

1 **Effect of using pruning waste as an organic mulching on a drip-irrigated vineyard**
2 **evapotranspiration under a semi-arid climate**

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11 **ABSTRACT**

12 In a drip-irrigated vineyard soil evaporation (E) can reach up to 30-40% of the seasonal
13 grapevine crop evapotranspiration (ET_c). Vineyard soil management can be used as a
14 technique to reduce soil E for improving crop water use efficiency. The aim of this
15 experiment was to analyze the effect of using pruning waste as an organic mulching on
16 vineyard ET_c. During three experimental seasons, several cycles of grapevines water use
17 determinations were conducted using a large weighing lysimeter located in Albacete
18 (southeast Spain) under drip irrigation. Measurements were carried out under different
19 soil management practices: i) keeping the bare soil within the lysimeter during the first
20 2-3 days (bare soil), ii) covering the lysimeter soil surface with pruning waste as an
21 organic mulching (about 5 cm thick) for the next 2-3 days (organic mulch), and iii)
22 covering the lysimeter with a waterproof canvas (plastic mulch), similar in colour to the
23 soil, for the last 2-3 days of each measurement cycle.

24 In 2017, the measurements period was initiated when midday stem water potential
25 (Ψ_{stem}) values reached -1.3 MPa, in order to study the effect of the different soil

26 management on grapevine ET_c when vines in the lysimeter were suffering from severe
27 water stress. During the 3-year study, plant determinations (i.e., canopy cover and the
28 phenological stage) showed that vines were at the same stage of development during
29 each period of measurements. Under equal evaporative demand and fractional canopy
30 cover, results showed a reduction in the vineyard ET_c between 16-18% with the organic
31 mulching, and up to 24-30% with the plastic mulching. Even though plastic mulches
32 significantly reduced water evaporation from soil surface, this reduction could have
33 resulted in an increase in crop transpiration (T). However, results in this experiment
34 show that both organic and inorganic mulching did not increase vine T compared to no
35 mulching conditions, based on vine T values estimated during the three experimental
36 periods of 2015. Therefore, using pruning waste as an organic mulch could be an
37 environmental friendly alternative to reduce soil evaporation and increase crop water
38 productivity in large areas where vineyards are drip-irrigated.

39 **Keywords:** weighing lysimeter, soil evaporation, vine transpiration, water use, organic
40 mulch, plastic mulch

41 **1. Introduction**

42 Vineyards occupy large areas in the Mediterranean basin (mainly in Spain, France and
43 Italy) and in other countries (USA, Australia, South Africa, Chile, etc.) under arid and
44 semiarid weather conditions, in which vineyard crop evapotranspiration (ET_c) is an
45 important component of the hydrological cycle (Trambouze and Voltz, 2001). The
46 grapevine global planted area reached nearly 7 million ha in 2017, with a production of
47 74.3 million Mg. In Spain, the cultivated vineyard area was more than 900,000 ha in
48 2017, representing about 13.4% of the world harvested grapevine area. At the moment
49 there are over 225,000 ha under irrigation in Spain, representing about 24% of the
50 harvested vineyard area. The study area (La Mancha) has the world's largest surface

51 area devoted to grapevines with over 444,000 ha, of which 34% are currently irrigated
52 (FAOSTAT, 2017; MAPA, 2017).

53 Although vineyards have been traditionally cultivated under rain-fed conditions,
54 nowadays vineyard irrigation has increased considerably, driven by wine quality
55 considerations and production stability aims. Furthermore, in environments
56 characterized by high evaporative demand, and low and erratic rainfall during the
57 growing season, irrigation is needed to keep vines alive and for the intensification of
58 production, resulting in an economically viable activity. In areas with water resource
59 shortages, vineyard irrigation is limited by the available water supply and the standard
60 allocation is often well below the potential (i.e., maximum) water requirements (López-
61 Urrea et al., 2012). Moreover, this water scarcity in many areas of the world seems to be
62 worsening, mainly due to global warming and increasing competition with other water
63 demand sectors (IPCC, 2018).

64 Even though grapevine is considered a drought tolerant specie able to perform well
65 under a moderate degree of soil water deficit (Mirás-Avalos and Intrigliolo, 2017), the
66 grapevine potential seasonal evapotranspiration (ET_c) under non-limiting soil water
67 content might reach up 500 to 550 mm (López-Urrea et al. 2012) with crop coefficient
68 as high as 1.0 for a ground cover of around 55% (Picón-Toro et al., 2012; Williams and
69 Ayars, 2005). Vineyard crop evapotranspiration (ET_c) is due to both vine transpiration
70 (T) and soil evaporation (E). While T is required to optimize vine productivity, soil
71 evaporation is considered an unproductive component of the soil water balance, even if
72 it affects the vineyard energy balance.

73 In discontinuous canopies and in plants with a large dormant period like grapevines, soil
74 evaporation can be an important component of the water balance. In addition, nowadays
75 vines are mostly trained with vertically shoot positioning under a trellis system leaving

76 some part of the vineyard floor uncovered by the vine leaf area. On the other hand, drip
77 irrigation is the irrigation system most commonly employed which wets a small part of
78 the vineyard floor. Under these conditions (i.e., trellis system and drip-irrigated
79 vineyard), previous studies have determined that E might represent up to 30% of the
80 total vineyard water balance (Kool et al., 2014). More recently, Sánchez et al. (2017 and
81 2019) reported seasonal grapevine water requirements using a Simplified Two-Source
82 Energy Balance (STSEB) approach, with the soil evaporation (E) resulting between
83 30% and 40% of the total vineyard ET_c . Two-source modeling allows to estimate
84 accurate vineyard ET_c , as well as getting the partition in soil E and canopy T, using
85 radiometric temperatures as a main input, together with meteorological and biophysical
86 variables (Norman et al., 1995; Colaizzi et al., 2012; Sánchez et al., 2014 and 2015).

