

## Seed germination and seedling establishment of an invasive tropical tree species under different climate change scenarios

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2	different climate change scenarios
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## 4 Abstract

Increasing air temperature and atmospheric CO<sub>2</sub> levels may affect the distribution of invasive 5 species. Whereas we have wide knowledge on the effect of global change on temperate 6 7 species, responses of tropical invasive species to these two global change drivers is largely unknown. We used Terminalia catappa L. (Combretaceae), an invasive tree species in 8 Brazilian coastal areas, to address the effects of increased air temperature and CO<sub>2</sub> 9 10 concentration on seed germination and seedling growth in a greenhouse experiment in the 11 Santa Catarina island (Florianópolis, Brazil). Seeds of the invasive tree species were subject to two temperature levels (ambient and  $+1.6^{\circ}$ C) and two CO<sub>2</sub> levels (ambient and -650 ppm) 12 with a factorial design. Increased temperature enhanced germination rate and shortened 13 germination time of T. catappa seeds. It also increased plant height, number of leaves and 14 aboveground biomass. By contrast, increased atmospheric CO<sub>2</sub> concentration had no 15 significant effects, and the interaction between temperature and CO<sub>2</sub> concentration did not 16 affect any measured trait. Terminalia catappa adapts to a relatively broad range of 17 18 environmental conditions, being able to tolerate cooler temperatures in its invasive range. Global warming might favour the establishment of *T. catappa*, a native to warmer tropical 19 regions, in South America coastal areas, while increased CO<sub>2</sub> levels seem not to have 20 21 significant effects on seed germination and seedling growth.

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Keywords: CO<sub>2</sub> concentration; coastal dunes; establishment; invasive plant; plant invasion;
temperature; *Terminalia catappa*

25

## 26 Introduction

Climate change and biological invasions are expected to strongly affect biodiversity 27 and ecosystem services worldwide (Schröter et al. 2005; Cardinale et al. 2012). Changes in 28 climate may, through several mechanisms, decrease ecosystem resistance to invasive species 29 and enhance population growth of non-native species. For instance, environmental changes 30 can ease non-native species spread if native species are less competitive, in terms of resource 31 acquisition or in their ability to cope with biotic and abiotic stress factors (Qaderi et al. 2013, 32 Manea et al. 2016). Although it is important to consider biological invasions in the light of 33 climate change (Hellmann et al. 2008; Walther et al. 2009; Bradley et al. 2010) manipulative 34 35 experiments have only occasionally addressed synergies among global change drivers (but see Jia et al. 2016; Johnson & Hartley 2018). This may be especially the case of invasive species 36 from tropical regions introduced to subtropical and temperate regions (Walther et al. 2009; 37 Sheppard et al. 2014). Not surprisingly, our knowledge on invasion risk of tropical areas by 38 alien tropical invaders is still scarce (Ackermann et al. 2017). 39 Several reports addressed the impact of increasing atmospheric CO<sub>2</sub> on the performance 40 of invasive plant species compared to their native neighbors. Most of them reported an 41 increase in biomass (Dukes 2002; Hättenschwiler & Körner 2003; Song et al. 2009) and 42 43 height (Smith et al. 2000) of invasive species in the introduced range in response to higher CO<sub>2</sub> concentrations. The analyzed invasive species included growth forms ranging from 44 annual grasses (Smith et al. 2000; Nagel et al. 2004), to shrubs (Hättenschwiler & Körner 45 2003; Belote et al. 2004), forbs (Dukes 2002) or perennial vines (Song et al. 2009). Evidence 46 suggests that invasive plant species would overall benefit from higher atmospheric CO<sub>2</sub> levels 47 (Bradley et al. 2010; Loveys et al. 2010) perhaps because of higher gas exchange efficiency 48 (Anderson & Cipollini 2013; de Faria et al. 2018). 49

Most expectations about the effect of increasing temperature on plant invaders are based
on habitat-suitability models (Kriticos et al. 2003; Beaumont et al. 2009; McDonald et al.

