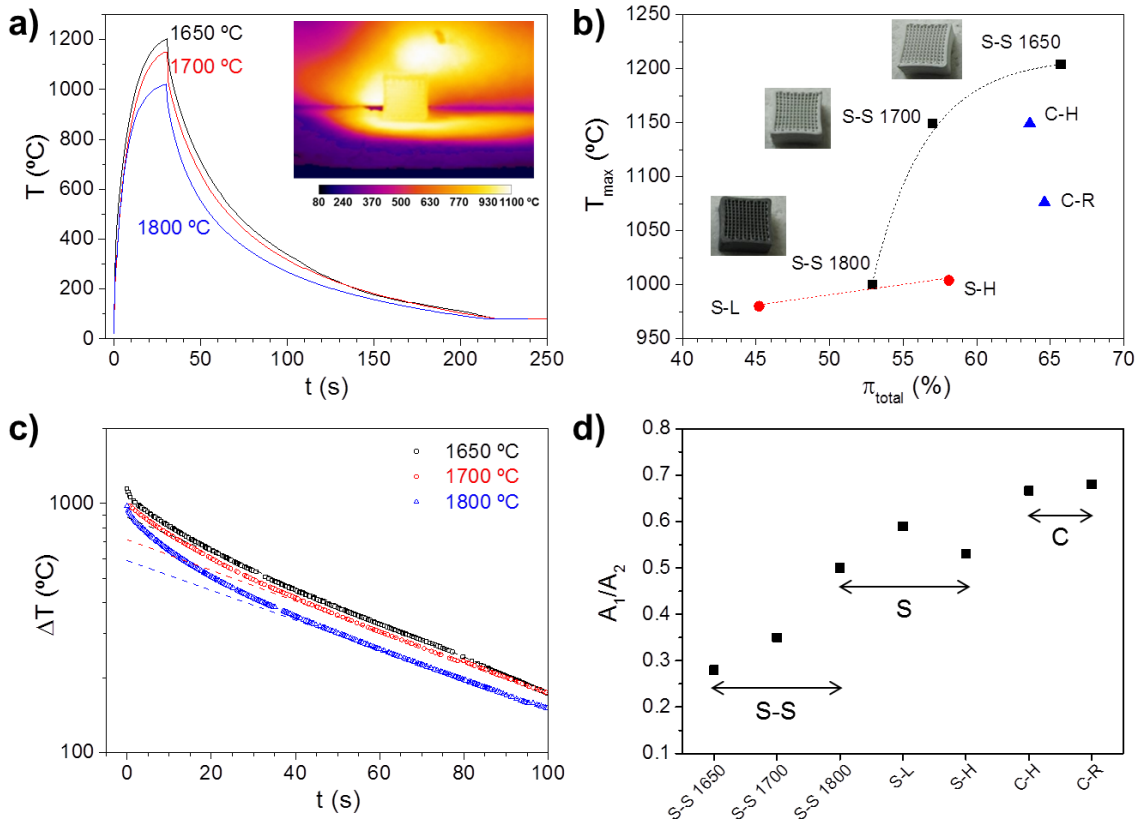


Figure 5. a) Experimental heating and cooling curves recorded for 3D S-S scaffolds sintered at distinct SPS temperatures (1650-1800 °C). The inset shows an example (S-S at 1800 °C) of the thermographic image at the maximum temperature ( $T_{\max}$ ) after 30 s of the heating process. b)  $T_{\max}$  as a function of the total porosity ( $\pi_{\text{total}}$ ) for all patterned structures. Images of S-S scaffolds are also included to illustrate their distinct darkness. c) Experimental data of the cooling rate (empty symbols) for 3D S-S scaffolds sintered at different temperatures and linear fitting (dashed line) according to the Newton's law. d)  $A_1/A_2$  ratio for the different scaffolds as a function of the sintering temperature (S-S specimens) and the patterned design (cuboid -S- and cylindrical -C-).

