

1 Fisheries-induced changes of shoaling behaviour: Mechanisms 2 and potential consequences

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4 Valerio Sbragaglia^{1,†,*}, Jolle W. Jolles^{2,3,†}, Marta Coll^{1,4}, Robert Arlinghaus^{5,6}

5
6 ¹ Department of Marine Renewable Resources, Institute of Marine Sciences, Passeig Marítim de la Barceloneta,
7 37-49, Barcelona, Spain

8 ² Zukunftscolleg, Institute of Advanced Study, University of Konstanz, Universitätsstrasse 10, Konstanz,
9 Germany

10 ³ Center for Ecological Research and Forestry Applications (CREAF), Campus de Bellaterra (UAB), Edifici C
11 08193 Cerdanyola del Vallès, Bellaterra, Barcelona, Spain

12 ⁴ Ecopath International Initiative, Barcelona, Spain

13 ⁵ Department of Biology and Ecology of Fishes, Leibniz Institute of Freshwater Ecology and Inland Fisheries,
14 Müggelseedamm 310, 12587 Berlin, Germany

15 ⁶ Division of Integrative Fisheries Management, Department of Crop and Animal Sciences, Faculty of Life
16 Sciences, Humboldt-Universität zu Berlin, Philippstrasse 13, Haus 7, 10115 Berlin, Germany

17 † These authors contributed equally

18 * Corresponding author: Sbragaglia V. (valeriosbra@gmail.com)

19 20 **Abstract**

21 We outline key mechanisms by which fishing can change the shoaling tendency and collective
22 behaviour of exploited species - an issue that is rarely considered and poorly understood. We
23 highlight potential consequences for fish populations and food webs, and discuss possible
24 repercussions for fisheries and conservation strategies.

25
26 **Keywords:** collective behaviour, fisheries-induced evolution, food web, harvesting, predator-
27 prey interactions, shoaling, schooling

28 29 **Shoaling behaviour and fisheries**

30 Capture fisheries can exert strong pressures on exploited fish species, altering life histories,
31 size and age structure, and population density. Intensive fishing can also affect fish behaviour
32 by selectively removing individual phenotypes that are more vulnerable to specific fishing
33 techniques [1, 2]. What has so far largely escaped attention is that fishing may also affect the
34 shoaling tendency and collective behaviour of fish [1-5]. Shoaling can be defined as fish that
35 socially group together, from simply aggregating and interacting in time and space to directed

36 and coordinating movements, such as schooling. Grouping has strong adaptive value for many
37 fish species, influencing predation risk, resource acquisition, and the spread of information [5].
38 Therefore, if fisheries would induce changes to shoaling it could have relevant ecological
39 consequences for exploited species [4]. Previous reviews have indeed suggested that fishing
40 could change shoaling behaviour by altering individual behavioural phenotypes, especially
41 boldness [1, 2]. We go beyond these studies and propose parallel pathways by which fishing
42 can affect collective behaviour at individual, group, and population levels (Fig. 1). We end by
43 outlining potential ecological consequences and repercussions for fisheries and biodiversity
44 conservation strategies.

45

46 **Mechanisms by which fishing can alter shoaling behaviour**

47 Fishing can directly affect shoaling behaviour by targeting individual phenotypes [1, 2].
48 Changes in the phenotypic composition and heterogeneity of exploited species can in turn
49 shape the emergent properties of fish shoals, such as shoal size, cohesion, and among-group
50 dynamics, via individual-level changes in behaviour and social interactions [7]. We outline
51 four possible pathways that could be acting in parallel.

52 First, as previously identified [1, 2], fishing may affect shoaling by directly selecting
53 on individual behaviour and physiological traits [4, 6-8]. For example, there is accumulating
54 evidence that a number of fishing techniques, including hook-and-line, trapping, gillnetting,
55 and spearfishing, selectively harvest bold, aggressive and more active phenotypes [1]. As these
56 phenotypes are often linked to leadership, their selective removal, and the resulting
57 homogenization of phenotypic traits, may have disruptive effects on the coordination and
58 movement tendencies of fish shoals [6]. Some techniques, such as hook-and-line fishing, may
59 also selectively target social phenotypes [7], which can result in a decrease in shoaling tendency
60 and fish to form smaller groups [4]. Even shy fish may be selectively targeted by some fishing
61 techniques, such as by trawling, as shyer fish are more likely to shoal than explore by
62 themselves, which could also result in an overall reduction in sociability of exploited species
63 [2]. Fisheries-induced changes of shoaling behaviour can also arise through selection operating
64 on physiological phenotypes that correlate with behaviour. For example, trawling may
65 selectively target individuals with reduced anaerobic capacity and burst swimming
66 performance [8], thereby decreasing heterogeneity of these traits and potentially resulting in
67 faster moving shoals with higher endurance [6]. Many exploited fish species, such as small
68 pelagics, tend to occur in very large shoals. In these situations, despite individuals strongly
69 conforming in their behaviour, as a result of trait-selective harvesting small differences

