

1 **Focusing and non-focusing modulation strategies for the improvement**
2 **of on-line two-dimensional hydrophilic interaction chromatography ×**
3 **reversed phase profiling of complex food samples.**

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21 **ABSTRACT.**

22 Comprehensive two-dimensional liquid chromatography (LC × LC) is ever gaining
23 interest in food analysis, as often, food-related samples are too complex to be analyzed
24 through one-dimensional approaches. The use of hydrophilic interaction chromatography
25 (HILIC) combined with reversed phase (RP) separations has already been demonstrated
26 as a very orthogonal combination, which allows attaining increased resolving power.
27 However, this coupling encompasses different analytical challenges, mainly related to the
28 important solvent strength mismatch between the two dimensions, besides those common
29 to every LC × LC method. In the present contribution, different strategies are proposed
30 and compared to further increase HILIC × RP method performance for the analysis of
31 complex food samples, using licorice as a model sample. The influence of different
32 parameters in non-focusing modulation methods based on sampling loops, as well as
33 under focusing modulation, through the use of trapping columns in the interface and
34 through active modulation procedures are studied in order to produce resolving power
35 and sensitivity gains. Although the use of a dilution strategy using sampling loops as well
36 as the highest possible first dimension sampling rate allowed significant improvements
37 on resolution, focusing modulation produced significant gains also in peak capacity and
38 sensitivity. Overall, the obtained results demonstrate the great applicability and potential
39 that active modulation may have for the analysis of complex food samples, such as
40 licorice, by HILIC × RP.

41

42 **Keywords:** Metabolite profiling, two-dimensional LC, licorice, active modulation,
43 trapping columns, resolution.

44

45 **1. INTRODUCTION**

46 The use of multidimensional liquid chromatography (MDLC) within the food analysis
47 field is gaining interest, as foods and food-related products are normally considered as
48 very complex matrices [1]. Indeed, it is frequent to find food samples that are simply too
49 complex to be analyzed by conventional one-dimensional chromatography. In other
50 cases, food-related samples may not be so complex in terms of number of compounds
51 present, but these could be composed by mixtures of closely related components that are
52 also difficult to be resolved. Although there are several approaches to MDLC of food, the
53 use of comprehensive two-dimensional liquid chromatography (LC × LC) coupled on-
54 line, presents different advantages over off-line modes as well as over other couplings,
55 such as heart-cutting two-dimensional LC. Most-notably, faster separations may be
56 obtained with high resolving power in a fully-automated way, thus, increasing robustness
57 and reproducibility [2,3]. However, the optimization of a LC × LC method is far from
58 being easy, as there are different inter-related parameters which modification may directly
59 influence others [4]. These optimization challenges are even more pronounced when
60 orthogonal separation mechanisms are coupled, which in practice, is the most-interesting
61 approach. By selecting two independent non-correlated separation modes in both
62 dimensions, significant gains on resolving power and peak capacity are potentially
63 attainable. However, using two very different separation mechanisms in both dimensions
64 means that important solvent incompatibility and/or immiscibility problems may be
65 found. The combination between hydrophilic interaction chromatography (HILIC) in the
66 first dimension (¹D) and reversed phase (RP) in the second dimension (²D) has been
67 shown to be characterized by a high degree of orthogonality for the analysis of complex
68 food samples [5], providing with complementary retention. Although in these two
69 separation modes the same types of mobile phases are employed, their coupling can be

70 termed as fairly incompatible, considering that the relative solvent strength is the opposite
71 in each mode, thus, producing serious solvent mismatch.

72 In a LC \times LC system, both dimensions are physically connected through the modulator.
73 The most-widely employed modulator so far is based on the use of one or more switching
74 valves equipped with two identical volume sampling loops [6]. This configuration allows
75 the effective collection and injection of discrete ^1D effluent fractions into the ^2D
76 continuously, by alternating the position and function of the two sampling loops. To
77 translate this into practice, different analytical conditions should be established, mainly:

78 i) a ^1D slow separation based on the use of very low flow rates, in order to minimize, as
79 much as possible, the effluent fraction volume collected, and; ii) a fast ^2D using very high
80 flow rates, in order to achieve fast separations in the shortest possible analysis time to
81 allow a high ^1D sampling rate. As a consequence, set-ups involving the use of microbore
82 columns in the ^1D combined with short wider columns (e.g., 4.6 mm i.d.) in the ^2D have
83 provided good results [5]. This type of coupling implies the additional advantage of
84 injecting relatively small volumes of ^1D effluent on the ^2D , thus, reducing possible band
85 broadening. However, the main limitation directly related to the application of this
86 approach is the characteristic low sensitivity obtained in LC \times LC compared to regular
87 one-dimensional methods, although potential deleterious issues due to solvent mismatch
88 are significantly reduced [7].

89 To partially alleviate these problems, different modulators have been designed; among
90 them, thermal modulators are included. Within this group, several improvements have
91 been presented, such as a vacuum-assisted evaporation interface aimed to remove the
92 solvent from the ^1D effluent prior transfer to the ^2D [8], or the development of an on-
93 column thermal modulation device [9]. This latter device was shown to be able to apply
94 heating and cooling cycles to capture and elute analytes to the ^2D producing narrower

