- 1 Resistance changes in Salmonella enterica serovar Typhimurium treated by high hydrostatic
- 2 pressure and pulsed electric fields and assessment of virulence changes by using
- 3 Caenorhabditis elegans as a test organism
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# 21 Abstract

22 The goal of this study was to evaluate the development of *Salmonella enterica* subsp 23 enterica serovar Typhimurium resistance against Pulsed Electric Fields (PEF) and High Hydrostatic Pressure (HHP), and to study the possible virulence changes of the resistant 24 subpopulations using *Caenorhabditis elegans*. Results showed that S. Typhimurium 25 became resistant to both non-thermal technologies after four consecutive treatments. 26 27 Survival probability of the worms (C. elegans) increased significantly when they were fed with S. Typhimurium treated by PEF four consecutive times in comparison with the 28 29 other two subpopulations, untreated and treated once. For HHP, results indicated that up to percentile 50%, the survival probability of worms fed with treated microorganisms 30 (once and four times) was greater than that of worms fed with untreated ones. Also, the 31 32 *C. elegans* egg-laying pattern was modified and there were significant differences in the number of eggs laid in the first two days among the three subpopulations studied. 33 Consequently, although S. Typhimurium develops microbial resistance against PEF or 34 35 HHP treatments, when it is applied repeatedly, its virulence against C. elegans appears 36 to decrease.

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#### 38 Industrial relevance

Among the non-thermal technologies, Pulsed Electric Fields and High Hydrostatic Pressure have a great potential. Nevertheless, it is necessary to validate them from a safety point of view because of the huge amount of damaged cells that can be obtained if sublethal treatments are applied. These studies have industrial relevance in food safety ensuring proper processing when using these non-thermal technologies.

45	Keyw	ords					
46	Pulsed Electric Fields (PEF); High Hydrostatic Pressure (HHP); sublethal damage;						
47	Salmonella; C. elegans						
48							
49	Highli	ghts					
50	•	Consecutive sublethal treatments by PEF produce resistance in S. Typhimurium					
51	٠	Consecutive sublethal treatments by HHP produce resistance in S. Typhimurium					
52	٠	Damaged S. Typhimurium does not appear to be more virulent after being					
53		repaired					
54	•	C. elegans may be a good test organism to detect virulence changes in damaged					
55		cells					
56	•	Resistance generation does not imply more virulence					

# 1. Introduction

59 Nowadays, consumers demand safe and healthier food products with higher quality 60 levels. Therefore, food manufacturers and research groups have developed new 61 technologies for food preservation with the aim of maintaining the original organoleptic 62 and nutritional properties of foodstuffs while keeping them safe (Otunola et al., 2008).

63 Many non-thermal technologies have been developed, such as ionizing radiation, ultraviolet light, ozone, High Hydrostatic Pressure (HHP), and Pulsed Electric Fields 64 65 (PEF) (Barba et al., 2017; Misra et al., 2017; Pan et al., 2017; Pottier et al., 2017). Among them, Pulsed Electric Field treatment is perceived as a promising cool treatment 66 alternative to conventional thermal pasteurization for liquid products (Saldaña et al., 67 2014), and High Hydrostatic Pressure (HHP) is at present one of the most successful 68 non-thermal technologies that are applied today in cool preservation of foods. Both 69 70 technologies can achieve enough reductions in the microbial population of food, using 71 lower temperatures than those traditionally used in thermal pasteurization (Rendueles et 72 al., 2011).

However, as has been described for other mild treatments (antimicrobials, low 73 74 temperature treatments), microorganisms treated by PEF or HHP could develop 75 resistance when they receive consecutive sublethal doses (Kostyanev et al., 2015; Laxminarayan et al., 2013; Vanlint et al, 2013; Kisluk et al., 2013). This resistance can 76 77 be the result of transient variations in gene expression, or be the sporadic emergence 78 and subsequent selection of spontaneous mutants with a permanently resistance. In any case, resistant microbial populations might pose a risk to consumers, particularly if the 79 acquired resistance becomes stable, because these subpopulations are new and their 80 virulence is unknown (Capita et al., 2013). Consequently, it appears interesting to study 81 82 the development of microbial resistance (without differentiate between stable or transient adaptation) against mild control measures, and possible changes in pathogenvirulence.

