

# Neurotoxicity Induced by Okadaic Acid in the Human Neuroblastoma SH-SY5Y Line Can Be Differentially Prevented by $\alpha 7$ and $\beta 2^*$ Nicotinic Stimulation

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Received March 2, 2011; accepted June 14, 2011

A good model of neuronal death that reproduces the characteristic tau ( $\tau$ ) hyperphosphorylation of Alzheimer's disease is the use of okadaic acid (OA). The aim of this study was to determine the contribution of  $\alpha 7$  and  $\beta 2^*$  nicotinic acetylcholine receptor (nAChR) subtypes to neuroprotection against OA in the SH-SY5Y cell line by using the selective  $\alpha 7$  and  $\beta 2^*$  nAChR agonists PNU 282987 and 5-Iodo-A85380, respectively. The results of this study show that both  $\alpha 7$  and  $\beta 2^*$  nAChR can afford neuroprotection against OA-induced neurotoxicity. Protection mediated by  $\alpha 7$  nAChRs was independent of  $\text{Ca}^{2+}$  and involved the intracellular signaling pathway Janus Kinase-2/Phosphatidylinositol-3-kinase/Akt. When  $\text{Ca}^{2+}$  entry was promoted through the  $\alpha 7$  nAChR by using the  $\alpha 7$ -selective positive allosteric modulator PNU 120596, protection was lost. By contrast, protection mediated by  $\beta 2^*$  nAChRs was  $\text{Ca}^{2+}$  dependent and implicated the signaling pathways PI3K/Akt and extracellular regulated kinase 1/2. Both  $\alpha 7$  and  $\beta 2^*$  nAChR activation converged on downregulation of GSK-3 $\beta$  and reduction of  $\tau$  phosphorylation in cells undergoing cell death induced by OA. Therefore, targeting nAChR could offer a strategy for reducing neurodegeneration secondary to hyperphosphorylation of protein  $\tau$ .

**Key Words:** okadaic acid; SH-SY5Y neuroblastoma; nicotinic receptors; hyperphosphorylation of tau; PNU 282987; 5IA 85380.

Alzheimer disease (AD) is characterized by a progressive loss of memory, often with deterioration of language as well as deficits in visual and motor coordination. At the cellular level, it has been shown that cell death (Cummings, 2004) occurs especially in cholinergic neurons (Whitehouse *et al.*, 1982) and that this neuronal death is related to the loss of memory in AD

patients (Haass and Selkoe, 2007). At the molecular level, AD is characterized by the presence of tangles rich in the intracellular microtubule-associated protein tau ( $\tau$ ) (Lee and Trojanowski, 1992) and extracellular deposits of amyloid peptides that form senile plaques (Price *et al.*, 1991). A link between these two proteins has been demonstrated because beta-amyloid can trigger  $\tau$  phosphorylation and the subsequent degeneration of the affected neurons (Alvarez *et al.*, 2002; Busciglio *et al.*, 1995; Liu *et al.*, 2004). It has also been shown that beta-amyloid fibrils can induce neurotoxicity and  $\tau$  hyperphosphorylation without plaque formation; it therefore seems that beta-amyloid pathology could precede hyperphosphorylation of  $\tau$  and the formation of neurofibrillary tangles and neurodegeneration (Busciglio *et al.*, 1995)

In this study, we have focused on  $\tau$  hyperphosphorylation as a mechanism for neuronal death (Irizarry *et al.*, 1997; Pizzi *et al.*, 1995). In the brains of AD patients, there is a high percentage of hyperphosphorylated  $\tau$  protein (Avila, 2000) and an accumulation, in certain neurons, of paired helical filaments (PHF) in which hyperphosphorylated  $\tau$  is the major protein present (Grundke-Iqbal *et al.*, 1986, 1988). Destabilization of microtubules and the consequent decrease in axonal transport is believed to be responsible for retrograde degeneration of neurons in AD (Alonso *et al.*, 1994, 1997; De Vos *et al.*, 2008) and also for cell death in “*in vitro*” models of  $\tau$  hyperphosphorylation (Cowan *et al.*, 2010; Perez *et al.*, 2002; Tanaka *et al.*, 1998). Inhibition of phosphatases that act on some abnormally phosphorylated sites characteristic of AD (Gong *et al.*, 1994) may also lead to  $\tau$  protein hyperphosphorylation (Tanaka *et al.*, 1998). These phosphatases include the Ser/Thr PP1, PP2A, and PP2B (Gong *et al.*, 1994; Wang *et al.*, 1995), and their activity

has been shown to be diminished in brain tissue from AD patients (Gong *et al.*, 1995, 1993).

Okadaic acid (OA), a seaweed toxin, inhibits phosphatases with the preference PP2A > PP1 > PP2B. A consequence of its action is  $\tau$  hyperphosphorylation “*in vivo*” (Tian *et al.*, 2004) and *in vitro* (Tanaka *et al.*, 1998; Uberti *et al.*, 1997). It therefore provides a good model that reproduces  $\tau$  hyperphosphorylation and cell death. In this study, we have used OA in the human neuroblastoma cell line SH-SY5Y to model aspects of cell damage relevant to AD (Arias *et al.*, 2005; Cowan *et al.*, 2010.; Perez *et al.*, 2002; Tanaka *et al.*, 1998), in order to investigate neuroprotective strategies.

There is a body of evidence for nicotinic acetylcholine receptors (nAChRs) exerting neuroprotective effects against a variety of insults (Dajas-Bailador and Wonnacott, 2004; Picciotto and Zoli, 2008). With respect to AD, nicotinic agonists have been reported to be effective against A $\beta$ -induced toxicity *in vivo* and *in vitro* (Arias *et al.*, 2005; Kihara *et al.*, 1998). Their efficacy in  $\tau$  hyperphosphorylation models is less well documented.

The majority of studies have highlighted the  $\alpha 7$  nAChR as the main nAChR subtype responsible for neuroprotective effects mediated by nicotinic agonists (Arias *et al.*, 2005; Bitner *et al.*, 2009; Haydar and Dunlop, 2010). However, non- $\alpha 7$  nAChR subtypes have also been found to contribute to neuroprotection (Tizabi *et al.*, 2004), depending on the cell type and toxic stimulus. Because the roles of different nAChR subtypes are not fully understood, we have exploited subtype-selective ligands, namely, the  $\alpha 7$  nAChR agonist PNU 282987 (Bodnar *et al.*, 2005), the  $\beta^*$ -selective agonist 5-Iodo-A-85380 (5IA 85380) (Mukhin *et al.*, 2000), and the  $\alpha 7$  nAChR-positive allosteric modulator PNU 120596 (Hurst *et al.*, 2005) in this study

The results demonstrated nAChR-mediated neuroprotection against OA. Protection mediated by  $\alpha 7$  nAChRs was independent of  $\text{Ca}^{2+}$  and involved the intracellular signaling pathway JAK2/PI3K/Akt. Sustained activation of  $\alpha 7$  nAChR to promote  $\text{Ca}^{2+}$  entry was achieved with the positive allosteric modulator, but under these conditions, protection was lost. In contrast, protection mediated by  $\beta 2^*$  nAChRs was  $\text{Ca}^{2+}$  dependent and implicated the signaling pathways PI3K/Akt and extracellular regulated kinase 1/2 (ERK 1/2). Both  $\alpha 7$  and  $\beta 2^*$  nAChR activation converged on the inhibition of GSK-3 $\beta$  and consequently  $\tau$  phosphorylation to afford neuroprotection.

## MATERIALS AND METHODS

**Materials.** PNU 282987, 5IA 85380, PNU 120596, AG-490, LY 294002, and PD 98059 were purchased from Tocris Cookson Inc. (Bristol, UK). OA,  $\alpha$ -bungarotoxin (BGT), dihydro- $\beta$ -erythroidine (DH $\beta$ E), methyllycaconitine (MLA), mecamlamine, and AR-A01448 were purchased from Sigma-Aldrich (St Louis, MO).  $\alpha$ -Conotoxin MII and  $\alpha$ -conotoxin AuIB were synthesized as previously described (Luo *et al.*, 1998). Alpha-conotoxin AuIB selectively blocks  $\alpha 3\beta 4$  nAChRs and nicotine-evoked norepinephrine release (Luo *et al.*, 1998).

