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2 **Marine biomonitoring with eDNA: can metabarcoding of water**  
3 **samples cut it as a tool for surveying benthic communities?**  
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16

17 **Abstract**

18 In the marine realm, biomonitoring using eDNA of benthic communities requires  
19 destructive direct sampling or the setting-up of settlement structures. Comparatively  
20 much less effort is required to sample the water column, which can be accessed  
21 remotely. In this study we assess the feasibility of obtaining information from the  
22 eukaryotic benthic communities by sampling the adjacent water layer. We studied two  
23 different rocky-substrate benthic communities with a technique based on quadrat  
24 sampling. We also took replicate water samples at four distances (0, 0.5, 1.5, and 20 m)  
25 from the benthic habitat. Using broad range primers to amplify a ca. 313 bp fragment of  
26 the cytochrome oxidase subunit I gene, we obtained a total of 3,543 molecular  
27 operational taxonomic units (MOTUs). The structure obtained in the two environments  
28 was markedly different, with Metazoa, Archaeplastida and Stramenopiles being the  
29 most diverse groups in benthic samples, and Hacrobia, Metazoa and Alveolata in the  
30 water. Only 265 MOTUs (7.5%) were shared between benthos and water samples and,  
31 of these, 180 (5.1%) were identified as benthic taxa that left their DNA in the water.

32 Most of them were found immediately adjacent to the benthos, and their number  
33 decreased as we moved apart from the benthic habitat. It was concluded that water  
34 eDNA, even in the close vicinity of the benthos, was a poor proxy for the analysis of  
35 benthic structure, and that direct sampling methods are required for monitoring these  
36 complex communities via metabarcoding.

37

38 Keywords: eDNA, metabarcoding, marine, benthos, water, biomonitoring

39 Running title: eDNA from water as a proxy for benthos

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For Review Only

## 41 **Introduction**

42

43 Metabarcoding is by now a well-established technique for assessing biodiversity in a  
44 variety of terrestrial, freshwater, and marine environments (reviewed in Bohmann et al.,  
45 2014; Creer et al., 2016; Cristescu, 2014; Deiner et al., 2017; Taberlet, Coissac,  
46 Pompanon, Bronchmann, & Willerslev, 2012). The wealth of published papers dealing  
47 with technical issues and generating new data with this method testifies to the widening  
48 scope of applications of metabarcoding. One such application, where metabarcoding is  
49 becoming a game-changer, is in the field of biomonitoring (Aylagas, Borja, Muxika, &  
50 Rodríguez-Ezpeleta, 2018; Hajibabaei, Baird, Fahner, Beiko, & Golding, 2016; Kelly,  
51 Port, Yamahara, & Crowder, 2014; Porter & Hajibabaei, 2018). Not in vain the use of  
52 DNA-based approaches for monitoring applications has been christened Biomonitoring  
53 2.0 (Baird & Hajibabaei, 2012; Leese et al., 2018).

54 In the marine realm, all current policies, such as the European Union Marine Strategy  
55 Framework Directive, mandate comprehensive, community-wide approaches to  
56 monitoring (Danovaro et al., 2016; Goodwin et al., 2017; Hering et al., 2018; Leese et  
57 al., 2018). Metabarcoding provides a cost-effective, ecosystem-wide method for the  
58 assessment of biodiversity, which lies at the basis of all monitoring efforts (Aylagas et  
59 al., 2018; Krehenwinkel, Pomerantz, & Prost, 2019; Leray & Knowlton, 2016; Shaw,  
60 Weyrich, & Cooper, 2017). An ever widening range of ecological and socio-economic  
61 issues, such as invasive species management (Darling et al., 2017; Holman et al.,  
62 2019), marine protected areas design (Bani et al., 2020), pathogen monitoring (Peters  
63 et al., 2018), fisheries management (Zou et al., 2020), or deep-sea mining (Cowart,  
64 Matabos, Brandt, Marticorena, & Sarrazin, 2020), among others, require powerful and  
65 fast biomonitoring tools. Metabarcoding provides these tools at a pace, cost, and depth  
66 that are not achievable using conventional, morphology-based surveys (Porter &  
67 Hajibabaei, 2018). Alpha- and beta-diversity estimates, as well as biotic indices, can be  
68 reliably obtained using metabarcoding (Aylagas et al., 2018; Bani et al., 2020; Hering et  
69 al., 2018; Pawlowski et al., 2018). The amount of data typically generated in  
70 metabarcoding datasets allows also bioassessments based on taxonomy-free and

71 machine learning techniques (Cordier, & Pawlowski, 2018; Gerhard & Gunsch, 2019),  
72 or the analysis of diversity at the within-species level (Turon, Antich, Palacín, Præbel, &  
73 Wangensteen, 2020).

74 Of course, gaps and problems are also recognized in this burgeoning field (e.g. Alberdi,  
75 Aizpurua, Thomas, Gilbert, & Bohmann, 2018; Kelly, Shelton, & Gallego, 2019; McGee,  
76 Robinson, & Hajibabaei, 2019), among which the need to obtain better reference  
77 databases (Sinniger et al., 2016; Wangensteen, Palacin, et al., 2018; Weigand et al.,  
78 2019) and the need to standardize field and laboratory procedures (McGee et al., 2019;  
79 Weigand et al., 2019). Among the latter, the type of substrate sampled is of paramount  
80 importance (Kozioł et al., 2019). In the sea, most studies to date have sampled either  
81 the sediment (e.g., Atienza et al., 2020; Brannock, Ortmann, Moss, & Halanych, 2018;  
82 Fonseca et al., 2014; Guardiola et al., 2016) or the water column (e.g., Brannock,  
83 Learman, Mahon, Santos, & Halanych, 2016; Fraija-Fernandez et al., 2019; Sigsgaard  
84 et al., 2019; Stefanni et al., 2018). Less effort has been devoted to the study of hard-  
85 substrate natural benthic communities. These have been analysed either using indirect  
86 methods based on deploying artificial substrates (Cahill et al., 2018; Leray & Knowlton,  
87 2015; Pearman et al., 2019; Ransome et al., 2017), or by directly taking samples by  
88 scraping off standardized surfaces (Shum, Barney, O'Leary, & Palumbi, 2019;  
89 Wangensteen, Cebrian, Palacín, & Turon, 2018; Wangensteen, Palacín, Guardiola, &  
90 Turon, 2018) or using suction devices (Cowart et al., 2020; De Jode et al., 2019).

91 Either deploying settlement surfaces (that need to be recovered) or using direct  
92 collection methods, the sampling of benthic hard-bottom habitats requires direct access  
93 to the environment and involves more effort than sampling substrates such as water or  
94 sediment, which can be accessed remotely. In addition, direct methods are destructive,  
95 which is an inconvenience for the sustained sampling necessary for biomonitoring. It is,  
96 therefore, highly convenient to develop alternative methods for assessing benthic  
97 biodiversity, and an obvious choice would be to sample the water in the vicinity of the  
98 benthos to recover benthic DNA for metabarcoding applications. While water eDNA has  
99 been used for the study of protists, fito- and zooplankton or fish assemblages (e.g.,  
100 Djurhuus et al, 2018; Massana et al., 2015; Shu, Ludwig, A., & Peng, 2020), its potential

101 utility to analyse benthic communities is much less understood. Some authors (Koziol et  
102 al., 2019; Rey, Basurko, & Rodriguez-Ezpeleta, 2020) compared eDNA from water,  
103 sediment and settlement plates in port environments, finding clearly distinct community  
104 profiles. Leduc et al. (2019) similarly found significant differences in community  
105 composition between eDNA from water samples and standard invertebrate collection  
106 methods in Arctic harbours. West et al. (2020) used surface water samples to assess  
107 coral reef community variation, but did not perform a comparison with the actual benthic  
108 communities. Alexander et al. (2020) used eDNA from surface waters to target  
109 scleractinian diversity, and found the method promising, albeit with notable differences  
110 with results from visual censuses. Stat et al. (2017) compared two different methods to  
111 study the eDNA from tropical marine reefs using shallow water and found eDNA  
112 metabarcoding more promising than the shotgun approach for assessing eukaryotic  
113 diversity.