95 bands. However, due to their sophisticated and complicated design, these thermal
96 modulators have not been to date extended to other applications. In parallel, new
97 approaches have been explored taking advantage of the higher robustness and simplicity
98 of valve-based modulation, such as the use of two parallel ²D columns [ref 10]. Another
99 interesting possibility to enhance the performance is to substitute the regular sampling
100 loops by trapping columns [10-12]. By using this approach, analytes are adsorbed by the
101 stationary phase of the trap, typically with similar selectivity to that found in the ²D,
102 during the collection position, and are then eluted by the ²D mobile phase in the injection
103 position. Although, theoretically, the injection in ²D mobile phase could also help to
104 produce narrow bands and even focusing at the top of the ²D column, there still may exist
105 solvent incompatibility issues that may imply that not all the analytes contained in the ¹D
106 effluent are efficiently retained in the trap. To overcome this issue, a modulation
107 procedure termed “active modulation” has recently been reported [13]; this approach is
108 based on the introduction of a make-up flow of a weaker solvent after ¹D separation and
109 before entrance to the trapping column. This way, a reduction in the solvent strength is
110 fostered, increasing the retention of the trap towards the compounds separated in the ¹D.
111 Subsequently, when the valve is actuated, those retained analytes can be eluted from the
112 trap in narrow bands thanks to the ²D mobile phase. From this basic procedure, other
113 modifications can be performed in order not only to improve the transfer of ¹D effluent
114 to the ²D, but also to increase sensitivity and decrease analysis time. Although this active
115 modulation approach retains a high potential for the analysis of complex samples, its
116 applicability to food samples is still not demonstrated.

117 For this reason, the goal of the present work is to explore new possibilities to improve the
118 separation of complex food samples, looking for quantitative improvements on resolving
119 power, avoiding ²D band broadening, as well as on sensitivity, using licorice as model

120 matrix. To this aim, different modifications at the modulator level are tested and
121 compared, studying their applicability on a HILIC × RP coupling. The influence of the
122 separation and modulation parameters applied on the separation and detection of the
123 secondary metabolite profile of licorice, previously developed in our lab [14], including
124 glycosylated flavanones and chalcones and other polyphenols as well as triterpene
125 saponins, is evaluated.

126

127 **2. MATERIALS AND METHODS.**

128 **2.1. Samples and chemicals.**

129 Licorice samples (*Glycyrrhiza glabra*) from the region of Calabria, Italy, were collected
130 in July 2015 and supplied from a local producer. For the extraction of secondary
131 metabolites from this sample, a simple procedure based on solid-liquid extraction assisted
132 by ultrasounds extraction was followed, as described before [15]. The extraction solvent
133 was a binary mixture ethanol/water (1:1, v/v) using a sample-to-solvent ratio 1:5 (w/v)
134 during 60 min. The resulting extract was filtered and evaporated to dryness. Prior
135 injection, the extract was redissolved in water/acetonitrile (3:7, v/v).

136 HPLC grade ethanol and acetonitrile were purchased from VWR Chemicals (Barcelona,
137 Spain) whereas ultrapure water was produced from a Milli-Q instrument (Millipore,
138 Billerica, MA). Acetic and formic acids were supplied from Sigma-Aldrich (Madrid,
139 Spain), while ammonium acetate was from Panreac (Barcelona, Spain).

140

141 **2.2. Instrumentation.**

142 The LC × LC-DAD instrumentation consisted on a first dimension (¹D) composed by an
143 Agilent 1200 series liquid chromatograph (Agilent Technologies, Santa Clara, CA)
144 equipped with an autosampler. A Protecol flow-splitter (SGE Analytical Science, Milton

145 Keynes, UK) was installed between the ¹D pumps and the autosampler in order to
146 minimize the gradient delay volume of the pump and to obtain more reproducible low
147 flow rates. The second dimension (²D) was composed by an additional LC pump (Agilent
148 1290 Infinity). Both dimensions were connected by an electronically-controlled two-
149 position ten-port switching valve (Rheodyne, Rohnert Park, CA, USA) acting as
150 modulator equipped with two identical sampling loops or trap columns, as indicated. A
151 diode array detector was coupled after the second dimension in order to register every ²D
152 analysis. The system was simultaneously controlled by two different PC running
153 appropriate ChemStation software; one controlled the ¹D, the autosampler and DAD,
154 whereas the other controlled the ²D and actuated the switching valve. For the separations
155 involving the use of a make-up flow, a third LC pump (Agilent 1200 Series) was
156 connected through a t-piece between the outlet of ¹D and the switching valve. The used
157 additional make-up flow was delivered at five-, seven- and nine-times the ¹D flow rate,
158 as indicated.

159 The LC linear chromatograms were elaborated and visualized as 2D- and 3D-plots using
160 LC Image software (version 1.0, Zoex Corp., Houston, TX).

161

162 **2.3. HILIC × RP separation conditions.**

163 Different conditions and column combinations were employed during this research, as
164 described in Section 3. The common analytical conditions for each column used in the ¹D
165 were the following:

166 i) SeQuant ZIC-HILIC (150 × 1 mm, 3.5 μm, Merck, Darmstadt, Germany) column,
167 eluted using (A) acetonitrile and (B) 10 mM ammonium acetate at pH 5.0 as mobile
168 phases, according to the following gradient: 0 min, 3% B; 5 min, 3% B; 10 min, 5% B;
169 15 min, 10% B; 30 min, 20% B; 40 min, 20% B; 50 min, 30% B; 60 min, 30% B; 65 min,

170 40% B; 80 min, 40% B. The injection volume was 5 μL and the flow rate was set at 15
171 $\mu\text{L min}^{-1}$.

172 ii) ZIC-HILIC (250 \times 2.1 mm, 3.5 μm , Merck, Darmstadt, Germany) column, eluted using
173 (A) acetonitrile and (B) 10 mM ammonium acetate at pH 5.0 as mobile phases, according
174 to the following gradient: 0 min, 3% B; 10 min, 3% B; 30 min, 10% B; 50 min, 15% B;
175 60 min, 20% B; 90 min, 40% B. The injection volume was 15 μL and the flow rate was
176 set at 100 $\mu\text{L min}^{-1}$.