One of the most important groups of foodborne pathogens contaminating raw food is *Salmonella* spp. It is the most frequent cause of foodborne outbreaks (22.5%), eggs and egg products being the main contributors (44.9%) (EFSA, 2016). Also, salmonellosis is the second most frequent zoonotic disease in the European Union, with 94,625 cases in 2015. The most frequent serotypes are *Salmonella enterica* subsp *enterica* serovar Enteritidis and serovar Typhimurium, with 39.5% and 20.2% of confirmed cases, respectively (EFSA, 2016).

92 Therefore, it is important to evaluate the possible resistance developed by S. Typhimurium against alternative preservation treatments because many raw materials 93 can be contaminated by this microorganism and are used in food preparation and 94 95 production. It is also important to know whether these sublethal treatments could induce virulence changes. A novel option could be to use the nematode *Caenorhabditis elegans* 96 97 as a test organism. It belongs to nematode species. Its body is transparent which allows 98 observation of most of internal organs and most of the main physiological processes and stressed responses of higher organisms like those that humans are preserved in C. 99 100 *elegans.* It is easy and economical to use in optimal laboratory conditions due to its 101 short lifespan and it has been successfully employed in some studies (Chai-Hoon et al., 102 2010; Silva et al., 2015; Ewbank et al., 2011).

103 The aim of this study was to evaluate the microbial resistance against PEF and HHP 104 treatment developed by *Salmonella enterica* serovar Typhimurium, and to study the 105 possible virulence changes of the resistant subpopulations by using *C. elegans* as a test 106 organism.

#### 108 **2.** Material and methods

### 109 2.1 Microbial strain

The freeze-dried *S*. Typhimurium was provided by the Spanish Type Culture Collection (CECT 443). The pure culture was rehydrated with tryptic soy broth (TSB) (Scharlab Chemie) and incubated with continuous shaking (Selecta Unitronic) for 14 h at 37 °C to obtain a stock of cells. Then the cells were centrifuged (Beckman Avanti J-25) twice at 2450 g and at 4 °C for 15 min. After centrifugation, the cells were resuspended in TSB with 20% glycerol and dispensed in 2 mL vials to a final concentration of 10<sup>8</sup> cfu/mL. The cryovials were frozen and stored at –80 °C until needed.

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- 118 2.2 PEF treatment against *S*. Typhimurium

119 On the basis of previous studies (Sanz-Puig et al., 2016), a *S*. Typhimurium overnight 120 culture ( $10^8$  cfu/mL) coming from a defrozen cryovial was used to inoculate 500 mL 121 TSB (Scharlab Chemie). It was PEF treated at 30 kV/cm for 300 µs, with bipolar, 2.5 µs 122 width squared-pulses as an intermediate sublethal treatment that caused 2.5 log cycles 123 of cellular reduction in *S*. Typhimurium and great sublethal damage. These studies were 124 carried out in triplicate by using an OSU-4D laboratory-scale system as described in 125 Sanz-Puig et al., (2016).

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127 2.3 HHP treatment against *S*. Typhimurium

As for PEF treatment, a *S*. Typhimurium overnight culture (10<sup>8</sup> cfu/mL) coming from a defrozen cryovial was used to inoculate TSB. Based on previous studies (Sanz-Puig et al., 2017) it was treated by HHP at 250 MPa for 5 minutes. These studies were carried out in triplicate. HHP treatments were applied using an EPSI NV unit (Temse, Belgium) as described in Pina-Pérez et al., (2007).

## 134 2.4 Evaluation of development of microbial resistance

To evaluate the development of S. Typhimurium microbial resistance against PEF or 135 HHP treatments, an initial population of this microorganism (10<sup>8</sup> cfu/mL) was PEF or 136 HHP treated repeatedly (4 times) as described in previous sections. After each PEF or 137 138 HHP treatment, 1 mL of the treated sample was incubated overnight in 500 mL TSB (15h) with continuous shaking at a temperature of 37 °C. Afterwards, the microbial cells 139 140 were recovered by centrifugation (2450 g for 15 min), and inoculated in TSB for the 141 subsequent PEF or HHP treatment. Before and after each treatment, the concentration of S. Typhimurium was calculated by plate count in TSA (Scharlau, Scharlab). Microbial 142 143 resistance experiments were done in triplicate with three replicas for each repetition.