**Culture of SH-SY5Y cells.** SH-SY5Y cells were maintained in a 1:1 mixture of F-12 Nutrient Mixture (Ham12) (Sigma-Aldrich, Madrid, Spain) and

Eagle's minimum essential medium (EMEM) supplemented with 15 non-essential amino acids, 1mM sodium pyruvate, 10% heat-inactivated fetal bovine serum (FBS), 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin (reagents from Invitrogen, Madrid, Spain). Cultures were seeded into flasks containing supplemented medium and maintained at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> and 95% air. For assays, SH-SY5Y cells were subcultured in 48-well plates at a seeding density of  $1 \times 10^5$  cells per well. Cells were treated with the drugs before confluence in F-12/EMEM with 1% FBS. These cells, when undifferentiated, express functional nicotinic receptors (Dajas-Bailador *et al.*, 2002a). All cells used in this study were used at a low passage number (< 13).

**Measurement of lactate dehydrogenase activity.** Extracellular and intracellular lactate dehydrogenase (LDH) activity was measured spectrophotometrically using a cytotoxicity cell death kit (Roche Diagnostics, Mannheim, Germany) according to the manufacturer's instructions. Total LDH activity was defined as the sum of intracellular and extracellular LDH activity; released LDH was defined as the percentage of extracellular compared with total LDH activity.

**Calcium fluorimetry.** Increases in intracellular  $\text{Ca}^{2+}$  in confluent cultures of SH-SY5Y cells grown in 96-well plates were monitored as described by Dajas-Bailador *et al.* (2002a). In brief, SH-SY5Y cells were washed twice with Tyrode's salt solution (TSS: in mM 137 NaCl, 2.7 KCl, 1.0 MgCl<sub>2</sub>, 2.5 CaCl<sub>2</sub>, 0.2 NaH<sub>2</sub>PO<sub>4</sub>, 12 NaHCO<sub>3</sub>, and 5.5 glucose; pH 7.4) and incubated with the membrane-permeable  $\text{Ca}^{2+}$ -sensitive dye fluo-3 acetoxymethyl ester (10 $\mu$ M) and 0.02% pluronic F127 for 1 h at room temperature in the dark. Cells were then washed twice with TSS before adding 80  $\mu$ l buffer, with or without nAChR antagonists or PNU 120596, per well. After 10 min, basal fluorescence (excitation 485 nm and emission 520 nm) was recorded for 4 s using a Fluoroskan Ascent fluorescence plate reader (Labsystems, Helsinki, Finland). nAChR agonists (20  $\mu$ l) were added using an automatic dispenser, and changes in fluorescence were monitored for a further 10 s. To normalize fluo-3 AM signals, responses from each well were calibrated by determination of the maximum and minimum fluorescence values by addition of 0.2% Triton-X100 ( $F_{\text{max}}$ ) followed by 40mM MnCl<sub>2</sub> ( $F_{\text{min}}$ ). Maximum fluorescence responses were calculated as a percentage of  $F_{\text{max}} - F_{\text{min}}$ .

**Immunoblotting.** SH-SY5Y cells were washed once with cold PBS and lysed in 100  $\mu$ l ice-cold lysis buffer (1% Nonidet P-40, 10% glycerol, 137 mmol/l NaCl, 20 mmol/l Tris-HCl, pH 7.5, 1  $\mu$ g/ml leupeptin, 1 mmol/l phenylmethylsulfonyl fluoride, 20 mmol/l NaF, 1 mmol/l sodium pyrophosphate, and 1 mmol/l Na<sub>3</sub>VO<sub>4</sub>). Protein (30  $\mu$ g) from the cell lysates was resolved by sodium dodecyl sulfate-polyacrylamide gel electrophoresis and transferred to polyvinylidene fluoride membranes (Amersham). Membranes were incubated with the following antibodies: anti-ERK 1/2 and anti-phospho-ERK 1/2 at 1:1000 (Santa Cruz Biotechnology Inc., Heidelberg, Germany); anti-JAK2, anti-phospho-Tyr-211-JAK2, anti-phospho-Thr-308-Akt, anti-Akt, anti-phospho-Ser-9-GSK3- $\beta$ , anti-phospho-Tyr-216-GSK3- $\beta$ , and anti-GSK-3 $\beta$  at 1:1000 (Cell Signaling, Izasa S.A., Barcelona, Spain); and anti- $\beta$ -actin at 1:100,000 (Sigma). Membranes were also incubated with anti-phospho-Ser-396/404- $\tau$  and anti- $\tau$  at 1:1000, which were previously used by Lucas *et al.* (2001). Appropriate peroxidase-conjugated secondary antibodies at 1:10,000 were used to detect proteins by enhanced chemiluminescence.

**Cytoskeleton staining.** SH-SY5Y cells were plated on coverslips: 24–48 h after plating the cells, they were separated into four groups: two were treated with EMEM, one with 10 $\mu$ M PNU 282987, and another one with 100 $\mu$ M 5IA 85380 for 24 h. Then, the control group was maintained in EMEM, and the rest of the groups were treated with OA for 5 h. After exposure of the cells to the different treatments, they were rinsed three times with PBS (9g/l NaCl, 10mM NaH<sub>2</sub>PO<sub>4</sub>, 10mM K<sub>2</sub>HPO<sub>4</sub>). Then, they were fixed in 2% paraformaldehyde in PBS for 15 min and washed three more times with PBS. Cells were permeabilized by exposure to 0.1% triton in PBS for 1 min. Preparations were washed several times with PBS and then incubated at room temperature with rhodamine-labeled 1:1000 phalloidin (Sigma-Aldrich) in PBS. Coverslips were then thoroughly washed with PBS. In the second rinsing, Hoechst (Invitrogen)

was added (1  $\mu\text{M}$ ) in order to mark nuclei, and after the third rinsing, the coverslips were mounted in glycerol-PBS (1:1; vol/vol). Images were taken with a confocal microscope (TCS SPE; Leica, Wetzlar, Germany).

**Statistical analysis.** Statistically significant differences between groups were determined by an analysis of variance followed by a Newman-Keuls *post hoc* analysis. The level of statistical significance was taken at  $p < 0.05$ .

## RESULTS

### Effect of Different Nicotinic Agonists and the $\alpha 7$ Allosteric Modulator PNU 120596 on OA-Induced Toxicity

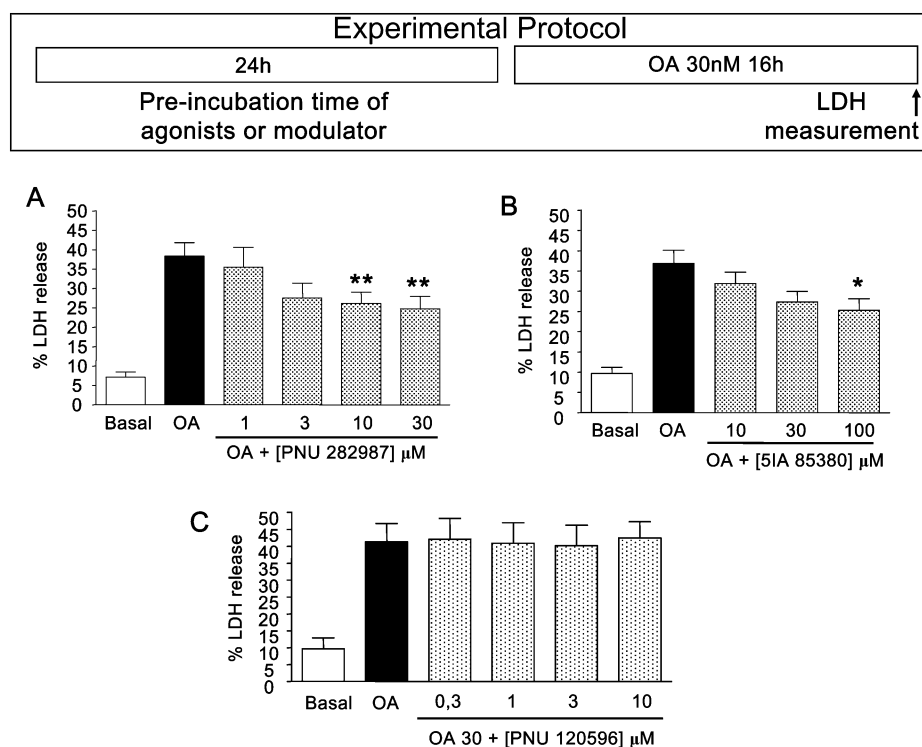
Exposure of SH-SY5Y cells to 30nM OA for 16 h increased LDH release from 7–10% (basal conditions) to 36–42% (OA treatment), consistent with the induction of modest levels of cell death (Fig. 1). To determine if nAChRs are able to protect against OA-induced toxicity, we incubated SH-SY5Y cells with either the  $\alpha 7$ - or  $\beta 2^*$ -selective agonist PNU 282987 (Parada *et al.*, 2010) or 5IA 85380, respectively, or with the  $\alpha 7$  allosteric modulator PNU 120596. With preincubation increasing concentrations of PNU 282987, for 24 h before the addition of OA (see protocol at the top of Fig. 1), we observed a gradual reduction in cell death measured as LDH released into the extracellular medium. PNU 282987 exerted a signifi-

cant but partial protection against OA-induced toxicity at 10 and 30  $\mu\text{M}$  (Fig. 1A). 5IA 85380 also produced significant protection at 100  $\mu\text{M}$  (Fig. 1B). The  $\alpha 7$ -positive allosteric modulator PNU 120596 alone showed no protection at any of the concentration assayed (0.3–10  $\mu\text{M}$ ) (Fig. 1C).

### Intracellular $\text{Ca}^{2+}$ Increases Mediated by PNU 282987, 5IA 85380, and PNU 120596 Plus PNU 282987

SH-SY5Y cells express  $\alpha 3$ ,  $\alpha 5$ ,  $\alpha 7$ ,  $\beta 2$ , and  $\beta 4$  nAChR subunits (Gentry and Lukas, 2002; Peng *et al.*, 1994). In order to determine the effects of PNU 282987 and 5IA 85380 on intracellular  $\text{Ca}^{2+}$  levels and their selectivity on nAChR subtypes, we measured  $\text{Ca}^{2+}$  signals in SH-SY5Y cells loaded with the dye fluo-3. For these experiments, we employed concentrations of the agonists that showed protection in the previous section in order to gather information about the possible neuroprotective mechanisms of action.

PNU 282987 alone did not induce a significant increase in  $[\text{Ca}^{2+}]_c$  at the neuroprotective concentration of 10  $\mu\text{M}$ . However, when the agonist was added to cells preincubated with the allosteric modulator PNU 120596, a significant increase in fluorescence was observed, consistent with increased cytosolic  $\text{Ca}^{2+}$ . These  $[\text{Ca}^{2+}]_c$  increases were mediated



**FIG. 1.** Protective effects mediated by the nicotinic agonists PNU 282987 and 5IA 85380 and the  $\alpha 7$  allosteric modulator PNU 120596 against OA-induced toxicity. Cells were pretreated for 24 h with increasing concentrations of the  $\alpha 7$  nicotinic agonist PNU 282987, the  $\beta 2^*$  nicotinic agonist 5IA 85380, or the  $\alpha 7$ -positive allosteric modulator PNU 120596. Thereafter, SH-SY5Y were incubated for 16 h with 30nM OA, and at the end of the experiment, cell death was evaluated by measuring the release of LDH to the extracellular medium (see top of the figure). PNU 282987 (A) and 5IA 85380 (B) offered protection against OA-induced toxicity; however, the  $\alpha 7$  allosteric modulator PNU 120596 did not (C). The data represent means  $\pm$  SEM of triplicates of at least four different batches of cells. \*  $p < 0.05$  and \*\* $p < 0.01$  with respect to OA alone.

by activation of nAChR because the signal was significantly attenuated by the nonselective nAChR antagonist mecamylamine. In particular, these  $\text{Ca}^{2+}$  signals could be attributed to stimulation of the  $\alpha 7$  subtype nAChR because the selective  $\alpha 7$  nAChR antagonists  $\alpha$ BGT and MLA completely inhibited the increases in fluorescence (Fig. 2A).

5IA 85380, at the protective concentration of  $100\mu\text{M}$ , produced a significant intracellular  $\text{Ca}^{2+}$  signal by itself (Fig. 2B). Selective  $\beta 2^*$  antagonists such as DH $\beta$ E and  $\alpha$ -conotoxin MII or mecamylamine were able to significantly block  $\text{Ca}^{2+}$  signals induced by 5IA 85380. However,  $\alpha 3\beta 4$  ( $\alpha$ -conotoxin AuIB) or  $\alpha 7$  (BGT) antagonists did not modify the  $[\text{Ca}^{2+}]_c$  responses mediated by 5IA 85380.

Therefore, under these experimental conditions, 5IA 85380 appears to be activating heteromeric  $\beta 2$ -containing nAChRs, whereas PNU 282987 is activating  $\alpha 7$  nAChRs.

#### $\text{Ca}^{2+}$ Dependence in the Protective Effects of 5IA 85380 and PNU 282987

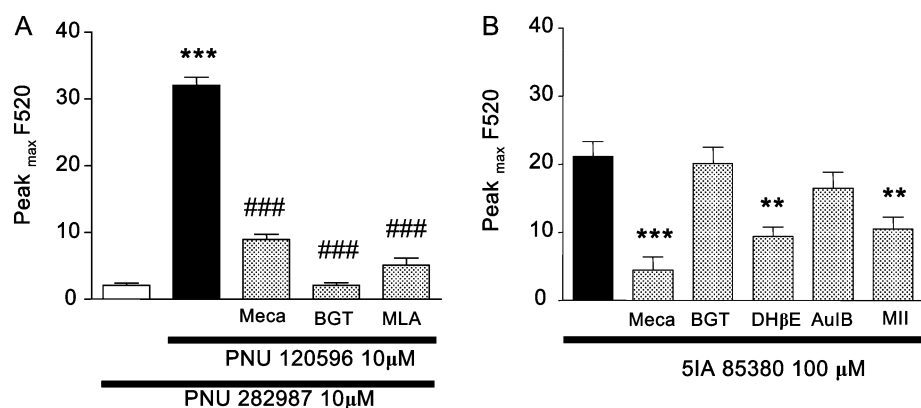
To determine the influence of  $\text{Ca}^{2+}$  entry mediated by  $\alpha 7$  and  $\beta 2^*$  nAChRs in the neuroprotective effects afforded by PNU 282987 and 5IA 85380, we performed experiments in the absence or presence of extracellular  $\text{Ca}^{2+}$ . Because prolonged lack of extracellular  $\text{Ca}^{2+}$  can be a toxic stimulus per se, we first performed a time course to determine the minimum time of agonist preincubation required to achieve the maximal protective effect (see protocol on top of Fig. 3).

As Figure 3 illustrates, PNU 282987 reduced LDH release in cells injured with OA in a time-dependent manner; cell death was significantly reduced following only 5 min incubation with the agonist, 24 h before exposing the cells to 16 h to OA (Fig. 3A). In the case of 5IA 85380, protection was significant following 1 h preincubation (Fig. 3B). For comparability, we

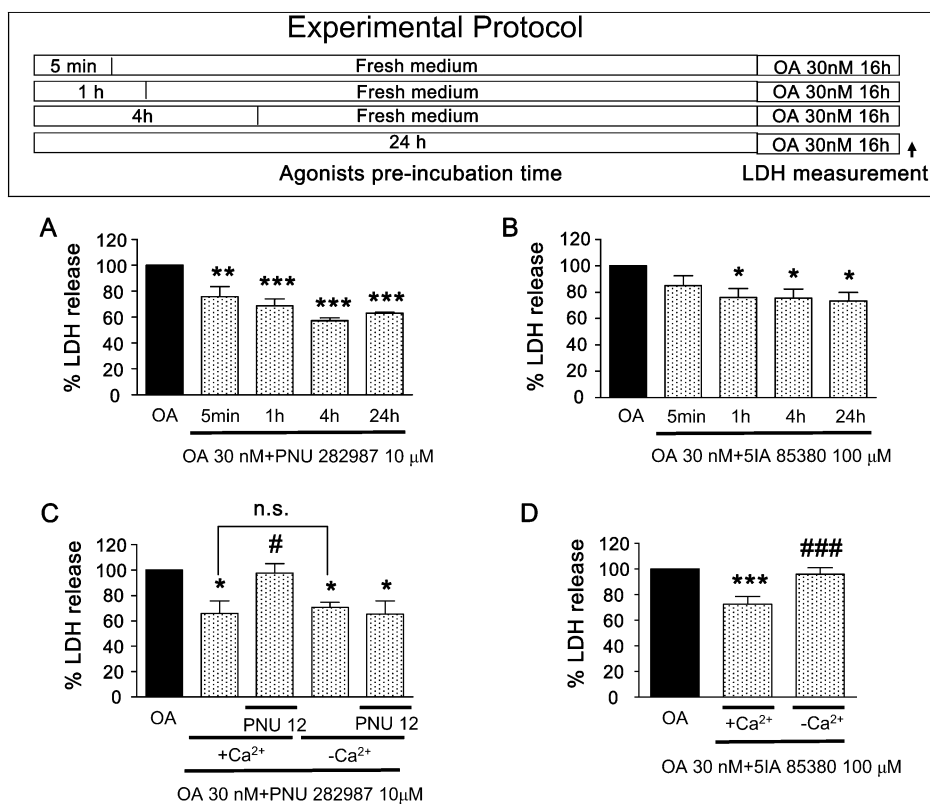
selected a 4-h preincubation period for both agonists because this length of exposure produced a level of protection similar to that seen following 24 h preincubation. The presence or absence of extracellular  $\text{Ca}^{2+}$  was maintained only during the 4-h exposure to the nicotinic agonist; thereafter, the medium was replaced with fresh EMEM containing  $\text{Ca}^{2+}$  for 20 h, and OA was then added for 16 h. The results show that protection mediated by 5IA 85380 was dependent on extracellular  $\text{Ca}^{2+}$  (Fig. 3D), whereas that induced by PNU 282987 was not (Fig. 3C). Moreover, when cells were pre- and coincubated with the  $\alpha 7$  allosteric modulator PNU 120596 in the presence of  $\text{Ca}^{2+}$ , the neuroprotective effect of PNU 282987 was lost (Fig. 3C). Finally, in the absence of extracellular  $\text{Ca}^{2+}$ , PNU 120596 did not reverse the neuroprotection mediated by PNU 282987 (Fig. 3C). These results suggest that  $\text{Ca}^{2+}$  entry via  $\beta 2^*$  nAChR activation is required to afford a neuroprotective effect. However, in the case of the  $\alpha 7$  nAChR, PNU 282987 exerted a  $\text{Ca}^{2+}$ -independent neuroprotective effect. Furthermore, as shown by the experiments with the  $\alpha 7$  agonist in the presence of the  $\alpha 7$  nAChR allosteric modulator that promotes  $\text{Ca}^{2+}$  entry through the  $\alpha 7$  nAChR subtype (Fig. 2A), protection was lost in a  $\text{Ca}^{2+}$ -dependent way (Fig. 3C).

#### JAK2 Is Involved in Protection Mediated by $\alpha 7$ but Not by $\beta 2^*$ nAChRs

JAK2 is a protein that can be physically associated with  $\alpha 7$  nAChRs and activated when nicotine binds to this receptor subtype (Shaw *et al.*, 2002). To determine if  $\alpha 7$  and/or  $\beta 2^*$  nAChR subtype stimulation could activate this kinase under our experimental conditions, SH-SY5Y cells were incubated with  $10\mu\text{M}$  PNU 282987,  $10\mu\text{M}$  PNU 282987, plus  $10\mu\text{M}$  PNU 120596 or  $100\mu\text{M}$  5IA 85380 for different times (5, 15, and 30 min and 1 or 24 h) and JAK2 phosphorylation was then analyzed



**FIG. 2.** Intracellular  $\text{Ca}^{2+}$  increases mediated by the nicotinic agonists PNU 282987 and 5IA 85380 in SH-SY5Y cells loaded with fluo-3. (A) SH-SY5Y cells were stimulated with  $10\mu\text{M}$  PNU 282987 alone or in cells preincubated during 15 min with the  $\alpha 7$  allosteric modulator PNU 120596 ( $10\mu\text{M}$ ); PNU 120596 was also coincubated with various nicotinic antagonists such as mecamylamine ( $30\mu\text{M}$  “Meca”), “BGT” ( $100\text{nM}$ ), or “MLA” ( $100\text{nM}$ ). (B) Cytosolic  $\text{Ca}^{2+}$  increases induced by 5IA 85380 at  $100\mu\text{M}$  alone or in the presence of the nicotinic antagonist  $30\mu\text{M}$  Meca,  $100\text{nM}$  BGT,  $\alpha$ -conotoxin AuIB ( $10\mu\text{M}$  “AuIB”), dihydro- $\beta$ -eritroidine ( $10\mu\text{M}$  “DH $\beta$ E”), or  $\alpha$ -conotoxin MII ( $200\text{nM}$  “MII”). The data represent the average of maximum fluorescence increases during 10 s after the implementation of  $10\mu\text{M}$  PNU 282987 (A) or  $100\mu\text{M}$  5IA 85380 (B). Experiments were conducted in triplicate on at least six different batches of cells.  $***p < 0.001$  with respect to PNU 282987 alone (A) or with respect to 5IA 85380 (B);  $###p < 0.001$  with respect to PNU 282987 + PNU 120596 (B);  $**p < 0.01$  with respect to 5IA 85380 (B).



**FIG. 3.** Time and  $\text{Ca}^{2+}$  dependence of cytoprotection mediated by PNU 282987 and 5IA 85380. As shown in the upper panel, cells were incubated with PNU 282987 (10 $\mu\text{M}$ ) (A) or 5IA 85380 (100 $\mu\text{M}$ ) (B) during 5 min, 1 h, 4 h, or 24 h, and then the agonist was removed, and fresh EMEM was added until to complete 24 h; after this period, EMEM was replaced by OA (30nM) for 16 h. At the end of the experiment, cell death was evaluated by LDH. Figures (C) and (D) show the mean of LDH values when agonists were preincubated for 4 h in the presence or absence of extracellular  $\text{Ca}^{2+}$  using the same protocol described above. PNU 120596 (10 $\mu\text{M}$  ‘‘PNU 12’’) was preincubated for 15 min and coincubated during the application of PNU 282987 (C). Data represent the mean of LDH values normalized with respect to OA alone  $\pm$  SEM of triplicates of at least six different cultures. \* $p$  < 0.05, \*\* $p$  < 0.01, and \*\*\* $p$  < 0.001 with respect to OA; # $p$  < 0.05 and #### $p$  < 0.001 with respect to the agonists plus OA.

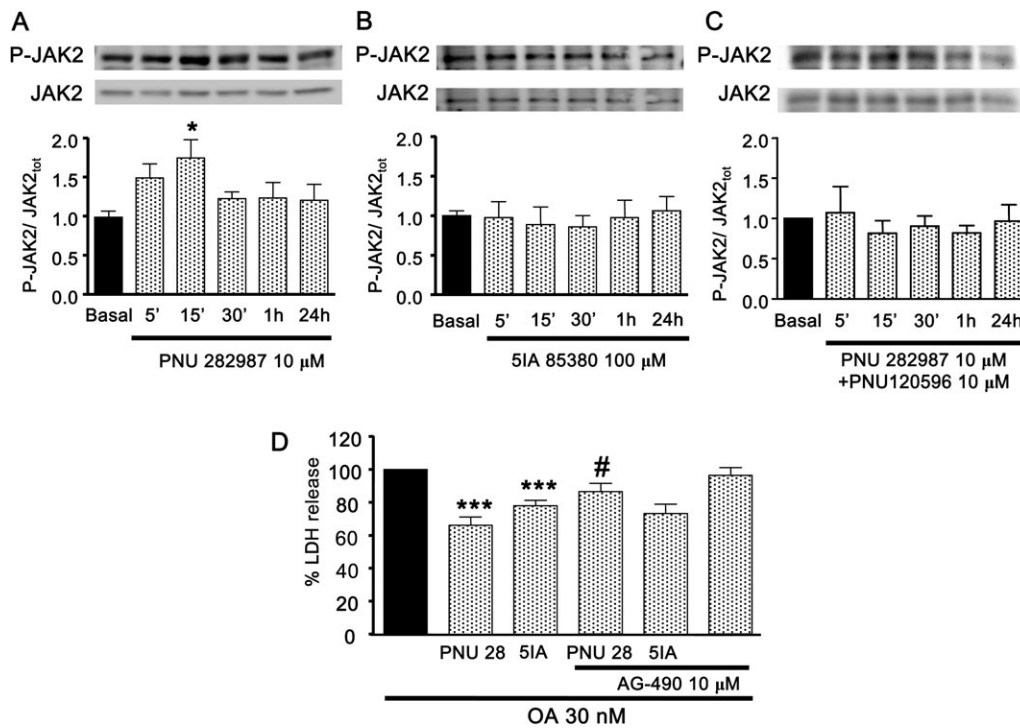
by Western blotting. Cells treated with 10 $\mu\text{M}$  PNU 282987 showed maximally phosphorylated JAK2 after 15 min incubation; thereafter, phospho-JAK2 decreased to basal levels (Fig. 4A). In contrast, 5IA 85380 failed to promote the activation of JAK2 at any of the times tested (Fig. 4B). Also, no alteration in phospho-JAK2 levels was observed when the  $\alpha 7$  agonist was incubated in the presence of the  $\alpha 7$  allosteric modulator (Fig. 4C).

JAK2 has been previously shown to be involved in neuroprotection induced by nicotine via  $\alpha 7$  nAChR stimulation in neurons injured with  $\text{A}\beta_{1-42}$  (Shaw *et al.*, 2002). For this reason and because the  $\alpha 7$ -selective agonist increased JAK2 phosphorylation, we evaluated if the protective effect of PNU 282987 against OA would be lost in the presence of a JAK2 inhibitor, AG-490. To corroborate that JAK2 activation was linked with its action on the  $\alpha 7$  and not with the  $\beta 2^*$  nAChRs, we also included 5IA 85380 in these experiments. As shown in Figure 4D, the protective effect of PNU 282987 was completely prevented by pre- (15 min before) and coincubation with AG-490, but this compound did not affect protection afforded by 5IA 85380. Therefore, these results indicate that JAK2 is linked to  $\alpha 7$  nAChR-mediated survival. Moreover, the presence of the  $\alpha 7$  allosteric modulator prevented PNU 282987–

induced JAK phosphorylation (Fig. 4C), and this result correlates with the loss of protection under the same drug treatment conditions (see Fig. 1C).

#### Implication of PI3K/Akt in the Neuroprotective Effect Mediated by $\alpha 7$ and $\beta 2^*$ nAChR Stimulation

Multiple nicotinic agonists cause activation of PI3K/Akt (Kihara *et al.*, 2001). PI3K/Akt is known to be one of the targets of JAK2 (Shaw *et al.*, 2002). To investigate if exposure to  $\alpha 7$  and  $\beta 2^*$  nAChR agonists led to Akt activation, we measured Akt phosphorylation by Western blot analysis. Incubation with PNU 282987 or 5IA 85380 produced a statistically significant activation of Akt at 5 and 15 min for both agonists (Figs. 5A and 5B). To determine if protection afforded by  $\alpha 7$  and  $\beta 2^*$  nAChR was dependent on the PI3K/Akt pathway, cells were pre- (15 min before) and coincubated with a PI3K inhibitor, LY 294002 (3 $\mu\text{M}$ ). Protection elicited by PNU 282987 and 5IA 85380 against OA toxicity was prevented by the inhibitor LY 294002 (Fig. 5C). Taken together, these results indicate that protection obtained with both nicotinic agonists is dependent on activation of PI3K/Akt.



**FIG. 4.** Differential phosphorylation and implication of JAK2 in neuroprotection mediated by PNU 282987 and 5IA 85380. PNU 282987 (A), 5IA 85380 (B), and PNU 282987 plus the allosteric modulator PNU 120596 were incubated during different time periods (5, 15, and 30 min and 1 and 24 h); at the end, P-JAK2 (immunoblots on the top) and JAK2 total (immunoblots at the bottom) were quantified by Western blot. (D) Represents the effect of the JAK2 inhibitor, AG-490, on the neuroprotective action of 10 $\mu$ M PNU 282987 (“PNU 28”) and 100 $\mu$ M 5IA 85380 (“5IA”). Data are the mean  $\pm$  SEM of at least four different cultures; \* $p$  < 0.05 with respect to the basal (A); \*\*\* $p$  < 0.001 with respect to OA alone and # $p$  < 0.05 with respect to PNU 282987 (B).

#### *ERK 1/2 Is Involved in the Neuroprotective Effect Mediated by 5IA 85380 but Not by PNU 282987*

The mitogen-activated protein kinase (MAPK) intracellular pathway has also been implicated in neuroprotection processes mediated by nAChR activation (Egea *et al.*, 2007). To assess if PNU 282987 and/or 5IA 85380 were able to activate ERK 1/2, we measured the phosphorylation of these kinases by Western blot. As shown in Figure 6A, 10 $\mu$ M PNU 282987 by itself did not produce ERK 1/2 phosphorylation at any of the time intervals studied. However, 5IA 85380 significantly increased the level of P-ERK 1/2 after 15 min (Fig. 6B). To corroborate the involvement of MAPK activation in the neuroprotective effect mediated by 5IA 85380 and not by PNU 282987, we employed an MEK inhibitor, PD 98059. As shown in Figure 6C, the presence of PD 98059 completely inhibited the protection induced by 5IA 85380 but had no effect on that of PNU 282987. From these results, it can be concluded that MEK participates in the neuroprotective effect mediated by  $\beta$ 2\* but not by  $\alpha$ 7 nAChR stimulation.

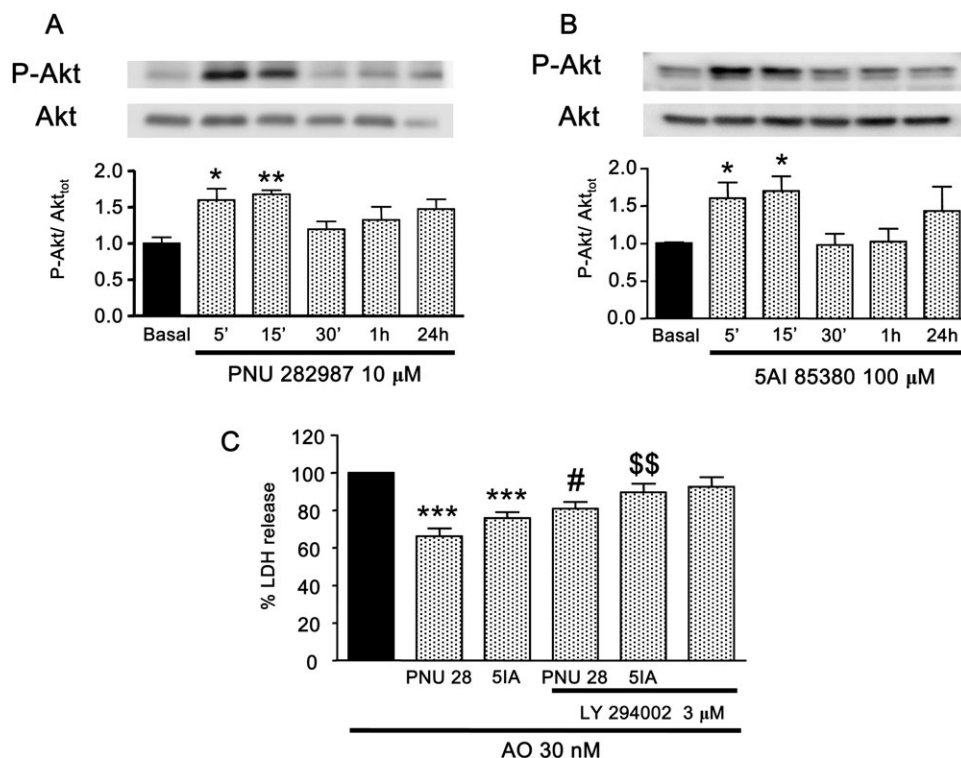
#### *Involvement of GSK-3 $\beta$ in Neuroprotection Induced by $\alpha$ 7 and $\beta$ 2\* nAChR*

GSK-3 $\beta$  is a substrate of Akt. Akt phosphorylates GSK-3 $\beta$  at position Ser-9, and this phosphorylation inactivates GSK-3 $\beta$  (Stambolic and Woodgett, 1994). On the other hand, phosphorylation at Tyr-216 has the opposite effect and

activates GSK-3 $\beta$ ; however, the kinases responsible for this phosphorylation are not well characterized (Cole *et al.*, 2004; Hughes *et al.* 1993; Wang *et al.* 1994). We therefore measured nAChR agonist-induced GSK-3 $\beta$  phosphorylation by Western blot. As shown in Figure 7, both PNU 282987 and 5IA 85380 were able to increase Ser-9 phosphorylation after 15 and 5 min incubation, respectively (Figs. 7A and 7B). Subsequently, this phosphorylation decreased over time, and after 24 h, it was not statistically significantly different from OA control. P-Tyr 216-GSK-3 $\beta$  and total GSK-3 $\beta$  levels were not altered at any of the incubation times (data not shown).

To determine if GSK-3 $\beta$  inhibition could be involved in the protection mediated by PNU 282987 and 5IA 85380, we conducted a neuroprotection experiment in the presence or absence of a GSK-3 $\beta$  inhibitor, AR-A014418. Figure 7C shows how AR-A014418 alone produced protection against OA and such protection was not statistically different from that obtained with the nicotinic agonists alone. Because the combination of nAChR agonists plus AR-A014418 did not afford a greater neuroprotective effect, we deduce that both agonists were acting through a common mechanism, which involves a transient inactivation of GSK-3 $\beta$ .

To further investigate how nicotinic agonists and the GSK-3 $\beta$  inhibitor, preincubated for 24 h before adding OA, induced neuroprotection, we evaluated the activation and the expression



**FIG. 5.** Phosphorylation of Akt and implication of PI3K in neuroprotection mediated by PNU 282987 and 5IA 85380. Akt phosphorylation by 10μM PNU 282987 (A) and 100μM 5IA 85380 (B) at different incubation periods. (C) Shows the effect of the PI3K inhibitor 3μM LY 294002 on the neuroprotection mediated by 10μM PNU 282987 (“PNU 28”) and 100μM 5IA 85380 (“5IA”) against OA-induced toxicity. Each value is a mean of at least four different cell cultures  $\pm$  SEM. \* $p < 0.05$  and \*\* $p < 0.01$  with respect to the basal in figures (A) and (B); \*\*\* $p < 0.001$  with respect to OA alone, # $p < 0.05$  with respect to PNU 282987, and \$\$ $p < 0.01$  with respect to 5IA 85380 (C).

of GSK-3 $\beta$  at the end of the experiment, i.e., after OA treatment. Western blot analysis of GSK-3 $\beta$  was carried out after OA exposure for 16 h alone or in the presence of the neuroprotective compounds. As shown in Figure 8A, the expression of total GSK-3 $\beta$  increased after OA treatment, and nicotinic agonists prevented this increased expression to almost basal levels (Fig. 8A); a similar effect was obtained with the GSK-3 $\beta$  antagonist AR-A014418. Interestingly, OA produced a significant increase of total P-Tyr 216 that was also significantly reduced by the nicotinic agonists and AR-A014418 (Fig. 8B).

#### $\alpha 7$ and $\beta 2^*$ Nicotinic Agonists Reduced $\tau$ Hyperphosphorylation

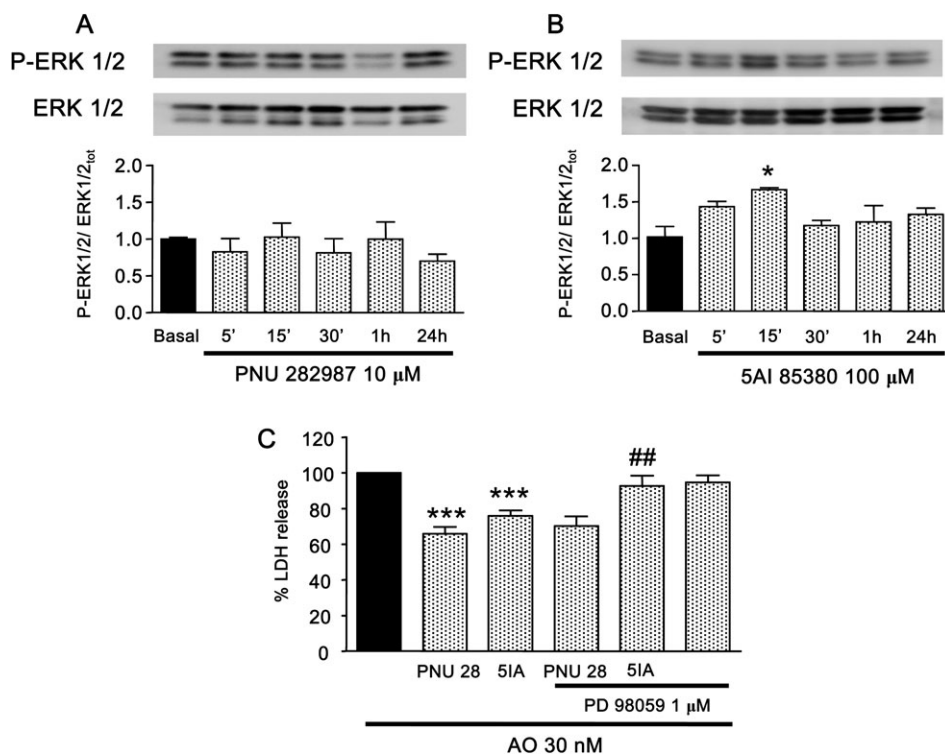
As previously mentioned, OA is an inhibitor of phosphatases that augments  $\tau$  hyperphosphorylation. In addition, one of the kinases involved in  $\tau$  phosphorylation is GSK-3 $\beta$ , whose target in the  $\tau$  substrate is Ser-396/404 (Cho and Johnson, 2004). The question of how GSK-3 $\beta$  activity affected the phosphorylation on  $\tau$  Ser-396/404 residue was raised. After OA treatment for 16 h in the presence or absence of PNU 282987, 5IA 85380, and AR-A014418, we measured Ser-396/404  $\tau$  phosphorylation by Western blot. As shown in Figure 9, PNU 282987, 5IA 85380,

and AR-A014418 significantly reduced  $\tau$  phosphorylation induced by OA on its Ser-396/404 residue.

#### Effect of $\alpha 7$ and $\beta 2^*$ Nicotinic Agonists on the Cytoskeleton Alterations Caused by OA

OA, besides causing hyperphosphorylation of  $\tau$ , induces changes in the cytoskeleton that are identified with strong retraction and rounding in cell morphology (Cabado *et al.*, 2004; Vale and Botana, 2008; Yoon *et al.*, 2008). Therefore, we also analyzed how treatment of the cells with nicotinic agonists could modify the changes in the cytoskeleton induced by OA treatment.

As shown in Figure 10, control cells exposed only to EMEM (Fig. 10A) showed a fibrous cytoarchitecture with a well extended and branched morphology. OA-treated cells showed a retracted morphology with almost no neurites (Fig. 10B). When cells were preincubated for 24 h with PNU 282987 (10μM) (Fig. 10C) or 5IA 85380 (100μM) (Fig. 10D) before adding OA, we observed a partial recovery of the fibrous and branched cytoarchitecture as observed in intact cells. This partial recovery of the cytoarchitecture correlates with the partial neuroprotective effects observed when measured as LDH release (Figs. 1A and 1B).



**FIG. 6.** Phosphorylation of ERK 1/2 and implication of MEK in neuroprotection mediated by PNU 282987 and 5IA 85380. ERK 1/2 phosphorylation by 10μM PNU 282987 (A) and 100μM 5IA 85380 (B) at different incubation periods. (C) Shows the effect of the MEK inhibitor 1μM PD 98059 on neuroprotection mediated by 10μM PNU 282987 (“PNU 28”) and 100μM 5IA 85380 (“5IA”) against OA-induced toxicity. Each value is a mean of at least four different cell cultures ± SEM. \* $p < 0.05$  with respect to the basal in (B); \*\*\* $p < 0.001$  with respect to OA alone, and ## $p < 0.01$  with respect to 5IA 85380 (C).

## DISCUSSION

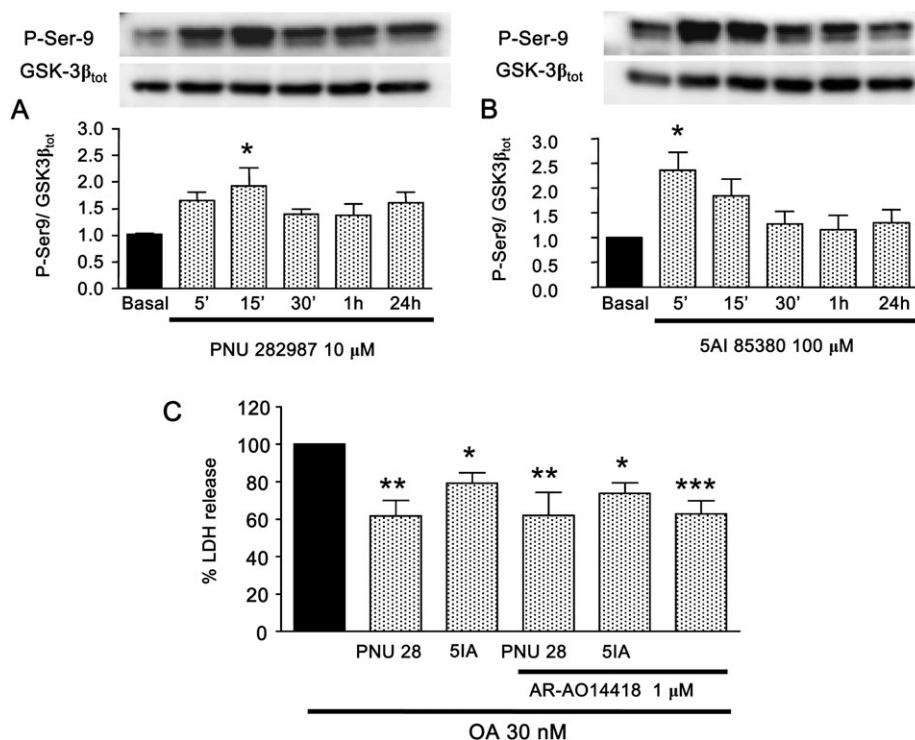
Central to this study is the observation that both  $\alpha 7$  and  $\beta 2^*$  nAChR can transduce signals through different mechanisms to provide protection of cells that undergo hyperphosphorylation of  $\tau$ . Ultimately, these distinct signaling pathways converge on the regulation of GSK-3 $\beta$  and reduction of  $\tau$  phosphorylation.

To analyze how  $\text{Ca}^{2+}$  could be involved in the protective effects of PNU 282987 and 5IA 85380, we first measured cytosolic  $\text{Ca}^{2+}$  levels in fluo-3-loaded SH-SY5Y cells. Interestingly, PNU 282987 at the protective concentration of 10μM did not induce a measurable intracellular  $\text{Ca}^{2+}$  increase, but in the presence of the allosteric modulator PNU 120596, it gave a robust intracellular  $\text{Ca}^{2+}$  rise, which was blocked by the  $\alpha 7$  nAChR-selective antagonists BGT and MLA. These results are in agreement with those previously reported in bovine chromaffin cells (del Barrio *et al.*, 2011) or PC12 cells (Dickinson *et al.*, 2007). Unlike PNU 282987, 5IA 85380 alone, at a protective concentration, was able to increase intracellular  $\text{Ca}^{2+}$  levels; this response was blocked by selective  $\beta 2^*$  antagonists such as DH $\beta$ E and  $\alpha$ -conotoxin MII but not by  $\alpha 7$  (Dickinson *et al.*, 2007) or  $\alpha 3\beta 4$ -selective antagonists, which corroborates the selectivity of 5IA 85380 for  $\beta 2^*$  nAChR subtype (Dajas-Bailador *et al.*, 2002a; Mukhin *et al.*, 2000).

The observation that the  $\alpha 7$  agonist exerted its protective effect without apparently inducing intracellular  $\text{Ca}^{2+}$  increases is against the belief that  $\text{Ca}^{2+}$  is the second messenger responsible for kinases' intracellular activation involved in neuroprotection mediated by  $\alpha 7$  nAChR (Dajas-Bailador *et al.*, 2002b; Donnelly-Roberts *et al.*, 1996; Ferchmin *et al.*, 2003). However, examination of the protective efficacy of PNU 282987 in a medium without  $\text{Ca}^{2+}$  corroborated the  $\text{Ca}^{2+}$  independence of the protective effect. In fact, when  $\text{Ca}^{2+}$  was forced to increase via  $\alpha 7$  nAChR activation, with the aid of the positive allosteric modulator, protection was lost in a  $\text{Ca}^{2+}$ -dependent way. In contrast, the protective effect of 5IA 85380 was dependent on extracellular  $\text{Ca}^{2+}$ , which corroborates a previous study in which this agonist offered  $\text{Ca}^{2+}$ -dependent neuroprotection against glutamate-induced toxicity (Ueda *et al.*, 2008). Taken together, these results indicate that  $\alpha 7$  nAChR protection is  $\text{Ca}^{2+}$  independent, whereas  $\beta 2^*$  nAChR protection is  $\text{Ca}^{2+}$  dependent.

Regarding the intracellular signaling pathways involved in the neuroprotective mechanism associated with the nAChR activation, there are several intracellular kinases involved in this process (Buckingham *et al.*, 2009). In the case of the  $\alpha 7$  nAChR, JAK2 kinase is able to bind to this nAChR subtype under nicotinic stimulation to transduce signals to PI3 kinase and Akt (Shaw *et al.*, 2002), which results in neuroprotection. Our results show that only stimulation of the  $\alpha 7$  and not the  $\beta 2^*$  nAChR can





**FIG. 7.** GSK-3 $\beta$  phosphorylation and implication in neuroprotection mediated by PNU 282987 and 5IA 85380. Time course of Ser-9 were analyzed by Western blot in protein extracts obtained from cells treated with 10 $\mu$ M PNU 282987 (A) and 100 $\mu$ M 5IA 85380 (B) during different time periods. (C) Shows the effect of the GSK-3 $\beta$  inhibitor 1 $\mu$ M AR-A014418 on the neuroprotection mediated by 10 $\mu$ M PNU 282987 (“PNU 28”) and 100 $\mu$ M 5IA 85380 (“5IA”) against OA-induced toxicity. Each value is a mean of at least four different cultures  $\pm$  SEM. \* $p$  < 0.05 with respect to the basal in (A and B); \* $p$  < 0.05, \*\* $p$  < 0.01, and \*\*\* $p$  < 0.001 with respect to OA alone (C).

induce JAK2 phosphorylation. Activation of the JAK2/PI3K/Akt route can be independent of Ca<sup>2+</sup> (Chernyavsky *et al.*, 2009), which agrees with the results of this study. The implication of this kinase in protection mediated by  $\alpha$ 7 nAChR was confirmed when protection induced by PNU 282987, but not by 5IA 85380, was lost in cells treated with the JAK2 inhibitor AG-490. Furthermore, the loss of protection by the  $\alpha$ 7 agonist in the presence of the allosteric modulator seems to be related to the loss of phosphorylation of JAK2 under similar conditions, as determined by the Western blot experiments (Fig. 4C).

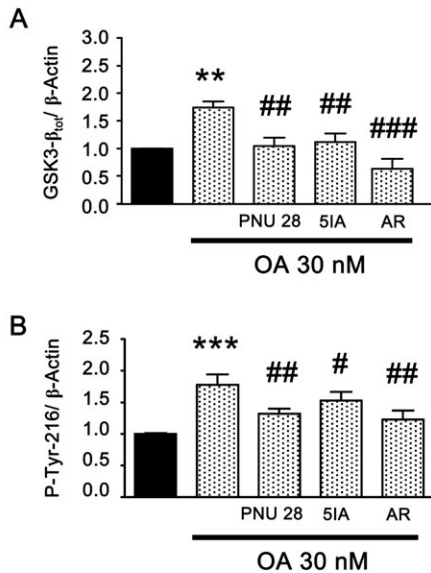
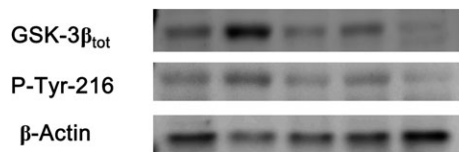
Continuing with the intracellular signaling pathways, data from other groups (Buckingham *et al.*, 2009; Quesada *et al.*, 2008) as well as our own (Arias *et al.*, 2005; Canas *et al.*, 2007) have shown that activation of PI3K/Akt is related to cell survival. In line with these observations, we have seen that both PNU 282987 and 5IA 85380 increased Akt phosphorylation. Furthermore, the PI3K inhibitor LY 294002 blocked the protective effects of the  $\alpha$ 7 and  $\beta$ 2\* agonist. Therefore, this signaling pathway is shared by both nAChR subtypes. In the case of  $\alpha$ 7 nAChR, it has been described that one of the targets of JAK2 is precisely PI3K/Akt (Shaw *et al.*, 2002); these kinases can be activated in a Ca<sup>2+</sup>-dependent (Soletti *et al.*, 2010) or independent manner (Chernyavsky *et al.*, 2009).

Based on a previous study that showed that non- $\alpha$ 7 nAChRs are able to activate MAPK/ERK in a Ca<sup>2+</sup>-dependent way

(Nakayama *et al.*, 2001), we evaluated if 5IA 85380 could induce ERK 1/2 phosphorylation. In our experimental conditions, ERK 1/2 activation was only induced by 5IA 85380. Participation of MAPK in  $\beta$ 2\* nAChR-mediated protection was supported with the experiments with the inhibitor PD 98059 (Fig. 6C).

Because both agonists activated Akt, we tried to identify Akt targets that could be related to the mechanism of action of OA, which induces  $\tau$  hyperphosphorylation. One of the kinases that phosphorylates  $\tau$  is GSK-3 $\beta$  (Grimes and Jope, 2001), and it is known that P-Akt inactivates GSK-3 $\beta$  by phosphorylation of its Ser-9 (Cross *et al.*, 1995; Srivastava and Pandey, 1998). We observed that  $\alpha$ 7 and  $\beta$ 2\* nAChR stimulation increased phosphorylation of Ser-9-GSK-3 $\beta$  after 15 min, but it decreased after 24 h exposure to the agonist. This temporal pattern excludes sustained inactivation of GSK-3 $\beta$  as a prerequisite for the observed neuroprotection. However, protection mediated by both nAChR subtypes does seem to be related to inhibition of GSK-3 $\beta$  because the combination of AR-A014418 (a GSK-3 $\beta$  inhibitor) with the  $\alpha$ 7 or  $\beta$ 2\* nAChR agonist did not afford additional protective effects (Fig. 7G).

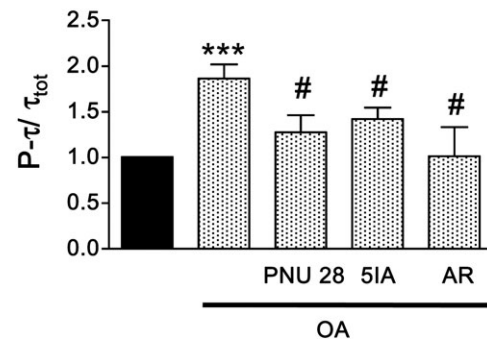
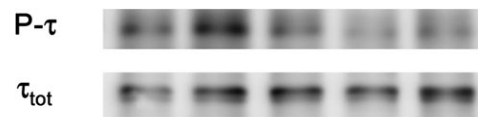
After exposing the cells for 16 h to OA, total GSK-3 $\beta$  expression was increased by almost twofold. Increases in the expression of GSK-3 $\beta$  have been reported in glial progenitor cells of AD patients treated with A $\beta$  (He and Shen, 2009) as well as in postmortem brain of Alzheimer patients (Lau *et al.*,



**FIG. 8.** GSK-3 $\beta$  expression/phosphorylation under the treatment of OA. Protein samples were collected from cells that were preincubated for 24 h with 10 $\mu$ M PNU 282987, 100 $\mu$ M 5IA 85380, or 1 $\mu$ M AR-A014418, and subsequently, this pretreatment was replaced by medium with 30nM OA for 16 h. Histograms represent the densitometric quantification of total GSK-3 $\beta$  (A) and total Tyr-216 (B) phosphorylation, using  $\beta$ -actin for normalization. Each value is a mean of four different cultures  $\pm$  SEM. \*\* $p$  < 0.01, \*\*\* $p$  < 0.001 with respect to basal levels and # $p$  < 0.05, ## $p$  < 0.01, and ### $p$  < 0.001 with respect to OA alone.

1999). In fact, there are several transgenic models that overexpress GSK-3 $\beta$  and reproduce many pathophysiological features of AD (Engel *et al.*, 2006). Taken together, OA seems to increase the expression of GSK-3 $\beta$  as observed in AD patients. Therefore, reduction of total GSK-3 $\beta$  expression induced by nicotinic agonists and the GSK-3 $\beta$  inhibitor could be an interesting therapeutic approach. Recent studies have shown that lithium, a GSK-3 $\beta$  inhibitor, and tobacco extracts are able to reduce messenger RNA and GSK-3 $\beta$  protein levels, respectively (Mendes *et al.*, 2009; Tian *et al.*, 2009). Moreover, total Tyr-216-GSK-3 $\beta$  phosphorylation (active levels) was also increased by OA alone, and this increase was significantly reduced by nAChR agonists and AR-A014418.

GSK-3 $\beta$  specifically phosphorylates Ser-396/404 of  $\tau$  (PHF-1, paired helical filament-1) both in cell cultures (Lovestone *et al.*, 1994; Tanaka *et al.*, 1998) and *in vivo* models (Spittaels *et al.*, 2000).  $\tau$  Hyperphosphorylation by PP2A inhibition induces a disruption of microtubules causing cell death in SH-SY5Y cells treated with OA (Tanaka *et al.*, 1998). This microtubule destabilization compromises axonal transport and induces neurodegeneration of neurons with tangles, a widely accepted characteristic in brains of AD patients (Alonso *et al.*,



**FIG. 9.** Effect of nicotinic agonists and AR-A014418 on Ser-396/404  $\tau$  phosphorylation in cells treated with OA. Protein samples were collected from cells that were preincubated for 24 h with 10 $\mu$ M PNU 282987, 100 $\mu$ M 5IA 85380, or 1 $\mu$ M AR-A014418, and subsequently, medium was replaced by 30nM OA for 16 h. Histograms represent the densitometric quantification of P-Ser-396/404- $\tau$  using  $\tau$  total for normalization. Each value is a mean of five different cultures  $\pm$  SEM. \*\*\* $p$  < 0.001 with respect to basal levels and # $p$  < 0.05 with respect to OA alone.

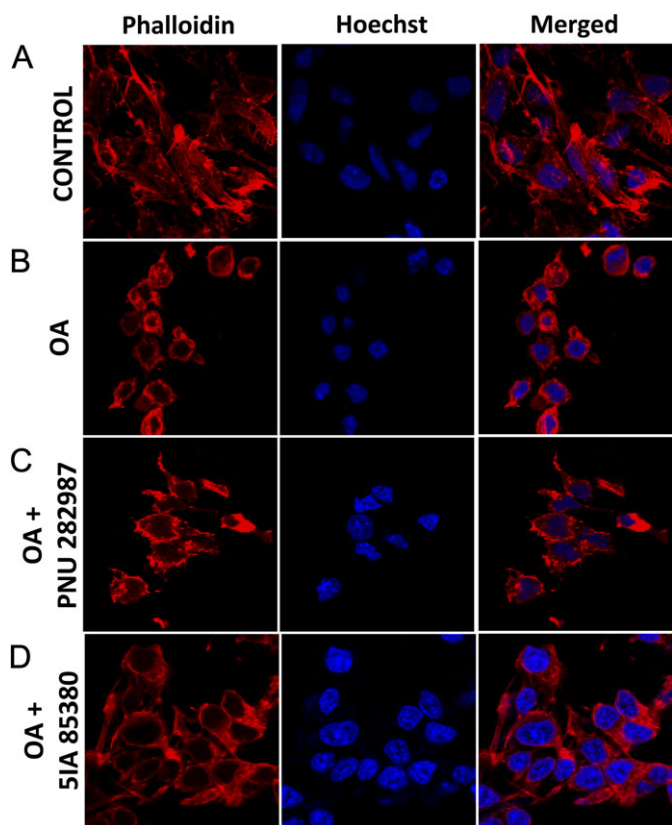
1994, 1997). We have observed that OA almost doubled  $\tau$  phosphorylation on its Ser-396/404 and that the  $\alpha$ 7 and  $\beta$ 2\* nicotinic agonists, together with the GSK-3 $\beta$  inhibitor, reduced Ser-396/404- $\tau$ -hyperphosphorylation. Furthermore, cytoskeleton alterations caused by OA were partially reverted with nAChR agonist treatment (Fig. 10).

Apart from reducing  $\tau$  phosphorylation, nicotinic stimulation also confers neuroprotection and anti-inflammatory actions by activation of some of the intracellular pathways here described (Egea *et al.*, 2007; Kawamata and Shimohama, 2011; Marrero and Bencherif, 2009; Parada *et al.*, 2010), which could also be contributing to the protective effects observed by both  $\alpha$ 7 and  $\beta$ 2 nAChR activation.

In conclusion, both  $\alpha$ 7 and  $\beta$ 2\* nAChR activation can mediate protection against OA.  $\alpha$ 7 nAChR-mediated protection involves a Ca<sup>2+</sup>-independent JAK2/PI3K/Akt/GSK-3 $\beta$  signaling pathway. In contrast, the Ca<sup>2+</sup>-dependent protective mechanism mediated by  $\beta$ 2\* nAChR relates to MEK/ERK 1/2, PI3K/Akt activation, and GSK-3 $\beta$  inactivation. However, both signaling pathways converge on GSK-3 $\beta$  downregulation and reduction of phosphorylation of  $\tau$  in cells exposed to OA, which represents a good model of neuronal death related to the characteristic  $\tau$  hyperphosphorylation found in AD patients.

## FUNDING

Spanish Ministry of Science and Innovation (SAF2009-12150); Spanish Ministry of Health-Instituto de Salud Carlos III (RETICS-RD06/0026); Comunidad Autónoma de Madrid (SAL2006/0275 to M.G.L., MH63631, GM48677 to J.M.M.).



**FIG. 10.** PNU 282987 and 5IA 85380 partially prevent cytoarchitecture disruption elicited by OA. Photomicrographs of double staining of SH-SY5Y marked with rhodamine-phalloidin (filamentous actin marker) and Hoechst (nuclear marker). Cells were incubated with PNU 282987 (10 $\mu$ M) (C) or 5IA 85380 (100 $\mu$ M) (D) during 24 h, and then 30nM OA was added for 5 h. A control group without any treatment (A) and another just treated with 30nM OA for 5 h were run in parallel (B). As shown in the photomicrographs, PNU 282987 and 5IA 85380 prevented partially the cytoarchitecture alterations caused by OA.

#### ACKNOWLEDGMENTS

We thank Ms Vanessa Gómez for technical assistance and the support of Fundación Teófilo Hernando.

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