177

178 On the other hand, the common analytical conditions for each column used in the ²D were
179 the following:

180 i) Ascentis Express C₁₈ (50 \times 4.6 mm, 2.7 μm , Supelco, Bellefonte, CA) partially porous
181 column using (A) water (0.1% formic acid) and (B) acetonitrile as mobile phases, eluted
182 at 3 mL min^{-1} using two segment gradients: from 0 min to 23.4 min the ²D gradient elution
183 was 0 min, 0% B; 0.1 min, 5% B; 0.5 min, 35% B; 0.9 min, 70% B; 1 min, 90% B; 1.01
184 min, 0% B; 1.3 min, 0% B; from 23.4 to 80 min the employed gradient was programmed
185 as 0 min, 0% B; 0.1 min, 5% B; 0.3 min, 35% B; 0.5 min, 40% B; 0.9 min, 50% B; 1 min,
186 90% B; 1.01 min, 0% B; 1.3 min, 0% B.

187 ii) Ascentis Express C₁₈ (30 \times 4.6 mm, 2.7 μm , Supelco, Bellefonte, CA) partially porous
188 column using (A) water (0.1% formic acid) and (B) acetonitrile as mobile phases, eluted
189 at 2 mL min^{-1} . Different gradients were applied depending on the modulation time
190 applied. The different step gradients are detailed in Table S1.

191

192 When indicated, sets of trapping columns formed by two identical cartridges were
193 employed including C₁₈ and phenyl-hexyl (10 \times 3 mm, 2.6 μm , Accucore, Thermo
194 Scientific, Waltham, MA) stationary phases.

195 UV-Vis spectra were collected in the range of 190-550 nm using a sampling rate of 20
196 Hz, while 254, 280 and 330 nm signals were also independently recorded.

197

198 **2.4. Calculations.**

199 2.4.1 Peak capacity.

200 Individual peak capacity for each dimension (n_c) was calculated according to eq. 1:

$$201 \quad n_c = 1 + \frac{t_G}{\bar{w}} \quad (1)$$

202 where t_G is the gradient time and \bar{w} is the average peak width. For ¹D peak capacity
203 calculations, the average peak width was obtained from *ca.* 10 representative peaks
204 selected along the analysis. Likewise, for ²D peak capacity, as much as possible peaks
205 were considered (*ca.* 20 peaks, depending on the analysis). Additionally, ¹ n_c was also
206 calculated considering the peak broadening factor $\langle\beta\rangle$, giving rise to a corrected ¹D peak
207 capacity (eq. 2), that considers the influence of the deleterious effect of undersampling.
208 To estimate $\langle\beta\rangle$, the sampling time (t_s) as well as the average width of ¹D peaks as
209 standard deviation in time units (¹ σ) before modulation were considered:

$$210 \quad {}^1n_{c,corrected} = \frac{{}^1n_c}{\sqrt{1+0.21\left(\frac{t_s}{{}^1\sigma}\right)^2}} \quad (2)$$

211 For each two-dimensional set-up, different peak capacity values were estimated. First of
212 all, theoretical peak capacity was obtained following the so-called product rule, using eq.
213 3, considering the individual peak capacities obtained in each dimension:

$$214 \quad {}^2Dn_{c,theoretical} = {}^1n_c \times {}^2n_c \quad (3)$$

215 As eq. 3 does not take into consideration the deleterious effects due to the modulation
216 process as well as possible undersampling, a more realistic peak capacity value was
217 obtained from the equation proposed by Li et al. [16] denominated here as practical peak
218 capacity (eq. 4):

$$219 \quad {}^{2D}n_{c,practical} = \frac{{}^1n_c \times {}^2n_c}{\sqrt{1 + 3.35 \times \left(\frac{{}^2t_c \cdot {}^1n_c}{{}^1t_G} \right)^2}} \quad (4)$$

220 being 2t_c , the ²D separation cycle time, which is equal to the modulation time. This latter
 221 equation also includes the $\langle \beta \rangle$ parameter accounting for undersampling. Moreover, to
 222 more precisely compare among set-ups and in order to evaluate possible peak clusters
 223 along the 2D analysis and, thus, to estimate 2D space coverage, the orthogonality degree
 224 (A_O) was considered to offer the denominated 2D corrected (also known as effective) peak
 225 capacity, as follows:

$$226 \quad {}^{2D}n_{c,corrected} = {}^{2D}n_{c,practical} \times A_O \quad (5)$$

227

228 2.4.2 Orthogonality.

229 Among the different approaches that have been described and published to quantify the
 230 orthogonality degree of a two-dimensional set-up [17], the method proposed by
 231 Camenzuli and Schoenmakers [18] was employed in the present work to calculate system
 232 orthogonality (A_O). This procedure takes into account the spread of each peak along the
 233 four imaginary lines that cross the 2D space forming an asterisk, that is Z_1 , Z_2 (vertical
 234 and horizontal lines) and Z_- , Z_+ (diagonal lines of the asterisk). Z parameters describe the
 235 use of the separation space with respect to the corresponding Z line, allowing to semi-
 236 quantitatively diagnose areas of the separation space where sample components are
 237 clustered, thus, reducing in practice orthogonality. For the determination of each Z
 238 parameter, the S_{Z_x} value was calculated, as the measure of spreading around the Z_x line,
 239 using the retention times of all the separated peaks in each 2D analysis.

240

241 2.4.3. Two-dimensional resolution.

242 The resolution metric for two-dimensional separations proposed by Peters et al. [19] was
 243 employed to calculate a representative resolution value and the separation quality of each
 244 set-up. This measure is based on the valley-to-peak ratio between two neighbor peaks. To
 245 establish the valley-to-peak ratio between two peaks (peak 1 and peak 2), three maximum
 246 intensities are considered: the maximum of the peak 1 (max1), the saddle point between
 247 both peaks (S) and the maximum of peak 2 (max2), as well as the distances between max 1
 248 and S, $d_{1,S}$, and the distance between S and max2, $d_{S,2}$.

$$249 \quad d_{1,S} = \sqrt{(\Delta^1 t_{R1,S})^2 + (\Delta^2 t_{R1,S})^2} \quad (6)$$

$$250 \quad d_{1,S} = \sqrt{(\Delta^1 t_{RS,2})^2 + (\Delta^2 t_{RS,2})^2} \quad (7)$$

251 where $\Delta^1 t_{R1,S}$ and $\Delta^2 t_{R1,S}$ are the differences on time between max 1 and S in the ¹D and ²D
 252 and $\Delta^1 t_{RS,2}$ and $\Delta^2 t_{RS,2}$ the difference between S and max2 in both dimensions.

253 Then, the intensity g is defined in accordance with the graphic showed in Figure S1.

254 Intensity g is calculated by:

$$255 \quad g = \frac{d_{1,S} h_{\max 2} + d_{S,2} h_{\max 1}}{d_{1,S} + d_{S,2}} \quad (8)$$

256 where $h_{\max 1}$ and $h_{\max 2}$ are the maximum intensities of peak 1 and peak 2, respectively.

257 The valley-to-peak ratio (V) is calculated as:

$$258 \quad V = \frac{f}{g} = \frac{(g - h_S)}{g} \quad (9)$$

259 Finally, resolution (R_s) is estimated by the following equation:

$$260 \quad R_s = \sqrt{-\frac{1}{2} \ln \left(\frac{1-V}{2} \right)} \quad (10)$$

261 In this work, the resolution measurement of two target critical pairs of peaks was
 262 calculated in each instrumental configuration, and results obtained compared among
 263 them.

264

265 3. RESULTS AND DISCUSSION

266 In our previous work, the first LC × LC application devoted to the profiling of secondary
267 metabolites in licorice was developed [14]. Although the method was characterized by
268 excellent separation capabilities, being possible to detect around 80 compounds from
269 different metabolite families in just one sample, further optimization is desirable to
270 increase sensitivity and to further improve performance. This is mainly interesting due to
271 the fact that this sample is a very diverse and complex mixture of some closely related
272 components, such as glycosylated flavanones and chalcones among other polyphenols as
273 well as triterpene saponins. In the present work, we have applied several strategies, using
274 licorice as a model complex real food sample in order to quantitatively evaluate the
275 attainable performance by introducing new changes in the interface.

276

277 3.1. Non-focusing modulation.

278 3.1.1. Influence of transfer volume/fraction solvent.

279 The most-extended approach to interface both dimensions in LC × LC is the use of two
280 identical sampling loops installed on the switching valve(s) acting as modulator. In our
281 original method, two 30 μL sampling loops were employed with satisfactory results.
282 However, modifications at the interface and columns combination levels could further
283 improve two of the most important points in a comprehensive LC separation: ¹D
284 undersampling and ²D band broadening. These two parameters have a clear deleterious
285 effect both on the resolving power as well as on the attainable peak capacity, and thus,
286 should be minimized. The coupling between HILIC and RP is characterized by a very
287 good degree of orthogonality, thus, being very attractive for the analysis of complex
288 samples. Nevertheless, it generates a solvent mismatch during the transfer of ¹D effluent,
289 considering that the weaker solvent in the ¹D is the stronger one on the ²D environment.

290 According to the intensity of this issue, the resulting ²D separations may be completely
291 ruined, or just worsened to a certain degree depending on the extent of the associated
292 band broadening effect. For this reason, one of the possible strategies to avoid or reduce
293 the mentioned solvent strength mismatch is to dilute the ¹D effluent before its transfer to
294 the ²D. When using a non-focusing modulation procedure based on sampling loops, this
295 effect may be obtained through the use of loops with an internal volume higher than the
296 strictly required to collect the ¹D effluent during the length of a modulation. That way,
297 ¹D effluent supposes only part of the available loop volume whereas the rest is filled with
298 ²D starting mobile phase. However, it has to be also considered that, since short columns
299 are employed in the ²D to obtain fast separations, the increase on the sampling loop
300 volume, which is also the injection volume for each individual ²D separation, may
301 negatively influence the separation [20].

302 Accordingly, the first step was to study the effects of sampling volume and fraction
303 solvent on the ²D, comparing the separation attainable using sampling loops with different
304 internal volume, i.e., 20, 30 and 50 μ L, operated in forward elution. To do that,
305 experimental conditions based on the use of the ZIC-HILIC microbore column in the ¹D
306 and the use of a 50 \times 4.6 mm, 2.7 μ m C₁₈ partially porous column in the ²D, using 78 s
307 as modulation and ²D analysis time, were applied (see Section 2.3). As can be observed
308 in Table 1 and Figure S2A-C, the results in terms of overall separation, resolution and
309 orthogonality were fairly similar. Interestingly, a slight but noticeable increase on
310 theoretical peak capacity was obtained when the sampling loops volume was bigger. This
311 trend would correspond to a decrease on average ²D peak widths as a result of higher
312 dilution of the ¹D effluent and, thus, to the injection of each fraction on a weaker solvent,
313 which helps to improve peak shapes with respect to less diluted fractions. As can be also
314 observed in Table 1, 50 μ L fractions injected in the ²D meant an injection volume of 10%

315 of column void volume, considering that partially porous particles may occupy around
316 40% of the total available column inner volume [21,22]. Thus, the reduction on the
317 fraction solvent strength obtained when using 50 μ L sampling loops (a 2.6-fold dilution)
318 was able to make up for the possible deleterious effect due to increased injection volume.
319 In fact, the use of 10% column void volume was significantly higher than the 3%
320 previously reported in order to not get peak distortion [20]. In spite of the increment
321 obtained in theoretical peak capacity, no practical gains on separation were observed
322 (Figure S2A-C).

323

324 3.1.2. Influence of sampling frequency.

