Retroviral induction of GSK-3 β expression blocks the stimulatory action of physical exercise on the maturation of newborn neurons

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Abstract Adult hippocampal neurogenesis (AHN) is a key process for certain types of hippocampal-dependent learning. Alzheimer's disease (AD) is accompanied by memory deficits related to alterations in AHN. Given that the increased activity of GSK-3 β has been related to alterations in the population of hippocampal granule neurons in AD patients, we designed a novel methodology by which to induce selective GSK-3 β overexpression exclusively in newborn granule neurons. To this end, we injected an rtTA-IRES-EGFP-expressing retrovirus into the hippocampus of tTO-GSK-3 β mice. Using this novel retroviral strategy, we found that GSK-3 β caused a cell-autonomous impairment of the morphological and synaptic maturation of newborn neurons. In addition, we examined whether GSK-3 β overexpression in newborn neurons limits

the effects of physical activity. While physical exercise increased the number of dendritic spines, the percentage of mushroom spines, and the head diameter of the same in tet-OFF cells, these effects were not triggered in tet-ON cells. This observation suggests that GSK-3 β blocks the stimulatory actions of exercise. Given that the activity of GSK-3 β is increased in the brains of individuals with AD, these data may be relevant for non-pharmacological therapies for AD.

Keywords GSK-3 β · Physical exercise · Adult neurogenesis · Hippocampus · Retrovirus · rtTA

Introduction

Newborn neurons are continuously added to the dentate gyrus (DG) throughout life [1]. Dendritic trees increase in complexity during the differentiation of developing granule neurons. These cells become fully integrated in the

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trisynaptic circuit and are finally functionally indistinguishable from surrounding mature granule neurons at the end of the maturational process [2].

Adult hippocampal neurogenesis (AHN) is a dynamic process regulated by external stimuli [3]. Stress and aging are known to decrease AHN [4, 5]. In addition, impairments in AHN have been described in neurodegenerative diseases such as Alzheimer's disease (AD) [6, 7]. In contrast, one of the strongest positive modulators of AHN is physical exercise [8]. Exercise exerts neuroprotective actions not only in healthy subjects, but also in AD patients [9, 10], whereas a sedentary lifestyle is considered a risk factor for sporadic AD [11]. Although the molecular mechanisms by which exercise acts on the brain are not fully understood, one of the most relevant notions, the "neurotrophic hypothesis", postulates that exercise increases the levels of neurotrophic factors in the brain [12, 13], thereby counteracting the overactivation of proteins such as glycogen synthase kinase-3 β (GSK-3 β), observed in AD patients [14]. Although some of the behavioral effects exerted by exercise are mediated by an increase in AHN [15], other effects have been demonstrated to be AHN-independent [16]. In addition, it is still a matter of debate whether the effects on this process occur in a similar way in healthy and AD brains—the latter marked by dysregulation of the activity of certain toxic proteins [17].

Indeed, using a transgenic model in which GSK-3 β overexpression is driven by the neuronal promoter CamKII, we found the alterations in newborn granule neurons strikingly similar to those described in AD patients [18]. However, non-cell-autonomous events, namely neuroinflammation, also contribute to the development of the aforementioned alterations in these mice [19].

Thus, to address the participation of GSK-3 β in mediating the effects of physical exercise on the brain, we developed a novel methodology, based on the use of tetracycline-regulated elements, to selectively induce GSK-3 β overexpression in newborn neurons.

Tetracycline-regulated systems have been traditionally used to drive conditional gene expression both in vivo and in vitro [20]. The specific regulation of these systems is achieved through the Tetracycline-regulated transactivator (tTA) [21]. This protein binds specifically to the tetO operator sequence and induces transcription of the transgene of interest from an adjacent cytomegalovirus (CMV) minimal promoter. The combination of the tTA and the tetO elements thus allows for conditional overexpression of a given transgene both in vivo and in vitro [22]. In addition, Tetracycline (and its analog Doxycycline) can bind to the tTA, triggering a conformational change, which prevents tTA's binding to the tetO operator. Conversely, other constructs, such as the retro-tTA (rtTA), are able to bind to the tetO only in the presence of Doxycycline [23].

In combination with the aforementioned systems, a plasmid carrying the bidirectional tet-responsive promoter [24], followed by both a GSK-3 β cDNA in one direction, and in the other, a β -Galactosidase (β -Gal) cDNA fused to a nuclear localization signal (BitetO construct), was generated by Lucas et al. [22]. This BitetO construct was microinjected into murine oocytes, thus generating a transgenic line of mice designated as tTO-GSK-3 β . To selectively induce GSK-3 β overexpression in newborn granule neurons, we stereotactically injected an rtTA-IRES-EGFP-expressing retrovirus into the hippocampus of tTO-GSK-3 β mice, in which GSK-3 β is expressed exclusively in the newborn neurons infected by the retrovirus, following binding of the active rtTA element to the tetO promoter in the presence of Doxycycline. The rtTA element, as previously mentioned, binds the tetO operator in the presence of Doxycycline [23].

This the first time, to the best of our knowledge, that a retroviral system containing an rtTA element has been used to drive the selective expression of a given protein exclusively in hippocampal newborn neurons. Importantly, we evaluated the cell-autonomous effects of GSK-3 β overexpression on newborn neurons and whether this overexpression limits the effectiveness of physical exercise. GSK-3 β caused striking cell-autonomous effects on the synaptic and morphological maturation of newborn neurons. Moreover, given the absence of these effects in GSK-3 β -overexpressing cells, we demonstrate that GSK-3 β overexpression limits the stimulatory effects of physical exercise on newborn granule neurons. As that the activity of GSK-3 β appears to be increased in the brains of AD patients, these findings may be clinically relevant for AD therapies, as this kinase appears to determine the efficacy of physical exercise on the functional maturation of newborn granule neurons.

Materials and methods

Animals

tTO-GSK-3 β mice were generated as previously described [22]. Briefly, a plasmid carrying the bi-directional tet-responsive promoter (tetO) [24] followed by a GSK-3 β cDNA in one direction and a cDNA encoding β -Galactosidase (β -Gal) fused to a nuclear localization signal in the other, was generated. The tetO construct was then microinjected into oocytes, and the transgenic mouse lines were designated as tTO-GSK-3 β . This plasmid alone had no effect on GSK-3 β expression [22]. After co-transfection with a plasmid that allows for the expression of the Tetracycline-regulated transactivator (tTA), a marked increase in GSK-3 β expression was observed. This increase

resulted in increased phosphorylation of Tau, as demonstrated by Western blotting with anti phospho-Tau (PHF-1 epitopes), which recognizes Tau phosphorylation by GSK-3 β [22].

Animals were housed in a specific pathogen-free colony facility in accordance with European Community Guidelines (directive 86/609/EEC) and handled following European and local animal care protocols. Five mice per experimental condition and time point were used for the retroviral injections. Only female mice were used to avoid undesired effects of dominance hierarchy on AHN. Animals were housed in groups of five per cage.

Retroviruses

A retroviral vector containing the reverse Tetracycline-regulated transactivator (rtTA), the internal ribosome entry site (IRES), and EGFP (rtTA-IRES-EGFP retrovirus) was purchased from AddGene (reference number PMA2640). Figure 1a shows a schematic diagram of the retroviral rtTA-IRES-EGFP plasmid structure. The rtTA element is inactive in its native form. In the presence of Doxycycline, a conformational change takes place in this element, allowing it to bind to the tetO promoter, thus triggering the expression of both GSK-3 β and β -Gal. Thus, Doxycycline treatment allows the overexpression of GSK-3 β in newborn granule neurons of the desired age (Fig. 1b).

Since the retroviruses used are engineered to be replication-incompetent, they insert their genetic material only into cells undergoing cell division at the time of infection. This system allows the selective expression of GSK-3 β in newborn neurons. The number of cells infected by the rtTA-IRES-EGFP retrovirus was similar to that obtained in the case of the infection with EGFP-expressing retroviruses (data not shown). Activation of the system can be checked by the expression of either β -Gal or EGFP reporters. To test the activity of GSK-3 β in these cells, the fluorescence intensity for phospho-Tau was measured in individual EGFP⁺ cells.

Retroviral stocks were concentrated to working titers of 1×10^7 to 2×10^8 pfu/ml by ultracentrifugation [2].

Stereotaxic surgery

Mice were anesthetized with isoflurane and placed in a stereotaxic frame. Coordinates (mm) relative to bregma in the anteroposterior, mediolateral, and dorsoventral axes were as follows: dentate gyrus (DG) (−2.0, 1.4, 2.2). 2 μ l/DG of virus solution was infused at a rate of 0.2 μ l/min via a glass micropipette in both hemispheres. Animals were 8-weeks-old at the time of retroviral injections.

Experimental design and treatments

For the validation of the rtTA/tTO system, mice received doxycycline (2 g/l) in drinking water (tet-ON mice) or water alone (tet-OFF mice). Doxycycline treatments began 12 h before the retroviral injections. Animals were killed at 24, 48 h or 1 week after retroviral injection to assess the activation of the transgenic system. The percentage of EGFP⁺ cells that expressed β -Gal was measured at the aforementioned time points. In addition, to ensure the selective long-term activation of the transgenic system in newborn neurons, the phosphorylation of the neuronal Tau protein (the main substrate of GSK-3 β kinase activity), was measured in individual cells.

To study the effects of physical exercise, mice were randomly assigned to one of four conditions: sedentary tet-OFF, sedentary tet-ON, exercise tet-OFF, and exercise tet-ON. Two weeks after retroviral injections, animals in the exercise groups were allowed to run freely in voluntary running wheels for two additional weeks. Finally, all mice were killed 4 weeks after retroviral injections. The 2-week post-injection interval was selected as the starting point of physical exercise training, given that the period of maximal responsiveness of newborn neurons to the effects of this stimulus and also to environmental enrichment begins at this cell age [25].

Sacrifice

Mice were fully anesthetized with an intraperitoneal pentobarbital injection (EutaLender, Normon Laboratories, Madrid, Spain; 60 mg/kg bw) and transcardially perfused with saline followed by 4 % paraformaldehyde in phosphate buffer. Brains were immediately removed and post-fixed overnight in the same fixative.

Immunohistochemistry

Sagittal brain sections were obtained on a Leica VT1200S vibratome (50- μ m thick sections). For immunohistochemical analysis, a series of brain slices were made up randomly of one section from every ninth. Slices were initially pre-incubated in phosphate buffer with 1 % Triton X-100 and 1 % bovine serum albumin, and then dual immunohistochemistry was performed as described previously [26]. The primary antibodies used were as follows: mouse anti- β -Galactosidase (Promega, Madison, WI, USA; 1:3000); rabbit anti-GFP (Invitrogen, Carlsbad, CA, USA; 1:1000); goat anti-doublecortin (DCX) (Santa Cruz Biotechnologies, Dallas, TX, USA; 1:500); mouse anti GFAP (Promega, Madison, WI, USA; 1:1000); mouse anti-NeuN (Life Technologies, Grand Island, NY, USA; 1:1000); and mouse anti-phospho-Tau (PHF-1 epitope) (a

Fig. 1 Description of the model. **a** Schematic diagram showing the most relevant elements in the plasmid used for retroviral production. The rTA-IRES-EGFP was purchased from AddGene (reference number PMA2640). This plasmid permits the selective expression of the advanced rTA element and EGFP as a reporter due to the presence of a rTA-IRES-EGFP element. **b** The general basis of the experiments is shown. In tTO-GSK-3 β mice, the expression of GSK-3 β takes place after the binding of the active rTA element to the tetO bidirectional promoter. The rTA element will undergo a conformational change and thus become activated by the presence of doxycycline. Upon activation, the rTA element will allow the expression of both GSK-3 β and β -galactosidase (β -Gal) in targeted cells. **c, d** Representative images and their corresponding high-power magnification and orthogonal views showing the staining against β -Gal 48 h after retrovirus injection. As shown in **c**, in tet-OFF mice (no doxycycline treatment), no β -Gal expression was detected in EGFP⁺ cells. In contrast, in tet-ON mice treated during 48 h after retrovirus injection with doxycycline, a strong and selective induction of the reporter protein was observed (**d**). **e** Quantification of the percentage of EGFP⁺ cells that were also β -Gal⁺ (time course). The percentage of EGFP⁺ cells that were β -Gal⁺ cells rapidly increased as the post-injection interval increased until reaching a plateau at 48 h post-injection. **f–h** To obtain a physiological indicator of GSK-3 β activity in infected newborn neurons, phosphorylated (PHF-1 epitopes) Tau (one of the main substrates of GSK-3 β activity) was measured in individual EGFP⁺ cells. **f–g** Representative images of EGFP and PHF-1 immunohistochemistry in tet-OFF (**f**) and tet-ON (**g**) mice. As shown, the fluorescence intensity of PHF-1 phospho-Tau was significantly increased in the tet-ON as compared to tet-OFF mice (**h**). *ML* molecular layer, *GL* granule layer, *H* hilus. *Yellow scale bar* 20 μ m, *purple scale bar* 10 μ m, *purple triangle* EGFP⁺ β -galactosidase[−] cell, *yellow triangle* EGFP⁺ β -galactosidase⁺ cell, *white arrows* EGFP⁺ cell. *Asterisks* indicate significant differences compared to tet-OFF mice (**0.001 < *p* < 0.01) (***)*p* < 0.001)

kind gift from Dr. Davies; 1:500). The following secondary Alexa-conjugated antibodies, from Molecular Probes (Carlsbad, CA, USA), were also used at a final concentration of 1:1000 to detect the primary antibodies: Donkey Alexa 488-conjugated anti rabbit (GFP); Donkey Alexa 555-conjugated anti mouse (β -galactosidase and PHF-1); Donkey Alexa 647-conjugated anti goat (DCX). All sections were counterstained with DAPI (Calbiochem; 1:5000). The incubation period ranged from 48 h at 4 °C for primary antibodies, 24 h at 4 °C for secondary antibodies, and 10 min for DAPI incubation.

Cell counting

The percentage of EGFP⁺ cells that were also β -Gal⁺ was assessed at 0, 24 and 48 h, and 1 week after retroviral injections in tet-OFF and tet-ON mice. A minimum of 250 EGFP⁺ cells belonging to each experimental group and time point were analyzed. Two series of sections per animal were used to determine the percentage of EGFP⁺ cells that were positive for β -Gal. To evaluate the staining of β -Gal in individual cells, each EGFP⁺ cell was identified under the confocal microscope (green channel), and

confocal stacks of images covering each whole cell (green channel: EGFP; Red channel: β -Gal) were obtained using a LSM710 Zeiss confocal microscope (63 $\times$ oil immersion objective). The percentage of EGFP⁺ cells that were also β -Gal⁺ is shown in Fig. 1.

To analyze the phenotype of the cells infected by the rTA-IRES-EGFP retrovirus and to compare it with that of cells infected by a CAG-GFP-expressing retrovirus [2], we quantified the percentage of cells either GFP⁺ or EGFP⁺ that expressed the neuroblast marker DCX and GFAP at 1 week post-injection, and the mature neuronal marker NeuN and DCX at 4 weeks post-injection. To this end, at least 250 cells per experimental group and time point were examined. The percentage of cells is shown in Supplementary Figure S1 A (1 week post-injection) and B (4 weeks post-injection).

In addition, we addressed whether GSK-3 β overexpression affected the generation of newborn neurons. To this end, we analyzed the phenotype of EGFP⁺ cells in both tet-OFF and tet-ON conditions 4 weeks after rTA-IRES-EGFP retrovirus injection in the hippocampus of tTO-GSK-3 β mice. We quantified the percentage of EGFP⁺ cells that expressed NeuN⁺ and DCX⁺ (Supplementary Figure S1 C).

Measurement of phospho-Tau in EGFP⁺ cells

To obtain a measurement of GSK-3 β activity in the EGFP⁺ cells infected by the retrovirus, Tau phosphorylation (PHF-1 epitope, known to be phosphorylated by GSK-3 β) was determined. With this aim, a minimum of 100 cells per experimental condition were analyzed. Cells were reconstructed using a LSM710 Zeiss confocal microscope (63 $\times$ Oil immersion objective; *xy* dimensions 74.97 μ m; *z* axis interval 0.2 μ m). Confocal stacks of images containing blue (DAPI), green (EGFP), and red (PHF-1) channels were obtained. In each individual plane, the cytoplasm of the cell was drawn in the green channel using a pen tablet in *ImageJ*, and the area of the cytoplasm was measured in the green channel. Nuclei were excluded on the basis of the blue channel. Afterwards, by setting up threshold levels of 57 and 255 respectively, the intensity of PHF-1 staining was obtained in the red channel. Measurements were made in each individual plane. An average of phospho-Tau signal intensity per cell was subsequently calculated (Fig. 1f–h).

Morphometric analysis

Five series of sections from each animal were used for the immunohistochemical detection of EGFP. Sixty randomly selected neurons from each experimental condition were reconstructed under a LSM710 Zeiss confocal microscope (25 $\times$ oil immersion objective) as previously described

[18]. Confocal stacks of images were obtained (z axis interval 1 μm) and z -projections were analyzed to determine total dendritic length and to perform Sholl's analysis [27]. All cells were traced using *NeuronJ* plugin for ImageJ software (ImageJ v.1.45S, <http://rsb.info.nih.gov/ij/>). Sholl's analysis was performed using the plugin *ShollAnalysis* for ImageJ. The analysis of the morphology of newborn neurons is shown in Fig. 2.

Analysis of dendritic spines

The analysis of dendritic spines is shown in Fig. 3. The density of dendritic spines was studied in each experimental condition. A minimum of 100 segments belonging to each condition were analyzed. Confocal stacks of images were obtained in a LSM710 Zeiss confocal microscope (63 $\times$ oil immersion objective, xy dimensions 67.48 μm) (z axis interval 0.1 μm). The dendritic length of each segment was measured, and the number of dendritic spines was analyzed using the *NeuronStudio* software (CNIC, Mount Sinai School of Medicine, 2007–2009) [28]. Dendritic fragments were automatically constructed by the software, and then individual seed points were rectified manually to more accurately trace the dendrite. Thereafter, the dendritic spines were detected by the software and assigned to one of the three following subtypes: stubby, thin, and mushroom. The following parameters used by *NeuronStudio* software were applied to identify three spine subtypes: neck ratio, 1.1 pixel; thin ratio, 2.5 pixel; mushroom size, 0.35 μm . A manual check of each spine was performed to assure accurate classification. The percentage of each type of spine was calculated. The percentage of mushroom spines is shown in Fig. 3f. Given that the volume of the head of mushroom spines correlates with the size of the postsynaptic density, which in turn is correlated with synaptic strength [29], we measured the head diameter of the mushroom spines (Fig. 3g).

Statistical analysis

Statistical analysis was performed using the SPSS 17.0.1 software (SPSS, 1989; Apache Software Foundation). The Kolmogorov–Smirnov test was used to test the normality of the sample distribution. Quantitative variables (levels of phosphorylated Tau, total dendritic length, dendritic arborization (Sholl's analysis), length of the primary apical dendrite, migration into the granule cell layer (GL), density of dendritic spines, percentage of mushroom spines and head diameter of mushroom spines) were analyzed by a one-way ANOVA test. For the comparison of qualitative variables (percentage of EGFP⁺ cells that were positive for either β -Gal, DCX, GFAP or NeuN), a Pearson Chi squared test was applied. Post-hoc analyses were done using a

Fig. 2 Retroviral expression of GSK-3 β causes morphological alterations in newborn neurons. In **a**, the complete experimental design is presented. Retroviruses were injected into the hippocampus of tTO-GSK-3 β mice. Two weeks after injection, mice were assigned to one of the four experimental categories: sedentary tet-OFF, sedentary tet-ON, exercise tet-OFF, or exercise tet-ON. **b** Quantification of the total dendritic length. It should be noted that GSK-3 β activity caused a significant reduction in the total dendritic length of newborn neurons. In contrast, physical exercise increased this parameter in exercise tet-OFF mice. Interestingly, physical activity partially reversed the alterations caused by GSK-3 β overexpression. However, significant differences were observed between exercise tet-OFF and exercise tet-ON mice. **c** Sholl's analysis. GSK-3 β activity caused alterations in the morphology of the dendritic tree of sedentary tet-ON mice. Physical exercise increased dendritic branching in exercise tet-OFF mice and normalized morphological alterations in exercise tet-ON mice. **d–g** Representative images of the cellular morphology of newborn neurons in sedentary tet-OFF (**d**), sedentary tet-ON (**e**), exercise tet-OFF (**f**), and exercise tet-ON (**g**) mice. **h** Quantification of the length of the primary apical dendrite. GSK-3 β overexpression decreased this parameter in sedentary tet-ON mice, whereas physical exercise caused an increase in sedentary tet-OFF mice and normalized the alterations in exercise tet-ON mice. **i** Migration into the GL. GSK-3 β increased the distance migrated by newborn neurons in sedentary tet-ON mice. Exercise reversed these alterations in exercise tet-ON mice. *ML* molecular layer, *GL* granule layer, *H* hilus. *Blue scale bar* 100 μm . *Asterisks* indicate significant differences compared to sedentary tet-OFF mice. *Hashes* indicate significant differences compared to sedentary tet-ON mice (* $0.01 < p < 0.05$) (** $0.001 < p < 0.01$) (***) $p < 0.001$) ($\#0.01 < p < 0.05$) ($\#\#0.001 < p < 0.01$) ($\#\#\#p < 0.001$) ($0.1 > p > 0.05$)

Fisher's least significant difference (LSD) test. In all the figures, mean $\pm$ SEM are represented.

Results

rtTA-expressing retrovirus as a tool to induce GSK-3 β overexpression in newborn neurons

These experiments involved the use of a retrovirus containing the rtTA, IRES and EGFP elements and the stereotaxic injection of this retrovirus into the hippocampus of tTO-GSK-3 β mice to selectively induce GSK-3 β overexpression in newborn granule neurons. To study the activation of the system along time, we stereotaxically injected the retroviral vectors into the hippocampus of 8-week-old tTO-GSK-3 β mice and killed the animals 1, 2, or 7 days later (Fig. 1c–e). A control group of five mice were killed just before the retroviral injection (time 0) (12 h after the beginning of doxycycline treatment). Given the lack of specificity previously obtained with numerous antibodies against GSK-3 β in murine tissue (data not shown), it was not possible to evaluate the level of GSK-3 β overexpression in the infected cells by immunohistochemical labeling of GSK-3 β directly. However, we have

previously demonstrated that the immunohistochemical detection of the reporter protein β -Gal matches that of GSK-3 β perfectly in GSK-3 β overexpressing mice [18, 22, 30]. Hence, we aimed to analyze the levels of β -Gal in EGFP⁺ cells at the different cell ages studied. A rapid induction of GSK-3 β overexpression was observed after retrovirus injection in the presence of Doxycycline (tet-ON animals). At 24 h after retroviral injection, 52.94 % of the EGFP⁺ cells were also β -Gal⁺ ($\chi^2_{1,64} = 28.954$; $p < 0.001$), while 94.68 % cells ($\chi^2_{1,141} = 120.663$; $p < 0.001$) and 97.18 % of the cells ($\chi^2_{1,118} = 109.995$; $p < 0.001$) were β -Gal⁺ at 48 h and 1 week post-injection respectively in the presence of doxycycline. Conversely, less than 0.6 % of EGFP⁺ β -Gal⁺ cells were observed in the absence of Doxycycline treatment. In Fig. 1c, a representative cell belonging to the tet-OFF group is shown. Forty-eight hours after the retroviral injection, no staining for β -Gal was observed in the absence of doxycycline. However, in the doxycycline-treated group, EGFP⁺ cells exhibited marked immunoreactivity for β -Gal (Fig. 1d). The complete quantification of this time course is shown in Fig. 1e.

Moreover, to demonstrate that this retroviral system caused an effective increase of GSK-3 β activity in the cells infected by the retrovirus, we aimed to obtain a biological indicator of GSK-3 β activity in these cells. To this end, we measured the levels of Tau phosphorylation (PHF-1 epitopes) in individual EGFP⁺ cells 4 weeks after retroviral injections (Fig. 1f–h). Hyperphosphorylation of Tau in PHF-1 epitopes is known to reduce Tau affinity to microtubules and to trigger cytoskeleton destabilization [31]. Figure 1f, g shows representative images of the colocalization of EGFP and PHF-1 in tet-OFF (Fig. 1f) and tet-ON (Fig. 1g) mice. The retroviral infection resulted in increased Tau phosphorylation in PHF1 epitopes (known to be phosphorylated by GSK-3 β), thus indicating and increase in GSK-3 β activity in tet-ON cells. Figure 1h shows the quantification of fluorescence intensity for PHF-1 in individual EGFP⁺ cells. The Tet-ON cells presented greater levels of phosphorylated Tau than tet-OFF cells ($F_{1,350} = 79.390$; $p < 0.001$).

Given that, to the best of our knowledge, this retroviral construct has not been used in the context of AHN to date, we sought to characterize the populations of cells infected by the retrovirus, to compare these data with those obtained using a CAG-GFP-expressing retrovirus [2]. The quantification of EGFP⁺ cell phenotypes at 1 and 4 weeks post-injection is shown in Supplementary Figure S1 A and B, respectively. At 1 week post-infection, 56.8 and 65 % of the cells were DCX⁺, whereas 10 and 4 % were GFAP⁺ in the case of cells infected by GFP-expressing and rtTA-IRES-EGFP-expressing retroviruses, respectively, these differences falling far from statistical significance. In

addition, 4 weeks after retroviral injections, 97 and 92.3 % of the cells were NeuN⁺, whereas 91 and the 89.4 % were DCX⁺ in the case of cells infected by GFP-expressing and rtTA-IRES-EGFP-expressing retroviruses, respectively. These data demonstrate that, 4 weeks after retroviral injections, roughly 99 % of the cells infected by rtTA-IRES-EGFP were newborn neurons.

In addition, and given that GSK-3 β overexpression has previously been demonstrated to inhibit the generation of newborn neurons [32, 33], we analyzed the percentage of cells infected by the retrovirus that expressed NeuN and DCX markers at 4 weeks post-injection, in both the tet-ON and tet-OFF conditions. This quantification is shown in Supplementary Figure S3 C. In this regard, 92.31 and 92.08 % of the EGFP⁺ cells were NeuN⁺, whereas 89.42 and 87.13 % of the EGFP⁺ cells were DCX⁺ in tet-OFF and tet-ON conditions, respectively. These data demonstrate that this retroviral system of GSK-3 β overexpression does not alter the generation of newborn neurons in terms of their number and phenotype.

GSK-3 β overexpression prevents the stimulatory effects of physical exercise on the morphological maturation of newborn neurons

Figure 2a shows a schematic diagram of the experimental design. The rtTA-IRES-EGFP retroviruses were stereotactically injected into the hippocampus of tTO-GSK-3 β mice. Two weeks after these injections, half the animals were allowed to use voluntary running wheels freely for two additional weeks, thus becoming assigned to one of the following conditions: sedentary tet-OFF, sedentary tet-ON, exercise tet-OFF, or exercise tet-ON. Four weeks after injections, mice were killed and the morphology of the newborn granule neurons was analyzed. The four experimental conditions presented differences in total dendritic length ($F_{3,238} = 18.978$; $p < 0.001$). GSK-3 β overexpression in these cells caused a reduction in total dendritic length in sedentary tet-ON as compared to sedentary tet-OFF mice ($p < 0.05$) (Fig. 2b). In contrast, physical exercise increased total dendritic length in comparison to tet-OFF sedentary mice ($p < 0.001$). In addition, exercise reversed the alterations caused by GSK-3 β overexpression, and the exercise tet-ON mice were indistinguishable from sedentary tet-OFF ones ($p = 0.882$). There was a significant difference in total dendritic length between exercise tet-OFF and exercise tet-ON mice ($p < 0.01$). Sholl's analysis revealed that GSK-3 β overexpression altered the branching and structure of the dendritic tree (Fig. 2c) [0–50 μ m from the soma: ($F_{3,234} = 3.513$; $p < 0.05$); 50–100 μ m from the soma: ($F_{3,239} = 0.46$; $p = 0.710$); 100–150 μ m from the soma: ($F_{3,238} = 7.523$; $p < 0.001$); 150–200 μ m from the soma: ($F_{3,239} = 21.859$; $p < 0.001$);

Fig. 3 Retroviral expression of GSK-3 β alters the connectivity of newborn neurons. **a–d** Representative images of fragments of dendrites belonging to sedentary tet-OFF (**a**), sedentary tet-ON (**b**), exercise tet-OFF (**c**), and exercise tet-ON (**d**) mice. **e** Quantification of dendritic spine density. As shown, retroviral induction of GSK-3 β activity decreased the density of dendritic spines. In contrast, physical exercise increased the density of these structures in exercise tet-OFF mice. Importantly, exercise did not cause any change in the density of dendritic spines in exercise tet-ON as compared to sedentary tet-ON mice. **f** Percentage of mushroom spines. Retroviral induction of GSK-3 β activity reduced the percentage of mushroom spines. Exercise did not counteract these effects in exercise tet-ON mice, but increased the

percentage in exercise tet-OFF mice. **g** Head diameter in the mushroom spines. Retroviral induction of GSK-3 β activity reduced the head diameter in sedentary tet-ON mice. This effect was further accentuated in exercise tet-ON mice. Taken together, these data demonstrate that the retroviral induction of GSK-3 β activity prevents the stimulatory effects of physical exercise on newborn neurons. *Purple scale bar 100 μm , blue triangles mushroom spines, red triangles stubby spines, green triangles thin spines. Asterisks indicate significant differences compared to sedentary tet-OFF mice. Hashes indicate significant differences compared to sedentary tet-ON mice* (* $0.01 < p < 0.05$) (** $p < 0.01$) (***) $p < 0.001$) (# $0.01 < p < 0.05$)

200–250 μm from the soma: ($F_{3,223} = 24.023$; $p < 0.001$)). Physical exercise stimulated the growth of the medial-to-distal region of the dendritic tree in both tet-OFF and tet-ON mice [0–50 μm from the soma: ($F_{1,234} = 0.962$; $p = 0.328$); 50–100 μm from the soma: ($F_{1,239} = 0.347$;

$p = 0.556$); 100–150 μm from the soma: ($F_{1,238} = 16.264$; $p < 0.001$); 150–200 μm from the soma: ($F_{1,239} = 19.193$; $p < 0.001$); and 200–250 μm from the soma: ($F_{1,223} = 0.639$; $p = 0.425$)]. However, exercise tet-OFF and exercise tet-ON mice showed statistically significant

differences in the distal part of the dendritic tree [0–50 μm from the soma: ($p = 0.256$); 50–100 μm from the soma: ($p = 0.659$); 100–150 μm from the soma: ($p = 0.084$); 150–200 μm from the soma: ($p < 0.001$); and 200–250 μm from the soma: ($p < 0.001$)]. In Fig. 2d–g, representative images of neurons belonging to each of the four experimental conditions are shown: sedentary tet-OFF (Fig. 2d), sedentary tet-ON (Fig. 2e), exercise tet-OFF (Fig. 2f) and exercise tet-ON (Fig. 2g). In line with the aforementioned morphological changes, GSK-3 β overexpression reduced the length of the primary apical dendrite ($F_{1,323} = 23.352$; $p < 0.001$) (Fig. 2h) and increased migration into the GL ($F_{1,268} = 17.204$; $p < 0.001$) (Fig. 2i). The stimulatory effect of physical exercise on these two parameters occurred only partially in exercise tet-ON as compared to exercise tet-OFF mice (length of the primary apical dendrite, $p = 0.003$; migration into the GL, $p = 0.834$).