87 Vineyard floor management can be used as a technique to improve the whole vineyard
88 water productivity (Medrano et al., 2015), reduce the competition between spontaneous
89 vegetation and the cultivated grapevines and indeed influence vine performance and
90 grape composition (Guerra and Steenwerth, 2012). In this sense, the use of mulching
91 normally increases yield (Lanini et al., 1988) due to the higher retention of soil water
92 content (Sales, 2015). On the other hand, the effects of mulching on grape composition
93 are largely dependent on the type of material used for mulching and its final effects on
94 soil nutrient concentration (Guerra and Steenwerth, 2012). For instance, when compost
95 mulch manufactured from organic gardens was used, must potassium concentration and
96 pH were increased (Chan et al., 2015). However, when natural mulches are used to
97 cover the vineyard floor, clear effects on grape composition are not always found
98 (Sauvage, 1995; Chan and Fahey, 2011).

99 Plastic mulching is often employed to null soil evaporation (Wittwer, 1993), and
100 depending on the material used also to alter vine microclimate and particularly the

101 radiation regime (Guerra and Steenwerth, 2012). For instance, when reflective material
102 made from aluminium platelets is used, grape phenolic concentration can be increased
103 (Osrečak et al., 2015). But it is important to search for more sustainable alternatives in
104 line with the new European policies aimed at reducing plastic use. Moreover, although
105 plastic mulches significantly reduce water evaporation from soil surface, this reduction
106 might be associated with an increase in crop transpiration, due to transfer of both
107 sensible and radiative heat from plastic mulching to adjacent crop (Allen et al., 2007).
108 Under this context, the possibility of using pruning waste as an organic mulching might
109 be explored. This use could also constitute an alternative to burning of vine pruning still
110 often applied. Certainly, the amount of pruning residues might not be enough to cover
111 their entire vineyard floor, but organic mulching using pruning waste could be still used
112 in some parts of the vineyard. In any case, the focus of this study is to analyze the effect
113 of using an organic mulching on vineyard ET_c , in order to reduce soil evaporation for
114 improving crop water use efficiency. The study was conducted in a lysimeter facility
115 located in La Mancha, Spain, where direct quantitative determinations of vine ET_c can
116 be carried out.

117 **2. Materials and methods**

118 *2.1. Experimental site description*

119 The study was conducted during the period from 2015 to 2017 at the ITAP Research
120 Facility located in Albacete (southeast Spain) (39° 03'N, 2° 05'W, at 695 m high). The
121 climate is semiarid, temperate Mediterranean with dry and warm summers. The long-
122 term average annual rainfall is 314 mm mostly concentrated during the spring and fall.
123 Average mean, maximum and minimum temperatures are 13.7, 24.0 and 4.5 °C,
124 respectively. The soil in the lysimeter facility (i.e., in the lysimeter and surrounding
125 field) is classified as Petrocalcic Calcixerepts (Soil Survey Staff, 2014). Average soil

126 depth of the experimental plot is 40 cm, and is limited by the development of a more or
127 less fragmented petrocalcic horizon. Texture is silty-clay-loam, with 13% sand, 49%
128 silt and 38% clay, with a basic pH (8.1). The soil is low in organic matter (1.4%) and it
129 has a normal content of nitrogen (0.13%) and a high content of active limestone
130 (11.1%) and potassium (1.03 mmol 100 g⁻¹). Soil electrical conductivity (ECe) was 0.4
131 dS m⁻¹ so there was no problem with salinity in the soil, and bulk density was 1.39-1.49
132 g cm⁻³. Additional information about the soil characteristics in the lysimeter may be
133 found in Soldevilla-Martinez et al. (2014).

134 A vineyard (*Vitis vinifera* L., cv. Tempranillo) was planted in 1999 grafted on 110
135 Richter rootstock on a one ha plot (100 m × 100 m). The spacing between vines and
136 between rows was 1.5 and 3 m, respectively, giving 2222 vines ha⁻¹. During dormancy,
137 grapevines were pruned to two, 10-node fruiting canes. The trellis, having four vertical
138 wires, was composed of 1.7 m aluminum posts driven 0.4 m into the soil and separated
139 by 6 m in the row. The lowest wire at 0.4 m above the soil supported the lateral
140 irrigation pipe. The next wire, 0.8 m above the soil, supported the fruiting canes. Two
141 more wires at 1.1 and 1.3 m above the soil supported the canopy. Vines were drip-
142 irrigated with 3.5 L h⁻¹ emitters spaced every 0.5 m. The plot was fertilized at the rate of
143 60-40-80 kg ha⁻¹ of N, P and K, respectively, and it was managed according to cultural
144 practices normally carried out in the area.

145 2.2. *Lysimeter measurements and experiment design*

146 Measurements of two grapevines water use were conducted with a monolithic large
147 weighing lysimeter, with continuous electronic data recording (López-Urrea et al.,
148 2012), installed in the center of the plot. 15-min ET_c values were calculated as the
149 difference between lysimeter mass losses (from evaporation and/or transpiration)
150 divided by the lysimeter area (9.0 m²). Data collected during irrigation events, and when

151 works were conducted for covering the soil surface of the lysimeter tank were not used
152 in the final ET_c calculations. Neither drainage nor rainfall was recorded during the
153 measurement periods.

154 The lysimeter container is 3 m \times 3 m square and 1.7 m deep, with an approximate total
155 weight of 18.5 Mg (see Fig. 1). The lysimeter soil-containing tank sits on a system of
156 beams and a counterbalances that offsets the dead weight of the soil and the tank and
157 reduces the load on the weigh beam by 1,000:1. A steel load cell (model SB2, Epelsa
158 Ind., S.L., Spain) is connected to the weigh beam. The lysimeter mass resolution was
159 0.1 kg, and its accuracy was greater than 0.03 mm equivalent water depth. The sample
160 frequency was 1 s, and a reading was registered by a datalogger (CR10X, Campbell
161 Scientific Ltd., Logan, UT, USA) every 15 min. Two vines were planted in the
162 lysimeter, each one occupying 4.5 m² and planted 1.5 m apart and 0.75 m from either
163 end of the 3 m long lysimeter and 1.5 m from the sides of the lysimeter. Therefore, the
164 lysimeter contains the soil, two plants and the structure of the two aluminum posts and
165 the wires, which is independent of the rest of the plantation but with the same design
166 (Montoro et al., 2020). The surface area of the lysimeter was thus identical to the area
167 occupied by two plants outside it allowing for representative measurements of ET_c .
168 Efforts were made to keep the vines inside the lysimeter growing at the same rate as the
169 crop outside to minimize edge effects. Additional information about the technical
170 features of the lysimeter is given in Montoro et al. (2008) and López-Urrea et al. (2012).
171 The lysimeter weight data were checked daily to identify individual errors in the
172 readings not explainable by natural processes of water input and loss.

173 Different periods of measurements were carried out during 2015-2017 growing seasons.
174 Three periods were considered in 2015 (DOYs 193-198, 207-212 and 221-226), one
175 period in 2016 (DOYs 192-197) and another one in 2017 (DOYs 227-252). For the

176 2015 and 2016 experiments, bare soil was kept within the lysimeter during the first two
177 days. The soil surface was then covered with pruning waste as an organic mulching for
178 the following two days. It was intended to maintain a homogeneous thickness of the
179 pruning waste layer of about 5 cm. Finally, for the last two days the lysimeter soil
180 surface was covered with a waterproof canvas (plastic mulch), similar in colour to the
181 soil in order to prevent from any albedo modification effect.

182 Drip irrigation was applied every two days, at the beginning of each lysimeter soil
183 surface management, commencing at 8:00 h (3 h applications, equivalent to 5 mm). In
184 order to maintain non-limiting soil water content, irrigation was applied to replace the
185 potential crop water requirements (i.e., ~100% ET_c). In 2017, the measurements period
186 was initiated when midday stem water potential (Ψ_{stem}) values reached -1.3 MPa, then
187 drip irrigation (5 h applications, equivalent to 7.5 mm) was applied at night
188 commencing at 22:00 h. During this experimental period, the vines in the lysimeter
189 were also irrigated to replace the potential crop evapotranspiration. Thus, the effect of
190 different soil management (bare soil, organic and plastic mulch) on vineyard ET was
191 studied when vines in the lysimeter were suffering from severe water stress. After
192 irrigation, 15-min ET_c measurements using the lysimeter (bare soil) were carried out
193 during the following three days. Likewise, this approach was followed for organic and
194 plastic soil surface management in the lysimeter. Figure 2 shows an overview of the
195 lysimeter facility and the different managements for the lysimeter soil surface during the
196 experiment.

197 *2.3. Weather station, soil and plant determinations*

198 Meteorological variables during the experiment were measured with an automated
199 weather station located over a reference grass surface less than 100 m from the
200 grapevine lysimeter. All sensors were located between 1.5 and 2 m above the grass

201 surface, and weather data were registered in 15 min, hourly and daily time steps.
202 Variables measured were as follows: air temperature, relative humidity, wind speed,
203 wind direction, shortwave and longwave radiation, and rainfall. All data were stored in
204 two dataloggers (model CR10X, Campbell Scientific Instrument, Logan, UT, USA). For
205 a more detailed description of the weather station, see López-Urrea et al. (2014).
206 Reference evapotranspiration (ET_o) values were calculated with the daily time step
207 FAO56 Penman–Monteith (FAO56 P-M) equation (Allen et al., 1998) using the
208 recorded meteorological variables. Previous grass lysimeter studies at the same location
209 showed good performance for this equation (López-Urrea et al., 2006; Trigo et al.,
210 2018). The daily ET_o and ET_c or transpiration (T) values were used to calculate crop
211 coefficients for the grapevines in the lysimeter.

212 Soil water content in the lysimeter was continuously monitored at 10, 40, 70 and 100
213 cm depths with capacitance sensors placed in one probe (EnviroSCAN, Sentek Pty Ltd.,
214 South Australia). The access tube was installed approximately 20 cm from the row line
215 and from a dripper (See Fig. 2b).

216 Determinations of Ψ_{stem} were conducted with a pressure chamber (model 600, PMS
217 Instrument Company, Albany, OR, USA) on the plants within the lysimeter and in two
218 leaves per vine. The measurements were performed close to solar noon on mature leaves
219 located in the upper third of the canopy that were covered with a foil-laminate bag for at
220 least thirty minutes before being excised from the plant.

221 Determinations of the fractional vegetation cover (f_c) were performed for each soil
222 management throughout the different periods of measurements. Values of f_c were
223 determined based on the classic methodology for calculating green plant cover
224 developed by Cihlar et al. (1987) using a supervised classification technique of digital

225 photographic images with the maximum probability algorithm, in order to assign the
226 current classes of green vegetation in the image (see Fig. 3). Digital photographs over
227 the lysimeter area were taken at solar noon vertically from an approximate height of 4.5
228 m above ground. Supervised classification of these digital images was later carried out
229 with the help of the ENVI® version 4.8 computer program (Exelis Visual Information
230 Solutions, 2015). To apply this methodology, it is necessary to interpret each pixel of
231 the visible panchromatic digital image and to decide which areas of the image make up
232 the best training areas of green vegetation (with and without shade), and which are the
233 areas of dry vegetation and those of bare soil (Calera et al. 2001). Moreover, crop height
234 (h_c) was measured weekly and it remained constant around 1.5 m from mid-July (BBCH
235 code of 75-77) to early September (BBCH code of 85).

236 Grapevines phenological stages were determined for each soil management and
237 measurements period following the BBCH (Biologische Bundesanstalt,
238 Bundessortenamt and CHEMical industry) scale (Meier, 2001).

239 *2.4. Infrared temperature measurements and STSEB model overview*

240 Plant transpiration might increase because of the plastic mulching, due to the heat
241 exchange from the mulching to adjacent vegetation. A set of five thermal-infrared
242 radiometers (IRTs) were installed in 2015 to explore this effect and Fig. 4 shows the
243 experimental set up of the IRTs over the lysimeter. A Simplified version of the Two-
244 Source Energy Balance approach (STSEB) (Sánchez et al., 2008) was applied to
245 estimate vineyard ET_c and separate soil E and canopy T using the radiometric
246 temperatures as the main inputs, together with biophysical information and
247 meteorological data. A detailed description of the STSEB approach is given in Sánchez
248 et al. (2008). The feasibility of STSEB at a field scale has been already assessed in
249 vineyard (Sánchez et al., 2019).

250 The IRTs (SI-121, Apogee Instruments, Inc., USA) were installed in a mast placed in
251 the middle of the row, right next to the vineyard lysimeter. These instruments have a
252 broad thermal band (8–14 μm) with an accuracy of ± 0.2 $^{\circ}\text{C}$, and 18° field of view. For
253 an appropriate thermal characterization of the vines structure, two IRTs were assembled
254 at a height of 1.3 m pointing to the plant canopy from a frontal view, measuring both
255 sides of the vines. Two of the IRTs were mounted at a height of 0.4 m pointing to the
256 soil and measuring also both sides of the inter-row. A fifth IRT pointed upward to
257 measure the downwelling sky radiance, required for the atmospheric correction of both
258 soil and canopy radiometric temperatures. Additional information about meteorological
259 data and biophysical variables, needed for running the STSEB model, is given in
260 Sánchez et al. (2019).

261 *2.5. Statistical analysis*

262 Statistical analysis was conducted with Microsoft Excel 2013 computer software
263 (Liengme, 2015). A linear regression analysis was performed among midday stem water
264 potential and soil water content to assess the degree of relationship based on the
265 coefficient of determination (R^2) and error assessment (standard deviation).

266 **3. Results**

267 *3.1. Meteorological conditions*

268 Table 1 shows the meteorological conditions for each month during the three growing
269 seasons. The three experimental seasons at the study site (Albacete, southeast Spain)
270 were typical of the long-term average weather for this area, although in general terms,
271 the three growing seasons were a little warmer and significantly drier than the 30-year
272 means. Rainfall amounts during the growing seasons were 110 mm in 2015, 140 mm in
273 2016 and 64 mm in 2017, mainly concentrated during spring, being 30%, 11% and 60%
274 lower than the historical mean, respectively. Average wind speed at 2 m elevation

275 during the experimental seasons ranged from 2.5 m s⁻¹ in 2015 to 3.1 m s⁻¹ in 2016.
 276 Average solar radiation was similar during the three growing seasons ranging from 24.4
 277 MJ m⁻² day⁻¹ in 2015 to 24.9 MJ m⁻² day⁻¹ in 2017.
 278 Table 1. Summary of monthly average meteorological data during the vineyard growing
 279 seasons along the three consecutive years of the trial.

Season	T _{max}	T _{mean}	RH _{min}	Solar radiation	Wind speed	Rainfall*
Month	(°C)	(°C)	(%)	(MJ m ⁻² day ⁻¹)	(m s ⁻¹)	(mm)
2015						
April	18.0	11.1	47.8	20.5	2.9	24.4
May	25.8	17.6	29.7	27.1	2.6	15.3
June	28.5	20.5	31.1	28.0	2.2	23.9
July	35.6	26.5	21.8	27.9	2.4	0.0
August	31.1	23.6	42.1	23.4	2.7	1.4
September	25.2	18.3	40.3	19.7	2.4	44.9
2016						
April	17.6	11.2	50.7	20.5	3.2	40.9
May	22.3	15.0	36.1	24.0	3.1	77.9
June	30.2	21.6	20.5	29.0	2.9	0.8
July	34.6	25.7	20.3	29.1	3.5	15.9
August	33.4	24.7	22.7	25.8	3.6	4.3
September	29.6	20.8	24.3	20.1	2.6	0.0
2017						
April	19.8	12.3	37.3	22.3	2.7	22.3
May	24.5	16.7	32.7	26.0	2.7	8.9
June	31.1	22.8	25.1	29.0	2.9	0.3
July	32.8	24.2	21.7	27.6	2.4	25.4
August	31.5	23.7	28.1	23.5	2.5	6.1
September	27.5	19.1	28.1	20.7	2.4	1.2

280 *Monthly totals

281

282 3.2. Soil and plant determinations

283 The variation of volumetric soil water content at 10, 40, 70 and 100 cm soil depths
 284 during the different periods of measurements is shown in Fig. 5. After each irrigation

285 event, volumetric soil water content (SWC) showed slight variations at 70 cm and
 286 remained constant at 100 cm soil depth during the different periods of measurements.
 287 Table 2 shows daily average SWC values measured at 10 and 40 cm soil depth. These
 288 values indicate that the SWC available to the vines was similar for each soil
 289 management during the different experimental periods. In 2015 and 2016, the values of
 290 midday stem water potential indicate that the vines did not suffer considerable water
 291 stress under any of the three soil management conditions. Although, there is a slight
 292 trend to higher (less negative) vine water status in the days when the lysimeter was
 293 covered with a plastic mulching, probably due to the lower ET_c values (Table 2) and
 294 therefore, a little more soil water availability. Moreover, stem water potential
 295 measurements suggest that both pruning waste (organic) and plastic mulching did not
 296 affect significantly the microclimate of the vines, since the vine water status was not
 297 negatively affected by the application of these techniques of soil management. In 2017,
 298 both soil and plant water status measurements show that the vines in the lysimeter were
 299 subjected to significant water stress and there was a significant linear relationship
 300 between Ψ_{stem} and SWC (Fig. 6). The fraction of ground covered by the canopy and the
 301 phenological stage were the same for each of the measurements period, showing that
 302 vines were at the same stage of development.

303 Table 2. Soil and plant water status, fractional vegetation cover, BBCH-identification
 304 codes and vineyard growth stages during 2015-2017 experimental periods.

Season Soil management	Date	SWC ($m^3 m^{-3}$)	Ψ_{stem} (MPa)	f_c	BBCH Code	Description of Phenological Stage
2015						
Bare soil	Jul. 13	0.22	-1.0	0.32	77	Berries beginning to touch
Organic mulch	Jul. 15	0.24	-1.0	0.32	77	Berries beginning to touch
Plastic mulch	Jul. 17	0.25	-0.9	0.32	77	Berries beginning

						to touch
Bare soil	Jul. 27	0.23	-0.9	0.32	83	Berries developing colour
Organic mulch	Jul. 29	0.25	-1.0	0.32	83	Berries developing colour
Plastic mulch	Jul. 31	0.25	-0.9	0.32	83	Berries developing colour
Bare soil	Aug. 10	0.26	-1.0	0.32	85	Softening of berries
Organic mulch	Aug. 12	0.27	-0.8	0.32	85	Softening of berries
Plastic mulch	Aug. 14	0.27	-0.8	0.32	85	Softening of berries
2016						
Bare soil	Jul. 11	0.33	-0.7	0.24	75	Berries pea-size, bunches hang
Organic mulch	Jul. 13	0.35	-0.6	0.24	75	Berries pea-size, bunches hang
Plastic mulch	Jul. 15	0.39	-0.5	0.24	75	Berries pea-size, bunches hang
2017						
Bare soil	Aug. 16	0.19	-1.3	0.27	85	Softening of berries
Organic mulch	Aug. 22	0.23	-1.3	0.27	85	Softening of berries
Plastic mulch	Sept. 5	0.24	-1.3	0.27	85	Softening of berries

305 SWC: soil water content, mean of the daily value measured at 10 and 40 cm depth; Ψ_{stem} : midday stem
306 water potential; f_c : the fraction of ground covered by the canopy.

307 *3.3. Reference and vineyard evapotranspiration, and crop coefficients*

308 Fig. 7a shows an example of the hourly evolution of vineyard ET_c , after an irrigation
309 event of 5 mm, for DOYs 221 (bare soil), 223 (organic mulch) and 211 (plastic mulch)
310 in 2015. For the different soil management techniques, both the fractional canopy cover
311 ($f_c = 0.32$) and the evaporative demand ($ET_o \sim 5 \text{ mm day}^{-1}$) were similar. Figure 7b
312 shows accumulated vineyard ET_c for the different soil management conditions during
313 the experimental periods in 2015. Both figures show significant higher values of
314 vineyard ET_c under bare soil conditions than when the lysimeter soil was covered with
315 either; pruning waste or plastic. Likewise, vineyard ET_c values when the lysimeter was
316 covered with pruning waste (organic) were higher than under plastic mulching

317 conditions, showing that still exists evaporation from the soil surface when the 5 cm
 318 thick organic mulch layer is used.

319 Table 3 presents daily and accumulated ET_c and ET_o values for each soil management
 320 conditions during the experimental periods of 2015, 2016 and 2017 growing seasons.

321 The results show that for the same evaporative demand and fractional canopy cover
 322 (Table 2), the organic mulching reduced vineyard ET_c between 16 and 18%, whereas
 323 the plastic mulching reduced it between 24 and 30%.

324 Table 3. Crop evapotranspiration (ET_c), reference evapotranspiration (ET_o) and crop
 325 coefficient ($K_c = ET_c/ET_o$) for a vineyard under different soil management conditions
 326 during 2015-2017 experimental periods.

Season Soil management	ET_c (mm)		ET_o (mm)		Crop coefficient
	Daily	Accumulated	Daily	Accumulated	
2015					
Bare soil	3.1	18.6	6.1	36.5	0.51
Organic mulch	2.7	16.2	6.5	38.7	0.42 (18%)*
Plastic mulch	2.1	12.6	5.6	33.7	0.37 (27%)
2016					
Bare soil	2.8	5.6	7.5	15.0	0.37
Organic mulch	2.2	4.4	6.9	13.8	0.32 (16%)
Plastic mulch	1.7	3.4	6.1	12.2	0.28 (24%)
2017					
Bare soil	2.6	7.8	5.9	17.7	0.44
Organic mulch	2.1	6.3	5.9	17.7	0.36 (18%)
Plastic mulch	1.4	4.2	4.5	13.5	0.31 (30%)

327 *In brackets the percentage of ET_c reduction with respect the soil management without mulching (bare
 328 soil)

329 3.4. Effect of organic and plastic mulching on estimated vine transpiration

330 As mentioned above, the STSEB approach allows to estimate vineyard ET_c and its
 331 partitioning on soil evaporation and canopy transpiration. Table 4 presents vine
 332 transpiration (T) values estimated using the STSEB model for the different management
 333 conditions in the lysimeter soil during the three experimental periods of 2015. Both

334 pruning waste (organic) and plastic mulching did not increase vine T during the 6-day
 335 period analyzed for each soil management condition.

336 Table 4. Vineyard transpiration (T), reference evapotranspiration (ET_o) and basal crop
 337 coefficient (K_{cb}) for a vineyard under different soil management conditions in 2015
 338 experimental periods.

Soil management	Transpiration (mm)		ET _o (mm)		K _{cb}
	Daily	Accumulated	Daily	Accumulated	
Bare soil	2.1	12.6	6.1	36.5	0.35
Organic mulch	2.1	12.4	6.5	38.7	0.32
Plastic mulch	2.0	11.9	5.6	33.7	0.35

339

340 **4. Discussion**

341 Strategies to optimize vineyard water use efficiency (WUE) are required and have been
 342 subject of extensive research (Medrano et al., 2015). For instance, precision irrigation
 343 practices, aimed at adjusting irrigation scheduling to the actual water needs, allow
 344 optimizing on-farm WUE by increasing the efficiency in water application (Fernández,
 345 2014). However, it is important to search for field practices able to reduce the
 346 consumptive water use for achieving net water savings at the water basin level. In this
 347 sense, deficit irrigation has been extensively tested in grapevines (Mirás-Avalos and
 348 Intrigliolo, 2017). Nevertheless, this practice can reduce yield, and requires the
 349 continuous monitoring of soil and plant water status, to prevent from the appearance of
 350 severe stress that could even decrease WUE (Feres and Soriano, 2007). Under this
 351 context, the present research has shown that a vineyard soil management, either using
 352 plastic or organic mulch, can reduce water use and then lead to net water savings,
 353 because of the linked reduction in the whole vineyard ET_c.

