1	Current viticultural techniques to mitigate the effects of global warming on grape
2	and wine quality: A comprehensive review
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14	Abstract
15	Climate is the main factor affecting grape and wine quality in Mediterranean
16	viticulture. Global warming provokes an increase in the accumulation of soluble solids in
17	grapes, together with a lower content of anthocyanins and acidity. This result in stuck and
18	sluggish fermentations causing economic losses in the winery. Climate adaptation
19	strategies are essential to minimize the detrimental effects of global warming on grape
20	and wine quality. This review summarized the effect of viticultural techniques to delay
21	grapevine ripening with emphasis on canopy management and we overviewed the effects
22	of high temperatures on grape and wine quality. Some viticultural techniques such as
23	severe shoot trimming, minimal pruning, late winter pruning and apical leaf removal may
24	delay grapevine ripening close to 15 days. Forcing regrowth is the most interesting
25	technique since it allows to delay grape ripening at least of two months which can be
26	essential in warm grapevine production areas.

- 28 Keywords: apical leaf removal; climate change; delaying ripening; forcing regrowth; late
- 29 pruning; minimal pruning; severe trimming
- 30

### 31 **1. Introduction**

Viticulture is one of the agricultural sectors of major economic importance in Mediterranean climate zones (Costa et al., 2016). Environmental conditions such as soil and climate are determinant key factors affecting grapevine productivity, grape and wine quality and the sensory attributes of wines (van Leeuwen & Seguin, 2006). These characteristics strongly impact the sale price of the grape and with it, winegrowers incomes (Gutiérrez-Gamboa & Moreno-Simunovic, 2019).

Several scientific manuscripts have reported the impacts of climate change on viticulture sector and the first reports began to be published at the beginning of the XXI century (Easterling, Meehl, Parmesan, Changnon, Karl, & Mearns, 2000; Parmesan & Yohe, 2003). During this decade, the most cited articles on the subject were published, especially those published by Schultz (2000) and Jones, White, Cooper and Storchmann (2005), who found that growing season mean temperatures from 1950 to 1999 have increased in most of the viticultural regions.

Early phenological timings and shortening of grapevine growing season have been reported by several authors due to the current global warming. (Fraga et al., 2016; García de Cortázar-Atauri et al., 2017; Jones & Davis, 2000; Sadras & Moran, 2012; Webb, Whetton, & Barlow, 2011). These changes occur during the warmer period of the grapevine growing and entails to detrimental impacts on grape and wine quality, thus threatening the wine typicity of a given region and ultimately its viticultural suitability (Compés & Sotés, 2018).

Climate change has also affected grapevine variety distribution in different wine
growing regions (Alonso & O'Neill, 2011; Battaglini, Barbeau, Bindi, & Badeck, 2009;
Mozell & Thachn, 2014). Some regions such as Northern Europe may benefit from
climate change since it has been demonstrated that the cultivation of grapevine varieties

such as Merlot and Cabernet Franc could be performed at 50° Latitude North (Moriondo et al., 2013). The increase in the average temperature of the growing season from 13.7 °C (1989-2003) to 14 °C (2004-2013) has provided to the United Kingdom an interesting opportunity for the grapevine cultivation not only for the production of sparkling wines but also for white wines and potentially red wines. Currently, the vineyard surface in the United Kingdom has increased by 148 % from 2004 to 2013 (Nesbitt, 2016).

Many current traditional wine growing regions have been or will be affected by 62 climate change (Jones et al., 2005). To achieve good quality wines is important that the 63 berry ripening period occurs under temperate temperatures (Gutiérrez-Gamboa, Carrasco-64 65 Quiroz, Martínez-Gil, Pérez-Álvarez, Garde-Cerdán, & Moreno-Simunovic, 2018; Mira de Orduña, 2010). The best conditions for wine production are generally achieve at the 66 moment in which the grapes reach a complete ripening when temperatures are still high 67 (25 to 30 °C) enough to obtain an optimal maturity, but not too high (> 30 °C) (van 68 Leeuwen & Seguin, 2006; van Leeuwen et al., 2019a). This allows to preserve a balanced 69 level of sugar to acid ratio in the grape juice, low astringency and bitterness in grapes and 70 wines, and a floral and fruity aromatic expression in the wines (van Leeuwen & Seguin, 71 2006; van Leeuwen et al., 2019a). 72

73 As temperatures increase during growing season, the grapes tend to ripen earlier along its develop (Martínez-Gil, Gutiérrez-Gamboa, Garde-Cerdán, Pérez-Álvarez, & 74 Moreno-Simunovic, 2018; van Leeuwen & Seguin, 2006). Due to this, winegrowers 75 should to adapt to this difficult situation by delaying grapevine phenology, in order to 76 reach the harvest in less warm conditions (van Leeuwen, Roby, & Ollat, 2019b). Certain 77 viticultural techniques have been proposed by the experts such as the choose of late 78 ripening varieties or clones, use of more vigorous rootstocks, increase the proportion of 79 the use of autochthonous varieties, adaptation of training systems, irrigation or late 80

pruning (Gutiérrez-Gamboa, Liu, & Pszczółkowski, 2020a; van Leeuwen et al., 2019b). However, these solutions are not enough to mitigate the effects of global warming in viticulture. Therefore, the aims of this review are: i) to analyze the effects of high temperatures on the grapevine, grape and wine; ii) to analyze the most promising viticultural techniques against the effects of high temperatures, with a special focus in those techniques of canopy management that produce a strong delay of berry maturation and iii) to propose research guidelines for future investigations.

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### 89 2. Global warming incidence on the current viticulture

Grapevine productivity and fruit quality are the most important concerns in viticulture because they directly determine the profits of the viticulturists. Despite the fact that both variables depend on the genetic of the variety, it is widely known that environmental conditions and cultural practices alter yield components and fruit composition (Keller, 2020). Therefore, it is essential to gain a deep insight into the impacts of the global warming on grape yield and quality (Table 1).

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# 97 2.1. Effects of high temperatures on grapevine ripening

Currently the viticulture has experienced a series of modifications due to the 98 99 increase of temperatures, which has had direct impacts on grapevine ripening (Gutiérrez-Gamboa, Pérez-Donoso, Pou-Mir, Acevedo-Opazo, & Valdés-Gómez, 2019a; van 100 Leeuwen & Darriet, 2016). Different authors worldwide have reported accelerated 101 phenological stages for grapevines as well as, earlier dates of phenological events 102 including harvest (Fraga et al., 2016; Jones et al., 2005; Ramos & Martínez de Toda, 2020; 103 Schultz, 2000). Model outputs have predicted an increase in the average temperature of 104 2°C for the next 50 years for global wine producer regions (Jones et al., 2005; Schultz, 105

2000). Predictions of advances from 8 to 11 days for budburst and from 16 to 24 days for
veraison have been reported for the end of the 21st century for white grapevine varieties
cultivated in Alsace (Duchêne, Huard, Dumas, Schneider, & Merdinoglu et al., 2010). A
precocity towards the end of the 21st century of at least 40 days earlier than the current
ones has been reported for each phenological stage in grapevines (Sgubin et al., 2018; van
Leeuwen et al., 2019a).

Currently, grapevine budburst and anthesis take place 8-10 days earlier than to 112 those occurred in 1950s, while veraison date advanced from 18 to 23 days for the same 113 period in Rheingau (Germany) (Stock, Gerstengarbe, Kartschall, & Werner, 2005). In 114 Alsace (France), from 1972 to 2002, all the grapevine phenological stages moved forward 115 and the period between budburst and harvest shortened significantly (Duchêne & 116 117 Schneider, 2005). In Bordeaux (France) harvest dates have moved forward by two weeks in the past 20 years (Jones & Davis, 2000). In Napa and Sonoma valleys (United States), 118 119 grapevine budburst advanced by 18-24 days between 1951 and 1997 (Nemani et al., 2001). Even in the southern hemisphere, based on the model calculations, the harvest 120 dates for Cabernet Sauvignon and Chardonnay grapes will be shifted forward by 2-3 121 weeks in most of the Australian wine regions in 2050, compared to 1990 (Webb, Whetton, 122 & Barlow, 2007). The greatest consequence of the advance on grapevine phenological 123 stages is that the grape ripening is taking place under warmer conditions than before. 124

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126 2.2. Effects of high temperatures on grapevine yield

Grapevine yield depends of the number of buds per grapevine, the number of clusters per bud (bud fertility), the number of berries per cluster, and the berry weight (Keller, 2020). Number of buds per grapevine is manually determined by the pruning severity however, all the other yield components are dependent by the environment and 131 genotype interactions (Dry, Longbottom, Mcloughlin, Johnson, & Collins, 2010; Petrie &
132 Clingeleffer, 2005).

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134 2.2.1. Floral initiation

Grapevine flowers formation from the inflorescence primordia occurs at the time 135 of bud burst in the next season (Vasconcelos, Greven, Winefield, Trought, & Raw, 2009). 136 To maximize number of inflorescence primordia are necessary warm temperatures, high 137 138 irradiance, nitrogen stress and an adequate supply of water (Guilpart, Metay, & Gary, 2014; May, 2000; Vasconcelos et al., 2009). Recently, it was reported that bud fruitfulness 139 was mostly influenced by bud light interception, while the size of inflorescence primordia 140 was positively correlated with shoot growth capacity and the carbohydrate level of buds 141 (Collins, Wang, Lesefko, De Bei, & Fuentes, 2020). However, extreme high temperatures 142 (> 35 °C) during the floral initiation phase could make the buds unfruitful (Keller, 2020; 143 Zheng, del Galdo, García, Balda, & Martínez de Toda, 2017a). High daytime temperatures 144 of 35-40°C during flowering had a detrimental effect in fruit set and ovule fertility and 145 resulted in fewer berries per cluster (Ebadi, Coombe, & May, 1995; Greer & Weston, 146 2010). Flowering and fruit set are strongly influenced by temperature changes and 147 extreme temperatures (>35°C) during the flowering period detrimentally affect fruit set 148 (-48 to 38 %) and final yield (-27 %) (Pagay & Collins, 2017). 149

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151 *2.2.2. Fruit set* 

The flower differentiation and the percentage of fruit set determine the number of berries per cluster and the availability of carbohydrate is the determining key of these factors (Intrigliolo, Mirás-Avalos, & Lakso, 2018; Lebon, Wojnarowiez, Holzapfel,

Fontaine, Vaillant-Gaveau, & Clément, 2008). Similar to the loss of inflorescences before 155 156 flowering, high temperatures could cause shoots to grow rapidly and to compete fiercely with the flower formation for the carbohydrate supply, resulting in flower abortion 157 (Bowen & Kliewer, 1990; Keller, 2020). Manipulation of carbon supply caused 158 reductions in fruit set in Concord and Riesling varieties and they were most sensitive to 159 these reductions during the period between 5 and 12 days after flowering (Intrigliolo et 160 al., 2018). Berry size of grapes that set after bloom is determined by the number of cell 161 divisions before and after bloom, the extend of these cells and the degree of weight loss 162 (Coombe, 1976; Keller, 2020). Both low (< 15 °C) and high temperatures (> 35 °C) may 163 164 reduce cell division before the lag phase of berry growth and by consequence to limit berry size (Cohen, Tarara, Gambetta, Matthews, & Kennedy, 2012; Keller, 2020). 165