70 between individuals can still accumulate over time and result in spatial differences within
71 shoals as well as changes in group behaviour and among-shoal assortment [6].

72 Second, the intense and positive size-selective mortality imposed by many fisheries
73 results in demographic changes and may lead to evolution of fast life-histories [9]. Fast life
74 histories are correlated with certain behavioural and physiological traits, such as increased risk-
75 taking behaviour and a smaller aerobic scope. Harvesting-induced changes toward fast life-
76 histories can thus also indirectly change shoaling behaviour [1, 2, 6, 8]. Indeed, changes in
77 behaviour are possible even in completely unselective fisheries where life-histories adapt to
78 elevated fishing mortality levels [10]. Additional mechanisms could be at play that relate to
79 morphological and demographic factors, specifically in relation to fisheries-induced
80 demographic downsizing of individuals. In many species, body size is closely linked to optimal
81 and maximum movement speed, which has been shown to influence collective behaviour.
82 Specifically, shoals of smaller individuals could be more cohesive, slower in movement and
83 less coordinated [6]. Selective removal of large individuals could also reduce shoal cohesion
84 because the surviving smaller and possibly shyer fish may show an increased attention to
85 environmental over social cues [3].

86 Third, fishing may directly affect shoal size by targeting large fractions or even entire
87 shoals. Larger shoals are more easily detected, such as through echo sounding used in purse
88 seining fisheries (Box 1). Thus, when detected, fish in larger shoals are more at risk to be
89 captured. Fish of certain species may also be more likely to enter into fishing traps when in
90 larger shoals [11]. Over time such effects can result in a strong reduction of shoal sizes of
91 exploited populations, both as a consequence of fishing mortality as well as by fishing effects
92 on behaviour and the composition of phenotypes in the population, as explained above.

93 Fourth, by intensive fishing, the population size and thereby local density of exploited
94 species tends to be considerably reduced, possibly inducing a series of density-dependent
95 effects on shoal size and cohesion [5]. For example, at low population densities, a reduced
96 encounter rate between individuals is likely to result in a reduction in shoal sizes. Importantly,
97 as the population density of predators and prey tends to be strongly linked, fisheries-induced
98 changes in population density of one trophic level is likely to have repercussions on the density
99 and shoaling behaviour of another trophic level through species interaction effects. A higher
100 predator density is for example expected to increase the shoaling tendency of prey to mitigate
101 the increased risk of predation. Such behavioural responses may be shaped by, or even be
102 reversed due to fisheries-induced changes of shoaling behaviour, which would often involve a
103 decrease, rather than an increase, in shoaling (Box 1).

104

105 **Potential ecological consequences and repercussions for fisheries and conservation**

106 Relative to the strong effects of biomass extraction or age and size-truncation in response to
107 intensive harvesting, fisheries-induced changes of shoaling may only seem of secondary
108 relevance. However, obligate schooling (e.g., small pelagic fish) and shoaling species (e.g.,
109 tunas) have important ecological roles in aquatic food webs and sustain a great part of global
110 fisheries. Hence, the mechanisms described may have notable consequences for ecosystem
111 functioning, and repercussions for fisheries and biodiversity conservation strategies.

