



Antioxidant, ACE-inhibitory and antimicrobial activity of fermented goat milk: activity and physicochemical properties relationship of the peptide components

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1

2 **Food & Function**

3

4 **Antioxidant, ACE-inhibitory and antimicrobial activity of fermented goat milk: activity**
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17

18 **Abstract**

19 Increasing evidence on goat milk and their derived products health benefits beyond their
20 nutritional value show their potential as functional foods. In this study, goat milks' fractions
21 were tested for their total antioxidant capacity measured by different methods (ORAC, ABTS,
22 DPPH and FRAP), as well as the angiotensin-I-converting-enzyme inhibitory and antimicrobial
23 (against *Escherichia coli* and *Micrococcus luteus*) activities. Different whey fractions (whey;
24 cation exchange membrane permeate, P and retentate, R) of two fermented skimmed goat milks
25 (ultrafiltered goat milk fermented with the classical starter bacteria or with classical starter plus
26 the *Lactobacillus plantarum* C4 probiotic strain) were assessed. Additionally, P fractions were
27 divided into two sub-fractions after passing them through a 3 kDa cut-off membrane: (a) the
28 permeate with peptides <3 kDa (P<3); (b) and the retentate with peptides and proteins >3 kDa
29 (P>3). No differences in biological activities were observed between the two fermented milks.
30 However, the biological peptides present in the P<3 fraction showed the highest total antioxidant
31 capacity (for the ORAC assay) and angiotensin-I-converting-enzyme inhibitory activities. Those
32 present in the R fraction showed the highest total antioxidant capacity against ABTS⁺⁺ and
33 DPPH^{*} radicals. Some antimicrobial activity against *E. coli* was observed for the fermented milk
34 with the probiotic, which could be due to some peptides released by the probiotic strain. In
35 conclusion, small and non basic bioactive peptides could be responsible of most of angiotensin-I-
36 converting-enzyme inhibitory and antioxidant activities. These findings reinforce the potential
37 benefits of the consumption of fermented goat milk in the prevention of cardiovascular diseases
38 associated to oxidative stress and hypertension.

39

40 **Keywords:** goat milk, antioxidant, antimicrobial, antihypertensive, ultrafiltration, ion exchange

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42

43 Introduction

44 Fermented milks satisfy daily nutritional requirements for several nutrients and exert different
45 health benefits.¹ Furthermore, it is an important source of many bacterial strains owing to the
46 appropriate compatibility among some of them.² Fermented milks contain several probiotic
47 strains, which additionally increase the already known benefits of these dairy products. Milk
48 fermentation by classical starter bacteria (St) (*Lactobacillus delbrueckii* subsp. *bulgaricus* and
49 *Streptococcus salivarius* subsp. *thermophilus*) changes milk properties and increases its
50 digestibility by a decrease in lactose concentration and pH. This process could also release
51 biological active peptides from their inactive forms present in the corresponding sequence of the
52 precursor protein. The specific sequence and length of released peptides depend on two main
53 factors: (a) the precursor protein, which is different in sequence depending on the animal specie
54 and even on the breed;³ (b) the starter bacteria, since the proteolytic system is inherent to each
55 bacteria strain. The healthy benefits of these bioactive peptides may be attributed to their
56 demonstrated antimicrobial, antioxidant, antihypertensive, antithrombotic, immunomodulatory
57 and opioid activities.⁴ Many of the bioactive peptides have demonstrated to have multi-
58 functional properties. Nevertheless, their specific activity depends on the amino acid
59 composition as well as sequence. In this sense, it is well known that anionic peptides do not
60 affect gram-negative bacteria because of repulsive electrostatic interactions between the
61 negatively charged outer membrane and the anionic peptides.⁵ On the other hand, some cationic
62 peptides have shown antimicrobial effect against gram-negative bacteria. However, not all the
63 positively charged peptides exert antimicrobial activity and the action mechanism of milk-
64 derived antimicrobial peptides remains uncertain.⁶ In any case, several peptides have been
65 discovered with antimicrobial activity that can find industrial application.⁶

66 Among the different functions of bioactive peptides, antioxidant properties are very
67 important because high levels of reactive oxygen species (ROS) and free radicals in the organism

68 are associated to several diseases like cancer, diabetes, cardiovascular diseases, arthritis, allergies
69 as well as to aging.⁷ In addition, ROS presence in food causes quality deterioration and shelf life
70 reduction by lipid oxidation.³ It is known that the defense systems of organisms are often not
71 enough to prevent oxidative damage. Some researchers have stated that antioxidant peptides
72 present in the food system play a vital role in the maintenance of antioxidant defense systems in
73 the organism by preventing the formation of free radicals or by scavenging free radicals and
74 reactive oxygen species, and Cheng et al. even recommended their supplementation.⁷ An
75 increasing number of food protein hydrolysates and peptides have been found to exhibit
76 antioxidant activity, especially in peptides produced from bovine milk casein.³ *In vitro*
77 measurement of antioxidant activity is key in the evaluation of the antioxidant potential of
78 bioactive peptide-enriched preparations. Due to the complex nature of antioxidants, there is no a
79 single technique to measure the total antioxidant capacity (TAC) of a food system. Therefore, a
80 variety of analytical techniques are employed with this aim, which can roughly be classified into
81 two types namely, the assays based on hydrogen atom transfer (HAT) reactions and those based
82 on electron transfer (ET).⁸ Then, to study the antioxidant activity of any sample it is necessary to
83 use at least one assay of each type in order to obtain a more complete evaluation of the TAC as
84 the different mechanisms of antioxidant action will be taken into account;⁹ this is particularly
85 important when multicomponent samples are being evaluated.

