

1 **Optimization of pressurized liquid extraction by response surface methodology**
2 **of Goji berry (*Lycium barbarum L.*) phenolic bioactive compounds**

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26	Abbreviations:
27	ABTS
28	2,2'-Azino-bis (3-ethylbenzothiazoline-6-sulfonic acid) diammonium salt
29	ASE
30	accelerated solvent extraction
31	DOE
32	design of experiments
33	MAE
34	microwave-assisted solvent extraction
35	PLE
36	pressurized liquid extraction
37	RSM
38	response surface methodology
39	SFE
40	supercritical fluid extraction
41	SLE
42	solid-liquid extraction
43	TEAC
44	trolox equivalent antioxidant capacity
45	TF
46	total flavonoid
47	TPC
48	total phenolic content
49	

50 **Keywords:** Goji berry, HPLC-MS/MS, Phenolic compounds, Pressurized liquid
51 extraction, Response surface methodology

52

53 **Abstract**

54 Pressurized liquid extraction (PLE) has been used for the first time in this work to
55 extract phenolic compounds from Goji berries according to a multilevel factorial design
56 using response surface methodology. The global yield (% w/dw, weight/dry-weight),
57 total phenolic content (TPC), total flavonoid (TF) and antioxidant activity (determined
58 via ABTS assay, expressed as TEAC value) were used as response variables to study
59 the effects of temperature (50–180 °C) and green solvent composition (mixtures of
60 ethanol/water). Phenolic compounds characterization was performed by high
61 performance liquid chromatography–diode array detector–tandem mass spectrometry
62 (HPLC-DAD-MS/MS). The optimum PLE conditions predicted by the model were as
63 follows: 180 °C and 86% ethanol in water with a good desirability value of 0.815. The
64 predicted conditions were confirmed experimentally and once the experimental design
65 was validated for commercial fruit samples, the PLE extraction of phenolic compounds
66 from three different varieties of fruit samples (*Selvatico mongolo*, *Bigol* and *Polonia*)
67 was performed. Nine phenolic compounds were tentatively identified in these extracts,
68 including phenolic acids and their derivatives, and flavonols. The optimized PLE
69 conditions were compared to a conventional solid-liquid extraction, demonstrating that
70 PLE is a useful alternative to extract phenolic compounds from Goji berry.

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76 **1 Introduction**

77 *Lycium barbarum L.* is one of the most important traditional Chinese medicinal plants.
78 The consumption of its fruits, called Goji berries, has spread also to other regions from
79 southeastern Asia to Europe and North America. This fruit has recently generated
80 particular interest for its potential beneficial effects on human health, such as
81 antioxidant, anti-inflammatory and antitumor activities [1]. Such beneficial properties
82 are related to the presence of various functional components like polysaccharides,
83 phenolic compounds, and carotenoids [1,2]. The reported phenolic compounds in Goji
84 berries are small amounts of flavonoids and phenolic acids [3]. Several studies have
85 been carried out regarding the phenolic compounds present in Goji berries, reporting
86 different profiles of compounds. Among flavonoids, the most representative are
87 quercetin and kaempferol derivatives, among which rutin is the most frequent
88 [2,4,5,6,7,8]. Myricetin and isorhamnetin-3-O-rutinoside [5,7], commonly named
89 narcissin, have been also reported. Among phenolic acids, isomers of the
90 dicaffeoylquinic acid, chlorogenic acid, caffeoylquinic acid, caffeic acid and p-coumaric
91 acid have been detected [5,9]. Several coumaric acid derivatives, such as the hexose and
92 di-hexose derivatives have been also reported [5,9,10]. Among other detected and
93 quantified phenolic compounds, there are gallic acid, protocatechuic acid, catechin,
94 syringic acid, epicatechin, ferulic acid, sinapinic acid, naringin and naringenin [9].
95 Moreover, monomers and dimers of phenolic amides containing N-feruloyl tyramine
96 units, called lyciumamides, were discovered and isolated confirming their structure by
97 NMR analysis [2,11].
98 Although there are reports describing the chemical composition of Goji extracts, very
99 little attention has been paid to the optimization of the extraction method. Extraction

100 parameters can be optimized in order to obtain the highest yield and selectivity of the
101 compounds of interest. Traditional methods for the extraction of bioactive compounds
102 from plants material require the use of large volumes of organic solvents, so their main
103 disadvantages rely on environmental constraints. Over the last years, modern techniques
104 have been developed with the aim to overcome these problems. Among them,
105 ultrasound assisted extraction (UAE), microwave-assisted solvent extraction (MAE),
106 supercritical fluid extraction (SFE), and pressurized liquid extraction (PLE), are the
107 most promising [12].