2009; Bradley et al. 2010; Bellard et al. 2018). Lacking field manipulations, greenhouse 52 53 experiments have shown that warming enhances seed germination and seedling growth of different invasive species (Hou et al. 2014; Skálová et al. 2015; Wang et al. 2011), although 54 there are reports of negative impacts of global warming on germination and seedling 55 establishment in temperate regions (e.g., Footitt et al. 2018). The impacts of global warming 56 on seed and seedling responses have been relatively little addressed (Parmesan & Hanley 57 2015), despite being critical phases which often suffer high mortality rates. These two critical, 58 early life-history stages constitute the first hurdles of invasion success (Dechoum et al. 2015; 59 Hirsch et al. 2016) in an otherwise complex process subject to multiple influences (Zenni et 60 61 al. 2017).

Some reports have shown that elevated CO<sub>2</sub> levels enhance the effects of higher 62 temperatures, increasing plant growth and biomass (e.g., Qaderi et al. 2013; de Faria et al. 63 2018), but evidence is scant and points that it may not be always the case (e.g., Hely & 64 Roxburgh 2005). For instance, Sheppard and Stanley (2014) simulated current conditions and 65 conditions projected for the end of the century using environmental chambers under the 66 combination of two temperature (23.7° and 26° C) and two CO2 (450 and 900 ppmv) 67 regimes, assessing the effects for seedling growth of three woody invasive species in New 68 69 Zealand. They showed that a significant interactive effect of elevated temperature and doubled CO<sub>2</sub> was observed only for the relative growth rate of the height of one of the 70 species, without any effects for the other two species or for other parameters such as total 71 72 biomass and total leaf area. As a matter of fact, although non-native plants of tropical and subtropical origin introduced to colder regions are expected to increase the number and size of 73 their populations and spread farther with climate change (Sheppard & Stanley 2014). 74 evidence shows that the success of these invasions are species- and context-dependent 75 (Walther et al. 2009). 76

77	Coastal sand dunes are the most invaded habitats in Europe (Chytrý et al. 2008), and
78	highly susceptible to invasions worldwide (Campos et al. 2004; Defeo et al. 2009; Carboni et
79	al. 2010; Barbosa et al. 2017) since they experience frequent disturbances and strong
80	environmental stress (Castillo & Moreno-Casasola 1996; Davis et al. 2000). Island coastal
81	communities are threatened by changes in climate (Harley et al. 2006) but, overall, by
82	extreme weather events (Burgiel & Muir 2010) which remove large amounts of standing plant
83	biomass and decrease the resistance of native communities to the establishment of invaders by
84	decreasing the competitive ability of native species (Diez et al. 2012). In addition,
85	urbanization processes and tourism expansion over coastal areas facilitate the introduction of
86	alien species, mostly ornamentals (Alston & Richardson 2006).
87	One expanding alien species in a coastal environment is Terminalia catappa L.
88	(Combretaceae), a tree species native to Malaysia where average annual temperature is 27°C
89	(Tang 2012). This species is invasive in Brazil, especially in coastal ecosystems, with
90	Florianópolis (Santa Catarina, Brazil) being so far the southernmost point of occurrence (I3N
91	Brazil 2017). It is worth note that mean annual temperature in Florianópolis (20.3°C) is some
92	7°C lower than the native range of the species (EMBRAPA 2017).
93	Evaluating the effects of elevated $CO_2$ at different life cycle stages is important to
94	better understand its effects on whole-plant performance in natural ecosystems. With this
95	purpose, we carried out a greenhouse experiment to assess the synergistic effects of increased
96	air temperature and CO <sub>2</sub> concentration on seed germination and seedling growth of the
97	invasive tree species Terminalia catappa. Considering temperature differences between T.
98	catappa native range and southern Brazil, and the potential effects of elevated CO <sub>2</sub> on plant
99	growth, we hypothesized that 1) warming, as a single factor, would enhance germination rate
100	of T. catappa seeds; and 2) similarly, T. catappa seedlings would show higher growth rate
101	under increased levels of atmospheric CO <sub>2</sub> . Finally, we expected 3) a synergistic effect

102	between temperature and CO <sub>2</sub> increases that would enhance <i>T. catappa</i> germination and
103	seedling growth.

104

105 Methods

106 Experimental design

In a greenhouse experiment with a factorial design, we assessed the effects of 107 increased air temperature and CO<sub>2</sub> concentration on seed germination and seedling growth of 108 *T. catappa*. We established two levels for each of the two factors; increased (T+) and ambient 109 temperature (T-); and increased (C+) and ambient  $CO_2$  (C-). Therefore, we had four 110 111 treatments: control (T-C-), increased air temperature and ambient CO<sub>2</sub> concentration (T+C-), ambient air temperature and increased CO<sub>2</sub> concentration (T-C+), and increased air 112 temperature and increased  $CO_2$  concentration (T+C+). Our target was to increase temperature, 113 on average, by 1.6°C and CO<sub>2</sub> to 600-700 ppm, as expected for year 2100 (IPCC 2013; 114 Magrin et al. 2014). The experiment was carried out in a greenhouse at the Federal University 115 of Santa Catarina (Florianópolis, southern Brazil) for 13 weeks between February and May 116 2016. 117 The experiment consisted of eight blocks, each including one replicate of each 118 119 treatment (Fig. 1). Each experimental unit consisted of a plastic tray (30 x 45 cm) placed 120 inside an acrylic chamber (AC; Fig. 1) used to increase air temperature. To increase  $CO_2$ concentration in chambers (C+ treatments), we sealed ACs with transparent, self-adhesive 121 122 film, leaving open only the tray bottom to allow percolation of excess water. Chambers in CO<sub>2</sub> control treatments (C-) were also sealed to avoid experimental artifacts. As ACs 123 increased air temperature in all experimental units, we had to cool down half the ACs to 124 achieve ambient temperature in 'control' treatments (T-). For this purpose, we set up an air 125 conditioning system using a split air conditioner and PVC tubes isolated with thermal 126

blankets to cool off half the chambers. The other half was supplied with ambient air from 127 outside the greenhouse through a piping system connected to a forced ventilation device. 128  $CO_2$  concentration was maintained in C+ treatments at ~600-700 ppm (Table 1) using 129 gas cylinders. An infrared sensor (LI-COR Biosciences, Lincoln, NE, USA) connected to the 130 tank controlled the amount of gas released. Each experimental chamber was an independent, 131 closed system with an entrance of fresh air (cooled or not), and half of them had an additional 132 entrance for CO<sub>2</sub>-enriched air (Appendix 1). 133 Fruits of *T. catappa* were collected at the Florianópolis coast at the end of 134 autumn/early winter 2015 (June-August) and kept at 6°C until the start of the experiment. We 135 136 removed the endocarp to improve germination (Sanches 2009). Seeds were then sterilized in a 75% ethanol solution for two minutes, to avoid fungal infection. Six T. catappa seeds were 137 sown in each tray, which were filled with vermiculite. Trays were watered frequently (aprox. 138 every 4 days), whenever vermiculite started to dry. Trays with increased air temperature (T+) 139 were supplied ~450 ml and trays at ambient air temperature (T-) with ~650 ml. The difference 140 in watering was needed to account for the dehydrating effect of cooling by air conditioning, 141 which could not be avoided. 142

143

144 *Data collection* 

Temperatures were recorded hourly with iButton data loggers (Maxim Integrated, San
Jose, CA, USA) installed in the inner side of each chamber. CO<sub>2</sub> concentration in chambers
was checked periodically with a portable IR sensor to maintain a value of 600–700 ppm in C+
treatments.

Seed germination of *T. catappa* was recorded daily in all chambers. Seedling growth
was measured weekly for a period of five weeks before harvest. All plants that germinated
after the 8<sup>th</sup> week of the experiment were not considered for growth parameters but just
recorded for seed germination analysis.

Plant height, number of leaves, as well as plant mass (above and below ground) were 153 recorded for each alive *T. catappa* individual at the end of the experiment (n=76). Specific 154 leaf area (SLA) was determined by standard methods (Pérez-Harguindeguy et al. 2013). All 155 leaves of each seedling were harvested, labelled and weighed with a precision scale. Then, 156 each leaf was digitized with a scanner, oven-dried in paper bags at 72°C for 48 h, and 157 weighted. The projected areas of the scans were measured using Midebmp software (EEZA, 158 Almería, Spain) and SLA was determined for each single leaf as a ratio between leaf area and 159 dry mass. Mean SLA values were averaged for each seedling. The same procedure was 160 followed in determining leaf dry matter content (LDMC). Above- and belowground plant 161 162 parts were sorted out after harvest, oven-dried at 72°C for 48 h, and weighed with a precision balance to obtain dry mass. Data of seedlings in a chamber were averaged prior to statistical 163 . N.C. analyses. 164

165

#### Statistical analyses 166

Records of CO<sub>2</sub> concentration and air temperature were averaged for each chamber. 167 Wilcoxon test was used to compare air temperature between T+ and T- treatments, while t-168 test was used to test for differences in CO<sub>2</sub> concentration between C+ and C- treatments. The 169 170 velocity of germination coefficient was calculated for each tray following Kader (2005). Differences between treatments regarding germination rate, velocity of germination, as well 171 as performance estimators (plant height, number of leaves, above and belowground dry mass, 172 173 SLA and LDMC) were analyzed with linear mixed-effect models (GLMM) considering air temperature, CO<sub>2</sub> concentration and the interaction between them as fixed factors. Blocks 174 were considered a random factor. Seedlings without leaves were not included in the models 175 for SLA and LDMC (n = 62). All statistical analyses were performed with the software R 176 version 3.0.3 (R Core Team 2014), using the package "lme4" (Bates et al. 2014) for the 177 GLMMs, and "sciplot" (Morales 2012) for the figures. 178

179

# 180 **Results**

181 Chambers significantly increased air temperature (W = 12, p < 0.001), and T+ 182 treatments were on average 1.6°C warmer during daylight time than T- treatments (Table 1), 183 but temperatures at night were similar. CO<sub>2</sub>-enriched chambers (C+) had on average ~50% 184 higher CO<sub>2</sub> concentration than ambient CO<sub>2</sub> (C-) chambers (t = 13.1, d.f. = 16, p < 0.001, 185 Table 1).

Seed germination started 11 days after sowing. A total of 105 seeds germinated during 186 the experiment, or 55% of the total seeds sown. In the T+C+ treatment, 68.8% of seeds 187 germinated along the course of the experiment, compared with 62.5% in T+C-, 47.9% in T-188 C+ and 39.6% in T-C-. Hence, seeds of *T. catappa* germinated notably faster in chambers 189 with increased temperature (Z = 2.23, p < 0.05). By contrast, neither CO<sub>2</sub> increases nor the 190 interaction  $CO_2 x$  temperature did have any effect (Z = 0.82, p = 0.41 for  $CO_2$  and Z = 0.10, p 191 = 0.92, respectively; AIC: 118.7, Fig. 2) pointing that all differences were due to temperature. 192 In chambers with ambient temperature (T-C+ and T-C-) germination started three weeks later 193 (Fig. 3) but CO<sub>2</sub> had no effect (t = 0.33, p = 0.74) and the non-significant T x CO<sub>2</sub> interaction 194 again suggest all differences were due to temperature (t = 0.44, p = 0.66; AIC: 172.3, Fig. 3). 195 196 Temperature did also affect plant growth and allocation patterns, increasing plant height, number of leaves, and aboveground biomass (Table 2). It also led to a decrease in the 197 root-to-shoot ratio, showing that warmed plants allocated less biomass to roots. Warming, 198 199 however, had no effect on total biomass, SLA or LDMC (Table 2). Contrary to our expectations, CO<sub>2</sub> concentration did not have any effect on any seedling trait we measured 200 201 (Table 2).