86 Most of biologically active peptides generated from milk proteins have demonstrated an
87 angiotensin-I-converting enzyme-inhibitory activity (ACEi).¹⁰ This effect leads to a decrease in
88 angiotensin II (potent vasoconstrictor) and a concomitant increase in the bradykinin level, finally
89 yielding an overall reduction in the blood pressure.¹¹ Although the inhibitory capacity of milk
90 derived peptides is lower than that of chemically designed drugs, their production from natural
91 sources could represent a healthier and more natural alternative for chronic treatment, without
92 the side-effects associated to antihypertensive drugs.¹¹ It is known that most publications on

93 ACEi and antihypertensive peptides consider peptides obtained from cow milk.⁴ However, in
94 recent years goat milk proteins have become an important alternative source of ACEi bioactive
95 peptides.¹²

96 Previous *in vitro* studies have demonstrated that the probiotic strain *L. plantarum* C4 has a
97 positive influence in a range of biological functions such as, mineral bioavailability,¹³
98 modulation of the intestinal microbiota¹⁴ and protective and immunomodulatory capacity in a
99 murine model of yerseniosis.¹⁵ Taking into consideration all previous findings, it was
100 hypothesised here that the probiotic strain could also enhance the antioxidant, ACE-inhibitory
101 and antimicrobial activities, in fermented goats' milks.

102 Only a few studies have focused on the bioactivity of fermented goat milk peptidic fractions.
103 Therefore, the aim of the present study was the evaluation of the biological activities
104 (antimicrobial activity against *Escherichia coli* and *Micrococcus luteus*, TAC measured by
105 ORAC, ABTS, DPPH and FRAP methods, and ACEi-activity) of two fermented skimmed goat
106 milks fermented with the classical starter bacteria [StFM] or with classical starter plus the
107 *Lactobacillus plantarum* C4 probiotic strain [St+LPFM]). The use of the probiotic strain *L.*
108 *plantarum* C4 on the milk protein concentrates produced by a local breed of goat for the
109 fermentation process was investigated here for the first time in order to produce a milk product
110 with enhanced biological activities. In addition a novel approach was followed for the
111 physicochemical characterisation (size and charge) of the peptides in the fermented milk in
112 relation to their bioactivities.

113

114 **Results and discussion**

115 **Total protein analysis**

116 As stated in Table 1 a significantly higher protein concentration was observed in whey and
117 permeate (P) fractions when compared to the retentate (R), which means a large proportion of
118 the peptides produced by the tested fermenting strains were anionic or nonionic. Additionally,
119 the fractions of StFM have a higher protein concentration than St+LPFM; that may be due to
120 differences in the fermentation process between St and *L. plantarum* C4, in particular pH, as a
121 lower pH was recorded for the fermentation with the probiotic (4.25 ± 0.02) vs. StFM ($4.39 \pm$
122 0.05) which could have led to more protein coagulation and less soluble protein/peptide.¹⁶

123

124 **Total antioxidant capacity**

125 The results obtained for TAC showed a good correlation with protein content ($p < 0.001$; r:
126 ORAC=0.772, ABTS=0.906 and FRAP=0.950), which could be attributed to the activity of
127 peptides present in those fractions. In order to find which of the fractions had the most active
128 peptides the results were also expressed as $\mu\text{mol Trolox equivalents mg of protein}^{-1}$ (Fig. 1). The
129 most active fractions were different to those identified when expressed as Trolox equivalents
130 mL^{-1} , which means that not always the most active peptides were in the most active fractions.

131 The highest TAC of the fermented milk fractions (Fig. 1) was measured by ORAC for the P<3
132 fraction (reaching $2.927 \pm 0.043 \mu\text{mol Trolox equivalents mL}^{-1}$ in the StFM) . However,
133 according to the other assays, the different milk fractions did not reach $0.4 \mu\text{mol Trolox}$
134 $\text{equivalents mL}^{-1}$ (Fig. 1) for any of the fermented milks (StFM and St+LPFM). Thus, in the case
135 of the FRAP and ABTS assays, the highest TAC was found for the whey and P fractions.
136 Therefore these results show that fractionation by IEX did not result in increased activity as
137 whey and P samples had similar TAC according to all methods while the retained fraction had
138 lower activity (particularly according to ORAC and FRAP methods). On the other hand the
139 fractionation by size (ultrafiltration) resulted in significant differences in antioxidant capacity

140 (Fig. 1) with an important increase in activity. P<3 kDa fractionation showed higher values
141 according to ORAC, ABTS and DPPH methods, while no significant differences were observed
142 between these fractions in FRAP assay.

143 The measured TAC (by ORAC and ABTS assays) for almost all analyzed fractions was
144 significantly higher for StFM than for St+LPFM (Fig. 1). Only the samples from St+LPFM had
145 significantly higher antioxidant capacity in whey fraction according to DPPH assay. The
146 variation in TAC when using the different methods could be attributed to the presence of
147 different peptides that act by different mechanisms. It has been demonstrated that the TAC of
148 dairy products is mainly due to the activity of peptides. Some authors agreed that the main
149 contribution to TAC comes from casein fractions in milk, suggesting that such effect is related to
150 the self-oxidation of caseins' amino-acid residues as well as their derived peptides. Additionally,
151 they reported that this activity cannot be replaced by free amino acids since it is the primary
152 structure of casein itself who plays a determining role.¹⁷ Among the caseins that release
153 antioxidant peptides, β -CN could be preferably degraded by lactic acid bacteria because it is
154 more unstructured and accessible to cleavage, and therefore hydrolyzed to a greater extent.⁷ On
155 the other hand, β -LG and lactoferrin have been reported as key components for their high
156 scavenging activity, releasing also peptides with this activity.¹⁸ The TAC of peptides has been
157 described as remarkably dependent on factors like molecular weight, amino acid composition
158 and sequence.¹⁹ Many authors reported that most of milk protein-derived peptides with
159 antioxidant activity have less than 20 amino-acid residues.^{1,7,11} This is in agreement with our
160 results as the P<3 fraction, with peptides of MW< 3000 (up to about 20 amino-acid residues),
161 had the highest TAC (measured by ORAC), reaching more than 1 μ mol trolox equivalents mg
162 protein⁻¹ (Fig. 1). Nevertheless, Virtanen et al.,²⁰ reported the contrary, supporting higher
163 scavenging activity against the ABTS^{•+} radical of peptides with more than 4 kDa. However, we
164 found that the R fraction contained the peptides with significantly highest TAC against ABTS^{•+}
165 and DPPH[•] radicals (\sim 0.4 μ mol trolox equivalents mg protein⁻¹; Fig. 1). These findings agree

166 with the results reported by other researchers,²¹ who stated that basic peptides had greater
167 capacity to scavenge hydroxyl radical than weak acidic or neutral ones.