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### 167 2.2.3. Berry size

During the second phase of grape berry development, a rapid loss of berry weight 168 can be registered, causing berry shrinkage phenomena (Gutiérrez-Gamboa, Pardo, & 169 Moreno-Simunovic, 2019b). The softening and deformability of fruit caused by this 170 disorder are due to breakdown of cortex parenchyma cell walls, the latter which are mainly 171 composed of cellulose, hemicellulose and pectin (Hunter et al., 2018). Berry shrinkage 172 phenomena has been described in Syrah and Merlot grapevine varieties (Carlomagno, 173 Novello, Ferrandino, Genre, Lovisolo, & Hunter, 2018; Carrasco-Quiroz, Martínez-Gil, 174 Gutiérrez-Gamboa, & Moreno-Simunovic, 2020; McCarthy, 1997; Rogiers, Smith, 175 176 White, Keller, Holzapfel, & Virgona, 2001; Rogiers, Greer, Hatfield, Orchard, & Keller, 2006; Šuklje, Zhang, Antalick, Clark, Deloire, & Schmidtke, 2016), where yield losses 177 are estimated at around 25 % of the total production (Krasnow, Matthews, Smith, Benz, 178

Weber, & Shackel, 2010; Rogiers et al., 2001). Heat events have strongly effects on berry
ripening and they cause a high incidence of berry shrivel and sunburn (Greer & Weston,
2010). Shrinkage disorder is associated to berry dehydration and direct sun exposure of
clusters so extreme temperatures can trigger its process (Carlomagno et al., 2018).
However, specific mechanisms or events leading to berry shrinkage phenomena are not
yet fully elucidated and research is continuing globally (Hunter et al., 2018).

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### 186 $2.2.4 CO_2$ accumulation

Due to global warming, it is considered that the continuously rising temperatures 187 188 and atmospheric CO<sub>2</sub> concentration will be likely to increase the canopy photosynthetic potential thus increasing the grapevine productivity (Palliotti, Tombesi, Silvestroni, 189 Lanari, Gatti, & Poni, 2014; Schultz, 2000). Air temperatures exceeding 40 °C for 14 days 190 reduced photosynthesis close to 35 % while transpiration increased nearly threefold, 191 which was explained by the increase in stomatal conductance (Greer & Weston, 2010). A 192 higher atmospheric CO<sub>2</sub> concentration could improve the water-use efficiency (WUEc) 193 of grapevines, which may benefit the yield in arid or semi-arid regions (Gutiérrez-194 Gamboa, et al., 2019a; Schultz, 2000). Recent researches conducted in free air carbon 195 dioxide enrichment (FACE) systems reported significant effects of elevated CO<sub>2</sub> exposure 196 to grapevines on some vegetative growth parameters, primary productivity, grapevine bud 197 fertility, and yield potential (Santos et al., 2020). Elevated CO<sub>2</sub> stimulated growth, yield, 198 199 stomatal conductance and transpiration in Riesling and Cabernet Sauvignon grapevines, while during berry development, elevated CO<sub>2</sub> resulted in higher single berry weight and 200 did not affect fruit quality (Wohlfahrt, Tittmann, Schmidt, Rauhut, Honer, & Stoll, 2020a; 201 Wohlfahrt, Tittmann, Schmidt, Rauhut, Honermeier, & Stoll, 2020b). These results 202

evidenced a cultivar-dependence in the response of elevated  $CO_2$  in terms of bud fruitfulness (Wohlfahrt, Collins, & Stoll, 2019). A higher WUEc (net  $CO_2$ assimilation/stomatal conductance) may have critical implications for the future adaptation of non-irrigated viticulture against the increase of temperatures and the periods of rainfall deficit.

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### 209 2.2.5. Spring frost risk

210 Recently, climate change influence on spring frost risk has been discussed controversially in the scientific literature (Santos et al., 2020). High temperatures in winter 211 may be beneficial to grapevine productivity in cold viticulture regions because the risk of 212 winter frost injury is getting lower (Rigby & Porporato, 2008). Spring frost damage occurs 213 when the budburst is produced before the date in which the last frost event take place in 214 the spring (Santos et al., 2020). Spring frost and budburst are projected to occur earlier in 215 the growing season according to the model predictions of climate change in viticulture 216 (Santos et al., 2020). Some reports showed that the last frost events will move to earlier 217 dates at a faster rate than budburst and, hence, reduce spring frost risk in the future 218 (Molitor, Caffarra, Sinigoj, Pertot, Hoffmann, & Junk, 2014; Santos et al., 2020), while 219 other reports were inconsistent or predicted increased risks of spring frost damage in 220 viticulture (Leolini, Moriondo, Fila, Costafreda-Aumedes, Ferrise, & Bindi, 2018; 221 Molitor & Junk, 2019a; Mosedale, Wilson, & Maclean, 2015; Santos et al., 2020). On the 222 whole, the global warming may lead to a higher yield but extreme weather conditions 223 such as heatwaves, continuous drought and spring frost may result in a severe yield 224 reduction. 225

227 2.3. Effects of high temperatures on grape and wine quality

Changes of berry composition evolve during ripening and are affected by cultural practices, environmental conditions and the grapevine genotype (Keller, 2020). Considering all the above-mentioned aspects it is necessary to get a good understanding of how the environmental factors could affect the synthesis of soluble solids, organic acids, and phenolic, nitrogenous and volatile compounds in grapes to perform a good choice of viticultural practices, that allow to mitigate the effects of global warming in the vineyard (Martinez de Toda, Garcia, & Balda, 2019).

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### 236 2.3.1. Soluble solids

Grape sugar content accounts close to 90 % of soluble solids at harvest of which 237 between 95 to 99 % of these sugars are present in the form of hexoses, glucose and 238 fructose (Keller, 2020). Berry sugar accumulation depends on the import of sucrose from 239 photosynthesizing leaves or woody storage organs via the phloem (Davies, Boss, Gerós, 240 Lecourieux, & Delrot, 2012). Then, under the action of invertases, hexoses start to 241 accumulate rapidly in berries at veraison (Gerós, Chaves, & Delrot, 2012; Keller, 2020). 242 Temperatures play an important role on berry sugar accumulation and the optimum 243 temperature range for the photosynthesis of grape leaves are between 25 and 35 °C 244 (Hochberg, Batushansky, Degu, Rachmilevitch, & Fait, 2015). High temperatures modify 245 primary and secondary fruit metabolisms, desynchronizing sugar and organic acid 246 metabolisms and delaying sugar and polyphenol accumulation during ripening 247 (Torregrosa et al., 2017). Thus, high temperatures usually lead to an acceleration of sugar 248 accumulation in berries except in extremely hot regions, where temperatures exceed the 249 photosynthetic optimum during a considerable part of the growing season (Gutiérrez-250 Gamboa & Moreno-Simunovic, 2019; van Leeuwen & Seguin, 2006). Differently from 251

what may seem obvious, despite the fact that high temperatures accelerate grape ripening, the effects on final sugar content are relatively small (Coombe, Bovio, & Schneider, 1987). In this way, for a given variety, the maximum sugar content has a limit and it is possible that grape berries not achieve a soluble solids concentration above 25 °Brix unless the berry dehydration and shrinkage occur (Keller, 2020).

Cool climate wine regions may benefit from the global warming since grapes 257 could obtain an optimum technology maturity (Anderson, 2017). Due to the increase of 258 temperatures, in most of the wine regions across the world, it will be easier to produce 259 wines with a high alcohol content (Jones et al., 2005). Nowadays, there is a new trend in 260 261 which consumers prefer wines with a moderate or low alcohol content due to health reasons (Palliotti et al., 2014). Due to this, some wine regions have switched from 262 occasional addition of sugars to the must to partial sugar or alcohol removal by physical 263 methods such as reverse osmosis (Gil et al., 2013; Pham, Stockdale, Wollan, Jeffery, & 264 Wilkinson, 2019; Delrot et al., 2020). 265

A serious problem in the current viticulture is that the increase in alcoholic level 266 may alter the inherent style of wines in some winegrowing regions (Santos et al., 2020). 267 "Txakoli" is characterized as a very fresh white wine in Vizcaya, Guipúzcoa and Álava 268 269 (Spain). However, global warming has led to an increase in the amount of alcohol degree of these wines in the last years close to 13 %, which is totally inadmissible to the initial 270 concept of "Txakoli" (Hidalgo, 2011). Ice wines are traditional premium wines from 271 many cool climate regions that are produced when grape berries are exposed in fall or 272 early winter to a frost event bellow to -7 °C and are pressed in the frozen status (Molitor 273 & Junk, 2019b). For this purpose, water in the grapes is in the form of ice crystals and the 274 juice is then concentrated, leading to the production of these unique dessert wines (Molitor 275

& Junk, 2019b). However, these conditions are expected to become increasingly rare in
the future and therefore, the ice wine production is jeopardized (Santos et al., 2020).

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# 279 2.3.2. Organic acids

Organic acids are involved in the primary metabolic pathways as energy 280 production and amino acid synthesis and also participate in the response to osmotic stress 281 and discouraging predation of fruit (Waterhouse, Sacks, & Jeffery, 2016). Organic acids 282 are the main determinant of pH affecting appearance, microbial and chemical stability in 283 wines and they have direct effects on taste, mainly sourness and also the mask the sweet 284 285 taste (Jackson, 2017; Waterhouse et al., 2016). The major organic acids in grapes are tartaric and malic acids while others such as acetic, citric, lactic and succinic acids may 286 be present in the grapes at low concentrations (Mato, Suárez-Luque, & Huidobro, 2005). 287 Most organic acids are accumulated early in the berry development. Tartaric acid is 288 mainly synthesized between bloom and veraison in leaves and in the pulp of berries, and 289 its synthesis in leaves mainly occurs when the leaves are expanding (Cholet et al., 2016). 290 Deficit irrigation before veraison may limit tartrate accumulation while after veraison, 291 tartrate content per berry is usually stable due to its insensitiveness to light and 292 293 temperature, while the decrease in tartrate concentration is mainly attributed to the dilution effect caused by berry expansion (Duchêne et al., 2020; Mira de Orduña, 2010). 294 Malic acid accumulation in grapes mostly occurs before veraison as well, and the 295 optimum temperature range for the accumulation is between 20-25 °C; but when 296 temperatures are more than 38 °C, the malic acid synthesis declines greatly (Keller, 2020). 297 Carbon source for respiration in the berries after veraison is changed from glucose to 298 malate (Keller, 2020). Heating throughout veraison and ripening stages reduced grape 299 malate content, consistent with effects typically seen in warm seasons (Sweetman, Sadras, 300

Hancock, Soole, & Ford, 2014). However, when minimum temperatures raised by 4–6 °C, malate content in grapes was not reduced, suggesting that the regulation of malate metabolism differs during the day and night (Sweetman et al., 2014). Temperature desynchronizes sugar and organic acid metabolism in grapevine ripening and remodels their transcriptome (Rienth, Torregrosa, Sarah, Ardisson, Brillouet, & Romieu, 2016).