202

203 **Discussion** 

Increased temperature had positive effects on *T. catappa* seed germination and seedling growth, while increased CO<sub>2</sub> concentration had no effects. Therefore, our hypotheses were supported just in part. Our results showed that even a small rise in daytime temperature  $(1.6^{\circ}C)$  was enough to enhance *T. catappa* seed germination rate. Pearson et al. (2002) reported that some tropical pioneer species, especially those with larger seeds, increased germination rate with increasing temperature most likely due to its effects on seed coat permeability (Baskin & Baskin 1998).

Contrary to our expectations, *T. catappa* seedlings did not respond to increased  $CO_2$ levels, neither in terms of growth (height, biomass, number of leaves) or functional traits (root/shoot, SLA, LDMC). These results are not surprising since similar outcomes have been reported elsewhere (Tooth & Leishman 2014; Manea et al. 2016). However, controversial results and predictions have been published showing a better performance of invasive species when compared to native species under elevated  $CO_2$  levels (Raizada et al. 2009; Bradley et al. 2010; Liu et al. 2017), increasing the spread of invasive plant species.

The lack of responses to increased  $CO_2$  in our species could be due to the short-term 218 focus of our experimental design, which may have not been long enough to induce responses. 219 220 Norby et al. (1992) found that growth efficiency (aboveground mass per unit leaf area) 221 increased only after two years of CO<sub>2</sub> enrichment. Similarly, Hättenschwiler and Körner (2003) reported that increased CO<sub>2</sub> levels enhanced biomass production after three growing 222 seasons in Prunus laurocerasus, an invasive shrub in temperate forests. In addition, increased 223 224 water use efficiency is one potential benefit of elevated  $CO_2$  (Allen Jr et al. 2011; Cheng et al. 2017). However, this potential benefit was largely eliminated by the watering regime, one 225 possible reason that a  $CO_2$  effect was not observed. 226

The combined effect of increased temperature and increased  $CO_2$  on invaders has been scantly addressed in the scientific literature, and evidence is not strong enough to draw consistent conclusions. It has been shown, however, that both drivers combined weaken the

positive effect of single factors on invasive herb species, as experimental warming may
counter any positive impacts of increased CO<sub>2</sub> alone (Williams et al. 2007; Van de Velde et
al. 2015). However, Liu et al. (2017) reported that elevated temperature and CO<sub>2</sub> enrichment
increased the performance of invasive alien plant species more strongly than of native species.
In other experiments, the combined effects of increased temperature and high CO<sub>2</sub> provided
inconsistent results, suggesting that it is highly species-specific and context-dependent
(Bradley et al. 2010; Huang 2016).

*Terminalia catappa* seedlings showed high phenotypic variability expressed through 237 functional traits in response to temperature increases. This suggests high range of tolerance to 238 239 temperature especially during early developmental stages, when the plant is most vulnerable. 240 However, it is not clear how adult individuals of *T. catappa* will respond to these environmental changes and whether this variability is adaptive (resulting in greater fitness). 241 As there are no native congeners to the invasive T. catappa, we cannot test whether T. 242 *catappa* has a higher variability/plasticity than related native species. It is worth mentioning, 243 though, that most studies addressing plasticity focused on species responses to resource 244 availability and light (e.g., Funk 2008; Davidson et al. 2011; Godoy et al. 2011; Palacio-245 246 López & Gianoli 2011), and temperature has been somehow neglected in this context, which 247 makes it difficult to put our results in a wider context.

