

1 Monitoring biochemical limitations to photosynthesis in N and  
2 P-limited radiata pine using plant functional traits quantified  
3 from hyperspectral imagery

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23

24 **Abstract**

25 The prediction of carbon uptake by forests across fertility gradients requires accurate  
 26 characterisation of how biochemical limitations to photosynthesis respond to variation in key  
 27 elements such as Nitrogen (N) and Phosphorus (P). Over the last decade, proxies for chlorophyll  
 28 and photosynthetic activity have been extracted from hyperspectral imagery and used to predict  
 29 important photosynthetic variables such as the maximal rate of carboxylation ( $V_{\text{cmax}}$ ) and  
 30 electron transport ( $J_{\text{max}}$ ). However, little research has investigated the generality of these  
 31 relationships within the Nitrogen (N) and Phosphorus (P) limiting phases, which are  
 32 characterised by mass based foliage ratios of  $\text{N/P} \leq 10$  for N limitations and  $\text{N:P} > 10$  for P  
 33 limitations.

34 Using measurements obtained from one year old *Pinus radiata* D. Don grown under a  
 35 factorial range of N and P treatments this research examined relationships between  
 36 photosynthetic capacity ( $V_{\text{cmax}}$ ,  $J_{\text{max}}$ ) and measured N, P and chlorophyll ( $\text{Chl}_{\text{a+b}}$ ). Using  
 37 functional traits quantified from hyperspectral imagery we then examined the strength and  
 38 generality of relationships between photosynthetic variables and Photochemical Reflectance  
 39 Index (PRI), Sun-Induced Chlorophyll Fluorescence (SIF) and chlorophyll  $a+b$  derived by  
 40 radiative transfer model inversion.

41 There were significant ( $P < 0.001$ ) and strong relationships between photosynthetic  
 42 variables and both N ( $R^2 = 0.82$  for  $V_{\text{cmax}}$ ;  $R^2 = 0.87$  for  $J_{\text{max}}$ ) and  $\text{Chl}_{\text{a+b}}$  ( $R^2 = 0.85$  for  $V_{\text{cmax}}$ ;  $R^2 =$   
 43  $0.86$  for  $J_{\text{max}}$ ) within the N limiting phase that were weak ( $R^2 < 0.02$ ) and insignificant within  
 44 the P limiting phase. Similarly, there were significant ( $P < 0.05$ ) positive relationships between P  
 45 and photosynthetic variables ( $R^2 = 0.50$  for  $V_{\text{cmax}}$ ;  $R^2 = 0.58$  for  $J_{\text{max}}$ ) within the P limiting phase  
 46 that were insignificant and weak ( $R^2 < 0.33$ ) within the N limiting phase.

47 Predictions of photosynthetic variables using  $\text{Chl}_{\text{a+b}}$  estimated by model inversion were  
 48 significant ( $P < 0.001$ ), positive and strong ( $R^2 = 0.64$  for  $V_{\text{cmax}}$ ;  $R^2 = 0.63$  for  $J_{\text{max}}$ ) within the N  
 49 limiting phase but insignificant and weak ( $R^2 < 0.05$ ) within the P limiting phase. In contrast,

50 both SIF and PRI exhibited moderate to strong positive correlations with photosynthetic  
51 variables within both the N and P limiting phases. These results suggest that quantified SIF and  
52 PRI from hyperspectral images may have greater generality in predicting biochemical  
53 limitations to photosynthesis than proxies for N and chlorophyll  $a+b$ , particularly under high  
54 foliage N content, when P is limiting.

55

56 *Keywords:* high resolution hyperspectral;  $J_{\max}$ , leaf maximum carboxylation rate; N:P ratio;  
57 nutrient limitation; physically based models; radiative transfer; reflectance;  $V_{\max}$

58

59

## 60 **1.Introduction**

61  
62 The use of optical data to predict biochemical, structural and physiological traits from leaves  
63 and plant canopies has increased rapidly over the last two decades (for reviews see Hill et al.  
64 2019; Watt et al. 2019). Key attributes of interest that have been successfully estimated include  
65 water content (Buddenbaum et al. 2011; Buddenbaum et al. 2015; Colombo et al. 2008; Fang et  
66 al. 2017; Malenovský et al. 2006; Riaño et al. 2005), leaf morphological traits such as specific  
67 leaf area (Asner and Martin 2008) and leaf mass per area (Asner et al. 2011b; Doughty et al.  
68 2011), pigments such as chlorophyll (Croft et al. 2014; Curran et al. 2001; Gitelson et al. 1996;  
69 Tsay et al. 1982; Yoder and Pettigrew-Crosby 1995; Zarco-Tejada et al. 2019), carotenoids  
70 (Hernández-Clemente et al. 2012; Hernández-Clemente et al. 2014) and foliar concentrations of  
71 most key nutrients, particularly nitrogen (N) and phosphorus (P) (Asner and Martin 2008; Asner  
72 et al. 2011a; Curran et al. 2001; Dechant et al. 2017; Gillon et al. 1999; Luther and Carroll  
73 1999; Masaitis et al. 2014; Petisco et al. 2005; Schlerf et al. 2010; Serbin et al. 2014; Stein et al.  
74 2014; Tsay et al. 1982; Wang et al. 2018; Wang et al. 2015; Yoder and Pettigrew-Crosby 1995).  
75 However, the remote sensing of attributes associated with photosynthesis has progressed at a far  
76 slower rate.

77 The rate of carbon assimilation under ambient conditions ( $A$ ) is strongly influenced by  
78 light intensity, air temperature, water availability and leaf biochemistry (Farquhar et al. 1980;  
79 Leuning 1995). These factors have been combined into a  $C_3$  photosynthesis model that shows  
80 the rate of carbon assimilation to be limited under ambient conditions by the maximal rate of  
81 ribulose-1,5-bisphosphate (RuBP) carboxylase-oxygenase (Rubisco) carboxylation ( $V_{cmax}$ ) and  
82 the maximal electron transport rate driving regeneration of RuBP ( $J_{max}$ ). In combination  $V_{cmax}$   
83 and  $J_{max}$  define the plants biochemical limitations to photosynthesis and these two variables will  
84 be, hereafter, collectively termed photosynthetic capacity.

85 Previous research shows that photosynthetic capacity ranges widely both within and  
86 among species, and is sensitive to variation in environmental conditions (Groenendijk et al.  
87 2011; Xu and Baldocchi 2003). Despite this variation, a fixed value for  $V_{\text{cmax}}$  is often assumed  
88 in Terrestrial Biosphere Models which provide the main means of predicting regional and  
89 global estimates of terrestrial carbon (Beer et al. 2010). Many studies have investigated the use  
90 of plant functional traits such as leaf phosphorus (P), specific leaf area (SLA) and leaf nitrogen  
91 (N) to account for variation in photosynthetic capacity (Walker et al. 2014). As N is a primary  
92 component of Rubisco and the light-harvesting complexes that regulate photosynthesis  
93 (Niinemets and Tenhunen 1997), studies have often successfully used N to predict  
94 photosynthetic capacity (Dechant et al. 2017), although seasonal variation in partitioning of N  
95 to photosynthetic fractions can complicate predictions (Croft et al. 2017). Chlorophyll content  
96 has also been found to be a useful predictor of photosynthetic capacity (Croft et al. 2017) as this  
97 pigment is involved in light harvesting and there is a direct relationship between this pigment  
98 and  $J_{\text{max}}$  (Collatz et al. 1991; Sellers et al. 1992), which in turn is usually strongly and linearly  
99 related to  $V_{\text{cmax}}$ , across a large range of species (Medlyn et al. 2002).

100 Chlorophyll fluorescence has been widely shown to serve as a proxy for electron transport  
101 rate and photosynthetic activity (Genty et al. 1989; Weis and Berry 1987). As chlorophyll  
102 fluorescence is dependent on chlorophyll concentration, which has been found to be closely  
103 aligned to photosynthetic capacity (Croft et al. 2017; Houborg et al. 2013), a strong link has  
104 also been shown between Sun Induced Chlorophyll Fluorescence (SIF) and  $V_{\text{cmax}}$  (Rascher et al.  
105 2015). A recent review has outlined the progress in SIF retrievals over the last 50 years  
106 (Mohammed et al. 2019) and research has demonstrated the utility of SIF in predicting  
107 photosynthetic activity at both the leaf and the canopy scales from a range of remote sensing  
108 platforms (Cendrero-Mateo et al. 2015; Zarco-Tejada et al. 2013a; Zarco-Tejada et al. 2016).

109 A parallel line of investigation over the last two decades has focussed on the use of  
110 Photochemical Reflectance Index (PRI) to predict photosynthetic activity of vegetation. This

111 index, which is determined from narrow band reflectance at 531 and 570 nm (Gamon et al.  
112 1992; Gamon et al. 1997) and in closely related bands in derivative versions (Gamon et al.  
113 1993), has been widely used to predict photosynthetic status across a range of vegetation types.  
114 PRI provides a linkage with the efficiency of photosystem II through characterising variation in  
115 xanthophyll pigments and as such quantifies changes in non-photochemical quenching and light  
116 use efficiency (Gamon et al. 1997). This index has been successfully used to predict  
117 photosynthetic rate (Drolet et al. 2008; Fuentes et al. 2006; Gamon et al. 1997; Guo and Trotter  
118 2004; Hilker et al. 2008; Middleton et al. 2009; Nichol et al. 2000; Penuelas et al. 1995;  
119 Stylinski et al. 2000) and the photosynthetic response of plants subject to a range of stresses  
120 (Dobrowski et al. 2005; Hernández-Clemente et al. 2011; Scholten et al. 2019; Suárez et al.  
121 2008) and is responsive to seasonal changes in pigments (Gitelson et al. 2017). PRI can be  
122 readily used to scale photosynthesis to the canopy level as recently launched satellite based  
123 hyperspectral imagers (e.g. PRISMA, DESIS) and planned missions (e.g. EnMAP) are capable  
124 of measuring this variable. In addition, Sentinel-2 and in particular the Sentinel-3 satellite OLCI  
125 and SLSTR sensors enable the estimation of vegetation pigments using the red edge spectral  
126 region and spectral bands centered at the green region for the assessment of the xanthophyll  
127 pigment dynamics and  $V_{cmax}$  at global scales using the SCOPE model (Prikaziuk and van der  
128 Tol 2019). Although many studies show that PRI is an effective proxy for photosynthesis  
129 (Hernández-Clemente et al. 2019) the index has been shown to be affected by canopy structure,  
130 leaf pigments and background (Suárez et al. 2009; Suárez et al. 2008), which can negatively  
131 impact predictions of photosynthesis (Rascher and Pieruschka 2008).

132 In this context, physically based modelling has been widely used as a method for  
133 generalising the spatial prediction of important vegetation traits. As these models are able to  
134 account for the influence of variations in background, canopy architecture and conditions during  
135 the image acquisition on reflectance they can be more generally applied than other approaches  
136 (Hill et al. 2019; Watt et al. 2019). One of the most widely used models is PROSAIL which

137 uses PROSPECT (Jacquemoud and Baret 1990) to simulate leaf reflectance and transmittance  
138 which are then fed into SAIL (Verhoef 1984), which predicts canopy reflectance from this input  
139 and soil optical properties and illumination geometry (Berger et al. 2018). When PROSAIL is  
140 run in inverse mode this model can be used to predict chlorophyll content and other biochemical  
141 constituents of foliage from canopy reflectance (Le Maire et al. 2008; Zarco-Tejada et al.  
142 2004b; Zhang et al. 2005). Given the importance of chlorophyll in the photosynthetic process,  
143 predictions of this pigment from PROSAIL have considerable potential for spatially describing  
144 variation in key photosynthetic variables. As described in the review by Jacquemoud et al.  
145 (2009) PROSAIL has been developed for homogeneous and uniform canopies, and requires  
146 more complex approximations to account for forest architecture. For this purpose, radiative  
147 transfer approaches such as DART (Gastellu-Etchegorry et al. 1996), 4-Scale (Chen et al. 1997)  
148 and FLIGHT (North 1996) have been used with success but these require a large number of  
149 inputs.

