

## SPELMED

Evaluation of the population status and specific management alternatives for the small pelagic fish stocks in the Northwestern Mediterranean Sea

## D3.2. <br> Report on stock status and reference points

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## 1. Executive summary (Managers)

### 1.1 Input data

- The status of the sardine and anchovy stocks in GSA 06 and GSA 07 were investigated using statistical catch-at-age (a4a) and two-stage biomass models.
- Life-history parameters of both species were reviewed and new estimates of the von Bertalanffy growth parameters (improving the value of t 0 ) were used. The input data to the stock assessment model were updated, as required (including the natural mortality parameter, $M$ ). The new estimates for the growth parameters were for European sardine: $\operatorname{Linf}=20.1 \mathrm{~cm} \mathrm{TL}, \mathrm{k}=0.817 \mathrm{yr}^{-1}, \mathrm{t} 0=-0.246 \mathrm{yr}$, and for European anchovy: Linf $=18.0 \mathrm{~cm} \mathrm{TL}$, $\mathrm{k}=0.984 \mathrm{yr}^{-1}, \mathrm{t} 0=-0.255 \mathrm{yr}$.
- Since 2002, the average annual catch of sardine in the combined GSA 06 and GSA 07 was 19949 tons. Catches have been declining along the time series with the highest value in 2006 (38528 tons) and lowest value in 2015 (6771 tons). Additionally, catches of sardine in GSA06 contributed on average 79\% to the total catches obtained in both areas.
- Historically, catches of anchovy have represented two thirds of the catches of sardine in GSA 06 and GSA 07 (average of 13616 tons). Until 2008, the contribution of catches in each GSA was near 50\%. However, since 2009 the catches in GSA 07 dropped to near 1000 tons year $^{-1}$ (2015 and 2016), while catches in GSA 06 have been increasing to reach around 19000 tons in recent years (2013-2016).
- The length structure of sardine landings indicate a reduction of the size of individuals landed of around 2 cm during the time series. Before 2010, most of the harvested length classes were 14.5-15 cm. Since 2010 the modal length of landed sardine was 12.5 cm .
- As for the sardine stock, the length structure of the anchovy landed in GSA 06 decreased from around 14 cm (before 2010) to 12 cm (since 2011). This same trend was observed in GSA 07 but showed higher variability in the length structure among years.
- Note that no changes in the fishing techniques or fishing practices that could affect selectivity are documented for these small pelagic fisheries in the study period.
- Because the value of the von Bertalanffy growth parameters (vGBP) have a strong influence on assessment results, different sets were considered in the analyses. A single set of vBGP for the entire time series or by period, or two sets according to apparent change in length at age (2004-2010 and 2011-2017), were used to transform catch-at-length data into the catch-at-age number ("slicing"). Additionally, the age-length keys (ALK), by year, merged by periods or merged for all periods were used to estimate the catch-at-age number.


### 1.2 Stock assessment

- Stock assessments using the catch-at-age a4a model for sardine and anchovy in GSA 06 and GSA 07 was either never performed in recent working groups of the STECF or GFCM, or tried but the assessment results not accepted. Here, following the ToR of the contract, a4a was used to assess these two species in GSA 06 and GSA 07. The performance of this method was affected by the availability and quality of data, finding the best results for sardine in GSA 06 and sardine GSA 06 and 07, according to the model diagnostics.
- Some of the slicing methods used to estimate the catch-at-age number produced acceptable stock assessment diagnostics. The assessment models that were set with catch-at-age data obtained from the slicing of a single vBGP for the whole period offered better diagnostics, more internal consistence (when achieved) as well as meeting the rationale of the species biology and fisheries.
- Sardine GSA 06 in 2017 was estimated as overexploited (SSB < Blim) and overfishing was occurring ( $E>0.4$, proxy Fmsy). The exploitation trend suggested that overexploitation has increased since 2002, and an incipient reduction in fishing mortality has occurred since 2014.
- Sardine GSA 07 in 2016 indicated a strong overexploitation, exhibiting a quite depleted SSB and $E>0.80$. Despite the diagnostics of the a4a model (including residuals, data fitting and retrospective analysis) were acceptable, fishing mortality at age 1 after 2009 was outstandingly high.
- Given that the catches of sardine in GSA 06 contribute more than $90 \%$ to the catches of the combined GSAs, the stock assessment results of sardine GSA 06 and 07 was dominated by the trend and status of sardine in GSA 06. This means that a less pessimistic overexploitation scenario was perceived when both areas were combined (SSB 2014-2016 $=36 \%$ of Bpa, $\mathrm{E}=0.70$ ) when compared with the single assessment of GSA 07 (SSB 2014-2016 $=3.41 \%$ of Bpa, $\mathrm{E}=0.83$ ).
- Anchovy GSA 06 was overfished (SSB < Blim) and overfishing was occurring ( $\mathrm{E}>\mathrm{E}=0.4$ ). Overexploitation in 2017 was higher than in 2004, meaning that fishing mortality have increased along the time series.
- The stock assessment of anchovy GSA 07 suggests that fishing mortality is very low in recent years, presumably, below $\mathrm{E}=0.4$. However, poor model diagnostics and very high fishing mortality at age 2 preclude providing a reliable advice of the status of anchovy GSA 07.
- The stock assessment of the anchovy showed bigger challenges than in the case of sardine stocks. The final stock assessment of anchovy GSA 06 and 07 indicated a sustained status of overexploitation since 2004 (excluding 2005-2007). SSB was quite depleted and below Blim. Fishing mortality in recent years was twice the Fmsy proxy of $\mathrm{E}=0.4$. Although the stock assessment results indicated that $F$ is decreasing since 2014, the retrospective analysis
showed high instability depending on the final year data used to perform the stock assessment.
- Given that 1) fishery of sardine and anchovy are focused on ages 0 and 1, 2) both species reach sizes near asymptotic length in the first two years and 3) older ages are either poorly harvested or depleted, a two-stage biomass model was also tried. This model assumes a population structured in two stages: a recruit phase (age class 0 in our study) and an adult phase (age classes 1+).
- Results from the two-stage biomass model showed that a peak of exploitation rate larger than $70 \%$ in 2007 was observed for sardine in GSA 06 and GSA 07. These results suggested that the fishery took advantage of the high biomass available in early years of the time series to produce the largest catches, matching the results found by a4a. After dropping, the biomass did not recover in later years, even when exploitation rates remained below 20\%. This would mean that stocks have not been capable to recover despite exploitation rates being low in recent years. This result would be consistent with the important decrease in fishing effort (number of vessels) reported for the last 10 years in the study areas.
- a4a indicated that fishing mortality on the sardine in GSA 06 and 07 was above the Fmsy proxy (E) for most of the years. Conversely, the two-stage biomass model suggested that exploitation rate has been most of the time below $40 \%$.
- The two-stage biomass model indicated that anchovy in GSA 06 presented high exploitation rates in 2011 and 2017 (> $40 \%$ ), matching with large landings and depletion of the biomass for the adult fraction of the stock. Anchovy in GSA 07 also showed very low levels of adult biomass but exploitation rate since 2012 was below 40\%. The assessment performed for anchovy for combined GSAs indicated an exploitation rate between $20 \%$ and $40 \%$, suggesting that overfishing has not occurred since 2009 (excluding 2016). Conversely, a4a indicated strong overfishing as well as an overfished status since 2009.
- Considering previous results, it was not possible to achieve a consensus result on the status of sardine and anchovy in GSA 06, GSA 07 and 06 and 07 based on the two-stage biomass model and a4a. However, both models agreed in estimating the size of both stocks as very depleted.


### 1.3 Advice

- The very low catches of the two species in GSA 07 reported during recent years may induce high uncertainty in the stock status assessed with VPA methods. The most plausible explanation is stock depletion due to excessive removals (catches) in recent decades, leading to overfishing. The truncated age structure of the small pelagics populations and the low recruitment observed on recent years are consistent with this explanation.
- The two stock assessment methods did not provide the same message regarding the fisheries exploitation indicator, probably because of the different model assumptions underlying their application.
- According to our results, scientific advice for sardine and anchovy in GSA 06 can be done considering a single-management stock area (as currently occurs). However, a more reliable advice of the stocks in GSA 07 may be obtained when both areas are combined. The results of genetic analysis do not support separate stocks of sardine or anchovy between GSA06 and GSA07 (or in the Western Mediterranean, except the Alboran Sea in GSA 01).
- Sardine and anchovy stocks in GSA 06 and 07 are subject to overfishing ( $\mathrm{E}>0.4$ ) and SSB is strongly depleted (SSB < Blim). Harvest rates of the two species has been slightly reduced since 2013, but this reduction has not been translated into recovering of SSB yet.

Kobe plot to the sardine (left) and anchovy (right) stocks in GSA 06 and 07.


### 1.4 Data gaps

- The catch-at-age stock assessments of anchovy and sardine in GSA 06 and 07 have been affected by a too negative t0. During this project we solved this gap, making it possible to obtain acceptable stock assessment outputs for providing advice to management these resources.
- Prodbiom was used as an indirect estimator of natural mortality because the M-at-age vector relies on the tradeoff between loss of biomass in younger ages and production of older ones. Thus, this estimator produced a reasonable vector of $M$ and avoided too high values of M for ages 0 and 1 when t0 is near zero (if Gislason's estimator is used).
- The fishery-independent data series (acoustic surveys) showed problems to follow the trends in the fishery-dependent data (landings), among other reasons because each piece
of information holds different temporal information of the stock. Only data from the acoustic survey MEDIAS was used to fit the model, because stock assessment produced poor results if the acoustic survey ECOMED index was included.
- Official data on catch-at-age number and weight-at-age of sardine and anchovy in GSA 07 included several gaps as well as some incongruent information, which affected the performance of the stock assessment models. For instance, no information of catch-atlength number in 2011, unrealistic high catch-at-length number in 2013, no information on weight-at-age for some ages during several years, or there is confusing information on vessel number per year. All these gaps in raw data probably prevented a better performance of the catch-at-age model used.


## 2. Executive summary (Fishers)

- The sardine and anchovy are the two most caught small-pelagic species in the Spanish and French Mediterranean. In fact, 38528 tons of sardine were landed in 2006 by the fleets of both countries. Currently, landings of sardine are below 11000 tons, meaning that the catches of this species were reduced by $72 \%$.
- The landings of anchovy in the French Mediterranean has been reducing along the time series from almost 8000 tons (2002) to near 1300 tons in recent years (2015-2016). Conversely, this species has been increasingly landed in the Spanish Mediterranean. Thus, the landings of anchovy in this area are eight times higher than in 2007.
- The above-mentioned landings mean that the landings of sardine fell to very low values while landings of anchovy have reached values comparable to those in 2002.
- The size of both species seems to be smaller in recent years. Taking into account that no changes in the fishing techniques or fishing practices that could affect selectivity are documented for these small pelagic fisheries since 2002, it seems that both species are now smaller than ten years ago.
- Using all available information derived from fisheries as well as scientific surveys, the scientists updated the growth, maturity and natural mortality. All this information was then used to determine what the status of both species is.
- The sardine and anchovy stocks look overexploited in both countries while the fishery is still producing too much harvesting pressure on the smaller sizes. The fishing effort should be reduced to allow that both species recover their stock size.


## 3. Introduction and objectives

Virtual Population analysis (VPA) is a powerful method of fisheries stock assessment and is the method of choice for data-rich stocks of long-lived species. The sardine and anchovy stocks in GSA 06 and GSA 07 either have never been assessed or the assessments are not been accepted by the stock-assessment working groups when catch-at-age statistical models have been used. Here we improve the biological input data that fed the model a4a Assessment for All (a4a) initiative, which is a non-linear catch-at-age model implemented in R. Therefore, different approaches to obtain the input data of the model (e.g. catch-at-number obtained from slicing or age-length keys) were used to improve the stock assessment of short-lived species.

However, in the context of short-lived species, such as cephalopods, or species where estimates of length-at-age are inaccurate (due to problems of aging, estimation of growth parameters, etc.) less data-demanding assessment models should be explored. One possibility is the two-stage biomass model originally proposed by Roel and Butterworth (2000) and recently used for stock assessment of small pelagics in GSA07 in GFCM working groups $(2015,2016)$. Basically, the model assumes that the exploited population is composed of two life stages only: recruits and fully recruited individuals. In the case of small pelagics in our study areas (GSA06 and GSA07), recruits would correspond to age 0 individuals and fully recruited would correspond to age 1+ individuals, which in our case can, additionally, be considered fully mature (i.e., for the model we assume an exploited population made of recruits "immature fish or juveniles" and fully recruited "adults").

According to the above-mentioned framework, the main goals of this study were 1) to determine the stock status of sardine and anchovy in GSA 06, GSA 07 and combined areas using a robust catch-at-age model (a4a) and a data-limited model (two-stage biomass model) and 2) to elucidate the challenges and limitations of assessing these two species from the available data.

## 4. Material and Methods

### 4.1 Statistical catch-at-age model - a4a

### 4.1.1 Model basis

Assessment for All (a4a) is a non-linear catch-at-age model implemented in $R$ that was developed to be applied rapidly to a wide range of situations (http://www.flr-project.org/). This stock assessment model is structured by submodels (F-at-age, catchability-at-age, recruitment model, observation variance of catch-at-age and abundance indices and model for the initial age structure).

Modelled catches $C$ are based on natural mortality $M$, fishing mortality $F$ and recruitment $R$, using the modified catch equation of Baranov:

$$
C_{a y}=\frac{\boldsymbol{F}_{a y}}{\boldsymbol{F}_{a y}+M_{a y}}\left(1-e^{-\left(\boldsymbol{F}_{a y}+M_{a y}\right)}\right) \boldsymbol{R}_{y} e^{-\sum\left(\boldsymbol{F}_{a y}+M_{a y}\right)}
$$

Where age (a) and year ( $y$ ). Additionally, the modelled surveys I are also defined in terms of $M, F$ and $R$, and additionally, catchability Q .

$$
I_{a y s}=\boldsymbol{Q}_{a y s} \boldsymbol{R}_{y} e^{-\sum\left(F_{a y}+M_{a y}\right)}
$$

Where survey $s$ or abundance indices may be used. Additionally, multiple surveys may be considered.

Larger details may be found in Jardim et al. (2017) and http://www.flr-project.org/

### 4.1.2 Model setting

Previously to run the stock assessment model, one stock object and at least one index object are required. These objects were created for the sardine and anchovy stocks for GSA 06, GSA 07 and combined GSA 06 and GSA 07. The stock object requires information of catch-at-length/age number, weight-at-age, growth information, M-at-age, maturity at age, total catches and proportion of mortality before spawning for all period that will be used to assess the stocks.

Catch-at-age matrix used to set the FLStock object was estimated from age-length keys or slicing under different treatments (using full time-series, by periods or by year) (Fig. 4.1.2.1). The two periods were defined according to some indications that growth of both species was higher in the first period (2002-2010) than the second period (2011-2017) (see deliverable 1.3.1.1, Report on age, growth and age-composition). The selected way to produce the catch-at-age matrix also was used to define the index-at-age matrix. At the same time, the M used to set the FLStock depended on the way used to estimate the catch-at-age information (Fig. 4.1.2.1). The result section indicates the data source and treatment applied to each piece of data required to set the stock object.

The stock assessment model requires setting the $F, Q$ and $S-R$ submodels and several diagnostics help to improve the model performance. However, a4a is quite sensitive to submodels settings requiring a good knowledge of the fisheries, because more than one model may be supported by good diagnostics. Several plus groups for age, indices (including combination between them) and submodels parameterizations were tested for each of the catch-at-age sources. Once the more reasonable stock assessment was defined by species and GSA, reference points ( $\mathrm{E}=0.4$, Blim and Bpa) were estimated and trajectory of stock was plotted using the Kobe plot.


Fig. 4.1.2.1. Diagram of the process carried out to assess the stock status of the sardine and anchovy in GSA 06, GSA 07 and GSA 06 and GSA 07 combined.

### 4.2 Two-stage biomass model

Gras et al. (2014) summarized the relevant properties of the model: it allows to estimate accurately recruitment strength, it is consistent with VPA results and it can use one or more time-series of abundance ${ }^{1}$ indices (e.g. survey index, commercial fishery index) to calibrate the landings.

The two-stage biomass model uses Pope (1972) simplification to mimic pulse fishing in the middle of the season. The dynamics of the biomass of adult fish (age 1+) is:

$$
B_{A, y+1}=\left(\left(B_{A, y}+B_{J, y}\right) e^{-\varphi g}-C_{y}\right) e^{-\varphi g}
$$

Where $B_{A}$ and $B_{J}$ are the biomasses of adults and juveniles (or recruits) at time $y$, respectively, $C$ are the landings, $\varphi$ is the timing of the fishing pulse along the year and $g$ is the net growth (in biomass) of the population. Fisheries independent biomass indices can be used to calibrate the biomasses over time. In GSA06 data from the ECOMED winter survey were available for the period 2003-2009,

[^0]while data from the MEDIAS summer survey were available from 2009 to 2017. In GSA07 the former PELMED and the current MEDIAS surveys are carried out in summer and the data were available from 2002 to 2016. The biomass indices (S) used take into account whether the annual survey was carried out in winter (Survey1, ECOMED) or in summer (Survey 2, PELMED and MEDIAS). Survey1 coincides with the recruitment of the population of sardine, while Survey2 coincides with the recruitment of the population of anchovy:
$$
S_{J, y}=k_{J} B_{J, y} e^{-\chi g} e^{\xi 1}
$$
and
$$
S_{A, y}=k_{A} B_{A, y} e^{-\chi g} e^{\xi 2}
$$

Where $k_{J}$ and $k_{A}$ are the "catchabilities" of the acoustic survey, for juveniles and adults, respectively, $\chi$ is the timing of the survey in relation to the fish life cycle ( 0 for sardine, 0.5 for anchovy) and $\xi 1$ and $\xi 2$ are observation errors. The indices were scaled to zero mean and unit variance to facilitate computation of the model.

The net growth of the population was estimated as the difference between G (adult growth rate) and $M$ (natural mortality): $\mathrm{g}=\mathrm{G}-\mathrm{M}$, following Gras et al. (2014). The von Bertalanffy growth parameters used in this calculation were the updated parameters (see above).

The two-stage model was fitted in R v. 3.5 .0 with the script kindly provided by Claire Saraux (IFREMER, Sète), based on the model implementation of Nicola D. Walker (CEFAS, February 2015). It was used to assess the vulnerable biomass and exploitation rate of sardine and anchovy in GSA06 and GSA07 (separately and combined).

## 5. Results and discussion

### 5.1 Statistical catch-at-age model -a4a

### 5.1.1 European sardine (Sardina pilchardus)

5.1.1.1 Sardine GSA 06

### 5.1.1.1.1 Input data

The length structure of the sardine harvested by the purse seine fleet in GSA 06 included individuals from 5.5 cm to 23 cm . Most of the caught individuals were between 11 and 17 cm . Before 2009, from 14.5 cm to 17 cm were the most harvested sizes. Since 2010, individuals from 11.5 cm to 15 cm were the most represented sizes in landings. (Table 5.1.1.1).

Table 5.1.1.1. Length structure of the stock of sardine harvested by the purse seine fleet in GSA 06. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

|  | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5.5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 0 | 0 | 0 | 0 | 0 |
| 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 63 | 0 | 0 | 0 | 0 | 0 |
| 6.5 | 48 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 70 | 0 | 0 | 0 | 0 | 0 |
| 7 | 145 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 64 | 0 | 0 | 0 | 0 | 0 |
| 7.5 | 145 | 0 | 0 | 0 | 0 | 0 | 0 | 308 | 41 | 0 | 0 | 0 | 0 | 0 |
| 8 | 918 | 0 | 0 | 0 | 0 | 0 | 0 | 619 | 54 | 0 | 0 | 8 | 62 | 41 |
| 8.5 | 2088 | 0 | 0 | 0 | 0 | 0 | 152 | 1089 | 142 | 26 | 158 | 275 | 1242 | 111 |
| 9 | 3410 | 23 | 84 | 0 | 1 | 203 | 163 | 336 | 521 | 373 | 951 | 679 | 1673 | 130 |
| 9.5 | 4959 | 1877 | 332 | 94 | 256 | 754 | 876 | 664 | 2446 | 966 | 3245 | 2741 | 5832 | 252 |
| 10 | 8387 | 8134 | 1811 | 277 | 420 | 1950 | 2182 | 3212 | 6349 | 4189 | 7205 | 6468 | 12651 | 2249 |
| 10.5 | 10122 | 17600 | 6105 | 364 | 6478 | 6359 | 6804 | 11310 | 12554 | 10642 | 11142 | 12401 | 24512 | 6706 |
| 11 | 23068 | 19850 | 7001 | 1272 | 7798 | 16670 | 10292 | 27919 | 22703 | 21576 | 22040 | 15917 | 31197 | 10190 |
| 11.5 | 41405 | 21916 | 8099 | 5281 | 25145 | 35129 | 20728 | 41227 | 34092 | 36113 | 27330 | 27776 | 61747 | 14595 |
| 12 | 64155 | 23672 | 9732 | 15524 | 36191 | 48709 | 28399 | 46988 | 48094 | 43954 | 48226 | 44921 | 92205 | 37686 |
| 12.5 | 67895 | 29173 | 9494 | 21964 | 35352 | 54077 | 33686 | 48892 | 46697 | 57003 | 65163 | 52839 | 93057 | 60861 |
| 13 | 73782 | 44694 | 15428 | 26871 | 38981 | 55774 | 35234 | 58853 | 52834 | 65065 | 79915 | 59337 | 85050 | 58500 |
| 13.5 | 64128 | 51030 | 22913 | 22895 | 37182 | 52425 | 37803 | 69060 | 46892 | 66418 | 68759 | 55036 | 72027 | 52435 |
| 14 | 70171 | 72370 | 44045 | 29447 | 33034 | 54277 | 39209 | 78180 | 44717 | 56156 | 63980 | 41092 | 57289 | 39906 |
| 14.5 | 76589 | 78297 | 68155 | 44078 | 47646 | 41752 | 35199 | 62759 | 34784 | 44171 | 48187 | 24239 | 37759 | 26732 |
| 15 | 89921 | 84131 | 102200 | 66215 | 61022 | 27556 | 34953 | 51231 | 33131 | 39133 | 38932 | 15521 | 25372 | 21012 |
| 15.5 | 77726 | 77684 | 118740 | 72193 | 55473 | 19483 | 36127 | 32509 | 26222 | 24306 | 19700 | 7646 | 13302 | 16308 |
| 16 | 66133 | 71290 | 110856 | 75909 | 54687 | 14561 | 28845 | 23190 | 21784 | 19851 | 12252 | 5887 | 6988 | 11909 |
| 16.5 | 49153 | 55279 | 95804 | 61314 | 45476 | 9120 | 16072 | 15472 | 15297 | 10930 | 4960 | 2363 | 2851 | 7802 |
| 17 | 33974 | 42581 | 74919 | 56123 | 38660 | 6910 | 12718 | 12195 | 10688 | 6156 | 4052 | 1436 | 1181 | 6290 |
| 17.5 | 17349 | 21909 | 40414 | 40718 | 26324 | 4668 | 5129 | 6577 | 4976 | 2428 | 1455 | 616 | 568 | 3128 |
| 18 | 10676 | 11321 | 27827 | 37504 | 17015 | 3624 | 3285 | 4380 | 2440 | 1538 | 628 | 469 | 215 | 1513 |
| 18.5 | 6882 | 5295 | 14178 | 28558 | 12718 | 2566 | 1410 | 2033 | 1388 | 624 | 508 | 255 | 168 | 423 |
| 19 | 5066 | 3377 | 9139 | 19739 | 7706 | 1346 | 422 | 797 | 510 | 389 | 481 | 176 | 108 | 204 |
| 19.5 | 2887 | 1046 | 4437 | 8161 | 4133 | 811 | 519 | 243 | 86 | 168 | 312 | 57 | 108 | 57 |
| 20 | 2224 | 657 | 2533 | 3895 | 2173 | 600 | 1063 | 181 | 54 | 98 | 86 | 34 | 46 | 0 |
| 20.5 | 787 | 353 | 748 | 1371 | 608 | 311 | 548 | 39 | 10 | 11 | 0 | 4 | 1 | 0 |
| 21 | 536 | 172 | 252 | 530 | 79 | 156 | 340 | 11 | 16 | 4 | 126 | 3 | 3 | 0 |
| 21.5 | 232 | 38 | 290 | 17 | 25 | 66 | 99 | 10 | 9 | 0 | 0 | 0 | 0 | 0 |
| 22 | 60 | 36 | 55 | 36 | 1 | 18 | 29 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
| 22.5 | 18 | 13 | 13 | 4 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 23 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Length structure observed in the ECOMED surveys carried out in winter (2004-2009) and coinciding with recruitment of sardine included individuals from 7.5 cm to 23 cm . The most recorded individuals belonged to sizes between 10.5 cm and 13 cm (Table 5.1.1.2). Since 2009, the length structure observed by the Medias surveys which were carried out in summer, coinciding with recruitment of anchovy, indicated more abundance of smaller sizes ( 7 cm to 11 cm ) (Table 5.1.1.3).