168 Few studies have indicated that the radical scavenging activity is strain-specific and that the
169 higher proteolysis is not always associated with higher TAC.^{20,22} In our study no significant
170 differences were observed for P<3 fraction ($\mu\text{mol trolox equivalents mL}^{-1}$) between StFM and
171 St+LPFM, and for almost any other fraction when results were expressed as $\mu\text{mol trolox}$
172 $\text{equivalents mg of protein}^{-1}$. Therefore, the putative probiotic strain *L. plantarum* C4 by itself or
173 by its interaction with St produced no increase in the antioxidant capacity of the fractions.

174 It is known that goat milk has more β -CN than cow milk. In particular, the analyzed
175 fermented goat milks were concentrated in caseins, therefore it was expected to obtain more β -
176 CN derived peptides than from cow fermented milk. Notwithstanding, results were in the range
177 of those reported for whey fractions of cow fermented milks tested against ABTS, ranging from
178 0.2774 to 2.0356 $\mu\text{mol trolox equivalents mL}^{-1}$.²² However, the whey fraction had higher TAC
179 than those reported for nonfermented milks (0.489 in UHT and 1.078 $\mu\text{mol trolox equivalents}$
180 mL^{-1} in pasteurized milk).²³ This finding is probably related to the proteolytic activity of the
181 fermenting strains, which were able to release the antioxidant peptides from milk proteins.²⁴

182 On the other hand, StFM and St+LPFM were produced only in 6 h whereas some authors
183 reported that TAC increases with fermentation time up to 24-48 h.^{7,22} Some studies reported low
184 TAC of the whey fraction, but after fractionation by HPLC, different fractions with higher TAC
185 were obtained.²² Consequently, future research should focus on fractionating and identifying the
186 peptides responsible of the TAC in the whey fraction.

187 Saura-Calixto and Goñi²⁴ reported a total antioxidant daily intake in a typical Spanish diet of
188 3,549 $\mu\text{mol trolox equivalents (ABTS)}$ and 6,014 $\mu\text{mol trolox equivalents (FRAP)}$. Taking into
189 account the whey obtained from a portion of fermented milk sample (200 g), the percentage for
190 which this whey participate in the daily antioxidant intake is 0.75% for the ABTS and 0.50% for
191 the FRAP methods.²⁴ However, the total antioxidant activity of the fermented milk should be

192 higher if we consider the precipitated fraction, with precipitated caseins and bacteria for which
193 an antioxidant activity has also been reported elsewhere.¹

194 Finally, the TAC (Trolox equivalents mL⁻¹) values of the fractions obtained by the different
195 methods were significantly ($p < 0.001$) correlated with each other ($r > 0.830$ and $r = 0.770$ for the
196 ABTS-FRAP and ORAC-FRAP, respectively). DPPH was not significantly correlated with any
197 of the other methods. However, when the TAC was expressed based on protein content a
198 significant correlation was also found for DPPH-ABTS ($r = 0.937$ at $p < 0.001$) and ORAC-
199 FRAP ($r = 0.807$ at $p < 0.001$). This additional significant correlation between DPPH-ABTS
200 could be explained by considering mainly the peptides/proteins responsible for the antioxidant
201 capacity. This is very interesting as there was very good correlation between methods testing
202 antioxidant capacity based on the same mechanism, as DPPH and ABTS are based on both
203 hydrogen atom transfer (HAT) and single electron transfer reactions (SET); the highest TAC was
204 found in the retentate according to the ABTS and DPPH methods. Moreover there was also good
205 correlation between methods based on different mechanisms FRAP (SET) and ORAC (HAT) but
206 with biological relevance

207 ; the highest TAC was found in permeate according to the FRAP and ORAC methods. These
208 results demonstrate that different types of antioxidants are recovered in the different fractions
209 with differences in their antioxidant mechanism.

210

211 **ACEi% activity**

212 Firstly, the measured IC₅₀ obtained for captopril was 0.023 μM, in the range reported by the
213 manufacturer (0.021 ± 0.013 μM). This result confirms the reliability of the method used. In Fig.
214 2a, the ACEi activities of the different fractions of fermented goat milks expressed as percentage
215 of inhibition are shown. The whey and P<3 fractions had the highest ACEi activity (about 50%).
216 Interestingly the R fraction did not show any activity.

217

218 Given that in previous *in vitro* studies¹³⁻¹⁵ the fermentation by the probiotic strain *L.*
219 *plantarum* C4 had led to a range of biological functions the ACEi activity was tested here.
220 Nevertheless, no significant differences were found between StFM and St+LPFM for any of the
221 analysed fractions. Therefore, adding the *L. plantarum* C4 probiotic strain did not significantly
222 increase the ACEi when compared to StFM. Gonzalez-Gonzalez et al.²⁷ found a strain of *L.*
223 *plantarum* able to produce a supernatant with high ACEi activity after 24 h of fermentation.
224 Regarding the other microorganisms used, *L. bulgaricus* has been reported as one of the most
225 proteolytic microorganism as well as a great producer of ACEi peptides²⁵; high ACEi activity
226 (more than 50%) was measured in supernatants obtained from milk fermented with 4 strains of
227 *L. bulgaricus*²⁶. As stated above for TAC, ACEi activity was significantly correlated with
228 protein concentration ($r^2= 0.800$; $p < 0.001$). When results were expressed as ACEi% mg protein⁻¹,
229 the permeate fractions had the highest activity and in particular the P <3 fraction (Fig. 2b).
230 Therefore, as expected, smaller peptides had the highest ACEi (Fig. 2b). In that sense, the
231 fractionation by size led to an increase in the activity. Interestingly charge had also an effect on
232 activity²⁸ as the positively charged fraction of peptides (R) had very little activity (Fig. 2b).
233 Hence the basic peptides had much less activity than the acidic (negatively charged and
234 noncharged) peptides. This is in accordance with the results of Welderufael et al.,²⁸ who found
235 that one of the fractions of the enzymatic whey hydrolysate with peptides derived from β -
236 lactoglobulin with highest ACEi and lowest IC₅₀, contained as main peptides acidic peptides
237 such as IIAE with isoelectric point 4.6.