In conclusion, our data show that establishment of the invasive tree species, 248 Terminalia catappa, will probably be favored in coastal dunes of southern Brazil, since seed 249 250 germination and seedling growth were enhanced under increased air temperature. Terminalia *catappa* is able to adapt to a relatively broad range of environmental conditions tolerating 251 cooler temperatures in the invasive range. With ongoing global warming trends, *T. catappa* is 252 expected to establish and spread into new areas. Thus, it is critical to identify areas 253 susceptible to invasion, with particular attention to protected areas, and adopt appropriate 254 255 control actions. In addition, local regulations should be enforced to prevent the use of the

256	species for ornamental purposes both in private and public properties. Actions should include
257	the species control and eradication when possible in coastal areas to reduce propagule arrival
258	in relevant sites for biological conservation.
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- g th of tree invasions: Complementing the unified framework for biological invasions. AoB 441
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- 443 **Table 1** Mean ( $\pm$  SD) of air temperature and CO<sub>2</sub> concentration in four treatments: T+C+
- 444 (increased temperature and CO<sub>2</sub> concentration), T+C- (increased temperature and ambient CO<sub>2</sub>
- 445 concentration), T-C+ (ambient temperature and increased CO<sub>2</sub> concentration) and T-C-
- 446 (control, ambient conditions).

Variable	Level	Treatment	Mean $\pm$ SD
	T+	T+C+	$25.4 \pm 0.53$
Air temperature (°C)	1 '	T+C-	$25.1 \pm 0.84$
in temperature ( C)	T-	T-C+	23.8 ± 1.12
		T-C-	23.5 ± 1.09
2	C+	T+C+	$692 \pm 36$
CO <sub>2</sub> concentration (ppm)		T-C+	$570 \pm 54$
(PPm)	C-	T+C-	$384\pm9$
		T-C-	$360 \pm 8$
	2		

448 **Table 2** Morphological and functional traits (mean  $\pm$  SD) of *T. catappa* seedlings in the four treatments: T+C+ (increased temperature and CO<sub>2</sub>),

- 449 T+C- (increased temperature and ambient CO<sub>2</sub>), T-C+ (ambient temperature and increased CO<sub>2</sub>) and T-C- (control, ambient conditions). *p* values (T
- 450 for the effect of temperature, CO<sub>2</sub> for the effect of CO<sub>2</sub> concentration and T x CO<sub>2</sub> for the effect of the interaction between factors) and Akaike's
- 451 Information Criterion (AIC) of the GLMMs are also presented. Units for height is cm, for biomass is g, for SLA m<sup>2</sup>/kg, and for LDMC mg/g.
- 452 Significant *p* values are in bold.

		Number of		Total above-ground			
Treatment	Height	leaves	Total biomass	biomass	Root-to-shoot ratio	SLA	LDMC
T+C+	$16.0 \pm 5.7$	2.5 ± 1.3	0.61 ± 0.24	$0.49 \pm 0.18$	$0.29 \pm 0.06$	$32.1 \pm 0.86$	$197\pm99$
T+C-	$17.3 \pm 3.9$	$2.8 \pm 1.3$	$0.59\pm0.27$	$0.51 \pm 0.20$	$0.25 \pm 0.06$	$34.7 \pm 1.01$	$203\pm97$
<b>T-C</b> +	$10.4 \pm 3.0$	$1.2 \pm 1.2$	$0.49\pm0.10$	$0.39 \pm 0.07$	$0.32 \pm 0.05$	$30.5 \pm 1.28$	$235 \pm 187$
T-C-	8.8 ± 3.2	$1.0 \pm 0.9$	$0.45\pm0.16$	$0.37 \pm 0.10$	$0.32\pm0.06$	$27.8 \pm 1.47$	$175 \pm 87$
Statistics							
p (T)	< 0.001	< 0.01	0.07	< 0.05	< 0.01	0.23	0.46
p (CO <sub>2</sub> )	0.29	0.67	0.54	0.55	0.95	0.60	0.27
p (T x CO <sub>2</sub> )	0.22	0.55	0.64	0.40	0.09	0.43	0.31