Table 5.1.1.2. Length structure of sardine recorded during the ECOMED survey program in GSA 06. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 7.5 | 2451 | 0 | 0 | 0 | 0 | 0 |
| 8 | 8243 | 8 | 5762 | 0 | 0 | 0 |
| 8.5 | 13642 | 1033 | 13405 | 0 | 0 | 0 |
| 9 | 18250 | 9417 | 25700 | 0 | 0 | 458 |
| 9.5 | 43401 | 23969 | 39530 | 0 | 0 | 6688 |
| 10 | 113900 | 51843 | 75082 | 0 | 7821 | 19229 |
| 10.5 | 200870 | 97104 | 117856 | 1279 | 40872 | 40097 |
| 11 | 261979 | 147829 | 167935 | 35258 | 82467 | 83972 |
| 11.5 | 348113 | 182156 | 115612 | 57566 | 116192 | 109199 |
| 12 | 302171 | 306201 | 128796 | 98169 | 79108 | 123237 |
| 12.5 | 224614 | 232014 | 111532 | 92233 | 40453 | 82698 |
| 13 | 137199 | 220668 | 90293 | 103666 | 15625 | 78513 |
| 13.5 | 94210 | 134238 | 74139 | 67951 | 18720 | 51525 |
| 14 | 107397 | 126602 | 60221 | 29891 | 4939 | 39640 |
| 14.5 | 82209 | 84547 | 52631 | 23603 | 1935 | 24068 |
| 15 | 74938 | 77215 | 63324 | 35636 | 2314 | 25584 |
| 15.5 | 58299 | 64819 | 90365 | 29645 | 4017 | 15790 |
| 16 | 29298 | 67507 | 101195 | 33792 | 3280 | 17293 |
| 16.5 | 19185 | 41725 | 100584 | 28715 | 6707 | 6713 |
| 17 | 10685 | 44405 | 126375 | 28375 | 4210 | 8580 |
| 17.5 | 8985 | 40228 | 109475 | 27294 | 6465 | 5763 |
| 18 | 4009 | 24783 | 115048 | 21649 | 6603 | 8631 |
| 18.5 | 4120 | 12034 | 82886 | 16311 | 6480 | 3884 |
| 19 | 2137 | 6688 | 64911 | 10877 | 5012 | 3379 |
| 19.5 | 3117 | 5758 | 30212 | 4392 | 2859 | 3131 |
| 20 | 1747 | 1805 | 14377 | 3120 | 1499 | 1030 |
| 20.5 | 1131 | 1078 | 7401 | 303 | 1214 | 699 |
| 21 | 442 | 632 | 4831 | 616 | 287 | 1130 |
| 21.5 | 361 | 719 | 2658 | 120 | 14 | 362 |
| 22 | 41 | 855 | 2450 | 0 | 0 | 66 |
| 22.5 | 27 | 715 | 393 | 0 | 0 | 0 |
| 23 | 0 | 0 | 393 | 0 | 88 | 13 |

Table 5.1.1.3. Length structure of sardine recorded during the MEDIAS survey program in GSA 06. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |  |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5.5 | 0 | 0 | 0 | 0 | 0 | 0 | 306 | 0 |  |  |
| 6 | 3114 | 0 | 0 | 0 | 16888 | 0 | 1225 | 0 | 0 |  |
| 6.5 | 4566 | 0 | 494 | 2697 | 111974 | 1987 | 22041 | 55 |  |  |
| 7 | 7835 | 0 | 4300 | 34224 | 224938 | 15311 | 82484 | 45532 | 212864 |  |
| 7.5 | 21826 | 1208 | 2486 | 107978 | 458516 | 45223 | 171926 | 416603 |  |  |
| 8 | 132062 | 30220 | 97772 | 334491 | 579523 | 90624 | 455582 | 786921 | 387162 |  |
| 8.5 | 473956 | 104664 | 334018 | 831078 | 1325791 | 112807 | 417192 | 621466 |  |  |
| 9 | 592134 | 331335 | 650302 | 1188613 | 1193368 | 134082 | 440014 | 245694 | 831411 |  |
| 9.5 | 790704 | 440721 | 675764 | 1297455 | 833785 | 86200 | 346366 | 282825 |  |  |
| 10 | 650040 | 349783 | 825862 | 765176 | 599762 | 47913 | 415473 | 242962 | 844553 |  |
| 10.5 | 443750 | 360829 | 534244 | 478037 | 519886 | 26480 | 410440 | 253265 |  |  |
| 11 | 304518 | 185596 | 332145 | 317985 | 296043 | 12163 | 358599 | 203909 | 347290 |  |
| 11.5 | 136442 | 108167 | 154664 | 120876 | 131640 | 10752 | 112541 | 227774 |  |  |
| 12 | 41486 | 26923 | 108228 | 129114 | 56776 | 52338 | 41246 | 198895 | 432175 |  |
| 12.5 | 19144 | 26603 | 71032 | 120532 | 39950 | 47868 | 103424 | 143284 |  |  |
| 13 | 4401 | 18035 | 69061 | 76605 | 84312 | 46987 | 87777 | 119740 | 336058 |  |
| 13.5 | 9606 | 35897 | 69319 | 33725 | 72667 | 25070 | 89090 | 78051 |  |  |
| 14 | 14829 | 39195 | 87570 | 34798 | 44824 | 17303 | 40288 | 58895 | 212934 |  |
| 14.5 | 11219 | 46057 | 66482 | 24568 | 17637 | 6094 | 25814 | 47363 |  |  |
| 15 | 11735 | 26443 | 67905 | 18443 | 14348 | 7544 | 18159 | 36256 | 84231 |  |
| 15.5 | 7665 | 25609 | 42767 | 10873 | 17832 | 2195 | 9835 | 18339 |  |  |
| 16 | 6743 | 11739 | 61956 | 8156 | 8190 | 200 | 4226 | 3776 | 14511 |  |
| 16.5 | 3626 | 6311 | 36623 | 4908 | 461 | 154 | 1794 | 2308 |  |  |
| 17 | 2180 | 2729 | 15935 | 2660 | 1643 | 0 | 808 | 1230 | 1437 |  |
| 17.5 | 1836 | 611 | 11756 | 703 | 86 | 0 | 67 | 0 |  | 0 |
| 18 | 389 | 986 | 1851 | 612 | 0 | 0 | 1692 | 0 | 0 |  |
| 18.5 | 275 | 155 | 170 | 0 | 0 | 0 | 0 | 547 |  |  |
| 19 | 200 | 0 | 249 | 0 | 0 | 0 | 0 | 0 | 0 |  |
| 19.5 | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |
| 20 | 0 | 0 | 58 | 0 | 61 | 0 | 0 | 0 |  |  |
| 20.5 | 38 | 0 | 0 | 293 | 0 | 0 | 0 | 0 |  |  |
| 21 | 0 | 348 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |
|  |  | 0 | 0 | 0 |  |  |  |  |  |  |

The Spanish Institute of Oceanography (IEO) has a large data set of otolith readings of sardine in GSA 06 harvested by fisheries since 2004 ( $\mathrm{n}=12839$ ). Additionally, there are some otolith readings of larvae of this species from 1983 to 2007. The otolith readings obtained through several research projects; ARECES in 1983 ( $\mathrm{n}=30$ ), ARO in 2000 ( $\mathrm{n}=163$ ), CAIMAN in 1996 ( $\mathrm{n}=50$ ), SAVOR in 2003 ( $\mathrm{n}=$ 109), JUVALION in $2007(n=29)$ and PELMED, ( $n=2007$ ) were shared by Dr. Isabel Palomera from the Marine Science Institute of Barcelona (ICM-CSIC). Additionally, Dr. Alberto Garcia of the IEO Malaga shared 193 otolith readings of sardine obtained in 2000. All these sources of information on growth were used to update the estimates of the von Bertalanffy growth parameters of sardine. In order to merge the available information on growth, only were used those otolith readings derived from fisheries for the spawning peak of sardine (December-February, $n=2111$ ). The updated estimates of the von Bertalanffy growth parameters were calculated by the Bayesian Von Bertalanffy Growth Model - B-VBGM (Catalan, 2018) (Table 5.1.1.4).

Table 5.1.1.4. von Bertalanffy growth parameters estimated by the B-VBGM - Bayesian Von Bertalanffy Growth Model (Catalan et al., 2018) as indicated in the deliverable 1.3.1.1.

| Linf | K | t0 | n | period | method |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 20.1 | 0.817 | -0.246 | 3336 | $1983-2003$ <br> (larvae) <br> 2005-2017 <br> (adults) | B-BVGM |

The deliverable 1.3.2.1 (Report on gonadosomatic index, size at firs maturity and reproductive parameters) explored and updated the percentage of mature individuals at age. This information was used to set the maturity vector of the sardine stock (Table 5.1.1.5). The fecundity of the species has presumably decreased because individuals are smaller than before 2010, the percentage of maturity at age zero was precautionary set in 0.5.

Table 5.1.1.5. Maturity vector used to set the maturity-at-age of sardine in GSA 06.

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| Maturity | 0.5 | 1 | 1 | 1 |

The natural mortality usually used in the stock assessment of sardine is usually estimated using the Gislason's first equation, among other reasons, because produces an M -at-age vector with values around 1. However, this vector is produced when the vBGP hold a t0 very negative (<-1.5). The updated vBGP obtained in this study to sardine in GSA 06 hold a t0 near zero ( $>-0.3$ ). Consequently, the Gislason's estimator produces M-at-age for the most fished ages ( 0 and 1 ) with too high values (between 4.5 and 1) (see deliverable D.1.4.1), promoting that the stock is perceived as lower overexploited or even underexploited.

The updated vBGP of sardine meets the biology rationale of a short-lived species, growing fast during the first year to follow a very low increase of size-at-age after this point. Thus, the t0 near zero makes sense to this species. In order to avoid too high values of M for younger individuals, it was preferred to use the Prodbiom estimator of natural mortality (Abella et al., 1997) (Table 5.1.1.6). This estimator relies on the fact that losses of biomass associated to natural mortality are compensated by production (growth). Therefore, this estimator may be reasonable used to estimate the M of species where there is indications of changes of mortality with age, as small pelagic species.

Table 5.1.1.6. Natural mortality at age (M-at-age) of sardine in GSA 06 estimated by Prodbiom

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| M | 1.18 | 0.72 | 0.5 | 0.42 |

The reported catches of sardine in GSA 06 have decreased since 2004 (Table 5.1.1.7). The highest value was reported in 2006 ( 27800 tons) and the lowest catch was obtained in 2015 ( 6450 tons). These results mean that catches of sardine in recent years (after 2009) represent $43 \%$ of catches of early years of the time series (before 2009). Due to there is not reliable temporal information of discards and values are absent or very low for most of the available period of catches, the discards were assumed as zero.

Table 5.1.1.7. Catches of sardine in GSA 06. Discards are assumed as zero tons, meaning that catches correspond to the reported landings.


Weight at age used to estimate the total catches at age of sardine in GSA 06. Data was provided by the IEO (Table 5.1.1.8).

Table 5.1.1.8. Weight at age of sardine in GSA 06. Data obtained from the Spanish Institute of Oceanography

| Age | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 0 | 0.013 | 0.014 | 0.015 | 0.015 | 0.015 | 0.014 | 0.013 | 0.012 | 0.011 | 0.011 | 0.009 | 0.011 | 0.012 | 0.011 |
| 1 | 0.021 | 0.022 | 0.025 | 0.024 | 0.023 | 0.019 | 0.019 | 0.023 | 0.018 | 0.015 | 0.015 | 0.015 | 0.012 | 0.014 |
| 2 | 0.031 | 0.032 | 0.032 | 0.033 | 0.04 | 0.035 | 0.032 | 0.032 | 0.032 | 0.022 | 0.022 | 0.022 | 0.019 | 0.02 |
| 3 | 0.041 | 0.042 | 0.041 | 0.045 | 0.05 | 0.05 | 0.053 | 0.051 | 0.046 | 0.028 | 0.031 | 0.039 | 0.024 | 0.032 |
| 4 | 0.056 | 0.051 | 0.051 | 0.05 | 0.057 | 0.06 | 0.064 | 0.059 | 0.055 | 0.031 | 0.051 | 0.051 | 0.049 | 0.05 |
| 5 | 0.073 | 0.06 | 0.067 | 0.068 | 0.079 | 0.079 | 0.078 | 0.064 | 0.078 | 0.078 | 0.078 | 0.078 | 0.078 | 0.078 |

The fishery of sardine in GSA 06 mainly harvest individuals of ages 0 and 1, while those individuals of ages two and three are only represented before 2009 (Fig. 5.1.1.1). Higher representation of individuals of age 0 in recent years (after 2010) was followed of lower abundances in age 1.

Fig. 5.1.1.1. Number at age of sardine individuals in GSA 06 after slicing using the updated vBGP above shown. Plus group has been set for age 3 .


### 5.1.1.1.2 Stock assessment

The sardine stock was assessed using different source of data as showed in the Fig. 4.1.2.1. The catch-at-age matrix obtained from ALK per year (and $M$ estimated by Gislason) suggested that $F$ has tended to decrease since 2004. However, there is not an identified measure that could be responsible for this $F$ trend. The catch-at-age matrix obtained from ALK by periods (2004-2010 and 2011-2017), and $M$ estimated by Gislason, showed an increasing of $F$ along the time series, suggesting that a high harvesting has prevented the stock recovery. The catch-at-age matrix derived from slicing using the current vBGP (too negative to and $M$ estimated by Gislason) suggested increasing of $F$ along time series, starting from a very low $F(<0.5)$ in early years. The catch-at-age matrix obtained from the updated vBGP (and $M$ estimated by Prodbiom) showed similar results than "ALK_2periods" (Fig. 5.1.1.2). These is the main reason because both stock assessments were accepted in the last assessment session in GFCM. The stock assessment that we finally selected to describe the stock status of the sardine in GSA 06 relies in catch-at-age matrix derived from the updated vBGP because better stock assessment diagnostics were found and life-history parameters had better reflect the nature of this small-pelagic species. Hereafter, the stock assessment based on the vBGP is explained.


Fig. 5.1.1.2. Results of the stock assessment when catch-at-age matrix was obtained from ALK data per year (ALK), aggregated in two periods (2004-2010 and 2011-2017) (ALK_2periods), sliced using the current vBGP and sliced using the updated vBGP.

The stock assessment model set the fishing mortality submodel (fmodel) using a separable model in which age and year effects are modelled as (unpenalised) thin plate spline (Table 5.1.1.9). It was assumed that ages older than two hold the same $F$ than age two because the gear is capable to entirely select all ages older than this age. We model the change in $F$ through time as a smoother with 7 degrees of freedom. The catchability submodel (qmod) was only related to the Medias index (since 2009), because the stock assessment diagnostics were worse when the Ecomed index was included. It was considered that catchability at a specific age to be dependent on catchability on the other ages. Finally, the stock-recruitment submodel used a smooth model with 6 degrees of freedom (Table 5.1.1.9).

Table 5.1.1.9. Submodels setting of the the sca method

```
fmod= }\mp@subsup{~}{s}{s(replace(age, age>2,2), k=3)+s(year,k=7)
qmod=list(~s(age,k=4))
srmod=~s(year,k=6)
fit <- sca(stk, medias,fmodel=fmod,qmodel=qmod,srmodel=srmod)
```

The stock assessment of sardine GSA 06 produced low residuals (most of them below 1.5) and did not show trend for most of ages (excluding catch number of age 3) (Fig. 5.1.1.3). The fitted and observed catch-at-age were comparable for most of years, showing worse fit in the last two years (2016 and 2017) (Fig. 5.1.1.4). The fitted and observed index at age was good for most of years, excluding 2013 and 2014 where the fitted number at age zero was lower and higher, respectively (Fig. 5.1.1.5). Fishing mortality was a little high for age 1 from 2012 to 2016, meaning that Fbar (02) could be slightly affected (Fig. 5.1.1.6). Simulation of submodel settings produce low uncertainty (Fig. 5.1.1.7) and retrospective analysis showed, in general, good consistence when one or more years were excluded of the analysis (Fig. 5.1.1.8). Accordingly, fishing mortality is tending to decrease since 2014 but the stock size did not indicate a clear recovery yet.

Fig. 5.1.1.3. Log residuals of catch and abundance indices obtained in the stock assessment of the sardine in GSA 06.


Fig. 5.1.1.4. Fitted and observed catch-at-age obtained in the stock assessment of the sardine in GSA 06 .


Fig. 5.1.1.5. Fitted and observed index at age obtained in the stock assessment of the sardine in GSA 06.


Fig. 5.1.1.6. Fishing mortality at age and year obtained in the stock assessment of the sardine in GSA 06.


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Fig. 5.1.1.7. Simulation of the fitted object that holds the stock assessment results of sardine in GSA 06.


Fig. 5.1.1.8. Retrospective analysis of the stock assessment results of sardine in GSA 06 when the last three, two or one years are omitted of the analysis.


### 5.1.1.1.3 Reference points

To estimate Blim (ICES, 2017) for sardine in GSA 06, was used a segmented regression stock recruitment relationship (

Fig. 5.1.1.9). Blim was used to estimate Bpa. Bpa $=$ Blim $* \exp (1.645 * \sigma)$, where $\sigma$ was set equal to 0.2 (ICES, 2013). Thus, Bpa was estimated as 45087 tons. Fmsy was calculated as that value of fishing motality that produces an exploitation rate ( $\mathrm{E}=\mathrm{F} / \mathrm{Z}$ ) equal to 0.4 .

Fig. 5.1.1.9. Segmented regression performed with the R package msy ("ices-tools-prod/msy") used to estimate Blim of the sardine in GSA 06.

5.1.1.1.4 Kobe plot

The stock of sardine in GSA 06 is highly overexploited (SSB below Blim) and overfishing is occurring since 2006 (Fig. 5.1.1.10). From 2014 to 2017, the fishing mortality is decreasing but at levels that do not promote that overfishing stops nor the stock size overcome Bpa.

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Fig. 5.1.1.10. Kobe plot for the sardine stock in GSA 06 using Blim, Bpa and E of Patterson as reference points.