354 Extensive research has been conducted determining the effects of soil mulching on
 355 several aspects of the vineyard performance, management and grape and wine

356 composition (see review by Guerra and Steenwerth, 2012). These works focus mainly
357 on yield and plant water relations, grape composition or weed management, with less
358 quantitative information reported on the effects of organic mulch on vineyard water use.
359 Most often, when water productivity was determined, this was an indirect measurement
360 obtained from the vine performance results and the irrigation+rainfall water applied
361 (Chan et al., 2010; Fourie, 2011). For instance, Gil et al. (2018) found similar yield
362 levels for a vineyard managed with plastic mulch and with water application at half of a
363 standard control under bare soils. Earlier on, Zhang et al., (2014) determined the
364 additional effects of mulching on vineyard ET under a subsurface irrigation strategy. A
365 reduction of 17% in water use was obtained by these authors. More recently, Fraga and
366 Santos (2018) conducted a simulation analysis using the STICS (Simulateur
367 mulTidisciplinaire pour les Cultures Standard) process-based crop model. They
368 concluded that for the Alentejo region of Portugal, mulching can mitigate the negative
369 impacts of climate change scenarios on yield by 10 to 25%. The results obtained in this
370 work indicate an overall ET_c reduction ranging 24-30% and 16-18% for plastic and
371 organic mulching, respectively, in line with previous research carried out (Montoro et
372 al., 2016). Previous work by Yunusa et al. (2004) quantified that soil evaporation could
373 be up to 40% of the total ET and Lascano et al. (1992) and Heilman et al. (1994)
374 estimated up to 44 to 77% of soil evaporation contribution to the entire vineyard ET.
375 A previous research carried out in maize, compared several mulching treatments effects
376 on the entire growing season ET but found very slight differences in the cumulative ET
377 when compared to a control without mulching (Li et al., 2018). Previously, also in
378 maize, Bu et al. (2013) tested gravel and a plastic film mulching and found that both
379 treatments increased the water productivity when compared with an un-mulched control
380 treatment; with plastic mulching resulting in an additional increase in water productivity

381 compared to gravel mulch by 15 to 80% depending on the experimental season. To the
382 best of our knowledge, in grapevines, a direct comparison between plastic and organic
383 mulching vineyard ET had not been previously carried out. The reduction in water use
384 by organic mulching here reported was 37% lower than using plastic mulch. This means
385 that organic mulching did not completely null soil evaporation, as expected. This might
386 not optimize water productivity, but it could generate in the long-term improvements in
387 soil porosity (Oliveira and Merwin 2001), which might bring some benefits on soil
388 biological status (de Vetter et al., 2015), which is beyond the scope of this research.

389 The results shown in this study were obtained under general low rainfall rates and
390 during periods of the season when irrigation was the only water input. Under these
391 conditions, soil evaporation occurs principally in the soil areas wetted by the irrigation
392 system. As a consequence, under conditions of higher rainfall, where the entire vineyard
393 floor might be more humid, soil evaporation could be higher and then the beneficial
394 effects of soil mulching on the total vineyard ET_c reduction could be more important
395 than what reported in the present research. In addition, note our experiments were
396 carried out in the middle of the season, when the vine canopy growth had stopped, in
397 order to compare soil management practices during days with similar vine growth and
398 development. We expected soil evaporation to be larger at beginning and end of the
399 growing cycle, when rainfall can be substantial, as reported by Sánchez et al. (2019) in
400 an earlier research conducted at our location. Therefore, the benefits brought by organic
401 mulching could be, on a seasonal basis, more significant than those obtained here.

402 The influence of the irrigation regime in the decrease in vineyard ET_c due to plastic
403 mulching has been also studied. Very similar reduction in the relative
404 evapotranspiration (i.e., the crop coefficient) was observed for all three seasons. Only
405 under plastic mulching, in 2017, when plants were under water deficit, the reduction in

406 evapotranspiration was higher. Under certain degrees of water stress, it is expected that
407 the contribution of the soil evaporation to the total ET_c could be higher because plant
408 water stress reduces transpiration. However, in the present experiment, because of the
409 irrigation management in the lysimeter that probably also reduced soil evaporation, no
410 clear impacts of plant water status in the relative reduction in water use due to organic
411 mulching were obtained. The final effect of the watering regime (dose and frequency of
412 irrigation) on the contribution of soil evaporation to the whole vineyard ET might be
413 very dependent on the soil physical characteristics and still warrants further research. In
414 this sense, a previous field trial concluded that, in clay soil, high irrigation frequency
415 could result in a decrease in irrigation efficiency (Sebastian et al., 2015). In addition,
416 Montoro et al. (2016), comparing soil evaporation rates under different irrigation
417 frequencies, reported that when irrigation dose is increased to lower watering frequency,
418 soil evaporation rates can be reduced in comparisons with a more frequent irrigation
419 regime.

420 Under a theoretical framework, a reduction in soil evaporation could increase the energy
421 available to latent heat or plant transpiration. This could be more relevant during the
422 periods of high evaporative demand or when vine ground cover has still not reached the
423 maximum values. These aspects have not been fully investigated in discontinuous
424 canopies like vineyards but several studies in field crops such as rice, cotton and wheat
425 demonstrated that when soil evaporation is reduced, plant transpiration can increase
426 (Balwinder-Singh et al., 2011; Lascano et al., 1994; Li et al., 2008). However,
427 extrapolating results obtained in field crops with continuous canopies to vineyards is not
428 straightforward since grapevine transpiration is also affected by canopy conductance
429 while field crops transpiration is more dependent on the net radiation available for latent
430 heat transfer (Jiao et al., 2018). It is, therefore, important to properly quantify the

431 effects on plant transpiration of practices minimizing or nulling soil evaporation in
432 vineyards and orchards. For instance, it is now widely accepted the use of subsurface
433 irrigation with considerable benefits in term of the total water balance (Martínez-
434 Gimeno et al., 2018; Valentín et al., 2020). Our results did not allow to infer that there
435 could be an increase in plant transpiration in response to a reduction in soil evaporation.
436 This could be due to: i) under the no soil water limitations, plants were already
437 transpiring at the maximum potential and vine transpiration could not increase more
438 above a threshold level, ii) because of the experimental approach, the microclimate
439 within the two vines planted within the lysimeter was not modified since the
440 surrounding vines were not mulched, and iii) the color chosen for the plastic mulch did
441 not affect significantly soil albedo and also the possible effects on soil temperature
442 might not have been considered because of the short-term effects evaluated. In the
443 future, a larger experimental design, with the better fetch conditions should be carried
444 out.