150       Considerable research has demonstrated that N and P independently limit both plant  
151 growth and photosynthetic capacity and that the N/P ratio can be used to partition ranges that  
152 are either limited by N or P (Bown et al. 2007; Domingues et al. 2010; Ingestad 1971, 1979;  
153 Ingestad and Lund 1986). The underlying premise of this approach is that a N/P ratio of 10  
154 (Knecht and Göransson 2004) marks a threshold and deviations from this lead to nitrogen (N/P  
155  $\leq 10$ ) or phosphorus (N/P  $> 10$ ) deficiencies (Aerts and Chapin 2000; Marschner 1995; Reich  
156 and Schoettle 1988). This assumption of independent limitations clearly influences how models  
157 linking photosynthetic capacity to predictors derived from hyperspectral data are interpreted.  
158 These hyperspectral predictors may have a stronger association with photosynthetic capacity  
159 within either the N or P limiting range or alternatively could be applied using a single equation  
160 across both ranges. Despite this, we are unaware of any research that has examined how  
161 generalisable relationships between key hyperspectral variables and photosynthetic capacity are  
162 within N and P limiting ranges.

163           Within the southern hemisphere *Pinus radiata* D. Don (radiata pine) is the most widely  
164   planted plantation species and is particularly abundant within New Zealand where it constitutes  
165   90% of the 1.7 M ha plantation area (NZFOA 2018). A key limitation of photosynthesis and  
166   growth in *P. radiata* plantations is nutrient supply (Raison and Myers 1992; Sheriff et al. 1986;  
167   Watt et al. 2005) and previous research has established relationships between  $V_{\text{cmax}}$ ,  $J_{\text{max}}$  and  
168   foliar concentration of N and P in this species (Bown et al. 2007; Walcroft et al. 1997).  
169   However, we are unaware of any research that has investigated the utility of hyperspectral  
170   imagery for predicting photosynthetic capacity in *P. radiata*.

171           In this study, measurements of hyperspectral imagery, foliage nutrition and  
172   photosynthesis were taken from an experiment that included a factorial combination of N and P  
173   treatments applied to *P. radiata*. Using this data, the overall goal of this research was to better  
174   understand the key determinants of photosynthetic capacity and how hyperspectral imagery can  
175   best be used to predict photosynthetic capacity. Specifically, we examined relationships  
176   between photosynthetic capacity and measured chlorophyll ( $\text{Chl}_{a+b}$ ), N and P within both the N  
177   and P limiting ranges. Using plant functional traits derived from hyperspectral data we then  
178   explored the strength and generality of relationships between photosynthetic capacity and PRI,  
179   SIF and chlorophyll  $a+b$  derived by radiative transfer model inversion.

180

## 181   **2. Methods**

182

### 183   *2.1. Experimental set up*

184

185   The experiment was undertaken within the Scion nursery, located in Rotorua, New Zealand. A  
186   total of 120 *P. radiata* seedlings were transplanted into pots with a 15 L volume during October  
187   2018. The medium into which the plants were transplanted consisted of a mixture of perlite and  
188   vermiculite which are silica-based products without any nutritional content. Plants were grown



189 in a thermostatically controlled greenhouse where temperature in spring fluctuated between 10  
190 and 24 °C during the day and between 10 and 16 °C during the night. These plants were watered  
191 weekly over the duration of the trial so that root-zone water content did not limit growth. This  
192 study reports on detailed measurements taken from a subsample of 30 trees, within this trial, that  
193 included six trees from each of the five treatments.

194 The five fertiliser treatments consisted of a factorial combination of N and P that were  
195 applied as 500 ml of nutrient solution per plant every fortnight starting on the 20<sup>th</sup> February, 2019.  
196 These five treatments included application of water only (Control), low N–low P (N0P0), low N–  
197 high P (N0P1), high N–low P (N1P0) and high N–high P (N1P1). Nutrient solutions consisted of  
198 two levels of nitrogen (N0 = 1.43 and N1 = 7.14 mol m<sup>-3</sup>) and phosphorus (P0 = 0.084 and P1 =  
199 0.420 mol m<sup>-3</sup>). Following Ingestad (1979) N was provided at concentrations of 100 ppm (7.14  
200 mM) and P at 13 ppm (0.420 mM) as the high-N and high-P supply regimes. The low-N (1.43  
201 mM) and low-P (0.084 mM) supply regimes were chosen as one-fifth of the high-N and high-P  
202 concentrations, respectively. Nitrogen was supplied as NH<sub>4</sub>NO<sub>3</sub> and phosphorus as KH<sub>2</sub>PO<sub>4</sub> and  
203 nutrients other than N and P were provided in optimum proportions in relation to N, as defined  
204 by Ingestad (Ingestad 1971, 1979).

205

## 206 2.2. *Hyperspectral data capture*

207

### 208 2.2.1 Data capture

209

210 A hyperspectral camera (FX10, Specim, Spectral Imaging Ltd, Oulu, Finland) was used to  
211 acquire hyperspectral imagery outside of the greenhouse, under clear sky conditions, from 10:30  
212 am to 1:30 pm on the 4<sup>th</sup> October, 2019. This push-broom camera captures 448 bands with  
213 wavelengths ranging from 400 to 1000 nm with a spectral full width at half maximum (FWHM)  
214 of 5.5 nm. The camera is designed for industrial applications and as such has a high maximum

215 frame rate of 9900 frames per second with one band, and 330 frames per second using the full  
216 range of bands, as well as a high Signal-to-Noise Ratio (SNR) of 600:1. Within the field of view  
217 of 38° the spatial sampling comprises 1024 pixels. We used the Lumo Recorder software  
218 interface to manage the image acquisition.

219 The camera was mounted 2 m above ground on a cross beam that was supported by two  
220 posts, and a conveyor belt was used to move the plants through the field of view. The speed of  
221 the conveyor belt was adapted to fit the frame rate of the camera, which in turn was dependent  
222 on the exposure time, which had to be adjusted to the current illumination conditions. During the  
223 measurements, the conveyor belt speed and frame rate were kept constant and the exposure time  
224 was adjusted to avoid over or undersaturation. A diffuse white reference standard (Spectralon,  
225 North Hutton, NH, USA) was placed so that it was visible in every frame allowing calibration of  
226 the imagery as a function of the changing illumination conditions.

227

### 228 2.2.2. Pre-processing of hyperspectral data

229

230 All pre-processing of the hyperspectral data was carried out using Matlab (The MathWorks, Inc.,  
231 Natick, Massachusetts, United States) following the methods described in Buddenbaum et al.  
232 (2019). Pixels with  $NDVI \geq 0.5$  and reflectance at 780 nm  $\geq 0.2$  were selected as vegetation  
233 pixels. Pixels with absolute first difference values  $\geq 0.1$  were masked out. Sample reflectance  
234 spectra for trees that are representative of the treatments are shown in Figure 1. Following these  
235 steps the number of pixels selected ranged from 16,000 – 112,000 pixels/tree, with an average of  
236 52,267 pixels/tree. The mean of all pixels for each tree was calculated to represent the whole  
237 plant.

238 Following these steps, the tree level spectra were smoothed using the Savitzky-Golay filter  
239 (Mouazen et al. 2010) as this filter has consistently been found to be one of the best available pre-

240 processing transformations (Vasques et al. 2008). This smoothing used a third order polynomial  
241 which was applied across a moving window of 27 spectral bands. Reflectance and the 1<sup>st</sup>  
242 derivative of reflectance were extracted from these smoothed spectra. As there was considerable  
243 noise at either end of the smoothed spectral data, the 52 bands that occurred both below 415 nm  
244 and above 951 nm, were excluded from further analyses. Following these exclusions, 396 bands  
245 (415 – 951 nm) describing reflectance and 395 bands (416 – 951 nm) describing the 1<sup>st</sup> derivative  
246 of reflectance were available for analyses.

247

### 248 2.2.3. Radiative transfer model inversion

249

250 Pure vegetation reflectance spectra extracted from the hyperspectral data acquired from the  
251 seedlings was used to invert PROSAIL to estimate chlorophyll  $a+b$  content ( $\text{Chl}_{a+b}^{\text{PROSAIL}}$ ).  
252 Although SAIL is designed for homogeneous canopies and this condition was not met by our  
253 experimental set-up, an inversion of PROSAIL was undertaken (Jacquemoud et al. 2009) using  
254 the spectra extracted from pure vegetation pixels (as in Zarco-Tejada et al. 2018). The  
255 PROSPECT model has been demonstrated to be valid for simulating needle reflectance in Jack  
256 Pine (*Pinus banksiana* Lamb.) stands for chlorophyll  $a+b$  content estimation (Zarco-Tejada et al.  
257 2004a). The proposed PROSPECT and SAIL models used here were successful for chlorophyll  
258 content estimation when targeting pure vegetation pixels in forest areas (Zarco-Tejada et al.  
259 2001). Thus, we used a combination of PROSPECT-D (Féret et al. 2017) and 4SAIL (Verhoef et  
260 al. 2007) model versions, and inverted the spectra using the function `lsqcurvefit` in Matlab,  
261 following an approach by Jay et al. (2016). Parameters with low sensitivity were fixed so that  
262 only a limited number of parameters needed to be optimized. The leaf inclination distribution  
263 type was set to 2 so that only the average leaf inclination angle (ALA) was included in the model.  
264 The soil spectrum was also fixed. The model code includes spectra for a dark wet and a bright

265 dry soil. We used a linear combination of 10% dark soil and 90% bright soil. Further model  
 266 parameters are listed in Table 1.

267

#### 268 2.2.4. Calculation of PRI and Sun Induced Chlorophyll Fluorescence quantification

269

270 The reflectance spectra were interpolated to a 1 nm resolution within Matlab. Using the  
 271 interpolated spectra, calculations of PRI ( $PRI_{531,570}$ ) were made using the following (Gamon et  
 272 al. 1992),

273

$$274 \text{ PRI} = (R_{531} - R_{570}) / (R_{531} + R_{570}) \quad (1)$$

275

276 We also trialled an alternative formulation of PRI ( $PRI_{528,567}$ ) that utilised 528 nm and 567 nm  
 277 (Gamon et al. 1993) and this variation was used in analyses as it was more precisely correlated  
 278 to photosynthetic capacity than  $PRI_{531,570}$ . Although PRI was developed to track changes in  
 279 xanthophyll pigments, it has been reported that such spectral bands in the 530-570 nm region are  
 280 also influenced by confounding effects related to the absorption of other photosynthetic pigments,  
 281 structure of the canopy, and the soil and background (Suárez et al. 2009; Suárez et al. 2008;  
 282 Zarco-Tejada et al. 2013b). Thus, changes observed in PRI are potentially due to the combined  
 283 changes of chlorophyll and xanthophylls, and structural effects over the course of the experiment.