238 ACEi% reported values for fermented milk whey are very variable depending on the strain
239 used. For milks fermented with *L. bulgaricus* and *S. thermophilus*, most of the reported values
240 are around the 50%, ranging from 25% to 70% of ACEi% activity^{11,25}. Some work was carried
241 out with 13 strains at 3 different final pH's and found that the maximum inhibitory activity was

242 51% for milk fermented with *Lactococcus lactis* 3906 and with final pH 4.3. However, the milk
243 fermented with *S. thermophilus* did not reach the 18% of ACEi activity.²⁹ Otte et al.
244 demonstrated a negative correlation between pH and ACEi activity of milk fermented with two
245 strains of *L. helveticus* and two species of the *Lactococcus* genus, reporting a range from 8 % to
246 50% of ACEi activity.³⁰ However, higher values of ACEi activity were found in milk fermented
247 with other strains like Kumis bacteria, ranging from 10.1 to 74.3 % and up to 100% when
248 fermented with St plus *L. acidophilus* L10, *L. casei* L26 and *B. lactis* B94^{11,31}.

249 On the other hand, the ACEi activity has been demonstrated to be related to ionic calcium
250 (Ca^{2+}), since its concentration may activate or inhibit the ACE.²⁷ We demonstrated that goat
251 UFM was concentrated in caseins and that the ultrafiltration process changed Ca^{2+} distribution
252 [percentage of Ca associated to caseins changed from 63% in goat raw milk (RM) to 51% in
253 goat UFM] and Ca^{2+} content from 135.2 ± 10 to 165.6 ± 15.1 mg/100g in goat RM and UFM,
254 respectively.³² Additionally, the most potent antihypertensive and ACE-inhibitory peptides are
255 generated from caseinates and casein fractions.³³ These findings could explain the high ACEi %
256 found in our fermented goat milk samples. Moreover the fermentation with the probiotic *L.*
257 *plantarum* did not result in increased ACEi activity. One of its strains was reported to be the best
258 γ -amino butyric acid (GABA) synthesizer; GABA is a non-protein derived amino acid with
259 demonstrated hypotensive effect in rats and humans.³⁴ Future studies should focus on GABA
260 production by the probiotic *L. plantarum* C4, due to its possible relationship with the
261 hypertension control.

262

263

264 **Antimicrobial activity**

265 According to the well diffusion assay, no antimicrobial activity of the supernatants against
266 *E. coli* was observed ($p > 0.05$). By contrast, in the whey and P fractions, *E. coli* grew even
267 better than in the control assay. Nevertheless, in the spot assay for both whey and P fractions

268 *E.coli* did not grow where the drop was placed, probably due to the low pH of the samples (4.33
269 and 4.59 for whey and P fractions, respectively). However, R fraction, with higher pH (6.97) due
270 to the presence of cationic peptides did not show any activity against *E. coli*. In relation to *M.*
271 *luteus*, we did not find any inhibition neither in the well diffusion assay nor in the spot test. On
272 the contrary, even higher growth was found around the well of the whey fraction compared to the
273 other fractions where no effect was shown. Additionally, the co-culture assay was carried out to
274 evaluate more precisely the possible inhibition of *E. coli* by the studied fractions. None of the
275 fractions of the fermented milk studied showed antimicrobial activity and the pathogen grew
276 almost as much as in the control (Fig. 3). However, after 24 h significant differences in *E. coli*
277 viable bacteria among control and whey and P fractions of both fermented milks (StFM and
278 St+LPFM), and R fraction of St+LPFM, were found. This inhibition could be due to the acidic
279 pH of whey and P fractions (as mentioned above). However, the R fraction had a pH more
280 similar to the control's. So in this case, the antimicrobial activity could be due to the cationic
281 peptides isolated in this fraction, such as caprine lactoferricin, which has been shown
282 antibacterial activity against *E. coli*³⁵. Ionic charge is crucial for the attachment of peptides to the
283 bacterial membrane⁵; we had hypothesised that cationic peptides would have higher activity than
284 anionic or non charged peptides however, our results did not agree with this. The mechanism of
285 action of milk-derived antimicrobial peptides remains uncertain and other physicochemical
286 properties such as size amphiphilicity and conformation may play a role in their interaction with
287 bacterial membranes.

288 **Experimental**

289 **Samples**

290 Goat milk samples from the Murciano-Granadina local breed were obtained from local farms
291 (Granada province, Southeastern Spain). Specifically, every week along five weeks five batches

292 with five samples for StFM and for St+LPFM were done, according to a previously standardised
293 procedure.³² Each individual sample was analysed by triplicate.

294

295 **Sample fractionation**

296 Fermented milk samples were fractioned in three steps (Fig. 4). In *the first step* the *whey fraction*
297 was obtained. All samples were centrifuged at 3000g and 4 °C for 30 min (Sigma 2-16PK,
298 Sartorius, Goettingen, Germany). Then, the supernatant was separated, freeze-dried and stored
299 under refrigeration and nitrogen atmosphere until analysis. Before the fractionation, freeze-dried
300 samples were dissolved in water up to the initial volume and then filtered through 0.22 µm size
301 pore filters Millex® - GS (Merck Millipore Ltd., Cork, Ireland) in a laminar flow cabinet and
302 stored in sterile containers.

303 In *the second step a cation exchange* was applied. Sartobind filter MA-15 Units (Sartorius,
304 Goettingen, Germany), with a strong acidic cation exchanger membrane. The procedure was
305 carried out according to the operating instructions following four steps: (a) equilibration with 10
306 mL of 10mM potassium phosphate buffer at pH 4.5; (b) loading with 5 mL of sample; (c)
307 washing with 10 mL of equilibration buffer; (d) and finally elution with 5 mL of elution buffer
308 (equilibration buffer + 1 M NaCl at pH 4.5). Then, the cation exchange units were cleaned with
309 0.2 N NaOH for 30 min and equilibrated with 10 mL of equilibration buffer. All steps were
310 conducted at 3 drops/s. With this method, two fractions for each sample were obtained: (1)
311 *Permeate* (P) composed by anionic or zwitterions peptides and proteins at pH 4.5 that permeates
312 when loading the sample; (2) and *Retentate* (R) composed by cationic peptides and proteins at
313 pH 4.5 retained in the resin and extracted in the elution step. We will refer to them as peptides
314 because we assume that both fractions (P and R) could have bioactivity.