325 Possible enhancements on resolving power could be obtained minimizing the effect of ¹D
326 undersampling. One of the concepts that characterize the performance of an on-line LC \times
327 LC method is the importance of maintaining the separation obtained in the ¹D during the
328 transfer of ¹D effluent to the ²D. If the sampling process is too slow to collect fractions
329 where two well separated ¹D peaks are involved, undersampling arises; in that case, a
330 remix of these previously separated peaks occurs in the transfer process, producing a loss
331 of the ¹D separation and peak capacity. To reduce this negative effect, higher sampling
332 frequencies should be applied, in order to obtain more ¹D fractions analyzed in the ²D.
333 Murphy et al. [23] established the widely-accepted rule of sampling 3-4 times each ¹D
334 peak to solve the remix problem and to maintain the ¹D separation. However, in this case,
335 due to instrumental limitations on maximum bearable backpressure, it was not possible
336 to reduce the analysis time used with the 50 mm C₁₈ partially porous column employed.
337 Changes in the ²D gradient did not produce any noticeable improvement either. For this
338 reason, an even shorter column was tested. A 30 \times 4.6 mm C₁₈ partially porous column
339 (2.7 μ m) was coupled to the formerly optimized ¹D. By using this shorter column, a

340 proper separation was obtained allowing a decrease on total ²D analysis time (gradient
341 time + re-equilibration time) to just 60 s. Under these analytical conditions, the use of the
342 three different transfer volumes was studied (Figure S2D-F). As can be observed from
343 the data summarized in Table 1, theoretical peak capacities obtained using the 30 mm
344 column were lower than those attainable using the 50 mm, as a result of the great
345 dependence of n_c on the available gradient time. However, as a result of the faster ¹D
346 sampling rate applied when the shorter column was used, both orthogonality and
347 resolution of pair 1 were improved, independently of the transfer volume employed (see
348 Table 1). This improvement was more pronounced when using 50 μ L sampling loops, as
349 deduced from the data shown on Table 1 and illustrated in Figure 1A-B and Figure S2. In
350 this latter set-up, the ²D injection volume was equal to 17% of column void volume.
351 Although this relative injection volume is rather high, no appreciable distorted peaks were
352 detected compared to 20 and 30 μ L transfer volumes; indeed, the dilution effect achieved
353 using 50 μ L, again allowed better retention of compounds due to the greater dilution in
354 ²D compatible mobile phase could produce a better interaction of the analytes with the
355 stationary phase (see Figure S2D-F and Figure S3).

356 Although these conditions clearly improved the results attainable using the longer
357 column, the use of higher separation temperature was also explored to investigate if
358 proper ²D separations could be obtained in even shorter analysis times, thus, further
359 increasing ¹D sampling rate. To do that, the ²D column was thermostated at 40 °C and
360 several changes were applied to the gradient profile to adapt the separation to a total 39
361 and 50 s analysis times (Table S1). In order to establish a wider evaluation, the results
362 obtained using the different mentioned modulation times (39, 50 and 60 s) were also
363 compared with the longer 78 s ²D modulation time previously employed with the 50 mm
364 column. In this regard, considering that faster ¹D sampling rates imply that less ¹D

365 effluent volume is transferred to the ²D, the use of sampling loops volume of 50 μL was
366 considered too high; for this reason, to perform these series of experiments, 20 μL
367 sampling loops were installed in the switching valve, allowing more discrete transfers
368 equivalent to 7% of total ²D column void volume. Results are summarized in Table S2
369 and Figure 2. As can be observed, as the modulation time was reduced, ²n_c values also
370 decreased, as a result of the great influence that this value retains from the available ²D
371 t_G. In consequence, the practical 2D peak capacity also tended to decrease. However, the
372 observed decrease is not more pronounced thanks to the better peak shapes obtained as a
373 result of a more pronounced gradient slope and higher dilution effect when using 39 s as
374 modulation time; at those conditions, just 9.5 μL of ¹D effluent were transferred in each
375 modulation, whereas the rest of the sampling volume was filled with ²D mobile phase,
376 thus, helping to reduce the solvent strength mismatch. Moreover, the effect of higher
377 sampling rate is also illustrated on the attainable resolution between the two pairs of
378 compounds studied. As illustrated in Figure 2, resolution between pair 1 improved when
379 reducing the modulation time. In addition, compounds in pair 2 remained coeluted using
380 modulation times of 78 and 60 s, but they could be separated using shorter modulation
381 times.

382

383 **3.2. Focusing modulation using trapping columns.**

384 One of the possible implementations to reduce ²D band broadening and to increase
385 sensitivity limiting dilution is the use of trapping columns in the valve-based modulator.
386 Reduction on band broadening is accomplished by introducing a focusing effect,
387 considering that the analytes eluting from the ¹D would be entrapped in the trapping
388 column during the collection position. Once the valve is actuated, ²D mobile phase would
389 desorb the analytes in discrete bands, injecting them into the ²D column. Even if this

390 approach has a good potential, its use is very limited compared to regular loops-based
391 modulation. In the food analysis field, only C₁₈ trapping columns have been reported
392 [10,24], in order to exactly match the selectivity of the ²D column. In the present work,
393 the use of trapping columns-based modulation to increase resolving power and sensitivity
394 is extended to other stationary phases. Namely, the use of C₁₈ and phenyl-hexyl trapping
395 columns have been explored. The traps (10 × 3.0 mm, 2.6 μm) were installed in the
396 modulator using the minimum possible extra volume for connections. The trapping
397 columns void volume was 42 μL. Moreover, two elution configurations were compared,
398 namely, forward and backflush elution. The use of the shortest available ²D column was
399 maintained, setting a modulation time of 60 s. Table 2 reports the most important method
400 parameters related to these analyses. As can be observed, very similar results could be
401 obtained using the two stationary phases available as well as both elution modes in terms
402 of peak capacity and orthogonality attainable. Interestingly, using both elution modes
403 resolution of critical pair 1 was maintained with respect to the best value attainable using
404 non-focusing modulation, whereas, pair 2, that coeluted using the same separation
405 conditions (60 s modulation time) with sampling loops, was also resolved. In any case,
406 forward elution produced better resolution results for both tested stationary phases.
407 Moreover, as can be appreciated from Figure S4, in general, ²D peak shapes were
408 improved also under forward elution compared to backflush elution. This effect would be
409 obtained as a result of the longer available interaction allowed under forward elution,
410 bearing in mind that the trapping column was not fully filled with ¹D effluent during the
411 collection position (see Figure 3A,B). In addition, although reduced to a minimum, a 2
412 μL tube was necessary to connect the trapping columns to the valve; consequently, there
413 was a small fraction of ¹D effluent that did not enter the trapping column when backflush
414 elution was employed (Figure 3B).