284 Sun-Induced Chlorophyll Fluorescence (SIF) was quantified using the 760 nm O<sub>2</sub>-A band  
 285 using the *in-filling* method based on the Fraunhofer Line Depth principle (FLD) calculated from  
 286 a total of three spectral bands (FLD3) as follows,

287

$$288 \text{ SIF} = \frac{E_{out} L_{in} - E_{in} L_{out}}{E_{out} E_{in}} \quad (2)$$

289

290 where radiance,  $L$ , corresponds to  $L_{in}$  (L<sub>761</sub>),  $L_{out}$  (average of L<sub>747</sub> and L<sub>780</sub> bands), and the  
291 irradiance,  $E$ , to  $E_{in}$  (E<sub>761</sub>), and  $E_{out}$  (average of E<sub>747</sub> and E<sub>780</sub> bands). Values of SIF were rescaled  
292 through addition of an offset value to ensure that calculations of SIF from Equation 2 were not  
293 negative.

294

### 295 2.3. Photosynthetic capacity

296

297 Measurements of photosynthetic capacity were made using a coupled chlorophyll fluorescence  
298 and gas-exchange system (Imaging-PAM M-Series and GFS-3000, Walz, Effeltrich, Germany)  
299 from the 7<sup>th</sup> to 16<sup>th</sup> of October 2019 following measurements of hyperspectral data. For each of  
300 the 30 plants, the response of assimilation to intercellular CO<sub>2</sub> concentration ( $A/C_i$  response) was  
301 measured on two to three fully expanded young fascicles that were selected from the upper third  
302 of the canopy. These needles were arranged inside the 6 cm<sup>2</sup> cuvette without overlap and the area  
303 for these needles was determined by differentiating thresholded pixels using the Imaging-Win  
304 software of the coupled system. During the course of the measurements, conditions in the cuvette  
305 were maintained at 20 °C, with a relative humidity of 60% and an irradiance of 1,000  $\mu\text{mol}$   
306  $\text{photons m}^{-2} \text{s}^{-1}$ . The external CO<sub>2</sub> concentration ( $C_a$ ) supplied to the plants included the following  
307 series: 400, 300, 200, 100, 75, 50, 400, 600, 800, 1000, 1200, 1500, 2000  $\mu\text{mol mol}^{-1}$ .  
308 Measurements were recorded after values of  $A$ ,  $C_i$  and  $g_s$  were stable.

309  $A/C_i$  curves were analysed using Farquhar-type equations (Long and Bernacchi 2003). A  
310 generalised nonlinear least squares regression (*gnls* function, nlme package in R) was used to  
311 estimate  $V_{\text{cmax}}$  and  $J_{\text{max}}$ .

312

### 313 2.4. Determination of foliage N, P and Chlorophyll

314

315 Following the completion of the  $A/C_i$  response curves, approximately 10 fully extended fascicles,  
316 were selected from the upper third of the crown of each plant. These fascicles were dried at 70°  
317 C for at least 48 hours to constant dry mass and transported to the Landcare Research laboratory  
318 (Palmerston North, New Zealand) for analysis of N and P. Foliage samples were finely ground,  
319 acid digested by the Kjeldahl method, and the N and P concentrations were determined  
320 colorimetrically (Blakemore et al. 1987). Approximately 20 fully extended fascicles were  
321 selected for measurements of chlorophyll  $a+b$  ( $Chl_{a+b}$ ). These needles were placed in tubes and  
322 frozen at -80°C before being transported with dry ice to Plant and Food Laboratory (Lincoln, New  
323 Zealand) where analysis was undertaken using  $Chl_{a+b}$  estimation by spectrometry. From finely  
324 ground foliage samples, plant materials were extracted with acetone. This extraction was  
325 undertaken in the dark and the samples were kept on ice throughout the process to avoid pigment  
326 degradation. The absorbance of the extracts in the wavelengths 645, 652, 663, and 700 nm were  
327 read against 80% acetone and these values were then used to compute the chlorophyll  
328 concentration (Holden 1965). All values of chlorophyll reported here refer to the total chlorophyll  
329 ( $Chl_{a+b}$ ).

330 Specific leaf area, (SLA) was determined from needles sampled for chlorophyll and  
331 expressed on a hemi-surface leaf area basis. Following Bown et al (2009b) leaf area was  
332 determined from  $[nld(1 + \pi/n)]/2$ , where  $d$  is fascicle diameter,  $l$  is fascicle length and  $n$  is the  
333 number of needles per fascicle. SLA was expressed in  $\mu\text{g cm}^{-2}$  as the quotient of dry weight and  
334 leaf area. Measurements of SLA were used to convert foliage nutrient and pigment concentrations  
335 to a hemi-surface area basis.

336

### 337 *2.5. Measurements of tree dimensions*

338

339 Tree height, root collar diameter and crown diameter were measured on the 22<sup>nd</sup> of October.  
340 Crown diameter was measured in two perpendicular directions at the widest point and these

341 measurements were averaged. Electronic calipers were used to measure root collar diameter and  
342 both height and crown width were measured using a tape.

343

## 344 2.6. Data analysis

345

346 All analyses were undertaken at the plant level using a combination of Matlab (The MathWorks,  
347 Inc., Natick, Massachusetts, United States) and R (R Development Core Team 2011). Matlab was  
348 used to plot the spectra and invert PROSAIL while all other analyses were undertaken using R.

349

### 350 2.6.1. Treatment differences

351

352 Tree dimensions, foliage nutrient content, photosynthetic variables, and PROSAIL output were  
353 tabulated and one-way analysis of variance was used to test for treatment differences between  
354 these variables. Multiple range testing, using the Tukey test, was used to determine which  
355 treatments significantly differed for all variables in which treatment had a significant effect.

356 Treatment variation in hyperspectral variables was plotted. We undertook a one-way  
357 analysis of variance across each of the 396 reflectance bands to identify which bands were most  
358 sensitive to the treatments. This band level ANOVA was also undertaken on four different  
359 treatment contrasts to isolate the influence of N and P on reflectance. The influence of N was  
360 determined through contrasting reflectance for low and high N treatments at both low (N0P0 vs  
361 N1P0) and high P (N0P1 vs. N1P1). Similarly, the influence of P on reflectance was identified  
362 through contrasting low and high P treatments at both low (N0P0 vs N0P1) and high N (N1P0 vs.  
363 N1P1). Using a Bonferroni correction these contrasts were deemed to be significant at  $P < 0.0125$ .

364

### 365 2.6.2 Prediction of photosynthetic variables

366

367 Nutrient ratios were used to separate the dataset into plants that were either N or P limiting to  
368 gain greater insight into the processes regulating photosynthetic capacity within each of these  
369 two phases. Following previous literature (Aerts and Chapin 2000; Knecht and Göransson  
370 2004; Marschner 1995; Reich and Schoettle 1988) trees with an N/P ratio (expressed on a mass  
371 basis) of  $\leq 10$  were categorised as N deficient, while those with  $N/P > 10$  were categorised as P  
372 deficient. The foliage N/P within sampled trees ranged from 2.6 – 27.9, of which 9 observations  
373 were P limited while the remaining 21 were N limited (Fig. 2).

374 Following Kattenborn et al. (2019) all modelling used nutrient concentrations expressed  
375 on an area basis. Initial analyses examined correlations between N, P, and PRI and SIF.  
376 Bivariate relationships were then developed between the photosynthetic variables and N, P,  
377  $Chl_{a+b}$  to examine the role that these variables played in regulating photosynthetic capacity. The  
378 potential of predicting  $V_{cmax}$  and  $J_{max}$  from hyperspectral data was then investigated through  
379 development of models that included either  $Chl_{a+b}$  PROSAIL, PRI or SIF. In all developed models  
380 only significant variables were included in the models and variables were used in the models in  
381 either linear formulations, and where significant, in a polynomial formulation.

382

### 383 **3. Results**

384

#### 385 *3.1. Tree characteristics*

386

387 All physical dimensions varied significantly between treatments (Appendix 1) and dimensions  
388 for the two high N treatments were markedly greater than those for the three low N treatments.  
389 Mean height, root collar diameter and crown width in N1P1 were, respectively, 85.8 cm, 15.9  
390 mm and 31.6 cm, which exceeded corresponding mean values for these three dimensions in the  
391 Control, N0P1 and N0P1, by respectively, 58, 31 and 64%. There were no significant treatment  
392 differences in SLA and values averaged  $2,467 \mu g cm^{-2}$  across treatments (Appendix 1).



393

394 *3.2 Foliar nutrition*

395

396 The applied treatments resulted in a wide range in N and P (Fig. 2) and both elements significantly  
397 varied between treatments when expressed on either a mass or area basis (Appendix 1). Values of  
398 N ranged from 0.41 – 2.00 % when expressed on a mass basis and 11.1 – 45.8  $\mu\text{g cm}^{-2}$  on an area  
399 basis while P varied from respectively 0.053 – 0.278 % and 1.41 – 6.47  $\mu\text{g cm}^{-2}$ . The relationship  
400 between N and P was weakly significant (Fig. 2) when data was expressed on a mass basis ( $P =$   
401  $0.03$ ;  $R^2 = 0.224$ ) but insignificant when expressed on an area basis ( $P = 0.10$ ;  $R^2 = 0.155$ ). The  
402 relationship between N and  $\text{Chl}_{a+b}$  was positive, highly significant and very strong when expressed  
403 on either a mass ( $P < 0.001$ ;  $R^2 = 0.887$ ) or area basis ( $P < 0.001$ ;  $R^2 = 0.870$ ).

404 When expressed on an area basis there were no significant differences in N or  $\text{Chl}_{a+b}$   
405 (Appendix 1) between the two high N treatments (N1P0, N1P1) or the two low N treatments  
406 (N0P0, N0P1). Similarly, P did not significantly differ between the two low P treatments (N0P0,  
407 N1P0) or the two high P treatments (N0P1, N1P1). There was an identical significance pattern  
408 for N, P and  $\text{Chl}_{a+b}$  expressed on a mass basis (Appendix 1), except for the pairwise comparison  
409 of N for the two high N treatments (N1P0 and N1P1) which exhibited significant differences.  
410 This low level of lack of significance provided a sound basis for the pairwise testing of the  
411 impacts of N and P on both photosynthetic capacity and hyperspectral imagery, that is described  
412 below.

413

414 *3.3. Photosynthesis capacity*

415

416 Differences between treatments were highly significant for both  $V_{\text{cmax}}$  and  $J_{\text{max}}$  ( $P < 0.001$ ). The  
417 mean values of  $V_{\text{cmax}}$  and  $J_{\text{max}}$  for N1P1, were respectively, 34.9 and 90.5  $\mu\text{mol m}^{-2} \text{s}^{-1}$  which  
418 exceeded those in the Control treatment by ca. three-fold for both variables (Appendix 1). Most

419 variation between the treatments was attributable to addition of N (Appendix 1). Values for the  
420 two high N treatments (N1P0, N1P1) significantly exceeded those of the two low N treatments,  
421 with equivalent P additions (N0P0, N0P1) by respectively 55 and 51% for  $V_{cmax}$  and  $J_{max}$   
422 (Appendix 1). Addition of P to the low N treatment (i.e. N0P1 vs N0P0) increased  $V_{cmax}$  and  
423  $J_{max}$  by respectively 7.8 and 3.3%, while addition of P to the high N treatment (i.e. N1P1 vs  
424 N1P0) resulted in greater increases to  $V_{cmax}$  and  $J_{max}$  of respectively, 11.5 and 16.2% (Appendix  
425 1).

426

#### 427 *3.4. Hyperspectral data*

428

429 Figure 3 shows variation in canopy reflectance and the 1<sup>st</sup> derivative of reflectance at the tree  
430 level while Figure 4 describes variation in reflectance across the entire spectrum and within three  
431 narrow wavelength ranges for data averaged by treatment (Fig. 4 a – d) and type of limitation  
432 (Fig 4e – h). Tree level variation in reflectance was relatively tightly clustered within treatments  
433 highlighting the consistency of the data (Fig. 3a). Treatment level reflectance was higher in the  
434 low N treatments between 450 – 680 nm with the highest values recorded in the Control  
435 treatment (4a – d). There was also a marked shift in the lower wavelengths of the red edge for  
436 the low N treatments compared to those with high N (Fig. 4d). Both of these treatment influences  
437 on reflectance were significant, with the highest levels of significance occurring at wavelengths  
438 centred in the red edge at 700 nm and the green peak at 580 nm (Fig. 5), with significant  
439 treatment differences occurring at all other wavelengths between 472 – 728 nm (Fig. 5).

440 The first derivative of reflectance for the three low N treatments exhibited marked  
441 increases between 500 – 550 nm (Fig. 3b), compared to the two high N treatments and peak  
442 values for the first derivative were reached at lower wavelengths, with both features being most  
443 marked for the Control treatment (Fig. 3b). Significant treatment differences were noted in the

444 first derivative in almost all wavelengths between 422 – 811 nm with the most significant  
445 differences occurring at wavelengths centred around 497 and 647 nm (Fig. 5).

446 Analysis of variance, using treatment combinations that partitioned the impact of N and P,  
447 showed that treatment differences were mainly attributable to variation in N. For reflectance,  
448 comparisons of low and high P at similar values of N, that were either low (i.e. N0P0 vs. N0P1,  
449 red circles, Fig. 6a) or high (i.e. N1P0 vs. N1P1, blue circles, Fig. 6a) did not significantly differ.  
450 The small influence of P on reflectance is also clearly evident in figures showing spectral  
451 changes across discrete ranges which shows these two P contrasts almost overlap between 400 –  
452 700 nm (Figs. 4b – d). Similarly, for the first derivative, these two treatment comparisons were  
453 mostly non-significant, with the exception of a few wavelengths, scattered across the spectral  
454 range (Fig. 6b).

455 In contrast, comparisons of reflectance for low and high N, made at low values of P (green  
456 circles, Fig. 6a) or high values of P (black circles, Fig. 6a) showed significant differences  
457 between treatments from ca. 500 – 730 nm, reaching highest significance for both comparisons  
458 in the red edge region, and at wavelengths centred around 534 nm for N0P1 vs. N1P1 (Fig. 6a).  
459 Treatment contrasts shown for discrete spectral regions (Figs 4b – d) show that higher N  
460 markedly reduces reflectance, compared to low N, for both contrasts and that these differences  
461 are particularly marked within the green peak region (Fig. 4c).

462 Treatment comparisons were in general more significantly different for the first derivative  
463 of reflectance than reflectance (Fig. 6b). Differences in the first derivative for these two N  
464 treatment comparisons were significant across most of the spectral range, from ca. 432 – 763 nm,  
465 with the most significant values occurring in the red-edge region for N0P0 vs. N1P0 and at  
466 wavelengths centred around 504, 608 and 651 nm for N0P1 vs. N1P1 (Fig. 6b).

467 When expressed by the type of limitation, trees that were limited by N had higher  
468 reflectance than P limited trees within both the red edge range and visible spectrum above 430  
469 nm (Fig. 4e). These differences were most marked within the green peak region (Fig. 4g). There

470 was also a marked shift in the lower wavelengths of the red edge for the N limited plants  
 471 compared to those that were P limited (Fig. 4h).

472

### 473 3.5 Relationships between nutrient content and spectral indices

474

475 Within the N limiting range there were significant positive relationships between N and both PRI  
 476 ( $P < 0.001$ ;  $R^2 = 0.83$ ; Fig 7a) and SIF ( $P < 0.001$ ;  $R^2 = 0.59$ ; Fig. 7c) but relationships between  
 477 N and both of these variables were insignificant and weak, within the P limiting range (Fig. 7a,  
 478 c). In the P limiting range, strong significant positive relationships were found between P and  
 479 both SIF ( $P < 0.01$ ;  $R^2 = 0.697$ ) and PRI<sub>528, 567</sub> ( $P < 0.001$ ;  $R^2 = 0.792$ ), as shown by the filled  
 480 blue circles, respectively, in Figures 7d and b. Within the N limiting range, the relationship  
 481 between P and SIF was insignificant and weak ( $P = 0.23$ ;  $R^2 = 0.076$ ), while the relationship  
 482 between P and PRI<sub>528, 567</sub> was only marginally significant but very weak ( $P = 0.048$ ;  $R^2 = 0.190$ ).

483

### 484 3.6 Models of photosynthetic capacity

485

#### 486 3.6.1 Use of measured variables

487

488 Under both N and P limiting conditions Chl<sub>a+b</sub> was most strongly related to both  $V_{\text{cmax}}$  ( $P <$   
 489  $0.001$ ;  $R^2 = 0.85$ ) and  $J_{\text{max}}$  ( $P < 0.001$ ;  $R^2 = 0.82$ ) and both relationships were positive (Fig. 8a, c;  
 490 Table 2). There were strong positive relationships between N and both  $V_{\text{cmax}}$  ( $P < 0.001$ ;  $R^2 =$   
 491  $0.84$ ) and  $J_{\text{max}}$  ( $P < 0.001$ ;  $R^2 = 0.82$ ), that were only marginally weaker than the relationships  
 492 with Chl<sub>a+b</sub> (Fig. 9a, c; Table 2). Under N limiting conditions these relationships generally  
 493 remained at similar strength, although N was a slightly stronger predictor of  $J_{\text{max}}$  than Chl<sub>a+b</sub> ( $R^2$   
 494  $= 0.87$  vs.  $0.86$ ). Under P limiting conditions, relationships between photosynthetic capacity and

495 either N (Fig. 9 a, c) or  $\text{Chl}_{a+b}$  (Fig. 8 a, c) were very weak ( $R^2 < 0.02$ ) and insignificant (Table  
496 2).

497 Under P limiting conditions, relationships between P and both  $V_{\text{cmax}}$  (Fig 9b) and  $J_{\text{max}}$  (Fig  
498 9d) were positive, significant and of a moderate strength, with respective  $R^2$  of 0.50 and 0.58  
499 (Table 2). In contrast, relationships between P and photosynthetic capacity ( $V_{\text{cmax}}$ ,  $J_{\text{max}}$ ) were  
500 insignificant under either N limiting conditions or across the entire dataset (Figs. 9b, d; Table 2).

501

### 502 3.6.2. Use of derived variables

503

504 There was a strong linear relationship ( $R^2 = 0.88$ ) between area based measured chlorophyll ( $C_{a+b}$ )  
505 and chlorophyll predicted by model inversion ( $C_{a+b \text{ PROSAIL}}$ ). Values of  $C_{a+b}$  were overpredicted  
506 by  $C_{a+b \text{ PROSAIL}}$  at low values and underpredicted at high values, but there was little treatment bias  
507 in the predictions (Fig. 10).

508 Using all the data,  $\text{Chl}_{a+b \text{ PROSAIL}}$  exhibited strong positive linear relationships (Fig. 8b, d)  
509 with both  $V_{\text{cmax}}$  ( $P < 0.001$ ;  $R^2 = 0.79$ ) and  $J_{\text{max}}$  ( $P < 0.001$ ;  $R^2 = 0.76$ ). These relationships  
510 remained significant and relatively strong using data restricted to N limiting conditions (Table  
511 2). However, there was no significant relationship between  $\text{Chl}_{a+b \text{ PROSAIL}}$  and either both  $V_{\text{cmax}}$   
512 and  $J_{\text{max}}$  under P limiting conditions, with  $R^2 < 0.04$  for both relationships (Table 2; Fig. 8b, d).

513 Using all the data there were strong relationships between  $\text{PRI}_{528, 567}$  and both  $V_{\text{cmax}}$  ( $P <$   
514  $0.001$ ;  $R^2 = 0.84$ ) and  $J_{\text{max}}$  ( $P < 0.001$ ;  $R^2 = 0.84$ ) that were best described using quadratic terms  
515 (Table 2; Fig. 11a, c). These relationships remained strong, but the precision was slightly  
516 reduced when data was restricted to N limiting conditions (Table 2). Under P limiting conditions  
517 positive correlations of moderate strength were found between  $\text{PRI}_{528, 567}$  and  $V_{\text{cmax}}$  ( $P = 0.06$ ;  $R^2$   
518  $= 0.42$ ) and  $J_{\text{max}}$  ( $P = 0.029$ ;  $R^2 = 0.51$ ), that were generally aligned with predictions under N  
519 limiting conditions (Table 2; Fig. 11a, c).

520 Using all the data SIF exhibited strong positive linear relationships with both  $V_{\text{cmax}}$  ( $P <$   
 521  $0.001$ ;  $R^2 = 0.78$ ) and  $J_{\text{max}}$  ( $P < 0.001$ ;  $R^2 = 0.80$ ), which were slightly reduced in strength when  
 522 data was restricted to N limiting measurements (Table 2; Fig. 11b, d). Under P limiting  
 523 conditions, SIF was moderately related to  $V_{\text{cmax}}$  ( $P = 0.09$ ;  $R^2 = 0.35$ ) and strongly related to  $J_{\text{max}}$   
 524 ( $P < 0.01$ ;  $R^2 = 0.68$ ) and these relationships aligned very well with predictions made under N  
 525 limiting conditions (Fig. 11b, d).

526

## 527 Discussion

528

529 Our results show that N and P were only significantly related to  $V_{\text{cmax}}$  and  $J_{\text{max}}$ , within the N and  
 530 P limiting ranges, respectively, suggesting that photosynthetic capacity is independently  
 531 regulated by these elements. Predictions of photosynthetic capacity ( $V_{\text{cmax}}$ ,  $J_{\text{max}}$ ) using variables  
 532 derived from hyperspectral imagery showed contrasting generality across the dataset. Strong  
 533 positive relationships were observed between  $\text{Chl}_{\text{a+b}}$  PROSAIL and both  $V_{\text{cmax}}$  and  $J_{\text{max}}$  in the N  
 534 limiting phase but these relationships were insignificant in the P limiting range. However, both  
 535 SIF and  $\text{PRI}_{528, 567}$  exhibited moderate to strong positive relationships with photosynthetic  
 536 capacity in both the N and P limiting phases suggesting that these variables are more  
 537 generalisable than  $\text{Chl}_{\text{a+b}}$  PROSAIL.

538 The treatments used here created a wide range in N and P that exceeded the ranges in  
 539 content and N/P ratio typically found in field grown *P. radiata*. When expressed on a mass basis  
 540 foliage N ranged from 0.41 to 2.0% while foliage P ranged from 0.05 to 0.28%. Within a  
 541 designed field experiment, located at 20 sites spanning almost all variation in soil fertility found  
 542 in New Zealand plantations, ranges were markedly lower varying from 0.75 – 1.64% for N and  
 543 from 0.09 – 0.18% for P (Watt et al. 2009). Our reported values in N and P covered ranges  
 544 considered to be deficient, marginal and sufficient for both elements (Mead 2013).

545 The use of ratios provided a useful means of separating N from P limitations. Nutrient  
546 ratios have been extensively used to identify optimum nutrition and account for particular  
547 nutrient limitations (Ingestad 1971, 1979; Ingestad and Lund 1986). In terrestrial plants an  
548 optimum N/P ratio of 10 has been found for a wide range of species (Knecht and Göransson  
549 2004) which agrees with our results that show photosynthetic capacity peaks at ratios of 9.3 –  
550 11.3 (data not shown). Several authors (Aerts and Chapin 2000; Marschner 1995; Reich and  
551 Schoettle 1988) suggest that deviations from this N/P ratio of 10 should lead to nitrogen ( $N/P \leq$   
552 10) or phosphorus ( $N/P > 10$ ) deficiencies. Our results strongly support this suggestion through  
553 showing that N and P were only significantly related to  $V_{cmax}$  and  $J_{max}$ , within the N and P  
554 limiting ranges, respectively.

555 Overall our results show that  $Chl_{a+b}$  and N had the largest influence on photosynthetic  
556 capacity. The strong relationships found here between photosynthetic capacity and both  $Chl_{a+b}$   
557 and N under N limiting conditions have a sound physiological basis. Nitrogen is a major  
558 component of Rubisco (Niinemets and Tenhunen 1997) and at least 50% of leaf nitrogen is  
559 invested in the photosynthetic apparatus of plants (Niinemets and Sack 2006). As Rubisco  
560 catalyses the carboxylation reaction, there is a mechanistic link between the leaf Rubisco  
561 content and the maximum capacity of carboxylation,  $V_{cmax}$ .

562 Similarly, chlorophyll also plays an important role in photosynthesis. Chlorophyll which  
563 is embedded in the thylakoid membranes of chloroplasts, provides the principal means of  
564 harvesting light (Croft et al. 2017). This light harvest provides the energy to supply electrons,  
565 via the cytochrome  $b_6/f$  complex, to produce nicotinamide adenine dinucleotide phosphate  
566 (NADPH) and chemical energy as adenosine triphosphate (ATP), for the reactions of the Calvin–  
567 Benson cycle. Chlorophyll content has been shown to be related to the amount of light  
568 harvested across a range of species (Collatz et al. 1991; Evans 1996), and photosynthetically  
569 active radiation absorbed by the leaf drives the potential rate of electron transport,  $J$  (Collatz et  
570 al. 1991; Sellers et al. 1992). Although Chl is theoretically more closely related to  $J_{max}$ , in

571 practice a strong linear relationship between  $V_{\text{cmax}}$  and  $J_{\text{max}}$ , is often observed across a range of  
572 species (Medlyn et al. 2002) as was found for our data ( $P < 0.001$ ;  $R^2 = 0.939$ ). This tight  
573 coupling, which is thought to reflect coordination between these two rate- limiting biochemical  
574 cycles (Kattge and Knorr 2007; Leuning 1997; Medlyn et al. 2002; Walker et al. 2014), means  
575 that in practice  $\text{Chl}_{\text{a+b}}$  can be used as a predictor for both variables.

576 Under N limiting conditions, relationships between photosynthetic capacity and both  
577  $\text{Chl}_{\text{a+b}}$  and N were found to have a very similar precision. This suggests that wavelengths  
578 associated with N in the SWIR range are not as important for predicting photosynthetic capacity  
579 in *P. radiata* as those associated with chlorophyll in the VNIR range. Examination of spectral  
580 differences between treatments confirm the importance of chlorophyll as a key predictor of  
581 photosynthetic capacity. These analyses show the most significant treatment differences occur  
582 within the green and red edge spectral regions which have previously been found to be key  
583 spectral predictors of chlorophyll content (Carter 1994; Gitelson and Merzlyak 1996; Horler et  
584 al. 1983; Rock et al. 1988; Vogelmann 1993).

585 The significant positive relationships that we found between P and both  $V_{\text{cmax}}$  and  $J_{\text{max}}$   
586 within the P limiting range were associated with high values of N. Results from a *P. radiata*  
587 nutrition experiment with a similar design (Bown et al. 2009a), that investigated relationships  
588 between nutrition and photosynthetic capacity, were very similar to ours and found a significant  
589 relationship between P and photosynthetic capacity in the P limiting range. This result is also  
590 consistent with a meta-study undertaken by Walker (2014) who observed little gain in  $V_{\text{cmax}}$  and  
591  $J_{\text{max}}$  under increasing P at low N, but a doubling of modelled gross carboxylation rates across a  
592 P range under high N levels, which is analogous to the P limiting range in our study. The  
593 importance of P in regulating  $V_{\text{cmax}}$  and  $J_{\text{max}}$  has a sound theoretical basis as the availability of P  
594 has an impact on many important aspects of photosynthesis including membrane solubility,  
595 ATP, and NADPH production (Marschner 1995; Taiz et al. 2015).



596 Our results demonstrate very little spectral alteration associated with P but do show  
597 significant relationships between P and both PRI<sub>528,567</sub> and SIF within the P limiting range.  
598 After controlling for N, results clearly show little discernible change in reflectance or the first  
599 derivative of reflectance between plants with high or low P. This is consistent with previous  
600 literature as P does not directly absorb energy in the shortwave spectrum and consequently  
601 predictions of P typically rely on strong positive correlations with N (Asner and Martin 2008;  
602 Gillon et al. 1999; Porder et al. 2005). While this is a useful approach for vegetation with  
603 normal ratios of N and P, this empirical relationship is likely to break down when ratios of N  
604 and P deviate from normal values, and there is little correlation between N and P. Within the P  
605 limiting range there were moderate to strong positive, linear relationships between P and both  
606 SIF and PRI suggesting that these variables may act as proxies for P and the effect of this  
607 element on photosynthetic capacity.

608 Although the three variables, derived from the hyperspectral data, used to predict  
609 photosynthetic capacity had similar precision, there were marked differences in their utility for  
610 predicting photosynthetic capacity. The significant relationship found here between Chl<sub>a+b</sub>  
611 PROSAIL and photosynthetic capacity is consistent with previous research that has used  
612 chlorophyll derived from physically based models to predict  $V_{\text{cmax}}$  and  $J_{\text{max}}$  (Croft et al. 2017;  
613 Dechant et al. 2017). Our results generally support Croft et al (2017), who advocate the use of  
614 chlorophyll as a potentially useful proxy for photosynthetic capacity but extend these findings  
615 through showing that chlorophyll should be used with caution under P limiting conditions,  
616 where we found this relationship to be weak and insignificant.

617 SIF was strongly correlated with both  $V_{\text{cmax}}$  and  $J_{\text{max}}$  and, in contrast to Chl<sub>a+b</sub> PROSAIL,  
618 predictions exhibited relatively robust correlations across both the N and P limiting ranges.  
619 Although SIF has been widely used to predict gross primary productivity (Meroni et al. 2009;  
620 Porcar-Castell et al. 2014; Rascher et al. 2015), and photosynthesis (Frankenberg et al. 2011;  
621 Guanter et al. 2014; Smith et al. 2018), in a range of species, with few exceptions (Camino et al.

2019) little research has linked SIF to  $V_{\text{cmax}}$  and  $J_{\text{max}}$  at a fine scale. As found here, there is generally a strong relationship between Chl and SIF as leaves with a higher Chl will absorb more light and produce a higher leaf SIF, although this effect is complicated by the fact that emitted SIF is scattered and reabsorbed throughout the canopy (Verrelst et al. 2015). It has been hypothesised that SIF is a useful predictor of photosynthetic capacity as it can be used to selectively measure the quantity of absorbed light in chlorophyll (Rascher et al. 2015). However, in contrast to  $\text{Chl}_{\text{a+b}}$ , our results suggest that SIF can at least partially account for the role of P on photosynthetic capacity at high values of N as supported by the strong relationship found between SIF and P under P limiting conditions.

Similarly, PRI was also strongly related to photosynthetic capacity and was able to account for variation in  $V_{\text{cmax}}$  and  $J_{\text{max}}$  across both N and P limitations. Research has widely demonstrated the utility of PRI for predicting light use efficiency (Garbulsky et al. 2011; Peñuelas et al. 2011) and key photosynthetic parameters under a range of stresses including severe drought conditions (Ripullone et al. 2011), cold winter temperatures (Gamon et al. 2016; Wong and Gamon 2015a, b) and herbicide damage (Scholten et al. 2019). The relationship found here between PRI and photosynthetic capacity is consistent with Scholten et al. (2019) and has a strong theoretical basis as PRI can track plant photosynthetic activity through its intimate link with the dissipation of excess energy by nonphotochemical quenching (NPQ) via the xanthophyll cycle. The xanthophyll cycle is activated during periods of excess excitation energy in the leaf and through this process violaxanthin is de-epoxidized to zeaxanthin. These increased concentrations in zeaxanthin reduce reflectance at wavelengths around 531 nm, which results in reductions in PRI. Nevertheless, PRI has been demonstrated to be related to the absorption of chlorophyll content, in addition to the xanthophyll pigments, as well as by the canopy structure and soil (Suárez et al. 2009; Suárez et al. 2008; Zarco-Tejada et al. 2013b). Results shown in this experiment show the potential contribution of both xanthophylls and chlorophyll in the observed relationships with photosynthetic capacity. As with SIF, our results

648 suggest that PRI may provide a more generalisable means of predicting photosynthetic capacity  
649 under a range of nutritional limitations than chlorophyll derived from physically based models.

650 Predictions of photosynthetic capacity estimated by PRI and SIF could be scaled up using  
651 satellite imagery. As summarised in Mohammed et al. (2019) measurements of SIF are  
652 currently taken from a number of satellite platforms (e.g. GOME-2, OCO-2) and the first  
653 satellite mission designed for SIF measurement, FLEX, is scheduled for launch in 2022. The  
654 recently launched PRISMA and DESIS hyperspectral imagers, and the EnMAP sensor, which is  
655 scheduled for launch in 2021, are particularly suitable for estimating PRI and will provide  
656 imagery at a spatial resolution of 30 m with a relatively fine spectral resolution of up to 6.5 nm  
657 within the VNIR range (Guanter et al. 2015). In addition, Sentinel-3 has been proposed for  
658  $V_{\text{cmax}}$  estimation at global scales using radiative transfer models such as SCOPE (Prikaziuk and  
659 van der Tol 2019).

660 In conclusion, results from this study clearly demonstrate the utility of SIF and PRI for  
661 prediction of photosynthetic capacity across both the N and P limiting ranges. Although results  
662 clearly highlight the importance of N and  $\text{Chl}_{\text{a+b}}$  as key predictors of photosynthetic capacity we  
663 also show that these relationships break down within the P limiting range. The use of a N/P  
664 ratio to separate N from P limitations provided insight into relationships that would have  
665 otherwise have been concealed. Further research should examine the utility of this approach for  
666 development of models that link nutrient content and hyperspectral data to photosynthesis at  
667 increased scale across a broader range of species.

668

669

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671

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1057 **List of figure captions**

1058

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1065 of foliage N and P content above the line are N limited while those below the line are P limited.

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1109 limited dataset.

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1112 Table 1. Model parameters used within PROSAIL.

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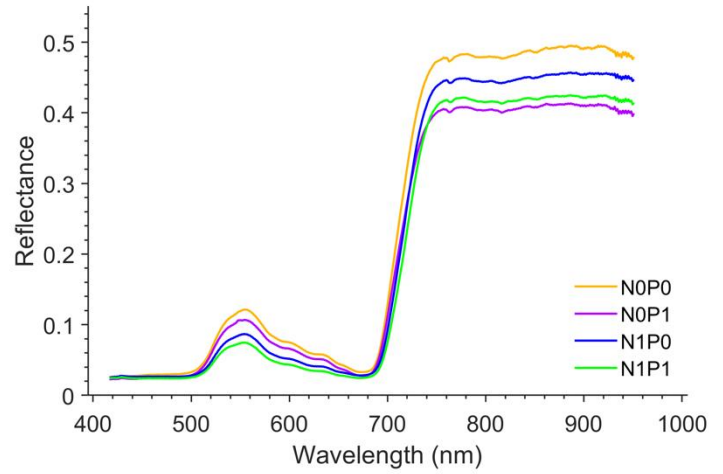
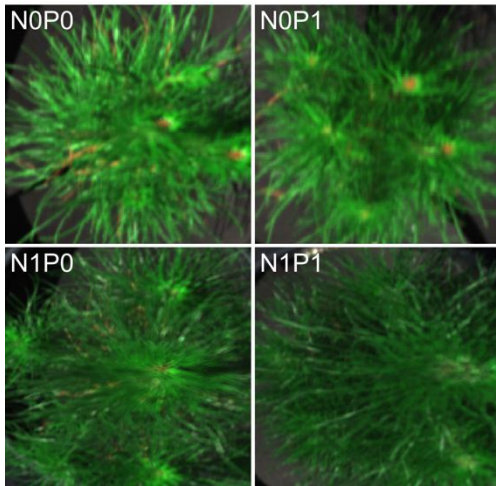
<b>Variable parameters</b>				
Parameter	Symbol	Unit	Min	Max
Mesophyll structure parameter	N		1.4	1.6
Chlorophyll a+b	Chl <sub>a+b</sub>	µg cm <sup>-2</sup>	25	60
Carotenoids	C <sub>cx</sub>	µg cm <sup>-2</sup>	1	15
Anthocyanins	C <sub>anth</sub>	µg cm <sup>-2</sup>	0	5
Water content	C <sub>w</sub>	g cm <sup>-2</sup>	0.0002	0.06
Dry matter content	C <sub>m</sub>	g cm <sup>-2</sup>	0.0001	0.03
Average leaf inclination angle	ALA (LIDFa)	°	0	90
Leaf area index	LAI		0.5	5
<b>Fixed parameters</b>				
Parameter	Symbol	Unit	Value	
Brown pigments	C <sub>bp</sub>		0	
Hot spot parameter	<i>Hot</i>		0.1	
Observation zenith angle	θ <sub>o</sub>	°	0	
Illumination zenith angle	θ <sub>s</sub>	°	45	
Relative azimuth angle	ψ	°	0	

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1115 Table 2. Summary of model precision, as denoted by the coefficient of determination ( $R^2$ ) for  
 1116 models describing the maximal carboxylation capacity ( $V_{cmax}$ ) and the maximal electron  
 1117 transport rate ( $J_{max}$ ). Measured predictors include area based measurements of nitrogen (N),  
 1118 phosphorus (P) and chlorophyll ( $Chl_{a+b}$ ). Predictors that were derived from hyperspectral data  
 1119 included Photochemical Reflectance Index (PRI), Sun-Induced Chlorophyll Fluorescence (SIF)  
 1120 and chlorophyll derived from the PROSAIL inversion ( $Chl_{a+b}$  PROSAIL).

Predictor(s)	All data		N limiting		P limiting	
	$V_{cmax}$	$J_{max}$	$V_{cmax}$	$J_{max}$	$V_{cmax}$	$J_{max}$
<i>Measured variables</i>						
N	0.84***	0.82***	0.82***	0.87***	0.01 <sup>ns</sup>	0.02 <sup>ns</sup>
P	0.04 <sup>ns</sup>	0.06 <sup>ns</sup>	0.33 <sup>ns</sup>	0.31 <sup>ns</sup>	0.50*	0.58*
$Chl_{a+b}$	0.85***	0.82***	0.85***	0.86***	0.02 <sup>ns</sup>	0.01 <sup>ns</sup>
<i>Derived predictors</i>						
$Chl_{a+b}$ PROSAIL	0.79***	0.76***	0.64***	0.63***	0.03 <sup>ns</sup>	0.04 <sup>ns</sup>
SIF	0.78***	0.80***	0.69***	0.70***	0.35 <sup>ns</sup>	0.68**
PRI	0.84***	0.84***	0.73***	0.75***	0.42 <sup>ns</sup>	0.51*

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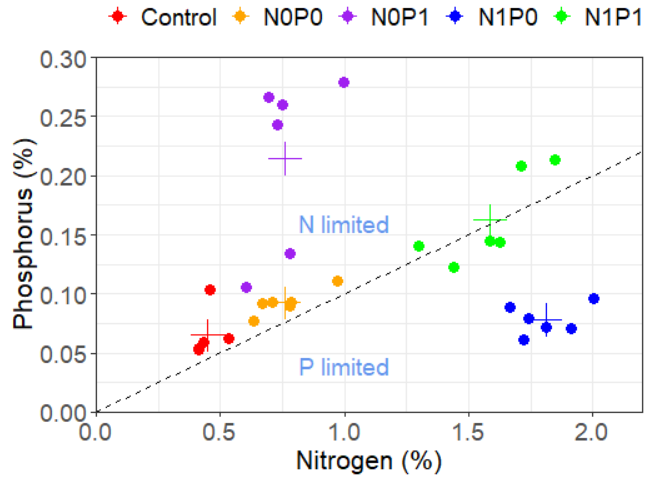
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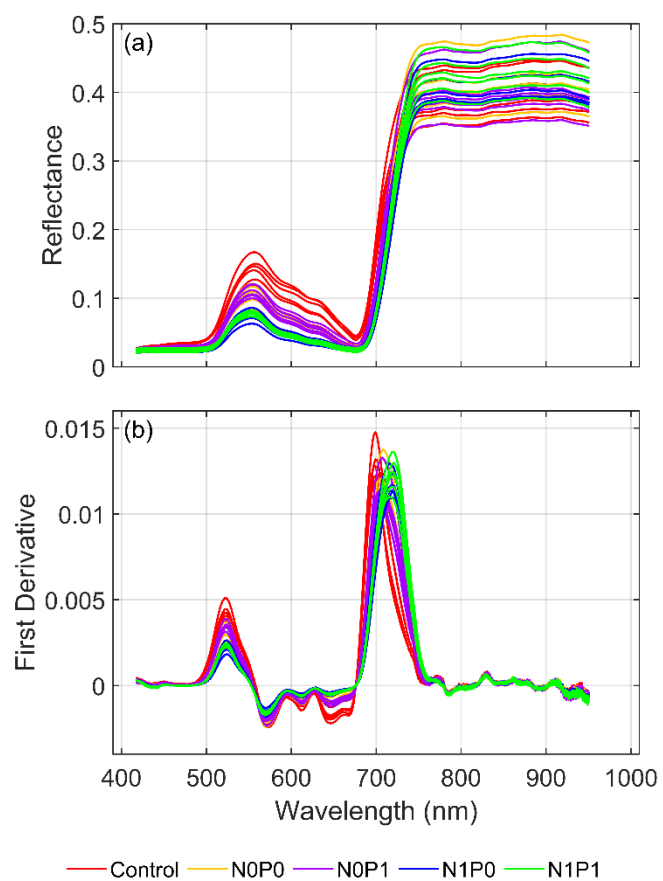
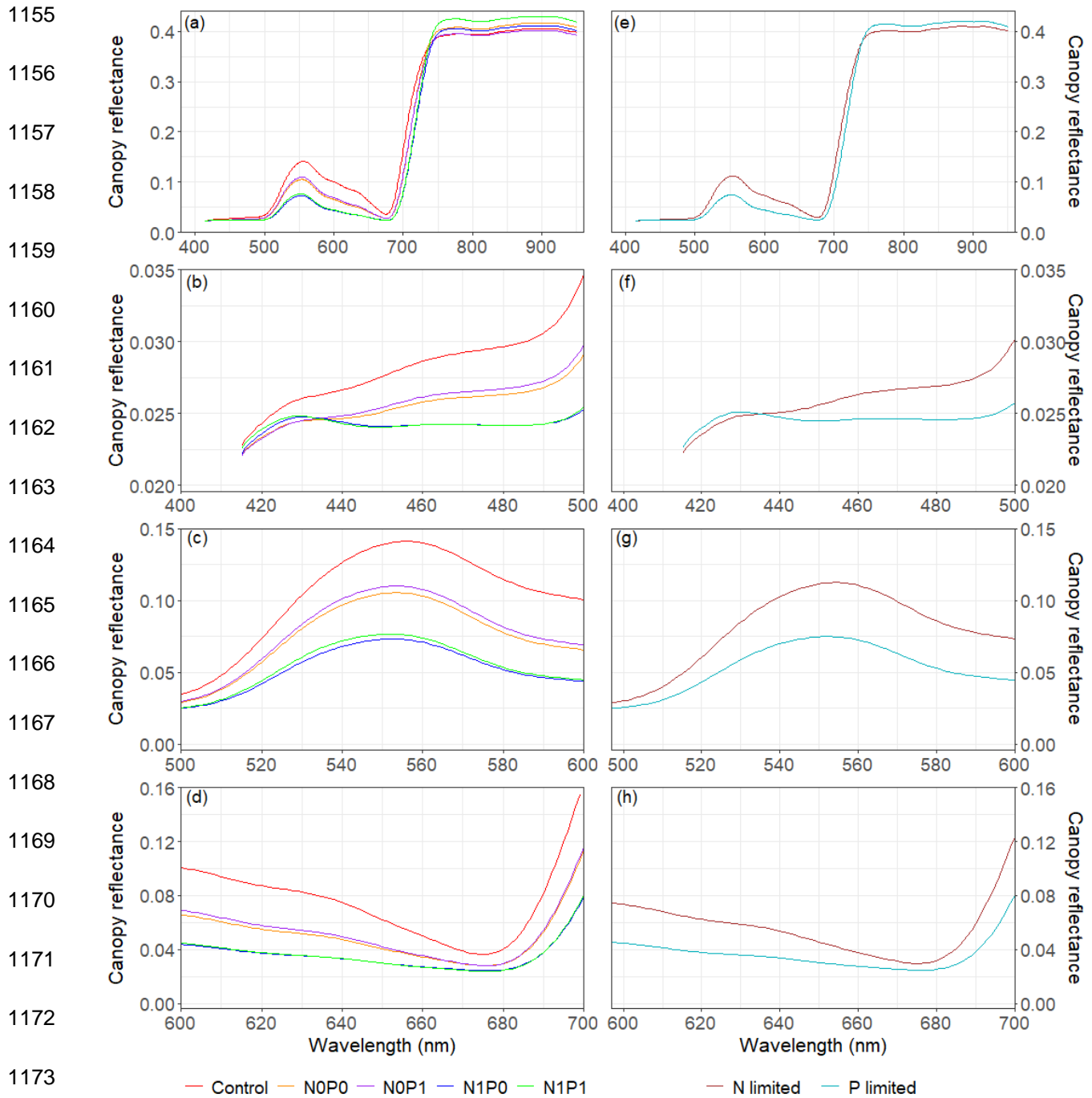
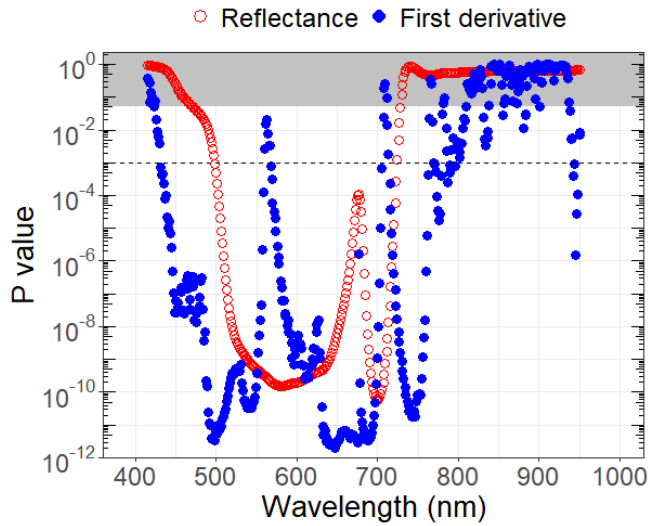


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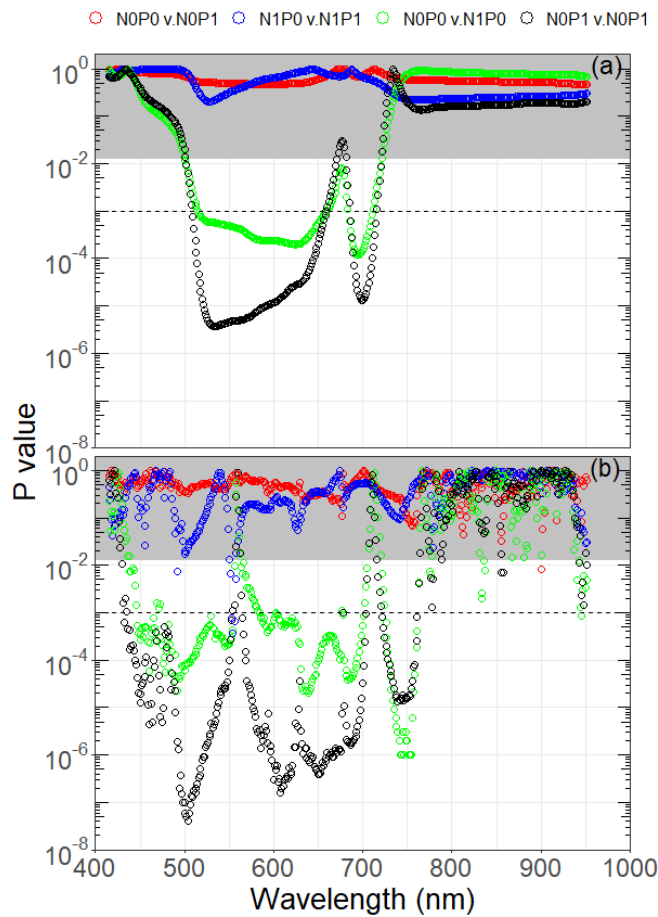
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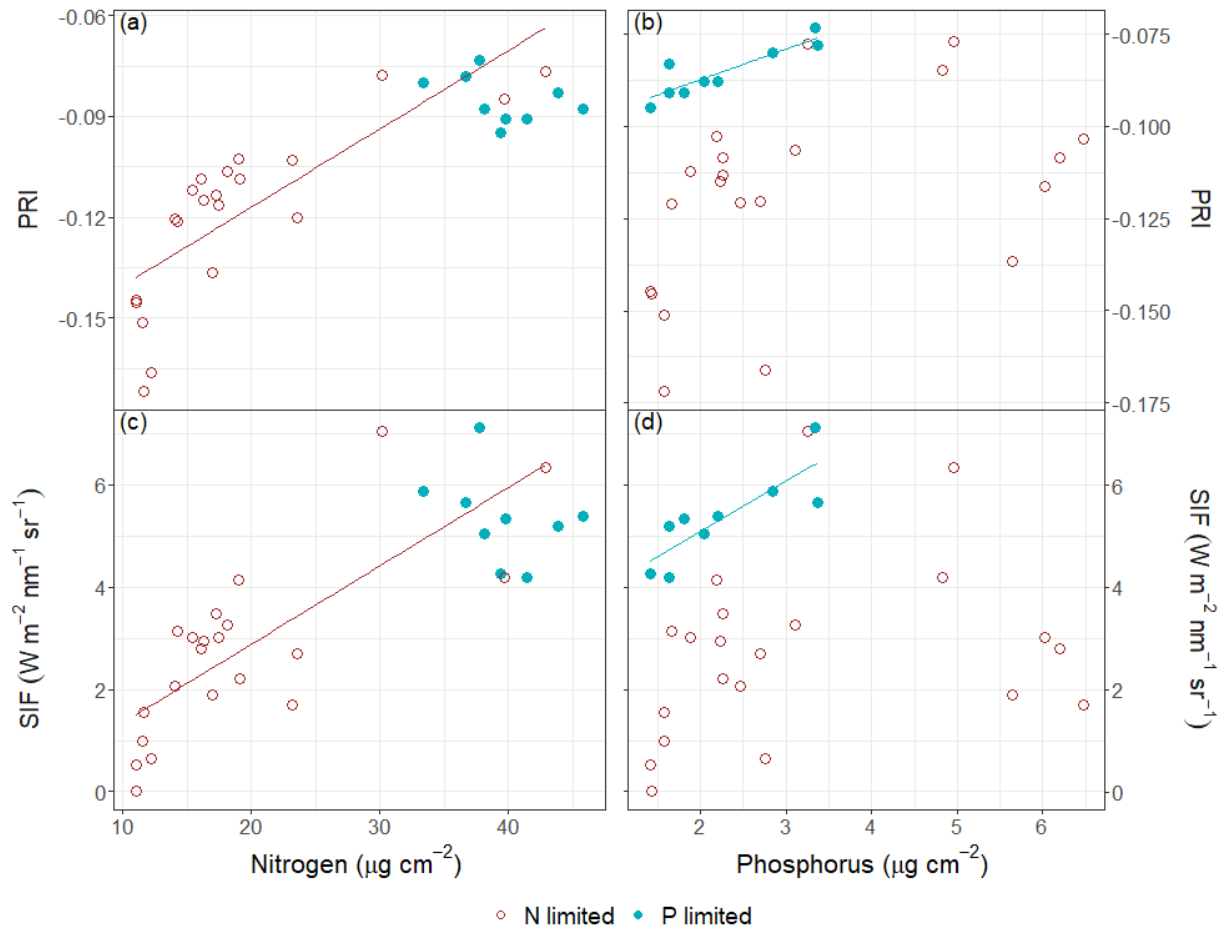
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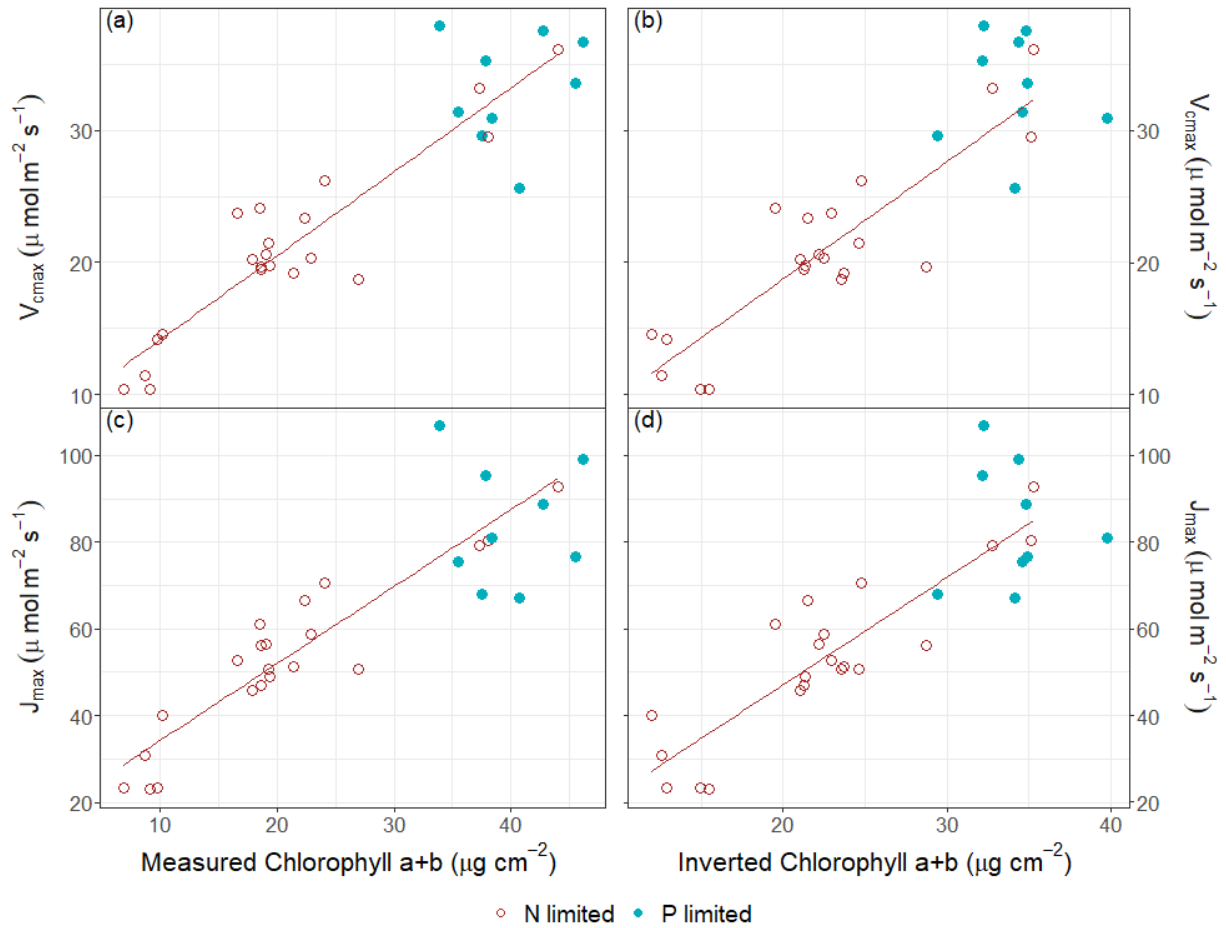
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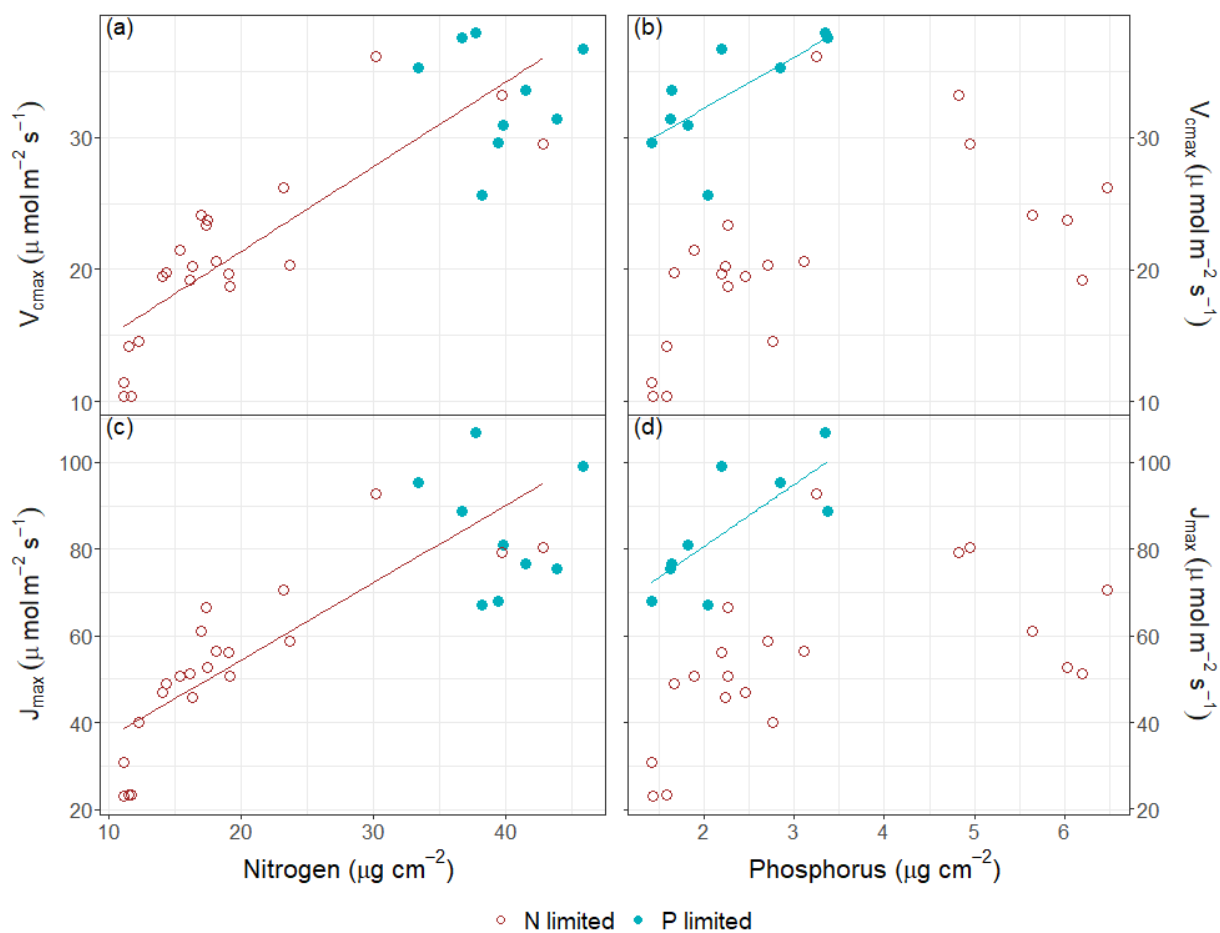
1203 to N limited data.