315 In the *third step ultrafiltration* was applied; molecules will be separated according to size
316 only by a membrane with molecular weight cut off (MWCO) of 3 KDa. (Vivaspin20, Sartorius,

317 Goettingen, Germany), The ion exchange permeates were fractionated into: (1) Permeate ($P < 3$)
318 which contained compounds sized less than 3 kDa anionic or zwitterions peptides; (2) and
319 retentate ($P > 3$) which contained compounds sized more than 3 kDa anionic or zwitterions
320 peptides and proteins. As stated above, we will refer to them as peptides.

321

322 **Total soluble protein content**

323 The total protein content of the samples was determined based on the bicinchonic acid (BCA)
324 assay according to the previously optimized method.³⁶ The absorbance was measured at 562 nm
325 within 10 min using an Ultrospec 1100 pro UV/Visible spectrophotometer (Amersham
326 Biosciences, Little Chalfont, UK). Serial dilutions of bovine serum albumin (Sigma-Aldrich,
327 Steinheim, Germany) were used as standard and bidistilled water as blank.

328

329 **Total antioxidant capacity (TAC) measured by ORAC, ABTS, DPPH and FRAP assays**

330 The *TAC* using the *oxygen radical antioxidant capacity assay (ORAC)* was determined according
331 to the method described by Huang et al.³⁷ slightly modified. In the *ABTS assay*, the antioxidant
332 capacity was estimated in terms of radical scavenging activity following the procedure described
333 by Pellegrini et al.³⁸ In the *DPPH assay*, the antiradical activity of different samples was
334 estimated according to the procedure reported by Brand-Williams et al.,³⁹ which was adapted to
335 a microplate reader. Finally for the *FRAP determination* the ferric reducing ability of each
336 sample solution was estimated according to the procedure described by Benzie and Strain⁴⁰ and
337 also adapted to a microplate reader.

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343 **Measurement of the ACEi% activity**

344 The ACE-inhibitory activity of the samples and fractions was measured following the HPLC-
345 based method described by Gonzalez-Gonzales et al.,²⁷ with some modifications. For this aim
346 the determination was done by RP-UHPLC, using a Thermo Scientific Accela UHPLC system
347 (Santa Clara, USA) with thermostated compartment sample injector at 10 °C and a C18
348 analytical column (Extrasyl-ODS2, 250 x 4.0 mm, 5 mm, Tecknokroma, Barcelona, Spain)
349 thermostated at 37 °C. The injection volume was 10 µL and the photodiode array detector was
350 set at 228 nm. The flow rate was 1 mL/min with an isocratic solution of acetonitrile 12.5% and
351 trifluoroacetic acid 0.1% in milli-Q water over 8 min, as it was previously reported.⁴¹

352

353 **Evaluation of the antimicrobial activity**

354 This activity was studied using two bacterial strains: a Gram-negative, *Escherichia coli* K-12 (*E.*
355 *coli*), and a Gram-positive, *Micrococcus luteus* (*M. luteus*). Before the assay all samples were
356 filtered through 0.22 µm size pore filters (Millex® - GS, Merck Millipore Ltd., Cork, Ireland)
357 under laminar flow and stored in sterile containers. Every measurement was done in triplicate
358 and sterile Phosphate Buffered Saline (PBS, Sigma-Aldrich, Steinheim, Germany) was assayed
359 as blank.

360 The antimicrobial activity of the whey, P and R fractions of StFM and St+LPFM was
361 assayed by the well diffusion assay, based on the method described by Leon Ruiz et al.⁹ The
362 antimicrobial activity was also evaluated by the spot assay of antibiosis, which was carried out
363 according to the method described by Mohankumar and Murugalatha⁴² slightly modified. The
364 agar was inoculated with the bacteria prepared as described above. Instead of doing wells, three
365 20 µL drops of each sample were put on the agar and the plates were incubated as described
366 above. Inhibition zones were measured from the edge of the drop.

367 Finally, for the determination of the antimicrobial activity by the co-culture assay, 4.5 mL of
368 broth culture (NB for *E. coli* and TSB for *M. luteus*), 0.5 mL of the sample and 50 μ L of the
369 bacteria suspension (growth in NB or TSB at $\sim 6-8 \times 10^8$ cfu mL⁻¹), were cultured all together.
370 This mixture was incubated under stirring at 37 °C for *E. coli* and 30 °C for *M. luteus*. Aliquots at
371 $t = 0, 2, 4, 8$ and 24 h were taken, plated out and incubated 24h at 37°C in NA for *E.coli* and 48-
372 72 h at 30 °C in TSA for *M. luteus*. Finally, the colonies were counted and the mean for each
373 plate was calculated and expressed as cfu mL⁻¹.

374

375 **Statistical analysis**

376 The homogeneity of variances was first assessed using the Levene's test at a significance level of
377 5% ($p < 0.05$). The data normal distribution was assayed with the Shapiro-Wilk test at a
378 significance level of 5% ($p < 0.05$). Statistical analysis of data corresponding to different
379 fractions of the same milk type was tested using the ANOVA test when the parametric
380 conditions were fulfilled or using the Kruskal-Wallis test for non-parametric ones.
381 Additionally, to check the existence of statistical differences between same fractions (and whey
382 samples) from different fermented milks (with and without the probiotic) the pair wise
383 independent t-test was used. The evaluation of the relationship between different assays was
384 carried out by computing the relevant correlation coefficient at the $p < 0.05$ confidence level by
385 Pearson linear correlation (for normal distribution of data) or Spearman linear correlation (for
386 non-normal distribution of data). Analyses were performed using SPSS 17.0 program (Windows
387 version; SPSS Inc., Chicago, IL). The significance value $p < 0.05$ showed the existence of
388 significant differences.

389

390 **Conclusions**

391 A remarkable TAC and high ACEi activity for both fermented goat milks (StFM and St+LPFM)
392 were found. The whey was in general one of the most active fractions in all the assays.

393 However the fractionation of the whey according to size and charge gave a very good insight
394 into the relationship between these physicochemical properties (hence chemical structure) and
395 activity measured as antioxidant, antimicrobial and ACEi activity. Interestingly the highest TAC
396 measured by ORAC was found in the P<3 fraction, therefore peptides with MW<3000 Da were
397 the main contributors to the antioxidant activity not the proteins. On the other hand, positively
398 charged basic peptides (those in the retentate fraction of the membrane separation step) had the
399 highest TAC against ABTS^{••+} and DPPH[•] radicals; both methods test antioxidant mechanism
400 according to HAT and SET mechanisms. In terms of ACEi activity, the highest activity was
401 found in the P<3 fraction. So the smallest (nonionic and anionic) peptides were the main
402 contributors to the ACEi and antioxidant (according to ORAC) activities of the whey.

403 None of the samples had antimicrobial activity against the gram positive bacteria. The whey and
404 the anionic/nonionic fractions of the fermented milk with the starter had some antimicrobial
405 activity against the gram negative bacteria however, this may be partly due to the low pH. Only
406 the whey and the cationic fraction of the fermented milk with the probiotic showed some activity
407 against *E.coli* which could be attributed to peptides released by *L. plantarum* C4 during the
408 fermentation process such as those derived from lactoferrin.

409 Finally, the activities attributed to the whey fractions show potential health benefits of the
410 consumption of fermented goat milk. However, further research is needed to conduct clinical
411 trials to substantiate these and for further identification of individual peptides responsible for the
412 activities.

413

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415

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420 66886R

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Table 1. Total protein content in the different fractions of goat fermented milks (mean \pm SD, mg mL⁻¹)

Sample type	<i>n</i>	Whey fraction	P fraction	R fraction	P<3 KDa fraction	P>3 KDa fraction
StFM	25	6.78 \pm 0.773*	5.69 \pm 0.548 [#]	0.436 \pm 0.096	2.23 \pm 0.145	1.31 \pm 0.377
St+LPFM	25	5.70 \pm 0.661*	4.30 \pm 0.843 [#]	0.355 \pm 0.055	2.08 \pm 0.127	0.97 \pm 0.142
Mean value	50	6.16 \pm 0.868 ^{a,*}	4.85 \pm 0.990 ^{b,#}	0.388 \pm 0.076 ^{c,**}	2.14 \pm 0.143 ^{d,###}	1.19 \pm 0.225 ^{e,++}

StFM: Fermented milk manufactured with skimmed milk concentrated by ultrafiltration (UFM) and fermented with the classical starter bacteria (*St*: *L. bulgaricus* and *S. thermophilus*); St+LPFM: Probiotic fermented goat milk manufactured with UFM and fermented with *St* and *L. plantarum* C4; Whey fraction: Fermented milk supernatant after centrifugation; P fraction: IEX (Ion exchange) permeate; R fraction: IEX retentate; P<3 fraction: P fraction with less than 3 kDa molecular weight; P>3 kDa fraction: P fraction with more than 3 kDa molecular weight.

*[#]Statistical differences between the same fractions of StFM and St+LPFM: $p < 0.05$.

^{a,b,c,d,e}Superscripts with different letters indicate the existence of statistical differences among different fractions: * $p < 0.01$;

**^{##,+++} $p < 0.001$).

Table 2. Final pH of the co-culture supernatants at 24h for fermented goat milks (StFM and St+LPFM) and control

Sample	<i>n</i>	Whey fraction	P fraction	R fraction	Control
StFM (TSB)	25	5.04 ± 0.07	5.06 ± 0.01	7.46 ± 0.07	7.30 ± 0.18
St+LPFM (NB)	25	4.91 ± 0.07	4.83 ± 0.01	6.64 ± 0.01	6.85 ± 0.12

The pH was measured in the supernatant of the culture media mixed with the fractions after the assay. TSB: Tryptone soy broth culture media; NB: Nutrition broth culture media; WHEY: Fermented milk supernatant after centrifugation; P: IEX (Ion exchange) permeate; R: IEX retentate; Control: Sterile PBS.

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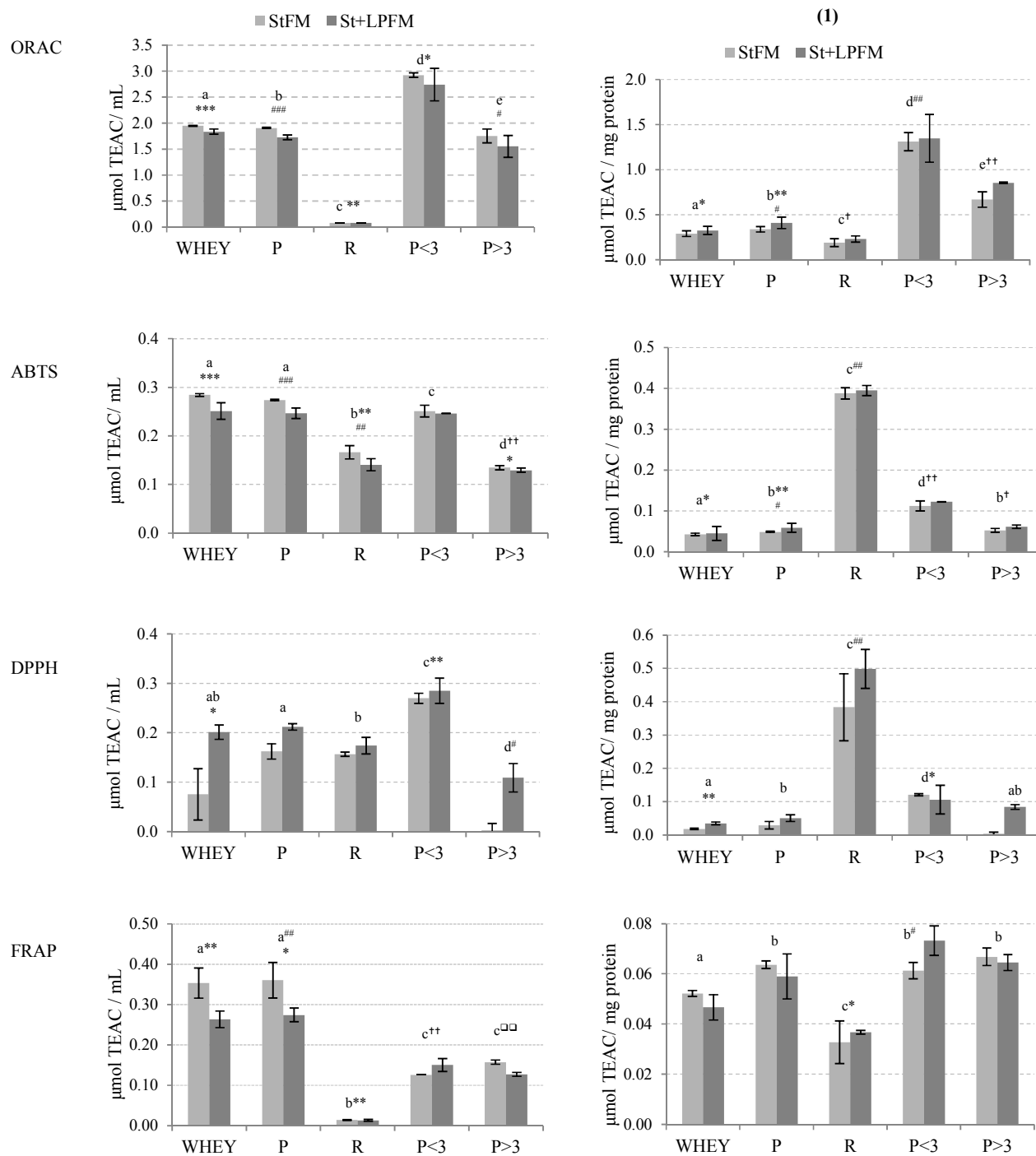