During the ripening phase, water supply or high temperatures could increase K<sup>+</sup> 306 concentration in grapes, thus increasing pH (Mira de Orduña, 2010). K<sup>+</sup> is the most 307 abundant cation in grapes and is essential for plant signaling, osmoregulation, maintaining 308 cation-anion balance, cytoplasmic pH regulation, enzyme activation and protein and 309 310 starch synthesis (Rogiers, Coetzee, Walker, Deloire, & Tyerman, 2017). High K<sup>+</sup> and pH may alter wine color, microbiological stability and fermentation process (Keller, 2020). 311 In warm viticultural regions, the increase of temperatures may result in grapes with low 312 313 levels of titratable acid, and high levels of pH and K<sup>+</sup>. Due to this, the winemaking process may become more expensive because low-acid grape juice requires the addition of tartaric 314 acid to balance the high sugar level and to enhance microbial stability (Keller, 2020). 315

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317 2.3.3. Phenolic compounds

318 2.3.3.1. Anthocyanins

Anthocyanidins are responsible for the red color in grapes and red wines and contribute to their astringency and bitterness (Gombau et al., 2019). Anthocyanins are synthesized in the cytoplasm and accumulate in the vacuoles, where they are stored as colored coalescences called anthocyanin vacuolar inclusions (Flamini, Mattivi, De Rosso, Arapitsas, & Bavaresco, 2013). The enzyme UDP-glucose: flavonoid 3-*O*-glucosyl transferase (UFGT) catalyzes the glycosylation of both anthocyanidins and flavonols (Ford, Boss, & Hæj, 1998). In red grapes, anthocyanin accumulation begins at veraison,

along with the activation of the UFGT enzyme (Downey, Dokoozlian, & Krstic, 2006).
Firstly, only glycosides from dihydric anthocyanins, such as cyanidin and peonidin are
accumulated, followed by trihydroxylated anthocyanins, such as delphinidin, petunidin,
and malvidin (Downey et al., 2006).

Grapevines cultivated in warm sites produce grapes with a high content of soluble 330 solids and certain phenolic compounds such as anthocyanins and flavonols, while 331 grapevines cultivated in cooler sites, produce grapes with a high content of flavanols and 332 hydroxycinnamic acids (Gutiérrez-Gamboa & Moreno-Simunovic, 2019; Martínez-Gil et 333 al., 2018). The optimum range for anthocyanin accumulation in berries is 17 to 26 °C and 334 335 low temperatures, particularly, low night temperature, enhance coloration in red grapes (Pirie, 1977; Kliewer & Torres, 1972). Cluster exposure to high temperature and radiation 336 may increase anthocyanins, flavonols, and flavanols synthesis in grapes due to the rise in 337 the activity of the phenylalanine ammonium lyase (PAL) enzyme (Flamini et al., 2013). 338 However, temperatures above 35 °C produce an increase in respiration rate and a decrease 339 in photosynthesis in grapevines, which leads to a decrease in the production of sugars and 340 the degradation and inhibition of the accumulation of certain secondary metabolites, 341 especially anthocyanins (He et al., 2010). On the other hand, high levels of UV-B 342 343 radiation have been shown to increase anthocyanin accumulation, total polyphenol index and stilbene content in grape skin (Berli, D'Angelo, Cavagnaro, Bottini, Wuilloud, & 344 Silva, 2008). Likewise, exposure to UV radiation induces the accumulation of stilbenes 345 346 in grapes through the induction of the expression of the stilbene synthase (STS) (Petit et al., 2009). 347

348 High temperatures could delay the onset of anthocyanin accumulation, leading to 349 low anthocyanin concentration in grapes at harvest (Sadras & Monzon, 2006). Moderate 350 sunlight exposure is necessary for anthocyanins biosynthesis (He et al., 2010). However,

intense sunlight could cause sunburn in exposed berries, inhibiting the color development, 351 352 especially grapevines cultivated in hot viticultural regions (Chorti, Guidoni, Ferrandino, & Novello, 2010; van Leeuwen & Darriet, 2016). Due to global warming, the sugar 353 accumulation is more and more rapid and berry ripening takes place during a warmer 354 period of the season than in the past decades. Therefore, anthocyanins concentration in 355 grapes not reach its optimum value at the same moment that technological maturity does 356 it. Moreover, since the extractability of anthocyanins increases along ripening, a shortened 357 ripening period may cause a reduction in the extractability of anthocyanins at harvest 358 (Allegro, Pastore, Valentini, Muzzi, & Filippetti, 2016). This phenomena is well known 359 360 as "anthocyanin sugars decoupling" (Martínez de Toda & Balda, 2015; Sadras & Monzon, 2006). This decoupling may bring to wine industry two devastating consequences: i) if 361 grapes are harvested at the conventional technological maturity level, the grape quality 362 363 may not be the optimum in terms of phenolic compounds and their related sensory attributes; ii) if the winegrowers postpone the harvest date in order to reach higher 364 concentrations of anthocyanins, the berries may become dehydrated, and achieve an 365 extremely high total soluble solids content and by consequence, to produce wines with 366 high alcoholic content. Moreover, this decoupling has been reported for other relevant 367 metabolites, such as organic acids, proanthocyanidins, amino acids and volatile 368 compounds (Bonada, Jeffery, Petrie, Moran, & Sadras, 2015; Cohen et al., 2012; Delrot 369 et al., 2020; Etienne, Génard, Lobit, Mbeguié-A-Mbéguié, & Bugaud, 2013; Gutiérrez-370 371 Gamboa et al., 2018).

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374 Grape flavanols or commonly called tannins are synthesized in the skins and seeds 375 during the early stages of berry development (Keller, 2020). Tannins are considered

<sup>373</sup> *2.3.3.2. Flavanols* 

responsible for astringency and bitterness and may form copigmentation complexes with 376 377 anthocyanins, enhancing wine color (Li & Duan, 2019). Seed flavanols synthesis occurs after fruiting and reaches its maximum level close to veraison, while the content of the 378 skin flavanols is high at flowering and its accumulation continues from the fruit set up to 379 one or two weeks after veraison (Downey et al., 2006). The polymerization of both seed 380 tannins and skin tannins increases at veraison (Downey, Harvey, & Robinson, 2003). Seed 381 tannins bind strongly to cell walls, so their extractability declines gradually along ripening 382 (Cadot, Miñana-Castelló, & Chevalier, 2006). Based on this, "phenolic maturity" 383 comprises the accumulation and extractability of anthocyanins, the polymerizations of 384 385 tannins and the reduction extractability of seed tannins, which occurred at harvest. Clusters exposed to sunlight may enhance flavanol accumulation in the skin and increase 386 the length of polymeric flavanols (Downey et al., 2006). Possibly, the biosynthesis of 387 388 flavanols in grapes increase in relation to the increase in temperatures (Keller, 2020). However, in warm viticultural regions, as berry ripening is occurring under increasingly 389 hot conditions, the period between veraison and harvest probably becomes shorter thus, 390 there is less time for the synthesis of flavanols. On the contrary, in cool viticultural 391 regions, the increasing temperatures may help to enhance the wine quality due to the 392 increase in the accumulation of flavanols in grapes. 393

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### 395 2.3.3.3. Flavonols

Flavonols are mainly synthesized in the skins of berries, where they appear to function as photoprotectors (Flamini et al., 2013). Grape flavonol concentration is increased by high exposure to sunlight before the veraison period, which is induced by the transcription factor genes of the MYB family (Matus et al., 2009). Light modulates the expression of flavonol synthase (VvFLS), a key flavonol structural gene, and of

401 VvMYBF1, a transcriptional regulator of flavonoid synthesis (Koyama, Ikeda, Poudel, & 402 Goto-Yamamoto, 2012; Teixeira, Eiras-Dias, Castellarin, & Gerós, 2013). In this sense, flavanols act as natural UV radiation protectors in grape skins because they strongly 403 absorb UV-A and UV-B wavelengths (Flamini et al., 2013). The lack of expression of the 404 enzyme flavonoid 3',5'-hydroxylase in white grapes limits the exclusive presence of 405 flavonols to guercetin, kaempferol and isorhamnetin derivatives, while red grapes also 406 contain myricetin, laricitrin and syringetin derivatives (Mattivi, Guzzon, Vrhovsek, 407 Stefanini, & Velasco, 2006; Castillo-Muñoz, Gómez-Alonso, García-Romero, & 408 Hermosín-Gutiérrez, 2010; Flamini et al., 2013). The main flavonol of most white 409 410 varieties is quercetin, which represents more than 70 % of total flavanols (Castillo-Muñoz et al., 2010), while, in most of the red varieties, myricetin is the most abundant flavonol 411 (Mattivi et al., 2006; Flamini et al., 2013). In Chardonnay, water stress increased the 412 413 content of flavonols and decreased the expression of genes involved in the biosynthesis of stilbene precursors (Teixeira, Eiras-Dias, Castellarin, & Gerós, 2013). Higher altitude 414 cultivation widely promoted the production of anthocyanins and flavonols, particularly 415 cyanidin-type anthocyanins and quercetin-type flavonols from the flavonoid 3'-416 hydroxylase (F3'H) branch of the flavonoid biosynthetic pathway (Xing, He, Xiao, Duan, 417 418 & Pan, 2015). Notably, the altitude may produce a decline in the vineyard temperature due to adiabatic cooling of the air which allows a decrease between 0.60 - 0.65 °C every 419 100 m of altitude (Pszczólkowski, Villena, & Carbonneau, 2010). 420

421

### 422 2.3.4. Nitrogen compounds

Proline and arginine are usually the most abundant amino acids synthetized
throughout ripening by the grapevines varieties (Bell & Henschke, 2005; Stines, Grubb,
Gockowiak, Henschke, Hoj, & Heeswijck, 2000). Arginine is one of the most important

nitrogen sources during the alcoholic fermentations since yeast can easily assimilate it, 426 while proline is the only amino acid that is not assimilable by yeast under anaerobic 427 growth conditions (Gobert et al., 2017; Varela, Pizarro, & Agosin, 2004). Based on this, 428 proline to arginine ratio was reported to determine the proportion of non-assimilable 429 (proline) to assimilable (arginine) nitrogen, providing a useful index of the likely 430 nutritional value of the must from a particular variety to yeast metabolism (Bell & 431 Henschke, 2005). At low nitrogen content in musts, stuck and sluggish fermentations may 432 occur at the wine cellar, leading to wine spoilage and the production of undesirable 433 volatile compounds such as hydrogen sulfide, giving to the wines rotten egg and sewage 434 435 aromas (Ugliano, Kolouchova, & Henschke, 2011).

Glutamine and glutamate can be converted to many other amino acids in 436 grapevines by enzymatic reactions (Keller, 2020). Under favorable conditions, grapevines 437 438 convert surplus glutamine to arginine, while during drought stress glutamate may be converted in proline (Gutiérrez-Gamboa, Alañón-Sánchez, Mateluna-Cuadra, & 439 Verdugo-Vásquez, 2020b; Keller, 2020). Proline accumulation allows grapevines to 440 lower their hydric water potential while maintaining turgor pressure during periods of 441 drought (Liang, Zhang, Natarajan, & Becker, 2013). Hydric stress in Cabernet Sauvignon 442 443 grapevines resulted in an increase in soluble solids content in grapes and in the proline levels in grapes and wines (Ju et al., 2018). Significantly high proline levels were 444 accumulated in grapes in response to drought in Chardonnay and Syrah grapevines 445 (Canoura, Kelly, & Ojeda, 2018). Differentially expressed genes (DEGs) were 446 significantly up-regulated functioning in the proline biosynthesis and metabolism 447 pathway, in a drought treatment compared to control (Haider et al., 2017). Studies have 448 reported that proline metabolism influences signaling pathways by increasing reactive 449 oxygen species (ROS) formation in the mitochondria via the electron transport chain 450

451 (Liang et al., 2013). Enhanced ROS production due to proline metabolism has been 452 implicated in the hypersensitive response in plants (Liang et al., 2013). On the other hand, a high-water availability and a low reference evapotranspiration in grapevines resulted in 453 a high content of several amino acids in grapes with the exception of proline which had 454 an opposite effect (Gutiérrez-Gamboa, Garde-Cerdán, Rubio-Bretón, & Pérez-Álvarez, 455 2020c). Global warming in the current viticulture provokes a low synthesis of several 456 amino acids in grapevines, together with a high synthesis of proline due to drought 457 conditions. This may result in stuck and sluggish fermentations in the winery since proline 458 is not metabolized by yeast. Therefore, an accurately prevention and diagnostic of stuck 459 460 and sluggish fermentations must be carried out at the wine cellar since the resumption of fermentations is a long and expensive process. 461

Higher alcohols and esters production is mainly related to amino acid metabolism 462 in the yeast cell and their concentration depends of yeast assimilable content (YAN) of 463 the must. (Bell & Henschke, 2005). Higher alcohols content shows an initial increase at 464 low levels of YAN and tends to decrease after a YAN concentration higher than 200-300 465 mg N/L (Ugliano, Henschke, Herderich, & Pretorius, 2007). The production of ethyl 466 esters, as well as of acetate esters, including ethyl acetates, is generally increased when 467 468 YAN raise up higher than 300 mg N/L (Ugliano et al., 2007). High temperatures may decrease the synthesis of amino acids in grapes compared to cool temperatures during the 469 season (Gutiérrez-Gamboa et al., 2018, 2020c). This could result in the production of 470 wines with high levels of higher alcohols, which can be detrimental to the aromatic quality 471 of the wines. Certain studies have reported that higher alcohols can significantly suppress 472 the strawberry, dairy, fruity, coconut, wood and vanilla aroma of wines (de la Fuente-473 Blanco, Sáenz-Navajas, & Ferreira, 2016). In this sense, a higher alcohol content of 299 474 mg/L in model wines suppressed the fruity aroma of young red wines, while a higher 475

alcohol content of 281 mg/L suppressed the oak aroma of aged wines (de la FuenteBlanco, Sáenz-Navajas, & Ferreira, 2017). In addition, the presence of high content of
higher alcohols in model wines caused a significant decrease in the preference of wines
by a sensory panel carried out by experts (de la Fuente-Blanco et al., 2017). Therefore,
global warming can also have detrimental effects on the aromatic quality of wine.

481

482 2.3.5. Volatile compounds

Grape aroma is composed by a wide range of volatile compounds, belonging to 483 different chemical groups (González-Barreiro, Rial-Otero, Cancho-Grande, & Simal-484 485 Gándara, 2015). Terpenoids, C<sub>13</sub> norisoprenoids, ethyl and acetate esters, benzenoid compounds, thiols, C6 compounds and alcohols make up the varietal wine aroma (Ganss, 486 Kirsch, Winterhalter, Fischer, & Schmarr, 2011). These compounds are distributed in both 487 the flesh and the skin of the berry, though mostly in the latter and their concentration 488 increases through berry maturity (González-Barreiro et al., 2015). Terpenoids and C<sub>13</sub> 489 norisoprenoids are the most important varietal volatile compounds found in grapes and 490 contribute to wines with floral and fruity aromas (González-Barreiro et al., 2015). 491

492

493 2.3.5.1. Terpenoids

Grape volatile terpenoids consist of monoterpenes, sesquiterpenes and triterpenes and 80-90 % of them are present in glycosylated form in grapes, which can be released during wine making or wine aging (Bönisch et al., 2014). Grapevine varieties can be classified by their concentration of terpenes in Muscat varieties, whose free terpenes concentration reach 6 mg/L; semi-Muscat or non-Muscat varieties whose free terpenes concentration varies between 1 and 4 mg/L and, neutral varieties, in which the concentration of free terpenes is less than 1 mg/L (de Torres, Schumacher, Alañón, Pérez-

501 Coello, & Díaz-Maroto, 2015; Marais, 2017). Certain enzymes such as 1-deoxy-D-502 xylulose-5-phosphate reductoisomerase (DXR), 1-deoxy-D-xylulose-5-phosphate synthase (DXS) and terpene synthases (TPS) are the key enzymes for terpenoid 503 biosynthesis (Schwab, Davidovich-Rikanati, & Lewinsohn, 2008; Zeng et al., 2016). 504 Optimum temperature range for the synthesis of terpenoids in grapes is close to 10 °C and 505 20 °C (Marais, 2017). Terpenoid content may be negatively correlated with the average 506 daily maximum temperature during ripening probably because terpenes are loss by 507 volatilization (Marais, 2017). Contrary to this, it has reported that the optimum 508 temperature for DXS activity was at 37 °C (Battilana et al., 2011). Constitutive expression 509 510 of DXS enzyme increase the expression of alkaloid terpenes and essential oil constituents such as cineole, linalool and a-terpineol (Muñoz-Bertomeu, Arrillaga, Ros, & Segura, 511 2006; Peebles, Sander, Hughes, Peacock, Shanks, & San, 2011). In this way, 512 513 monoterpenes can enhance the resistance of grapevines to heat stress and thus, their exposure to heat may improve terpenoid emission as defense mechanism (Vickers, 514 Gershenzon, Lerdau, & Loreto, 2009). 515

516

517 2.3.5.2.  $C_{13}$  norisoprenoids

 $C_{13}$  norisoprenoids derive from the biodegradation of carotenoids such as  $\beta$ -518 carotene and lutein and contribute to floral and fruity attributes to wines (Keller, 2020). 519 The most important  $C_{13}$  norisoprenoids that are present in grapes and wine are  $\beta$ -520 damascenone, 1,1,6, -trimethyl-1,2-dihydronaphthalene (TDN), vitispirane and  $\beta$ -ionone 521 (Mendes-Pinto, 2009). These compounds contribute significantly to the varietal aroma of 522 certain grapevine varieties such as Chardonnay, Chenin Blanc, Semillon, Sauvignon 523 Blanc, Cabernet Sauvignon and Syrah (Bindon, Dry, & Loveys, 2007; González-Barreiro 524 et al., 2015). VVCCD1 genes are involved in the formation of carotenoid dioxygenases 525

526	(CCD) (Schwab et al., 2008). These are the key enzymes responsible for the specific
527	oxidative degradation of a wide range of carotenoids that allow the formation of $C_{13}$
528	norisoprenoids in grapes (Mendes-Pinto, 2009). High temperatures promote post-veraison
529	carotenoid degradation, and temperature threshold could be possibly higher than 30 °C
530	(Hickey, Kwasniewski, & Wolf, 2018). In addition, both cold (20 °C) and heat stress (38
531	°C) allowed to increase the expression of gene CCD (Scherzinger & Al-Babili, 2008).

532

### 533 2.3.5.3. C6 compounds

C6 compounds also contribute to grape varietal aroma and its content is abundant 534 535 in various aromatically neutral varieties (González-Barreiro et al., 2015). Generally, C6 536 compounds are absent in berries and other intact plant tissues and they are formed after mechanical damage by enzymatic oxidation of polyunsaturated fatty acids (Waterhouse 537 et al., 2016). These compounds are responsible for the herbaceous aromas of grapes and 538 wine, and in general, are in greater concentration in unripe grapes (Waterhouse et al., 539 2016). C6 compounds derived from cell membrane lipids through the lipoxygenase 540 (LOX) pathway (Podolyan, White, Jordan, & Winefield, 2010). This pathway allows the 541 hexanal formation from linoleic acid hydroperoxide, and the synthesis of (Z)-3-hexenal 542 543 and (E)-2-hexenal from linolenic acid hydroperoxide, which occurs through the hydroperoxide lyase (HPL) (Oliveira, Faria, Sá, Barros, & Araújo, 2006). Finally, the 544 alcohol dehydrogenase (ADH) enzyme reduces the aldehydes to their corresponding 545 546 alcohols found in grapes, such as 1-hexanol, (Z)-3-hexenol and (E)-2-hexenol (Oliveira et al., 2006). Regarding the effects of temperatures on C6 compounds synthesis, it has 547 548 reported that the two recombinant LOXs reached the maximum enzymatic activity at 25 °C (Podolyan et al., 2010). 549

### 551 2.3.5.4. Methoxypyrazines

Methoxypyrazines are a group of heterocyclic aromatic organic compounds that 552 are present in green plant tissues and contribute to green, vegetal and herbaceous character 553 of grapes and wines (Gutiérrez-Gamboa et al., 2020a). Methoxypyrazines synthesis begin 554 with the condensation of ammonia with leucine or valine and glyoxal to form a 3-alkyl-555 2(1H)-pyrazin-2-one and its tautomer 3-alkyl-2-hydroxypyrazine (Harris, Ryona, & 556 Sacks, 2012). Several environmental factors such as cluster shading, water availability 557 and nitrogen fertilization can affect the accumulation of IBMP in grapes. Basal leaf 558 removal performed at 10 to 40 days after anthesis may to reduced IBMP synthesis in 559 560 grapes (Scheiner et al., 2010). Cluster shading carried out since veraison, using pieces of sackcloth, resulted in wines with lower IBPM concentration than the sun exposed clusters 561 (Sala, Busto, Guasch, & Zamora, 2004). The wines produced from goblet-trained 562 563 grapevines contained lower IBMP concentration than the ones from bilateral cordon trained grapevines (Sala et al., 2004). IBMP concentration analyzed at 2 weeks before 564 veraison was highly correlated to its levels at harvest, suggesting that light exposure 565 conditions, mostly influence IBMP accumulation, but not IBMP degradation (Ryona, Pan, 566 Intrigliolo, Lakso, & Sacks, 2008). Global warming may lead to wines with low content 567 568 of IBMP, which could be beneficial for cool viticultural climates since the wines produced from Sauvignon Blanc or other related cultivars could have less herbaceous character. 569

570

571 *2.3.5.5. Volatile thiols* 

572 Volatile thiols such as 4-mercapto-4-methylpentan-2-one (4MMP, 1), 3-573 mercaptohexyl acetate (3MHA, 2), and 3-mercaptohexan-1-ol (3MH, 3) are important 574 sulfur compounds involved in the aromatic profile of Sauvignon Blanc wines and in other 575 white and red varieties such as Colombard, Chenin, Gewürztraminer, Semillon, Petit

Manseng, Arvine, Merlot, and Cabernet Sauvignon (Pons et al., 2017). These compounds 576 belong to the class of varietal aromas because they result from the cleavage of odorless 577 precursors present in grapes or musts by yeast during alcoholic fermentation (Roland, 578 Schneider, Razungles, & Cavelier, 2011). Biosynthesis of volatile thiol precursors has 579 been related to moderate water deficit since water deficit leading to shoot growth cessation 580 and the accumulation of secondary metabolites in the berry (Pons et al., 2017). Severe 581 water deficit affects berry ripening dynamic and leads to a lowering of volatile thiol 582 precursor levels in grapes (Peyrot des Gachons et al., 2005; Pons et al., 2017). Šuklje et 583 al. (2014) showed that UV radiation reduction significantly decreased the concentration 584 585 of varietal thiols, while defoliation increased its concentration in Sauvignon Blanc wines produced in South Africa. Wu et al. (2019) reported that thiol 3-sulfanyl hexanol 586 precursors exhibited lower content in the berries that were exposed by about +1.5°C in 587 mean value compared to the berries collected from the control. 588

589

### 590 3. Viticultural practices techniques to mitigate the effects of global warming

Delaying grape berry ripening to moderate temperatures could be an interesting 591 strategy to mitigate the effects of global warming in viticulture since the grape can mature 592 593 under relatively cool conditions. As was discussed in previous sections, a cool ripening phase is favorable to keep the acidity, nitrogenous and aroma components of grapes, as 594 well as their phenolic maturity. To our knowledge, three types of viticultural strategies 595 could be used to delay the grape ripening (Palliotti et al., 2014). i) To change the 596 establishment of the vineyards; ii) To change plant material and iii) To adapt different 597 viticultural techniques (Fig. 1, 2). This strategy is the most interesting since it can be 598 applied directly into the established vineyards. Certain viticultural techniques can be 599 applied to delay grape ripening based on three basic principles: 1) Source to sink ratio 600

limitations; 2) Managing carbon and nutritive competition between vegetative and
 reproductive growth; 3) Postponing all the phenological stages thus delaying ripening
 phase.

604

605 *3.1. Source to sink ratio limitation techniques* 

During the growing season, leaves are considered the main source of 606 carbohydrates since perennial organ cease exporting sugar between bunch closure and 607 veraison (Weyand & Schultz, 2006). After shoot growth stop, ripening berries and 608 maturing shoots are the main sinks of sugar within the grapevine though the starch is also 609 610 accumulated in roots, dormant buds and perennial woods (Pellegrino, Clingeleffer, Cooley, & Walker, 2014). At veraison, defoliation induced an alteration in carbohydrate 611 distribution in the whole grapevine, as revealed by decrease of starch content and an 612 613 increase in soluble solids content (Vaillant-Gaveau et al., 2014). These modifications affect the number of inflorescences per clusters in the grapevine in the subsequent season 614 (Vaillant-Gaveau et al., 2014). By contrast, fruit removal at veraison resulted in a 615 significant increase of carbohydrate reserves in the grapevine, although the efficiency of 616 sexual reproduction was not improved in the subsequent season (Vaillant-Gaveau et al., 617 618 2014).

Grape quality is mostly determined by grapevine total leaf area and by the percentage of total leaf surface exposed to sunlight and the initiation primordia (Kliewer & Dokoozlian, 2005). Optimum leaf to fruit ratio level to obtain a correct maturity in terms of total soluble solids, berry weight, and berry coloration at harvest, range from 0.6 to  $1.2 \text{ m}^2/\text{kg}$  for single canopy (Gutiérrez-Gamboa, Díaz-Galvéz, Verdugo-Vásquez, & Moreno-Simunovic, 2019c; Kliewer & Dokoozlian, 2005). A leaf to fruit ratio below 0.6 m<sup>2</sup>/kg may lead to a lower capacity of soluble solids accumulation in grapes and thereby,

slowing the ripening grape process (Keller, 2020). In the past vintages, the reduction in 626 leaf to fruit ratios was always unwanted due to the risk of not being able to adequately 627 ripen the grape. Currently, high temperatures are prolonged during growing season and 628 even with a low leaf to fruit ratio, the grapes could also reach high levels of soluble solids 629 (Palliotti et al., 2014). Therefore, grapes could ripen at a relatively cool weather condition 630 modifying source to sink ratio. Since leaf to fruit ratio is determined by leaf area and crop 631 load, we can reduce this ratio reducing leaf area through shoot trimming (Santesteban, 632 Miranda, Urrestarazu, Loidi, & Royo, 2017; Zheng, García, Balda, & Martínez De Toda, 633 2017b) or performing a post-veraison apical defoliation to the cluster zone (Palliotti et al., 634 635 2013a). On the other hand, it is possible to modify this ratio altering yield through minimal pruning (Zheng et al., 2017a). Source limitation does not merely consist of the reduction 636 of leaf area, it can be also realized by limiting the photosynthesis of well-functioning 637 leaves. In this category, it is possible to apply shading nets (Chorti et al., 2010; Palliotti 638 et al., 2014), as well as antitranspirant sprays (Gatti et al., 2016a). 639

640

# 641 *3.1.1. Severe shoot trimming*

Shoot topping (ST) is the cultural practice in which is removing the shoot tip, and 642 also is called as tipping in the field of viticulture (Keller, 2020). ST involves both the 643 removal of a major sink for nutrients (shoot tip) and a sharp reduction in active leaf area. 644 ST stimulates one to several lateral shoots to develop below the cutting point (Wolf et al., 645 1986). Growth of lateral shoots is highly influenced by the timing of topping and by the 646 edaphoclimatic conditions of the vineyard (Molitor et al., 2015; Palliotti et al., 2014). 647 Lateral shoots may also develop even without shoot topping since the influence of apical 648 dominance is reduced when the main shoot form approximately 18 to 20 leaves (Keller, 649 2020). Therefore, this competition may not be the main course of the delayed ripening 650

and the direct reduction in photosynthesis activity also should be taken into account
(Bondada, Covarrubias, Tessarin, Boliani, Marodin, & Rombolà, 2016; Herrera et al.,
2015).

Conventionally, ST is mainly used for balancing grapevine shoot vigor, improving 654 the canopy microclimate and providing feasibility for mechanized operation. However, 655 ST could exert more effects depending on its application timing and intensity degree. ST 656 leaving at 15 nodes before flowering did not affect the grapevine leaf to fruit ratio, yield 657 components and must composition compared to untrimmed grapevines, while ST 658 performed during flowering improved fruit set (Collins & Dry, 2009; Poni, Zamboni, 659 660 Vercesi, Garavani, & Gatti, 2014). ST performed one week after bloom over the 9 to 10th node increased yield and total soluble solids, while reducing acidity for most of the 661 experimental varieties (Cartechini, Palliotti, & Lungarotti, 2000). 662

Severe shoot trimming is a cultural technique in which is removing a large part of 663 the shoot. A severe shoot trimming, cutting the shoot on the node located above the last 664 bunch after fruit set, delayed the date of veraison about 20 days and at the same date of 665 harvest, shoot trimming treatment had lower soluble solids (12% to 15 % reduction), pH 666 (0.1 to 0.3) and total anthocyanin content (10 % reduction), and reduced bunch size and 667 yield by around 10 % (Martínez de Toda, Sancha, & Balda, 2013). Post-veraison severe 668 trimming could reduce sugar accumulation without affecting anthocyanin concentration 669 (Herrera et al., 2015). Similarly, it has been showed that post-veraison (when soluble 670 671 solids reached 15 °Brix) severe trimming (10 nodes) decreased yield, total soluble solids, pH and cluster compactness without reducing total anthocyanins in grapes (Bondada et 672 al., 2016). 673

Based on the above mentioned, severe trimming allows a delay in berry ripening,
while early trimming (before fruit set) usually negatively affects the percentage of fruit

676 set, impacting grapevine yield at harvested. A late severe trimming performed at post-677 veraison stages may cause an irreversible reduction in leaf area since fewer laterals could be produced and their aforementioned effects may occur only on the final stage of grape 678 ripening. Therefore, we suggest that the optimal moment to perform a severe trimming is 679 when the diameter of berry is close to 3 and 4 mm approximately, one week after berry 680 set. If a severe shoot trimming performed at this moment, the development of the berry 681 will be affected during the whole period of berry growth and thus, it would be maximally 682 influenced by shoot trimming. It was reported that a severe shoot trimming performed one 683 week after berry set delayed the harvest date of Grenache by two weeks, reaching similar 684 685 total soluble solids and a higher anthocyanin concentration than the grapes harvested from untrimmed grapevines (Martínez de Toda, Sancha, & Balda, 2014). Mechanical trimming 686 performed three weeks after fruit set significantly reduced leaf area and yield, resulting in 687 688 higher water availability in trimmed plants. The whole ripening process was delayed by trimming: mid-veraison was delayed by about 5 days, and the delay in sugar accumulation 689 690 and acid degradation was longer, while the differences were more marked in malic than in tartaric acid concentration (Santesteban et al., 2017). It is important to perform the 691 trimming treatments without reducing the grapevine leaf area to fruit ratio below 0.50 692 693  $m^{2}/kg$  to not negatively impact the grapevine capacity in the following season (Martínez de Toda et al., 2013). 694

695

# 696 *3.1.2. Leaf removal*

Leaf removal (LR) is a common viticultural practice used for canopy management
in the vineyard. Generally, LR is carried out on basal leaves to improve cluster
microclimate and the fruit composition and to decrease disease pressure (Mosetti et al.,
2016; Smith & Centinari, 2019). After veraison, basal leaves are no longer the main source

of photosynthetic product so the removal of them does not affect the ripening process
(Poni, Intrieri, & Silvestroni, 1994). However, if all the leaves above the bunch zone are
removed at veraison, total photosynthesis activity may decrease considerably because the
leaves on the apical two-third of the canopy are the most functional ones at the moment
and as a result, is produced a ripening delay (Palliotti et al., 2014).

Mechanical LR to the leaves located in the cluster apical zone, performed one 706 month after veraison leaded to a remotion of 35 % of the total lea area and reduced leaf 707 to fruit ratio by 36 %. This resulted in a delay in the accumulation of soluble solids in 708 Sangiovese grapes by 2 weeks (Palliotti et al., 2013a). These authors suggested that leaves 709 710 should be removed when the grapes reach a content of soluble solids close to 16–17 °Brix in order to delay effectively the sugar accumulation in grapes after LR. Similar results 711 were reported for Sangiovese and Montepulciano grapes when a post-veraison mechanical 712 713 LR was performed (Lanari, Lattanzi, Borghesi, Silvestroni, & Palliotti, 2013). Mechanical LR in the leaves located in apical cluster zone performed to grapevines when the grapes 714 715 reached 12 °Brix, delayed technological ripening in Sangiovese grapes by more than one week than the grapes harvested from no defoliated grapevines, without affecting color and 716 phenolics content in grapes (Palliotti et al., 2013a). However, a recent study highlighted 717 that early defoliation reduces bud fertility in rainfed vineyards cultivated under 718 Mediterranean climate conditions, suggesting that this practice should be avoided under 719 those conditions (Lopes, Egipto, Zarrouk, & Chaves, 2020). 720

To our knowledge, severe shoot trimming is a simple practice to perform in the vineyard since it can be easily mechanized and may achieve similar effects to those exerted by apical leaf removal. The defoliation of the apical zone is very easy to mechanize, and due to the fact that the leaves to be removed are separated from the cluster zone, it allows a high-speed work of the machine, since there is no risk of causing damage

to the exposed clusters. Basal LR will not be discussed in this section since it is not an
 interesting technique to delay the ripening of the fruits in grapevines.

728

# 729 3.1.3. Minimal pruning

As early as in the 1930s, Professor Albert Winkler from UC Davis conducted trials 730 and he found that unpruned grapevines had greater ability to self-regulate. Research over 731 732 30 years in Australia showed that traditional severe pruning could be unnecessary in a wide number of viticultural regions since it may lead to low wine quality, generally 733 associated with the development of shaded, tight bunches with large berries and 734 735 difficulties in the pests and diseases management (Clingeleffer, 2010). Minimally pruned grapevines generally produce must with better organic acid composition, greater wine 736 color and higher phenolics content than commonly pruned grapevines (Clingeleffer, 737 738 2010). In Spain, a long-term study about minimal pruning (MP) on Grenache grapevines showed that MP always produced higher yield than control grapevines growing under 739 drought conditions of La Rioja (Spain) (Martínez de Toda & Sancha, 1998). In another 740 long-term study, it was showed that MP increased yield by 56 % and reduced total soluble 741 solids by 9 % compared to conventionally hand pruned (CHP) grapevines, delaying fruit 742 maturity by 17 days (Zheng et al., 2017a). At similar total soluble solids, MP grapevines 743 leaded to lower berry weight and cluster weight (24 and 57 %, respectively), and higher 744 vield (51 %) than the grapes harvested from CHP grapevines (Zheng et al., 2017a). In 745 addition, the musts from MP fruit had higher total anthocyanin concentration (+17 % in 746 2014 and +21 % in 2015) than CHP fruit (Zheng et al., 2017a). However, in this report, 747 the improvement of total anthocyanins and wine color was more related to smaller berry 748 size rather than the higher anthocyanin synthesis per unit area of berry skin. 749

Requiring low cost of time and money and producing high yield (Table 2), MP is 750 751 a viticultural technique with great application prospect, especially when the climate is warmer since one of the most conspicuous effects of MP is to delay berry ripening 752 providing a cooler ripening condition for the grape development, favoring the 753 accumulation of anthocyanins, as well as keeping grape acidity. The MP basis 754 fundamental is that the vegetative and reproductive cycles are delayed as a consequence 755 756 of the high crop load. Thus, both the shoot and cluster number are considerably high, while their individual development is small, producing a very drastic change in grapevine 757 physiology. This change may lead to a high degree of grapevine self-regulation that does 758 759 not require a subsequent cluster thinning. This self-regulation capacity depends on the growing conditions, but if it is not achieved, it would be necessary to thin the clusters in 760 the case of an excessively high production. This practice may be mechanically performed 761 762 with a conventional grape harvester.

763

### 764 *3.1.4. Shading nets*

The most important factor for photosynthesis is the light, and the rate of 765 photosynthesis depends on the quantity and quality of light (Keller, 2020). Shading net 766 767 applications over the grapevine reduce the photosynthetic photon flux at the leaf surface available for photosynthetic process and thus, may to delay berry ripening (Novello & de 768 Palma, 2013). These implications are probably explained because the shade nets can lower 769 the temperature of the canopy and the fruit by up to 7 °C (Lobos et al., 2015). In this 770 sense, most of the studies about the effects of shading nets showed that excessive canopy 771 shading might lead to poor berry quality, which is specifically expressed in high malate 772 content and poor color in grapes and wines (Chorti et al., 2010; Palliotti et al., 2014). Leaf 773 and cluster shading produced higher content of malate, potassium, and pH, while 774

decreased the content of anthocyanins and total soluble phenols in fruits, without affecting 775 776 sugar and potassium accumulation (Morrison & Noble, 1990). Cluster shading at veraison significantly reduced the anthocyanin accumulation due to the inhibition of the VvmybA1 777 gene, which is involved in anthocyanins synthesis (Jeong, Goto-Yamamoto, Kobayashi, 778 & Esaka, 2004). In this way, UV-light barriers significantly reduced individual and total 779 flavonol concentrations, while temperature had little or no effect on their concentrations 780 (Spayd, Tarara, Mee, & Ferguson, 2002). Partial shading of the grapevine canopy at 781 different phenological stages reduced yield losses and decreased the concentration of 782 anthocyanins in grapes, which was related to the excessive radiation (Oliveira, Teles, 783 784 Barbosa, Olazabal, & Queiroz, 2014). In another report, it was reported that shade cloths may efficiently palliate temperature spikes, especially in the last weeks before harvest, 785 while transmitting enough radiation into the grape zone compared to uncovered grapes 786 787 (Martínez-Lüscher, Chen, Brillante, & Kurtural, 2017).

Leaf shading may be an interesting strategy to face global warming in viticulture since it could slow down the ripening process, however, cluster shading could be an undesirable tool in viticulture since it may negatively affect the grape color. Despite the application of shading nets is a viable technique, several issues should be clarified for a better performance: 1) The relationship between timing/duration of shading and the degree of ripening delay to be obtained; 2) Better understanding of the shading effects of different grapevine sides; 3) The technical feasibility of artificial shading nets.

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796 *3.1.5. Mulching strategies* 

Mulch is a type of ground cover that may be made from several materials and it is placed on the soil vineyard surface for different reasons that include soil amelioration, improvement of canopy microclimate and weeds control (Ross, 2010; Ferrara et al.,

2012). Related to global warming, vineyards with mulch tend suffer less thermal and
water stresses (Fraga & Santos, 2018). Three main types of much may be applied in the
vineyard such as organic (grape marc, compost, vine pruning, green waste, animal
manure, mussel shells), living and inorganic (plastic, stones or glass) mulches (Ross,
2010).

Organic mulching is a sustainable practice widely used in horticultural crops and 805 prevents soil erosion, retains soil moisture, improve some physico-chemical soil 806 properties, regulates soil temperature and reduces evaporation (Medrano et al., 2015; 807 Fraga & Santos, 2018). Organic mulching modifies soil reserves, minimizes soil 808 809 evaporative losses and by consequent improves water filtration affecting directly water use efficiency (WUEc) (Pinamonti, 1998; Davies et al., 2011; Medrano et al., 2015). 810 However, water conservation effect of straw mulches is more pronounced in the case of 811 812 high-frequency irrigation and the cumulative water losses decreases with an increase in straw mulch thickness (Myburgh, 2013). In this way, rice-straw mulching combined with 813 814 surface irrigation could be an interesting tool for maximizing water use efficiency (Zhang 815 et al., 2014). On the other hand, it was reported that plastic-straw treatments may decrease root soil temperature up to 10 °C compared to plastic treatments carried out in the soil 816 surface (Holzapfel, Smith, Greer, Dunn, & Hardie, 2014). In this study, the increase in 817 soil temperature leaded to an elevating root reserve mobilization and a shortening on 818 grapevine reproductive development. Based on this, these authors suggested that not only 819 air temperature may alter berry maturation under similar yield levels, but also the root 820 environment can have important effects on reproductive development. Bavougian and 821 Read (2018) reported that soil temperatures were mostly higher under mulches and lower 822 under intra-row groundcovers compared to the use of glyphosate in Marquette grapevines 823 cultivated in southeast Nebraska (USA). These authors did not report differences in mid-824

day photosynthetically active radiation (PAR) reflectance and mid-day grapevine water potential among the intra-row treatments. Therefore, these authors suggested that in vineyards where soil fertility and moisture are non-limiting, it is not necessary to maintain a bare soil strip.

Mulches elaborated from inorganic materials have a more physical effects on the 829 vineyard soil and microclimate and may be used to alter radiation and heat (Ross, 2010). 830 831 Reflective mulch utilization in vineyards cultivated under cool climate conditions lead to an enhancement of microclimate within the canopy, increasing sunlight reflected from the 832 vineyard floor into the grapevine cluster zone, especially in early stages of the growing 833 834 season allowing to improve yield without affecting berry chemical composition (Coventry, Fisher, Strommer, & Reynolds, 2005; Hostetler, Merwin, Brown, & Padilla-835 Zakour, 2007; Sandler, Brock, & Vanden Heuvel, 2009; Osrečak, Karoglan, & Kozina, 836 837 2016). In addition, under these conditions, polyethylene sleeves installed for seven weeks in the spring leaded to an advancement of budbreak by 3 to 6 days, bloom by 838 approximately 10 days and fruit maturation by 7 to 26 days depending on the vineyard 839 site (Bowen, Bogdanoff, & Estergaard, 2004). Contrary to this, color plastic mulching 840 may be used as water stress mitigation strategy in warm climate viticulture, especially in 841 842 anisohydric varieties that hold a low capacity for regulating transpiration. In this way, double color plastic mulch installed with a white color facing up and black on the inside 843 facing the soil in a Syrah vineyard located in the Colchagua Valley (Chile) resulted in a 844 50% reduction in irrigation volume compared to the control (Gil et al., 2018). Fraga and 845 Santos (2018) analyzed the impacts of mulching application under future climates, 846 reporting that this strategy may indeed mitigate some detrimental climate change impacts 847 on yield. 848

Some negative oucomes from the application of mulch in the vineyard exist because mulches can be expensive, messy, may brack down quackly limiting their usefulness, and may may obstruct mechanization of the vineyards (Ross, 2010). Therefore, it is important to choose the correct type of mulch and to match it carefully depending to the situation and towards the desired outcome (Ross, 2010).

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### 855 *3.1.6. Antitranspirant sprays*

Stomata can control gas exchange in the leaf as well as the diffusion of CO<sub>2</sub> into 856 plant, being essential for grapevine photosynthesis (Pou, Medrano, Tomàs, Martorell, 857 858 Ribas-Carbó, & Flexas, 2012). Light, soil water deficit and vapor pressure deficit (VPD) are the most important environmental factors, affecting stomatal closure in crops 859 cultivated in the Mediterranean area (Klein, 2014). The use of antitranspirants may reduce 860 861 transpiration losses, conserving water loss and by consequence, preventing berry shrinkage (Das & Raghavendra, 1979). As grapevine stomatal conductance decreases, 862 photosynthetic activity also decreases and the magnitude of this reduction depends on the 863 isohydric or anisohydric behavior of the variety (Gutiérrez-Gamboa et al., 2019a). This is 864 the physiological background of the use of antitranspirant sprays since their application 865 to grapevines may reduce yield and delay grape ripening. In this way, a film-forming 866 anti-transpirant applied to grapevines before flowering reduced yield and bunch 867 compactness through smaller final berry size, improving the berry quality (Gatti et al., 868 2016a; Palliotti, Poni, Berrios, & Bernizzoni, 2010). Vapor Gard applied after veraison 869 on Sangiovese grape leaves slowed significantly the accumulation of berry sugars without 870 affecting the storage of carbohydrates and total nitrogen of shoots and roots (Palliotti et 871 al., 2013b). Antitranspirant sprays application is considered as a flexible and easy-to-do 872

technique since the desired effects can be obtained by adjusting dosage and timing and
this operation does not require specific equipment or skills (Palliotti et al., 2014).

Particle film technology is a remarkable tool leading to a decrease in the 875 environmental stress conditions for fruit production (Kok & Bal, 2018). This inexpensive 876 technology similar in principle to the category of antitranspirants, involves the application 877 of engineered clavs, such as kaolin (an aluminum phyllosilicate, Al<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>(OH)<sub>4</sub>), to cover 878 leaves and fruits with thin nanoparticles films (Brillante et al., 2016). During the last 879 decades, the application of kaolin-based sunscreens has become a common alternative to 880 reduce sunburn in apple trees, where the color fruit development requires the direct 881 sunlight exposition (Glenn, Prado, Erez, Mc Ferson, & Puterka, 2002). Recently, the 882 adoption of this strategy in viticulture is increasing due to the effects of high temperatures 883 and heat stress on canopy physiological process, sunburn, yield and berry quality (Frioni 884 885 et al., 2019a, 2019b). The kaolin sunscreens work by reducing canopy temperature with an average of about 4 °C and up to 6 °C and it maintain a high the photosynthetic activity 886 preventing irreversible photoinhibition phenomena and avoiding physiological damage 887 with chlorotic and necrotic leaves, dehydrated berries and sunburn damages (Frioni et al., 888 2019b). Preliminary reports showed that article film applications to grapevines 889 significantly reduced berry surface temperatures by 0.7 and 1.5 °C without affecting berry 890 physico-chemical parameters at harvest (Smith, 2005; Lobos et al., 2015). Recent studies 891 have shown different results on kaolin's impacts on grapevine physiology. In this way, 892 Brillante et al. (2016) showed that kaolin treatments increased grapevine intrinsic water 893 use efficiency (WUEc) without affecting berry and bunch weight and quality. Dinis et al. 894 (2018a) reported that kaolin-particle film suspension decreased leaf temperature by 18 % 895 and minimal chlorophyll fluorescence and increased leaf water potential up to 41 % and 896 maximum photochemical quantum efficiency of PSII compared to non-treated 897

grapevines. In another report, Dinis et al. (2018b) showed that plants treated with kaolin 898 899 showed higher values of stomatal conductance, net CO<sub>2</sub> assimilation rate and intrinsic water use efficiency probably due to a slight decrease in abscisic acid and an increase in 900 indole-3-acetic acid. However, the effects of kaolin-based sunscreen on grapevine 901 physiological responses may be affected by the plant water availability and thermal stress. 902 Frioni et al. (2019a) reported that kaolin improved leaf cooling and slightly reduced 903 photosynthetic and water loss rates in grapevines growing in absence of water stress, 904 whereas kaolin treatments in grapevines growing under water deficit and upon re-watering 905 leaded to a lack of photo-inhibition and the maintenance of leaf evaporative cooling, 906 907 warranting an early recovery of leaf functions upon re-watering. Garrido, Serôdio, De Vos, Conde and Cunha (2019) reported that kaolin applied to Alvarinho leaves increased 908 the photosynthetic activity of both exocarps and seed integuments of berries growing 909 910 under low light conditions in the canopy probably due to the higher reflection of PAR to the inner zones. 911

Regarding the effects of kaolin applications to grapevines on grape and wine 912 quality, some authors reported that foliar kaolin based-reflective films allowed to increase 913 the content of anthocyanins in grapes improving anthocyanins to soluble solids ratio at 914 915 fruit maturity without affecting grape and wine volatile composition (Ou, Du, Shellie, Ross, & Qian, 2010; Song, Shellie, Wang, & Qian, 2012; Shellie & King, 2013a, 2013b; 916 Shellie, 2015; Kok & Bal, 2018). In this way, kaolin-based reflective film applied to 917 grapevine canopy may decrease leaf and berry surface temperature and reduce heat stress 918 which allows to avoid anthocyanins to sugar decoupling. Thus, kaolin may behave as an 919 interesting tool to viticultural sustainability since it could already save water use in 920 vineyard and its applications to the canopy is inexpensive and does not requires special 921 devices. 922

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924 *3.2. Management of carbon and nutritive competition between vegetative and* 925 *reproductive growth* 

926

927 3.2.1. Late irrigation

At veraison, shoots begin to form a periderm which means the onset of shoot 928 maturation and along with this process, shoot growth begins to cease (Keller, 2020). 929 Water irrigation applied at this moment could be a useful strategy to resume shoot growth 930 and thus, reducing available photosynthates for the clusters (Novello & de Palma, 2013). 931 932 Late irrigation (LT) effect is greater if it is combined with shoot trimming because the latter operation could promote the growth of a number of lateral shoots which could 933 enhance the photosynthates competition (Palliotti et al., 2014; Santesteban et al., 2017). 934 935 However, LT is not very used commercially due to the concern by viticulturists about the "dilution effect" and diseases pressure (Palliotti et al., 2014). Dense grapevine canopies 936 that result from abundant water supply may also produce a decrease in wine color due to 937 the potential shading of clusters (Keller, 2020). Therefore, compared to other viticultural 938 techniques, LT may not be the best choice if the goal of the viticulturist is only delay 939 940 grape ripening. Many viticulturists habitually think that the application of irrigation during the ripening phase could lead to the dilution of berry composition or even to lead 941 to an increase in yield however, such fears may be not correct (Gil Cortiella, Úbeda, 942 Barrio-Galán, & Peña-Neira, 2020). In fact, after veraison, xylem flow is blocked while 943 sugar and water increments are linked, and phloem sap is the unique source of 944 photosynthates (Coombe & McCarthy, 2000). Therefore, the berry enlargement during 945 ripening depends on the import of photosynthates rather than the water absorption by 946 roots. 947

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# 949 *3.3. Techniques related to postpone the phenological stages*

The timing of budburst exerts a great influence on the subsequent vegetative and reproductive growth (May, 2000). Therefore, it is possible to postpone all the phenological stages including technological maturity by delaying the budburst date (Friend & Trought, 2007). Fortunately, this could be performed through different pruning methods such as late winter pruning or forcing bud regrowth (Frioni et al., 2016; Gu, Jacobs, McCarthy, & Gohil, 2012).

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## 957 *3.3.1. Late winter pruning*

Late winter pruning (LWP) may delay budburst by a few days and it is mainly 958 performed to avoid the risk of spring frost injury of vegetal tissues (Gatti et al., 2016b). 959 960 The action mechanism of this phenomenon is the apical dominance. In this way, grapevine shoot growth starts in the distal buds of a cane and the development of the basal buds is 961 often inhibited by the budburst of distal buds (Keller, 2020). Therefore, after a late 962 pruning, basal buds are forced to break. LWP performed after budburst removes reserves 963 that have been already mobilized by the plant and located in the vegetative growing 964 965 organs, and the plants can probably get weak (Hidalgo, 2011). However, the grapevines has a greater capacity to recover under global warming, so this weakness is not a big 966 concern in viticulture (Keller, 2020). 967

In recent years, several studies about LWP have been published with the particular goal to delay grape ripening. However, its effects depend largely on the moment of its application on grapevines (Palliotti et al., 2014). LWP at stage E (leaves unfolded) and F (inflorescence clearly visible) could delay the budburst date by 17 and 31 days, respectively (Frioni et al., 2016). However, the losses of yield were significant and LWP

973 performed at both stages failed to postpone the subsequent phenological stages in a 974 vineyard cultivated under the warm conditions (Gatti et al., 2016b). LWP performed at the stage G (inflorescences separated) delayed fruit ripening and reduced yield, number 975 of inflorescences in winter buds, and soluble solid in grapes, while it increased titratable 976 acidity and total anthocyanins concentration in grapes (Frioni et al., 2016). Additionally, 977 no yield was obtained after LWP performed at stage H-I on grapevines (40% to 50% of 978 979 flower caps fallen). LWP performed at the stage C failed to delay the late phenological stages and did not exert important effects on grapevine yield and berry composition 980 (Zheng, García, Balda, & Martínez de Toda, 2017c). However, LWP performed at the G 981 982 (inflorescences separated) and H (flowers separated) stages delayed all the grape phenological stages and the grapes ripened in a colder period than the control ones. 983 Nevertheless, grapevine yield was reduced significantly by these treatments (41 and 67 984 985 %, respectively) and LWPH increased the ratio of anthocyanin to sugar and helped to keep high acidity levels in the berry. In another report, it was reported that LWP delayed berry 986 maturity by up to 3 weeks in Shiraz and by 2 weeks in Cabernet Sauvignon (Petrie, 987 Brooke, Moran, & Sadras, 2017). The authors showed that yield response varied between 988 pruning dates. In this way, Shiraz grapevines pruned at E-L 15 phenological stage 989 recorded a reduction in yield close to 50 %, while the yield of the treatments performed 990 in other phenological stage ranged from a 24 % reduction to a 55 % increase relative to 991 the control. 992

The main cause in yield reduction by LWP seems to be the losses of flowers and/or the reduction in fruit set percentage in the current season, instead of the losses in inflorescences within buds in the previous season. LWP is a viable approach to delay berry ripening as long as it is carried out late enough. However, the application of severe LWP on grapevines may lead to an unacceptable low yield, negatively affecting vineyard

998 economical returns. Therefore, it is of wide importance to find out and study the best 999 moment to perform LWP on grapevines with the aim to delay fruit sugar accumulation 1000 without affecting yield. To our knowledge, few studies have focused on this point and 1001 there is no general agreement. Thus, the prospects of LWP application in vineyards will 1002 depend mainly on whether a good balance between berry quality and yield that can be 1003 obtained via this technique.