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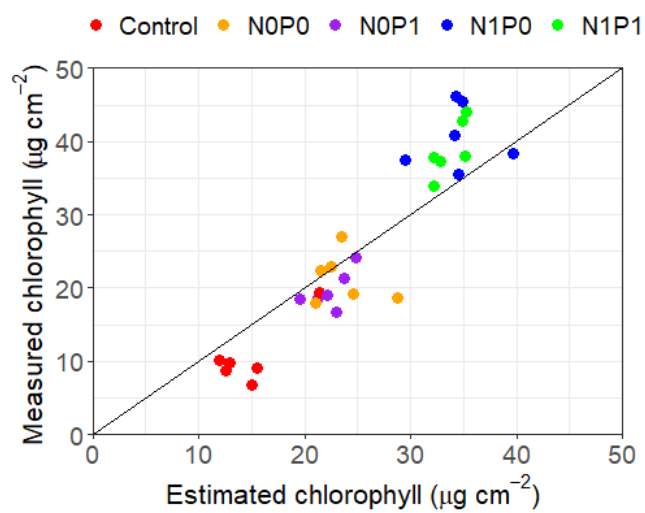
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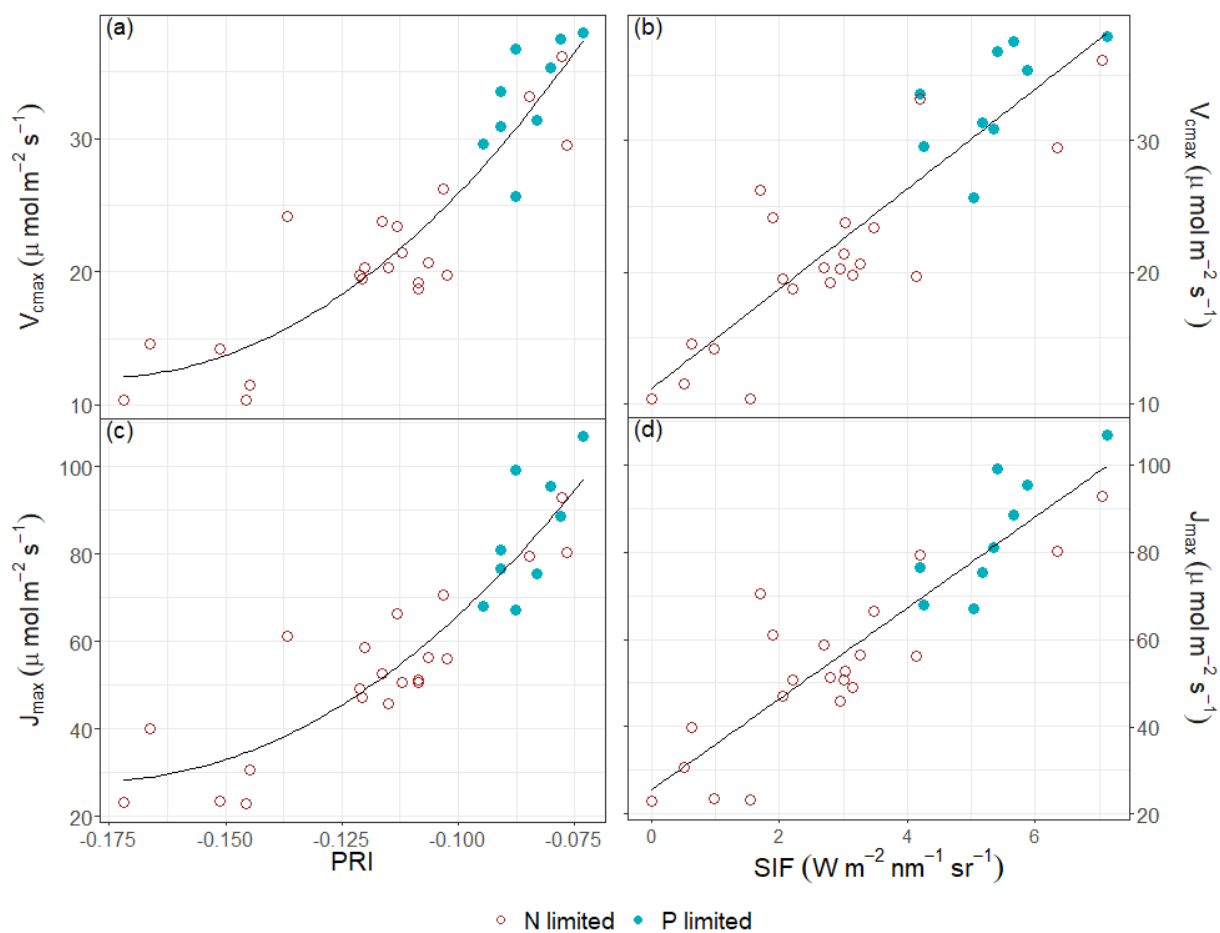
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1226 limited dataset.

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1229 Appendix 1. Treatment variation in tree characteristics, photosynthetic variables, foliage nutrition, and predictor variables derived from  
 1230 hyperspectral data. Values shown include the mean followed by the standard deviation. Values presented for the ANOVA include the *F*-value  
 1231 followed by the *P* category, in which asterisks \*\*\*, \*\*, represent significance at *P* = 0.001 and 0.01, respectively, ns = non-significant at *P* = 0.05.  
 1232 For all variables with significant treatment differences multiple range testing was undertaken using the Tukey test. Treatment values followed by the  
 1233 same letter were not significantly different at *P* = 0.05.

Variable	Control	N0P0	N0P1	N1P0	N1P1	ANOVA <sup>1234</sup>
<i>Tree characteristics</i>						
Height (cm)	54.2 (5.11)a	66.3 (7.07)a	63.2 (7.27)a	85.3 (19.8)b	85.8 (6.70)b	10.5***
Tree diam. (mm)	13.2 (1.88)a	12.9 (1.50)a	12.1 (1.45)a	14.7 (1.95)ab	15.9 (1.08)b	5.49**
Crown diam. (cm)	20.1 (3.70)a	22.4 (3.83)ab	19.2 (3.25)a	26.5 (3.33)bc	31.6 (2.36)c	14.3***
SLA ( $\mu\text{g cm}^{-2}$ )	2,858 (573)	2,306 (518)	2,406 (226)	2,288 (234)	2,478 (647)	1.44 <sup>ns</sup>
<i>Photosynthetic variables</i>						
$V_{\text{cmax}}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	13.5 (3.60)a	20.6 (1.61)b	22.2 (2.87)b	31.3 (3.73)c	34.9 (3.16)c	46.6***
$J_{\text{max}}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	31.6 (10.8)a	54.7 (7.31)b	56.5 (8.36)b	77.9 (11.7)c	90.5 (10.3)c	32.3***
<i>Foliage nutrition – mass based</i>						
N (%)	0.447 (0.045)a	0.758 (0.120)b	0.759 (0.131)b	1.81 (0.127)c	1.58 (0.194)d	119***
P (%)	0.065 (0.019)a	0.093 (0.011)ab	0.214 (0.075)c	0.078 (0.013)ab	0.162 (0.039)c	15.7***
Chl <sub>a+b</sub> (%)	0.399 (0.165)a	0.878 (0.140)b	0.849 (0.113)b	1.78 (0.192)c	1.68 (0.162)c	85.3***
<i>Foliage nutrition – area based</i>						
N ( $\mu\text{g cm}^{-2}$ )	12.0 (1.21)a	18.4 (2.93)b	17.6 (3.05)b	41.4 (2.91)c	36.7 (4.50)c	105***
P ( $\mu\text{g cm}^{-2}$ )	1.74 (0.51)a	2.26 (0.26)a	4.98 (1.74)b	1.79 (0.29)a	3.76 (0.89)b	14.3***
Chl <sub>a+b</sub> ( $\mu\text{g cm}^{-2}$ )	10.7 (4.42)a	21.4 (3.41)b	19.7 (2.63)b	40.6 (4.39)c	39.0 (3.76)c	71.2***
<i>Derived predictor traits</i>						
Inverted Chl <sub>lab</sub> ( $\mu\text{g cm}^{-2}$ )	14.9 (3.47)a	23.7 (2.82)b	22.4 (1.86)b	34.5 (3.27)c	33.7 (1.51)c	56.6***
PRI	-0.150 (0.018)a	-0.112 (0.006)b	-0.115 (0.012)b	-0.089 (0.004)c	-0.078 (0.004)c	42.4***
SIF ( $\text{W m}^{-2} \text{nm}^{-2} \text{sr}^{-1}$ )	1.14 (1.11)a	3.08 (0.67)ab	2.46 (0.65)b	4.90 (0.54)c	6.04 (1.08)c	32.1***