415

416 **3.3. Focusing using active modulation.**

417 The use of active modulation is a further evolution of the direct use of trapping columns.
418 This modulation procedure, recently proposed [13], is based on the use of an additional
419 make-up flow of a weak solvent for the ²D in order to reduce the strength of the ¹D effluent
420 prior entering the trapping column. This way, the interaction between the analytes and
421 the functional groups in the trap is fostered, as illustrated in Figure 3C. Therefore,
422 considering the high potential and relative simplicity that this implementation may have,
423 it is worth to study its application to complex food samples. Considering the ¹D and ²D
424 mobile phases compositions, it was decided to use ultrapure water (0.1% formic acid) as
425 make-up flow. It has been previously observed that a flow rate for the additional make-
426 up flow 7-times higher than the ¹D flow rate was appropriate to achieve the desired effect
427 [13]. However, to further study the possible influence of make-up flow rate on the overall
428 separation performance, three different flow rates for each set of trapping columns (C₁₈
429 and phenyl-hexyl) were tested, i.e., 5-, 7- and 9-times the ¹D flow rate. Table 3
430 summarizes the data describing the performance attained using active modulation for the
431 profiling of secondary metabolites in licorice. As can be appreciated, as for trapping
432 columns, the performance attainable using both stationary phases was very similar. In
433 both cases, the use of higher make-up flow rates allowed a slight improvement on peak
434 capacity, whereas orthogonality values were essentially maintained. More relevant was
435 the improvement observed for the resolution between the two studied pairs; in this regard,
436 the use of make-up flow rates 9-times higher than the ¹D flow rate produced the best
437 results (Figure 4).

438

439 **3.4. Overall comparison.**

440 As already described in the previous sections, different approaches have been considered
441 to further improve the separation capabilities of the initial HILIC × RP method directed
442 towards the profiling of secondary metabolites in licorice. In general, the use of focusing
443 modulation, either using trapping columns or active modulation, allowed a clear
444 improvement on the separation of the complex metabolite profile of this sample (Figure
445 1). In fact, these two approaches allowed obtaining good degrees of resolution between
446 the studied pairs (Figures 4 and S4). In general, better separations were obtained using
447 trapping columns in forward elution mode and using active modulation with make-up
448 flow rates 9-times the ¹D flow rate. Although in both cases, the two stationary phases
449 studied produced comparable performance, the phenyl-hexyl particles were slightly better
450 than C₁₈ particles. Under these conditions, similar orthogonality values as well as
451 resolution between the critical pairs were obtained (Tables 2 and 3). However, practical
452 peak capacities were significantly higher using active modulation (2131 vs 1811), and
453 thus, this procedure resulted more favorable. The use of non-focusing modulation by
454 sampling loops could only provide comparable performance in some aspects when
455 modulation time was significantly reduced, thus, increasing ¹D sampling rate. However,
456 due to very fast ²D separations, the total 2D peak capacity attainable was severely
457 compromised with respect to active modulation.

458 With the aim to further obtain more data illustrating the performance of each procedure,
459 the attainable sensitivity under each separation conditions was studied by analyzing peak
460 S (Figure 5). Values of normalized sensitivity for each set-up are included in Tables 1-3.
461 This value was obtained by considering the sensitivity for peak S in the original method
462 with respect to the sensitivity obtained in each case. As can be observed from those
463 results, the set-up involving the use of active modulation using phenyl-hexyl traps and
464 make-up flow at 9-time ¹D flow rate produced the highest sensitivity enhancement.

465 Consequently, active modulation was shown again as the best possible alternative set-up
466 for the profiling of secondary metabolites in licorice by HILIC × RP in order to further
467 enhance both resolving power and sensitivity.

468 In this regard, theoretically, further sensitivity gains could be obtained if a column with
469 higher sample loadability is used in ¹D. For this reason, a last attempt was made using the
470 optimum separation conditions but increasing the ¹D column internal diameter to 2.1 mm.
471 That column allowed an increase on the injection volume to 15 µL, although higher ¹D
472 flow rates were also needed to maintain the ¹D separation. This would have a deleterious
473 effect on the fraction volume transferred to ²D, but considering that active modulation
474 was employed with trapping columns, the fraction volume should not have such a critical
475 influence on the coupling. As shown in Table S3, the normalized sensitivity obtained was
476 further increased with respect to the use of the microbore ¹D column (Figure 5F);
477 however, the separation obtained was severely hampered, and the resolution between the
478 critical pairs studied was completely lost (Table S3). Thus, this modification was not
479 considered favorable, bearing in mind that compromises should be always taken between
480 sensitivity, resolving power and overall peak capacity obtainable.

481

482

483 **4. CONCLUSIONS.**

484 In the present contribution, different strategies are proposed and compared to further
485 increase HILIC × RP method performance for the analysis of complex food samples,
486 using licorice as a model sample. When using non-focusing modulation based on
487 sampling loops, the use of very short columns (30 mm) in the ²D was shown to be
488 beneficial to increase performance, taking advantage of higher sampling loops volume to
489 increase solvent dilution, thus, minimizing the deleterious effects due to solvent strength

490 mismatch between HILIC and RP. However, the use of focusing modulation procedures
491 was demonstrated to be able to increase not only resolving power but also peak capacity,
492 reducing the effects of solvent mismatch at the same time that producing a focusing effect
493 at the beginning of the ²D analyses. In addition, significant sensitivity gains could be also
494 obtained through the use of active modulation with a relatively high make-up flow rate.
495 A total of 94 peaks were successfully separated in the set-up involving the use of active
496 modulation with phenyl-hexyl trapping columns and a make-up flow rate 9-times higher
497 than the corresponding ¹D flow rate, compared to the initial method (79 peaks), increasing
498 sensitivity more than twice. In summary, the results obtained demonstrate the great
499 applicability and potential that active modulation may have for the profiling of complex
500 food samples by HILIC × RP.

501

502

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580

581

582 **FIGURE LEGENDS**

583 **Figure 1.** Resolution obtained for peaks included in critical pair 1 (white oval) and in
584 critical pair 2 (black oval) in the different set-ups studied. A, using 50 μL sampling loops
585 in combination with a 50 mm long column in the ^2D ; B, using 50 μL sampling loops in
586 combination with a 30 mm long column in the ^2D ; C, using Phenyl-hexyl trapping
587 columns with forward elution, and; D, using active modulation with phenyl-hexyl traps
588 and make-up flow rate equal to 9-times ^1D flow rate.

589

590 **Figure 2.** Dependence of practical peak capacity ($^{2\text{D}}n_{\text{c,practical}}$) (\bullet), 2D resolution reached
591 for pair 1 (\blacksquare), and 2D resolution for pair 2 (\times) on modulation time. For detailed separation
592 conditions, see section 2.3.

593

594 **Figure 3.** Hypothetical scheme of the retention/elution of secondary metabolites from
595 licorice into the trapping columns under forward elution mode (A), backflush elution
596 mode (B), and active modulation (C) set-ups studied, following the procedure: 1)
597 Trapping column filled with ^2D initial mobile phase (injection position, just after valve
598 actuation); 2) Trapping column filled with ^1D effluent fraction (collection position)
599 diluted in strong (A and B) or weak (C) solvent; 3) start of ^2D gradient. Arrows indicate
600 flow direction.

601

602 **Figure 4.** Resolution obtained by using active modulation with phenyl-hexyl trapping
603 columns of the two pairs of the studied peaks, using make-up flow rates equal to 5- (A),
604 7- (B) and 9-times (C) ^1D flow rate, and practical scheme of the calculation of the valley-
605 to-peak ratio used for the estimation of resolution between critical pairs 1 and 2 (D).

606

607 **Figure 5.** Panel A: 2D-plot (254 nm) of the separation obtained in the original method.
608 Sensitivity comparison (peak S) of the original method with the set-up of each modulation
609 configuration using: B, 50 μ L sampling loops in combination with 50 mm length column
610 in the ²D; C, 50 μ L sampling loops in combination with 30 mm length column in the ²D;
611 D, C₁₈ trapping columns with forward elution; E, active modulation with phenyl-hexyl
612 traps and make-up flow rate equal to 9-times ¹D flow rate, and; F, sensitivity gain with
613 the 250 \times 2,1 mm, 3,5 μ m ¹D column. (Retention times of analyses with different ²D
614 gradient are aligned to help the comparison).

615
616**Table 1.** Comprehensive two-dimensional method parameters applied to the profiling of secondary metabolites from licorice using non-focusing modulation

		² D - C ₁₈ 50 × 4.6 mm, 2.7 μm			² D - C ₁₈ 30 × 4.6 mm, 2.7 μm		
Sampling loop volume		20 μL	30 μL	50 μL	20 μL	30 μL	50 μL
¹ D	L (mm)	150	150	150	150	150	150
	I.D. (mm)	1.0	1.0	1.0	1.0	1.0	1.0
	Particle size (μm)	3.5	3.5	3.5	3.5	3.5	3.5
	Flow rate (μL min ⁻¹)	15	15	15	15	15	15
	\bar{w} (min)	2.69	2.69	2.69	2.47	2.47	2.47
	¹ n _c	30	30	30	33	33	33
	<β>	1.33	1.33	1.33	1.25	1.25	1.25
	¹ n _c corr.	23	23	23	27	27	27
² D	\bar{w} (s)	1.06	0.90	0.78	1.02	1.00	1.00
	² n _c	75	88	101	60	61	61
LC × LC	Analysis time (min)	80	80	80	80	80	80
	t _s	1.93σ	1.93σ	1.93σ	1.62σ	1.62σ	1.62σ
	Modulation time (min)	1.3	1.3	1.3	1.0	1.0	1.0
	M – number of modulations	62	62	62	80	80	80
	² V _{inj} (V dilution)	20 μL (0.5 μL)	30 μL (10.5 μL)	50 μL (30.5 μL)	20 μL (5.0 μL)	30 μL (15.0 μL)	50 μL (35.0 μL)
	% ² D column void volume	4%	6%	10%	7%	10%	17%
	Gradient delay volume (mL)	0.72	0.74	0.76	0.48	0.48	0.51
	² D column operation preassure (bar)	299	295	298	187	185	190
	Z ₁	0,84	0,91	0,92	0,89	0,82	0,85
	Z ₂	0,97	0,96	0,99	0,97	0,98	0,97
	Z ₋	0,69	0,77	0,81	0,91	0,89	0,86
	Z ₊	0,83	0,87	0,84	0,99	0,95	0,99
	A _o	68%	76%	79%	82%	82%	84%
	Resolution pair 1	0.65	0.67	0.70	0.75	0.83	0.89
	Resolution pair 2	-	-	-	-	-	-
	Normalized sensitivity	0.85	1.00	1.37	1.08	1.32	1.61
	² Dn _c theoretical	2250	2640	3030	1980	2013	2013
² Dn _c practical	1730	1964	2253	1706	1736	1780	
² Dn _c corr.	1401	1493	1780	1399	1424	1495	