GSK-3 β overexpression prevents the stimulatory action of physical exercise on the connectivity of newborn neurons

To examine whether the effects of physical exercise on the connectivity of newborn neurons is blocked by GSK-3 β , the four experimental conditions were compared in terms of the number, morphological classification, and size of their dendritic spines. In Fig. 3a–d, representative images of dendrite fragments belonging to sedentary tet-OFF (Fig. 3a), sedentary tet-ON (Fig. 3b), exercise tet-OFF (Fig. 3c), and exercise tet-ON (Fig. 3d) groups are shown. Quantifications revealed that GSK-3 β decreased the density of dendritic spines ($F_{1,275} = 61.165$; $p < 0.001$) (Fig. 3e), the percentage of mushroom spines ($F_{1,272} = 67.158$; $p < 0.001$) (Fig. 3f), and the diameter of the head of the mushroom spine ($F_{1,261} = 28.071$; $p < 0.001$) (Fig. 3g). In contrast, physical exercise increased the density of dendritic spines ($p < 0.001$) (Fig. 3e) and the percentage of mushroom spines ($p < 0.001$) (Fig. 3f) in exercise tet-OFF mice. However, exercise had no effect on the density of dendritic spines ($p = 0.747$) (Fig. 3e) or the percentage of mushroom spines ($p = 0.304$) (Fig. 3f) in exercise tet-ON mice as compared to sedentary tet-ON ones. In addition, it further reduced the diameter of the head of the mushroom spine as compared to the latter group ($p < 0.01$) (Fig. 3g). These data thus suggest that the stimulatory action exerted by physical activity on the connectivity of newborn granule neurons is blocked by GSK-3 β overexpression.

Discussion

Physiological and pathological regulation of AHN: importance of GSK-3 β

New neurons are continuously added to the hippocampal DG throughout adulthood [34]. During the differentiation of newborn neurons, the complexity of their dendritic trees increases sequentially. After the appearance of dendritic spines, the number, volume and complexity of these structures increase until reaching a plateau at 8–10 weeks of cell age [35]. Growing evidence indicates that newborn neurons are important for hippocampal function, not only when they are mature but also during the transient period in which they are *young and excitable* [36]. In general terms, it is considered that immature neurons are required for pattern separation [37], whereas mature ones are necessary for pattern completion [38]. Nevertheless, the involvement of AHN in learning is still a matter of debate and the specific role of newborn neurons in hippocampal-dependent learning has yet to be fully elucidated [16, 39].

In neurodegenerative diseases, such as AD, the generation of functional neurons in the DG is impaired. This observation has been made both in animal models [40, 41] and AD patients [42]. In this regard, Golgi staining has revealed morphological and synaptic alterations of granule neurons in AD patients. These alterations are identical to those found in GSK-3 β -overexpressing mice, a murine model of AD [18]. These alterations include a shortening of the primary apical dendrite, increased migration into the GL, and atrophy of the distal portions of the dendritic tree.

In these mice, the overexpression of GSK-3 β occurs in mature granule neurons under the control of the neuronal promoter CamKII [22]. These findings are especially relevant since a pathological overactivation of this kinase has been reported in the brains of AD patients [43]. In fact, it is known that GSK-3 β activation is necessary for amyloid β (A β) to exert its toxic action [44]. It is considered that one of the most important downstream effectors of GSK-3 β activity is the microtubule-associated protein Tau [45]. Tau phosphorylation by GSK-3 β is thought to be responsible for the memory impairment found in AD [46]. At the molecular level, GSK-3 β has a deleterious effect on neuron connectivity and it has been implicated in the internalization of AMPA receptors. This process has been linked to the elimination of the synapse [47], a process in which, on the other hand, Tau is involved via the abnormal cellular distribution of Fyn kinase [48]. Thus, Tau-dependent and -independent actions of GSK-3 β converge in a profound impairment of neuronal function. These alterations are *a priori* assumed to be a direct cell-autonomous consequence of GSK-3 β action.

However, we and others have demonstrated that GSK-3 β , when overexpressed in a wide population of cells, causes a series of indirect, non-cell-autonomous effects linked to neuroinflammation [19, 49]. These alterations related to neuroinflammation occur in the murine model previously described, as a result of microglial activation caused by neuron death [18]. However, the administration of an anti-inflammatory drug does not fully reverse the alterations observed in this animal model [19]. Hence, there must be a unique action that can be attributed only to GSK-3 β . This notion led us to design a model to induce GSK-3 β overexpression exclusively in the cells of interest, to avoid the indirect effects caused by microglial activation.

Development of a novel methodology to study the cell-autonomous effects of GSK-3 β

With this aim, we stereotactically injected an rtTA-IRES-EGFP-expressing retrovirus into the hippocampi of tTO-GSK-3 β mice (Fig. 1b). To the best of our knowledge, this is the first time that this retroviral strategy has been used to drive the expression of a toxic protein involved in AD in the brain and, in particular, to study AHN. Using this retroviral tool, we have developed an extremely reliable method to selectively induce the conditional expression of GSK-3 β exclusively in the newborn neurons infected by the retrovirus and only after the binding of the active rtTA element to the tetO promoter in the presence of doxycycline (Fig. 1c–e). Using this system, we demonstrated a measurable increase in the activity of GSK-3 β in the cells infected by the retrovirus through quantification of phosphorylated Tau, the main substrate of GSK-3 β , in epitopes known to be phosphorylated by this serine-threonine kinase (Fig. 1f–h). This increased activity of GSK-3 β triggered a profound impairment not only in the morphological but also in synaptic maturation of these cells. Regarding the morphological changes triggered by cell-autonomous GSK-3 β overexpression, it is noteworthy that GSK-3 β reduced the total length of the dendritic trees of these cells (Fig. 2b); however, importantly, it caused a selective atrophy of the outer part of the dendritic tree (Fig. 2c–g). In addition, it shortened the primary apical dendrite (Fig. 2h) and increased cell soma migration into the GL (Fig. 2i). These morphological alterations may have important functional consequences, given that the most relevant afferent connections received by the dendrites of granule neurons occur in the two outer thirds of the molecular layer (ML) through the perforant pathway, which is originated in the entorhinal cortex [50, 51].