445 In the present research, the type of mulch material used (brown waterproof canvas) and
446 the pruning waste were selected in order not to affect the albedo. In fact, previous
447 research has demonstrated that vine microclimate can be modified depending on the
448 color of the material used for mulching (Tarara, 2000). For instance, white or aluminum
449 color material is often employed in cool climate viticulture areas in order to increase the
450 radiation in the cluster zone and enhance grape ripeness (Coventry et al., 2005). In this
451 sense, grape composition has been demonstrated to be affected not only by the total
452 PAR intercepted by clusters but also by the red to and far-red radiation regime (Guerra
453 and Steenwerth, 2012) that can be specifically altered choosing different plastic colors.
454 Vine water relations might be affected by the application of mulching (Guerra and
455 Steenwerth, 2012) and in the present study we only determine Ψ_{stem} as an integrator of

456 the effects of soil water content and vine transpiration rates on vine water status.
457 Considering most of the Ψ_{stem} determinations carried out, there were not clear
458 differences in this physiological indicator among the orchard floor management
459 practices (Table 3). Only in two determinations, coinciding with the highest value of
460 soil water content, Ψ_{stem} was improved (less negative) by mulching. In Mediterranean
461 conditions, it was previously reported that most of the differences in yield and grape
462 composition in responses to several irrigation and leaf area to fruit ratio regimes were
463 explained by differences in Ψ_{stem} (Mirás-Avalos et al., 2017), suggesting that vine water
464 status is a major determinant for vine yield. In any case, to complement the present
465 research, further works need to determine the agronomic effects of using soil mulching
466 on the agronomic performance and grape composition. In fact, this will depend on the
467 specific agronomic (varieties and irrigations regimes), soil and environmental
468 conditions.

469 In order to finally generalize the application of the mulching operations, it should be
470 considered that the pruning waste from a vineyard is not enough to cover the entire
471 vineyard floor. However, in arid areas, it could be sufficient to mulch only the soil
472 portions wetted by the drip irrigation systems. Another possibility is to cover only a
473 certain surface of the entire vineyard with the pruning waste. It is also needed to explore
474 for how long the pruning waste mulch laid on the vineyard floor can last, since it is
475 possible to keep accumulating pruning waste season after season resulting in the long-
476 term in a larger area of the vineyard floor covered with the pruning waste. In this sense,
477 more attention should be paid on the possible effects of the organic mulching on soil
478 chemical and physical characteristics. The present study was carried out with the
479 intention to determine the short-term effects of mulching on vineyard
480 evapotranspiration, but previous research has demonstrated that, in the long-term,

481 mulching can increase soil organic matter (Thomson and Hoffman, 2007), soil fertility
482 (Ferrara et al., 2012), decrease soil compaction and protect soil from erosion (Mirás-
483 Avalos et al., 2020); all aspects indeed positively influencing soil water holding
484 capacity (Oliveira and Merwin, 2011). Finally, the potential effects of pruning waste as
485 an inoculum for trunk fungal disease will also need to be determined.

486 **5. Conclusions**

487 The results of this study show that for the same evaporative demand and fractional
488 canopy cover, vineyard ET_c might be reduced between 16 and 18% using an organic
489 mulching, whereas a plastic mulching can reduce it between 24 and 30%. Thus, the
490 reduction in vineyard consumptive water use by pruning (organic) mulch results 37%
491 less than what obtained with plastic mulch. As expected, organic mulching does not
492 completely null soil evaporation. A simplified version of the Two-Source Energy
493 Balance (STSEB) is used to estimate vine transpiration for the different management
494 conditions in the lysimeter soil. Results show no increase in vine transpiration for
495 organic nor plastic mulching during the period analyzed and for our experimental
496 design. Further research is required at this point. In the Mediterranean basin and other
497 semi-arid environments with scarce water resources, where vineyards occupy large
498 areas and drip-irrigation system is dominant, the vineyard floor management using
499 pruning waste could be an attractive alternative to reduce vineyard evapotranspiration
500 and the consumptive water use and increasing crop water productivity.

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711 water use of grapevines. *Agric. Water Manage.* 143, 1-8.

712

713 **List of figures**

714 Fig. 1. Different pictures of the monolithic large weighing lysimeter used to measure
715 grapevines (cv. Tempranillo grafted on 110 Richter) water use: a) the cross section of
716 the vineyard lysimeter, b) the lysimeter container in the construction phase during 1998,
717 and c) the two vines planted in the lysimeter on July 11, 2016 ($f_c = 0.24$ and $h_c = 1.5$ m).

718 Fig. 2. a) Overview of the lysimeter facility and the area surrounding it, and different
719 pictures of the soil management practices within the lysimeter during the experiment: b)
720 no mulching (bare soil) on July 13, 2015, c) pruning waste (organic mulch) on July 15,
721 2015, d) waterproof canvas (plastic mulch) on July 17, 2015.

722 Fig. 3. a) Digital photograph taken over the lysimeter at solar noon vertically from an
723 approximate height of 4.5 m above ground (July 29, 2015), b) picture cut out (adjusted)
724 to the lysimeter surface, c) supervised classification of the digital images conducted
725 with the help of the ENVI computer program showing areas of green vegetation and

726 bare soil, d) result of the classification obtaining a fractional vegetation cover (f_c) of
727 0.32.

728 Fig. 4. a) Scheme of the experimental deployment of the thermal-infrared radiometers
729 (IRTs) over the lysimeter spot: 2 sensors pointing to the plant canopy from a frontal
730 view at a height of 1.3 m measuring both sides of the vines, and 2 pointing to the soil
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732 upward to measure the downwelling sky radiance, b) detail picture of the IRT pointing
733 upward, c) detail picture of IRTs pointing to the soil and the canopy.

734 Fig. 5. Variation in the lysimeter of volumetric soil water content (SWC) at different
735 soil depths in the experimental periods during 2015-2017 growing seasons. SWC values
736 were continuously monitored with four capacitance sensors placed in one probe.
737 Irrigation events are also indicated as black points. In all graphs, the SWC values
738 correspond to the different managements for the lysimeter soil surface as follows: the
739 first 2-3 days bare soil, the following 2-3 days organic mulch and the last 2-3 days
740 plastic mulch.