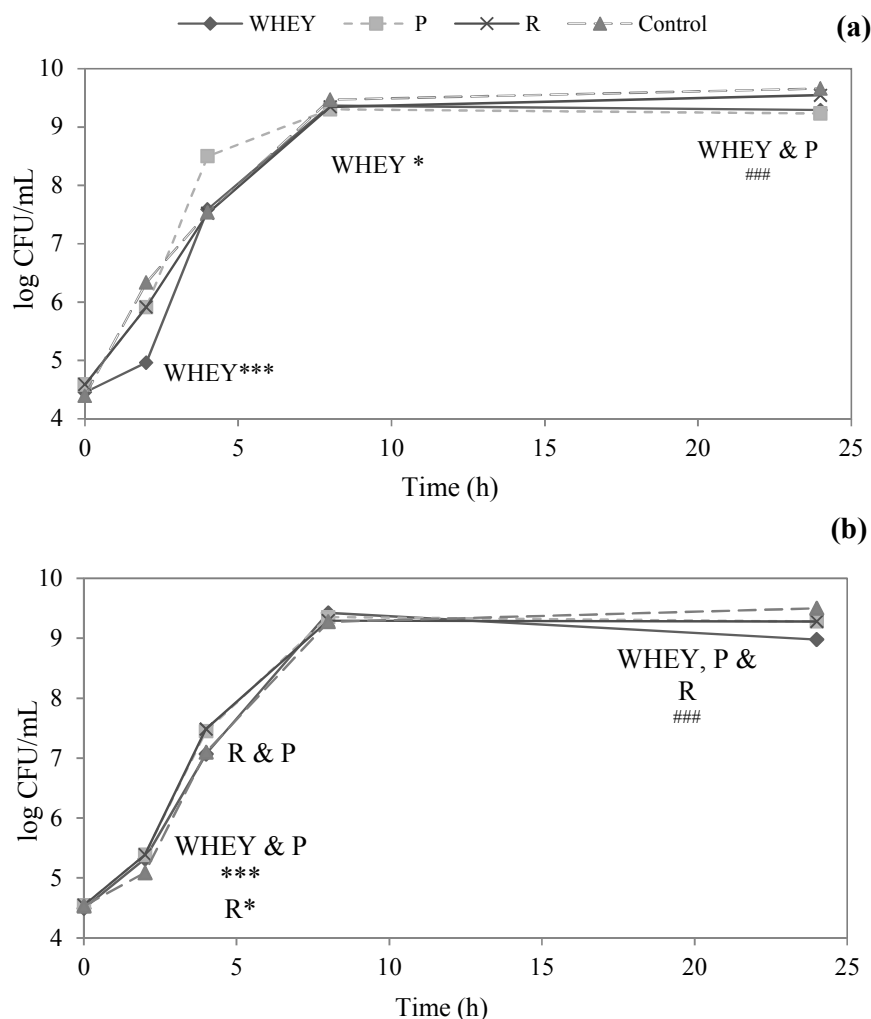
Fig. 1. Antioxidant activity (TEAC mL⁻¹ and TEAC mg protein⁻¹) of the fermented milk fractions by ORAC, ABTS, DPPH and FRAP assays

StFM: Fermented goat milk manufactured with skimmed goat milk concentrated by ultrafiltration (UFM) and fermented with the classical starter bacteria (St) *L. bulgaricus* and *S. thermophilus*; St+LPFM: Probiotic fermented goat milk manufactured with UFM and fermented St and *L. plantarum* C4; Whey fraction: Fermented milk supernatant after centrifugation; P fraction: IEX (Ion exchange) permeate; R fraction: IEX retentate; P<3 fraction: P fraction with less than 3 kDa molecular weight; P>3 fraction: P fraction with more than 3 kDa molecular weight.

*, #, +, **, ##, +, ++, +, +++ Statistical differences between values for StFM and St+LPFM: *, #, + $p < 0.05$; **, ##, ++, +++ $p < 0.01$; ***, ###, +++ $p < 0.001$

a, b, c, d, e Superscripts with different letters indicate the existence of significant differences among fractions (letter: $p < 0.05$; letter, *, #, +: $p < 0.01$; letter, **, ##, ++, +++: $p < 0.001$).

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503 **Fig. 3. Antimicrobial activity measured as viable *E. coli* after co-culture with the different**
 504 **fractions from StFM (a) and St+LPFM (b)**

505 StFM: Fermented goat milk manufactured with skimmed goat milk concentrated by ultrafiltration (UFM) and fermented with the
 506 classical starter bacteria (St) *L. bulgaricus* and *S. thermophilus*; St+LPFM: Probiotic fermented goat milk manufactured with
 507 UFM and fermented St and *L. plantarum* C4; Whey fraction: Fermented milk supernatant after centrifugation; P fraction: IEX
 508 (Ion exchange) permeate; R fraction: IEX retentate; P<3 fraction: P fraction with less than 3 kDa molecular weight; P>3 fraction:
 509 P fraction with more than 3 kDa molecular weight; Control: sterile PBS.

510 ***.### Significant differences for viable *E. coli* at specific time among fractions of fermented goat milks and the control: * $p <$
 511 0.05; ***.### $p <$ 0.001.