Regarding the time constants data ( $\tau_1$  and  $\tau_2$ , see Table S1 in the supplementary information), both related to the heat transfer rate, no significant changes were detected with the sintering temperature but with the patterned design.

As the time constant is given by:

$$\tau = \frac{\rho c V}{h' A} \quad (6)$$

where  $\rho$  is the density,  $c$  the specific heat,  $V$  the volume,  $h'$  the total heat transfer exchange coefficient, and  $A$  the exchange surface area of block,  $\tau_1$  and  $\tau_2$  data plotted as a function of  $\frac{\rho V}{A}$  (Figure 6a) show the expected increasing trend, with slopes of  $5.2 \times 10^{-3}$  and  $24.0 \times 10^{-3} \text{ m}^2 \cdot \text{s} \cdot \text{g}^{-1}$  for  $\tau_1$  and  $\tau_2$ , respectively, which gives  $h'$  values of  $142 \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-1}$  for  $\tau_1$  and  $30 \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-1}$  for  $\tau_2$ , considering  $c = 727 \text{ J} \cdot \text{K}^{-1} \cdot \text{kg}^{-1}$ . This  $\tau_2$  value is close to the typical one ( $20\text{-}25 \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-1}$ ) for heat-transfer coefficients for free convection (small object). On the other hand, the radiation heat transfer would increase with  $\sim T^3$  and for high  $\Delta T$  ( $> 400 \text{ }^\circ\text{C}$ ),  $h'$  is estimated to be  $\sim 100\text{-}200 \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-1}$ , which is in good agreement with the deduced value from the  $\tau_1$  data fitting.

Considering that the structure density and the macroporosity ( $\pi_{\text{macro}}$ ) depend on the scaffold parameters ( $h$ ,  $a$ ,  $\phi$ ) as:

$$\rho = \rho_{\text{rod}} \left( \frac{\pi \cdot \phi^2}{2 \cdot a \cdot h} + \frac{V_{\text{ext}}}{V_{\text{total}}} \right) \quad (7)$$

$$\pi_{\text{macro}} = 100 \cdot \left( 1 - \frac{\pi \cdot \phi^2}{2 \cdot a \cdot h} - \frac{V_{\text{ext}}}{V_{\text{total}}} \right) \quad (8)$$

which is geometrically deduced by accounting the solid volume of the rods [19], the volume of the external framework ( $V_{\text{ext}}$ ), and the total volume of the specimen ( $V_{\text{total}}$ ), the scaffold thermal performance could be tuned by varying  $h$ ,  $a$ ,  $\phi$  in a controlled way.

When representing  $\tau_1$  and  $\tau_2$  data versus  $\pi_{\text{macro}}$  (see Table S2 in the Supplementary Information) for all structures (Figure 6b), a certain inverse linear dependence was observed, with both time constants decreasing as porosity augmented, in agreement with

previous studies that demonstrated how macroporosity favors heat transfer [24,25].

Better linear fitting was achieved when time constants were represented vs. rod separation (Figure 6c), showing that the heat dissipation, both radiative and convective, increased with the parameter  $a$ , also linked to the scaffold porosity. Ferrari et al. [24] reported a similar effect by 3D thermo-fluid dynamics simulations in a cubical lattice as a function of the rod diameter. These authors observed that the heat transfer coefficient increased as  $\emptyset$  decreased, or the rod separation augmented. Accordingly, the above described relationship between time constants and key geometrical parameters of the 3D structures may be meaningfully employed to select the type of design initially more appropriate to achieve a fixed cooling profile, thus reducing the variables to optimize in the printing process.