### 5.1.1.1.5 Summary

| Bpa |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | recruits | ssb | f0 | f1 | f2 | f3 | harvest <br> (f0-2) | Observed <br> catch | model <br> catch | E |
| Year |  |  |  |  |  |  |  |  |  |  |
| 2004 | 7086048 | 85186 | 0.07 | 1.02 | 0.74 | 0.74 | 0.61 | 22833 | 22115 | 0.43 |
| 2005 | 4284187 | 86317 | 0.06 | 0.85 | 0.62 | 0.62 | 0.51 | 20983 | 25562 | 0.39 |
| 2006 | 2751795 | 70915 | 0.06 | 0.93 | 0.67 | 0.67 | 0.56 | 27145 | 23384 | 0.41 |
| 2007 | 2000424 | 50934 | 0.09 | 1.34 | 0.97 | 0.97 | 0.80 | 22911 | 21090 | 0.50 |
| 2008 | 1712954 | 35752 | 0.13 | 1.92 | 1.39 | 1.39 | 1.14 | 16186 | 16922 | 0.59 |
| 2009 | 1712055 | 24127 | 0.15 | 2.14 | 1.55 | 1.55 | 1.28 | 8997 | 10392 | 0.62 |
| 2010 | 1873697 | 22275 | 0.14 | 1.99 | 1.44 | 1.44 | 1.19 | 8762 | 8703 | 0.60 |
| 2011 | 2046478 | 25108 | 0.14 | 1.99 | 1.44 | 1.44 | 1.19 | 12135 | 10595 | 0.60 |
| 2012 | 2075532 | 22672 | 0.17 | 2.47 | 1.79 | 1.79 | 1.48 | 9193 | 10434 | 0.65 |
| 2013 | 1937377 | 19407 | 0.23 | 3.37 | 2.44 | 2.44 | 2.02 | 9734 | 9717 | 0.72 |
| 2014 | 1762062 | 15291 | 0.27 | 3.95 | 2.86 | 2.86 | 2.36 | 9659 | 8426 | 0.75 |
| 2015 | 1689668 | 15610 | 0.24 | 3.53 | 2.55 | 2.55 | 2.11 | 6309 | 7565 | 0.72 |
| 2016 | 1778298 | 15687 | 0.18 | 2.60 | 1.88 | 1.88 | 1.55 | 9934 | 5860 | 0.66 |
| 2017 | 2000143 | 17728 | 0.12 | 1.80 | 1.30 | 1.30 | 1.07 | 4590 | 5912 | 0.57 |

### 5.1.1.2 Sardine GSA 07

### 5.1.1.2.1 Input data

The length structure of the sardine harvested by the purse seine fleet in GSA 07 included individuals from 6 cm to 21 cm . Most of the caught individuals were between 14 and 17 cm . Before 2009, from 14.5 cm to 17 cm were the most harvested sizes. Since 2010, individuals from 12 cm to 14 cm were the most represented sizes in landings. (Table 5.1.1.10). The catch-at-length number derived from the DCF in 2012 included negative values. Additionally, the catch-at-length number in 2013 indicated very high values compared to previous and late years. Therefore, the DCF included doubt data of sardine in GSA 06.

Table 5.1.1.10. Length structure of the stock of sardine harvested by the purse seine fleet in GSA 07. Number of individuals by length represent the total catch each year. Source: DCF. Length is expressed in centimeters.

| Length (cm) | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6 |  |  |  |  |  |  |  | 69 |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  | 43 |  |  | -3 |  |  |  |  |
| 8 |  |  |  |  |  |  |  | 284 |  |  | 222 |  |  |  |  |
| 9 |  |  | 192 | 167 |  |  |  | 754 |  |  | 372 |  |  |  |  |
| 10 | 352 |  | 871 | 354 |  | 122 | 2199 | 1561 | 1263 |  | 372 | 58118 |  | 136 | 83 |
| 11 | 1920 | 171 | 2153 | 804 |  |  | 8776 | 1918 | 4188 |  | 833 | 1369855 | 519 | 700 |  |
| 12 | 6190 | 2746 | 6551 | 4692 | 881 | 839 | 4059 | 20320 | 18312 |  | 3350 | 6747354 | 11124 | 1965 | 12245 |
| 13 | 8402 | 10875 | 15614 | 21159 | 5348 | 1967 | 5040 | 35887 | 31043 |  | 15184 | 12181506 | 14061 | 3378 |  |
| 14 | 34252 | 46759 | 81589 | 68371 | 18966 | 10492 | 10427 | 44502 | 19695 |  | 10877 | 7879356 | 4835 | 696 | 7059 |
| 15 | 91824 | 58719 | 92433 | 110237 | 84965 | 81996 | 49492 | 71222 | 10946 |  | 1245 | 1893406 | 1208 | 60 |  |
| 16 | 91027 | 45853 | 43096 | 66555 | 114602 | 137946 | 83803 | 74031 | 4355 |  | 531 | 262155 | 250 | 6 | 260 |
| 17 | 41942 | 15444 | 14392 | 18209 | 44089 | 105700 | 48022 | 38444 | 1330 |  | 59 |  |  |  |  |
| 18 | 9140 | 3360 | 3317 | 2553 | 10124 | 33010 | 12055 | 7717 | 61 |  | 5 |  |  |  |  |
| 19 | 1491 | 503 | 537 | 587 | 971 | 4817 | 2531 | 875 |  |  | 1 |  |  |  |  |
| 20 |  |  |  | 80 | 260 | 639 | 79 | 254 |  |  |  |  |  |  |  |
| 21 |  |  |  |  |  | 172 |  |  |  |  |  |  |  |  |  |

Length structure observed in the PELMED surveys (2004-2009) included individuals from 5 cm to 21 cm . The length structure varied among years (Table 5.1.1.11). Since 2009, the length structure observed indicated more abundance of smaller sizes ( 9 cm to 12 cm ) (Table 5.1.1.12).

Table 5.1.1.11. Length structure of sardine recorded during the PELMED survey program in GSA 07. Number of individuals by length represent the total catch each year. Source: DCF. Length is expressed in centimeters.

| Length | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5 |  |  | 2440 |  |  |  |  |
| 6 | 6334 |  | 39038 |  |  |  | 6171 |
| 7 | 64696 | 6801 | 130623 |  |  | 277650 |  |
| 8 | 396392 | 133900 | 1079312 | 193993 |  | 2668251 |  |
| 9 | 547851 | 770490 | 2488184 | 1746034 | 1911 | 3749864 |  |
| 10 | 579216 | 1028834 | 1136132 | 1540565 | 6203 |  | 1350806 |
| 11 | 320488 | 416162 | 38363 | 470468 | 20115 | 344 | 3008 |
| 12 | 75917 | 149154 | 147585 | 375886 | 33229 | 202780 |  |
| 13 | 178792 | 736227 | 1075895 | 1229300 | 29761 |  | 31977 |
| 14 | 841156 | 1375512 | 1959388 | 1736783 | 408524 | 25377 | 165726 |
| 15 | 879920 | 1193861 | 1755828 | 2022955 | 1310015 | 593202 | 469454 |
| 16 | 1166186 | 641353 | 1267866 | 2174909 | 874013 | 968976 | 392127 |
| 17 | 624990 | 299843 | 462160 | 759362 | 448954 | 684424 | 225892 |
| 18 | 138779 | 79559 | 129669 | 138145 | 99323 | 268260 | 63584 |
| 19 | 8840 |  |  | 57243 | 15659 | 60535 | 17807 |
| 20 |  |  |  |  | 3898 | 10583 | 892 |
| 21 |  |  |  |  |  |  | 265 |

Table 5.1.1.12. Length structure of sardine recorded during the PELMED survey program in GSA 07. Number of individuals by length represent the total catch each year. Source: DCF. Length is expressed in centimeters.

| Length | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 5 |  |  |  |  |  |  |  |
| 6 |  |  |  | 352 |  |  |  |  |
| 7 |  | 116472 | 127897 | 30327 |  |  | 58083 | 41896 |
| 8 | 1589277 | 934920 | 182305 | 454969 | 330515 | 42166 | 623088 | 922134 |
| 9 | 3100078 | 3356134 | 1324413 | 2518439 | 1508309 | 239286 | 1385116 | 2877988 |
| 10 | 972702 | 2227156 | 1764843 | 2924410 | 1331572 | 834368 | 1235901 | 910040 |
| 11 | 583564 | 728508 | 1262712 | 1158300 | 3279511 | 2246835 | 1640894 | 1979202 |
| 12 | 805378 | 200100 | 563430 | 1293123 | 1166728 | 1570177 | 1690864 | 1093795 |
| 13 | 224881 | 334628 | 326363 | 823667 | 238294 | 498119 | 383542 | 249123 |
| 14 | 83201 | 115514 | 57125 | 153697 | 61873 | 151956 | 61666 | 44121 |
| 15 | 41013 | 11772 | 20482 | 7137 | 11060 | 25397 | 17322 | 3376 |
| 16 | 36387 | 37301 | 6733 | 4263 |  | 3657 | 1706 |  |
| 17 | 90805 |  |  | 2153 |  | 219 |  |  |
| 18 | 30714 |  |  |  |  |  |  |  |
| 19 | 1805 |  |  |  |  |  |  |  |
| 20 |  |  |  |  |  |  |  |  |
| 21 |  |  |  |  |  |  |  |  |

Given that raw data in otolith readings were not available to update the von Bertalanffy growth parameters of sardine in GSA 07, the available information of otoliths readings in GSA 06 was borrowed to estimate the vBGP that were later used to estimate catch-at-age number in GSA 07 by slicing. The data and process used to estimate the vBGP of sardine in GSA 06 are explained below. The Spanish Institute of Oceanography (IEO) has a large data set of otolith readings of sardine harvested by fisheries in GSA 06 since 2004 ( $\mathrm{n}=12839$ ). Additionally, there are some otolith readings of larvae of this species from 1983 to 2007. The otolith readings obtained through several research projects; ARECES in 1983 ( $n=30$ ), ARO in 2000 ( $n=163$ ), CAIMAN in 1996 ( $n=50$ ), SAVOR in 2003 ( $n=$ 109), JUVALION in $2007(n=29)$ and PELMED, ( $n=2007$ ) were contributed by Dr. Isabel Palomera (Marine Science Institute of Barcelona, ICM-CSIC). Additionally, Dr. Alberto Garcia of the IEO Malaga contributed 193 otolith readings of sardine obtained in 2000. All these sources of information on growth were used to update the estimates of the von Bertalanffy growth parameters of sardine. In order to merge the available information on growth, only those otolith readings derived from fisheries for the spawning peak of sardine were used (December-February, $n=2111$ ). The updated estimates of the von Bertalanffy growth parameters were calculated by the Bayesian Von Bertalanffy Growth Model - B-VBGM (Catalan, 2018) (Table 5.1.1.13).

Table 5.1.1.13. von Bertalanffy growth parameters estimated by the B-VBGM - Bayesian Von Bertalanffy Growth Model (Catalan et al., 2018) as indicated in the deliverable 1.3.1.1. The vBGP were estimated using otolith readings from GSA6, and borrowed to slice data of GSA 07.

| Linf | K | t0 | n | period | method |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 20.1 | 0.817 | -0.246 | 3336 | 1983-2003 <br> (larvae) | Bayesian <br> analysis <br> $2005-2017$ <br> (adults) |

The deliverable 1.3.2.1 (Report on gonadosomatic index, size at firs maturity and reproductive parameters) explored and updated the percentage of mature individuals at age. This information was used to set the maturity vector of the sardine stock (Table 5.1.1.14). The fecundity of the species has presumably decreased because individuals are smaller than before 2010, the percentage of maturity at age zero was precautionary set in 0.5 .

Table 5.1.1.14. Maturity vector used to set the maturity-at-age of sardine in GSA 07.

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| Maturity | 0.5 | 1 | 1 | 1 |

The natural mortality used in recent stock assessment of sardine by GFCM or STECF working groups is based on Gislason's first equation, among other reasons, because it produces an M -at-age vector with values around 1 . However, this vector is produced when the vBGP has a very negative t0 (<1.5). The updated vBGP obtained in this study to sardine in GSA 06 and borrowed to sardine in GSA 07 has a t0 near zero (>-0.3). Consequently, the Gislason's estimator produces M -at-age for the most fished ages ( 0 and 1) with too high values (between 1 and 4.5) (see deliverable D.1.4.1), resulting in the stock being perceived as slightly overexploited or even underexploited.

The updated vBGP of sardine meets the biology rationale of a short-lived species, growing fast during the first year to follow a very low increase of size-at-age after this point. Thus, the t0 near zero makes sense to this species. In order to avoid too high values of $M$ for younger individuals, it was preferred to use the Prodbiom estimator of natural mortality (Abella et al., 1997) (Table 5.1.1.15). This estimator relies on the fact that losses of biomass associated to natural mortality are compensated by production (growth). Therefore, this estimator may be reasonable used to estimate the $M$ of species where there is indication of changes of mortality with age, as small pelagic species.

Table 5.1.1.15. Natural mortality at age (M-at-age) of sardine in GSA 07 estimated by Prodbiom

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| M | 1.18 | 0.72 | 0.5 | 0.42 |

The reported catches of sardine in GSA 07 have decreased since 2002. The highest value was reported in 2007 ( 13713 tons) and the lowest catch was obtained in 2015 ( 321 tons) (Table 5.1.1.16). These results mean that catches of sardine in recent years (after 2009) represent 9\% of catches of early years of the time series (before 2009). Due to there is not reliable temporal information of discards and values are absent or very low for most of the available period of catches, the discards were assumed as zero.

Table 5.1.1.16. Catches of sardine in GSA 06. Discards are assumed as zero tons, meaning that catches correspond to the reported landings.


Weight at age used to estimate the total catches at age of sardine in GSA 07. Data was obtained from the Data call framework DCF (Table 5.1.1.17).

Table 5.1.1.17. Weight at age of sardine in GSA 07. Data obtained from the Spanish Institute of Oceanography

| Age | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 0 | 0.013 | 0.014 | 0.015 | 0.015 | 0.015 | 0.014 | 0.013 | 0.012 | 0.011 | 0.011 | 0.009 | 0.011 | 0.012 | 0.011 |
| 1 | 0.021 | 0.022 | 0.025 | 0.024 | 0.023 | 0.019 | 0.019 | 0.023 | 0.018 | 0.015 | 0.015 | 0.015 | 0.012 | 0.014 |
| 2 | 0.031 | 0.032 | 0.032 | 0.033 | 0.04 | 0.035 | 0.032 | 0.032 | 0.032 | 0.022 | 0.022 | 0.022 | 0.019 | 0.02 |
| 3 | 0.041 | 0.042 | 0.041 | 0.045 | 0.05 | 0.05 | 0.053 | 0.051 | 0.046 | 0.028 | 0.031 | 0.039 | 0.024 | 0.032 |
| 4 | 0.056 | 0.051 | 0.051 | 0.05 | 0.057 | 0.06 | 0.064 | 0.059 | 0.055 | 0.031 | 0.051 | 0.051 | 0.049 | 0.05 |
| 5 | 0.073 | 0.06 | 0.067 | 0.068 | 0.079 | 0.079 | 0.078 | 0.064 | 0.078 | 0.078 | 0.078 | 0.078 | 0.078 | 0.078 |

The fishery of sardine in GSA 07 mainly harvest individuals of ages 1 and 2, while those individuals of ages zero and three are scarce represented (Fig. 5.1.1.11). Lower representation of individuals of age 1 in recent years (after 2011) was not compensated by higher abundances in age two.

Fig. 5.1.1.11. Number at age of sardine individuals in GSA 07 after slicing using the updated vBGP above shown. Plus group has been set for age 3 .


### 5.1.1.2.2 Stock assessment

The sardine stock was assessed using different source of data as explained in the Fig. 4.1.2.1. The catch-at-age matrix obtained from ALK per year (and $M$ estimated by Gislason) suggested that the recent $F$ is as low as that observed in early years ( $\sim 0.5$ ). However, it is striking to observe that despite the fishing mortality being very low since 2002 the size of the stock has not recovered. These
low values of $F$ also may be affected by higher values of $M$ for ages 1-3 when the Gislason's estimator is used to estimate natural mortality. The catch-at-age matrix obtained from the updated vBGP (and stock assessment uses $M$ estimated by Prodbiom) produce higher $F$ in recent years in comparison with early years (Fig. 5.1.1.12). High F led to very low size of the stock, while larger stock was observed when $F$ was below 1.0 (before 2007). Additionally, better diagnostics for the stock assessment model were found when slicing than ALK were used to define the catch-at-age matrix used to model the stock.


Fig. 5.1.1.12. Results of the stock assessment when catch-at-age matrix was obtained from ALK data per period (2004-2010 and 2011-2017) and sliced using the updated vBGP. The stock assessment based on ALK and slicing used M estimated by Gislason and Prodbiom, respectively.

The stock assessment model set the fishing mortality submodel (fmodel) using a separable model in which age and year effects are modelled as (unpenalised) thin plate spline (Table 5.1.1.18). It was assumed that ages older than two hold the same $F$ than age two because the gear is capable to entirely select all ages older than this age. We model the change in F through time as a smoother with 3 degrees of freedom. The catchability submodel (qmod) was only related to the Medias index (since 2009), because the stock assessment diagnostics were worse when the Ecomed index was included. It was considered that catchability at a specific age to be dependent on catchability on the other ages. Finally, the stock-recruitment submodel used a smooth model with 6 degrees of freedom (Table 5.1.1.18).

Table 5.1.1.18. Submodels setting of the the sca method

| fmod $=\sim s($ replace(age, age $>2,2), k=3)+s($ year, $k=3)$ |
| :--- |
| qmod=list( $\sim s($ age,k=3)) |
| srmod $=\sim s($ year, $k=6)$ |
| fit <- sca(stk, medias, fmodel=fmod,qmodel=qmod,srmodel=srmod) |

The stock assessment of sardine GSA 07 produced low residuals (most of them below 1.5) but did show some residual trends for all ages (Fig. 5.1.1.13). The fitted and observed catch-at-age were not comparable for all years, suggesting that catch-at-age number varied a lot among years (Fig. 5.1.1.15). The fitted and observed index at age were also more or less comparable depending on the year. Better fits were found since 2013 (Fig. 5.1.1.16). Since 2010, fishing mortality was too high, meaning that Fbar ( $0-2$ ) could be overestimated (Fig. 5.1.1.16). Simulation of submodel settings produce a higher uncertainty than observed in GSA 06 (Fig. 5.1.1.17) but retrospective analysis showed good consistence when one or more years were excluded of the analysis (Fig. 5.1.1.18). Accordingly, fishing mortality is increasing since 2002 and the stock size is very low.

Fig. 5.1.1.13. Log residuals of catch and abundance indices obtained in the stock assessment of the sardine in GSA 07.


Fig. 5.1.1.14. Fitted and observed catch-at-age obtained in the stock assessment of the sardine in GSA 07.


Fig. 5.1.1.15. Fitted and observed index at age obtained in the stock assessment of the sardine in GSA 07.


Fig. 5.1.1.16. Fishing mortality at age and year obtained in the stock assessment of the sardine in GSA 07.


Fig. 5.1.1.17. Simulation of the fitted object that holds the stock assessment results of sardine in GSA 07.


Fig. 5.1.1.18. Retrospective analysis of the stock assessment results of sardine in GSA 07 when the last three, two or one years are omitted of the analysis.

5.1.1.2.3 Reference points

To estimate Blim (ICES, 2017) for sardine in GSA 07, was used a segmented regression stock recruitment relationship (Fig. 5.1.1.19). Blim was used to estimate Bpa. Bpa $=\operatorname{Blim} * \exp (1.645 *$ $\sigma$ ), where $\sigma$ was set equal to 0.2 (ICES, 2013). Thus, Bpa was estimated as 50448 tons. Fmsy was calculated as that value of fishing motality that produces an exploitation rate ( $\mathrm{E}=\mathrm{F} / \mathrm{Z}$ ) equal to 0.4.

Fig. 5.1.1.19. Segmented regression performed with the $R$ package msy ("ices-tools-prod/msy") used to estimate Blim of the sardine in GSA 07.


### 5.1.1.2.4 Kobe plot

The stock of sardine in GSA 07 is highly overexploited (SSB below Blim) and overfishing is occurring since 2006 (Fig. 5.1.1.20). Remember that diagnostics of the stock assessment model were poor and fishing mortality was probably estimated above the current values. However, the kobe plot may be used to obtain information on the general trend of the stock size and fishing mortality. The stock looks in very bad condition while there are no signals of stock size recovery.

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Fig. 5.1.1.20. Kobe plot for the sardine stock in GSA 07 using Blim, Bpa and E of Patterson as reference points.


### 5.1.1.2.5 Summary

| Bpa |  |  |  |  |  |  |  |  |  | $\begin{gathered} \text { Fmsy } \\ \hline 0.4 \\ \text { E } \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 50448 |  |  |  |  |  |  |  |  |
| Year | recruits | ssb | f0 | f1 | f2 | f3 | harvest (f0-2) | Observed catch | model catch |  |
| 2002 | 3252812 | 81364 | 0.00 | 0.15 | 0.07 | 0.07 | 0.08 | 9535 | 4810 | 0.09 |
| 2003 | 2938515 | 73759 | 0.00 | 0.28 | 0.13 | 0.13 | 0.14 | 5788 | 6823 | 0.15 |
| 2004 | 2412689 | 67048 | 0.01 | 0.51 | 0.24 | 0.24 | 0.25 | 8541 | 10772 | 0.24 |
| 2005 | 1738665 | 52993 | 0.01 | 0.89 | 0.42 | 0.42 | 0.44 | 10306 | 13800 | 0.36 |
| 2006 | 1178894 | 43324 | 0.02 | 1.50 | 0.71 | 0.71 | 0.74 | 10737 | 17435 | 0.48 |
| 2007 | 844705 | 25231 | 0.03 | 2.37 | 1.13 | 1.13 | 1.18 | 13739 | 12555 | 0.60 |
| 2008 | 670217 | 11171 | 0.05 | 3.52 | 1.67 | 1.67 | 1.75 | 6934 | 5838 | 0.69 |
| 2009 | 541192 | 7020 | 0.06 | 4.84 | 2.29 | 2.29 | 2.40 | 7418 | 3058 | 0.75 |
| 2010 | 380961 | 5418 | 0.08 | 6.14 | 2.91 | 2.91 | 3.05 | 1826 | 2402 | 0.79 |
| 2011 | 215819 | 3025 | 0.10 | 7.23 | 3.43 | 3.43 | 3.59 | 819 | NA | 0.82 |
| 2012 | 109351 | 1527 | 0.11 | 7.95 | 3.77 | 3.77 | 3.94 | 642 | 1095 | 0.83 |
| 2013 | 64438 | 927 | 0.11 | 8.25 | 3.91 | 3.91 | 4.09 | 1036 | 596 | 0.84 |
| 2014 | 56933 | 1052 | 0.11 | 8.20 | 3.89 | 3.89 | 4.07 | 633 | 468 | 0.84 |
| 2015 | 80169 | 1798.4 | 0.11 | 7.93 | 3.76 | 3.76 | 3.93 | 348 | 690 | 0.83 |
| 2016 | 150568 | 2321 | 0.10 | 7.58 | 3.60 | 3.60 | 3.76 | 820 | 796 | 0.82 |

5.1.1.3 Sardine GSA 06 and GSA 07

### 5.1.1.3.1 Input data

The length structure of the sardine harvested by the purse seine fleet in GSA 06 included individuals from 5.5 cm to 23 cm . Most of the caught individuals were between 11 and 17 cm . The differences between length structures that were already observed in single GSA were also present when both GSAs were combined. Then, smaller individuals were caught since 2010 (Table 5.1.1.19).