741 Fig. 6. Relationship of midday stem water potential (Ψ_{stem}) and volumetric soil water
742 content (SWC) during 2015-2017 experimental periods. Values reported are SWC
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744 of 4 determinations. *Significant at $P < 0.05$.

745 Fig. 7. Hourly evolution (a) and accumulated (b) vineyard ET_c for the different soil
746 management conditions in 2015.

747

Figure

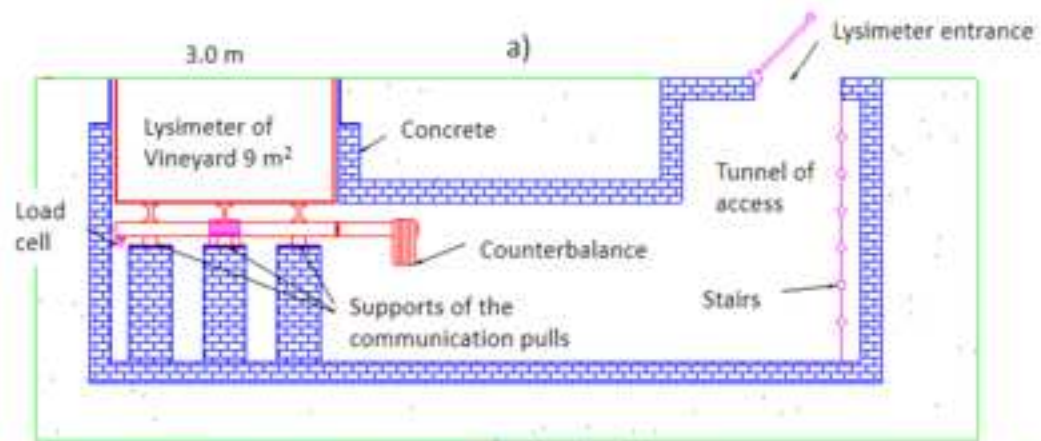
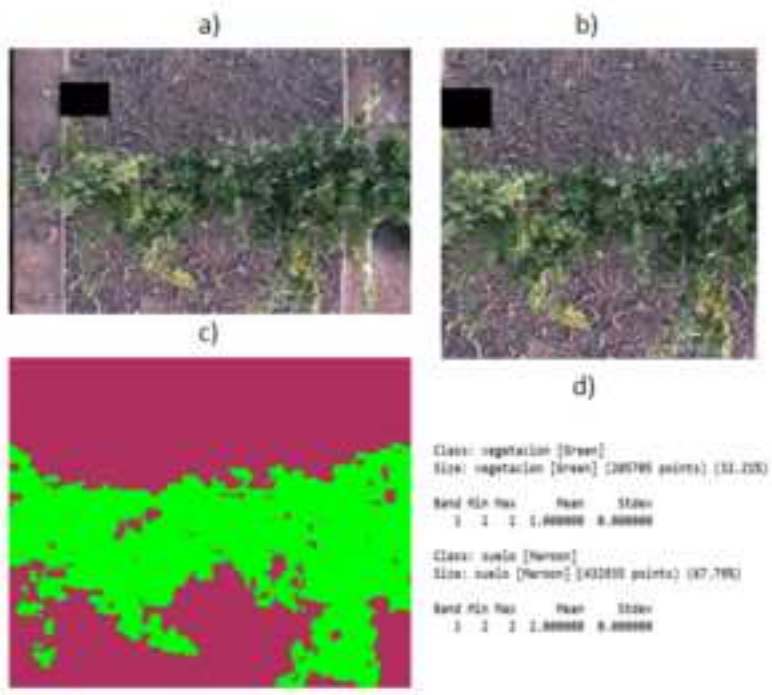


Fig. 1.TIF





Figure

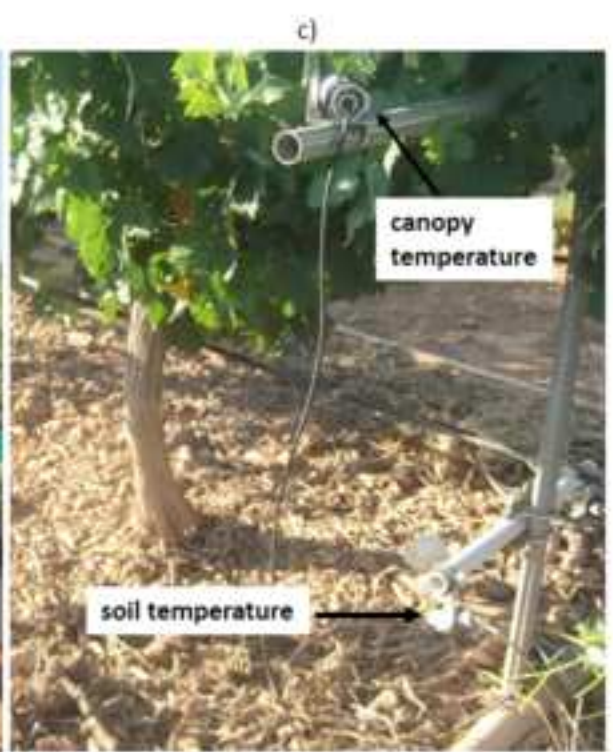
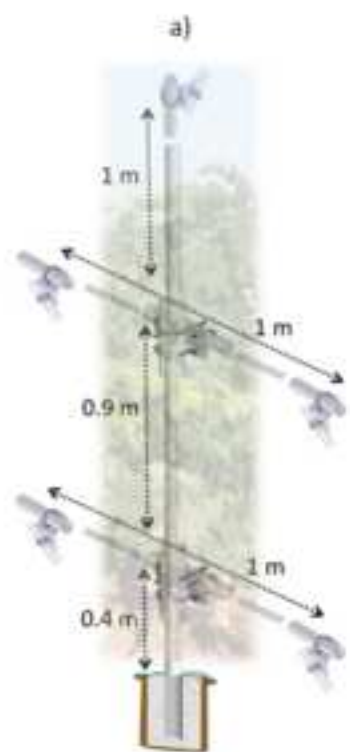


Fig. 4.TIF