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## 145 2.5 *C. elegans* studies

C. elegans was provided by the College of Biological Sciences, Minnesota University, 146 USA. Its optimal conditions for growth in the laboratory are plates of Nematode Growth 147 148 Medium (NGM) agar, with a bacterial lawn of *E. coli* OP50 at 20 °C (Stiernagle, 2006). To evaluate the virulence changes of the selected S. Typhimurium subpopulations, the 149 150 microbial lawn of E. coli OP50 was replaced by a lawn of S. Typhimurium as a control, 151 and S. Typhimurium treated once and four times by PEF or HHP to obtain resistant subpopulations. The C. elegans behavior was monitored, focusing on its survival and 152 153 eggs laid when fed with the various S. Typhimurium subpopulations.

Survival studies were carried out with 250 nematodes, distributed in 25 plates (5 repetitions of 5 plates) with 10 synchronized nematodes in each plate, which were fed with untreated *S*. Typhimurium and with *S*. Typhimurium treated once and four times by PEF or HHP. At regular intervals of 48 hours all plates were examined with a binocular microscope (COMECTA S.A.), and the number of live worms was counted.
A worm was considered dead when it did not move and did not respond to stimulation.
For egg laying studies, three sets of 25 plates with one nematode per plate were used.
The worms on the three sets of 25 plates were fed with a lawn of one of the three
selected *S*. Typhimurium subpopulations. The eggs laid were analyzed at regular
intervals of 48 hours by counting the number of eggs that each worm laid.

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#### 165 2.6 Statistical analysis

Statgraphics Centurion XII software (StatPoint Technologies, Inc., Warrenton, VA, 166 USA) was used to analyze results obtained by plate count for the development of S. 167 Typhimurium resistance against PEF or HHP treatments, and the results obtained for C. 168 elegans were analyzed by calculating the average and standard deviation. A Kaplan-169 170 Meier analysis was carried out to obtain the survival probability and hazard function for 171 C. elegans, In addition, ANOVA, Friedman and Kruskal-Wallis analyses were carried 172 out where necessary to evaluate significant differences (p-value <0.01) in C. elegans 173 response when fed with different S. Typhimurium subpopulations.

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### 3. Results and discussion

## 176 3.1 Development of *S*. Typhimurium resistance against PEF or HHP treatments

After consecutive treatment by PEF or HHP, resistance of *S*. Typhimurium was evaluated, focusing on the number of surviving microorganisms after each consecutive treatment. The results for PEF treatments are shown in Figure 1 (a) as the average of three independent PEF treatments with three replica for each treatment. The first treatment produced 2.91 log cycles of inactivation, the second and the third treatment produced lower inactivation levels, 1.23 and 0.57 log cycles, respectively, and the fourth treatment caused 0.73 log cycles of inactivation, slightly greater than the third treatment but without significant differences (p-value < 0.05) between them. These results indicate a possible generation of resistance against PEF until the third consecutive treatment, at which point the resistance stabilized, as can be deduced from the results for the fourth treatment.

Other studies (Sagarzazu et al. 2013) have indicated that successive PEF treatments with intermittent outgrowth of survivors selected mutants with increased resistance in comparison to the non-stressed parental strain. Sagarzazu et al. (2010) and Arroyo et al. (2010) studied transient resistant development immediately after sublethal stress exposure/adaption because of the operation of stress responses. In the present work, repetitive sublethal treatments achieved an increased resistance, which may be due to permanent or transitory changes.

195 Figure 1 (b) shows the inactivation (log cycles) caused in S. Typhimurium after 196 consecutive HHP treatments of 250 MPa for 5 minutes. As can be seen in Figure 1 (b), a 197 reduction of 2.62 log cycles was achieved after the first treatment, the second HHP 198 treatment caused 1.8 log cycles of microbial reduction, the third treatment produced 0.76 log cycles of bacterial reduction, and, finally, with the fourth treatment only 0.67 199 log cycles of S. Typhimurium reduction was achieved. According to the results 200 201 obtained, it appears that S. Typhimurium also developed microbial resistance to HHP 202 treatment when it was applied consecutively (four times). These results are in agreement with results obtained with some strains of *E. coli* by Vanlint et al. (2012). Buzrul (2014) 203 204 and Fioretto et al. (2005) also suggested that HHP treatments applied consecutively without a recovery step to food products could increase microbial resistance to pressure 205 206 and temperature.

The results obtained in this study, using these two preservation technologies applied at sublethal doses, appears to indicate that a microbial resistance can be developed. Further studies should be carried out to clarify if this resistance is temporal or transient, as indicated by Gayán et al (2016). At the same time, for further studies it should be taken into account that each system (microorganism, technology, food product) is different, allowing different behavior and heterogeneity of stressed foodborne pathogens in relation to the different preservation technologies.

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3.2 Evaluation of changes in *S*. Typhimurium virulence using *C*. *elegans* 

Some authors have indicated that the application of specific treatments, given at sublethal intensity, could produce subpopulations of microbial cells that are not inactivated, remaining damaged, and that may finally recover and grow, becoming mutant cells with unknown virulence (Zimmermann et al., 1974; Garcia et al., 2005; Soliva-Fortuny et al., 2009; Puértolas et al., 2012).

221 In this study, the possibility that these mild treatments may also induce microbial 222 virulence changes was studied by using C. elegans as a test organism. The nematode 223 was fed with untreated S. Typhimurium and with S. Typhimurium treated once and four 224 times by PEF or HHP, and the surviving worms were counted at regular time intervals of 48 hours during 21 days for each replication (5 replications). Survivor data were 225 226 analysed using the Kaplan-Meier test, which provides the survival probability (figure 2). 227 As can be seen in figure 2 (a), it seems that C. elegans fed with S. Typhimurium treated four times by PEF has a greater survival probability during its life than the worms fed 228 229 with the other S. Typhimurium subpopulations. Nematodes fed with untreated and oncetreated S. Typhimurium had a very close survival probability. 230

The Friedman test was used to evaluate the differences between the three subpopulations at 90% significance level. No significant differences (p-value  $\geq 0.01$ ) were obtained between nematodes fed with untreated *S*. Typhimurium and with *S*. Typhimurium treated once by PEF. However, there were significant differences (pvalue < 0.05) between *C. elegans* fed with *S*. Typhimurium treated four times by PEF and the other two subpopulations.

237 The Kaplan-Meier analysis also provides information about the percentage of worms 238 surviving at a specific time. Table 1 shows the estimated survival days for 20% percentile of nematodes. These data confirm that there were not significant differences 239 between nematodes fed with untreated and treated once S. Typhimurium and, in 240 contrast, there were significant differences between nematodes fed with S. 241 Typhimurium treated four times with PEF and the other subpopulations. As a matter of 242 243 fact, in the percentile 20%, nematodes fed with untreated and treated once S. 244 Typhimurium subpopulations achieved this percentile at day 10 and 11, respectively, 245 whereas the nematodes fed with S. Typhimurium treated four times achieved this 246 percentile at 13,3 days.

As for the effect of HHP on virulence changes, figure 2 (b) shows the Kaplan-Meyer 247 248 analysis for survival data when nematodes were fed with the different S. Typhimurium 249 subpopulations, untreated, treated once, and treated four consecutive times with HHP. As can be seen in this figure, the survival probability decreased during the life of the 250 251 nematodes, and almost all the nematodes were dead at 18.6 days. The nematodes fed 252 with S. Typhimurium treated by HHP showed a greater survival probability in the first time intervals of their life cycle than the nematodes fed with untreated S. Typhimurium 253 254 (p-value  $\leq 0.01$ ). Nevertheless, the Friedman test analysis revealed that there were no

significant differences (p-value > 0.01) in survival data between nematodes fed with different *S*. Typhimurium subpopulations at the end of their life cycle.

On the same way, Table 2 shows the percentiles for lifespan of *C. elegans* fed with *S.* Typhimurium untreated and treated by HHP once and four times. As can be seen, the 50% of nematodes was died at day 4th or 6th depending on if they were fed with untreated or treated *S.* Typhimurium, respectively. This confirms that the survival probability of nematodes was greater in the first time intervals (up to 50%) when they were fed with treated *S.* Typhimurium. This difference disappears when survival worm population is lower than 25%.