Table 5.1.1.19. Length structure of the stock of sardine harvested by the mid water trawl and purse seine fleets in GSA 06 and 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography and DCF. Length is expressed in centimeters.

| Length | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5.5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 0 | 0 | 0 | 0 |
| 6 | 0 | 0 | 0 | 0 | 0 | 69 | 0 | 0 | 63 | 0 | 0 | 0 | 69 |
| 6.5 | 48 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 70 | 0 | 0 | 0 | 0 |
| 7 | 145 | 0 | 0 | 0 | 0 | 43 | 0 | 0 | 64 | 0 | 0 | 0 | 43 |
| 7.5 | 145 | 0 | 0 | 0 | 0 | 0 | 0 | 308 | 41 | 0 | 0 | 0 | 0 |
| 8 | 918 | 0 | 0 | 0 | 0 | 284 | 0 | 619 | 280 | 0 | 0 | 8 | 346 |
| 8.5 | 2088 | 0 | 0 | 0 | 0 | 0 | 152 | 1089 | 142 | 26 | 158 | 275 | 1242 |
| 9 | 3602 | 190 | 84 | 0 | 1 | 957 | 163 | 336 | 897 | 373 | 951 | 679 | 2785 |
| 9.5 | 4959 | 1877 | 332 | 94 | 256 | 754 | 876 | 664 | 2446 | 966 | 3245 | 2741 | 5832 |
| 10 | 9258 | 8488 | 1811 | 399 | 2619 | 3511 | 3445 | 3212 | 6725 | 62311 | 7205 | 6618 | 19375 |
| 10.5 | 10122 | 17600 | 6105 | 364 | 6478 | 6359 | 6804 | 11310 | 12554 | 10642 | 11142 | 12401 | 24512 |
| 11 | 25221 | 20654 | 7001 | 1272 | 16574 | 18588 | 14480 | 27919 | 23536 | 1391431 | 22557 | 16611 | 55146 |
| 11.5 | 41405 | 21916 | 8099 | 5281 | 25145 | 35129 | 20728 | 41227 | 34092 | 36113 | 27330 | 27776 | 61747 |
| 12 | 70706 | 28364 | 10613 | 16363 | 40250 | 69029 | 46711 | 46988 | 51438 | 6791308 | 59316 | 46836 | 162416 |
| 12.5 | 67895 | 29173 | 9494 | 21964 | 35352 | 54077 | 33686 | 48892 | 46697 | 57003 | 65163 | 52839 | 93057 |
| 13 | 89396 | 65853 | 20776 | 28838 | 44021 | 91651 | 66272 | 58853 | 67996 | 12246571 | 93931 | 62638 | 226479 |
| 13.5 | 64128 | 51030 | 22913 | 22895 | 37182 | 52425 | 37803 | 69060 | 46892 | 66418 | 68759 | 55036 | 72027 |
| 14 | 151760 | 140741 | 63011 | 39939 | 43461 | 98734 | 58884 | 78180 | 55562 | 7935512 | 68801 | 41766 | 396803 |
| 14.5 | 76589 | 78297 | 68155 | 44078 | 47646 | 41752 | 35199 | 62759 | 34784 | 44171 | 48187 | 24239 | 37759 |
| 15 | 182354 | 194368 | 187165 | 148211 | 110514 | 98689 | 45880 | 51231 | 34366 | 1932539 | 40135 | 15574 | 681769 |
| 15.5 | 77726 | 77684 | 118740 | 72193 | 55473 | 19483 | 36127 | 32509 | 26222 | 24306 | 19700 | 7646 | 13302 |
| 16 | 109229 | 137845 | 225458 | 213855 | 138490 | 88480 | 33112 | 23190 | 22310 | 282006 | 12500 | 5897 | 668830 |
| 16.5 | 49153 | 55279 | 95804 | 61314 | 45476 | 9120 | 16072 | 15472 | 15297 | 10930 | 4960 | 2363 | 2851 |
| 17 | 48366 | 60790 | 119008 | 161823 | 86682 | 45248 | 14030 | 12195 | 10748 | 6156 | 4052 | 1440 | 328693 |
| 17.5 | 17349 | 21909 | 40414 | 40718 | 26324 | 4668 | 5129 | 6577 | 4976 | 2428 | 1455 | 616 | 568 |
| 18 | 13993 | 13874 | 37951 | 70514 | 29070 | 11302 | 3344 | 4380 | 2444 | 1538 | 628 | 469 | 81514 |
| 18.5 | 6882 | 5295 | 14178 | 28558 | 12718 | 2566 | 1410 | 2033 | 1388 | 624 | 508 | 255 | 168 |
| 19 | 5603 | 3964 | 10110 | 24556 | 10237 | 2220 | 422 | 797 | 512 | 389 | 481 | 178 | 12423 |
| 19.5 | 2887 | 1046 | 4437 | 8161 | 4133 | 811 | 519 | 243 | 86 | 168 | 312 | 57 | 108 |
| 20 | 2224 | 737 | 2793 | 4534 | 2252 | 854 | 1063 | 181 | 54 | 98 | 86 | 34 | 1358 |
| 20.5 | 787 | 353 | 748 | 1371 | 608 | 311 | 548 | 39 | 10 | 11 | 0 | 4 | 1 |
| 21 | 536 | 172 | 252 | 702 | 79 | 156 | 340 | 11 | 16 | 4 | 126 | 3 | 175 |
| 21.5 | 232 | 38 | 290 | 17 | 25 | 66 | 99 | 10 | 9 | 0 | 0 | 0 | 0 |
| 22 | 60 | 36 | 55 | 36 | 1 | 18 | 29 | 2 | 0 | 0 | 0 | 0 | 0 |
| 22.5 | 18 | 13 | 13 | 4 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 0 | 0 |
| 23 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |

Length structure observed in surveys carried out from 2004 to 2009 included individuals from 5 cm to 23 cm . However, the length structure varied along years (Table 5.1.1.20). Since 2009, the length
structure observed by the surveys indicated more abundance of sizes from 8 cm to 11 cm (Table 5.1.1.21).

Table 5.1.1.20. Length structure of sardine recorded during the PELMED survey program in GSA 06 and 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography and DCF. Length is expressed in centimeters.

| Length | 2004 | 2005 | 2006 | 2007 | 2008 |
| ---: | ---: | ---: | ---: | ---: | ---: |
| 5 | 2440 | 0 | 0 | 0 | 0 |
| 5.5 | 0 | 0 | 0 | 0 | 0 |
| 6 | 39038 | 0 | 0 | 0 | 0 |
| 6.5 | 0 | 0 | 0 | 0 | 0 |
| 7 | 130623 | 0 | 0 | 0 | 6171 |
| 7.5 | 2451 | 0 | 0 | 0 | 0 |
| 8 | 1087555 | 194000 | 5762 | 0 | 277650 |
| 8.5 | 13642 | 1033 | 13405 | 0 | 0 |
| 9 | 2506434 | 1755451 | 27611 | 0 | 2668251 |
| 9.5 | 43401 | 23969 | 39530 | 0 | 0 |
| 10 | 1250031 | 1592408 | 81285 | 0 | 3757685 |
| 10.5 | 200870 | 97104 | 117856 | 1279 | 40872 |
| 11 | 300342 | 618297 | 188050 | 35601 | 1433272 |
| 11.5 | 348113 | 182156 | 115612 | 57566 | 116192 |
| 12 | 449756 | 682087 | 162025 | 101177 | 281888 |
| 12.5 | 224614 | 232014 | 111532 | 92233 | 40453 |
| 13 | 1213094 | 1449968 | 120054 | 103666 | 47602 |
| 13.5 | 94210 | 134238 | 74139 | 67951 | 18720 |
| 14 | 2066785 | 1863384 | 468746 | 55268 | 170664 |
| 14.5 | 82209 | 84547 | 52631 | 23603 | 1935 |
| 15 | 1830766 | 2100170 | 1373340 | 628838 | 471768 |
| 15.5 | 58299 | 64819 | 90365 | 29645 | 4017 |
| 16 | 1297164 | 2242416 | 975208 | 1002769 | 395406 |
| 16.5 | 19185 | 41725 | 100584 | 28715 | 6707 |
| 17 | 472845 | 803767 | 575329 | 712800 | 230103 |
| 17.5 | 8985 | 40228 | 109475 | 27294 | 6465 |
| 18 | 133677 | 162927 | 214371 | 289909 | 70187 |
| 18.5 | 4120 | 12034 | 82886 | 16311 | 6480 |
| 19 | 2137 | 63931 | 80570 | 71412 | 22820 |
| 19.5 | 3117 | 5758 | 30212 | 4392 | 2859 |
| 20 | 1747 | 1805 | 18276 | 13703 | 2390 |
| 20.5 | 1131 | 1078 | 7401 | 303 | 1214 |
|  |  |  |  | 0 |  |

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| 21 | 442 | 632 | 4831 | 616 | 551 |
| ---: | ---: | ---: | ---: | ---: | ---: |
| 21.5 | 361 | 719 | 2658 | 120 | 14 |
| 22 | 41 | 855 | 2450 | 0 | 0 |
| 22.5 | 27 | 715 | 393 | 0 | 0 |
| 23 | 0 | 0 | 393 | 0 | 88 |

Table 5.1.1.21. Length structure of sardine recorded during the PELMED survey program in GSA 06 and 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography and DCF. Length is expressed in centimeters.

| Length | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5.5 | 0 | 0 | 0 | 0 | 0 | 0 | 306 | 0 |
| 6 | 3114 | 0 | 0 | 351.56 | 16888 | 0 | 1225 | 0 |
| 6.5 | 4566 | 0 | 494 | 2697 | 111974 | 1987 | 22041 | 55 |
| 7 | 7835 | 116472.28 | 132197.09 | 64551.23 | 224938 | 15311 | 140567.48 | 87427.87 |
| 7.5 | 21826 | 1208 | 2486 | 107978 | 458516 | 45223 | 171926 | 416603 |
| 8 | 1721339.32 | 965139.99 | 280076.51 | 789459.6 | 910037.63 | 132789.87 | 1078670.26 | 1709054.71 |
| 8.5 | 473956 | 104664 | 334018 | 831078 | 1325791 | 112807 | 417192 | 621466 |
| 9 | 3692211.72 | 3687469.12 | 1974715.2 | 3707052.01 | 2701677.48 | 373368.05 | 1825129.93 | 3123682.42 |
| 9.5 | 790704 | 440721 | 675764 | 1297455 | 833785 | 86200 | 346366 | 282825 |
| 10 | 1622742.2 | 2576938.93 | 2590704.6 | 3689586.05 | 1931333.59 | 882281.47 | 1651374.44 | 1153001.91 |
| 10.5 | 443750 | 360829 | 534244 | 478037 | 519886 | 26480 | 410440 | 253265 |
| 11 | 888082 | 914103.58 | 1594857.34 | 1476284.52 | 3575553.63 | 2258997.5 | 1999493.4 | 2183110.63 |
| 11.5 | 136442 | 108167 | 154664 | 120876 | 131640 | 10752 | 112541 | 227774 |
| 12 | 846864.22 | 227022.61 | 671658.2 | 1422237.02 | 1223504 | 1622515.39 | 1732110.32 | 1292689.95 |
| 12.5 | 19144 | 26603 | 71032 | 120532 | 39950 | 47868 | 103424 | 143284 |
| 13 | 229282.23 | 352662.68 | 395424.37 | 900271.91 | 322606.39 | 545105.52 | 471318.55 | 368862.77 |
| 13.5 | 9606 | 35897 | 69319 | 33725 | 72667 | 25070 | 89090 | 78051 |
| 14 | 98029.89 | 154709.38 | 144694.57 | 188494.57 | 106696.97 | 169259.49 | 101954.08 | 103016.24 |
| 14.5 | 11219 | 46057 | 66482 | 24568 | 17637 | 6094 | 25814 | 47363 |
| 15 | 52747.5 | 38214.94 | 88387.36 | 25580.42 | 25407.54 | 32941.46 | 35480.95 | 39632.29 |
| 15.5 | 7665 | 25609 | 42767 | 10873 | 17832 | 2195 | 9835 | 18339 |
| 16 | 43130.06 | 49039.77 | 68689.23 | 12418.64 | 8190 | 3857.04 | 5931.71 | 3776 |
| 16.5 | 3626 | 6311 | 36623 | 4908 | 461 | 154 | 1794 | 2308 |
| 17 | 92985.25 | 2729 | 15935 | 4813.27 | 1643 | 219.27 | 808 | 1230 |
| 17.5 | 1836 | 611 | 11756 | 703 | 86 | 0 | 67 | 0 |
| 18 | 31103.29 | 986 | 1851 | 612 | 0 | 0 | 1692 | 0 |
| 18.5 | 275 | 155 | 170 | 0 | 0 | 0 | 0 | 547 |
| 19 | 2004.97 | 0 | 249 | 0 | 0 | 0 | 0 | 0 |
| 19.5 | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20 | 0 | 0 | 58 | 0 | 61 | 0 | 0 | 0 |
| 20.5 | 38 | 0 | 0 | 293 | 0 | 0 | 0 | 0 |
| 21 | 0 | 348 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Given that raw data in otolith readings were not available to update the von Bertalanffy growth parameters of sardine in GSA 07, the available information of otoliths readings in GSA 06 was borrowed to estimate the vBGP that were later used to estimate catch-at-age number by slicing in GSA 06 and 07 combined. The data and process used to estimate the vBGP of sardine in GSA 06 are below explained. The Spanish Institute of Oceanography (IEO) has a large data set of otolith readings of sardine in GSA 06 harvested by fisheries since 2004 ( $\mathrm{n}=12839$ ). Additionally, there are some otolith readings of larvae of this species from 1983 to 2007. The otolith readings obtained through
several research projects; ARECES in 1983 ( $n=30$ ), ARO in $2000(n=163)$, CAIMAN in 1996 ( $n=50$ ), SAVOR in $2003(n=109)$, JUVALION in $2007(n=29)$ and PELMED, $(n=2007)$ were contributed by Dr. Isabel Palomera from the Marine Science Institute of Barcelona (ICM-CSIC). Additionally, Dr. Alberto Garcia of the IEO Malaga contributed 193 otolith readings of sardine obtained in 2000. All these sources of information on growth were used to update the estimates of the von Bertalanffy growth parameters of sardine. In order to merge the available information on growth, only those otolith readings derived from fisheries for the spawning peak of sardine were used (December-February, $\mathrm{n}=2111$ ). The updated estimates of the von Bertalanffy growth parameters were calculated by the Bayesian Von Bertalanffy Growth Model - B-VBGM (Catalan, 2018) (Table 5.1.1.22).

Table 5.1.1.22. von Bertalanffy growth parameters estimated by the B-VBGM - Bayesian Von Bertalanffy Growth Model (Catalan et al., 2018) as indicated in the deliverable 1.3.1.1. The vBGP were estimated using otolith readings from GSA6.

| Linf | K | t0 | n | period | method |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 20.1 | 0.817 | -0.246 | 3336 | $1983-2003$ <br> (larvae) <br> 2005-2017 <br> (adults) | Bayesian <br> analysis |

The deliverable 1.3.2.1 (Report on gonadosomatic index, size at first maturity and reproductive parameters) explored and updated the percentage of mature individuals at age. This information was used to set the maturity vector of the sardine stock (Table 5.1.1.23). The fecundity of the species has presumably decreased because individuals are smaller than before 2010, the percentage of maturity at age zero was precautionary set in 0.5 .

Table 5.1.1.23. Maturity vector used to set the maturity-at-age of sardine in GSA 0607.

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| Maturity | 0.5 | 1 | 1 | 1 |

The natural mortality used in recent stock assessment of sardine by GFCM or STECF working groups is based on Gislason's first equation, among other reasons, because it produces an M -at-age vector with values around 1 . However, this vector is produced when the vBGP has a very negative t0 (<1.5). The updated vBGP obtained in this study to sardine in GSA 06 and borrowed to sardine in GSA 06 and GSA 07 combined has a t0 near zero (> -0.3 ). Consequently, the Gislason's estimator produces M -at-age for the most fished ages ( 0 and 1) with too high values (between 4.5 and 1) (see deliverable D.1.4.1), resulting in the stock being perceived as slightly overexploited or even underexploited.

The updated vBGP of sardine meets the biology rationale of a short-lived species, growing fast during the first year to follow a very low increase of size-at-age after this point. Thus, the t0 near zero makes sense to this species. In order to avoid too high values of $M$ for younger individuals, it was preferred to use the Prodbiom estimator of natural mortality (Abella et al., 1997) (Table 5.1.1.24). This estimator relies on the fact that losses of biomass associated to natural mortality are compensated by production (growth). Therefore, this estimator may be reasonable used to estimate the M of species where there is indications of changes of mortality with age, as small pelagic species.

Table 5.1.1.24. Natural mortality at age (M-at-age) of sardine in GSA 06 and 07estimated by Prodbiom

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| M | 1.18 | 0.72 | 0.5 | 0.42 |

The reported catches of sardine in GSA 06 and 07 combined have decreased since 2002 (Table 5.1.1.25). The highest value was reported in 2006 ( 38528 tons) and the lowest catch was obtained in 2015 (6771 tons). These results mean that catches of sardine in recent years (after 2009) represent $39 \%$ of catches of early years of the time series (before 2009). Due to there is not reliable temporal information of discards and values are absent or very low for most of the available period of catches, the discards were assumed as zero.

Table 5.1.1.25. Catches of sardine in GSA 06 and 07. Discards are assumed as zero tons, meaning that catches correspond to the reported landings.


Weight at age used to estimate the total catches at age of sardine in GSA 06 and GSA 07 as combined stocks. Data was obtained as average of the weight at age by year in GSA 06 and GSA 07 (Table 5.1.1.26).

Table 5.1.1.26. Weight at age of sardine in GSA 06 and 07. Data obtained from the Spanish Institute of Oceanography

| Age | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0.0145 | 0.015 | 0.0155 | 0.0155 | 0.0135 | 0.014 | 0.0145 | 0.0115 | 0.009 | 0.0105 | 0.0155 | 0.0205 | 0.017 |
| 1 | 0.0215 | 0.022 | 0.028 | 0.0275 | 0.022 | 0.017 | 0.017 | 0.02 | 0.0185 | 0.0175 | 0.0195 | 0.0265 | 0.021 |
| 2 | 0.0295 | 0.0305 | 0.0345 | 0.035 | 0.0345 | 0.0275 | 0.0255 | 0.026 | 0.0265 | 0.021 | 0.0235 | 0.035 | 0.0305 |
| 3 | 0.0365 | 0.0375 | 0.04 | 0.042 | 0.0415 | 0.039 | 0.038 | 0.037 | 0.035 | 0.024 | 0.0295 | 0.0445 | 0.0345 |
| 4 | 0.0455 | 0.043 | 0.0465 | 0.0435 | 0.046 | 0.0465 | 0.0465 | 0.0445 | 0.0425 | 0.0305 | 0.0395 | 0.0535 | 0.0495 |

The fishery of sardine in GSA 06 and GSA 07 as combined stocks mainly harvest individuals of ages 0 and 1, while those individuals of ages two and three are only represented before 2009 (Fig. 5.1.1.21). Lower representation of individuals of age 1 in recent years (after 2010) was not compensated by higher abundances in age 2.

Fig. 5.1.1.21. Number at age of sardine individuals in GSA 06 and 07 after slicing using the updated vBGP above shown. Plus group has been set for age 3.


### 5.1.1.3.2 Stock assessment

The sardine combined stocks (GSA 06 and GSA 07) were assessed using different source of data as explained in the Fig. 4.1.2.1. The catch-at-age matrix obtained from ALK per periods (2004-2010 and 2011-2016) (and $M$ estimated by Gislason) suggested that the recent $F$ is as low as observed in early years ( $\sim 0.5$ ). However, it looks little feasible that despite since 2004 the fishing mortality is very low the size of the stock has been not recovered. The catch-at-age matrix obtained from the updated vBGP (and stock assessment uses $M$ estimated by Prodbiom) produced higher $F$ in recent years in comparison with early years (Fig. 5.1.1.22). Both catch-at-age source showed comparable trends in $R$ and SSB, as well as indicated equally low values of $F$ until 2009. Since, 2014, the $F$ indicated by the stock assessment based on slicing suggests that fishing mortality is declining but it remains too high. This result for both stocks combined seems to describe an unsustainable fishery and very low stock size. Better diagnostics for the stock assessment model were found when slicing than ALK were used to define the catch-at-age matrix used to model the stock.


Fig. 5.1.1.22. Results of the stock assessment of the sardine GSA 06 and GSA 07 combined stocks when catch-at-age matrix was obtained from ALK data per period (2004-2010 and 2011-2017) and sliced using the updated vBGP. The stock assessment based on ALK and slicing used M estimated by Gislason and Prodbiom, respectively.

The stock assessment model set the fishing mortality submodel (fmodel) using a separable model in which age and year effects are modelled as (unpenalised) thin plate spline (Table 5.1.1.27). It was assumed that ages older than two hold the same $F$ than age two because the gear is capable to entirely select all ages older than this age. We model the change in F through time as a smoother with 7 degrees of freedom. The catchability submodel (qmod) was only related to the Medias index (since 2009), because the stock assessment diagnostics were worse when the Ecomed index was included. It was considered that catchability at a specific age to be dependent on catchability on the other ages. Finally, the stock-recruitment submodel used a smooth model with 7 degrees of freedom (Table 5.1.1.27).

Table 5.1.1.27. Submodels setting of the the sca method

| fmod $=\sim s($ replace(age, age $>2,2), \mathrm{k}=3)+\mathrm{s}($ year, $\mathrm{k}=7)$ |
| :--- |
| qmod $=$ list $(\sim s($ age, $\mathrm{k}=4))$ |
| srmod $=\sim \mathrm{s}($ year, $\mathrm{k}=7)$ |
| fit $<-\quad$ sca(stk, |

The stock assessment of sardine GSA 06 and GSA 07 as combined stocks produced low residuals (most of them below 1.5) and did not show trend for most of ages (excluding catch number of age 3) (Fig. 5.1.1.23). The fitted and observed catch-at-age were comparable for most of years. However, given that fishery data of GSA 07 showed worse diagnostics when was modeled as isolated stock, more years (2006, 2007, 2012, 2014, 2015 and 2016) showed worse fit (Fig. 5.1.1.24). Conversely, the fitted and observed index at age was better for most of years than when single stocks were considered (Fig. 5.1.1.25). Fishing mortality was a little high for age 1 during 2012 and 2013, meaning that Fbar (0-2) could be slightly affected (Fig. 5.1.1.26). Simulation of submodel settings produce a reasonable uncertainty for the stock size indicators but harvesting in the last two years was high (Fig. 5.1.1.27). Retrospective analysis showed, in general, good consistence in stock size indicators when one or more years were excluded of the analysis (Fig. 5.1.1.28). However, the fishing mortality trend changed when one or more years were excluded. Accordingly, fishing mortality is tending to decrease since 2014 but the level at which is declining not clear enough.

Fig. 5.1.1.23. Log residuals of catch and abundance indices obtained in the stock assessment of the sardine in GSA 06 and 07.


Fig. 5.1.1.24. Fitted and observed catch-at-age obtained in the stock assessment of the sardine in GSA 06 and 07.


Fig. 5.1.1.25. Fitted and observed index at age obtained in the stock assessment of the sardine in GSA 06 and 07.


Fig. 5.1.1.26. Fishing mortality at age and year obtained in the stock assessment of the sardine in GSA 06 and 07.


Fig. 5.1.1.27. Simulation of the fitted object that holds the stock assessment results of sardine in GSA 06 and 07.


Fig. 5.1.1.28. Retrospective analysis of the stock assessment results of sardine in GSA 06 and 07 when the last three, two or one years are omitted of the analysis.


### 5.1.1.3.3 Reference points

To estimate Blim (ICES, 2017) for sardine in GSA 07, was used a segmented regression stock recruitment relationship (Fig. 5.1.1.29). Blim was used to estimate Bpa. Bpa $=$ Blim $* \exp (1.645 *$ $\sigma$ ), where $\sigma$ was set equal to 0.2 (ICES, 2013). Thus, Bpa was estimated as 92070 tons. Fmsy was calculated as that value of fishing mortality that produces an exploitation rate ( $\mathrm{E}=\mathrm{F} / \mathrm{Z}$ ) equal to 0.4.

Fig. 5.1.1.29. Segmented regression performed with the $R$ package msy ("ices-tools-prod/msy") used to estimate Blim of the sardine in GSA 6\&7.

5.1.1.3.4 Kobe plot

The combined stocks of sardine in GSA 06 and GSA 07 are highly overexploited (SSB below Blim) and overfishing is occurring since 2007 (Fig. 5.1.1.30). From 2013 to 2016, the fishing mortality is decreasing but at levels that do not promote that overfishing stops nor the stock size overcome Bpa.

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Fig. 5.1.1.30. Kobe plot for the sardine stock in GSA $6 \& 7$ using Blim, Bpa and E of Patterson as reference points.


### 5.1.1.3.5 Summary

| Bpa |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 92070 |  |  |  |  |  |  | Fmsy |  |  |
| Year | recruits | ssb | f0 | f1 | f2 | f3 | harvest <br> (f0-2) | Observed <br> catch | model <br> catch | E |
| 2004 | 11163370 | 136473 | 0.06 | 1.17 | 0.79 | 0.79 | 0.67 | 31374 | 34036 | 0.46 |
| 2005 | 5913316 | 128571 | 0.03 | 0.65 | 0.43 | 0.43 | 0.37 | 31289 | 30507 | 0.32 |
| 2006 | 3604721 | 112570 | 0.03 | 0.65 | 0.44 | 0.44 | 0.37 | 37882 | 28664 | 0.32 |
| 2007 | 2700060 | 82711 | 0.06 | 1.16 | 0.77 | 0.77 | 0.66 | 36650 | 31170 | 0.45 |
| 2008 | 2428005 | 49897 | 0.10 | 2.02 | 1.35 | 1.35 | 1.16 | 23120 | 23954 | 0.59 |
| 2009 | 2456508 | 32918 | 0.12 | 2.44 | 1.63 | 1.63 | 1.40 | 16415 | 13766 | 0.64 |
| 2010 | 2554435 | 31214 | 0.13 | 2.57 | 1.72 | 1.72 | 1.47 | 10588 | 12139 | 0.65 |
| 2011 | 2430011 | 28615 | 0.16 | 3.24 | 2.17 | 2.17 | 1.86 | 12954 | 14223 | 0.70 |
| 2012 | 1969859 | 21029 | 0.22 | 4.47 | 2.99 | 2.99 | 2.56 | 9835 | 12550 | 0.76 |
| 2013 | 1488742 | 16362 | 0.24 | 4.81 | 3.23 | 3.23 | 2.76 | 10770 | 9426 | 0.78 |
| 2014 | 1337467 | 17413 | 0.19 | 3.87 | 2.59 | 2.59 | 2.22 | 10292 | 8073 | 0.73 |
| 2015 | 1725745 | 26785 | 0.16 | 3.11 | 2.09 | 2.09 | 1.78 | 6657 | 10279 | 0.69 |
| 2016 | 2969974 | 35001 | 0.15 | 2.99 | 2.00 | 2.00 | 1.71 | 10754 | 11859 | 0.68 |

### 5.1.2 European anchovy (Engraulis encrasicolus)

### 5.1.2.1 Anchovy GSA 06

### 5.1.2.1.1 Input data

The length structure of the anchovy harvested by the purse seine fleet in GSA 07 included individuals from 5 cm to 18 cm . Before 2009, individuals from 12 cm to 15 cm were the most harvested sizes. Since 2010, individuals from 11 cm to 14 cm were the most represented sizes in landings. (Table 5.1.2.1).

Table 5.1.2.1. Length structure of the stock of anchovy harvested by the purse seine fleet in GSA 06. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5 |  |  |  |  |  |  |  |  |  |  | 25 |  | 0 | 0 |
| 5.5 |  |  |  |  |  |  |  |  |  |  |  |  | 40 | 0 |

Length structure observed in the ECOMED surveys carried out in winter (2004-2009) and coinciding with recruitment of sardine included individuals from 5 cm to 18 cm . The most recorded individuals belonged to sizes between 8 cm and 11.5 cm (Table 5.1.2.2). Since 2009, the length structure observed by the Medias surveys which were carried out in summer, coinciding with recruitment of anchovy, indicated more abundance of individuals from 10 cm to 12 cm (Table 5.1.2.3).

Table 5.1.2.2. Length structure of anchovy recorded during the PELMED survey program in GSA 06. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5 | 0 | 0 | 0 | 0 | 1744 | 0 | 0 |
| 5.5 | 557 | 2107 | 0 | 0 | 934 | 0 | 0 |
| 6 | 371 | 2447 | 236 | 0 | 4535 | 0 | 971 |
| 6.5 | 1484 | 12074 | 236 | 0 | 9665 | 9921 | 3487 |
| 7 | 2412 | 27250 | 236 | 0 | 27962 | 240158 | 11315 |
| 7.5 | 35111 | 65745 | 3517 | 746 | 60516 | 405636 | 54279 |
| 8 | 187129 | 91633 | 4768 | 5077 | 119142 | 716241 | 265812 |
| 8.5 | 445742 | 151145 | 15439 | 28504 | 150633 | 751598 | 673279 |
| 9 | 606363 | 241957 | 84448 | 112145 | 150480 | 801389 | 732154 |
| 9.5 | 590844 | 204337 | 87859 | 278520 | 176357 | 947786 | 514982 |
| 10 | 633615 | 227252 | 85803 | 181543 | 112959 | 928761 | 502418 |
| 10.5 | 521470 | 212324 | 71921 | 171197 | 61143 | 607392 | 479891 |
| 11 | 357516 | 176523 | 79070 | 219322 | 41279 | 332390 | 460899 |
| 11.5 | 208110 | 111171 | 78473 | 203762 | 10609 | 108242 | 337666 |
| 12 | 128387 | 82649 | 55133 | 118024 | 10902 | 81011 | 179180 |
| 12.5 | 33426 | 58962 | 42321 | 64815 | 5859 | 9712 | 84868 |
| 13 | 18081 | 40613 | 41034 | 40365 | 3816 | 16121 | 46699 |
| 13.5 | 29406 | 33404 | 29102 | 9769 | 1503 | 15136 | 24810 |
| 14 | 11310 | 48439 | 21175 | 11565 | 3189 | 0 | 4763 |
| 14.5 | 16060 | 32385 | 16671 | 10988 | 3679 | 0 | 2060 |
| 15 | 4750 | 27726 | 8495 | 14417 | 6737 | 0 | 516 |
| 15.5 | 4750 | 10491 | 7180 | 10832 | 5259 | 0 | 47 |
| 16 | 0 | 6341 | 4992 | 9288 | 1092 | 0 | 9 |
| 16.5 | 0 | 1974 | 2383 | 2088 | 742 | 0 | 0 |
| 17 | 0 | 0 | 270 | 6586 | 0 | 0 | 0 |
| 17.5 | 0 | 0 |  | 1544 | 0 | 0 | 0 |
| 18 | 0 | 0 |  | 338 | 0 | 0 | 0 |
|  |  | 0 |  |  |  |  |  |

Table 5.1.2.3. Length structure of anchovy recorded during the PELMED survey program in GSA 06. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5 | 0 | 0 | 1661 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5.5 | 330 | 0 | 1775 | 0 | 0 | 5211 | 0 | 0 |  |
| 6 | 0 | 0 | 4779 | 0 | 56 | 7135 | 0 | 0 | 11776 |
| 6.5 | 0 | 0 | 8205 | 0 | 0 | 2882 | 216 | 0 |  |
| 7 | 5773 | 0 | 7183 | 0 | 0 | 7250 | 1617 | 0 | 17657 |
| 7.5 | 29577 | 0 | 1664 | 0 | 1826 | 24042 | 863 | 0 |  |
| 8 | 90990 | 0 | 1980 | 0 | 64826 | 90161 | 20939 | 0 | 123052 |
| 8.5 | 134951 | 3009 | 9106 | 33244 | 268860 | 160254 | 49531 | 9756 |  |
| 9 | 108160 | 11868 | 68049 | 192847 | 642142 | 368022 | 99462 | 6065 | 247029 |
| 9.5 | 156028 | 40812 | 87265 | 502911 | 780615 | 537076 | 321212 | 52701 |  |
| 10 | 242246 | 93009 | 85252 | 1029017 | 802935 | 916448 | 828197 | 247266 | 913504 |
| 10.5 | 308815 | 204892 | 112195 | 2568621 | 898879 | 1100840 | 1511908 | 531169 |  |
| 11 | 401962 | 249977 | 183374 | 2108324 | 931277 | 1306484 | 2532960 | 1300870 | 2247014 |
| 11.5 | 381553 | 200585 | 173683 | 1193542 | 680579 | 879224 | 2266685 | 1648102 |  |
| 12 | 278716 | 135517 | 185777 | 423325 | 473841 | 520347 | 1332944 | 1186451 | 1556782 |
| 12.5 | 284931 | 124242 | 132679 | 208554 | 217729 | 362552 | 759801 | 670078 |  |
| 13 | 174213 | 105699 | 130434 | 83925 | 112355 | 454968 | 394338 | 349112 | 792267 |
| 13.5 | 138742 | 147375 | 124649 | 38075 | 47297 | 338336 | 132178 | 256868 |  |
| 14 | 52454 | 146788 | 118127 | 18732 | 9589 | 205151 | 48936 | 86562 | 584485 |
| 14.5 | 41701 | 130447 | 76337 | 4047 | 3506 | 86638 | 7915 | 49637 |  |
| 15 | 15006 | 91870 | 43494 |  | 3099 | 39708 | 1408 | 12660 | 93554 |
| 15.5 | 2253 | 33653 | 23768 |  | 451 | 9574 | 1408 | 5188 |  |
| 16 | 953 | 16149 | 3420 |  |  | 5537 |  | 1592 | 2076 |
| 16.5 | 74 | 2167 |  |  |  |  |  |  |  |
| 17 | 74 |  |  |  |  |  |  |  |  |

The Spanish Institute of Oceanography (IEO) has a large data set of otolith readings of anchovy in GSA 06 harvested by fisheries since 2005 ( $\mathrm{n}=8054$ ). Additionally, there are some otolith readings of larvae of this species from 1995 to 2003. The otolith readings obtained through two research projects; FIL in 1988 and 1989 ( $n=179$ ) and JUVALION in 2009 ( $n=58$ ) were contributed by Dr. Isabel Palomera from the Marine Science Institute of Barcelona (ICM-CSIC). Additionally, Dr. Alberto Garcia of the IEO Malaga contributed several otolith readings of anchovy obtained from 1995 to 2003 (117 in 1995, 138 in 1997, 305 in 1998, 121 in 2000, 189 in 2001 and 118 in 2003. All these sources of information on growth were used to update the estimates of the von Bertalanffy growth parameters of anchovy. In order to merge the available information on growth, only were used those otolith readings derived from fisheries for the spawning peak of anchovy (June-August, $\mathrm{n}=2901$ ). The
updated estimates of the von Bertalanffy growth parameters were calculated by the Bayesian Von Bertalanffy Growth Model - B-VBGM (Catalan, 2018) (Table 5.1.2.4).

Table 5.1.2.4. von Bertalanffy growth parameters estimated by the B-VBGM - Bayesian Von Bertalanffy Growth Model (Catalan et al., 2018) as indicated in the deliverable 1.3.1.1. The vBGP were estimated using otolith readings from GSA6.

| Linf | K | t0 | n | period | method |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | 0.984 | -0.255 | 3510 | $1995-2003$ <br> (larvae) | B-BVGM |
|  |  |  |  | 2005-2017 <br> (adults) |  |

The deliverable 1.3.2.1 (Report on gonadosomatic index, size at first maturity and reproductive parameters) explored and updated the percentage of mature individuals at age. This information was used to set the maturity vector of the anchovy stock (Table 5.1.2.5). The fecundity of the species has presumably decreased because individuals are smaller than before 2010, the percentage of maturity at age zero was precautionary set in 0.0.

Table 5.1.2.5. Maturity vector used to set the maturity-at-age of anchovy in GSA 06.

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| Maturity | 0 | 0.98 | 0.99 | 1 |

The natural mortality used in recent stock assessment of sardine by GFCM or STECF working groups is based on Gislason's first equation, among other reasons, because it produces an M -at-age vector with values around 1 . However, this vector is produced when the vBGP has a very negative t0 (<1.5). The updated vBGP obtained in this study to anchovy in GSA 06 has a t0 near zero (> -0.3). Consequently, the Gislason's estimator produces M -at-age for the most fished ages ( 0 and 1 ) with too high values (between 1 and 3.5) (see deliverable D.1.4.1), resultin in the stock being perceived as slightly overexploited or even underexploited.

The updated vBGP of anchovy meets the biology rationale of a short-lived species, growing fast during the first year to follow a very low increase of size-at-age after this point. Thus, the t0 near zero makes sense to this species. In order to avoid too high values of $M$ for younger individuals, it was preferred to use the Prodbiom estimator of natural mortality (Abella et al., 1997) (Table 5.1.2.6). This estimator relies on the fact that losses of biomass associated to natural mortality are compensated by production (growth). Therefore, this estimator may be appropriate to estimate the $M$ of species where there is indications of changes of mortality with age, as small pelagic species.

Table 5.1.2.6. Natural mortality at age (M-at-age) of anchovy in GSA 06 estimated by Prodbiom

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| M | 1.23 | 0.75 | 0.51 | 0.43 |

The reported catches of anchovy in GSA 06 decreased from 2004 to 2008. Since 2009, the catches of this species has been increasing until reaching the highest value in 2013 (17397 tons). These results mean that catches of sardine in recent years (after 2009) represent $235 \%$ of catches of early years of the time series (before 2009). In the absence of reliable temporal information of discards and values are absent or very low for most of the available period of catches, the discards were assumed as zero.

Table 5.1.2.7. Catches of anchovy in GSA 06. Discards are assumed as zero tons, meaning that catches correspond to the reported landings.


Weight at age used to estimate the total catches at age of anchovy in GSA 06. Data was provided by the IEO (Table 5.1.2.8).

Table 5.1.2.8. Weight at age of anchovy in GSA 06. Data obtained from the Spanish Institute of Oceanography

| Age | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 0 | 0.006 | 0.007 | 0.007 | 0.006 | 0.006 | 0.006 | 0.007 | 0.005 | 0.006 | 0.006 | 0.006 | 0.007 | 0.007 | 0.006 |
| 1 | 0.012 | 0.012 | 0.012 | 0.013 | 0.011 | 0.012 | 0.012 | 0.012 | 0.011 | 0.011 | 0.011 | 0.011 | 0.011 | 0.011 |
| 2 | 0.016 | 0.017 | 0.017 | 0.017 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 | 0.016 |
| 3 | 0.021 | 0.023 | 0.023 | 0.024 | 0.022 | 0.022 | 0.021 | 0.021 | 0.022 | 0.021 | 0.021 | 0.02 | 0.021 | 0.021 |

The fishery of anchovy in GSA 06 mainly harvest individuals of ages 0 and 1 , while those individuals of ages two are scarce represented (Fig. 5.1.2.1). Higher number of individuals were reported after 2009.

Fig. 5.1.2.1. Number at age of anchovy individuals in GSA 06 after slicing using the updated vBGP above shown. Plus group has been set for age 2 .


### 5.1.2.1.2 Stock assessment

The anchovy stock was assessed using different source of data as showed in the Fig. 4.1.2.1. The catch-at-age matrix obtained from ALK (and $M$ estimated by Gislason) suggested that $F$ has tended to oscillate since 2004, meaning that an absolute decrease is not observed. A drastic change on $R$
since 2010 may imply that the ALK data could promote two very different catch-at-age structures between periods. The catch-at-age matrix derived from slicing using the current vBGP (too negative t0 and $M$ estimated by Gislason) suggested a very low $F$ along time series. According to this low $F$, the stock size is increasing since 2010. However, according to this stock assessment model $R$ number is even higher than 2004 because $F$ is very low. This result looks little feasible because catches have been increasing along the time series (Fig. 5.1.2.2). The updated vBGP (2+) showed the best diagnostics among the different tested models. This stock assessment indicates that the anchovy stock in GSA 06 have been harvested with high fishing mortalities since 2007. Therefore, the stock size is very low, exhibiting some signals of recovering since 2015.


Fig. 5.1.2.2. Results of the stock assessment of the anchovy GSA 06 when catch-at-age matrix is obtained from ALK data aggregated in two periods (2004-2010 and 2011-2017) (ALK_2periods), sliced using the current vBGP (vBGP_current_G) and sliced using the updated vBGP for 2+ and 3+ age groups.

The stock assessment model set the fishing mortality submodel (fmodel) using a separable model in which age is modeled as dummy variable and year effects are modelled as (unpenalised) thin plate spline (Table 5.1.2.9). It should be assumed, as occurred in sardine, that ages older than one have the same F than age one because the gear can entirely select all ages older than one. However, the stock assessment model produced poor diagnostics when this setting was used. Then, it was accepted that older ages might have lower F than the most fished ages. However, as Fbar (the most fished ages) exclude ages older than 1, the perceived harvesting rate was not affected. The catchability submodel (qmod) was only related to the Medias index (since 2009), because the stock
assessment diagnostics were worse when the Ecomed index was included. It was considered that catchability at a specific age to be dependent on catchability on the other ages. It was also explored the trend in catchability with time because the Medias index did not properly fit the catch data. Finally, the stock-recruitment submodel used a smooth model with 6 degrees of freedom (Table 5.1.2.9).

Table 5.1.2.9. Submodels setting of the the sca method

```
fmod = ~factor(age) + s(year, k=6)
qmod =list( ~ s(age, k=3) + year)
srmod =~s(year, k=6)
fit <- sca(stk, medias, fmodel=fmod,qmodel=qmod,srmodel=srmod)
```

The stock assessment of anchovy GSA 06 produced low residuals (most of them below 1.5) but some trend in residuals were found (Fig. 5.1.2.3). The fitted and observed catch-at-age were different among years, showing good fit since 2004 to 2007 but bad fits from 2008 to 2011 (Fig. 5.1.2.4). The fitted and observed index at age was very variable among years, in general, showing poor results (Fig. 5.1.2.5). These two previous results suggest that the two sources of information on the anchovy stock did not provide consistent information. Fishing mortality was a little high for age 1 from 2011 to 2016, meaning that Fbar (0-1) could be considerable affected (Fig. 5.1.2.6). Simulation of submodel settings produce very high uncertainty in comparison with that obtained for the sardine stock (Fig. 5.1.2.7), indicating that the stock size and fishing mortality may go up, down or remain stable. The retrospective analysis suggest that the stock size is increasing while fishing mortality tends to decrease (Fig. 5.1.2.8). The stock status of anchovy GSA 06 offers higher uncertainty than found to sardine in the same area.

Fig. 5.1.2.3. Log residuals of catch and abundance indices obtained in the stock assessment of the anchovy in GSA 06.


Fig. 5.1.2.4. Fitted and observed catch-at-age obtained in the stock assessment of the anchovy in GSA 06.


Fig. 5.1.2.5. Fitted and observed index at age obtained in the stock assessment of the anchovy in GSA 06.


Fig. 5.1.2.6. Fishing mortality at age and year obtained in the stock assessment of the anchovy in GSA 06. Fishing mortality


Fig. 5.1.2.7. Simulation of the fitted object that holds the stock assessment results of anchovy in GSA 06.


Fig. 5.1.2.8. Retrospective analysis of the stock assessment results of anchovy in GSA 06 when the last three, two or one years are omitted of the analysis.

5.1.2.1.3 Reference points

To estimate Blim (ICES, 2017) for anchovy in GSA 06, was tried a segmented regression stock recruitment relationship (Fig. 5.1.2.9). However, Blim estimated was very low (< 1000 tons). Therefore, Blim was calculated as the geometric mean of SSB ( 5009 tons). Blim was used to estimate Bpa. Bpa $=$ Blim $* \exp (1.645 * \sigma)$, where $\sigma$ was set equal to 0.2 (ICES, 2013). Thus, Bpa was estimated as 6960 tons. Fmsy was calculated as that value of fishing mortality that produces an exploitation rate ( $\mathrm{E}=\mathrm{F} / \mathrm{Z}$ ) equal to 0.4 .

Fig. 5.1.2.9. Segmented regression performed with the R package msy ("ices-tools-prod/msy") used to estimate Blim of the anchovy in GSA 06.


### 5.1.2.1.4 Kobe plot

The stock of anchovy in GSA 06 is highly overexploited (SSB below Blim) and overfishing is occurring since 2009 (Fig. 5.1.1.10). From 2015 to 2017, the fishing mortality is decreasing but at levels that do not promote that overfishing stops nor the stock size overcome Bpa.

Fig. 5.1.2.10. Kobe plot for the anchovy stock in GSA 06 using Blim, Bpa and E of Patterson as reference points.

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5.1.2.1.5 Summary


### 5.1.2.2 Anchovy GSA 07

### 5.1.2.2.1 Input data

The length structure of the anchovy harvested by the mid water trawler fleet in GSA 07 included individuals from 5 cm to 19 cm . Most of the caught individuals were between 10 and 14 cm . (Table 5.1.2.10).

Table 5.1.2.10. Length structure of the stock of anchovy harvested by the purse seine fleet in GSA 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length (cm) | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5 |  |  |  |  |  |  |  |  |  |  | 74 |  |  |  |  |
| 6 |  |  |  |  |  |  |  |  |  |  | 532 |  |  |  |  |
| 7 |  | 687 | 1708 |  |  |  | 71 | 112 | 275 |  | 1738 |  |  | 42 | 246 |
| 8 | 572 | 1862 | 8185 | 94 |  | 872 | 619 | 6236 | 13296 | 136 | 6328 |  |  | 126 | 663 |
| 9 | 5662 | 17533 | 19632 | 535 | 525 | 5159 | 7822 | 15761 | 26002 | 1798 | 6495 | 2503 | 429 | 463 | 2499 |
| 10 | 16875 | 35774 | 35339 | 5772 | 6015 | 10265 | 33313 | 36655 | 67098 | 23418 | 17274 | 13570 | 2893 | 2273 | 23678 |
| 11 | 26858 | 83313 | 65684 | 9493 | 19456 | 20660 | 51960 | 77601 | 123425 | 83978 | 31936 | 38126 | 25899 | 6483 | 64192 |
| 12 | 87290 | 98562 | 96503 | 16184 | 20021 | 32616 | 51420 | 122995 | 126439 | 109165 | 44953 | 66418 | 74391 | 6525 | 38831 |
| 13 | 135565 | 95358 | 74857 | 35513 | 25433 | 46595 | 64202 | 86165 | 62192 | 55936 | 29044 | 60499 | 63757 | 1431 | 8031 |
| 14 | 108617 | 81952 | 41312 | 33572 | 26125 | 64689 | 50556 | 41929 | 18592 | 13232 | 6225 | 20084 | 15607 | 379 | 589 |
| 15 | 41864 | 28277 | 11093 | 16795 | 16664 | 42044 | 23103 | 11114 | 2484 | 1272 | 532 | 3562 | 2656 | 42 |  |
| 16 | 4666 | 8085 | 778 | 4198 | 5881 | 14399 | 5495 | 2262 | 117 | 86 | 43 |  | 83 |  |  |
| 17 | 407 | 192 |  | 284 | 1177 | 1808 | 686 | 103 |  | 176 |  |  |  |  |  |
| 18 |  |  |  |  | 17 | 388 |  |  |  |  |  |  |  |  |  |
| 19 |  |  |  |  |  | 49 |  |  |  |  |  |  |  |  |  |

Length structure observed in the surveys before 2009 included individuals from 2 cm to 18 cm , although most of harvested sizes were from 12 to 14 cm (Table 5.1.2.11). Since 2009, the length structure observed by the Pelmed surveys indicated more abundance of sizes from 10 cm to 12 cm (Table 5.1.2.12).

Table 5.1.2.11. Length structure of anchovy recorded during the PELMED survey program in GSA 07. Number of individuals by length represent the total catch each year. Source: DCF. Length is expressed in centimeters.

| Length | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 2 |  |  |  |  |  |  | 348 |
| 3 |  |  |  |  |  |  | 813 |
| 4 |  |  |  |  |  |  | 1053 |
| 5 |  |  |  |  |  |  | 5100 |
| 6 |  |  |  |  | 1384 |  | 2728 |
| 7 | 13 |  |  |  | 2101 |  |  |
| 8 | 6 | 2947 |  |  | 2070 |  |  |
| 9 | 1124 | 1251 | 25505 |  | 3260 |  | 1134 |
| 10 | 898 | 29018 | 256675 | 8167 | 385 |  |  |
| 11 | 145082 | 757380 | 625102 |  | 18578 | 1221 | 48205 |
| 12 | 836698 | 1223423 | 736841 | 19988 | 243184 | 36625 | 493521 |
| 13 | 1301320 | 454229 | 385078 | 268989 | 469294 | 139814 | 724030 |
| 14 | 895272 | 63482 | 133315 | 274556 | 390477 | 233367 | 315713 |
| 15 | 148275 | 7946 | 52075 | 131869 | 211334 | 233463 | 82912 |
| 16 | 4768 |  | 11508 | 97385 | 78956 | 68795 | 6537 |
| 17 |  |  |  | 20414 | 18689 |  | 1757 |
| 18 |  |  |  |  | 513 |  |  |

Table 5.1.2.12. Length structure of anchovy recorded during the PELMED survey program in GSA 07. Number of individuals by length represent the total catch each year. Source: DCF. Length is expressed in centimeters.


Given that raw data in otolith readings were not available to update the von Bertalanffy growth parameters of anchovy in GSA 07, the available information of otoliths readings in GSA 06 was borrowed to estimate the vBGP that were later used to estimate catch-at-age number in GSA 07 by slicing. The data and process used to estimate the vBGP of anchovy in GSA 06 are below explained. The Spanish Institute of Oceanography (IEO) has a large data set of otolith readings of anchovy in GSA 06 harvested by fisheries since $2005(\mathrm{n}=8054)$. Additionally, there are some otolith readings of larvae of this species from 1995 to 2003. The otolith readings obtained through two research projects; FIL in 1988 and 1989 ( $n=179$ ) and JUVALION in 2009 ( $n=58$ ) were contributed by Dr. Isabel Palomera from the Marine Science Institute of Barcelona (ICM-CSIC). Additionally, Dr. Alberto Garcia of the IEO Malaga contributed several otolith readings of anchovy obtained from 1995 to 2003 (117 in 1995, 138 in 1997, 305 in 1998, 121 in 2000, 189 in 2001 and 118 in 2003). All these sources of information on growth were used to update the estimates of the von Bertalanffy growth parameters of anchovy. In order to merge the available information on growth, only were used those otolith readings derived from fisheries for the spawning peak of anchovy (June-August, $\mathrm{n}=2901$ ). The updated estimates of the von Bertalanffy growth parameters were calculated by the Bayesian Von Bertalanffy Growth Model - B-VBGM (Catalan, 2018) (Table 5.1.2.13).

Table 5.1.2.13. von Bertalanffy growth parameters estimated by the B-VBGM - Bayesian Von Bertalanffy Growth Model (Catalan et al., 2018) as indicated in the deliverable 1.3.1.1. The vBGP were estimated using otolith readings from GSA7.

| Linf | K | t0 | n | period | method |
| :---: | :---: | :---: | :---: | :---: | :---: |


| 18 | 0.984 | -0.255 | 3510 | $1995-2003$ <br> (larvae) <br> $2005-2017$ <br> (adults) | B-BVGM |
| :--- | :--- | :--- | :--- | :--- | :--- |

The deliverable 1.3.2.1 (Report on gonadosomatic index, size at first maturity and reproductive parameters) explored and updated the percentage of mature individuals at age. This information was used to set the maturity vector of the anchovy stock (Table 5.1.2.14). The fecundity of the species has presumably decreased because individuals are smaller than before 2010, the percentage of maturity at age zero was precautionarily set to 0 .

Table 5.1.2.14. Maturity vector used to set the maturity-at-age of anchovy in GSA 07 .

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| Maturity | 0 | 0.98 | 0.99 | 1 |

The natural mortality used in recent stock assessments of anchovy is usually estimated with the Gislason's first equation, among other reasons, because it produces an M -at-age vector with values around 1 . However, this vector is produced when the vBGP hold a t0 very negative (<-1.5). The updated vBGP obtained in this study to anchovy in GSA 06 and borrowed to anchovy in GSA 07 has a near zero t0 (> -0.3). Consequently, the Gislason's estimator produces M -at-age for the most fished ages ( 0 and 1 ) with too high values (between 1 and 3.5 ) (see deliverable D.1.4.1), resulting in the stock being perceived as slightly overexploited or even underexploited.

The updated vBGP of anchovy meets the biology rationale of a short-lived species, growing fast during the first year to follow a very low increase of size-at-age after this point. Thus, the t0 near zero makes sense for this species. In order to avoid too high values of $M$ for younger individuals, it was preferred to use the Prodbiom estimator of natural mortality (Abella et al., 1997) (Table 5.1.2.15). This estimator relies on the fact that losses of biomass associated to natural mortality are compensated by production (growth). Therefore, this estimator may be reasonable used to estimate the $M$ of species where there is indications of changes of mortality with age, as small pelagic species.

Table 5.1.2.15. Natural mortality at age (M-at-age) of anchovy in GSA 07 estimated by Prodbiom

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| M | 1.18 | 0.72 | 0.5 | 0.42 |

The reported catches of anchovy in GSA 07 have decreased since 2002 (Table 5.1.2.16). The highest value was reported in 2002 ( 7777 tons) and the lowest catch was obtained in 2015 (1108 tons). These results mean that catches of anchovy in recent years (after 2009) represent 49\% of catches of early years of the time series (before 2009). Because there is no reliable temporal information of discards and values are absent or very low for most of the available period of catches, the discards were assumed as zero.

Table 5.1.2.16. Catches of anchovy in GSA 07. Discards are assumed as zero tons, meaning that catches correspond to the reported landings.


Weight at age used to estimate the total catches at age of anchovy in GSA 07. Data was obtained from the Data call framework DCF (Table 5.1.2.17).

Table 5.1.2.17. Weight at age of anchovy in GSA 07. Data obtained from the Spanish Institute of Oceanography

| Age | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0.005 | 0.004 | 0.005 | 0.005 | 0.008 | 0.01 | 0.008 | 0.007 | 0.006 | 0.006 | 0.006 | 0.006 | 0.005 | 0.008 | 0.014 |
| 1 | 0.009 | 0.012 | 0.014 | 0.015 | 0.017 | 0.017 | 0.012 | 0.011 | 0.011 | 0.01 | 0.009 | 0.01 | 0.011 | 0.016 | 0.028 |
| 2 | 0.016 | 0.019 | 0.02 | 0.021 | 0.029 | 0.021 | 0.019 | 0.017 | 0.014 | 0.015 | 0.012 | 0.013 | 0.013 | 0.024 | 0.042 |
| 3 | 0.021 | 0.022 | 0.022 | 0.022 | 0.035 | 0.026 | 0.02 | 0.024 | 0.02 | 0.018 | 0.014 | 0.015 | 0.015 | 0.034 | 0.053 |
| 4 | 0.023 | 0.023 | 0.024 | 0.024 | 0.037 | 0.028 | 0.021 | 0.025 | 0.022 | 0.02 | 0.018 | 0.019 | 0.016 | 0.036 | 0.055 |

The fishery of anchovy in GSA 07 mainly harvest individuals of ages 0,1 and 2 , while those individuals of age three are scarce represented (Fig. 5.1.2.21).

Table 5.1.2.18. Number at age of anchovy individuals in GSA 07 after slicing using the updated vBGP above shown. Plus group has been set for age 3 .


### 5.1.2.2.2 Stock assessment

The anchovy stock in GSA 07 was assessed using different source of data as showed in the Fig. 4.1.2.1. None stock assessment model produced acceptable diagnostics. The two source of catch-at-age data (ALK and slicing) led to different stock (Fig. 5.1.2.11).


Fig. 5.1.2.11. Results of the stock assessment of the anchovy GSA 07 when catch-at-age matrix is obtained from ALK data aggregated in two periods (2002-2010 and 2011-2016) (ALK_2periods_G), sliced using the updated vBGP for $2+$ age group (vBGP_updated_P).

Several settings of the submodels were tried but it was not possible to find an acceptable model fit for anchovy in GSA 07 (Table 5.1.2.19). Here are shown the submodel settings that produce a reasonable message on the stock trend. However, $F$ was too high and poor diagnostics were found.

Table 5.1.2.19. Submodels setting of the the sca method

|  |
| :--- |
| fmod $=\sim$ s(replace(age, age $>2,2), k=3)+\mathrm{s}($ year, $\mathrm{k}=7)$ |
| qmod $=$ list $(\sim$ s(age, $\mathrm{k}=3)$ ) |
| srmod $={ }^{\sim}$ geomean(CV=0.1) |

The stock assessment of anchovy in GSA 07 did not have the minimum quality to be considered informative about the stock status. It is due to trend in residuals were found (Fig. 5.1.2.12), the fitted and observed catch-at-age were quite different among years (Fig. 5.1.2.13), the fitted and observed index at age was variable among years (Fig. 5.1.2.14), fishing mortality was very high for age 2 (Fig. 5.1.2.15) and the retrospective analysis did not converge. Although very low catches may suggest that fishing mortality is very low, a high increase in SSB is quite doubt (Fig. 5.1.2.14).

Fig. 5.1.2.12. Log residuals of catch and abundance indices obtained in the stock assessment of the anchovy in GSA 07.


Fig. 5.1.2.13. Fitted and observed catch-at-age obtained in the stock assessment of the anchovy in GSA 07.


Fig. 5.1.2.14. Fitted and observed index at age obtained in the stock assessment of the anchovy in GSA 07.


Fig. 5.1.2.15. Fishing mortality at age and year obtained in the stock assessment of the anchovy in GSA 07.
Fishing mortality


Fig. 5.1.2.16. Simulation of the fitted object that holds the stock assessment results of anchovy in GSA 07.


### 5.1.2.2.3 Reference points

To estimate Blim (ICES, 2017) for anchovy in GSA 07, was used a segmented regression stock recruitment relationship (Fig. 5.1.2.17). Blim was estimated in 1720 tons. Blim was used to estimate Bpa. Bpa $=B \lim * \exp (1.645 * \sigma)$, where $\sigma$ was set equal to 0.2 (ICES, 2013). Thus, Bpa was estimated as 2340 tons. Fmsy was calculated as that value of fishing mortality that produces an exploitation rate ( $\mathrm{E}=\mathrm{F} / \mathrm{Z}$ ) equal to 0.4 .

Fig. 5.1.2.17. Segmented regression performed with the R package msy ("ices-tools-prod/msy") used to estimate Blim of the anchovy in GSA 07.


### 5.1.2.2.4 Kobe plot

The stock of anchovy in GSA 07 is underexploited in 2016 (Fig. 5.1.2.18). Remember that diagnostics of the stock assessment model were very poor and fishing mortality may be biased. Little or no information may be derived from this analysis.

Fig. 5.1.2.18. Kobe plot for the anchovy stock in GSA 07 using Blim, Bpa and E of Patterson as reference points.


### 5.1.2.2.5 Summary


5.1.2.3 Anchovy GSA 06 and 07

### 5.1.2.3.1 Input data

The length structure of the anchovy harvested by the mid water trawler and purse seine fleets in GSA 06 and GSA 07 included individuals from 5 cm to 19 cm (Table 5.1.2.20). Most of individuals caught have sizes from 10 cm to 14 cm .

Table 5.1.2.20. Length structure of the stock of anchovy harvested by the purse seine fleet in GSA 06 and 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 99 | 0 | 0 | 0 | 0 |
| 5.5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 0 | 0 |
| 6 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 24 | 532 | 0 | 253 | 69 | 0 |
| 6.5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 353 | 121 | 0 | 432 | 126 | 0 |
| 7 | 1708 | 0 | 0 | 0 | 105 | 112 | 330 | 673 | 2343 | 107 | 869 | 378 | 344 |
| 7.5 | 3 | 7 | 0 | 2 | 303 | 157 | 4 | 771 | 1639 | 343 | 1942 | 782 | 551 |
| 8 | 8428 | 94 | 0 | 876 | 1040 | 7181 | 13457 | 3611 | 10392 | 2703 | 3160 | 2260 | 1767 |
| 8.5 | 1573 | 14 | 0 | 7 | 877 | 2029 | 181 | 17130 | 9515 | 5534 | 6076 | 4641 | 2065 |
| 9 | 26491 | 576 | 525 | 5183 | 10181 | 20010 | 26467 | 30504 | 28594 | 15478 | 11902 | 17441 | 7401 |
| 9.5 | 10403 | 149 | 0 | 59 | 4816 | 10515 | 4197 | 28511 | 42052 | 22274 | 34478 | 32803 | 14171 |
| 10 | 45981 | 6805 | 6214 | 10336 | 42771 | 50371 | 77985 | 48993 | 84777 | 64625 | 87643 | 82833 | 62149 |
| 10.5 | 11219 | 2496 | 991 | 89 | 12997 | 18524 | 15857 | 39368 | 74597 | 78029 | 116482 | 146784 | 59732 |
| 11 | 80343 | 13490 | 22596 | 20726 | 70722 | 102433 | 146891 | 124892 | 113451 | 165533 | 173577 | 216845 | 136324 |
| 11.5 | 20896 | 8800 | 4596 | 134 | 20196 | 23420 | 33451 | 41748 | 77149 | 153102 | 167833 | 239961 | 136822 |
| 12 | 131088 | 26911 | 26678 | 33536 | 72184 | 151918 | 176450 | 161374 | 119675 | 258220 | 259710 | 231571 | 233475 |
| 12.5 | 48070 | 17215 | 10107 | 2168 | 18216 | 40564 | 82783 | 68176 | 68806 | 187290 | 162943 | 173256 | 168839 |
| 13 | 139936 | 55650 | 39720 | 50460 | 87495 | 160679 | 171794 | 139321 | 108407 | 236853 | 221599 | 153057 | 157848 |
| 13.5 | 58705 | 22746 | 15265 | 7561 | 22806 | 94030 | 109675 | 80071 | 70140 | 125859 | 114969 | 97168 | 134105 |
| 14 | 92953 | 67257 | 45784 | 75689 | 73532 | 150026 | 102760 | 86891 | 73763 | 113020 | 105618 | 52177 | 129028 |
| 14.5 | 30214 | 40886 | 14656 | 12329 | 16803 | 94433 | 53896 | 48570 | 51361 | 47668 | 45384 | 17582 | 76380 |
| 15 | 28498 | 59859 | 29807 | 55679 | 35197 | 83387 | 32782 | 30050 | 41256 | 30757 | 25444 | 6305 | 45166 |
| 15.5 | 7760 | 29704 | 8492 | 11650 | 5618 | 42715 | 12064 | 16307 | 20717 | 11550 | 6717 | 1169 | 12071 |
| 16 | 3612 | 21208 | 11464 | 23185 | 7618 | 18640 | 3955 | 3994 | 11400 | 3596 | 1938 | 51 | 4013 |
| 16.5 | 320 | 8166 | 3001 | 4651 | 646 | 5730 | 735 | 1139 | 3063 | 43 | 449 | 0 | 514 |
| 17 | 0 | 2724 | 2549 | 3474 | 788 | 1057 | 253 | 266 | 832 | 94 | 74 | 0 | 0 |
| 17.5 | 0 | 428 | 371 | 199 | 31 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18 | 0 | 14 | 136 | 391 | 99 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18.5 | 0 | 0 | 4 | 0 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 19 | 0 | 0 | 0 | 49 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Length structure observed in the surveys (2004-2009) included individuals from 2 cm to 18 cm . The most recorded individuals belonged to sizes between 8 cm and 13 cm (Table 5.1.2.21.). Since 2009, the length structure observed by surveys indicated more abundance of sizes from 10 cm to 12 cm ) (Table 5.1.2.22).

Table 5.1.2.21. Length structure of anchovy recorded during the PELMED survey program in GSA 06 and 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 2 | 0 | 0 | 0 | 0 | 0 | 348 |
| 2.5 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | 0 | 0 | 0 | 0 | 0 | 813 |
| 3.5 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | 0 | 0 | 0 | 0 | 0 | 1053 |
| 4.5 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | 0 | 0 | 0 | 0 | 1744 | 5100 |
| 5.5 | 557 | 2107 | 0 | 0 | 934 | 0 |
| 6 | 371 | 2447 | 236 | 0 | 4535 | 11197 |
| 6.5 | 1484 | 12074 | 236 | 0 | 9665 | 9921 |
| 7 | 2412 | 27250 | 236 | 1384 | 27962 | 242886 |
| 7.5 | 35111 | 65745 | 3517 | 746 | 60516 | 405636 |
| 8 | 190076 | 91633 | 4768 | 7178 | 119142 | 716241 |
| 8.5 | 445742 | 151145 | 15439 | 28504 | 150633 | 751598 |
| 9 | 607614 | 267462 | 84448 | 114215 | 150480 | 801389 |
| 9.5 | 590844 | 204337 | 87859 | 278520 | 176357 | 947786 |
| 10 | 662633 | 483927 | 93970 | 184803 | 112959 | 929895 |
| 10.5 | 521470 | 212324 | 71921 | 171197 | 61143 | 607392 |
| 11 | 1114896 | 801625 | 79070 | 237900 | 42500 | 380595 |
| 11.5 | 208110 | 111171 | 78473 | 203762 | 10609 | 108242 |
| 12 | 1351810 | 819490 | 75121 | 361208 | 47527 | 574532 |
| 12.5 | 33426 | 58962 | 42321 | 64815 | 5859 | 9712 |
| 13 | 472310 | 425691 | 310023 | 509659 | 143630 | 740151 |
| 13.5 | 29406 | 33404 | 29102 | 9769 | 1503 | 15136 |
| 14 | 74792 | 181754 | 295731 | 402042 | 236556 | 315713 |
| 14.5 | 16060 | 32385 | 16671 | 10988 | 3679 | 0 |
| 15 | 12696 | 79801 | 140364 | 225751 | 240200 | 82912 |
| 15.5 | 4750 | 10491 | 7180 | 10832 | 5259 | 0 |
| 16 | 0 | 17849 | 102377 | 88244 | 69887 | 6537 |
| 16.5 | 0 | 1974 | 2383 | 2088 | 742 | 0 |
| 17 | 0 | 0 | 20684 | 25275 | 0 | 1757 |
| 17.5 | 0 | 0 | 0 | 1544 | 0 | 0 |
| 18 | 0 | 0 | 0 | 851 | 0 | 0 |
|  |  | 0 | 0 | 0 | 0 | 0 |
|  | 0 | 0 | 0 | 0 | 0 | 0 |

Table 5.1.2.22. Length structure of anchovy recorded during the PELMED survey program in GSA 06 and 07. Number of individuals by length represent the total catch each year. Source: Spanish Institute of Oceanography. Length is expressed in centimeters.

| Length | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 5 | 8329 | 0 | 1661 | 0 | 0 | 0 | 802 | 0 |
| 5.5 | 330 | 0 | 1775 | 0 | 0 | 5211 | 0 | 0 |
| 6 | 9389 | 0 | 4779 | 0 | 56 | 7186 | 0 | 119 |
| 6.5 | 0 | 0 | 8205 | 0 | 0 | 2882 | 216 | 0 |
| 7 | 8112 | 0 | 7183 | 0 | 0 | 7250 | 2770 | 715 |
| 7.5 | 29577 | 0 | 1664 | 0 | 1826 | 24042 | 863 | 0 |
| 8 | 90990 | 0 | 1980 | 7780 | 73622 | 134626 | 22138 | 2742 |
| 8.5 | 134951 | 3009 | 9106 | 33244 | 268860 | 160254 | 49531 | 9756 |
| 9 | 139033 | 41157 | 226091 | 645264 | 1152270 | 907475 | 240311 | 10579 |
| 9.5 | 156028 | 40812 | 87265 | 502911 | 780615 | 537076 | 321212 | 52701 |
| 10 | 728491 | 938007 | 1380149 | 3440657 | 2518803 | 1938392 | 2319466 | 444548 |
| 10.5 | 308815 | 204892 | 112195 | 2568621 | 898879 | 1100840 | 1511908 | 531169 |
| 11 | 1431876 | 1513362 | 1263946 | 3789837 | 1330372 | 2664303 | 5287013 | 2270676 |
| 11.5 | 381553 | 200585 | 173683 | 1193542 | 680579 | 879224 | 2266685 | 1648102 |
| 12 | 1169765 | 662168 | 817957 | 945986 | 518690 | 1231415 | 2036386 | 1956748 |
| 12.5 | 284931 | 124242 | 132679 | 208554 | 217729 | 362552 | 759801 | 670078 |
| 13 | 654011 | 229377 | 274072 | 139542 | 119481 | 593727 | 427681 | 591934 |
| 13.5 | 138742 | 147375 | 124649 | 38075 | 47297 | 338336 | 132178 | 256868 |
| 14 | 123808 | 165911 | 128522 | 29058 | 9589 | 221031 | 60725 | 131738 |
| 14.5 | 41701 | 130447 | 76337 | 4047 | 3506 | 86638 | 7915 | 49637 |
| 15 | 38779 | 91870 | 43494 | 349 | 3099 | 39708 | 4440 | 19049 |
| 15.5 | 2253 | 33653 | 23768 | 0 | 451 | 9574 | 1408 | 5188 |
| 16 | 4172 | 16149 | 3420 | 0 | 0 | 5537 | 122 | 1592 |
| 16.5 | 74 | 2167 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17 | 74 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Given that raw data in otolith readings were not available to update the von Bertalanffy growth parameters of anchovy in GSA 07, the available information of otoliths readings in GSA 06 was borrowed to estimate the vBGP that were later used to estimate catch-at-age number in GSA 06 and 07 combined by slicing. The data and process used to estimate the vBGP of anchovy in GSA 06 are below explained. The Spanish Institute of Oceanography (IEO) has a large data set of otolith readings of anchovy in GSA 06 harvested by fisheries since 2005 ( $\mathrm{n}=8054$ ). Additionally, there are some otolith readings of larvae of this species from 1995 to 2003. The otolith readings obtained through two research projects; FIL in 1988 and 1989 ( $n=179$ ) and JUVALION in 2009 ( $n=58$ ) were
contributed by Dr. Isabel Palomera from the Marine Science Institute of Barcelona (ICM-CSIC). Additionally, Dr. Alberto Garcia of the IEO Malaga contributed several otolith readings of anchovy obtained from 1995 to 2003 (117 in 1995, 138 in 1997, 305 in 1998, 121 in 2000, 189 in 2001 and 118 in 2003). All these sources of information on growth were used to update the estimates of the von Bertalanffy growth parameters of anchovy. In order to merge the available information on growth, only were used those otolith readings derived from fisheries for the spawning peak of anchovy (June-August, $n=2901$ ). The updated estimates of the von Bertalanffy growth parameters were calculated by the Bayesian Von Bertalanffy Growth Model - B-VBGM (Catalan, 2018) (Table 5.1.1.22).

Table 5.1.2.23. von Bertalanffy growth parameters estimated by the B-VBGM - Bayesian Von Bertalanffy Growth Model (Catalan et al., 2018) as indicated in the deliverable 1.3.1.1. The vBGP were estimated using otolith readings from GSA6.

| Linf | K | t0 | n | period | method |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | 0.984 | -0.255 | 3510 | $1995-2003$ <br> (larvae) | B-BVGM |
|  |  |  |  | 2005-2017 <br> (adults) |  |

The deliverable 1.3.2.1 (Report on gonadosomatic index, size at first maturity and reproductive parameters) explored and updated the percentage of mature individuals at age. This information was used to set the maturity vector of the anchovy stock (Table 5.1.2.24). The fecundity of the species has presumably decreased because individuals are smaller than before 2010, the percentage of maturity at age zero was precautionarily set to 0 .

Table 5.1.2.24. Maturity vector used to set the maturity-at-age of anchovy in GSA 06 and 07.

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| Maturity | 0 | 0.98 | 0.99 | 1 |

The natural mortality used in recent stock assessments of anchovy is usually estimated with Gislason's first equation, among other reasons, because it produces an M-at-age vector with values around 1. However, this vector is produced when the vBGP has a very negative t0 (<-1.5). The updated vBGP obtained in this study to anchovy in GSA 06 and borrowed to anchovy in GSA 06 and 07 combined hold a t0 near zero (> -0.3). Consequently, the Gislason's estimator produces M -at-age for the most fished ages ( 0 and 1) with too high values (between 3.5 and 1) (see deliverable D.1.4.1), promoting that the stock is perceived as lower overexploited or even underexploited.

The updated vBGP of anchovy meets the biology rationale of a short-lived species, growing fast during the first year to follow a very low increase of size-at-age after this point. Thus, the t0 near zero makes sense to this species. In order to avoid too high values of $M$ for younger individuals, it was preferred to use the Prodbiom estimator of natural mortality (Abella et al., 1997) (Table 5.1.2.25). This estimator relies on the fact that losses of biomass associated to natural mortality are compensated by production (growth). Therefore, this estimator may be reasonable used to estimate the M of species where there is indications of changes of mortality with age, as small pelagic species.

Table 5.1.2.25. Natural mortality at age (M-at-age) of anchovy in GSA 06 and 07 estimated by Prodbiom

| Age | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: |
| M | 1.18 | 0.72 | 0.5 | 0.42 |

The reported catches of anchovy in GSA 06 and GSA 07 as combined stocks decreased from 2004 to 2006. Since 2007, the catches of this species has been increasing until reach the highest value in 2014 (19953 tons). These results mean that catches of sardine in recent years (after 2009) represent $159 \%$ of catches of early years of the time series (before 2008). Because there is no reliable temporal information of discards and values are absent or very low for most of the available period of catches, the discards were assumed as zero.

Table 5.1.2.26. Catches of anchovy in GSA 06 and 07. Discards are assumed as zero tons, meaning that catches correspond to the reported landings.


Weight at age used to estimate the total catches at age of anchovy in GSA 06 and GSA 07 as combined stocks. Data was obtained as average of the weight at age by year in GSA 06 and GSA 07 (Table 5.1.2.27).

Table 5.1.2.27. Weight at age of anchovy in GSA 06 and 07. Data obtained from the Spanish Institute of Oceanography

| Age | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0.0055 | 0.006 | 0.0075 | 0.008 | 0.007 | 0.0065 | 0.0065 | 0.0055 | 0.006 | 0.006 | 0.0055 | 0.0075 | 0.0105 |
| 1 | 0.013 | 0.0135 | 0.0145 | 0.015 | 0.0115 | 0.0115 | 0.0115 | 0.011 | 0.01 | 0.0105 | 0.011 | 0.0135 | 0.0195 |
| 2 | 0.018 | 0.019 | 0.023 | 0.019 | 0.0175 | 0.0165 | 0.015 | 0.0155 | 0.014 | 0.0145 | 0.0145 | 0.02 | 0.029 |
| 3 | 0.0215 | 0.0225 | 0.029 | 0.025 | 0.021 | 0.023 | 0.0205 | 0.0195 | 0.018 | 0.018 | 0.018 | 0.027 | 0.037 |
| 4 | 0.024 | 0.024 | 0.037 | 0.028 | 0.021 | 0.025 | 0.022 | 0.02 | 0.018 | 0.019 | 0.016 | 0.036 | 0.055 |

The fishery of anchovy in GSA 06 and GSA 07 as combined stocks mainly harvest individuals of ages 0 and 1, while those individuals of age two are scarce represented (Fig. 5.1.2.19). There was a trend to show higher number of individuals after 2007.

Fig. 5.1.2.19. Number at age of anchovy individuals in GSA 06 and 07 after slicing using the updated vBGP above shown. Plus group has been set for age 2 .


### 5.1.2.3.2 Stock assessment

The anchovy stock (GSA 06 and GSA 07 combined) was assessed using different source of data as showed in the Fig. 4.1.2.1. The catch-at-age matrix obtained from ALK (and $M$ estimated by Gislason) suggested that $F$ has decreased since 2004. Accordingly, the stock size started to increase since early years, showing higher increasing since 2010. The catch-at-age matrix derived from slicing using the updated vBGP suggested that $F$ has increased along the time series until 2014, when started to decrease (Fig. 5.1.2.20). Once F decreased (after 2015), the stock size seemed to increase, although it is a short time to be confident about that trend. Therefore, both sources of catch-at-age matrix led to opposite results on the stock trend. Diagnostics provided by the stock assessment and rationale related to the life-history parameters data were used as indications to select the stock assessment model based on the slicing instead of ALK to assess the status of the combined stock of anchovy in GSA 06 and GSA 07.


Fig. 5.1.2.20. Results of the stock assessment of the anchovy GSA 06 and GSA 07 (combined stocks) when catch-at-age matrix is obtained from ALK data aggregated in two periods (2002-2010 and 2011-2016) (ALK_2periods_G), sliced using the updated vBGP (vBGP_updated_P).

The stock assessment model set the fishing mortality submodel (fmodel) using a separable model in which age is modeled as dummy variable and year effects are modelled as (unpenalised) thin plate spline (Table 5.1.2.28). It should be assumed, as occurred in sardine that ages older than one have the same F than age one because the gear can entirely select all ages older than one. However, the stock assessment model produced poor diagnostics when this setting was used. Then, it was accepted that older ages might have lower F than the most fished ages. However, how the Fbar (the most fished ages) exclude older ages than 1, the perceived harvesting was not affected. The catchability submodel (qmod) was only related to the Medias and Pelmed index combined (since 2009), because the stock assessment diagnostics were worse when the index data before 2009 was included. It was considered that catchability at a specific age to be dependent on catchability on the other ages. It was also explored the trend in catchability with time because the index data did not properly fit the catch data. Finally, the stock-recruitment submodel used a smooth model with 9 degrees of freedom (Table 5.1.2.28).

Table 5.1.2.28. Submodels setting of the the sca method

| fmod $=\sim$ factor(age) $+s($ year, $k=6)$ |
| :--- |
| qmod $=$ list( $\sim s($ age, $k=3)+$ year $)$ |
| srmod $=\sim s(y e a r, k=9)$ |
| fit <- sca(stk, medias+pelmed, fmodel=fmod,qmodel=qmod,srmodel=srmod) |

The stock assessment of anchovy GSA 06 and GSA 07 as combined stock produced low residuals (most of them below 1.5) (Fig. 5.1.2.21). The fitted and observed catch-at-age were comparable (

Fig. 5.1.2.22). The fitted and observed index at age were variable among years, in general, showing the same trend (Fig. 5.1.2.23). Fishing mortality was a little high for age 1 from 2013 to 2014, meaning that Fbar (0-1) could be slightly affected (Fig. 5.1.2.24). Simulation of submodel settings produce reasonable uncertainty (Fig. 5.1.2.25). The retrospective analysis suggest that the stock size is increasing while fishing mortality tends to decrease (Fig. 5.1.2.26). However, if one or more years are eliminated of the analysis, the fishing mortality trend may change.

Fig. 5.1.2.21. Log residuals of catch and abundance indices obtained in the stock assessment of the anchovy in GSA 06 and 07.


Fig. 5.1.2.22. Fitted and observed catch-at-age obtained in the stock assessment of the anchovy in GSA 06 and 07.


Fig. 5.1.2.23. Fitted and observed index at age obtained in the stock assessment of the anchovy in GSA 06 and 07.


Fig. 5.1.2.24. Fishing mortality at age and year obtained in the stock assessment of the anchovy in GSA 06 and 07.


Fig. 5.1.2.25. Simulation of the fitted object that holds the stock assessment results of anchovy in GSA 06 and 07.

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Fig. 5.1.2.26. Retrospective analysis of the stock assessment results of anchovy in GSA 06 and 07 when the last three, two or one years are omitted of the analysis.


### 5.1.2.3.3 Reference points

To estimate Blim (ICES, 2017) for anchovy in GSA 06 and GSA 07 as combined stocks, was tried a segmented regression stock recruitment relationship (Fig. 5.1.2.27). However, Blim estimated was very low (< 1000 tons). Therefore, Blim was calculated as the geometric mean of SSB ( 4289 tons). Blim was used to estimate Bpa. Bpa $=\operatorname{Blim} * \exp (1.645 * \sigma)$, where $\sigma$ was set equal to 0.2 (ICES, 2013). Thus, Bpa was estimated as 5960 tons. Fmsy was calculated as that value of fishing mortality that produces an exploitation rate ( $\mathrm{E}=\mathrm{F} / \mathrm{Z}$ ) equal to 0.4.

Fig. 5.1.2.27. Segmented regression performed with the $R$ package msy ("ices-tools-prod/msy") used to estimate Blim of the anchovy in GSA 06 and 07.

5.1.2.3.4 Kobe plot

The combined stocks of anchovy in GSA 06 and GSA 07 are highly overexploited (SSB below Blim) and overfishing is occurring since 2008 (Fig. 5.1.2.28). From 2014 to 2016, the fishing mortality is decreasing but at levels that do not promote that overfishing stops nor the stock size overcome Bpa.

Fig. 5.1.2.28. Kobe plot for the anchovy stock in GSA 06 and 07using Blim, Bpa and E of Patterson as reference points.


### 5.1.2.3.5 Summary

| Bpa |  |  |  |  |  |  |  |  | $\begin{gathered} \text { Fmsy } \\ \hline 0.4 \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 5960.00 |  |  |  |  |  |  |  |
| Year | recruits | ssb | f0 | $f 1$ | f2 | harvest <br> (f0-1) | Observed catch | model <br> catch | E |
| 2004 | 5274990 | 3424 | 0.22 | 1.88 | 0.75 | 1.05 | 11790.90 | 11727 | 0.59 |
| 2005 | 3363099 | 8928 | 0.08 | 0.71 | 0.28 | 0.40 | 8462.90 | 7444 | 0.35 |
| 2006 | 2504631 | 13616 | 0.06 | 0.47 | 0.19 | 0.26 | 4776.30 | 5416 | 0.27 |
| 2007 | 2830169 | 11883 | 0.08 | 0.67 | 0.27 | 0.37 | 6280.90 | 6611 | 0.36 |
| 2008 | 4090983 | 7032 | 0.17 | 1.44 | 0.57 | 0.81 | 7339.40 | 10318 | 0.55 |
| 2009 | 4631903 | 4021 | 0.32 | 2.70 | 1.08 | 1.51 | 16955.20 | 16139 | 0.67 |
| 2010 | 4142087 | 1913 | 0.41 | 3.43 | 1.37 | 1.92 | 14527.10 | 15423 | 0.68 |
| 2011 | 4329422 | 1174 | 0.43 | 3.58 | 1.43 | 2.00 | 12965.60 | 12486 | 0.66 |
| 2012 | 5234349 | 770 | 0.50 | 4.17 | 1.66 | 2.34 | 13006.70 | 14558 | 0.69 |
| 2013 | 5460652 | 428 | 0.67 | 5.62 | 2.24 | 3.15 | 19659.90 | 18547 | 0.77 |
| 2014 | 4917931 | 225 | 0.79 | 6.64 | 2.65 | 3.72 | 19082.80 | 17287 | 0.79 |
| 2015 | 4524748 | 413 | 0.64 | 5.34 | 2.13 | 2.99 | 17393.30 | 17496 | 0.70 |
| 2016 | 4350350 | 1936 | 0.38 | 3.14 | 1.25 | 1.76 | 18739.80 | 19328 | 0.47 |

### 5.1 Two-stage biomass model - 2-stage <br> 5.1.1 European sardine (Sardina pilchardus)

### 5.1.1.1 Sardine GSA 06

Table 5.1.1.1 shows the data for the two surveys and the landings reported for sardine in GSA 06. Table 5.1.1.2 shows the model parameters. Model parameter $g$ represents the net growth of the population (in biomass) and was derived using Gras et al. (2014) suggested method which takes into account natural mortality ( M ) and the von Bertalanffy growth parameters. The latter were the updated parameters shown in this report. Note that the validity of the value of $g=0.497$ introduced was compared to the likelihood profile of $g$ in the range $0.1-1.5$, which produced minimum values in the range 0.25 to 0.60 .

Table 5.1.1.1. Data for the application of the two-stage model to sardine in GSA06. Note that survey indices are scaled to mean 0 and unit variance.

| year | Survey1 <br> Adults | Survey1 <br> Recruits | Survey2 <br> Adults | Survey2 <br> Recruits | landings (t) |
| ---: | ---: | ---: | :--- | ---: | ---: |
| $\mathbf{2 0 0 4}$ | 1.05281 | 2.04681 |  |  | 22833 |
| $\mathbf{2 0 0 5}$ | 1.57545 | 1.39979 |  |  | 20983 |
| $\mathbf{2 0 0 6}$ | 1.96584 | 1.06652 |  |  | 27145 |
| $\mathbf{2 0 0 7}$ | 0.76706 | 0.37872 |  |  | 22911 |
| $\mathbf{2 0 0 8}$ | 0.15189 | 0.48841 |  |  | 16186 |
| $\mathbf{2 0 0 9}$ | 0.48694 | 0.61975 | 0.24929 | 1.00963 | 8997 |
| $\mathbf{2 0 1 0}$ |  |  | 0.71378 | 0.54810 | 8752 |
| $\mathbf{2 0 1 1}$ |  |  | 1.77249 | 1.05695 | 12135 |
| $\mathbf{2 0 1 2}$ |  |  | 0.72121 | 1.59694 | 9193 |
| $\mathbf{2 0 1 3}$ |  |  | 0.87361 | 1.78109 | 9734 |
| $\mathbf{2 0 1 4}$ |  |  | 0.35185 | 0.19062 | 9659 |
| $\mathbf{2 0 1 5}$ |  |  | 0.93191 | 0.94197 | 6309 |
| $\mathbf{2 0 1 6}$ |  |  | 1.22179 | 1.02290 | 9934 |
| $\mathbf{2 0 1 7}$ |  |  |  | 2.16408 | 0.85181 |

Table 5.1.1.2. Mortality, growth and timing of indices (fraction of the year):

| Natural mortality <br> (weighted average) | $0.65 \mathrm{yr}^{-1}$ |
| :--- | :--- |
| g (Gras et al., 2014 <br> method) | $0.497 \mathrm{yr}^{-1}$ |
| Survey1 Juveniles | 0.0 |
| Survey1 Adults | 0.0 |
| Survey2 Juveniles | 0.5 |
| Survey2 Adults | 0.5 |
| Fishing pulse | 0.5 |

Table 5.1.1.3. Initial starting values for estimates of the population and the catchability

|  | Biomass | Catchability of survey |
| :--- | :--- | :--- |
| Juveniles | 30000 (range explored: <br> 15000 to 70000 ) | $\mathrm{k}_{\mathrm{J}}=\exp (-9)=0.000122341$ |
| Adults | 80000 (range explored <br> 75000 to 150000) | $\mathrm{K}_{\mathrm{A}}=\exp (-9)=0.000122341$ |

The results of the two-stage biomass model for sardine in GSA06 with the data and parameters given are shown in Fig. 5.1.1.1. The series of landings shows an important decline from 2008, with a decrease by more than half from the earlier values of 20-25 000 t annually to around 10000 t annually (and only 5000 in the last year of the series 2017). This strong decrease in biomass coincides with a high exploitation rate pulse in 2006-2008, when the exploitation rate increased over $40 \%$. Note also how during the period of low landings and low biomass (2008-2017) practically all years show higher biomass of age 0 individuals (recruits) than age $1+$ (adults). No consistent stock / recruitment relationship is apparent in the series (Fig. 5.1.1.1 bottom right).

Fig. 5.1.1.1. Sardine in GSA06. Top left: Landings (1000 t). Top right: Biomass ( 1000 t ) of recruits (red line), adult (blue line) and total. Bottom left: exploitation rate (landings / biomass). Bottom right: spawning stock (adults) - recruitment.

5.1.1.2 Sardine GSA 07

Table 5.1.1.4 shows the index data for the summer survey and the landings reported for sardine in GSA 07. Table 5.1.1.5 shows the model parameters. The value of the model parameter $g$ derived using Gras et al. (2014) was 0.497 , which was not within the range of minimum values produced by the likelihood profile (tested over the range $0.1-1.5$ ). The minimum value obtained was 0.7 and it was used as $g$ in the model. Note that this result suggests that sardine in GSA07 grows faster than sardine in GSA 06.

Table 5.1.1.4. Data for the application of the two-stage model to sardine in GSA 07. Note that survey indices are scaled to mean 0 and unit variance.

| year | Survey2 <br> Adults |  | Survey2 <br> Recruits |
| ---: | ---: | ---: | ---: |
| $\mathbf{l}$ landings |  |  |  |
| $\mathbf{( t )}$ |  |  |  |, | $\mathbf{2 0 0 2}$ | 1.68044 | 0.38567 | 9534.5 |
| ---: | ---: | ---: | ---: |
| $\mathbf{2 0 0 3}$ | 1.89393 | 0.48532 | 5788.0 |
| $\mathbf{2 0 0 4}$ | 2.91150 | 0.98052 | 8540.5 |
| $\mathbf{2 0 0 5}$ | 3.55410 | 0.83819 | 10305.5 |
| $\mathbf{2 0 0 6}$ | 1.39654 | 0.01191 | 10736.6 |
| $\mathbf{2 0 0 7}$ | 1.14317 | 0.00065 | 13739.3 |
| $\mathbf{2 0 0 8}$ | 0.59874 | 1.59922 | 6934.2 |
| $\mathbf{2 0 0 9}$ | 0.22274 | 1.36588 | 7418.0 |
| $\mathbf{2 0 1 0}$ | 0.21854 | 1.46512 | 1826.3 |
| $\mathbf{2 0 1 1}$ | 0.17979 | 1.01228 | 819.3 |
| $\mathbf{2 0 1 2}$ | 0.43379 | 1.62331 | 642.2 |
| $\mathbf{2 0 1 3}$ | 0.13624 | 1.47545 | 1036.3 |
| $\mathbf{2 0 1 4}$ | 0.29740 | 0.95556 | 632.8 |
| $\mathbf{2 0 1 5}$ | 0.20323 | 1.28509 | 347.5 |
| $\mathbf{2 0 1 6}$ | 0.12985 | 1.51583 | 819.9 |

Table 5.1.1.5. Mortality, growth and timing of indices (fraction of the year):

| Natural mortality <br> (weighted average) | $0.65 \mathrm{yr}^{-1}$ |
| :--- | :--- |
| $\mathrm{g} \quad$ (likelihood <br> profile) | $0.7 \mathrm{yr}^{-1}$ |
| Survey2 Juveniles | 0.5 |
| Survey2 Adults | 0.5 |
| Fishing pulse | 0.5 |

Table 5.1.1.6. Initial starting values for estimates of the population and the catchability

|  | Biomass | Catchability of survey |
| :--- | :--- | :--- |
| Juveniles | 40000 (range explored: <br> 15000 to 70000 ) | $\mathrm{k}_{\mathrm{J}}=\exp (-9)=0.000122341$ |
| Adults | 80000 (range explored <br> 50000 to 150000 ) | $\mathrm{K}_{\mathrm{A}}=\exp (-9)=0.000122341$ |

The results of the two-stage model for sardine in GSA 07 are given in Fig. 5.1.1.2The landings fluctuated between 6 and 14000 t between 2002 and 2009 and later decreased to levels below 2000 t. However, note how the high landings of 2007 coincided with low values of biomass and very high exploitation rates ( $80 \%$ ). The high value of landings in the early period are attributable to a large extent to the high recruitment of 2003-2005. Since 2006, recruitment has fluctuated around 50000 t , but the adult fraction of the population has continued at very low levels.

Fig. 5.1.1.2. Sardine in GSA07. Top left: Landings ( 1000 t ). Top right: Biomass ( 1000 t ) of recruits (red line), adult (blue line) and total. Bottom left: exploitation rate (landings / biomass). Bottom right: spawning stock (adults) - recruitment.


### 5.1.1.3 Sardine GSA 6\&7

Table 5.1.1.7. shows the index data for the summer survey and the landings reported for sardine in GSAs 06+07 combined. Note that data could be combined for the period 2009-2016 only because acoustic surveys in GSA06 were carried out in winter prior to that date. Table 5.1.1.8 shows the model parameters. Here the $g$ parameters used was 0.7 as in the previous section, and was consistent with range of minimum values produced by the likelihood function.

Table 5.1.1.7. Data for the application of the two-stage model to sardine in GSAs 06+07. Note that survey indices are scaled to mean 0 and unit variance.

| year | Survey2 <br> Adults |  | Survey2 <br> Recruits |
| ---: | ---: | ---: | ---: |
| $\mathbf{2 0 0 9}$ | 0.75160 | 1.01095 | landings (t) |
| $\mathbf{2 0 1 0}$ | 0.91870 | 0.90265 | 16415.0 |
| $\mathbf{2 0 1 1}$ | 1.21372 | 0.85412 | 12954.3 |
| $\mathbf{2 0 1 2}$ | 1.55483 | 1.33638 | 9835.2 |
| $\mathbf{2 0 1 3}$ | 0.73834 | 1.32665 | 10770.3 |
| $\mathbf{2 0 1 4}$ | 1.01087 | 0.53202 | 10291.8 |
| $\mathbf{2 0 1 5}$ | 0.95792 | 0.94845 | 6656.5 |
| $\mathbf{2 0 1 6}$ | 0.85404 | 1.08878 | 10753.9 |

Table 5.1.1.8. Mortality, growth and timing of indices (fraction of the year):

| Natural mortality <br> (weighted average) | $0.65 \mathrm{yr}^{-1}$ |
| :--- | :--- |
| $\mathrm{g} \quad$ (likelihood <br> profile) | $0.7 \mathrm{yr}^{-1}$ |
| Survey2 Juveniles | 0.5 |
| Survey2 Adults | 0.5 |
| Fishing pulse | 0.5 |

Table 5.1.1.9. Initial starting values for estimates of the population and the catchability

|  | Biomass | Catchability of survey |
| :--- | :--- | :--- |
| Juveniles | 30000 (range explored: <br> 15000 to 70000 ) | $\mathrm{k}_{\mathrm{J}}=\exp (-9)=0.000122341$ |
| Adults | 70000 (range explored <br> 50000 to 150000) | $\mathrm{K}_{\mathrm{A}}=\exp (-9)=0.000122341$ |

The results of the two-stage model for sardine in GSAs $06+07$ are given in Fig. 5.1.1.3. All the quantities (landings, biomass, and exploitation rate) decreased slowly over the period 2009-2016, repeating the same trends for the same period in the two GSAs separately. Note however that the values of biomass estimated for the two combined areas are lower than the sum of biomasses estimated for the two areas. The combined analysis does not add more information than the separate analyses, probably because the data series is shorter.

Fig. 5.1.1.3. Sardine in GSAs 06+07. Top left: Landings (1000 t). Top right: Biomass ( 1000 t ) of recruits (red line), adult (blue line) and total. Bottom left: exploitation rate (landings / biomass). Bottom right: spawning stock (adults) - recruitment.


### 5.1.2 European anchovy (Engraulis encrasicolus)

### 5.1.2.1 Anchovy GSA 06

Table 5.1.2.1 shows the data for the two surveys and the landings reported for anchovy in GSA 06. Table 5.1.2.2 shows the model parameters. Model parameter $g$ was derived using Gras et al. (2014) method which takes into account natural mortality (M) and the von Bertalanffy growth parameters. The latter were the updated parameters shown in this report. Note that the validity of the value of $g=1.222$ introduced was compared to the likelihood profile of $g$ in the range $0.1-1.5$, which produced minimum values in the range 1.15 to 1.45 .

Table 5.1.2.1. Data for the application of the two-stage model to anchovy in GSA 06. Note that survey indices are scaled to mean 0 and unit variance.

| year | Survey1 <br> Adults | Survey1 <br> Recruits | Survey2 <br> Adults | Survey2 <br> Recruits | landings <br> (t) |
| ---: | ---: | ---: | :--- | ---: | ---: |
| $\mathbf{2 0 0 3}$ | 0.88004 | 1.41229 |  |  | 8538 |
| $\mathbf{2 0 0 4}$ | 2.10079 | 0.62760 |  |  | 8097 |
| $\mathbf{2 0 0 5}$ | 1.36979 | 0.22937 |  |  | 6216 |
| $\mathbf{2 0 0 6}$ | 1.22872 | 0.52075 |  |  | 3096 |
| $\mathbf{2 0 0 7}$ | 0.27142 | 0.35555 |  |  | 2820 |
| $\mathbf{2 0 0 8}$ | 0.32608 | 2.23565 |  |  | 3532 |
| $\mathbf{2 0 0 9}$ | 0.82315 | 1.61879 | 0.64891 | 0.48096 | 12137 |
| $\mathbf{2 0 1 0}$ |  |  | 1.02818 | 0.21109 | 9886 |
| $\mathbf{2 0 1 1}$ |  |  | 0.79343 | 0.21124 | 9534 |
| $\mathbf{2 0 1 2}$ |  |  | 0.22081 | 1.63896 | 11434 |
| $\mathbf{2 0 1 3}$ |  |  | 0.26888 | 1.14356 | 17178 |
| $\mathbf{2 0 1 4}$ |  |  | 1.73855 | 1.24760 | 16850 |
| $\mathbf{2 0 1 5}$ |  |  | 0.89402 | 1.92983 | 16600 |
| $\mathbf{2 0 1 6}$ |  |  | 1.16159 | 1.12152 | 17502 |
| $\mathbf{2 0 1 7}$ |  |  | 2.24562 | 1.01524 | 18242 |

Table 5.1.2.2. Mortality, growth and timing of indices (fraction of the year):

| Natural mortality <br> (weighted average) | $0.83 \mathrm{yr}^{-1}$ |
| :--- | :--- |


| g (Gras et al., 2014 <br> method) | $1.222 \mathrm{yr}^{-1}$ |
| :--- | :--- |
| Survey1 Juveniles | 0.5 |
| Survey1 Adults | 0.5 |
| Survey2 Juveniles | 0.0 |
| Survey2 Adults | 0.0 |
| Fishing pulse | 0.5 |

Table 5.1.2.3. Initial starting values for estimates of the population and the catchability

|  | Biomass | Catchability of survey |
| :--- | :--- | :--- |
| Juveniles | 40000 (range explored: <br> 15000 to 70000 ) | $\mathrm{k}_{\mathrm{J}}=\exp (-9)=0.000122341$ |
| Adults | 100000 (range explored <br> 50000 to 150000) | $\mathrm{K}_{\mathrm{A}}=\exp (-9)=0.000122341$ |

The results of the two-stage model for anchovy in GSA 06 are given in Fig. 5.1.2.1. In the initial period 2003-2008 the important decreasing landings correlated with a sharp decrease in the stock biomass, from ca. 150000 t to ca. 30000 t. Exploitation rate was not excessive, fluctuating around $20 \%$. However, after 2009 exploitation rate increased, stabilizing around $40 \%$ in the later years of the series and resulting in the important increase in landings observed. Note also how the very high exploitation rate of 2011 resulted in reduced biomass but not particularly in high landings for that year. In the biomass series it is interesting to highlight also that the biomass of adults and recruits was similar in the initial period 2003-2008 but after 2009 the population is dominated by recruits. Because this species is exploited jointly with sardine, it is possible that the low productivity of the sardine stock since 2009 (cf.Fig. 5.1.1.1) can help explain the high exploitation rate and landings of anchovy, as the fleet switched to a more productive alternative.

Fig. 5.1.2.1. Anchovy in GSA 06. Top left: Landings (1000 t). Top right: Biomass (1000 t) of recruits (red line), adult (blue line) and total. Bottom left: exploitation rate (landings / biomass). Bottom right: spawning stock (adults) - recruitment.


### 5.1.2.2 Anchovy GSA 07

Table 5.1.2.4 shows the data for the summer survey and the landings reported for anchovy in GSA 07. Table 5.1.2.5 shows the model parameters. Contrary to sardine in GSA 07, here the growth parameter $g$ derived from Gras et al. (2014) method was consistent with the values produced by the minimization of the likelihood function.

Table 5.1.2.4.Data for the application of the two-stage model to anchovy in GSA 07. Note that survey indices are scaled to mean 0 and unit variance.

| year | Survey2 <br> Adults | Survey2 Recruits | landings (t) |
| :---: | :---: | :---: | :---: |
| 2002 | 4.06425 | 0.45690 | 7695.3 |
| 2003 | 0.90925 | 0.93534 | 6968.0 |
| 2004 | 1.00667 | 0.76356 | 5447.9 |
| 2005 | 1.37205 | 0.01308 | 2760.9 |
| 2006 | 2.02252 | 0.12566 | 2313.3 |
| 2007 | 1.16833 | 0.01758 | 4367.9 |
| 2008 | 1.95625 | 0.26198 | 4215.4 |
| 2009 | 1.00004 | 1.14160 | 4937.2 |
| 2010 | 0.24701 | 1.23736 | 4617.1 |
| 2011 | 0.26644 | 1.47020 | 3497.6 |
| 2012 | 0.11467 | 2.35738 | 1572.7 |
| 2013 | 0.01233 | 1.24405 | 2481.9 |
| 2014 | 0.26748 | 1.70664 | 2232.8 |
| 2015 | 0.08352 | 2.36517 | 793.3 |
| 2016 | 0.50921 | 0.90351 | 1237.8 |

Table 5.1.2.5. Mortality, growth and timing of indices (fraction of the year):

| Natural mortality <br> (weighted average) | $0.83 \mathrm{yr}^{-1}$ |
| :--- | :--- |
| g (Gras et al., 2014 <br> method) | $1.222 \mathrm{yr}^{-1}$ |
| Survey2 Juveniles | 0.0 |
| Survey2 Adults | 0.0 |
| Fishing pulse | 0.5 |

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Table 5.1.2.6. Initial starting values for estimates of the population and the catchability

|  | Biomass | Catchability of survey |
| :--- | :--- | :--- |
| Juveniles | 18000 (range explored: <br> 10000 to 30000$)$ | $\mathrm{k}_{\mathrm{J}}=\exp (-9)=0.000122341$ |
| Adults | 20000 (range explored <br> 10000 to 40000) | $\mathrm{K}_{\mathrm{A}}=\exp (-9)=0.000122341$ |

The results of the two-stage model for anchovy in GSA 07 are shown in Fig. 5.1.2.2. In the period 2002-2006 the landings decreased progressively with moderate exploitation rates (20-25\%), with relatively high biomass in the first 3 years of the series, particularly the recruitment peak of 20032004. From 2007 to 2011 the exploitation rate was above $40 \%$ every year and the biomass decreased low levels, particularly that of adults. Since 2005 the stock is dominated by the recruit fraction. The stock biomass is increasing in the last 3-4 years of the data series, although landings continue to decrease following the low exploitation rate of recent years.

Fig. 5.1.2.2. Anchovy in GSA 07. Top left: Landings ( 1000 t ). Top right: Biomass ( 1000 t ) of recruits (red line), adult (blue line) and total. Bottom left: exploitation rate (landings / biomass). Bottom right: spawning stock (adults) - recruitment.

| ANE GSA 07 | ANE GSA 07 |
| :---: | :---: |
|  |  |
| ANE GSA 07 | ANE GSA 07 |
|  |  |

### 5.1.2.3 Anchovy GSA 6\&7

Table 3.2.2.16 shows the index data for the summer survey and the landings reported for anchovy in GSAs 06+07 combined. Note that data could be combined for the period 2009-2016 only because acoustic surveys in GSA06 were carried out in winter prior to that date. Table 5.1.2.7 shows the model parameters. Here the $g$ parameters used was 1.222 , as in the previous sections, and was consistent with range of minimum values produced by the likelihood function.

Table 5.1.2.7. Data for the application of the two-stage model to anchovy in GSAs $06+07$. Note that survey indices are scaled to mean 0 and unit variance.

| year |  | Survey2 <br> Adults | Survey2 <br> Recruits |
| ---: | ---: | ---: | ---: | landings (t)

Table 5.1.2.8. Mortality, growth and timing of indices (fraction of the year):

| Natural mortality <br> (weighted average) | $0.83 \mathrm{yr}^{-1}$ |
| :--- | :--- |
| g (Gras et al., 2014 <br> method) | $1.222 \mathrm{yr}^{-1}$ |
| Survey2 Juveniles | 0.0 |
| Survey2 Adults | 0.0 |
| Fishing pulse | 0.5 |

Table 5.1.2.9. Initial starting values for estimates of the population and the catchability

|  | Biomass | Catchability of survey |
| :--- | :--- | :--- |


| Juveniles | 47500 (range explored: <br> 30000 to 80000 ) | $\mathrm{k}_{\mathrm{J}}=\exp (-9)=0.000122341$ |
| :--- | :--- | :--- |
| Adults | 95000 (range explored <br> 50000 to 120000) | $\mathrm{K}_{\mathrm{A}}=\exp (-9)=0.000122341$ |

Fig. 5.1.2.3 shows the result of the two-stage model for anchovy for the combined areas. As in the case of sardine, the results are not particularly informative because of the shortness of the series. Note however that the results reflect basically the dynamics of the anchovy stock in GSA06 for the period 2009-2016. The biomass of the combined stock is clearly dominated by the recruits fraction, increasing from 2009 to 2013 and decreasing in the last two years of the series. The estimated biomass values are higher in the combined stocks than the estimates for the two stocks separately. At the same time, exploitation rates are lower than the exploitation rates estimated separately for the two stocks, although more similar to the results of GSA06.

Fig. 5.1.2.3. Anchovy in GSAs 06+07. Top left: Landings ( 1000 t ). Top right: Biomass ( 1000 t ) of recruits (red line), adult (blue line) and total. Bottom left: exploitation rate (landings / biomass). Bottom right: spawning stock (adults) - recruitment.
(ANE GSAs 06+07

### 5.2 Consistency of two-stage model with VPA assessments

The quantities provided by the two-stage model and the results of VPA (a4a) assessments by species and GSA show in general that the trends in stock size of recruits and adults are comparable, while
the exploitation rates provided by the two methods are completely different. The following comparative charts assume that the number of recruits ( $R$ ) produced by a4a can be compared with the biomass of recruits (B1) produced by the two-stage model, while the spawning stock biomass (SSB) can be equated with the biomass of adults (B2). Similarly, the exploitation rate computed from the fishing mortality in a4a models can be compared with the exploitation rate derived from the two-stage models.

### 5.2.1 European sardine (Sardina pilchardus)

### 5.2.1.1 Sardine GSA 06

Both types of models reproduced approximately the same trends in recruits and adult stock size, with high stock size in the early years of the series and low stock size since 2007 approximately. Exploitation rates show very different patterns. The a4a model suggests increasing exploitation rate along the time series, while the two-stage model suggests a high exploitation rates in the years 2006-2008 and decreasing afterwards.

Fig. 5.2.1.1.
(2000

### 5.2.1.2 Sardine GSA 07

Similarly to GSA06, both models reproduce the important reduction in stock size in the latter part of the series (2006 onwards). The two-stage model estimates comparatively higher amount of recruits (and increasing in the last year of the series 2016) than the a4a model. Both models estimate
very low levels of adults since 2009 approximately. As in GSA06, the exploitation rates produced by the two models are very different.

Fig. 5.2.1.2.
(2000

### 5.2.1.3 Sardine GSA 6\&7

The stock assessment for the two areas combined produced approximately the same results than for the two areas separately. Note that the two-stage biomass model could only be run for the period 2009-2016, i.e. excluding 2004-2008 when the abundance index in GSA06 was based on winter surveys, and 2017 for which no data on landings was available for GSAO7.

Fig. 5.2.1.3.


### 5.2.2 European anchovy (Engraulis encrasicolus)

### 5.2.2.1 Anchovy GSA 06

The estimation of recruits number for anchovy in GSA06 with a4a is consistent with the estimation of recruits biomass with the two-stage model in the sense of overall increase in age 0 stock size, but the details vary. On the other hand, the estimates of adult stock size produced by the two methods is different in magnitude and trend. The two estimates of exploitation rates have certain similarities, i.e. lower exploitation rate up to 2008 estimated with the two methods and high exploitation rates since 2009, but the two lines are not perfectly in phase.

Fig. 5.2.2.1.
[2006
5.2.2.2 Anchovy GSA 07

The stock size estimates produced by the two methods differ in important details and both, in magnitude and trend. The only similarity is the increasing adult biomass (SSB or B2) in the last two years of the series. In terms of exploitation rates, both stock assessments provide different results, although they coincide in estimating very low exploitation rates in the last 1-2 years of the series.

Fig. 5.2.2.2.
(2000

### 5.2.2.3 Anchovy GSA 6\&7

As in the case of GSA07, both methods provide different estimates of stock size and exploitation rate. Note also that the data series used in the two-stage model is necessarily shorter than in the a4a model, for the same reasons given in GSA06+07 - Sardine.

## 6. Description of data gaps and recommendations

- When weight at age and catch-at-age numbers were used to estimate total catch, the results did not match with the observed catch. Although the SOP correction may be used to fix the differences between the estimated and observed catches, priority should be given to obtaining better estimates of weight at age .
- Consensus on the uncertainty derived from the lack of knowledge on discards (or other precatch mortality, such as that derived from "slipping") should be achieved, in order to consider this important source of uncertainty as part of the stock assessment scenarios.
- The stock results are quite sensitive to settings of the submodels in a4a (fmod, qmod and srmod). Both, consensus on the good practices to set the stock assessment model for a4a and use other catch-at-age models (Example: SAM or Stock synthesis) should be achieved to compare the quantitative results and trend on fishing mortality and stock size.
- More than one vector of natural mortality should be used to present the results of the stock assessment, in order to identify the status uncertainty when several assumptions or natural mortality estimators are used.
- The official data of both species in GSA 07 should be checked because several gaps in raw data were found. For instance, no information of catch-at-length number in 2011, unrealistic high catch-at-length number in 2013, no information on weight-at-age for some ages during several years, there is confusing information on vessel number per year. All these gaps in raw data probably prevented a better performance of the catch-at-age model used.


## 7. References

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[^0]:    ${ }^{1}$ Properly speaking, the model uses indices based on biomass only.