114 The usefulness of DNA obtained from water samples as a proxy for benthic  
115 communities will depend on the many factors that affect DNA release, transport, and  
116 degradation (Barnes & Turner, 2016; Collins et al., 2018; Salter, 2018; Stewart, 2019).  
117 While some studies have assessed the spatial distribution of eDNA in coastal habitats,  
118 they have been done at scales too large to link water samples with particular benthic  
119 habitats. Bakker et al. (2019) analysed water eDNA from coastal shelf habitats spanning  
120 the Caribbean Sea. O'Donnell et al. (2017) found fine scale patterns in the distribution  
121 of water eDNA, but they used transects perpendicular to the shore spanning a few  
122 kilometres. Jeunen et al. (2019) analysed the vertical stratification of eDNA at the scale  
123 of metres, but did not focus on any relationship with benthic communities. Jacobs-  
124 Palmer et al. (2020) analysed eDNA from water taken in the vicinity (from 1 to 15 m) of  
125 the edges of *Zostera marina* patches, and could detect an inhibitory effect of the  
126 seagrass community on the dinoflagellate abundances in the plankton. To our  
127 knowledge, however, no study has assessed marine eDNA dynamics at the benthic  
128 boundary layer, which is the water immediately adjacent (from centimetres to metres) to  
129 the benthos, where steep gradients in abiotic and biotic parameters occur (Boudreau &  
130 Jorgensen, 2001). Only Hajibabaei et al. (2019) have compared, in freshwater

131 environments, the results from DNA obtained from matched water and benthic samples,  
132 and found water eDNA to be a poor surrogate for benthic community composition.

133 In this work, and using two hard-bottom communities on vertical walls in the NW  
134 Mediterranean, we compared the information obtained from analysing the DNA obtained  
135 from benthic (using direct methods as in Wangensteen, Palacin, et al., 2018) and water  
136 samples collected at increasing distances (from centimetres to metres) from these  
137 communities. We used metabarcoding of the COI gene with broad range primers as our  
138 focus was on recovering the taxonomically diverse eukaryotic communities present. Our  
139 goals were to assess the eDNA dynamics in the boundary layer of the benthos and to  
140 determine the feasibility of analysing benthic diversity by collecting water samples.

141

## 142 **Material & Methods**

### 143 Sample collection

144 In the present study samples were taken from two different hard-bottom communities, a  
145 shallower (photophilous) and a deeper (sciaphilous) communities found in the same  
146 vertical wall facing SSE, in the National Park of Cabrera Archipelago in the Balearic  
147 Islands (Western Mediterranean, 39°07'30.32"N, 2°57'37.14"E, Figure S1). The  
148 photophilous community at 10 m depth was dominated by the seaweeds *Padina*  
149 *pavonica* and *Dictyopteris membranacea*. In the sciaphilous community at 30 m depth,  
150 the seaweed *Halimeda tuna*, sponges and other invertebrates were the dominant biota.  
151 For more detailed information of these communities see Wangensteen, Palacin, et al.  
152 (2018).

153 Two different sampling methods were used in the present study. Benthic samples (3  
154 replicates per community) were obtained by scraping to bare rock quadrats of 25x25 cm  
155 with hammer and chisel. All the material was collected underwater in plastic bags. Two  
156 divers performed the sampling, with one keeping the sample bag open just over the  
157 zone being scraped to avoid escape of small motile fauna. Water samples (4 replicates  
158 at each point) were obtained with 1.5 L bottles at different distances from the benthos (0

159 m, 0.5 m and 1.5 m) for each community. The sample labelled 0 m was obtained in the  
160 water layer just adjacent (ca. 5 cm) to the benthos. As an external pelagic control, water  
161 samples (3 replicates) of 1.5 L were obtained at 20 m from the benthos and at an  
162 intermediate depth (-20 m). The sampling design is sketched in Figure 1. Hereafter we  
163 will use the names photophilous and sciaphilous samples to designate both the benthic  
164 and the water samples  $\leq 1.5$  m from the wall at each of the two depth levels sampled,  
165 and the name pelagic samples to designate the water samples collected 20 m apart  
166 from the rocky wall at - 20 m. New, unopened mineral water plastic bottles were used  
167 for water collection, one per sample. They were first filled with sterilized water and, once  
168 in the collection point, they were held upside-down and water was displaced using air  
169 bubbled from a spare SCUBA regulator. The bottles were then righted and water from  
170 the exact point of collection was allowed to fill them.

171

## 172 Sample processing

173 Water samples were processed on site immediately after collection. The whole collected  
174 volume (1.5 L, comparable to other studies, e.g. Collins, Bakker, Wangensteen, Soto et  
175 al. 2019; Sales, Wangensteen, Carvalho, & Mariani, 2019) was pre-filtered with a 200  
176  $\mu\text{m}$  mesh to eliminate coarse particles and then filtered through 0.22  $\mu\text{m}$  Sterivex™  
177 millipore filters (Merck) using sterile, disposable syringes (a new syringe per sample).  
178 The filter cartridges were then stored at  $-20^{\circ}\text{C}$  in sterile plastic bags. Benthic samples  
179 were fixed with ethanol immediately after collection and kept at  $-20^{\circ}\text{C}$  until processed in  
180 the laboratory. Following Wangensteen & Turon, (2017), Wangensteen, Palacin, et al.,  
181 (2018) and Wangensteen, Cebrian, et al. (2018), benthic samples were separated in the  
182 laboratory in three different size fractions (A:  $> 10\text{mm}$ ; B:  $1 - 10 \text{ mm}$ ; C:  $63\mu\text{m} - 1\text{mm}$ )  
183 using a stainless steel mesh sieve column (Cisa S.L., [www.cisa.net](http://www.cisa.net)). Each fraction was  
184 homogenized with a blender and stored in ethanol at  $-20^{\circ}\text{C}$  until DNA extraction. All  
185 equipment was carefully bleached between samples.

186 Our sample dataset thus consisted of 18 benthic samples (2 communities \* 3 replicates  
187 \* 3 fractions) and 27 water samples (2 communities \* 3 distances \* 4 replicates + 3  
188 pelagic samples).

189

#### 190 DNA extraction

191 All procedures were made in a laminar flow cabinet sterilised with UV light between  
192 samples. DNA from benthic samples was extracted using 10 g of homogenized material  
193 and the DNeasy PowerMax Soil Kit (QIAGEN). The Sterivex filter cartridges were  
194 opened with sterile pincers in the cabinet and DNA from the filters was then extracted  
195 using the DNeasy PowerWater kit (QIAGEN). A Qubit fluorometer (ThermoFisher) was  
196 used to check the concentration of DNA (higher than 5 ng/ $\mu$ L in all cases).

197

#### 198 PCR amplification and library preparation

199 A fragment of ca. 313 bp of the Cytochrome Oxidase 1 (COI) gene was amplified with a  
200 set of universal primers targeting eukaryotes. We used the Leray-XT primer set  
201 (Wangensteen, Cebrian, et al., 2018; Wangensteen, Palacin, et al., 2018): forward  
202 jgHCO2198 (Geller, Meyer, Parker, & Hawk, 2013): 5'-  
203 TAIACYTCIGGRTGICCRARAAYCA-3', reverse mICOLintF-XT (Wangensteen,  
204 Palacin, et al., 2018): 5'-GGWACWRGWTGRACWITITAYCCYCC-3'. All primers had an  
205 8-base specific tag attached. The tags had a minimum difference of 3 bases from each  
206 other, and were designed with the program Oligotaq (Boyer et al., 2016). Forward and  
207 reverse primers used for amplification of each sample had the same tag. A variable  
208 number of degenerate (N) bases (from two to four) were also attached to the forward  
209 and reverse primers to improve sequence diversity for illumina processing.

210 Three PCR replicates were performed for each DNA extraction. PCR conditions for COI  
211 amplification followed (Wangensteen, Palacin, et al., 2018). DNA was then purified and



212 concentrated using MinElute PCR Purification Kit (QIAGEN) and an electrophoresis gel  
213 was performed to check amplification success.

214 Amplification controls were added as follows: two PCR blanks were run by amplifying  
215 the PCR mixture without any DNA template. Negative controls were made for the  
216 benthic samples by processing triplicate sand samples that were charred in a furnace  
217 (400°C for 24 h) and then sieved and processed as above. For the water samples we  
218 filtered *in situ* sterilized ultrapure water with three Sterivex filters that were then treated  
219 in the same manner as the seawater filters. Amplification products were pooled to build  
220 two Illumina libraries using Nextflex PCR-free library preparation kit (Perkin-Elmer).  
221 Both libraries were sequenced together in an Illumina MiSeq V3 run using 2x250 bp  
222 paired-end sequencing.

223

#### 224 Bioinformatic analyses

225 The bioinformatic analyses followed the same pipeline of Atienza et al. (2020) with slight  
226 modifications. Most steps used the OBITools package (Boyer et al., 2016).

227 Illuminapairedend was used to align paired-end reads and keep only those with >40  
228 alignment quality score. Reads were demultiplexed using ngsfilter. Those with  
229 mismatched primer tags at any end were discarded. Obigrep and obiuniq were used to  
230 perform a length filter (retaining only those between 310-317 bp) and dereplicate  
231 sequences. Uchime-denovo algorithm from VSEARCH v2.7.1 was used to remove  
232 chimeric amplicons. The resulting read dataset in fasta format, with the abundances in  
233 each sample, was uploaded to the DRYAD repository (doi:10.5061/dryad.vt4b8gtq2).

234 Sequences were then clustered into Molecular Operational Taxonomic Units (MOTUs)  
235 with SWARM v2.1.7 using d=13 (Bakker et al., 2019; Siegenthaler, Wangenstein,  
236 Benvenuto, Campos, & Mariani, 2019). Singletons (MOTUs with just one read) were  
237 removed after this step to minimize data loss (Atienza et al., 2020). Taxonomic  
238 assignment was performed using ecotag and a custom database containing sequences  
239 from the EMBL nucleotide database and sequences obtained from the Barcode of Life

240 Database (BOLD), using a custom script to select the appropriate fragment (see details  
241 and a summary of the taxonomic groups represented in Wangenstein, Palacín, et al.  
242 2018). This database contains 188,960 reference sequences covering most eukaryotic  
243 groups and is available from <https://github.com/metabarpark/Reference-databases>.  
244 Assignment of metazoan sequences was further improved by querying the BOLD  
245 database. Sequences with a species name assigned and with an identity match >95%  
246 in BOLD were kept, whereas matches below this threshold, even if assigned to species  
247 level by ecotag, were downgraded to genus level.

248 The final refining steps consisted of deleting any MOTU for which reads in blank or  
249 negative controls represented more than 10% of total reads for that MOTU in all  
250 samples. A minimum relative abundance filter was also applied, removing, for a given  
251 PCR replicate, the MOTUs that represented less than 0.005% of total reads of that  
252 replicate. We also removed MOTUs that had a combined total of <5 reads after the  
253 previous steps. Finally, all MOTUs that were not assigned to marine eukaryotes (i.e.,  
254 MOTUs assigned to non-marine organisms, prokaryotes, or to the root of the Tree of  
255 Life) were eliminated. We then pooled the three PCRs of each sample. We used the  
256 higher classification of eukaryotes proposed by Guillou et al. (2013) at the super-group  
257 level, with one exception: Opisthokonta was split into Metazoa and Fungi.

## 258 Data analyses

259 Analyses were performed with the R package *vegan* (Oksanen et al., 2019). Rarefaction  
260 curves of the number of MOTUs obtained at an increasing number of reads were  
261 obtained with function *rarecurve*, separately for benthos and water samples. Likewise,  
262 MOTU accumulation curves with increasing numbers of samples were obtained for  
263 benthos and water with *specaccum*. MOTU richness values were compared with  
264 standard ANOVAs (factors community and sample type: benthos or water). Between-  
265 sample distances were computed using the Jaccard index based on presence/absence  
266 data of each MOTU per sample. These distances were then used to obtain ordinations  
267 of the samples in non-metric multidimensional scaling (nmMDS) representations using  
268 function *metaMDS* with 500 random starts. Permutational analyses of variance were

269 performed on Jaccard distances with function `adonis` to test differences between  
270 relevant factors: a one-way analysis was performed between benthos and water (all  
271 samples combined), a three-way analysis was done for the benthos with community and  
272 fraction as main factors and sample as a blocking factor nested in community. For the  
273 water, a two-way analysis was performed with community and distance to the wall  
274 (pelagic samples excluded as they were taken at an intermediate depth). Main factors  
275 were also tested for differences in multivariate dispersion (`permdisp` analysis using  
276 function `betadisper`) to check whether significant outcomes were a result of different  
277 multivariate heterogeneity (spread) or different centroid location of the groups. A Venn  
278 diagram was prepared with the `VennDiagram` package (Chen, 2018) to represent the  
279 degree of MOTU overlap between benthos and water. Upset diagrams were used to  
280 plot shared MOTUs at increasing distances of the benthic communities using package  
281 `UpSetR` (Conway, 2017).

282

## 283 **Results**

284

285 We obtained a total of 7,391,160 reads in total for the benthic samples (18 samples)  
286 and 13,652,493 reads for the water samples (27 samples). The controls had a negligible  
287 number of reads ( $85.29 \pm 19.80$ , mean  $\pm$  SE). After quality filtering, demultiplexing,  
288 dereplicating and chimera elimination we had a total 3,868,827 unique COI sequences.  
289 These were clustered into 15,954 non-singleton MOTUs. The final refining steps and,  
290 particularly, the elimination of MOTUs not assignable to marine eukaryotes using our  
291 reference database greatly reduced the dataset to a final list of 3,543 MOTUs. The  
292 impact of removing non-eukaryotic MOTUs was much greater in the water samples:  
293 only 14.35% of initial reads were retained at this step, while 99.36% were kept in the  
294 benthic samples. In the final dataset, benthic samples had 2,396 MOTUs, while water  
295 samples had 1,412 MOTUs. The final average number of eukaryotic reads in benthic  
296 samples was  $233,957 \pm 25,40$  (mean  $\pm$  SE) and in water samples was much lower,  
297  $34,708 \pm 2,50$ , as a result of the elimination of non-eukaryotic MOTUs. Table S1

298 presents the final MOTU table with the taxonomic assignment and number of reads per  
299 sample. Rarefaction curves (Figure S2) showed that a plateau is reached in the number  
300 of MOTUs with the sequencing depth obtained in most samples from benthos and water  
301 (exceptions corresponded to some of the finer fractions in benthic samples). Likewise,  
302 MOTU accumulation curves (Figure S3) tended to saturate in water samples but not in  
303 benthic samples, so addition of more samples would likely increase the total number of  
304 MOTUs recovered from this habitat. In spite of the different number of total reads, we  
305 compared MOTU richness without rarefaction as in most samples the richness values  
306 plateaued at the sequencing depth obtained. Somewhat higher values were found in  
307 benthos ( $637.78 \pm 59.00$  and  $420.34 \pm 47.96$  MOTUs in the photophilous and  
308 sciaphilous communities, respectively) compared to those in water at 0 to 1.5m of  
309 distance ( $541.58 \pm 29.40$  and  $389.92 \pm 20.58$  MOTUs, respectively). A two-way ANOVA  
310 showed that the number of MOTUs was not significantly different between benthos and  
311 water samples, but it was significantly higher in the photophilous than in the sciaphilous  
312 community (community effect,  $p < 0.001$ ; sample type effect,  $p = 0.110$ ; interaction,  
313  $p = 0.401$ ). The pelagic samples had  $474.33 \pm 28.50$  MOTUs.

314 Taxonomic assignment revealed a total of 7 super-groups in the samples, of which the  
315 most diverse was Metazoa (996 MOTUs, 45.47% of reads, all samples combined)  
316 followed by Archaeplastida (351 MOTUs, 16.47% of reads, mostly belonging to  
317 Rhodophyta), and Stramenopiles (287 MOTUs, 3.25% of reads). A total of 1,565  
318 eukaryotic MOTUs could not be assigned to a given super-group. They represent  
319 32.25% of total reads, but the share of unassigned reads was highly uneven: 21.94% of  
320 reads in benthic samples, and 78.58% in water samples. Within metazoans we  
321 identified 15 phyla, of which the most diverse were Arthropoda (211 MOTUs, 2.17% of  
322 total reads, all samples combined), followed by Annelida (116 MOTUs, 1.71% of reads),  
323 Cnidaria (74 MOTUs, 11.65% of reads), Porifera (59 MOTUs, 6.35% of reads) and  
324 Mollusca (50 MOTUs, 1.20% of reads). Among metazoans, 382 MOTUs could not be  
325 assigned at phylum or lower levels. In addition, 165 MOTUs could be assigned at the  
326 species level by ecotag with more than 0.95 identity with the best match in the reference  
327 database.

328 The relative number of MOTUs as per super-group and metazoan phylum obtained in  
329 the benthos and water samples is shown in Figure 2. The general patterns recovered  
330 were notably different in the two habitats surveyed. Metazoa were markedly dominant in  
331 the benthos in terms of number of MOTUs, followed by Archaeplastida (mostly  
332 Rhodophyta). On the other hand, Hacrobia (mostly Haptophyta) had the highest  
333 diversity in water samples, where other important planktonic groups such as the  
334 Alveolata had a much higher representation than in the benthos. Nevertheless, Metazoa  
335 was the second most MOTU-rich group in the water. As for metazoan phyla, the  
336 distribution was more similar: Arthropoda was the most diverse group in both habitats,  
337 and Annelida, Cnidaria, Mollusca and Porifera (albeit in different order) came next.  
338 However, the picture is different considering the relative number of reads: Cnidaria were  
339 dominant in the benthos (26.05% of metazoan reads), where the abundance of  
340 Arthropoda was much lower (3.88%). Conversely, in the water Arthropoda was the most  
341 abundant by far in proportion of metazoan reads (46.70%).

342 The number of MOTUs of the main metazoan phyla, Arthropoda, Annelida, Cnidaria,  
343 and Mollusca was further assessed at lower taxonomic levels (Order) in Table S2. In  
344 arthropods, Amphipoda, Decapoda, Isopoda and Harpacticoida were highly diverse in  
345 the benthos but practically absent from water samples, which were dominated by  
346 planktonic groups such as Calanoida and Cyclopoida. In annelids, Sabellida and  
347 Sipuncula were the most diverse groups in the plankton, while the dominant group in  
348 benthos (Phyllodoceida) was practically absent in water samples (only 4 MOTUs in total).  
349 Among Cnidaria, only hydrozoans (Trachymedusae, Siphonophora, and Leptothecata)  
350 are diverse in the plankton samples, with a negligible representation of anthozoan  
351 orders which, together with Leptothecata, dominate in the benthic samples. Among  
352 Mollusca, highly diverse groups in the benthos such as Mytiloida, or gastropoda in  
353 general (with the exception of the pelagic Pteropoda) were absent or poorly represented  
354 in water samples. This perusal indicates that we didn't capture in our samples  
355 planktonic stages of many benthic groups, and that the rates of DNA shedding from  
356 benthos to the water are in general low.

357 The sample ordination using the Jaccard index is shown in Figure 3A. A clear  
358 separation of benthic and water samples is evident, which is in agreement with one-way  
359 results comparing benthos and water, all samples pooled (PERMANOVA  $p < 0.001$ , and  
360  $\text{permdisp } p < 0.001$ ). In the benthos, the shallower and deeper communities formed  
361 clearly separated clusters. A PERMANOVA analysis on benthic samples alone showed  
362 a significant effect of community ( $p < 0.001$ ) and of the nested factor sample (within  
363 community); while fraction or the interaction between community and fraction were not  
364 significant (Table 1). The  $\text{permdisp}$  test showed that there was also a different  
365 dispersion of data in the two communities ( $p < 0.001$ ), which is also visible in the  
366 nmMDS. A second nmMDS was performed only with the water samples (Figure 3B),  
367 where a separation by communities can also be seen, albeit with some overlap. A  
368 PERMANOVA of water samples using community and distance to the wall as factors  
369 (pelagic samples were excluded in this analysis) showed a significant interaction term  
370 ( $p = 0.027$ , Table 2), indicating different effects of the community with increasing  
371 distances. A comparison of the factor community at fixed distances showed that  
372 differences between photophilous and sciaphilous samples were significant at all  
373 distances (0, 0.5, and 1.5 m, all  $p < 0.031$ ), and this was not due to differences in  
374 heterogeneity (all  $\text{permdisp}$  tests not significant). Likewise, a comparison of the factor  
375 distance at each depth level showed that distance to the rocky wall didn't have a  
376 significant effect on the overall water assemblage composition ( $p = 0.063$  and  $0.056$  for  
377 the photophilous and sciaphilous communities, respectively).

378 Of the total 3,543 MOTUs, only 265 were shared between benthos and water (Figure 4,  
379 Tables S3-S4), which represented 11.06% of the MOTUs found in benthos. However,  
380 these 265 MOTUs accounted for 70.40% of the reads of the benthos, indicating that  
381 they correspond to abundant taxa. These same MOTUs accounted for 56.37% of the  
382 reads in the water samples. The MOTUs shared between benthos and water could be  
383 assigned to two main groups, those whose relative read abundance in the benthos was  
384 higher than in the water and those displaying the opposite pattern. We assume that the  
385 first group corresponds mainly to benthic MOTUs that left their DNA signature in the  
386 water (hereafter "shared benthic MOTUs" or SBM), while the second group likely  
387 corresponds to planktonic MOTUs (hereafter "shared pelagic MOTUs" or SPM). Only

388 one MOTU could not be assigned to any of these categories as it had the same number  
389 of reads in both environments.

390 The first group (SBM) comprised 180 MOTUs (Table S3), which represented 7.51% and  
391 70.33% of MOTUs and reads in the benthos, respectively, while they constituted 12.75  
392 and 1.99% of the MOTUs and reads in the water. Of these MOTUs, almost half (84,  
393 46.67%) belonged to metazoan groups, but only 7 of them were arthropods (the  
394 dominant metazoan group in the plankton); the second most important group were the  
395 red algae (a mostly benthic group), with 25 (13.89%) MOTUs. Of the dominant  
396 planktonic groups, only 11 (6.11%) SBM were diatoms and 2 were dinoflagellates. The  
397 taxonomic assignments were, therefore, mostly coherent with the idea that this subset  
398 of MOTUs belong mainly to benthic groups (Table S3). A total of 45 SBM MOTUs (25%)  
399 could not be assigned to any super-group.

400 The 84 shared pelagic MOTUs (SPM, Table S4) made up 3.51% of MOTUs but only  
401 0.07% of reads in the benthos. On the other hand, while they comprised 5.95% of  
402 pelagic MOTUs they accounted for 54.44% of pelagic reads. Their taxonomic  
403 assignments showed that 22 (26.19%) MOTUs were metazoans, of which a majority  
404 (17) were arthropods. On the other hand, 18 (21.43%) MOTUs belonged to typical  
405 planktonic protists (diatoms, dinoflagellates, Hacrobia, Rhizaria) (Table S4). Finally, 42  
406 (50%) SPM could not be assigned to any super-group. The higher number of  
407 unassigned MOTUs and the taxonomic composition suggest a dominance of non-  
408 benthic groups in the SPM subset.

409 When the distribution of the 180 shared benthic MOTUs was examined, they clearly  
410 decreased with distance to the wall (Figure 5), with 135, 74, 24, and 15 MOTUs shared  
411 between benthos and water samples at 0, 0.5, 1.5 and 20 m, respectively. Their  
412 abundance in relative read numbers also decreased (from 0.056 to 0.002, Table S3),  
413 which supports the idea of their benthic origin. This same general pattern was found  
414 when both communities studied were analysed separately (Figures S4 and S5).

415 By contrast, the comparison of shared pelagic MOTUs did not show any clear trend with  
416 distance to the wall (Figure 5): 72, 73, 66, and 67 at 0, 0.5, 1.5, and 20 m, respectively.

417 Neither was a trend found in relative read abundances per sample (between 0.570 and  
418 0.526 irrespective of distance, Table S4). Again, this same general pattern was found in  
419 both communities separately (Figures S4 and S5).

420

## 421 **Discussion**

422

423 Metabarcoding of benthos and water samples, using a broad range eukaryotic marker  
424 (COI), retrieved clearly different communities. The patterns of MOTU richness and  
425 abundance of reads from the different environments were distinct, showing a  
426 dominance of taxa with important planktonic components (such as dinoflagellates,  
427 diatoms, and haptophytes) in the water samples, while metazoans and rhodophytes  
428 were the most diverse and abundant in the benthos. Metazoans, notwithstanding, were  
429 also well represented in water samples, with a dominance of arthropods (mostly  
430 calanoids and cyclopoids) in both number of MOTUs and reads. The rarefaction and  
431 MOTU accumulation curves showed that we captured adequately the richness present  
432 in the samples with our sequencing depth, and that the total eukaryotic diversity in the  
433 benthos was higher than that in the water. More replicates of benthic samples would be  
434 necessary to recover the overall MOTU richness of this habitat.

435 However, we acknowledge that the sampling methods used were different for benthos  
436 and plankton. We have used techniques currently applied to sample these  
437 environments. In complex communities such as the benthos, with organism sizes  
438 spanning several orders of magnitude, size-fractionation is necessary to recover the  
439 biodiversity present (Elbrecht, Peinert, & Leese, 2017; Wangensteen, Palacin, et al.,  
440 2018; Wangensteen & Turon, 2017). In addition, the mesh size used for the smallest  
441 sieve was 63  $\mu\text{m}$ , meaning that most prokaryotes and a significant part of the smallest  
442 microeukaryotes were washed out, along with cell debris and extracellular DNA. In the  
443 filters, on the other hand, we retained everything down to colloidal level, thus the  
444 prokaryotic community, for instance, was captured in our samples. This explains the



445 amount of reads that had to be discarded in the water samples as not assignable to  
446 eukaryotes and, within eukaryotes, the high number of reads that could not be assigned  
447 to any supergroup (the smallest eukaryotes being the less represented in the reference  
448 database for COI). Our point was not to test both techniques or to compare their  
449 particularities, but rather to check if the information retrieved from currently established  
450 methods for the analysis of water DNA is comparable to that from current analytical  
451 techniques for benthos.

452 While the DNA obtained from the filters would be labelled as environmental DNA, the  
453 sampling from the benthos would be qualified as community or bulk DNA by many.  
454 Environmental DNA (eDNA) is defined as the DNA obtained from an environmental  
455 matrix such as water or sediment without isolating the organisms (Barnes & Turner,  
456 2016; Creer et al., 2016; Stewart, 2019; Taberlet, Coissac, Hajibabaei, & Rieseberg,  
457 2012); and is usually opposed to bulk or community DNA, referring to DNA obtained  
458 from organisms previously isolated from the environment (Andujar, Arribas, Yu, Vogler,  
459 & Emerson, 2018; Creer et al., 2016; Deiner et al., 2017). In a more restricted sense  
460 (e.g., Andujar et al., 2018; Cristescu & Hebert, 2018; Thomsen et al., 2012; Tsuji et al.,  
461 2019), the term eDNA is used as equivalent to trace DNA released from organisms (in  
462 the form of mucus, faeces, cells, hairs...), so when studying eDNA the organisms  
463 themselves are not in the sample. We consider, however, that eDNA should be used as  
464 a general term, to designate any DNA extracted from an environmental sample. It is  
465 commonly made up of a mix of intra-organismal (in the form of small organisms relative  
466 to the sample size) and extra-organismal or trace eDNA shed from large organisms  
467 (Creer et al., 2016; Pawlowski et al., 2018; Porter & Hajibabaei, 2018; Salter, 2018;  
468 Taberlet, Coissac, Hajibabaei, et al., 2012). The relative amount of both components is  
469 highly variable, though, and it depends on the sampling method and the target group,  
470 and hence the primers used. In our case, we used a broadly universal primer set for  
471 eukaryotes, capable of amplifying both intra-organismal and trace DNA from most  
472 eukaryotic taxa. So the benthic samples are more enriched in intra-organismal DNA  
473 (since most trace DNA was removed by sieving), while the water samples contain a mix  
474 of a high amount of intra-organismal DNA from planktonic microeukaryotes and a  
475 smaller fraction of extra-organismal DNA from larger organisms.

476 The ordination and PERMANOVA results confirmed the marked differentiation between  
477 the samples from both environments. An assessment at the Order level in the main  
478 metazoan phyla confirmed that the composition of the two environments is highly  
479 different. Moreover, the differences between the two depths sampled, which  
480 corresponded to two different communities (photophilous and sciaphilous) on precisely  
481 the same wall, were pronounced in the benthic samples, but were also significant in the  
482 water samples taken between 0 and 1.5 m of the rocky wall. Thus, the method is  
483 sensitive enough to detect ecological differences not just in the sessile communities, but  
484 also in the more dynamic planktonic habitat. This is in agreement with other studies that  
485 have also shown that the eDNA in seawater samples can detect differences in  
486 composition of several groups at relatively small scales (from meters to tens of meters,  
487 Jacobs-Palmer et al., 2020; Jeunen et al., 2019; Port et al., 2016).

488 A total of 3,543 eukaryotic MOTUs were detected in the whole dataset. In spite of the  
489 lower number of eukaryotic reads retrieved from the water (15% of those retrieved from  
490 the benthos), the number of eukaryotic MOTUs in the water was ca. 60% of those in the  
491 benthos (1,412 as compared to 2,396). Only 265 MOTUs were found to be shared  
492 between the benthos and the water samples. This represents only ca. 11% and 19% of  
493 the MOTUs in the two environments, respectively. In addition, a closer scrutiny allowed  
494 us to separate those shared MOTUs into those of possibly benthic origin (shared  
495 benthic MOTUs, SBM) and those of likely planktonic origin (shared pelagic MOTUs,  
496 SPM).

497 The 180 SBM comprised ca. 7.5% of the benthic MOTUs but represented ca. 70% or  
498 benthic reads (while only ca. 2% of water-derived reads), indicating that abundant  
499 benthic MOTUs are the ones more prone to leave their signature in the surrounding  
500 water. The 84 SPM accounted to ca. 6% of pelagic MOTUs but ca. 54% or eukaryotic  
501 pelagic reads (and only 0.07% of reads in the benthos), again indicating that the most  
502 abundant MOTUs are the ones that can be detected also in the other habitat.

503 The fine-scale distribution of the 180 SBM showed a clear trend: more MOTUs were  
504 shared in the immediate vicinity of the benthos (135 with water at 0 m), and the number

505 decreased with distance down to only 15 MOTUs shared with the water at 20 m. The  
506 shared MOTUs also represented a decreasing percent of reads in the water samples as  
507 we moved away from the rocky wall. On the other hand, there was no clear pattern of  
508 abundance changes with distance in the richness or amount of reads shared between  
509 benthos and water for the 84 PSM.

510 We found therefore evidence for DNA originating from the benthic communities being  
511 present in the adjacent water layer and, conversely, DNA of presumably pelagic origin  
512 could be detected in the benthos. The interest of this article was in detecting the  
513 presence of benthic DNA in the water column, of which only a modest amount could be  
514 retrieved. The form of this benthic DNA in the water cannot be assessed with our  
515 sampling design, but it likely includes naturally released meroplanktonic components,  
516 such as gametes (Tsuji & Shibata, 2020) or larvae, and degradation products in the  
517 form of fragments, mucus, cell aggregates, exudates, or extracellular DNA.

518 Our results clearly indicated that DNA from water samples is a poor surrogate for the  
519 analysis of benthic communities, as found previously in freshwater environments  
520 (Hajibabaei et al., 2019). Even in the water within a few centimetres from the benthos,  
521 only a modest portion (135) of the benthic MOTUs could be detected. In addition, we  
522 found that considering the relative number of reads of the shared MOTUs provided  
523 useful insights about the origin of the MOTUs and their dynamics as we move farther  
524 from the rocky wall. The lack of accordance between benthos and water is in agreement  
525 with previous comparisons of different substrates for eDNA made in port environments  
526 (e.g., Koziol et al., 2019; Rey et al., 2020) which found different community profiles in  
527 water and in sediments or settlement plates. We must keep in mind that we have used  
528 universal primers as we targeted the whole eukaryotic communities. With more specific  
529 targets, the results could be different. For instance, using vertebrate-specific primers to  
530 detect fish in the water has proved to be a sensitive method (e.g., Bakker et al., 2017;  
531 Sales et al., 2019; Salter, Joensen, Kristiansen, Steingrund, & Vestergaard, 2019;  
532 Sigsgaard et al., 2019; Thomsen et al., 2016), even at the intraspecific level (Sigsgaard  
533 et al., 2020), since it is possible to amplify selectively the DNA of the target group.  
534 Likewise, species-specific primers have been successfully used to detect particular

535 marine benthic species in the water column, usually as a means of monitoring invasive  
536 species (e.g. Pochon et al., 2013; Simpson, Smale, McDonald, & Wernberg, 2017; Von  
537 Ammon et al., 2019).

538 It seems reasonable to expect that DNA shedding rates from a highly diverse  
539 community such as sublittoral rocky bottom assemblages would be unbalanced  
540 between groups, and that this unevenness would hinder our ability to extract reliable  
541 monitoring information from seawater eDNA. This expectation is borne out by our  
542 results. Thus, albeit for group-specific or species-specific studies useful information  
543 from benthic groups may be gleaned from water DNA, the method is presently  
544 unsuitable for the community-wide diversity assessment required for many  
545 biomonitoring applications. New technologies affording much higher sequencing depth  
546 or metagenomic approaches (Singer, Fahner, Barnes, McCarthy, & Hajibabaei, 2019;  
547 Singer, Greg, Shekarriz, McCarthy, Fahner, & Hajibabaei, 2020) might improve our  
548 ability to extract information from water samples. But for the time being we must  
549 continue to rely on methods that can sample directly the benthos for reliable biodiversity  
550 assessment of these complex assemblages.

551

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562

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888

### 889 **Data Accessibility Statement**

890

891 The original read dataset, with the abundances in each sample, was uploaded to the  
892 Dryad Data repository (<https://doi.org/10.5061/dryad.vt4b8gtq2>).

893 The final MOTU dataset has been uploaded as online supplementary material.

894

### 895 **Author Contributions**

896 AA, performed laboratory and bioinformatics work, prepared tables and figures and  
897 drafted the paper; CP, designed research, analysed data and revised the paper; EC,



898 performed field work, contributed funding and revised the paper; RG, performed field  
899 work, analysed data and revised the paper; OSW, designed research, contributed  
900 reagents and analytical tools, analysed data and revised the paper; XT, designed  
901 research, performed field work, contributed funding, analysed data and revised the  
902 paper.  
903

For Review Only

904

Factor	DF	SS	F-statistic	P-value	Permdisp
Community	1	1.581	5.442	0.001*	0.001*
Fraction	2	0.731	1.258	0.140	0.869
Community*Fraction	2	0.653	1.124	0.267	
Sample(Community)	2	1.158	1.993	0.002*	
Residuals	10	2.905			

905

906 Table 1. Results of the PERMANOVA analysis performed on Jaccard distances among  
 907 the samples collected in two benthic communities (photophilous and sciaphilous) and  
 908 separated into three size classes (fractions). Sample was added as a nested factor  
 909 within community. Columns are: degrees of freedom (DF), sum of squares (SS), F-  
 910 statistic of the model, with its associated probability (P-value), and probability of the  
 911 permdisp test of multivariate homogeneity of group dispersions (Permdisp). Significant  
 912 values marked with asterisk.  
 913

914  
915

Factor	DF	SS	F-statistic	P-value	Permdisp
Community	1	0.265	4.127	0.001*	0.216
Distance	2	0.166	1.293	0.129	0.940
Community*Distance	2	0.216	1.682	0.027*	
Residuals	18	1.157			

916

917 Table 2. Results of the PERMANOVA analysis performed on Jaccard distances among the  
 918 water samples collected in two communities (photophilous and sciaphilous) and at three  
 919 distances from the benthos (Distance factor: 0, 0.5 and 1.5 m). Columns are: degrees of  
 920 freedom (DF), sum of squares (SS), F-statistic of the model, with its associated probability (P-  
 921 value), and probability of the permdisp test of multivariate homogeneity of group dispersions  
 922 (Permdisp). Significant values marked with asterisk.  
 923

## 924 Figure Captions

925

926 Figure 1. Schema of the sampling design. We sampled two hard bottom communities  
927 (green: photophilous; red: sciaphilous) at -10 and -30 m of depth, respectively, by  
928 sampling quadrats of 25 x 25 cm (3 replicates each). Water samples (1.5 L) were  
929 collected at different distances from each community (0 m, 0.5 m and 1.5 m, 4  
930 replicates each). Pelagic samples were taken at intermediate (-20 m) depth and at 20 m  
931 from the wall (3 replicates).

932

933 Figure 2. Barplot of relative MOTU richness of the super-groups (a) and metazoan phyla  
934 (b) detected in benthic and water samples.

935

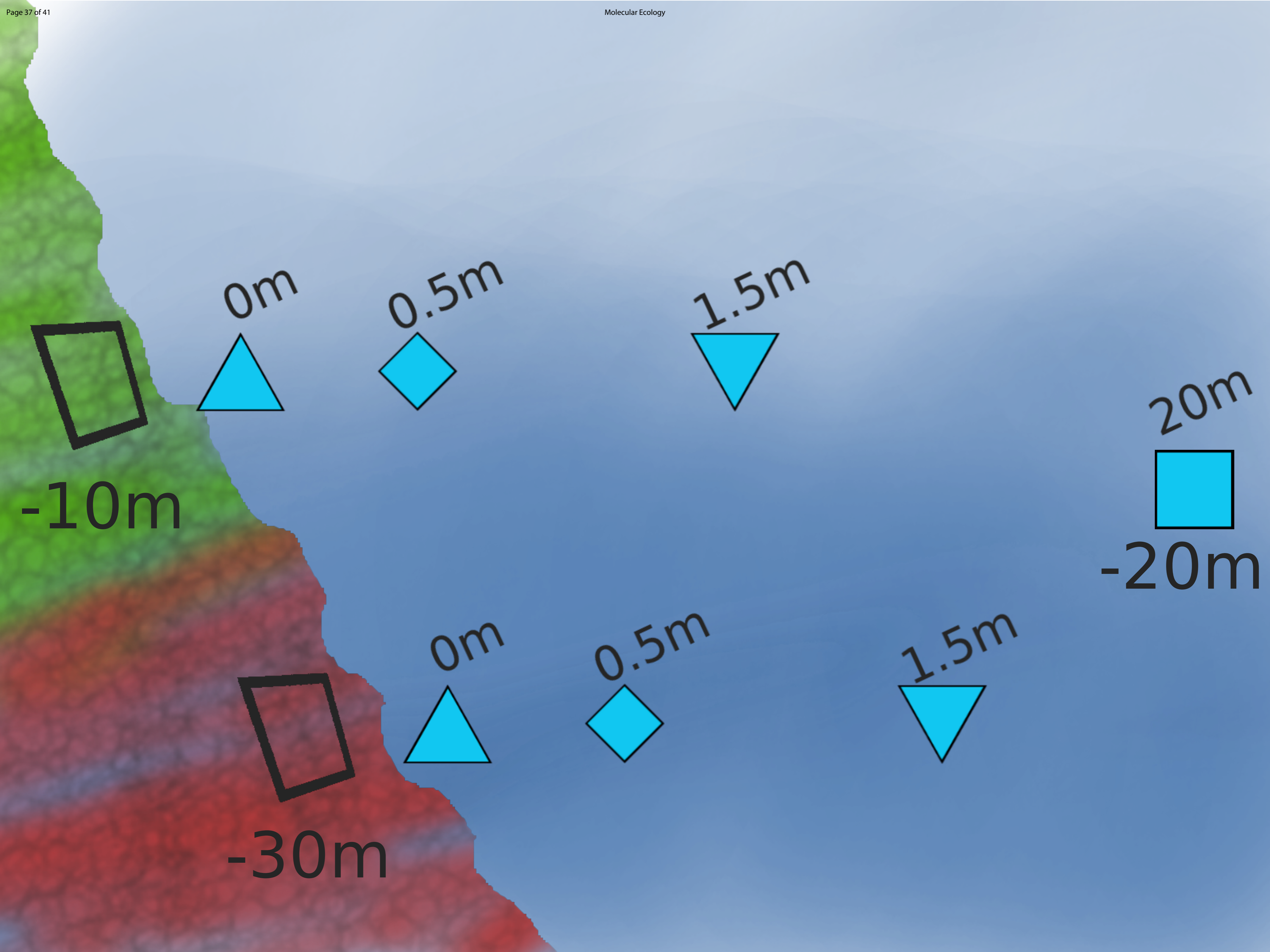
936 Figure 3. Non-metric Multidimensional Scaling representation of all samples (a) and only  
937 water samples (b) using the Jaccard distance. Benthic samples (a) were separated in  
938 three different size fractions: A (>10 mm), B (between 10 mm and 1 mm) and C  
939 (between 1 mm and 63  $\mu\text{m}$ ). Communities are coded by colours and fractions (benthos)  
940 and distances (water) by symbols.

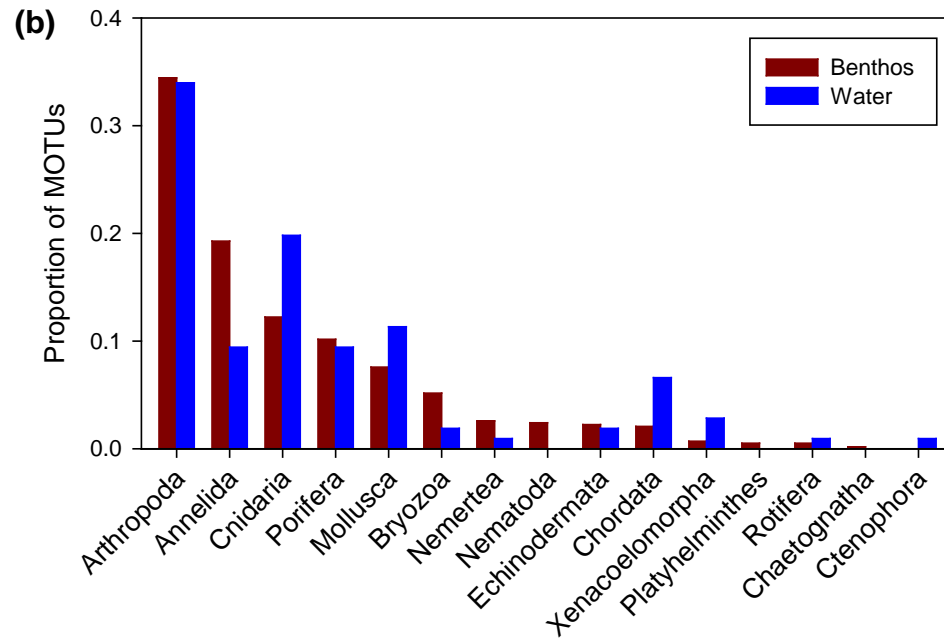
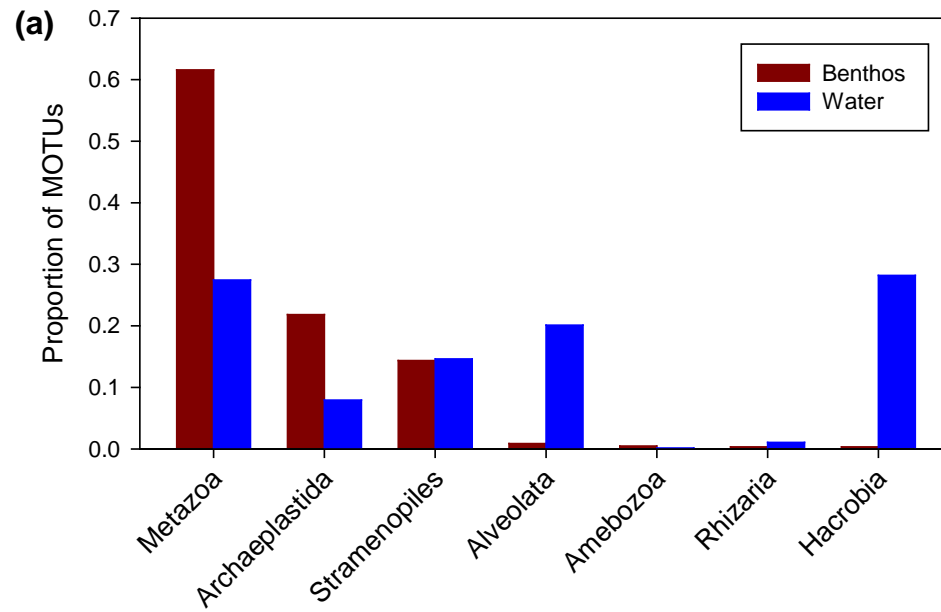
941

942 Figure 4. Venn diagram showing the overall MOTU overlap between the two types of  
943 community considered.

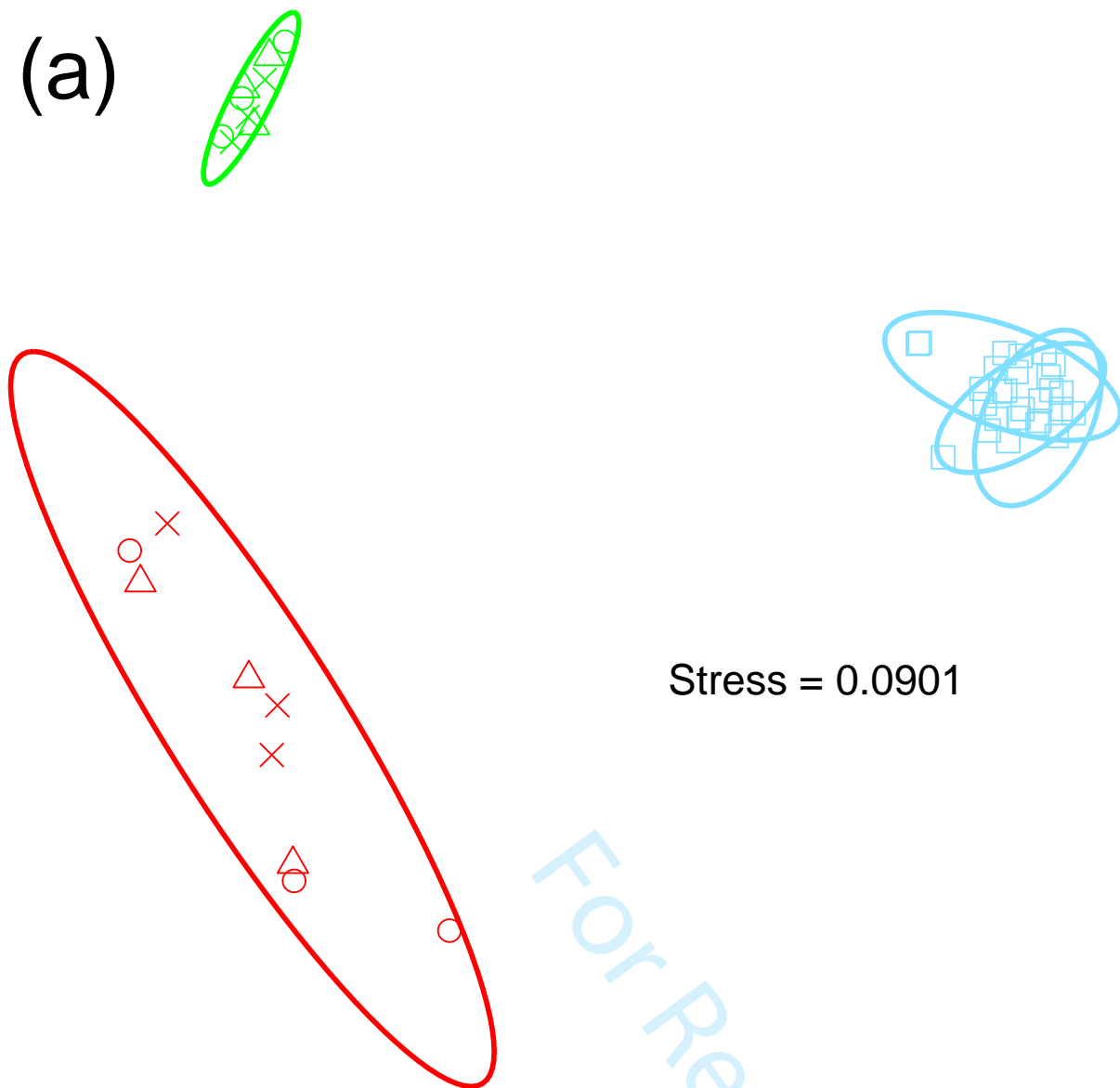
944

945 Figure 5. Upset plot with the number of shared MOTUs between the benthos and the  
946 water samples and the total number of MOTUs detected. Shared benthic MOTUs (SBM)  
947 are represented in pink and shared pelagic MOTUs (SPM) in light blue.





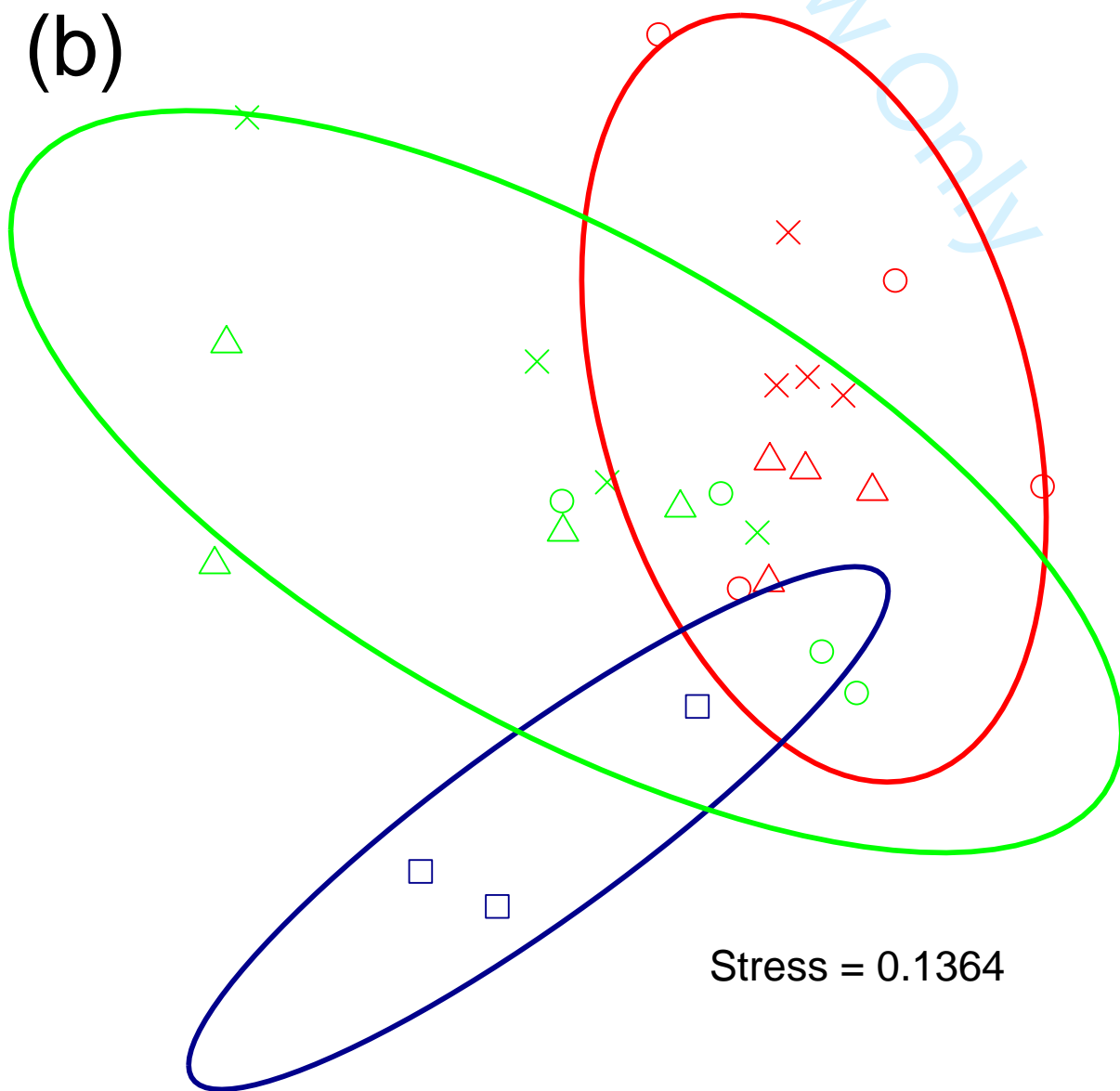
(a)



- Fraction A
- △ Fraction B
- × Fraction C
- Water samples

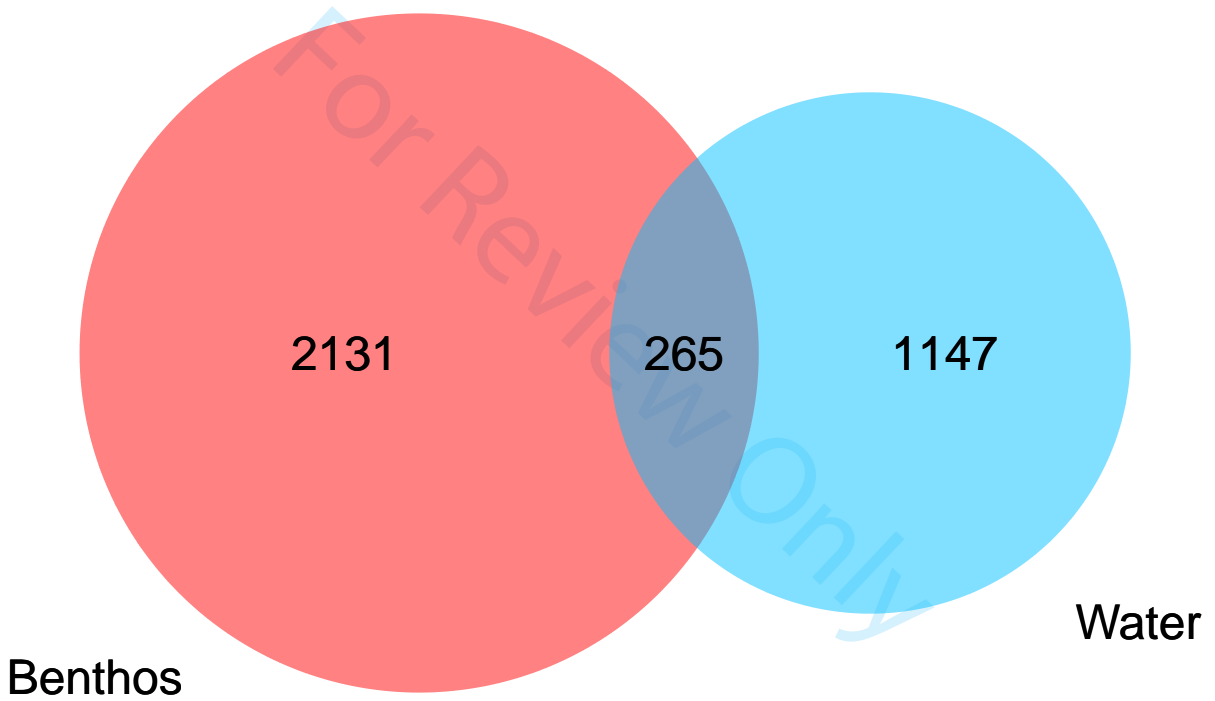
- Benthos Photophilous
- Benthos Sciaphilous
- Water

(b)



- 0m
- △ 0.5m
- × 1.5m
- 20m

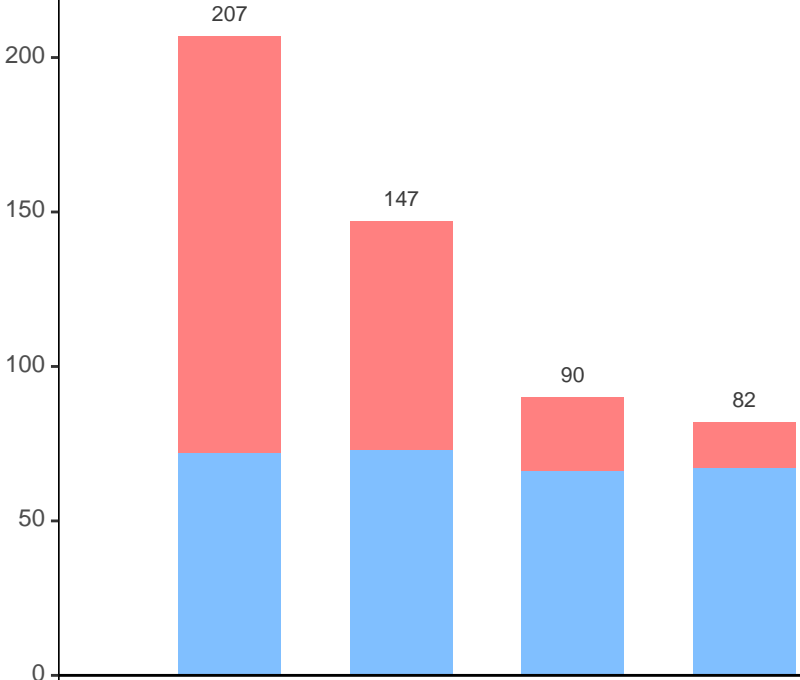
- Photophilous
- Sciaphilous
- Pelagic





Shared Benthic Shared Pelagic  
Molecular Ecology

number of Shared MOTUs



- Water\_20m
- Water\_1.5m
- Water\_0.5m
- Water\_0m
- Benthos

total number of MOTUs