Some authors have reported that C. elegans dies earlier when it is infected by S. 264 265 Typhimurium than in optimal conditions because persistent S. Typhimurium infection colonizes the intestinal lumen and the bacterial cells increase whereas the intestinal cells 266 267 decrease (Aballay et al., 2000; Labrousse et al., 2000; Aballay et al., 2002). Aballay et 268 al. (2000) reported that 50% of the nematodes died in the first four days of S. 269 Typhimurium infection. These results are in agreement with those obtained in the 270 present work for untreated S. Typhimurium. When the nematodes become older, they start losing their intestinal immunity and pathogen cells are accumulated, causing a 271 272 reduction in their lifespan (Portal-Celhay et al., 2012). Also, the nematode's pharynx is 273 a neuromuscular pump that controls the amount of bacteria that reaches the intestine, 274 and when the nematode ages, the pharynx loses its capacity, and the number of microbial cells that reach the intestinal lumen can be higher, contributing to an increase 275 276 in the death risk (Avery, 1993).

If we compare the effects of PEF and HHP on *S*. Typhimurium, it seems clear that in both cases there is an increase in resistance as the microorganism is subjected to repeated treatments, but the effect of the PEF- or HHP-treated subpopulations on the

nematode is somewhat different for the two technologies, probably indicating different
mechanisms of cell damage. These differences in the survival data of nematodes
exposed to different subpopulations of *Salmonella* are more patent in the case of PEFtreated *Salmonella* than in the subpopulations treated by HHP.

The higher survival probability of the nematodes fed with bacteria treated four times appears to indicate that there is no direct relationship between an increase in resistance and an increase in virulence. This is a very relevant conclusion for the food preservation industry because it is an important factor to bear in mind with regard to the safety of PEF or HHP technology.

289 Possible virulence changes were also tested by considering the number of eggs laid by C. elegans as a complementary test. The number of eggs laid by C. elegans fed with S. 290 Typhimurium untreated and treated once and four times by PEF (figure 3 (a)) or HHP 291 292 (figure 3 (b)) was monitored every 48 hours. C. elegans lays eggs throughout its 293 lifespan when it grows in optimal conditions, although the number of eggs laid is higher 294 in the first stages and decreases during its life cycle. However, according to the results 295 of the present study, when the nematodes are infected by pathogenic bacteria the egglaying pattern may alter and they may lay a greater number of eggs during the first days 296 of the total life cycle and then stop laying eggs after the 5th day (figure 3). As can be 297 298 seen in the figure, the nematodes laid a greater quantity of eggs in the first time interval 299 (0-2 days) than in the second time interval (2-4 days). There were significant differences in the number of eggs laid by C. elegans infected with the three 300 301 subpopulations in the first time interval (0–2 days) ( $p \le 0.05$ ), whereas there were no 302 significant differences between the three subpopulations in the second time interval (2-303 4 days). This behaviour was similar for the S. Typhimurium subpopulations treated by 304 the two technologies, PEF and HHP. These results might indicate that PEF or HHP technology modifies the pathogenic mechanisms of *S*. Typhimurium, impacting on the stress mechanism of *C. elegans* when it is exposed to treated bacteria. In these cases, the nematodes may feel threatened by the unknown bacterial population generated by these treatments. The stress mechanisms correspond to the r-strategy, in which the nematodes increase their reproductive rate in a short period of time (Hodgkin et al., 1991; Schulenburg et al., 2004). This strategy enables them to protect themselves against pathogenic bacteria and to ensure the continuity of their offspring before they die.

It appears that there was a relationship between the egg laying and the hazard function of the nematodes. During the first time interval, the number of eggs laid was high whereas the risk was low. In a research study carried out by Aballay et al. (2000, 2002), it was suggested that *S*. Typhimurium could affect the egg-laying pattern of nematodes, and that the nematodes exposed to this bacterium laid a greater quantity of eggs and then, once they had left offspring, they died as a result of intestinal infection.

After the first four days, *C. elegans* stopped laying eggs and its survival probability decreased quickly when it was fed with untreated *S.* Typhimurium or with *S.* Typhimurium treated once by PEF. In contrast, *C. elegans* fed with *S.* Typhimurium treated four times by PEF or HHP maintained its survival probability after the fourth day. This might be related to the decrease in pathogenicity observed in *S.* Typhimurium treated once or four times by PEF or HHP.

This is the first time that a study has indicated that infection with *S*. Typhimurium treated by PEF or HHP caused nematodes to lay a greater number of eggs, although they stopped laying eggs at the same time as nematodes infected with untreated *S*. Typhimurium. This may be explained by some research studies that show that *C*. *elegans* retains eggs in its uterus when environmental conditions are harmful until the conditions become optimal again (Gardner et al., 2013).