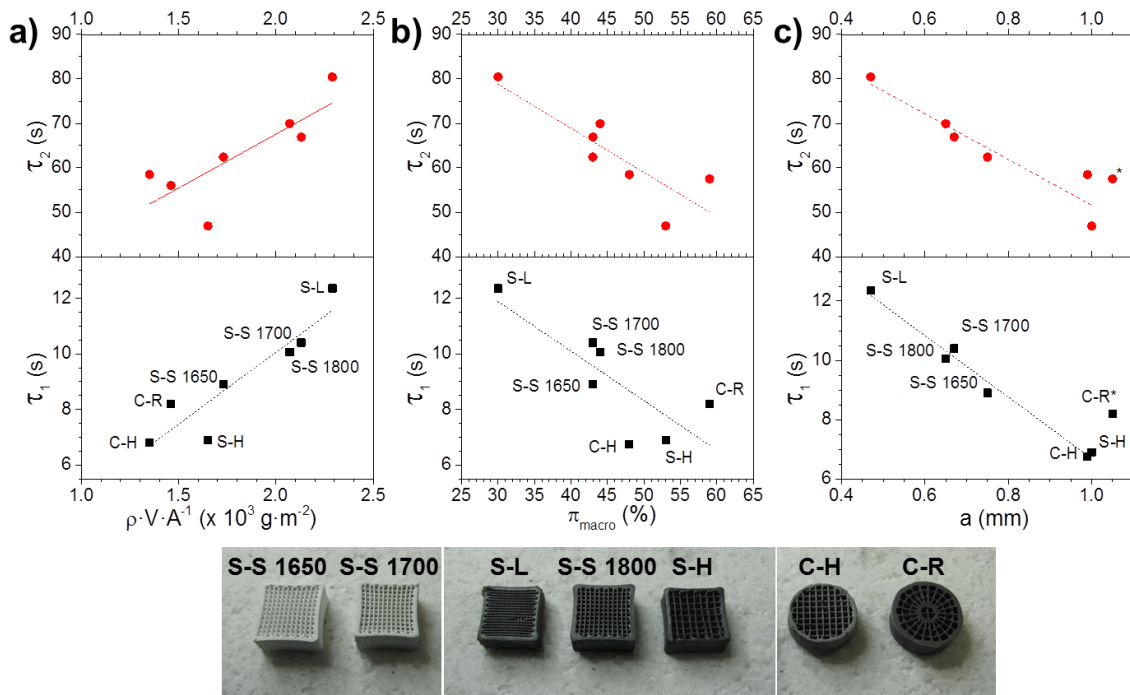


Figure 6. Time constants  $\tau_1$  and  $\tau_2$  of all patterned scaffolds as a function of: a)  $\frac{\rho V}{A}$ , b) macro porosity ( $\pi_{macro}$ ), and c) rod separation parameter,  $a$ . At the bottom of the panel,

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optical images of the scaffolds are shown.  $a$  parameter for C-R structures in c) corresponds to an average value between the inner and outer arcs.

#### 4. Conclusions

3D cellular AlN architectures with different pattern designs have been successfully manufactured by robocasting. The printed structures after pressureless spark plasma sintering are extraordinarily robust, with compressive strengths above 53 MPa, and capable of supporting up to 100 loading/unloading cycles without damage. The pattern geometry actually controls the thermal performance of the scaffolds, in particular, their effective thermal conductivity and heat dissipation functional forms. Orthogonal patterned structures are thermally anisotropic, with predicted thermal conductivity in the longitudinal direction up to six times higher than in the transverse one, decreasing the conductivity in both directions as the rod separation augments. Heat dissipation during free cooling tests is governed in all the structures by convection and radiation processes, the latter especially dominating at the onset of cooling until reaching temperatures  $\sim 500$  °C. Furthermore, heat dissipation intensifies as the macroporosity and rod separation increase, or the solid area of the structures diminishes. Either cuboid or cylindrical orthogonal lattices with large rod spacing are best suited for heat dissipation matters, although, in contrast, the thermal conduction is reduced. In summary, 3D printing arises as a promising technology to tailor the thermal performance of cellular materials through the control of their patterned structures.

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3 (MICINN/AEI/FEDER, UE).  
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**Supplementary Information**

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: