1	Phlorotannins: from Isolation and Structural Characterization, to the
2	evaluation of their Antidiabetic and Anticancer Potential
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25 Abstract: Phlorotannins are phenolic characteristic compounds of brown seaweeds that are only 26 constituted by phloroglucinol (1,3,5-trihydroxybenzene). They are chain- and net-like structures of 27 diverse molecular weights, and have been widely identified in Ecklonia, Eisenia and Ishige species. 28 Since the time they were discovered in the 70s, phlorotannins have been suggested as a main factor responsible for the antimicrobial activities attributed to algae extracts. Currently, cumulative in vitro 29 and in vivo research evidence the diverse bioactivities of phlorotannin extracts -such as antidiabetic, 30 anticancer and antibacterial- pointing out their potential pharmacological and food applications. 31 However, metabolomic studies and clinical trials are scarce, and thus, many phlorotannins health-32 beneficial effects in humans are not yet confirmed. This article reviews recent studies assessing the 33 34 antidiabetic and anticancer activities of phlorotannins. Particularly, their potential to prevent and 35 control the progression of these non-communicable diseases are discussed, considering in vitro and animal studies, as well as clinical interventionstrials. In contrast to other approaches, we only 36 37 included investigations with isolated phlorotannins or phlorotannin-rich extracts. Thus, 38 phlorotannin extraction, isolationpurification and characterization procedures are briefly 39 addressed. Overall, although considerable research showing the antidiabetic and anticancer 40 potential of phlorotannins is now available, further clinical trials are still necessary to conclusively 41 demonstrate the efficacy of these compounds as adjuvants for diabetes and cancer prevention or 42 treatment. 43

Keywords: seaweeds, phlorotannins, isolationpurification, 44 non-communicable diseases, 45 antidiabetic potential, anticancer potential, in vivo studies.

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53 1. Introduction

- 54 The burden of non-communicable diseases (NCDs) is continuously growing worldwide. Diabetes,
- 55 cancer and cardiovascular diseases are the most prevalent chronic conditions, accounting for \$3.3
- 56 trillion of the annual health care cost in the United States (NCCDPHP, 2019). Unbalanced diet, low
- 57 physical activity and unhealthy lifestyle are the main modifiable risk factors contributing to NCDs.
- 58 This situation has led governments and the World Health Organization (WHO) to develop key public
- 59 policies that promote healthier diets (Kaczorowski, Campbell, Duhaney, Mang, & Gelfer, 2016). In
- 60 line with the government's public policies oriented to reduce NCDs prevalence, **F**the food industry
- 61 has been also engaged with lowering NCDs riskscontinuously working, through on food
- 62 reformulation, <u>consumer information nutrition labelling improvements</u>, promotion of healthy
- 63 lifestyle and development of _functional foods development and the general promotion of a healthy
- 64 lifestyle (Bigliardi & Galati, 2013). Additionally, the scientific community has been increasingly
- 65 investigating natural compounds that could prevent or treat chronic diseases. The research has been
- 66 mainly focused on phytochemicals ("Phyto" means plant in Greek), plant-derived non-nutritive
- 67 compounds with health-promoting activities, such as antioxidant, anti-inflammatory and anticancer
- 68 (Liu, 2004).

Glossary of Abbreviations

iNOS: inducible nitric oxide synthase COX-2: cyclooxygenase 2 CAT: catalase SOD: superoxide dismutase GSH-px: glutathione peroxidase Bcl-2: β-cell lymphoma 2 (anti-apoptotic protein of the Bcl-2 family) GLUT-4: glucose transporter type 4 Fas: cell surface death receptor XIAP: X-linked inhibitor of apoptosis protein FLIP: FLICE (caspase 8) -inhibitory protein AKT: serine/threonine-specific protein kinase, also known as PKB (protein kinase B) Bid: BH3 interacting domain death agonist (pro-apoptotic protein of the Bcl-2 family) Bim: BH3 only protein (pro-apoptotic member of the Bcl-2 family) Bak: Bcl-2 homologous antagonist/killer (pro-apoptotic protein of the Bcl-2 family) Bax: Bcl-2-associated X protein (pro-apoptotic member of the Bcl-2 family) p53: tumor suppressor protein (p) 53 NF-κB: nuclear factor kappa B, a transcription factor involved in stress responses and regulation of cell proliferation and apoptosis Bcl-xl: β-cell lymphoma-extra large (anti-apoptotic protein of the Bcl-2 family) PI3K: phosphatidylinositol 3-kinase RAF-1: rapidly accelerated fibrosarcoma, a serine/threonine-specific kinase ERK: extracellular signal-regulated kinase EGFR: epidermal growth factor receptor, a proliferation-stimulating protein,

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69	According to Liu (2004), phytochemicals are categorized into six major groups: phenolic compounds,	 Con formato: Espacio Desp	pués: 0 pto
70	alkaloids, nitrogen-containing compounds, organosulfur compounds, phytosterols and carotenoids.		
71	According to Liu (2004), phytochemicals are bioactive non-nutritive compounds present in fruit,		
72	vegetables and other plant foods that have been related to reductions in the risks of major NCDs.		
73	They have been widely studied in the last two decades through in vitro assays, in vivo models and		
74	clinical trials, which have shed light into the structure function relation responsible for their health-		
75	promoting effects. In plants, phytochemicals accomplish defence and reproductive functions		
76	(Huang, Xiao, Burton Freeman, & Edirisinghe, 2016). They are categorized into six major groups:		
77	phenolic compounds, alkaloids, nitrogen-containing compounds, organosulfur compounds,		
78	phytosterols and carotenoids. Phenolic compounds are the most studied phytochemicals since they		
79	are ubiquitous and abundant in all plant-based diets (Tsao, 2010). They are chemically defined as		
80	compounds having one or more aromatic rings with one or more hydroxyl groups (Liu, 2004). One		
81	high-value group of polyphenolic compounds -or polyphenols- only found in brown seaweeds are		
82	phlorotannins. These have attracted considerable interest because of their superior antioxidant		
83	capacity (Shibata, Ishimaru, Kawaguchi, Yoshikawa, & Hama, 2008; Wang et al., 2012) and valuable		
84	biological activities, such as anti-inflammatory, antihyperglycaemic and anti-tumour (Catarino, Silva,		
85	& Cardoso, 2017). Although phlorotannins have been widely studied through in vitro assays, in vivo	 Con formato: Fuente: Curs	siva
86	models and some clinical trials, which have shed light into a possible structure-function relation,	 Con formato: Fuente: Curs	siva
87	$\underline{metabolomicstudiesaddressingtheirbiotransformationandconjugationinthebody,andthustheir}$		
88	effective bioavailability, are still scarce. This article reviews the available research assessing the		
89	diabetes- and cancerpreventive potential of phlorotannins, as well as their capacity to treat both		
90	NCDs. Biochemical and cell-based studies, animal assays and clinical interventions are presented		
91	and discussed; unlike other articles, we only focused on investigations with isolated phlorotannins		
92	or phlorotannin-rich extracts. Therefore, phlorotannins extraction, $\underline{isolation} \underline{purification}$ and		
93	characterization techniques are also introduced.		
94	2. Phlorotannins and their Relevance		
95	Phlorotannins are polyphenols unique to brown seaweeds (Phaeophyta). In contrast to terrestrial		
96	plant polyphenols, which are gallic acid and flavonoid polymers, phlorotannins are solely based on		
97	phloroglucinol (1,3,5-tri-hydroxybenzene) (Fig. 1, A). Phloroglucinol monomeric unit is synthesized		
98	via the acetate-malonate pathway and its condensation gives rise to chains- and net-like structures		

with diverse molecular weights: the phlorotannins (Shibata, Fujimoto, Nagayama, Yamaguchi, &

Nakamura, 2002). Phlorotannins biogenesis is attributed to the Golgi apparatus and the

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101	endoplasmic reticulum, which has been seen to produce small phenolic-rich vesicles called physodes
102	(Schoenwaelder & Clayton, 2000). Physodes are the reservoir of soluble phlorotannins, while a small
103	fraction of insoluble phlorotannins is Phlorotannins are stored in soluble form into physodesand in
104	an insoluble form_associated with proteins and alginates of the cell wallIn some cases, t The
105	content of phlorotannins can reach up to 30% of the algae dry weight, especially in species belonging
106	to the Fucales order. However, this is extremely variable, depending on environmental conditions
107	(e.g., temperature, UV radiation intensity, nutrient concentration, grazing pressure) and intrinsic
108	factors (e.g., age, thallus morphology, growth rate) can reach 25 30% of the alga dry weight (Singh
109	& Sidana, 2013) (Mannino & Micheli, 2020). They are classified into six major groups (Fig. 1, B-H),
110	according to the type of linkages between phloroglucinol units and their content of hydroxyl groups:
111	fucols, with aryl-aryl linkages; phlorethols, with aryl-ether linkages; fucophlorethols, with aryl-aryl
112	and aryl-ether units; fuhalols, with aryl-ether linkages and additional OH groups in every third ring;
113	carmalols, with a dibenzodioxin moiety and derived from phlorethols; and eckols, with at least one
114	three-ring moiety with a dibenzodioxin element substituted by a phenoxyl group at C-4- (K.W.
115	Glombitza & Pauli, 2003). The main function of phlorotannins is to protect seaweeds from stress
116	factors (e.g., UV radiation, herbivores, nutrients depletion).
117	Due to their polymeric_structures, phlorotannins are able to scavenge potent free radicals
118	scavengers;- interact with they also modulate proteins and chelate metals (Ragan, Smidsrød, &
119	Larsen, 1979; Stern, Hagerman, Steinberg, & Mason, 1996; Wijesinghe, Ko, & Jeon, 2011). These
120	capacities explain the wide range of cellular and ecological roles of phlorotannins in seaweeds. They
121	are involved in cell wall hardening, accomplishing structure and reproductive functions, such as
122	protection of the zygote, adhesion of zygotes to substrate and wound healing. Moreover,
123	phlorotannins constitute a defence against herbivory, desiccation, high UVB radiation and toxic
124	heavy metals concentrations (Mannino & Micheli, 2020). For instance, it has been seen that under
125	copper contamination, their concentration in the cell wall increases together with their exudation
126	to the water, preventing copper from entering and damaging the photosynthetic system (Connan &
127	Stengel, 2011).
128	Available evidence states that phlorotannins not only play important ecological roles in seaweeds
129	but also would have beneficial health effects in humans. In fact, in the last fifteen years research
130	has mainly focused on addressing the capacity of phlorotannins to regulate relevant physiological
131	processes that affect body functions, such as digestion, metabolism, inflammation and cell
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132	proliferation.	Antidiabetic,	anticancer,	antibacterial	and	anti-aging	are	just	some	of t	he	potential

- health benefits that have been identified (Jang et al., 2015; H. J. Kim et al., 2018; Sharifuddin, Chin, 134 Lim, & Phang, 2015). Although a lot of studies have involved crude brown seaweed extracts, many
- 135 others were performed with purified phlorotannins or isolated compounds (Catarino et al., 2017).
- In this context, seaweeds from the Lessoniaceae family are the most studied, particularly the 136
- 137 phlorotannins eckol (a phloroglucinol trimer) and dieckol (a phloroglucinol hexamer) (Fig. 1, G-H),
- 138 found in high quantities in Ecklonia, Eisenia and Ishige species (Manandhar, Paudel, Seong, Jung, &
- 139 Choi, 2019; Rosa et al., 2019). However, findings are still based on a large number of in vitro and
- 140 animal studies, with only some clinical trials performed; thus, the health-promoting effects of
- 141 phlorotannins in humans have not yet been confirmed. Otherwise, investigations into the
- 142 development of extraction and purification processes oriented to the obtention of high yield
- 143 phlorotannins extracts or isolated phlorotannins have also sharply increased, mainly those with food
- 144 or pharmaceutical applications (Cikos, Jokic, Subaric, & Jerkovic, 2018). Eckol (a phloroglucinol
- 145 trimer) and dieckol (a phloroglucinol hexamer), found in high quantities in Ecklonia, Eisenia and
- Ishige species (Li et al., 2017), are two of the most studied phlorotannins. 146

147 3. Phlorotannins Extraction and Purification

148 Phlorotannins can be extracted from seaweeds by different methods. The most typical one is the 149 traditional solid-liquid extraction (SLE) by maceration. This involves the contact of the matrix with high volumes of solvents during long periods, at room or high temperatures. In SLE methods the 150 yield and the composition of the extracts depend on the solvent type, the solid-liquid ratio and the 151 extraction time and temperature (Leyton et al., 2016; Li et al., 2017). As phlorotannins are 152 moderately polar compounds, high yields have been achieved using methanol, ethanol, acetone or 153 their aqueous mixtures (e.g., acetone 70%), using high temperatures and long extraction times 154 155 (Catarino, Silva, Mateus, & Cardoso, 2019; Wang et al., 2012). However, similar extraction yields can 156 be achieved using alternative environmentally friendly extraction techniques. For instance, Tanniou et al. (2013) demonstrated that pressurized hot liquid extraction (PHLE) with a 75:25 ethanol-water 157 158 mixture yields S. muticum extracts with high polyphenol content and antioxidant activities; they 159 found that PHLE has a performance similar to centrifugal partition extraction (CPE) with 50:50 ethyl acetate-water and classical SLE, but with higher productivity and eco-sustainability. The study also 160 showed that supercritical CO₂ (SC-CO₂) extraction is not suitable for the recovery of phlorotannins 161 162 from brown algae. However, the addition of water and ethanol as co-solvents in SC-CO2 extraction

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163	has been shown to significantly increase the polyphenol yields obtained with pure CO_2 (Conde,	·	Código de campo cambiado
164	Moure, & Domínguez, 2014; Saravana et al., 2017).	`	Código de campo cambiado
165	Other environmentally friendly processes applied to produce phlorotannin-rich extracts are		
166	microwave-assisted extraction (MAE) and ultrasound-assisted extraction (UAE). An optimized		
167	aqueous MAE procedure (solid to liquid ratio 1:30, 160 °C, 3 min) was shown to increase by 70% the		
168	phlorotannin yield and purity of the extracts, and to reduce the extraction times compared to SLE		
169	with organic solvents, due to the MAE ability to decompose the cellular structure according to		
170	scanning electron microscopy images (Magnusson et al., 2017; R. Zhang et al., 2018). UAE with	·	Código de campo cambiado
171	ethanol/water mixtures enhances the phenolic content and the antioxidant capacity, as well as	1	Código de campo cambiado
172	shortens the maceration time in brown algae extractions (Agregán et al., 2019; Dang et al., 2017).	·	Código de campo cambiado
173	In addition, Kadam, Tiwari, Smyth, and O'Donnell (2015) reported an optimized UAE method with		Código de campo cambiado
174	aqueous-HCl as solvent (0.03 M HCl, 25 min, amplitude 114 μm), to obtain high yields of phenolic		Código de campo cambiado
175	compounds, fucose and uronic acid from Ascophyllum nodosum.		
176	Enzyme assisted extraction (EAE) is another green approach that takes advantage of the hydrolytic		
177	activity of proteases and carbohydrase to unbound cell wall phlorotannins. It has been mainly used		
178	as a pre-treatment to enhance the phlorotannin yields of alkaline SLE procedures (Leyton, Pezoa-	·	Código de campo cambiado
179	Conte, Mäki-Arvela, Mikkola, & Lienqueo, 2017; Siriwardhana et al., 2008).	'	Código de campo cambiado
180	Overall, PHLE has been the most employed, environmentally friendly, technique to efficiently obtain		
181	phlorotannin-rich extracts with potential application in functional foods or nutraceutical products		
182	(Heavisides et al., 2018; Montero et al., 2016; Sánchez-Camargo et al., 2016; Sanz-Pintos et al., 2017;	·	Código de campo cambiado
183	Tierney, Smyth, Hayes, et al., 2013). Nevertheless, PHLE is difficult to scale-up and in some cases		Código de campo cambiado
184	may be economically unfeasible for industrial production due to the high-pressure that the		Código de campo cambiado
185	equipment needs to withstand to keep the solvent in the liquid state (Cuevas-Valenzuela, Vergara-		Código de campo cambiado
186	Salinas, & Pérez-Correa, 2017). Finally, it should be noted that after using even the most efficient	×.,	Código de campo cambiado
187	extraction procedure a significant fraction of non-extractable phlorotanning remains in the residue		Código de campo cambiado
107	of the sequence matrix, due to their strong associations with protein or distany fibre, and additional		
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189	nydrolysis steps are required to release them (Sanz-Pintos et al., 2017).	'	Código de campo cambiado
190	4. <u>Phlorotannins Separation</u>		Con formato: Fuente: 12 pto,
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191 Extraction methods recover not only phlorotannins but also pigments, alginates and other brown 192 algae compounds. Therefore, additional separation steps are necessary to obtain purified

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193	phlorotannins for semi-preparative or analytical purposes. The main separation methods are liquid-	
194	liquid extraction and solid-phase-extraction (SPE) based on the polarity of the molecules, as well as	
195	dialysis based on the molecular size. Liquid-liquid extraction with ethyl acetate has been broadly	
196	employed to obtain enriched phlorotannin fractions from raw extracts (E. K. Kim et al., 2015; Li et	
197	al., 2017; S. R. Park, Kim, Jang, Yang, & Kim, 2018; R. Zhang et al., 2018). For instance, in E. K. Kim et	
198	al. (2015) ethyl acetate was used to obtain phlorotannins from an 80% methanol-water extract of	1
199	Ecklonia cava, followed by the isolation of dieckol. Although ethyl acetate is a permitted flavouring	
200	and extraction solvent in the food and pharmaceutical industries, it is a toxic substance and can	
201	cause organ damage when repeatedly inhaled or ingested (TOXNET, 2015). Thus, SPE procedures,	
202	such as macroporous resins, silica gel and dispersants, are safer alternatives. Haider, Zhenxing,	
203	Hong, and Jamil (2009) demonstrated that macroporous resins are better than silica gel and	
204	polyvinylpolypyrrolidone in adsorbing/desorbing phlorotannins. Later, J. Kim et al. (2014) reported	
205	that HP-20 is a suitable macroporous resin to purify phlorotannins and to eliminate co-extracted	
206	arsenic from E. cava (recovery: 92%, purity: 90.5 %); in addition, Leyton, Vergara-Salinas, Pérez-	
207	Correa, and Lienqueo (2017) found that XAD-16N is a good option to purify phlorotannins from <i>M</i> .	
208	pyrifera (recovery: 42%). The capacity of phlorotannins to adsorb on cellulose and celite have also	
209	been exploited through dispersive purification steps (Ferreres et al., 2012; H. A. Lee, Lee, & Han,	
210	2017; Sadeeshkumar et al., 2017).	
211	Molecular weight cut-off (MWCO) dialvsis is another food-grade approach to separate	
212	phlorotannins by size and to remove interferences. For example, Tierney, Smyth, Rai, et al. (2013)	
213	and Heffernan, Brunton, FitzGerald, and Smyth (2015) fractionated low and high molecular weight	-
214	phlorotannins using a 3.5 kDa MWCO membrane: the low molecular fraction (<3.5 kDa) was then	-
215	separated by reverse-phase flash-chromatography to get rid of small sugars.	
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210	Further separations by preparative chromatography combined with detectors (typically uitra-violet
217	detectors) are needed to obtain isolated phlorotannins. Size exclusion chromatography with
218	Sephadex LH-20 (Sadeeshkumar et al., 2016; C. Zhang et al., 2011; Zhou, Yi, Ding, He, & Yan, 2019),
219	reverse-phase chromatography (Kirke, Smyth, Rai, Kenny, & Stengel, 2017; Yotsu-Yamashita et al.,
220	2013) and thin-layer chromatography (Eom et al., 2012; Shibata, Yamaguchi, Nagayama, Kawaguchi,
221	& Nakamura, 2002) are the standard methods. They are used alone or in tandem to reach a better
222	fractionation. For instance, by sequentially using reverse-phase C18 chromatography, Sephadex LH-

223 20 gel filtration and thin-layer chromatography on silica gel, Eom et al. (2012) were able to isolate

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224	two phlorotannins from E. bicyclis; the compounds were later identified as fucofuroeckol A and
225	dioxinodehydroeckol by nuclear magnetic resonance (NMR).
226	Figure 2 presents a schematic representation of the general steps and the most used extraction and
227	purification methods to obtain phlorotannin-rich extracts or isolated phlorotannins from brown
228	seaweeds. It also shows the conventional techniques to characterize the phlorotannin content in
229	the output product of each step, which is reviewed in the next section.
230	5-4. Phlorotannins Characterization and Identification
231	After the preparation of seaweed extracts (crude or purified), the next task is to characterize their
222	able standing and the Table baseling and at (TDC) in a subjection with invite a sticking at a subject

232 phlorotannin content. Total phenolic content (TPC) in combination with in vitro antioxidant capacity 233 are useful and economic approaches for preliminary screening (Sáyago-Ayerdi, Mercado-Mercado, 234 Ramos-Romero, Torres, & Pérez-Jiménez, 2017). To estimate the TPC of seaweed extracts, the Folin-Ciocalteu (F-C) (Singleton & Rossi, 1965) and 2,4-dimethoxybenzaldehyde (DMBA) (Stern, 235 Hagerman, Steinberg, Winter, & Estes, 1996) spectrophotometric assays are widely used. The 236 237 results of both assays are commonly expressed as phloroglucinol equivalents (PE) per gram of dry 238 extract or alga, and sometimes as gallic acid equivalents. Based on F-C method, typical phenolic contents in crude seaweed extracts range from 50 to 150 mg PE/g of dry extract (Eom et al., 2012; 239 240 Li et al., 2017; Montero et al., 2016; Tierney, Smyth, Rai, et al., 2013). To assess the in vitro antioxidant activity of phlorotannin extracts, DPPH (2,2'-diphenyl-1-241 242 picrylhydrazyl) and ABTS (2,2'-azino-bis(3-ethylben-zothiazoline-6-sulfonic acid)) are the most used 243 analyses (Heffernan et al., 2015; Montero et al., 2016). DPPH and ABTS values are usually expressed 244 as equivalents of reference antioxidants, such as Trolox and ascorbic acid, or as IC₅₀ (half maximal inhibitory concentration). Through the same DPPH protocol, Heffernan et al. (2015) and Kirke et al. 245 246 (2017) reported IC_{50} values between 4 and 19 μg of dry extract/mL. ORAC (oxygen radical 247 absorbance capacity), one of the most widely used methods to assess the antioxidant capacity of plant extracts, has also been applied. In methanolic seaweed extracts and dichloromethane 248 fractions, Pinteus et al. (2017) and Silva et al. (2019) reported ORAC values ranging from 213 to 4469 249 250 µmol Trolox equivalents/g dry weight; overall, although TPC and in vitro antioxidant assays are practical to screen for phlorotannin content, they also quantify other reducing agents. For this 251 252 reason, more specific and sensitive methods are necessary to characterize the phlorotannin profiles

253 of algae extracts with accuracy.

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254 Ultraviolet detection and diode array detection (DAD) are very useful techniques to determine the presence of phlorotannins in seaweed extracts, since their maximum absorption is at 280 nm 255 256 (Agregán et al., 2017; Koivikko, Loponen, Pihlaja, & Jormalainen, 2007; Wang et al., 2012). However, 257 few phlorotannins can be identified by these methods, because of the lack of commercial standards. Therefore, NMR and tandem mass spectrometry (MSⁿ) coupled with liquid chromatography are the 258 259 main methods utilized to identify and determine the chemical structure of phlorotannins. Proton (¹H) and carbon-13 (¹³C) NMR analyses of phlorotannins were first applied by Glombitza and his 260 group in the 70s, when they identified phloroglucinol in different brown algae species (K. W. 261 Glombitza, Rosener, Vilter, & Rauwald, 1973). In 1974, bifuhalol and diphlorethol were firstly 262 isolated from an 80% ethanol extract from C. tamariscifolia and structurally elucidated by ¹H NMR 263 (K.W. Glombitza, Rosener, & Müller, 1975). Up to now, the structure of more than one hundred 264 phlorotannins have been identified using NMR (Jacobsen, Sorensen, Holdt, Akoh, & Hermund, 265 266 2019). ¹H quantitative NMR (qNMR) is an alternative method instead of the classic F-C to quantify 267 phlorotannins, but it has been scarcely used (Jegou, Kervarec, Cerantola, Bihannic, & Stiger-Pouvreau, 2015; Parys et al., 2009). Investigating the phlorotannin content in C. tamariscifolia, Jegou 268 269 et al. (2015) found that both F-C and qNMR methods showed the same trend in seasonal variation; 270 however, qNMR demonstrated higher selectivity because it did not overestimate the results. Another strategy for the advanced characterization of phlorotannins is MSⁿ, which enables the 271 272 identification based on their mass-to-charge ratio (m/z) and fragmentation patterns (m/z) of 273 precursor and product ions, respectively) (Table I). Quadrupole time-of-flight (QqTOF) and triple quadrupole (QqQ) analysers have been broadly used to this aim (Heffernan et al., 2015; Hermund 274 et al., 2018; Li et al., 2017; Tierney et al., 2014). MSⁿ spectrums allow knowing the polymerization 275 276 degree (PD) and structures of the phlorotannins being analysed, although, in most cases, only a 277 general elucidation is possible due to the high level of isomerization occurring in these compounds. Further isolation of individual phlorotannins and NMR analyses are mandatory to accurately 278 279 determine the structure of the isomers. By a QqQ method, Li et al. (2017) detected 42 different 280 phlorotannins with a PD of 2 to 12 in S. fusiforme; among them, they identified dieckol (m/z: 741; MS² m/z: 389, 600), eckol (m/z: 371; MS² m/z: 121, 140, 229, 246, 317, 335) and hexaphlorethol-A 281 (*m*/*z*: 745; MS² *m*/*z*: 727, 621, 461, 339, 265, 247). 282

Finally, some comments must be considered regarding the high-performance liquid
 chromatography (HPLC) process that should be done before MSⁿ identification, for the

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separation of the individual compounds. Classical reverse phase (RP) chromatography is the

286	most used approach (Agregán et al., 2017; Ferreres et al., 2012; Li et al., 2017; Lopes et al.,
287	2018; Wang et al., 2012). However, it has been seen that the separation of phlorotannins is
288	not quite as effective through this method, since the hydrophobic stationary phases of RP
289	columns weakly retain these compounds (Koivikko et al., 2007). HILIC (hydrophilic
290	interaction liquid chromatography) is considered a better option in separating
291	phlorotannins and has been widely applied in combination with MS ⁿ (Heffernan et al., 2015;
292	S. M. Kim et al., 2013; Melanson & MacKinnon, 2015; Montero et al., 2016).
293	
294	7.5. Phlorotannins Bioactivities: Antidiabetic and Anticancer Potential of
295	Phlorotannins
296	Bioactivities can be defined as effects that some non-nutritive food compounds (e.g.,
297	phytochemicals, vitamins and fibre) exert in physiological or cellular activities, resulting in the
298	promotion of health (Guaadaoui, Benaicha, Elmajdoub, Bellaoui, & Hamal, 2014). Since they were
299	discovered, phlorotannins have been explored in terms of their bioactivities. Through simple in vitro
300	assays, their protein-inhibition and antibacterial capacities were assessed first (Fukuyama et al.,
301	1985; K.W. Glombitza, Wiedenfeld, & Eckhardt, 1978). Currently, phlorotannins are considered to
302	be health-promoting compoundshighly bioactive compounds, because extensive evidence has been
303	gathered about their antioxidant (Wang et al., 2012), antihyperglycaemicdiabetic (S. H. Lee, Ko,
304	Kang, Lee, & Jeon, 2016), anti-tumour cancer-(Sadeeshkumar et al., 2017), anti-viral (Cho et al.,

305 2019), antibacterial (H. J. Kim et al., 2018) and many other <u>bioactivities health promoting effects</u>

306 (Jang et al., 2015; Jung, Jin, Ahn, Lee, & Choi, 2013). However, these most-findings are research

307 available is aboutmainly based on in vitro assays and animal testing on rodents; most

308 <u>comprehensive research involving metabolomic approaches and clinical interventions oriented to</u>

309 <u>understand the bioavailability and the real effects of phlorotannins in humans are still limited.</u> -crude

310 algae extracts.

285

In this section, we present studies demonstrating the diabetes- and cancer-preventive potential of

312 individual phlorotannins or purified phlorotannin extracts, as well as their capacity to reduce the

313 progression of both NCDs.

314 7.15.1

7.15.1 Diabetes Control and Prevention Potential

Diabetes Mellitus (DM) is a group of chronic metabolic diseases characterized by hyperglycaemia 315 resulting from defects in insulin secretion, action, or both. It is normally classified into insulin-316 317 dependent DM (type 1 diabetes) and non-insulin-dependent DM (type 2 diabetes). Type 2 diabetes 318 is the most prevalent form of DM (90-95%); it is a global health epidemic, which has been triggered by the increasing obesity and aging of the world population. Chronic hyperglycaemia of DM causes 319 high oxidative stress, inflammation and dysfunction of different organs, especially eyes, kidneys, 320 nerves, heart and blood vessels (ADA, 2014). Therefore, the effective control of fasting and 321 postprandial blood glucose is key to prevent diabetes or diabetic complications, and to improve the 322 323 quality of life of diabetic patients (DeFronzo, 1999). Many synthetic drugs such as acarbose and 324 rosiglitazone can reduce postprandial blood glucose, but they present adverse effects that could lead to secondary failures. For example, it has been reported that the thiazolidine class of drugs 325 326 induces adipogenesis in cell culture models and increases weight gain in rodents and humans (K. R. 327 Kim et al., 2006). They can also cause liver toxicity, headaches, hypoglycaemia, oedema and 328 hypertension (Cantello et al., 1997). Phlorotannins, and particularly dieckol, also act as DM 329 regulators, reducing hyperglycaemia and its negative effects by a combination of mechanisms of 330 action (Fig. 1). These properties of phlorotannins have been verified in several in vitro and animal studies, and in a few clinical trials. Next, we summarize the evidence of the antihyperglycaemic and 331 hyperglycaemia-protective effects of individual phlorotannins and phlorotannin-rich extracts, with 332 333 a special focus on clinical trials. 334 7.1.15.1.1 In vitro assays 335 7.1.1.15.1.1.1 Biochemical assavs 336 Carbohydrate-hydrolysing enzymes - α -glucosidase and α -amylase- are key factors responsible for elevating the blood glucose level after a meal (postprandial glycaemia); hence, many antidiabetic 337

338 drugs, such as acarbose and miglitol, inhibit the activity of these enzymes. However, consuming synthetic drugs for long periods may have negative side effects, such as abdominal cramps, vomiting 339 340 and diarrheadiarrhoea (Hanefeld, 1998). Many researchers are looking for natural drugs able to 341 inhibit α-glucosidase and α-amylase with no harmful effects. Phlorotannins are possible candidates 342 to control such enzymes, since polyphenols of several plants have shown to inhibit them effectively. 343 In fact, most investigated phlorotannins have exhibited lower IC₅₀ values -or greater inhibitory 344 activities- than acarbose. For instance, diphlorethohydroxycarmalol (DPHC) of I. okamurae presents 345 an IC₅₀ of 0.19 and 0.53 mM against α -glucosidase and pancreatic α -amylase, respectively, while the Código de campo cambiado

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346	values of acarbose are 1.05 and 1.10 mM (Heo et al., 2009). Additionally, it has been observed that
347	phlorotannins with molecular weights over 500 Daltons exhibit higher inhibitory effects in both
348	enzymes than compounds under 500 Daltons (S. H. Lee, Li, Karadeniz, Kim, & Kim, 2009; Moon et
349	al., 2011; S. R. Park et al., 2018). S. R. Park et al. (2018) found that 6,8'-bieckol (MW: 742.55) and 2-
350	O-(2,4,6-trihydroxyphenyl)-6,6'-bieckol (MW: 866.65) are more than fifteen-fold stronger α -
351	glucosidase inhibitors than eckol (MW:372.29) and 2-phloroeckol (MW:496.38). Moon et al. (2011)
352	hypothesized that t ⁺ his is probably explained by the high <u>er</u> number of hydroxyl groups present in
353	large phlorotannins than in small ones (Moon et al., 2011). Another plausible reason is the poor
354	absorption of high molecular weight phlorotannins in the upper gastrointestinal tract, described by
355	Corona et al. (2016). As for the potency of studied phlorotannins for each enzyme, dieckol,
356	fucodiphlorethol G, 6,6'-bieckol, 7-phloroeckol (S. H. Lee et al., 2009; S. H. Lee, Park, et al., 2010),
357	DPHC (Heo et al., 2009), fucofuroeckol A and dioxinodehydroeckol (Eom et al., 2012) have shown
358	higher inhibitory activity against α -glucosidase than against pancreatic α -amylase. Conversely, 2,7"-
359	phloroglucinol-6,6'-bieckol (H. A. Lee et al., 2017) has shown better inhibitory capacity against
360	pancreatic α -amylase. Additionally, kinetic and molecular docking analyses have been performed to
361	confirm the type of inhibition and to develop guidelines to apply phlorotannins as antidiabetic drugs,
362	showing that phlorotannins exhibit competitive and non-competitive inhibition against
363	carbohydrate-hydrolysing enzymes (Eom et al., 2012; Kawamura-Konishi et al., 2012; Moon et al.,
364	2011). For instance, after finding, through a kinetic assay, that 6,8'-bieckol is a competitive inhibitor
365	of α-glucosidase, S. R. Park et al. (2018) further confirmed this result by an <i>in-silico</i> docking study
366	which determined that 6,8'-bieckol anchors to amino acids located in the active site of the enzyme.
367	Besides carbohydrate-hydrolysing enzymes, the inhibitory capacity of phlorotannins against protein
368	tyrosine phosphatase 1B (PTP1B), a negative regulator of intracellular insulin signalling, has also
369	been addressed. While hydrolysing enzymes increase the influx of glucose from the intestine to the
370	vessels, PTP1B suppresses glucose uptake by muscle, adipose tissue and liver cells. Hence, inhibitors

371 of PTP1B, such as some phlorotannins, can enhance insulin action and reduce postprandial

372 glycaemia and insulin resistance in DM patients (S. Lee & Wang, 2007). Moon et al. (2011) reported

373 that most studied phlorotannins have similar or lower IC_{50} than ursolic acid, a natural compound

present in many fruits and herbs that is a strong inhibitor of PTP1B. The study of Moon et al. (2011)

- also found a possible correlation between molecular mass and inhibitory activity, with dieckol and
- 376 phlorofucofuroeckol A being stronger inhibitors than eckol and 7-phloroeckol. Moreover, almost all
- 377 investigated phlorotannins exhibited non-competitive inhibition of PTP1B.

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378 7.1.1.2<u>5.1.1.2</u> Cell-based assays

Several studies have demonstrated that hyperglycaemia can promote alternative glucose-379 380 metabolizing pathways in the mitochondria, thus generating intermediates that lead to the 381 formation of reactive oxygen species (ROS), nitric oxide (NO), peroxynitrite (ONOO⁻) and advanced 382 glycation end products (AGE). Hyperglycaemia can also reduce antioxidant enzymes defence, 383 thereby allowing ROS to accumulate. The combination of all these responses results in cellular and 384 tissue damage, causing organs failure and dysfunction (Baynes & Thorpe, 1999). Dieckol and 6,6'bieckol isolated from E. cava have exhibited a protective effect against glucose-induced oxidative 385 386 stress in human umbilical vein endothelial cells (HUVEC) and in rat insulinoma cells (S. H. Lee, Han, 387 Heo, Hwang, & Jeon, 2010; S. H. Lee, Park, et al., 2012; M. H. Park et al., 2015; M. H. Park et al., 388 2014). The studies showed that both compounds significantly inhibit cell death induced by high 389 glucose treatments (30 mM), in a dose-dependent manner. The authors argued that dieckol and 390 6,6'-bieckol diminish intracellular ROS and NO levels, as well as the lipid peroxidation caused by high 391 glucose concentrations. This antioxidant activity iswas related to a reduction of the overexpression of NO-producing enzymes (iNOS, COX-2), to an increased activity of antioxidant enzymes (CAT, SOD 392 393 and GSH-px) and to a higher expression of the anti-apoptotic protein Bcl-2. Similarly, DPHC from I. okamurae has shown a protective effect against glucose-induce damage in RINm5F pancreatic β 394 cells, as a result of an enhanced activity of antioxidant enzymes (S. H. Lee, Choi, et al., 2012). 395

396 7.1.2<u>5.1.2</u> Animal assays

397	The following phlorotannins were shown to significantly alleviate postprandial hyperglycaemia in	
398	streptozotocin-induced diabetic and normal mice: dieckol, phlorofucofuroeckol A as well as 2,7"-	
399	phloroglucinol-6,6'-bieckol from E. cava (M. C. Kang et al., 2013; H. A. Lee et al., 2017; S. H. Lee,	< .
400	Choi, et al., 2012; S. H. Lee, Min, et al., 2012; You, Lee, Park, Lee, & Han, 2015), DPHC from I.	
401	okamurae (Heo et al., 2009) and octaphlorethol A from I. foliacea (S. H. Lee et al., 2014; S. H. Lee et	1
402	al., 2016). Furthermore, the observed reductions were like those of control mice treated with	111
403	acarbose at the same dose (10 or 100 mg/kg). The authors related this effect to the capacity of the	
404	compounds to strongly inhibit carbohydrate-hydrolysing enzymes, as observed in biochemical $\ensuremath{\textit{in}}$	
405	vitro assays. In addition, dieckol (M. C. Kang et al., 2013), an AG-dieckol rich extract (100 mg/g) from	
406	E. cava (S. H. Lee, Min, et al., 2012) and octaphlorethol A (S. H. Lee et al., 2016), have shown the	
407	capacity to significantly lower blood glucose and serum insulin levels, as well as to significantly	Ì
408	improve glucose tolerance in type 2 diabetic mice (C57bl/KsJ-db/db). Moreover, since diabetes is	

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409	related to the oxidative stress induced by uncontrolled ROS production, <i>db/db</i> mice treated with	
410	dieckol, or the AG-dieckol rich extract, presented an increment in antioxidant enzymes activity (CAT,	
411	SOD and GSH-PX), showing less lipid peroxidation in the liver than the control group (M. C. Kang et	
412	al., 2013; S. H. Lee, Min, et al., 2012).	
413	Besides the inhibition of carbohydrate-hydrolysing enzymes, other mechanisms of action have been	
414	explored to explain the antihypergly caemic effects of phlorotannins in mice. Hepatic	
415	gluconeogenesis is a crucial target, as glucose output from the liver has an important contribution	
416	in fasting and postprandial hyperglycaemia. This metabolic pathway is controlled by insulin-	
417	regulated enzymes, such as glucose-6-phosphatase (G6Pase), phosphoenolpyruvate carboxykinase	
418	(PEPCK) and glucokinase (GK). It is known that G6Pase and PEPCK are up-regulated in DM, while the	
419	opposite occurs with GK (Davies, Khandelwal, Wu, Juurlink, & Roesler, 2001). An AG-dieckol rich	
420	extract and octaphlorethol A exhibited the capacity to down-regulate the activity and gene	
421	expression of G6Pase and PEPCK, and to up-regulate the activity of GK in C57bl/KsJ-db/db mice, thus	
422	diminishing blood glucose and increasing hepatic glycogen level (S. H. Lee et al., 2016; S. H. Lee, Min,	
423	et al., 2012).	
424	Glucose metabolism in muscle is another target for elucidating antidiabetic mechanisms of	
425	phlorotannins, as muscle is the primary tissue for glucose homeostasis. A crucial regulator is AMPK	
426	(AMP-activated protein kinase), which promotes insulin-independent glucose uptake by GLUT-4	
427	transporter, during exercise or low energy status (Musi et al., 2001). S. H. Lee et al. (2016) and M.	
428	C. Kang et al. (2013) found that octaphlorethol A and dieckol, respectively, activate AMPK	
429	phosphorylation and GLUT-4 expression in the muscle of C57bl/KsJ-db/db mice, thus increasing	
430	glucose uptake and utilization.	

431 The effects of some phlorotannins in lipid metabolism of DM mice have also been addressed since

- 432 hepatic lipid accumulation has been linked to the development of hepatic insulin resistance. S. H.
- 433 Lee, Min, et al. (2012) reported that an AG-dieckol rich extract significantly lowers the plasma and
- 434 hepatic free fatty acids, triglyceride and cholesterol levels in C57bl/KsJ-db/db mice. Moreover, it
- 435 was demonstrated that octaphlorethol A markedly suppresses mRNA expression level of hepatic
- 436 fatty acid synthase, a key enzyme that catalyses the synthesis of saturated long-chain fatty acids, as
- 437 compared to control diabetic mice (S. H. Lee et al., 2016).
- Additionally, as the gut microbiome plays an important role in the development of metabolic
 disease, type 2 DM and inflammatory responses (Patterson et al., 2016), the effect of

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phorocannins at this level has also been studied. Adall et al. (2019) led diabetic C57BL/611a	
a polyphenol-rich extract (21.13 mg GAE/g, high in phlorotannins) from L. trabeculate, and f	<u>ound</u>
positive effects not only on metabolic and antioxidant stress parameters but also on the dys	biosis
(gut microbial imbalance) produced by diabetes. The four-week polyphenol-based treatmen	<u>t</u>
lowered the fasting blood glucose and the serum insulin levels as well as improved the serum	<u>n lipid</u>
profile and the antioxidant response in DM rats. However, the most relevant finding was the	2
recovery of some short-chain fatty acids (SCFAs) and the gut bacterial biodiversity, which we	ere
impaired in control (non-treated) DM rats. The polyphenol-based feeding produced an incre	<u>ase of</u> (
acetic and butyric acid levels and a restoration of the abundance of some microbial commun	<u>nities</u>
involved in maintaining colon integrity and healthy insulin levels.	
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7.1.3 Clinical trials	←
Despite the strong evidence supporting the antihyperglycappic effect of phototenning in	mouro
Despite the strong evidence supporting the antihypergrycachile effect of phototalinins in	mouse
models, to date only four studies have assessed this response in humans. They were double	e-blind,
randomized and placebo-controlled clinical trials exploring the capacity of phlorotanr	nin-rich
extracts on regulating glucose and insulin levels in blood. Paradis, Couture, and Lamarche	(2011)
and Murray, Dordevic, Ryan, and Bonham (2018) involved healthy adults in acute postprandia	al tests,
analogous to those practiced in mice, while Shin, Kim, Park, Lee, and Hwang (2012) and S.	Hlee
and loop (2015) included overweight or prediabetic individuals in long term interventions	
and Jeon (2015) included overweight or prediabetic individuals in long-term interventions.	
and Jeon (2015) included overweight or prediabetic individuals in long-term interventions. Regarding acute interventions, Paradis et al. (2011) reported a significant 12.1 % reductior	n in the
and Jeon (2015) included overweight or prediabetic individuals in long-term interventions. Regarding acute interventions, Paradis et al. (2011) reported a significant 12.1 % reduction insulin incremental area under the curve (iAUC) in 23 non-diabetic adults that ingested a S	n in the (
and Jeon (2015) included overweight or prediabetic individuals in long-term interventions. Regarding acute interventions, <u>Paradis et al. (2011) reported a significant 12.1 % reduction</u> insulin incremental area under the curve (iAUC) in 23 non-diabetic adults that ingested a significance of a commercially available blend from the brown seaweeds Ascophyllum nodose	n in the
and Jeon (2015) included overweight or prediabetic individuals in long-term interventions. Regarding acute interventions, <u>Paradis et al. (2011) reported a significant 12.1 % reduction</u> insulin incremental area under the curve (iAUC) in 23 non-diabetic adults that ingested a <u>significant acute</u> and <u>single-dose of a commercially available blend from the brown seaweeds</u> <i>Ascophyllum nodose</i>	n in the

463 available carbohydrate (sugar plus starch), in comparison with those who ingested the placebo.

464 However, no significant difference was observed in postprandial blood glucose. Applying the same

study design to 38 participants, Murray et al. (2018) investigated the effect of a low (500 mg) and a
 high dose (2000 mg) of a polyphenol-rich *Fucus vesiculosus* extract (28% polyphenols); they found

- 467 no significant differences in the iAUC or postprandial peak concentrations in blood glucose and
- 468 plasma insulin across the treatments (placebo, low dose, high dose). On the other hand, in the long-

```
term trial of Shin et al. (2012), 97 overweight adults consumed a daily dose of 72 or 144 mg of a
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- 470 polyphenol extract from Ecklonia cava (rich in dieckol, 8,8'-bieckol, 6,6-bieckol and
- 471 phlorofucofuroeckol A), or a placebo, for 12 weeks. After the treatment, significantly lower fasting
- 472 blood glucose (4.9 % decrease) and body weight (2.0 % decrease) were observed in the high dose

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group. Likewise, in another 12-week intervention trial, 40 pre-diabetic individuals took 1500 mg per
day of an AG-dieckol-rich extract (100 mg/g) from *E. cava*, showing a significant reduction in 2 h
postprandial glycaemia in comparison with the 40 people placebo group (S. H. Lee & Jeon, 2015).
However, no significant effect was seen in fasting plasma glucose, nor in the insulin level.
Overall, considering the little clinical evidence available today, it appears that many more long-term

478 trials are mandatory to demonstrate the antidiabetic ability of phlorotannins in humans, contrary 479 to the single dose studies reported in mice. Clinical trials also seem to suggest that phlorotannin 480 treatments would only be useful in insulin resistant or prediabetic individuals and not in healthy 481 people, although more assays are needed. Additionally,-_Coe and Ryan (2016) have argued that these studies would be more relevant if they could identify those compounds and doses with that 482 483 have higher antihyperglyacemic or anti-insulinemic activities.-Moreover, However, to assess any structure-function relationship, the effects of absorption, biotransformation and conjugation of 484 phlorotannins in the gut, liver and cells should be further explored, since these processes determine 485 486 the active metabolites that finally exert the their ability to act as effective bioactivity bioactive 487 molecules in vivo -Nevertheless, only a So far, the few in vivo metabolomic studies that 488 have dealt with these aspects have only detected some common dimers or trimers derivatives as 489 common final metabolites resulting from substrates rich in high molecular weight phlorotannins 490 (Baldrick et al., 2018; Corona et al., 2017; Corona et al., 2016). Therefore, this finding suggests that 491 a structure-function approach of intact phlorotannin bioactivities would probably not be useful, at 492 least in terms of their systemic effects since they do not correspond to the circulating molecules-493 Hence, it has been well-demonstrated through in vitro and in vivo assays that phlorotannin-rich 494 extracts and some isolated phlorotannins inhibit carbohydrate-hydrolysing enzymes from the gut, -495 There is also strong evidence that they inhibit gluconeogenesis enzymes, -and-promote-muscle 496 glucose metabolism as well as induce the growth and activity of healthy gut microbiota-in vivo (Fig. 497 3). Moreover, the capacity of phlorotannins to modulate glucose homeostasis and improve insulin 498 sensitivity in mice has been proven in a wide number of studies. However, clinical trials have been scarce, and they have not conclusively demonstrated yet the antidiabetic effect of phlorotannins in 499 500 humans.

501 7.25.2

2 Cancer Treatment and Prevention Potential

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502 Cancer is the generic term referring to the uncontrollable cell proliferation and tumour formation that can occur in any body part. This process is caused by the progressive transformation of a normal 503 504 cell into a malignant cell, due to genetic and epigenetic alterations. Cumulative research has 505 established that these alterations result from an interaction between genetic inheritance and 506 external factors, such as an unhealthy diet, tobacco use, infections, UV radiation, environmental contamination and immune condition. Cancer cells proliferate without limit, avoid apoptosis and 507 can also invade or metastasize other tissues or organs, resulting in death (ACS, 2019). According to 508 509 GLOBOCAN (Global Cancer Observatory), cancer is the second major cause of death worldwide and was responsible for an estimated 9.6 million deaths in 2018, with a prediction of near 16.4 million 510 511 deaths in 2040. Lung, breast and colorectum cancers show the highest incidence rates (Ferlay et al., 2018). 512 513 The treatment of cancer requires one or more therapies, depending on the type of tumour and its 514 progression, including surgery, radiotherapy, chemotherapy and immunotherapy. The common 515 purpose of these therapies is to induce apoptosis of malignant cells and thereby reduce tumour size 516 (ACS, 2019). However, treatments comprising radiotherapy and chemotherapy have detrimental 517 effects since they also damage healthy cells. For instance, the drug cisplatin is often associated with 518 nephrotoxicity and neurotoxicity (Karasawa & Steyger, 2015). Moreover, resistance to ionizing radiation and cancer drugs is common and is considered to be the origin of metastasis and relapse 519 520 (Morrison, Schmidt, Lakhani, Reynolds, & Lopez, 2008). Despite decades of research, a treatment 521 that effectively targets the main cancer hallmarks -uncontrollable cell proliferation, evasion of apoptosis signalling, invasion and metastasis- is still lacking. Between natural products, some 522 phlorotannins, such as dieckol and phloroglucinol, have been described with the capacity to 523 524 enhance the effects of anticancer drugs and as protectors from the cytotoxicity of chemotherapy 525 and irradiation. Below we present a summary of the recent evidence about the mechanism of action of phlorotannins against those cancers with higher incidence and mortality rates. Since, as far as we 526 527 know, there are no reports of clinical trials in the open literature, only cell-based and animal assays 528 are considered.

529 7.2.1<u>5.2.1 *In Vitro:* cC</u>ell-based assays

530 <u>7.2.1.1</u> *Induction of apoptosis:*

531 Apoptosis is the main mechanism of cell death regulating proliferation and tissue growth in

532 multicellular organisms. Through apoptosis, redundant and potentially harmful cells are eliminated.

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533	Thus, the induction of apoptosis is considered one of the primary defences against cancer (Cotter,	-
534	2009). Irradiation and the drug cisplatin have been used for years as inducers of apoptosis in several	
535	cancers, but with possible life-threatening effects (De Ruysscher et al., 2019; Karasawa & Steyger,	-
536	2015). Some plant-derived compounds, such as curcumin (Deguchi, 2015) and quercetin (Khan et	
537	al., 2016), can also induce apoptosis in cancer cells, with no detrimental effects. Dieckol,	
538	phloroglucinol, phlorofucofuroeckol A and dioxinodehydroeckol have shown the capacity to	0
539	promote apoptosis by activating caspases, proteins that proteolytically dismantle most cellular	
540	structures (Fig. 2). Two major signalling pathways activate caspases: the extrinsic/death receptor	
541	pathway and the intrinsic/mitochondrial pathway. The extrinsic pathway is mediated by the binding	
542	of death ligands to specific cell surface receptors (e.g., Fas). The intrinsic pathway is activated by	
543	various stimuli, such as ROS and the pro-apoptotic members of Bcl-2 proteins. These stimuli increase	
544	mitochondria permeability, which provokes the release of cytochrome c into the cytosol, thus	
545	activating caspases (Jan & Chaudhry, 2019). Ahn, Yang, Lee, and Choi (2015) and Yoon et al. (2013)	-
546	observed that dieckol induces caspase-dependent apoptosis of cancer cells by the activation of both	
547	extrinsic and intrinsic pathways. In SKOV3 ovarian cancer cells, dieckol from E. cava raised	
548	intracellular ROS, which increased the cytosolic cytochrome c and activated caspases, and also	
549	down-regulated the anti-apoptotic proteins XIAP, FLIP and AKT kinase (Ahn et al., 2015). In human	-[(
550	hepatocellular carcinoma HepB3 cells, 100 µM dieckol from <i>E. stolonifera</i> up-regulated the pro-	
551	apoptotic Bcl-2 proteins (Bid, Bim and Bak), releasing cytochrome c into the cytosol and activating	
552	caspase-9. At the same concentration, Delieckol also activated extrinsic caspases (caspase-3, -6, -7	
553	and -8), and had no cytotoxic effect in non-cancerous kidney cells (Yoon et al., 2013). Phloroglucinol	-[(
554	(50 µg/mL) induced apoptosis in HT-29 colon cancer cells via increasing the expression of caspases,	
555	Fas and Bax/Bak, Bcl-2 proteins involved in boosting the permeability of mitochondria, with no	
556	harmful effect in healthy intestine epithelial cells (M. H. Kang, Kim, & Nam, 2014).	-
557	Phlorofucofuroeckol A (100 μ M) isolated from <i>Eisenia bicyclis</i> also promoted apoptosis of HT-29	
558	cells through the up-regulation of ATF3, an apoptosis mediator transcription factor (Eo et al., 2016).	-
559	In breast cancer cells, dioxinodehydroeckol from <i>E. cava</i> increased the expression of caspases and	
560	pro-apoptotic proteins p53 and Bax, in a dose dependant manner (5-100 μ M). It also reduced the	
561	expression of Bcl-2 anti-apoptotic proteins and NF-κB, a transcription factor that promotes cell	
562	proliferation, migration, tumour growth and inflammation (Kong, Kim, Yoon, & Kim, 2009).	-[(
563	Moreover, Yang, Ahn, Choi, and Choi (2015) observed that 50 µg/mL of a phlorotannin-rich extract	-[
564	from <i>E. cava</i> (98% phloroglucinol equivalents) augmented the apoptotic capacity of cisplatin (5 µM)	

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569 initiation and progression, as it promotes the survival, growth and proliferation of PaC cells. Even 570 though eckol did not present apoptotic effects on PaC cells at assayed concentrations M. Zhang et 571 al. (2019) described dieckol as a potential protector against PaC. M. Zhang et al. (2019) reported 572 that eckol (10-20 µg/mL) inhibited Reg3A-promoted cell survival, proliferation and colony 573 formation of SW1990 PaC cells, in a dose-dependent manner. Eckol also reverted the Reg3A-574 mediated upregulation of JAK2, STAT3, NF-κB and cyclin D1 proteins. Thus, M. Zhang et al. (2019) 575 hypothesized that the effect of eckol against Reg3A-induced PaC progression would be related to 576 its capacity to modulate the JAK2/STAT3 and NF-κB/cyclin D1 signaling pathways. 577 7.2.1.2 Inhibition of angiogenesis and invasion: Tumour progression and metastasis require the formation of new blood vessels, a process known 580 as angiogenesis. The vessels originated by angiogenesis are able to deliver nutrients and oxygen to 581 proliferating tumour cells and to create vascular connections for tumour cells metastasis. Metastasis 582 is the process by which cancer cells move from the original tumour and invade other tissues or organs, producing a secondary cancer. One way to inhibit tumour progression and metastasis is 583 584 inhibiting angiogenesis and cancer cells invasion capacity (Steeg, 2016). Vascular endothelial growth factor (VEGF) and matrix metallopeptidases (MMPs) are key proteins in both processes. VEGF 585 promotes cell motility and division of vascular endothelial cells, and MMPs cleave extracellular 586 587 matrix (ECM) to provide space for the new vessels and the growing tumour (Y. Zhang, Dang, Wan, Código de campo cambiado Yang, & Li, 2017). MMP-2 and MMP-9 are specifically incremented in tumours (Roomi, Monterrey, 588 Kalinovsky, Rath, & Niedzwiecki, 2009). Dieckol from E. cava has been shown to inhibit cancer cells 589 590 motility and to reduce the expression of MMP-2, MMP-9 and VEGF- (E. K. Kim et al., 2015; S. J. Park 591 & Jeon, 2012; S. J. Park, Kim, & Jeon, 2012; C. Zhang et al., 2011). In tThe study of E. K. Kim et al. $(2015)_r$ demonstrated the capacity of dieckol-also showed the capacity (1-100 μ M) to increase the 592 expression of some MMPs inhibitors (TIMP-1 and TIMP-2) in MCF-7 human breast cancer cells(Fig. 593 594 2). C. Zhang et al. (2011) related the dieckol-suppressive effect onf cell invasion with its ability to

down-regulate the transcription factor NF-kB in human fibrosarcoma cells. Using mouse melanoma and human fibrosarcoma cells, Park observed that cancer cell migration and invasion are mediated

by intracellular ROS generation, and the dieckol-inhibitory effect on these processes depends on its

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in ovarian cancer cells by down-regulating AKT kinase, NF-KB and its controlled anti-apoptotic

proteins (Bcl-xl, XIAP, FLIP). Although the phlorotannin extract increased intracellular ROS in the

Regenerating gene protein (Reg) 3A accomplishes an essential role in pancreatic cancer (PaC)

cancer cells, it reduced cisplatin-induced ROS and cell death in normal kidney cells.

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ROS-scavenging activity (S. J. Park & Jeon, 2012; S. J. Park et al., 2012). According to the *ex vivo* assay
of Kwon et al. (2012), Pphloroglucinol would also attenuate angiogenesis and invasion, as it inhibited
the VEGF-induced migration-reduced the capillary-like tube formation of endothelial progenitor cells
(EPCs) and their capillary-like tube formation capabilitytheir migration from the bone marrow, in a
dose-dependent manner (2-100 μM) (Fig. 2).

603 <u>7.2.1.3</u><u>5.2.1.2</u> Sensitization of cancer stem-like cells to drugs:

604 A subpopulation of high-grade malignant cells with stem cell properties are responsible for cancer 605 progression and metastasis. These cells easily self-renew, avoid apoptosis and migrate to other 606 tissues. The inefficiency of radiotherapy and chemotherapy is explained by the resistance of cancer 607 stem-like cells (CSCs); the treatments fail to eliminate all malignant cells, and survivor cells cause a relapse (Colak & Medema, 2014). Phlorotannins are recognized as potential adjuvants of traditional 608 609 therapies because they sensitize CSCs (Fig. 2). Phloroglucinol (R. K. Kim, N. Uddin, et al., 2015) and eckol (Hyun et al., 2011) have exhibited this capacity in glioma and breast CSCs, respectively. Both 610 611 compounds were shown to reduce self-renewal, sphere formation and anchorage-independent growth (tumorigenicity) abilities of CSCs. More interestingly, they also were reported to effectively 612 613 enhance the cytotoxicity of anticancer drugs (e.g., cisplatin, temozolomide and etoposide) and ionizing radiation. As PI3K/AKT and RAF-1/ERK signalling regulate the maintenance of CSCs, the 614 effects of phloroglucinol and eckol in these pathways were also assessed, finding that both 615 phlorotannins inhibit AKT and ERK kinases activities. 616

617 <u>7.2.25.2.2</u> Animal assays

618 <u>7.2.2.15.2.2.1</u> Carcinogenesis inhibition (cancer prevention):

619 The chronic exposure to environmental carcinogens -such as radiation, tobacco smoke, alcohol,

- 620 unhealthy food and some viral infections- is largely responsible for the increased cancer prevalence
- 621 (Soffritti, Belpoggi, Esposti, Falcioni, & Bua, 2008). Carcinogens cause excessive ROS, which is
- 622 sometimes unmanageable by cellular detoxifying and repairing systems, producing oxidative stress.
- 623 Oxidative stress damages DNA, proteins and lipids, eventually resulting in cancer initiation (Mena,
- 624 Ortega, & Estrela, 2009). Some phlorotannins have been described as protectors against radiation-
- 625 induced skin carcinogenesis and tissue damage. Thus, in the study of Hwang, Chen, Nines, Shin, and
- 626 Stoner (2006), dietary and topical administration of a phlorotannin-rich extract reduced the tumour
- 627 multiplicity and volume in ultraviolet B-irradiated mice. Piao et al. (2015) revealed that the
- 628 protective effect of DPHC against UVB-induced DNA damage is related to its capacity to increase the

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expression of proteins involved in the DNA repairing system. Phloroglucinol and eckol showed 629 protective effects against ionizing radiation in mice, and thus they have been proposed as 630 631 candidates to alleviate radiation-induced injuries in cancer patients (Ha et al., 2013; E. Park et al., 632 2008). Additionally, dieckol was reported to have protective effects against chemical-induced 633 hepatocarcinogenesis. Sadeeshkumar et al. (2016) demonstrated that dieckol (40 mg/kg) lowered 634 tumour incidence in N-nitrosodiethylamine (NDEA) treated rats, through reducing the oxidative 635 damage and inducing the antioxidant cascade in the liver. Dieckol also supressed NDEA-initiated hepatocarcinogenesis by modulating xenobiotic metabolizing enzymes, inducing apoptosis cascade 636 and inhibiting proliferation, invasion and angiogenesis signalling (Sadeeshkumar et al., 2017). 637

638 <u>7.2.2.25.2.2.2</u> Inhibition of tumour progression and metastasis:

Tumour progression is associated with more aggressive cancers that lead to local invasion and 639 640 metastasis. To address the antitumorigenic effect of phlorotannins in vivo, human tumour xenografts (HTXs) are commonly used. HTXs involve the implantation of commercial or patient-641 642 derived cancer cell lines into immunodeficient mice that do not reject human cells (Richmond & Su, 643 2008). In agreement with the antiproliferative and antiangiogenic effects evidenced in cell-based 644 assays, phlorotannins have also shown anticancer potential through the suppression of tumour progression in HTX models. In an ovarian cancer SKOV3 xenograft, dieckol (100 mg/kg) was observed 645 to reduce the tumour volume and weight to similar levels of cisplatin (3 mg/kg); but without the 646 647 kidney or liver toxicity produced by the drug (Ahn et al., 2015). In a similar ovarian cancer model, a 648 combination of an E. cava phlorotannin-rich extract (150 mg/kg) with cisplatin (3 mg/kg) markedly 649 enhanced the potency of cisplatin in reducing tumour volume, and also reversed the body weight 650 loss and nephrotoxicity caused by the drug alone (Yang et al., 2015). Through breast cancer and glioma xenograft models, phloroglucinol (R. K. Kim, N. Uddin, et al., 2015) and eckol (Hyun et al., 651 652 2011), respectively, have exhibited the capacity to suppress the growth of cancer-CSCs tumours-in 653 vive. Additionally, in S180 sarcoma tumour-bearing mice, eckol (1mg/kg) reduced tumour growth 654 by inducing apoptosis and inhibiting proliferation of sarcoma cells; these effects were related to a 655 boost of pro-apoptotic proteins (caspase-3 and -9) as well as to a reduction of anti-apoptotic 656 proteins (Bcl-2 and Bax) and EGFR -a proliferation-stimulating protein- in the solid tumour. 657 However, much more interesting was the finding that eckol stimulated the innate and adaptative 658 immune responses -responsible for tumour surveillance- in sarcoma-bearing mice. Specifically, eckol activated the mononuclear phagocytic system, recruited and activated dendritic cells (DCs), 659

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660	increased the number of helper T cells (CD4 ⁺) over suppressor T cells (CD8 ⁺), induced type 1 helper	<
661	T cells (Th1) anti-tumour response and activated cytotoxic T lymphocyte responses (M. Y. Zhang et	
662	al., 2019) <u>.</u>	
663	Phloroglucinol has also demonstrated in vivo a <u>A</u> ngiogenesis and metastasis-inhibition effects have	
664	been assigned to phloroglucinol (R. K. Kim, Y. Suh, et al., 2015; Kwon et al., 2012). In the study of	< 7

665 Kwon et al. (2012) phloroglucinol reduced the migration of EPCs from the bone narrow into

666 peripheral blood as well as the number of capillary microvessels in the peritumoral region of a lung

tumour-bearing mice. Moreover, R. K. Kim, Y. Suh, et al. (2015) showed that phloroglucinol is

- effective in attenuating metastasis of breast cancer cells to lung, and in extending the survival timeof mice.
- 670 Therefore, phlorotannins have been demonstrated to induce apoptosis of cancer cells, and inhibit 671 angiogenesis, tumour progression and metastasis, mainly through diverse mechanisms of action 672 cell-based assays(Fig. 4). Also, animal studies have shown that some phlorotannins are able to prevent cancer initiation and progression. Thus, phlorotannins could be considered as potential 673 674 adjuvants for existing cancer therapies and as cancer chemoprevention agents. A preliminary study 675 on an overweight and obese population showed a modest improvement in DNA damage in the obese subset of the total population after consuming 100 mg brown seaweed (Ascophyllum 676 nodosum) polyphenol-rich extract for 8 weeks (Baldrick et al., 2018); however, up to now there is 677 678 no clinical evidence about the effects of phlorotannins on cancer patients. Clinical studies are still
- 679 needed to prove the efficacy and safety of phlorotannins in cancer prevention and treatment.

680 8.6. Conclusions and Future Perspectives

681 The development of more comprehensive studies about phlorotannin bioactivities requires their 682 isolation from seaweed extracts. This is especially relevant considering that other alga compounds, such as carbohydrates, pigments and even toxic heavy metals, are co-extracted with phlorotannins, 683 limiting the extent of the findings. Different separation techniques have been applied to obtain 684 685 individual compounds or phlorotannin-rich extracts, with classical solid-liquid extraction using 70% acetone or 80% methanol, followed by purification with ethyl acetate being the most popular 686 687 method. However, this procedure is not in agreement with green-chemistry and is not optimum for 688 food or pharmaceutical applications. PHLE with water or ethanol-water mixtures as solvents are 689 better options, because of their low environmental impact, high productivity and selectivity. As

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690 PHLE is hard to scale up to industrial production, future research in this area should be focused on
691 scaled-up operation and design of industrial-scale equipment to take benefits from improved
692 extraction of potential bioactive phlorotannins.

693 So far, considerable research proving the antidiabetic and anticancer potential of purified

694 phlorotannins has been accumulated, with biochemical and cell-based assays representing the vast

695 majority. Nevertheless, these in vitro analyses do not consider the biotransformation and

696 conjugation reactions occurring in the gut, liver and cells, which significantly affect the bioavailability

and biological activities of phlorotannins. Therefore, more *in vivo* studies are necessary to determine

698 the real effects of these compounds in tissues and organs. The performance of further animal assays

699 and especially clinical interventions are necessary to definitively confirm the efficacy of

700 phlorotannins as adjuvants for the prevention and/or treatment of diabetes and cancer.

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Con formato: Español (Chile)

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Table 1. Mass spectrometric data and identification of phlorotannins determined through HPLC-MSⁿ in brown seaweeds extracts by different authors.

Polymerization Degree/ Identification ^a	Molecular formula	Molecular mass	Precursor ion MS¹ [M-H]⁻, m/z	Product ions MS ² [M-H] ⁻ , m/z ^b	Product ions MS ³ [(M-H) →base peak] ⁻ , m/z ^c	References
Monomers					<u> </u>	
Phloroglucinol derivative		392	391	125		Agregán et al. (2017)
Phloroglucinol derivative		402	401	205 ^d , 125		Agregán et al. (2017)
Dimers						
Bifuhalol	$C_{12}H_{10}O_7$	266	265	247, 141, 139, 125, 123		Li et al. (2017)
Phlorethohydroxycarmalol		264	263	245, 219, 111		Li et al. (2017)
Phloroglucinol dimer		518	517	247		Agregán et al. (2017)
derivative						
Trimers						
Dioxinodehydroeckol	$C_{18}H_{10}O_9$	370	369.0249	238	195,167, 112	Lopes et al. (2018)
Dioxinodehydroeckol	$C_{18}H_{10}O_9$	370	369.0246	351, 325 , 307	307, 297, 281	Lopes et al. (2018)
Eckol	$C_{18}H_{12}O_9$	372	371	335, 317, 246, 229, 140, 121		Li et al. (2017)
Trifucol	$C_{18}H_{14}O_9$	374	373	305 , 247, 229		Vissers, Caligiani, Sforza, Vincken, and Gruppen
Triphlorethol	CueHuOa	374	373	305 231		(2017) Vissers et al. (2017)
Fucophlorethol	C18H14O9	374	373 0564	355 329 247 231	229 215	$\frac{1}{10000000000000000000000000000000000$
rucophiorenior	018111409	574	575.0501	000, 020, 217, 201	229, 215	Hermund et al. (2018)
Fucophlorethol	$C_{18}H_{14}O_9$	374	373.0560	247 , 233	229	Lopes et al. (2018);
						Vissers et al. (2017)
Fucophlorethol	$C_{18}H_{14}O_9$	374	373.0564	355, 329, 229		Hermund et al. (2018)
Fucophlorethol	$C_{18}H_{14}O_9$	374	373.0590	329, 247, 229		Hermund et al. (2018)
Phlorethohydroxycarmalol		388	387	329, 262, 245, 123		Li et al. (2017)
Trifuhalol	$C_{18}H_{14}O_{10}$	390	389	375		Agregán et al. (2017)
Trifuhalol	$C_{18}H_{14}O_{10}$	390	389	353, 265, 263, 245		Li et al. (2017)
Trifuhalol	$C_{18}H_{14}O_{10}$	390	389.0	375, 265, 245		Montero et al. (2016)
Eckol derivative		402	401	371		Agregán et al. (2017)
Eckol derivative		542	541	401 , 371		Agregán et al. (2017)
Eckol derivative		546	545	371		Agregán et al. (2017)
Dioxinodehydroeckol derivative		464	463	369		Agregán et al. (2017)

Polymerization Degree/ Identification ^a	Molecula r formula	Molecular mass	Precursor ion MS ¹ [M-H] ⁻ ,	Product ions MS ² [M-H] ⁻ , m/z ^b	Product ions MS ³ [(M-H) →base	References		
			m/z		peak] ⁻ , m/z ^c			
<u>Tetramers</u>	C II O	40.4	402 0405	ARE 267	421 405	L (2010)		
Fucofuroeckol	$C_{24}H_{14}O_{12}$	494	493.0405	475, 367	431, 405	Lopes et al. (2018)		
hydroxylated	~	40.0						
Tetrafucol	$C_{24}H_{18}O_{12}$	498	497	461 , 435, 371, 353, 231		Vissers et al. (2017)		
Difucophlorethol	$C_{24}H_{18}O_{12}$	498	497.0715	479 , 353, 331	461 , 435, 353	Lopes et al. (2018)		
Difucophlorethol	$C_{24}H_{18}O_{12}$	498	497.0719	479 , 461, 355	420, 353, 337	Lopes et al. (2018)		
Fucodiphlorethol	$C_{24}H_{18}O_{12}$	498	497.0717	479 , 371, 353, 339	339 , 229	Lopes et al. (2018); Hermund et al. (2018)		
Fucodiphlorethol	$C_{24}H_{18}O_{12}$	498	497.0713	479, 355 , 311	311 , 229	Lopes et al. (2018)		
Fucodiphlorethol	$C_{24}H_{18}O_{12}$	498	497.0715	355 , 235	269, 229	Lopes et al. (2018)		
Fucodiphlorethol	$C_{24}H_{18}O_{12}$	498	497.0715	479, 373 , 265	233, 139	Lopes et al. (2018)		
Fucodiphlorethol	$C_{24}H_{18}O_{12}$	498	497.0729	479, 371, 353, 335, 247, 229		Hermund et al. (2018); Vissers et al. (2017)		Código de campo cambiado
Bisfucophlorethol	C ₂₄ H ₁₈ O ₁₂	498	497.0716	235	207, 191	Lopes et al. (2018)		Código de campo cambiado
Fuhalol tetramer		510	509	441, 384 , 373, 305, 261	,	Vissers et al. (2017)		
Deshydroxetrafuhalol	C ₂₄ H ₁₈ O ₁₃	514	513	385		Agregán et al. (2017)		
Deshydroxetrafuhalol	C ₂₄ H ₁₈ O ₁₃	514	513	499		Agregán et al. (2017)		
Deshydroxetrafuhalol	C ₂₄ H ₁₈ O ₁₃	514	513.0	391, 373, 264, 245, 219		Montero et al. (2016)		
Deshydroxetrafuhalol	$C_{24}H_{18}O_{13}$	514	513	389, 373, 265, 246		Montero et al. (2016); Li et al. (2017)	5.5	Con formato: Francés (Francia)
Deshydroxetrafuhalol	C ₂₄ H ₁₈ O ₁₃	514	513.0	475, 438, 391		Montero et al. (2016)	1	Con formato: Francés (Francia)
Deshydroxetrafuhalol	$C_{24}H_{18}O_{13}$	514	513.0	499, 437, 389, 263		Montero et al. (2016)	Ň	Código de campo cambiado
Fuhalolhydroxycarmalol		528	527	403, 263, 233, 139		Li et al. (2017)		
Tetrafuhalol	$C_{24}H_{18}O_{14}$	530	529	387 , 219		Agregán et al. (2017)		
Tetrafuhalol	C ₂₄ H ₁₈ O ₁₄	530	529	387		Agregán et al. (2017)		
Tetrafuhalol	$C_{24}H_{18}O_{14}$	530	529	403, 389, 263, 245		Montero et al. (2016); Li et al. (2017)		Código de campo cambiado
Tetrafuhalol	C ₂₄ H ₁₈ O ₁₄	530	529.4	513, 389, 262		Montero et al. (2016)	1	Con formato: Francés (Francia)
Tetrafuhalol	$C_{24}H_{18}O_{14}$	530	529.5	483, 465, 401, 389, 262, 245		Montero et al. (2016)		Con formato: Francés (Francia)
Hydroxytetrafuhalol	C ₂₄ H ₁₈ O ₁₅	546	545	387		Agregán et al. (2017)		
Hydroxytetrafuhalol	$C_{24}H_{18}O_{15}$	546	545	385		Agregán et al. (2017)		
Hydroxytetrafuhalol	C ₂₄ H ₁₈ O ₁₅	546	545.4	525, 513, 484, 403, 389, 375		Montero et al. (2016)		

Polymerization Degree/ Identification ^a	Molecular	Molecular	Precursor ion MS ¹ [M-H] ⁻ .	Product ions MS ² [M-Hl ⁻ , m/z ^b	Product ions MS ³ [(M-H) →base	References		
Degree ruentineation	iormuta	mass	m/z	[[]] [] [] [] [] [] [] [] [] [] [] [] []	$peak]^{-}, m/z^{c}$			
Pentamers					A 1 /			
Trifucophlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0899	603 , 577, 559, 497, 477	585, 559, 477	Lopes et al. (2018)		
Trifucophlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0883	603 , 495	585, 463, 477, 459	Lopes et al. (2018)		
Fucotriphlorethol	$C_{30}H_{22}O_{15}$	622	621.0902	603 , 495, 461, 355	461, 355	Lopes et al. (2018); Vissers et al. (2017)	 	Con formato: Francés (Francia)
Fucotriphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0887	603 , 479, 461, 353	479, 335	Lopes et al. (2018)		Con formato: Francés (Francia)
Fucotriphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0885	603 , 479, 461, 353	461, 353, 335	Lopes et al. (2018)		Código de campo cambiado
Fucotriphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0901	603 , 463, 339	477, 463, 339	Lopes et al. (2018)		Cádigo do compo combiodo
Fucotriphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0900	603 , 479, 337, 229	479, 339, 229	Lopes et al. (2018)	[^]	
Fucotriphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0880	603, 477, 373, 207		Hermund et al. (2018)		Con formato: Francés (Francia)
Fucotriphlorethol	$C_{30}H_{22}O_{15}$	622	621.0891	603, 585, 479, 371, 353, 335, 229, 205		Hermund et al. (2018)		
Fucotriphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.0879	603, 585, 477, 371, 245, 205		Hermund et al. (2018)		
Fucotriphlorethol	$C_{30}H_{22}O_{15}$	622	621	495, 373, 355, 263, 247, 231		Li et al. (2017); Vissers et al. (2017)		Con formato: Alemán (Alemania)
Pentaphlorethol	C ₃₀ H ₂₂ O ₁₅	622	621.5	603, 493, 357, 245		Montero et al. (2016)		
Deshydroxypentafuhalol	$C_{30}H_{22}O_{16}$	638	637	633, 385, 247		Agregán et al. (2017)		
Deshydroxypentafuhalol	$C_{30}H_{22}O_{16}$	638	637.1	621, 513, 385, 262		Montero et al. (2016)		
Deshydroxypentafuhalol	$C_{30}H_{22}O_{16}$	638	637.3	623, 513, 373		Montero et al. (2016)		
Deshydroxypentafuhalol	$C_{30}H_{22}O_{16}$	638	637.5	633, 513, 273		Montero et al. (2016)		
Deshydroxypentafuhalol	$C_{30}H_{22}O_{16}$	638	637.3	621, 513, 497, 389		Montero et al. (2016)		
Deshydroxypentafuhalol	$C_{30}H_{22}O_{16}$	638	637	511, 388, 373, 265, 247		Li et al. (2017)		
Trifuhalolhydroxycarma lol	$C_{30}H_{20}O_{17}$	652	651	632, 387, 265, 244		Li et al. (2017)		
Fuhalol pentamer		652	651	607, 582, 509 , 465, 413, 339		Vissers et al. (2017)		
Pentafuhalol	$C_{30}H_{22}O_{17}$	654	653	527, 513, 389, 263, 245		Li et al. (2017); Montero et al. (2016)	'	Con formato: Francés (Francia)
Pentafuhalol	C ₃₀ H ₂₂ O ₁₇	654	653	637, 527, 513, 387, 263, 245		Montero et al. (2016)		Código de campo cambiado
Hydroxypentafuhalol	C ₃₀ H ₂₂ O ₁₈	670	669.6	623, 527, 465, 403, 385, 341, 261		Montero et al. (2016)		Con formato: Francés (Francia)
Hydroxypentafuhalol	$C_{30}H_{22}O_{18}$	670	669.8	621, 541, 527, 463, 401, 337, 271		Montero et al. (2016)	N	Con formato: Erançás (Erançia)
Hydroxypentafuhalol	C ₃₀ H ₂₂ O ₁₈	670	671.0	653, 637, 627, 544, 466, 247		Montero et al. (2016)		Con romato, riances (riancia)
Hydroxypentafuhalol	$C_{30}H_{22}O_{18}$	670	669.0	651, 625, 607, 465, 403, 263		Montero et al. (2016)		
Hydroxypentafuhalol	C ₃₀ H ₂₂ O ₁₈	670	671.3	653, 637, 627, 467, 405, 349		Montero et al. (2016)		

Polymerization Degree/	Molecular	Molecular	Precursor ion	Product ions MS ²	Product ions MS ³	References
Identification ^a	formula	mass	MS ¹ [M-H] [−] ,	[M-H] ⁻ , m/z ^b	[(M-H) →base	
			m/z		peak] [_] , m/z ^c	
Hexamers						
Dieckol	$C_{36}H_{22}O_{18}$	742	741	600, 389		Li et al. (2017)
Tetrafucophlorethol	$C_{36}H_{26}O_{18}$	746	745.1040	727 , 601	709, 602, 585	Lopes et al. (2018)
Fucotetraphlorethol	$C_{36}H_{26}O_{18}$	746	745.1050	727, 601, 461, 335, 229	601, 583, 479, 353, 229	Lopes et al. (2018)
Fucotetraphlorethol	$C_{36}H_{26}O_{18}$	746	745.1048	727 , 601, 479, 353, 229	601, 583, 461, 335, 229	Lopes et al. (2018)
Fucophlorethol hexamer	C ₃₆ H ₂₆ O ₁₈	746	745.1058	727, 477, 311, 205		Hermund et al. (2018)
Fucophlorethol hexamer	$C_{36}H_{26}O_{18}$	746	745.1046	727, 619, 585, 477, 205		Hermund et al. (2018)
Fucophlorethol hexamer	C ₃₆ H ₂₆ O ₁₈	746	745.1049	727, 709, 619, 583, 477, 203		Hermund et al. (2018)
Fucophlorethol hexamer	C ₃₆ H ₂₆ O ₁₈	746	745	603, 497, 478, 371 , 355, 229		Vissers et al. (2017)
Fucophlorethol hexamer	C ₃₆ H ₂₆ O ₁₈	746	745	619, 601 , 479, 461 355		Vissers et al. (2017)
Hexaphlorethol	C ₃₆ H ₂₆ O ₁₈	746	745.3	727, 619, 603, 371, 355, 309		Montero et al. (2016)
Hexaphlorethol A	C ₃₆ H ₂₆ O ₁₈	746	745	727, 621, 461, 339, 265, 247		Li et al. (2017)
Hexafucol	C ₃₆ H ₂₆ O ₁₈	746	745	709, 601, 579, 455, 437, 289		Vissers et al. (2017)
Hexafucol	C ₃₆ H ₂₆ O ₁₈	746	745	619, 601 , 497, 479, 353, 335, 229		Vissers et al. (2017)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₁₉	762	761	635, 621, 512, 387, 355, 263		Li et al. (2017)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₁₉	762	761.6	637		Montero et al. (2016)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₁₉	762	761.3	745, 637, 498, 389, 245		Montero et al. (2016)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₁₉	762	761.3	747, 637, 621, 513, 497, 245		Montero et al. (2016)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₀	778	777	529, 387 , 375		Agregán et al. (2017)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₀	778	777	529, 375		Agregán et al. (2017)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₀	778	777	636, 513, 402, 387, 245		Li et al. (2017)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₀	778	777.7	651, 637, 529, 511, 387, 261, 245		Montero et al. (2016)
Deshydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₀	778	777.3	763, 655, 529, 515, 388		Montero et al. (2016)
Fuhalolhydroxycarmalol		792	791	747, 385, 356, 261		Li et al. (2017)
Hexafuhalol B	C ₃₆ H ₂₆ O ₂₁	794	793	667, 529, 403, 387, 263		Li et al. (2017)
Hexafuhalol	C ₃₆ H ₂₆ O ₂₁	794	793. 1	775, 731, 651, 527, 511, 403, 387		Montero et al. (2016)
Hexafuhalol	C ₃₆ H ₂₆ O ₂₁	794	793.7	777, 652, 589, 554, 511, 390, 311		Montero et al. (2016)
Hexafuhalol	C ₃₆ H ₂₆ O ₂₁	794	793.3	667, 653, 529, 403, 387, 263		Montero et al. (2016)
Hexafuhalol	C ₃₆ H ₂₆ O ₂₁	794	793.2	775, 749, 731, 527, 511, 483, 387,		Montero et al. (2016)
				245		· , ,
Hydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₂	810	809.5	791, 775, 637, 511, 387		Montero et al. (2016)
Hydroxyhexafuhalol	C ₃₆ H ₂₆ O ₂₂	810	809.7	791, 765, 747, 667, 543, 527, 405		Montero et al. (2016)

Polymerization Degree/	Molecular	Molecular	Precursor ion	Product ions MS ²	Product ions MS ³	References	 Con formato: Español (Chile)
Identification ^a	formula	mass	MS' [M-H], m/z	$[M-H]$, m/z^{ν}	[(M-H) →base peakl ⁻ , m/z ^c		
Heptamers			111, 2		pour j in 2		
Fucophlorethol heptamer	C42H30O21	870	869.1238	851, 833, 727, 601, 204		Hermund et al. (2018)	
Fucophlorethol heptamer	$C_{42}H_{30}O_{21}$	870	869.1198	851, 744, 619, 583		Hermund et al. (2018)	
Heptafucol	$C_{42}H_{30}O_{21}$	870	869	833 , 708, 579, 455		Vissers et al. (2017)	
Heptaphlorethol	$C_{42}H_{30}O_{21}$	870	869	833 , 743, 725, 707, 619, 601,		Vissers et al. (2017)	
Heptaphlorethol	C ₄₂ H ₃₀ O ₂₁	870	869	743 , 725, 477, 355		Vissers et al. (2017)	
Heptaphlorethol	C42H30O21	870	869.2	851, 745, 728, 306, 245		Montero et al. (2016)	
Deshydroxyheptafuhalol	C42H30O23	902	901	637, 635, 527, 513, 387, 262		Li et al. (2017)	
Deshydroxyheptafuhalol	C42H30O23	902	901.8	857, 775, 761, 637, 511, 387		Montero et al. (2016)	
Fuhalolhydroxycarmalol		916	915	791, 681, 652, 387, 263		Li et al. (2017)	
Heptafuhalol	$C_{42}H_{30}O_{24}$	918	917	785, 653, 527, 387, 373		Li et al. (2017)	
Heptafuhalol	$C_{42}H_{30}O_{24}$	918	917.1	897, 873, 791, 777, 731, 653, 527,		Montero et al. (2016)	
Heptafijhalol	CapH20O24	918	917 3	<u> </u>		Montero et al. (2016)	
Hydroxyheptafuhalol	C42H30O24	934	933	914		$\frac{1}{4} \operatorname{Agreg}_{\operatorname{an et}} \operatorname{al} (2017)$	
Hydroxyheptafuhalol	C42H30O25	934	933.8	889, 793, 747, 651, 525, 385		Montero et al. (2016)	
Hydroxyheptafuhalol	C ₄₂ H ₃₀ O ₂₅	934	933.4	914, 889, 792, 748, 650, 529		Montero et al. (2016)	
Hydroxyheptafuhalol	C ₄₂ H ₃₀ O ₂₅	934	933	914, 871, 773, 667, 651, 623, 511		Montero et al. (2016)	
Octamers	12 50 25						
Phloroglucinol octamer	C48H34O24	994	993	373		Agregán et al. (2017)	
Fucophlorethol octamer	C48H34O24	994	993	957 , 849, 831, 709, 603, 353		Vissers et al. (2017)	
Fucophlorethol octamer	C48H34O24	994	993	957 , 832, 371		Vissers et al. (2017)	
Deshydroxyoctafuhalol	C48H34O25	1010	1009.2	994, 968, 887, 872, 747, 621		Montero et al. (2016)	
Deshydroxyoctafuhalol	C ₄₈ H ₃₄ O ₂₇	1042	1041	901, 777, 653, 621, 527, 513, 387, 263		Li et al. (2017)	
Deshydroxyoctafuhalol	$C_{48}H_{34}O_{27}$	1042	1041.3	979, 915, 901, 853, 777, 731, 651, 637, 528, 389		Montero et al. (2016)	
Octafuhalol	C48H34O28	1058	1057	917, 793, 543, 527, 262		Li et al. (2017)	
Octafuhalol	C48H34O28	1058	1057.2	1008, 915, 793, 652, 527, 387		Montero et al. (2016)	

Polymerization Degree/	Molecular	Molecular	Precursor ion	Product ions MS ²	Product ions MS ³	References	Con formato: Español (Chile)
Identification ^a	formula	mass	$MS^{1}[M-H]^{-}$,	[M-H] ⁻ , m/z ^b	[(M-H) →base		
			m/z		peak] ⁻ , m/z ^c		_
Nonamers							
Fucophlorethol nonamer	$C_{54}H_{38}O_{27}$	1118	1117	1081 , 973, 849, 833, 707, 353		Vissers et al. (2017)	-
Fucophlorethol nonamer	$C_{54}H_{38}O_{27}$	1118	1117	1081, 993, 973 , 745, 727, 709, 621,		Vissers et al. (2017)	-
				603, 583, 495, 459, 353			_
Nonaphlorethol	$C_{54}H_{38}O_{27}$	1118	1117	1081 , 956, 745, 727, 621, 603, 582,		Vissers et al. (2017)	
				497, 477, 371, 351			_
Deshydroxynonafuhalol	$C_{54}H_{38}O_{28}$	1134	1133.9	1115, 1007, 993, 885, 869, 760, 745,		Montero et al. (2016)	
				620			_
Deshydroxynonafuhalol	$C_{54}H_{38}O_{30}$	1166	1165.7	1146, 1040, 1025, 917, 899, 777,		Montero et al. (2016)	
				653, 637, 389			_
Decamers							_
Fucophlorethol decamer	$C_{60}H_{42}O_{30}$	1242	1241	1205 , 1097, 1079, 975, 745, 727,		Vissers et al. (2017)	
				601, 495			_
Decaphlorethol	$C_{60}H_{42}O_{30}$	1242	1241	1205, 1097, 1079, 745 , 727, 601, 477		Vissers et al. (2017)	_
Deshydroxydecafuhalol	$C_{60}H_{42}O_{31}$	1258	1257.7	1239, 1133, 1117, 1007, 885, 624,		Montero et al. (2016)	
				573, 387			

a Fuhalols nomenclature was taken from Keusgen and Glombitza (1995)

b *Common losses*. Firstly, it is important to consider that aryl-ether bonds (C-O-C), characteristics of phlorethols, are more susceptible to rupture than aryl-aryl linkages (C-C), characteristic of fucols: 124, 125, 126 amu (phloroglucinol unit); 18 amu (water); 44 amu (ethylene and water); 62 (44+ 18); 140 (124+ 16); 142 (126+ 16/ 124+ 18); 144 (126+ 18); 158 (124+ 16+ 18); 160 (126+ 16+ 18/ 124+ 18+ 18); 170: 126 + 44; 248 (124+ 124); 250 (126+ 124); 262 (124+ 124+ 14); 264 (124+ 124+ 16); 266 (124+ 124+ 18); 268 (126+ 126+ 16/ 124+ 126+ 18); 282 (124+ 124+ 18+ 16); 284 (124+ 124+ 18+ 18); 286 (124+ 126+ 18+ 18); 374 (124+ 124+ 126); 376 (126+ 126+ 124); 392 (124+ 124+ 126+ 18; 410 (126+ 126+ 126+ 16+ 16).

c lons derived from the fragmentation of the most abundant ion in $\mathsf{MS^{1}}.$

d Most abundant ions are shown in bold.

Figures Captions

Figure 1. Chemical structure of phloroglucinol and examples of phlorotannins for each of the six major groups identified to date. A: Phloroglucinol monomeric unit; B: Trifucol; C: Tetraphlorethol B; D: Fucodiphlorethol A; E: Pentafuhalol B; F: Diphlorethohydroxycarmalol; G: Eckol; H: Dieckol.

Figure 2. Commonly used extraction and purification methods for obtaining phlorotannins from brown seaweeds. The flowchart presents the whole extraction/purification process from the dry alga to the isolated compound. Classical methods are shown on the left boxes and alternative methods on the right ones. In brackets are the most utilized resources (e.g., solvent, solid phase) in each technique. Circles indicate phlorotannins characterization and identification methods. Aox: antioxidant. See the text for the meaning of acronyms.

Figure 31. Suggested mechanisms of action of phlorotannins in controlling hyperglycaemia and diabetes-related oxidative stress in the human body, according to *in vitro* and animal assays. The scheme represents the main stages and organs involved in high-carbohydrate meal processing. I: Digestion of polysaccharides in the mouth; II: Digestion of oligosaccharides in the gut; III: Absorption of glucose to the bloodstream; IV: Delivery of glucose to tissues and organs and assimilation of glucose enabled by insulin. Essential eEnzymesinvolved in both processes modulated by phlorotannins are enclosed in circles. (Kawamura-Konishi et al., 2012; S. H. Lee et al., 2014; Moon et al., 2011). CH-CH: polysaccharides; CH: oligosaccharides; @:up-regulated by phlorotannins; &:down-regulated by phlorotannins. See the text and the glossary for the meaning of acronyms. Kawamura-Konishi et al., 2012; S. H. Lee et al., 2014; Moon et al., 2011.

Figure 42. <u>General-Main</u> cancer-associated targets of phlorotannins, according to cell-based <u>and animal</u> assays. <u>The figure schematizes the Rr</u>eported effects of phlorotannins against cancer hallmarks –uncontrollable cell proliferation, angiogenesis and invasion<u>are represented</u>. I: Induction of apoptosis (dieckol, phloroglucinol, phlorofucofuroeckol A, dioxinodehydroeckol<u>, eckol</u>); II: Inhibition of angiogenesis and invasion<u>to other tissues</u> (dieckol, phloroglucinol); III: Sensitization of cancer stem-like cells to drugs (phloroglucinol, eckol); IV: Activation of the innate and adaptative immune responses (eckol). Casp: caspase; $\Delta \psi$ m: mitochondria membrane depolarization; <u>Black Dark blue</u> dots: cytochromes C; <u>Blue dots: Th1-type cytokines;</u> ©: up-regulated by phlorotannins; \otimes : down-regulated by phlorotannins. <u>See the text and the glossary for the meaning of acronyms</u>.

Con formato: Ancho: 21,59 cm, Alto: 27,94 cm

Con formato: Francés (Francia)

Con formato: Sangría: Izquierda: 0 cm, Sangría francesa: 2,5 cm