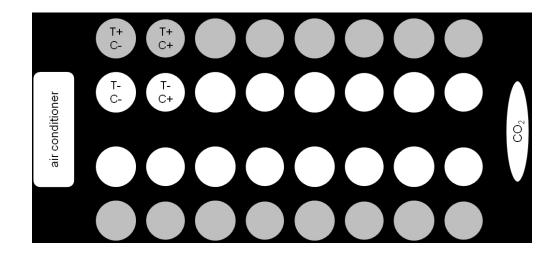
	AIC	458.2	249.8	17.5	60.9	161	773.2	734
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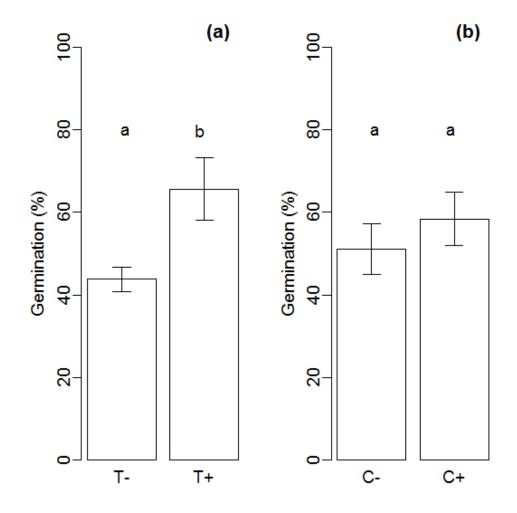
**Fig. 1** Experimental design of the greenhouse experiment. We used 32 chambers (1 chamber = 1 tray with 6 *T. catappa* seeds) for 13 weeks combining increased air temperature (grey circles) and increased  $CO_2$  level (clear circles). This resulted in 8 blocks comprising four treatments: T-C- (control, ambient temperature and  $CO_2$  concentration); T-C+ (ambient temperature and increased  $CO_2$  concentration); T+C- (increased temperature and ambient  $CO_2$  concentration); and T+C+ (increased temperature and  $CO_2$  concentration).

**Fig. 2** Percentage of germination (mean  $\pm$  SD) of *Terminalia catappa* seeds at a) ambient temperature (T-) and increased temperature (T+); and b) ambient (C-) and increased CO<sub>2</sub> (C+) levels. Different letters mean significant differences in paired comparisons (T- and T+, and C- and C+).

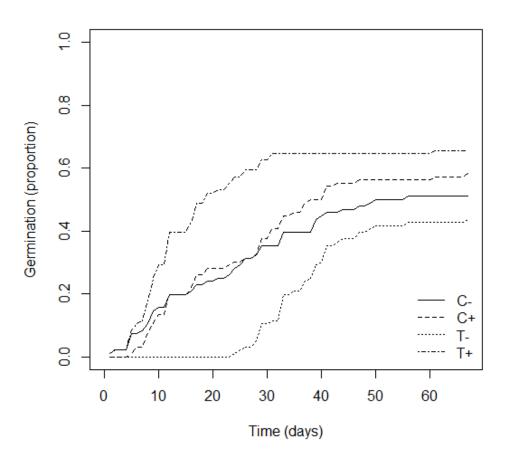
**Fig. 3** Cumulative percentage of germination of *Terminalia catappa* seeds at ambient (T-) and increased (T+) temperature, and ambient (C-) and increased (C+) CO<sub>2</sub> levels.



175x80mm (150 x 150 DPI)



195x194mm (72 x 72 DPI)



195x194mm (72 x 72 DPI)

# Appendix 1



Picture of the experiment in the greenhouse, testing the effect of increases in temperature and CO<sub>2</sub> concentration on *T. catappa* seed and seedling. Experimental units (closed chambers with trays filled with vermiculite) were supplied with ambient air and/or CO<sub>2</sub>-enriched air (pipes in the middle of the bench), cooled air for ambient temperature provided by an air conditioner (silver isolated tubes) and with an output for air (yellow and orange tubes). *T. catappa* seeds were sown in the 32 closed chambers and seedlings were harvested after a growth period of six weeks.