1004

1005 3.3.2. Forcing regrowth

Double pruning or forcing bud regrowth is an innovative technique that has been 1006 1007 proposed for hot viticultural regions to face global warming (Gu et al., 2012). This technique consists of cutting growing shoots, leaving several nodes with the aim of 1008 forcing the development of new buds and thus break the bud paradormancy. Forcing bud 1009 1010 regrowth have allowed to move berry ripening towards cooler periods of the growing 1011 season (Martínez-Moreno et al., 2019). Grapevines treated with forcing techniques 1012 produce smaller berries and lower pH in musts, and higher content of total acidity, 1013 anthocyanins, tannins, and total phenolics than non-forced grapevines (Gu et al., 2012). A recent report showed that forcing bud regrowth on Tempranillo grapevines cultivated 1014 1015 under semi-arid conditions allowed to delay berry phenology and harvest date at least in 49 days compared to control (Martínez-Moreno et al., 2019). Berries harvested from 1016 grapevines treated with the forcing technique showed lower pH and higher titratable 1017 1018 acidity than the grapes from unforced grapevines at similar soluble solids, and the ratio 1019 anthocyanin to sugar was significantly higher in the berries collected from the grapevines under forced treatments (Lavado et al., 2019; Martínez-Moreno et al., 2019; Martinez de 1020 Toda, Garcia, & Balda, 2019). While forcing bud regrowth technique improves grape 1021 potential for wine making, it may drastically reduce yield, both in the season of 1022

application and in the subsequent (Martínez-Moreno et al., 2019). By releasing the apical dominance after shoot decapitation is promoted a clear and rapid hormonal disequilibrium, which would be the key to identify the so-called switches that initiate bud growth (Pou, Balda, Albacete, & Martínez de Toda, 2019). Grapevine regrowth from the formed latent buds after the application of forcing bud regrowth treatments might be upregulated by cytokinin and promoted by the absence of abscisic acid (Pou et al., 2019).

1029 Recently it has been reported an original variant of this technique that is able to obtain fruit with different levels of maturity on a same grapevine (Poni et al., 2020). The 1030 results of this study show that primary clusters in grapevines subjected to forced 1031 1032 treatments reached target maturity with a delay of 7 to 12 days compared to unforced control, whereas forced-crop, picked at the latest available date showed higher total 1033 soluble solids, anthocyanins and phenolics than the primary crop while retaining higher 1034 1035 acidity. In this way, forcing regrowth treatments allowed to delay ripening of both crops improving fruit quality at harvest (Poni et al., 2020). These results can be explained 1036 1037 because basal leaves belonging to forcing shoots reached higher assimilation rates than the ones from primary shoots and this type of forcing did not compromise fruitfulness of 1038 the basal primary nodes, which set at about 1.2 inflorescence primordia/shoot (Poni et al., 1039 1040 2020). The authors of this study suggested that forcing applied in fruit-set was preferable to the ones performed in full flowering and in groat-sized berries stages in terms of milder 1041 ripening delay and by a balanced leaf to fruit ratio. 1042

Some requirements or preconditions should be met to incorporate the application of this technique in the vineyard management: i) the formed dormant buds should have high fertility levels; ii) the buds should achieve the paradormancy stage which can be very time consuming; iii) the released dormant buds should preferably be those located at the apical first or second node of each trimmed shoot with the aim to leave the first three basal

1048 dormant buds that will be retained after winter pruning undisturbed (Poni et al., 2020); iv) 1049 the basal dormant buds are required to reach a regular bud induction to provide suitable pruning wood for the following grape-growing season (Poni et al., 2020); v) the unlock 1050 1051 dormant buds should be have enough time to undergo induction and differentiation of the inflorescence primordia (Poni et al., 2020). Therefore, the stage of grapevine phenology 1052 in which forcing bud regrowth is performed play a crucial importance on ripening, yield 1053 1054 and quality of grapes. The cluster formation for the next season begins with the formation of leaf primordia within the compound bud, but normally do not break during the current 1055 growing season due to the latent bud paradormancy. This effect is due to the inhibition 1056 1057 produced by shoot tips, lateral shoots, and/or basal leaves (Martinez de Toda et al., 2019). However, the buds can be forced to break up during the current season since they are not 1058 fully dormant and do not require chilling. In order to force budbreak and shoot regrowth, 1059 1060 the source of the inhibition needs to be physically or chemically removed (Pou et al., 2019). 1061

Dormant buds' formation usually coincides with the shoot growth period (Keller, 2020). Therefore, it is possible that the forcing bud regrowth may to break even in early phenological stages (i.e. 6-8 leaves separated) of grapevines. Nonetheless, the later the operation is carried out, the more budbreak can be obtained. In addition, the forcing bud regrowth must be done before veraison, since dormant buds gradually lose the ability to break in 2-3 weeks, along with the slowing down of shoot growth.

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## 1069 4. Conclusions

Several viticultural techniques may be used to face the effects of high temperatures
and global warming, and this review highlighted those that allows to delay grape ripening.
Certain adaptations techniques as changes in altitude and exposure of vineyards or the use

1073	of late-ripening grapevine varieties, clones and rootstocks may delay the grape ripening
1074	for a few days, when these are applied separately. However, if they are used more than
1075	one, its cumulative effect could lead to a delay in berry ripening for a few weeks. Other
1076	viticultural techniques may delay the grape maturation by 15 to 20 days, each one
1077	depending on the adopted strategy, such as late or minimal pruning, severe trimming or
1078	apical leaf removal. Several of them hold independent physiological basis, so more than
1079	one can be applied to the vineyard, achieving cumulative effects and by consequence,
1080	achieve a considerable delay in grape ripening. Forcing bud regrowth, is a current trending
1081	topic in viticulture since it allows to delay all phenological stages, including the grape
1082	ripening for more than two months. Therefore, we have multiple viticultural techniques
1083	to adaptation to the current climate situation and we have time to perfect and fine-tune
1084	these techniques for a better worldwide viticulture.

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- 1927 1928

1929 Figure captions.

1930 Figure 1. Main viticultural techniques performed against high temperatures and global warming. Footnotes: a) Severe shoot trimming performed after fruit set in a Garnacha 1931 vineyard conducted in Gobelet training system. b) Severe shoot trimming performed after 1932 fruit set in a Tempranillo vineyard conducted in vertical shoot position (VSP) trellis 1933 system. c) Severe shoot trimming performed after fruit set in a Maturana Tinta vinevard 1934 1935 conducted in VSP trellis system. d) Minimal pruning in a vineyard conducted in VSP trellis system. e) Minimal pruning during winter dormancy. f) Minimal pruning in the 1936 herbaceous development stage of the berry. 1937

Figure 2. Forcing regrowth of grapevines. *Footnotes*: a) Shoot pruning (1, 2) and shoot 1938 development as a result of the budburst of the formed dormant buds (1A, 1B, 2A, 2B). b) 1939 Shoot pruning performed in May to provoke forcing bud regrowth. c) Forcing bud 1940 1941 regrowth into pruned shoots performed in May. d) Delaying of phenological stages; (1) the most advancing ripen cluster produced from traditional winter pruning and (2) the 1942 1943 inflorescence as result of forcing bud regrowth. e) Ripening delay obtained by forcing bud regrowth performed after fruit set: the image was taken on October 30 and the 1944 phenological stage corresponds to veraison. 1945

Table 1. Summary of the effects of high temperature on grapevine physiology and grape quality.

Temperature	Effects on grapevine physiology and grape quality
> 55 °C	Plant death
> 40 °C	Partial or total drying of leaves and grapes
2	Disruption of cell membranes and irreversible protein degradation
> 35 °C	Damage to the photosynthetic apparatus
)	Anthocyanin degradation in the grape
> 30 °C	Decrease on anthocyanin synthesis and increase in its degradation
)	Decoupling between anthocyanins and sugars and acidity of grapes
> 25 °C	Decrease on volatile compounds synthesis and increase in its volatilization in grapes from red grapevine varieties
> 20 °C	Decrease on volatile compounds synthesis and increase in its volatilization in grapes

**Table 2.** Summary of the effects of the main viticultural techniques performed against high temperatures and global warming. 

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	Viticultural technique	Delaying ripening	Sugar anthocyanin decoupling	Productivity	Grape and wine acidity	Operational time
	Shoot trimming	~20 days	VI	II	٨	2 to 3 h/ha plus
	Apical leaf removal	~20 days	VI	II	٨	2 to 3 h/ha plus
	Minimal pruning	~20 days	V	٨	=	40 h/ha less
	Late winter pruning	~20 days	VI	VI	ΛΙ	II
	Forcing regrowth	$\sim 2$ months	~	VI	~	40 h/ha plus*
1953	Je	operation is manually performed and not mechanized.	formed and not	mechanized.		

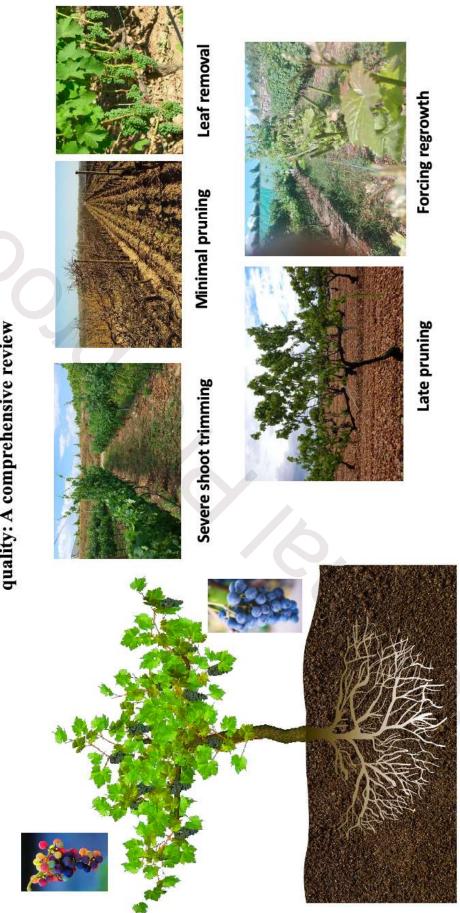




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Current viticultural techniques to mitigate the negative effects of climate change on grape and wine





# Highlights

- Viticulture is one of the main sectors in Mediterranean zones
- Global warming increase sugar and decrease in anthocyanins and acidity in grapes
- Climate adaptation strategies are essential to face global warming in viticulture
- Certain viticultural techniques may delay grapevine ripening close to 15 days
- Forcing bud regrowth delay ripening two months being essential in very-warm areas