617
618 $\langle\beta\rangle$, average ¹D broadening factor; ¹ n_c corr.: calculated according to eq. 2; t_s , sampling time; A_o , orthogonality; ^{2D} n_c theoretical: ¹ $n_c \times^2 n_c$; ^{2D} n_c practical: calculated according to
619 eq. 4; ^{2D} n_c corr.: ^{2D} $n_c \times A_o$

620 **Table 2.** Comprehensive two-dimensional method parameters applied to the profiling of
 621 secondary metabolites from licorice using trapping columns-based focusing modulation.

	Trapping column	Forward elution		Backflush elution	
		C18	Phenyl-hexyl	C18	Phenyl-hexyl
¹ D	L (mm)	150	150	150	150
	I.D. (mm)	1.0	1.0	1.0	1.0
	Particle size (μm)	3.5	3.5	3.5	3.5
	Flow rate (μLmin ⁻¹)	15	15	15	15
	\bar{w} (min)	2.11	2.11	2.11	2.11
	¹ n _c	39	39	39	39
	<β>	1.32	1.32	1.32	1.32
	¹ n _c corr.	30	30	30	30
² D	\bar{w} (s)	0.97	0.96	0.98	0.98
	² n _c	63	64	62	62
LC × LC	Analysis time (min)	80	80	80	80
	t _s	1.88σ	1.88σ	1.88σ	1.88 σ
	Modulation time (min)	1.0	1.0	1.0	1.0
	M – number of modulations	80	80	80	80
	Gradient delay volume (mL)	0.51	0.51	0.44	0.44
	² D column operation preassure (bar)	260	258	253	254
	Z ₁	0,94	0,86	0,83	0,87
	Z ₂	0,92	0,94	0,97	0,93
	Z	0,94	0,89	0,92	0,97
	Z ₊	0,93	0,98	0,92	0,83
	A ₀	87%	84%	83%	81%
	Resolution pair 1	0.80	0.80	0.79	0.81
	Resolution pair 2	0.81	0.85	0.72	0.78
	Normalized sensitivity	1.15	0.99	0.59	0.75
	^{2D} n _c theoretical	2457	2496	2418	2418
^{2D} n _c practical	1792	1811	1777	1777	
^{2D} n _c corr.	1559	1521	1475	1439	

622 <β>, average ¹D broadening factor; ¹n_c corr.: calculated according to eq. 2; t_s, sampling time; A₀,
 623 orthogonality; ^{2D}n_c theoretical: ¹n_c × ²n_c; ^{2D}n_c practical: calculated according to eq. 4; ^{2D}n_c corr.: ^{2D}n_c × A₀

624

625 **Table 3.** Instrumental parameters employed and method performance descriptors from the use of active modulation for the profiling of secondary
 626 metabolites from licorice.

	Make-up flow rate	C18 trapping columns			Phenyl-hexyl trapping columns		
		5 × ¹ F	7 × ¹ F	9 × ¹ F	5 × ¹ F	7 × ¹ F	9 × ¹ F
¹ D	L (mm)	150	150	150	150	150	150
	I.D. (mm)	1.0	1.0	1.0	1.0	1.0	1.0
	Particle size (μm)	3.5	3.5	3.5	3.5	3.5	3.5
	¹ F (Flow rate, μL min ⁻¹)	15	15	15	15	15	15
	\bar{w} (min)	2.11	2.11	2.11	2.11	2.11	2.11
	¹ n _c	39	39	39	39	39	39
	<β>	1.33	1.33	1.33	1.32	1.32	1.32
² D	¹ n _c corr.	1.32	1.32	1.32	1.32	1.32	1.32
	\bar{w} (s)	0.93	0.84	0.81	0.96	0.84	0.81
LC × LC	² n _c	66	73	75	63	73	75
	Analysis time (min)	80	80	80	80	80	80
	t _s	1.88σ	1.88σ	1.88σ	1.88σ	1.88σ	1.88σ
	Modulation time (min)	1.0	1.0	1.0	1.0	1.0	1.0
	M – number of modulations	80	80	80	80	80	80
	Gradient delay volume (mL)	0.49	0.49	0.49	0.49	0.49	0.49
	Column operation preassure (bar)	266	267	266	259	261	262
	Z ₁	0,87	0,89	0,90	0,87	0,87	0,88
	Z ₂	0,98	0,96	0,96	0,96	0,94	0,94
	Z ₋	0,91	0,92	0,91	0,87	0,89	0,89
	Z ₊	0,93	0,93	0,94	0,96	0,97	0,95
	A ₀	85%	86%	86%	84%	84%	84%
	Resolution pair 1	0.69	0.82	0.85	0.71	0.91	0.93
	Resolution pair 2	0.81	0.86	0.88	0.82	0.86	0.88
	Normalized sensitivity	1.46	1.59	1.98	0.91	1.67	2.01
^{2D} n _c theoretical	2574	2847	2925	2457	2847	2925	
^{2D} n _c practical	1888	2075	2128	1806	2070	2131	

	2Dn_c corr.	1605	1785	1830	1517	1739	1790
627	$\langle\beta\rangle$, average 1D broadening factor; 1n_c corr.: calculated according to eq. 2; t_s , sampling time; A_O , orthogonality; 2Dn_c theoretical: ${}^1n_c \times {}^2n_c$; 2Dn_c practical: calculated according to						
628	eq. 4; 2Dn_c corr.: ${}^2Dn_c \times A_O$						