AD therapies: relevance of GSK-3 β and physical exercise

Several hypotheses regarding the dysregulation of GSK-3 β activity in the brains of AD patients have been put forward. One, the “neurotrophic hypothesis of AD”, postulates that the decrease in the circulating levels of neurotrophic factors during aging contributes to the dysregulation of GSK-3 β activity [52, 53]. For instance, it is known that insulin-like growth factor I (IGF-I) participates in A β clearance from the brain [54]. As a result of the decrease in this clearance, A β would trigger, in turn, the pathological activation of GSK-3 β [44]. On the other hand, brain inflammation has also been proposed to induce the initial changes occurring in the AD brain in the prodromal stages of the disease. These inflammatory changes have been demonstrated to induce Tau phosphorylation, presumably through an increase in GSK-3 β activity [55]. Therefore, diverse mechanisms have been proposed to increase the activity of this kinase, which leads to the aberrant phosphorylation of Tau, thereby contributing to the cellular and cognitive alterations characteristic of AD.

Although several general and specific inhibitors of GSK-3 β have been tested for the treatment of AD [56], physical activity is thought to be useful for counteracting the pathological activation of GSK-3 β [57]. Indeed, one of the most accepted theories on how exercise acts on the brain, the “neurotrophic hypothesis of physical exercise”, affirms that one of the most potent neuroprotective actions triggered by exercise is by increasing the levels of different neurotrophic factors in the brain [58–62]. Since these factors converge in a consequent inhibition of GSK-3 β activity, physical exercise might be useful to counteract the pathological dysregulation of this kinase. Furthermore, exercise increases hippocampal volume, memory, and cognitive skills both in animal models and humans [63, 64]. Importantly, the beneficial actions of exercise occur not only in the healthy brain but also in the AD brain [65, 66]. However, although it has been proposed that physical exercise protects against the development of AD [65], it remains unclear whether it is beneficial after the onset of the disease. Indeed, several studies suggest that exercise fails to increase neural plasticity in animal models and AD patients [67, 68]. To demonstrate whether GSK-3 β overexpression interferes with the stimulatory actions of physical exercise, we subjected the retrovirus-injected mice to a period of voluntary wheel running (Fig. 2a), an activity previously demonstrated to enhance AHN [26]. As expected, we found a prominent effect of exercise on control tet-OFF neurons (Figs. 2, 3). Both the morphology of the dendritic tree (Fig. 2b–i) and the number of dendritic spines and their degree of maturation (Fig. 3a–g) were

markedly promoted by voluntary wheel running. Interestingly, exercise promoted the growth of the medial-to-distal portions of the dendritic tree (Fig. 2c), which are known to be projected towards the more external parts of the ML. As previously mentioned, the most relevant afferent connection establishing synaptic contacts with the dendrites of granule neurons (the perforant pathway) occurs in the two outer thirds of the ML. Thus, these effects of physical exercise on the distal dendritic tree of newborn neurons may be related to its general stimulatory actions on the maturation of these cells, which might also be associated with changes in their connectivity. In contrast, although slight stimulatory effects of exercise were observed on the morphology of the dendritic tree, no effects were detected on the afferent connectivity of tet-ON, GSK-3 β -overexpressing neurons (Fig. 3e, f). In addition, a further reduction in the head diameter of mushroom spines was observed in exercise tet-ON neurons as compared with sedentary tet-OFF ones, the former already being diminished as compared to sedentary tet-OFF neurons (Fig. 3g). Thus, not only did physical exercise not cause improvements of the alterations caused by GSK-3 β cell-autonomous effects but it worsened some of them.

We demonstrate that GSK-3 β overexpression limits the stimulatory effects of physical exercise on newborn granule neurons. These findings may be clinically relevant for AD therapies, as GSK-3 β appears to determine the efficacy of physical exercise in the functional maturation of newborn granule neurons.

Concluding remarks

The novel methodology used in this work has allowed us to study the cell-autonomous effects of GSK-3 β on newborn neurons. Here we demonstrate an increase in GSK-3 β activity in retrovirally infected cells, as reflected the elevated levels of phosphorylated Tau. In addition, our data suggest that the efficacy of physical exercise at the cellular level, is, to some extent, regulated by the activity of GSK-3 β in newborn granule neurons. This notion is supported by the observation that exercise-triggered cellular plasticity is blocked by GSK-3 β overexpression in these neurons. We show, on the one hand, that the dysregulation of the intracellular pathways controlled by GSK-3 β sabotages newborn neuron maturation in a cell-autonomous manner, and, on the other hand, that physical exercise is unable to counteract these alterations in neurons affected by cell-autonomous GSK-3 β overexpression. Given that exercise is considered to exert beneficial effects on cognition and that GSK-3 β activity is increased in the brains of individuals with AD, the lack of effects of physical exercise on GSK-3 β -overexpressing neurons may be relevant with

regard to the non-pharmacological therapies for this disease. The rationale supporting this affirmation is that, among other reasons, physical exercise—due to its trophic effects on the hippocampus—could be potentially prescribed to ameliorate some of the pathological consequences of AD. However, the finding that the dysregulation of GSK-3 β activity limits the effectiveness of exercise on AHN may be relevant for these therapies, since this cell population in the AD brain may not be responsive to the effects of this physical stimulus.

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Compliance with ethical standards

Conflict of interest The authors declare no competing financial interest. This is an original manuscript that is not being considered for publication elsewhere.

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