112 From an ecological perspective, fisheries-induced changes of shoaling behaviour could
113 elevate natural mortality and thus affect population dynamics [3]. A reduction in shoaling could
114 also weaken predator-prey interactions due to a reduced vulnerability of prey to predators [1].
115 Such effects could be aggravated by additionally targeting shoaling predators (e.g., tunas),
116 because of a possible reduction in their foraging efficiency, thereby modifying trophic flow.
117 Yet, under intense harvesting of larger individuals, fisheries-induced decrease of shoal
118 cohesion could also strengthen predator-prey interactions because prey could become more
119 vulnerable to natural predators [3]. A fisheries-induced reduction of shoal size is more likely
120 for obligate (e.g., small pelagics) than for facultative schooling species, rendering the former
121 considerably harder to find and catch, including by natural predators [4]. Thus, changes of
122 shoaling behaviour and shoal size in response to fishing can affect population dynamics and
123 trophic flow and thereby affect predator-prey interactions and ecosystem functioning.

124 From a socio-economic perspective, specifically a fisheries perspective, a reduction in
125 shoaling could impact catch rates and fish detectability, which will negatively impact the
126 operating costs and yield of commercial fisheries and could reduce the benefits generated by
127 recreational fisheries, as it is dependent on sufficient catch rates, with repercussions for the
128 local economy. [1]. Moreover, mechanisms that maintain group size at declining population
129 density [4, 5] may foster hyperstable catch rates - i.e. catch rates remaining high even at low
130 abundances - thereby increasing the probability of unexpected stock collapses [5]. Importantly,
131 changes in shoaling behaviour may slowly decouple catch rates and stock abundance, which
132 can negatively affect the ability of researchers and managers to assess fish stock status and thus
133 potentially inform wrong management practices and policies. Multispecies and ecosystem
134 models deployed to project fish biomass and inform management actions may suffer from these
135 limitations too.

136

137 **Limitations and future research**

138 Most of the arguments we present are theoretical, or based on empirical evidence generated
139 from small-bodied freshwater fish. The prevalence of the mechanisms and consequences in
140 exploited fish populations are therefore not yet fully known. We specifically lack knowledge
141 for which exploited species and what fishing techniques we can expect the largest impacts on
142 shoaling. A key next step is to collect long-term data of shoaling behaviour of exploited species
143 in the wild from areas differing in fishing pressure, and use experimental and modelling
144 approaches to examine the impacts of different fishing techniques and harvest policies. It would
145 also be valuable to better integrate the local knowledge of fishers into data collection and the
146 interpretation of results (Box 1). Novel empirical findings should be used to inform and
147 calibrate assessment and social-ecological models aimed at understanding and quantifying
148 impacts of fisheries on shoaling across different levels of biological organization, with the
149 ultimate goal to contribute to the sustainable management of fish populations.

150

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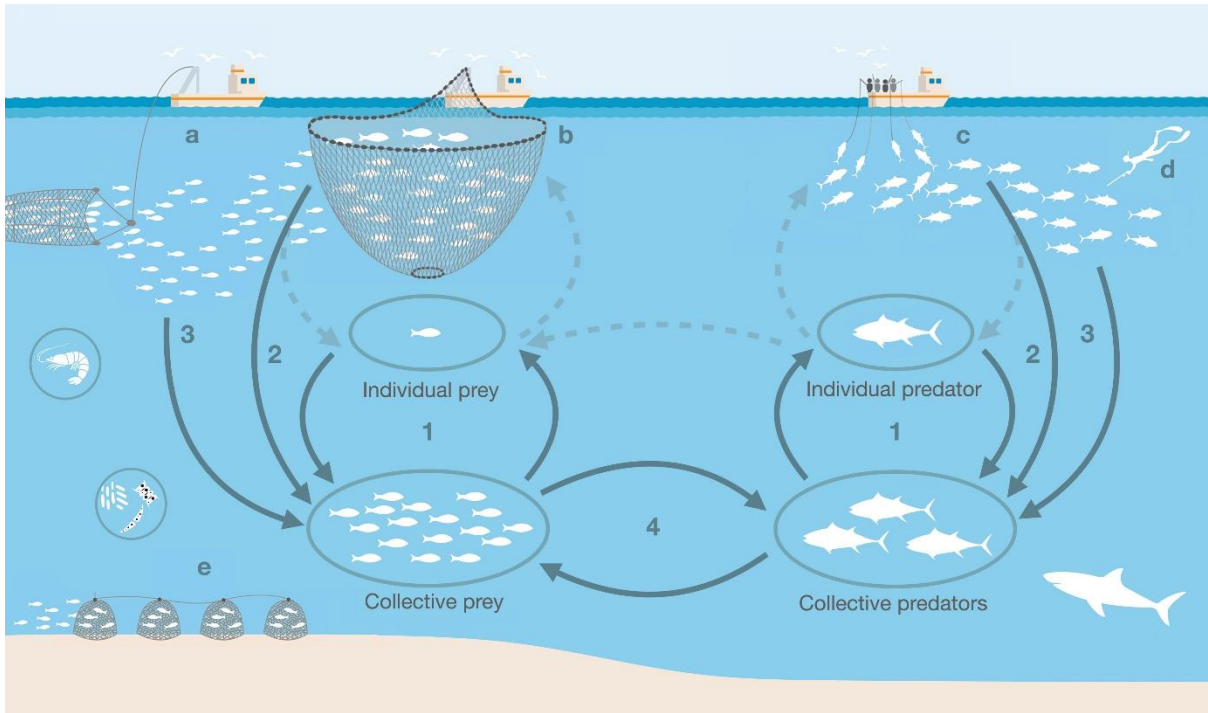
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189

190 **Figure 1. Parallel pathways affecting fisheries-induced changes of shoaling behaviour.** Changes of shoaling
 191 can occur through plastic (e.g. gear avoidance learning), demographic (e.g. changes in age/size composition and
 192 density), and evolutionary processes (e.g. changes of heritable traits). Intensive fishing may change the phenotypic
 193 composition of exploited populations, in particular related to life-history, behavioural, and physiological traits,
 194 such as growth rate, sociability, boldness, swimming capacity and metabolic rate. These changes can in turn
 195 directly impact the shoaling behaviour of both prey and predators (1). Fishing can also directly (2), by targeting
 196 larger shoals, or indirectly (3), by influencing population density, change fish group dynamics. The direction of
 197 such fisheries-induced changes depends on the fishing gear and technique used (a-e). Predictions may be most
 198 feasible for species that are exploited almost exclusively by one fishing gear (e.g. purse seiners in small pelagics
 199 fisheries; Box 1), but the difficulty in monitoring behaviour in natural settings complicates the testing of
 200 predictions in situ. Yet, the majority of fish species is simultaneously exploited by multiple fishing techniques,
 201 whose cumulative effects on shoaling behaviour are still poorly understood. Fisheries-induced changes of shoaling
 202 can affect energy flows within food webs through bottom-up and/or top-down mechanisms that can alter the
 203 functional responses (4). Dashed arrows represent alternative pathways linking shoaling behaviour to fisheries
 204 (e.g. reduction of catchability). Drawings of fishing techniques copyrighted and courtesy of the Marine
 205 Stewardship Council / Steve Rocliffe.

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Box 1. A case study of small pelagics fisheries

In the North-Western Mediterranean, small pelagics such as sardines (*Sardina pilchardus*) and anchovies (*Engraulis encrasicolus*) support purse seine fisheries, Figure I. Over the last decades, biomass and landings of small pelagics in the area have substantially dropped and catches have been increasingly of smaller-sized fish [12]. Anecdotal evidence by fishers suggests that the drop in captures of small pelagics may be related to changes in their behaviour. In particular, fishers are reporting that small pelagics do no longer form as large and cohesive groups as in the past, and are generally less accessible to fishing. Fishers associate these behaviours to the increasing presence of bluefin tuna (*Thunnus thynnus*), whose abundance locally increased after the establishment of effective tuna management measures [12]. In general, risk of predation is expected to trigger increased cohesion rather than prey splitting in smaller and more dispersed shoals [5]. However, the various mechanisms we explain here could underlie the observed changes in shoaling behaviour, link to changes in the phenotypic composition of shoals caused by fisheries, and thereby explain why small pelagics no longer show the expected shoaling behaviour in the presence of tunas.



Figure I: Purse seining fishing of small pelagics. Fisheries-induced changes of shoaling behaviour can make fish hard to locate and capture for fishers with socio-economic repercussions on fisheries and local communities. A) a purse seiner fishing boat hauling in the net. B) imaging of an echo sounder on a purse seiner during small pelagic fishing in the North-Western Mediterranean Sea (light-blue dashed arrows indicate shoals of small pelagics, and red solid arrows indicate tunas). Photos copyrighted and courtesy of the Catalan Institute for Ocean Governance Research / Susana L. Díez González and Josep Palaus.