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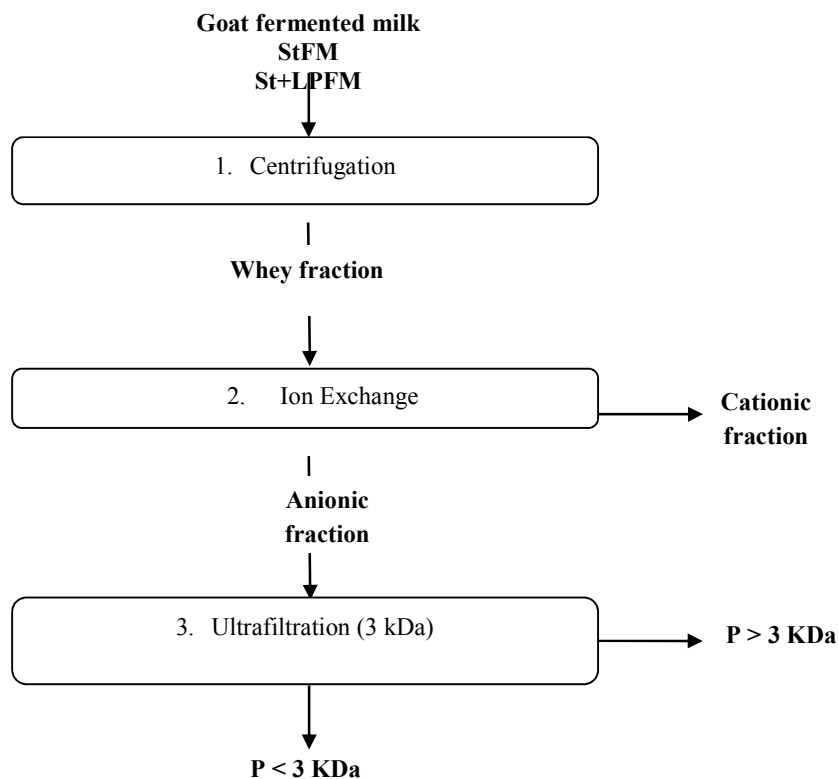
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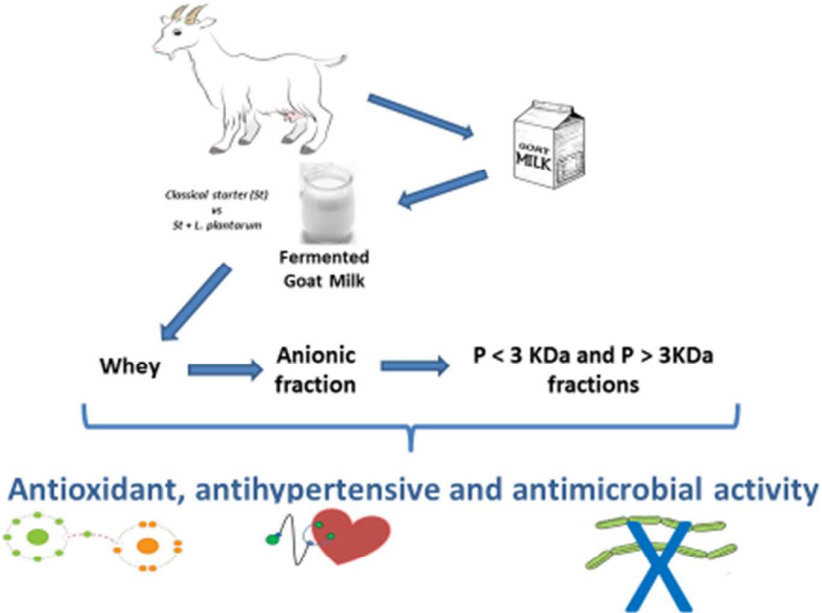
545 **Fig. 4. Sample fractionation diagram for skimmed goat milks with classical starter bacteria**
546 **(StFM) and with the classical starter St plus *Lactobacillus plantarum* C4 probiotic strain**
547 **(St+LPFM)**

548 Whey: Fermented milk supernatant after centrifugation; Cationic fraction: Ion exchange (IEX) permeate; Anionic fraction: IEX retentate; P<3
549 fraction: P fraction with less than 3kDa molecular weight; P>3 fraction: P fraction with more than 3kDa molecular weight.
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Graphical abstract



563

Response to reviewers:

We have taken into account the comments of both referees and we would like to thank their time and effort in making their recommendations. We proceed below to respond to each of their comments.

Response to Referee: 3

The authors have taken into account the suggestions of reviewers and editor and have produced an interesting and high quality manuscript. Please revise the graphic abstract that does not include the fermentation step

We have modified this

Response to Referee: 4

The focus of the work is unclear. Are we evaluating the fermented goat milk or the *L. plantarum* newly found in the author's laboratory.

If the fermented goat milk number of work have been done. If the *L. plantarum* St+LPFM the work should be centered on the effects of this strain, although obviously there is no remarkable merit of the *L. planetarium* over the fermented milk by starter strains.

We are not too clear about what the reviewer means by these comments. As commented by the reviewer 3 there is little work done on fermented goat milk and here we went even further in terms of advancing knowledge in this area by incorporating the probiotic strain. The justification of adding this strain in particular is clearly stated in lines 94-99:

‘Previous *in vitro* studies have demonstrated that the probiotic strain *L. plantarum* C4 has a positive influence in a range of biological functions such as, mineral bioavailability,¹³ modulation of the intestinal microbiota¹⁴ and protective and immunomodulatory capacity in a murine model of yerseniosis.¹⁵ Taking into consideration all previous findings, it was hypothesised here that the probiotic strain could also enhance the antioxidant, ACE-inhibitory and antimicrobial activities, in fermented goats’ milks’

Antimicrobial part should be removed from the manuscript. The data in Fig.3, show no or very weak antimicrobial activity against *E. coli*. While antimicrobial activity against *M. lutes* is not shown.

We agree with the reviewers that no antimicrobial activity is shown and we have modified this section slightly to make this more clear. However we believe that it is important to show also these negative results as in research not only the positive results are valuable.

Page 17 line 405, what is HAT and SET mechanisms?

These were defined twice in the manuscript, in lines 80-81 and in 198-99 as:

‘hydrogen atom transfer (HAT) and single electron transfer reactions (SET); ...’