# 331 4. Conclusions

The results obtained in this study could indicate that *S*. Typhimurium develops microbial resistance against PEF or HHP treatment when it is applied repeatedly at sublethal intensity, but, in contrast, its virulence against *C. elegans* appears to decreases. This behaviour could vary among different bacteria, so individualized studies are needed, depending on the pathogen in question.

337 Despite of sublethal treatments with non-thermal technologies applied to food preservation are able to inactivate part of the S. Typhimurium population they could 338 also generate sublethal damaged cells that should be monitored to avoid the 339 340 development of microbial resistance and future emerging risks. With regard to the effect of subpopulations coming from a mixture of sublethally damaged cells and healthy cells 341 of S. Typhimurium on the survival behaviour of C. elegans, it appears that there were 342 some differences between PEF- and HHP-treated S. Typhimurium that could indicate 343 344 different damage or repair mechanisms.

Future work should be carried out to investigate the molecular mechanisms underlying the observed resistance and changes of virulence of S. *Typhimurium* and how these changes could be transferred when the microorganism is stressed in real food matrices.

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#### 349 Acknowledgements

M. Sanz-Puig is grateful to the CSIC for providing a contract as a researcher working actively on project AGL 2013-48993-C2-2-R. The present research work was funded by the Ministry of Economy and Competitiveness and with FEDER funds through project AGL 2013-48993-C2-2-R and AGL 2017-86840-C2-2-R. Authors acknowledge Erasmus internship scholarship given to Clara Torres. Authors Torres and Cunha also

- acknowledge the financial support from the national funds by Fundação para a Ciência e
- a Tecnologia, under project Pest-C/EQB/LA0006/2013

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- 478

# 479 **Figure captions**

**Figure 1. a)** Inactivation of *S*. Typhimurium (Log (S)) after the application of consecutive PEF treatments (30 kV/cm - 300  $\mu$ s). Error bars represent the deviation (SD) of three independent repetitions, each one with three replica. **b**) Inactivation of *S*. Typhimurium (Log (S)) after the application of consecutive HHP treatments (250 MPa - 5 min). Error bars represent the deviation (SD) of three independent repetitions, each one with three replica.

Figure 2. a) Survival probability of worms fed with untreated *S*. Typhimurium and *S*.
Typhimurium treated by PEF once and four times. b) Survival probability of worms fed
with untreated *S*. Typhimurium and *S*. Typhimurium treated by HHP once and four
times.

Figure 3. a) Eggs laid by worms fed with untreated *S*. Typhimurium (S2, S4) and *S*.
Typhimurium treated once (SPEF12, SPEF14) and four times (SPEF42, SPEF44) by
PEF in the first two time intervals. b) Eggs laid by worms fed with untreated *S*.
Typhimurium (S2, S4) and *S*. Typhimurium treated (SHHP12, SHHP14) and four times
(SHHP42, SHHP44) HHP in the first two time intervals.

98	Microorganism	Percentil at 20% (days)		
	S. Typhimurium untreated	$10.13 \pm 1.12$		
9	S. Typhimurium PEF treated			
0	once	11.36 ±1.97		
1	S. Typhimurium PEF treated			
	four times	13.31 ±0.84		

Table 1: Percentiles for *C. elegans* lifespan when fed with the different *S.* Typhimurium
PEF treated populations.

502	Table 2.	Percentiles	for	lifespan	(days)	of	С.	elegans	fed	with	untreated	S.
503	Typhimur	ium and S. T	yphir	nurium tre	eated on	ce a	nd fo	our times	by H	HP.		

Percentil %	S. Typhimurium	S. Typhimurium 1	S. Typhimurium 4
75.0	$1.6 \pm 0.2$	3.1 ± 0.4	$2.8\pm0.6$
50.0	$4.6\pm0.9$	$6.3 \pm 0.5$	$6.3\pm0.4$
25.0	$9.2 \pm 1.1$	$11.0 \pm 1.0$	$9.6\pm0.7$
10.0	$12.9\pm4.8$	$14.3\pm1.8$	$12.4 \pm 4.5$
5.0	$15.6\pm4.0$	$15.9 \pm 1.8$	$15.0 \pm 4.2$
1.0	19.6 ± 31.9	$18.5 \pm 72.2$	$17.8 \pm 14.6$







538 Figure 3

539 a)



Box-and-Whisker Plot

540

541 b)

Box-and-Whisker Plot



542