108 Recently, MAE was employed for qualitative and quantitative analysis of bioactive Goji
109 berries' phytochemicals. Experimental conditions of temperature, time and solvent
110 composition were evaluated to study the effect of MAE on the quantitative and
111 qualitative phenolic composition of Goji extracts [9,13].

112 PLE extraction is another innovative extraction method, also known as accelerated
113 solvent extraction (ASE), which employs organic solvents at high pressure and
114 temperature above their boiling point. Generally, solid sample is packed in an extraction
115 cell with a dispersant and extracted with a suitable solvent under elevated temperature
116 (40–200°C) and pressure (500–3000 psi) for short periods of time (5–20 min) and the
117 sample is collected into a vial by compressed gas. This extraction technique allows
118 obtaining higher yields than those achieved by conventional extraction techniques, in a
119 shorter time and with less solvent consumption. Furthermore, the use of food-grade
120 solvents such as ethanol and water can be proposed as a green approach for the
121 extraction of bioactives [14]. PLE is one of the techniques that have been used for the
122 green extraction of polyphenols from many plant materials and fruits, as reviewed
123 recently by Ameer et al. (2017) [15], however, to the best of our knowledge it has never
124 been used before to investigate Goji berries.

125 PLE is affected by several factors, such as extraction temperature, extraction time and
126 solvent composition, depending on the target compounds. For this purpose, response
127 surface methodology (RSM) can be applied for the identification of parameters
128 significantly influencing the extraction. RSM has been used to optimize extraction of
129 antioxidant compounds from a variety of plant materials and fruits [16,17]. Recently,
130 RSM has been employed for the optimization of UAE of Goji berries using water as
131 solvent [18]. The purpose of this work was to study for the first time the use of PLE for
132 the extraction of phenolic compounds from Goji berry fruits. To do this, optimization of
133 PLE conditions was carried out using mixtures water-ethanol as green solvent, by a
134 design of experiments (DOE) based on RSM. The impact of green solvent composition
135 and temperature on total yield, total phenolic content (TPC) and total flavonoid (TF)
136 concentration, as well antioxidant activity of the obtained extracts were evaluated. The
137 extraction efficiency of PLE treatment in comparison with conventional solid-liquid
138 extraction method was also studied. Finally, the extracts were analyzed by HPLC-DAD-
139 MS/MS for the characterization of the polyphenols present in the samples.

140

141 **2 Materials and methods**

142 **2.1 Chemicals and reagents**

143 Absolute ethanol for extractions was purchased from VWR International (Leuven,
144 Belgium). ACN with HPLC–MS quality, was purchased from Fisher (Thermo Fisher
145 Scientific, Leicestershire, UK). Ultrapure water with a resistivity value of 18.2 M Ω was
146 obtained from a Milli-Q system (Millipore, Bedford, MA, USA). Folin–Ciocalteu
147 reagent, ABTS (2,2-azinobis (3-ethylbenzothiazoline- 6-sulfonate)), trolox (6-hydroxy-
148 2,5,7,8-tetramethylchroman-2-carboxylic acid), potassium persulfate, aluminum
149 chloride and the standard compounds: rutin, p-coumaric acid, kaempferol, 3,4-

150 dyhydroxybenzoic acid, quercetin, chlorogenic acid and caffeic acid were from Sigma–
151 Aldrich (Steinheim, Germany). Sea sand was from Panreac (Barcelona, Spain).

152

153 **2.2 Goji berry fruit samples and sample preparation**

154 Commercial samples of Goji berry fruits, produced in Tibet (China), were purchased in
155 herbalist's shop in Spain. Fresh fruits of varieties *Polonia*, *Bigol* and *Selvatico mongolo*
156 were obtained from an Italian local producer (Lazio). Before extraction, all fruit samples
157 were freeze-dried, ground in a mortar and stored at -20°C in darkness until phenolic
158 compounds extraction.

159

160 **2.3 Pressurized liquid extraction (PLE) method**

161 PLE extraction of Goji berry fruits was performed using an accelerated solvent extractor
162 (ASE 200, Dionex, Sunnyvale, USA), equipped with a solvent controller. Extractions
163 were performed at different extraction temperatures and green solvent compositions
164 (namely, ethanol/water), according to the experimental design described in the next
165 section. Dried fruit sample (1 g) was mixed with 3 g of sea sand and placed into an 11
166 mL volume extraction cell. The extraction process was carried out under the following
167 conditions: time, 20 min; pressure, 10 MPa (1500 psi), heat-up time, 5 min; static
168 extraction time, 5 min; flush volume, 60%; purge, N₂ for 60 s; number of cycles, 1. The
169 purged sample extract was collected into a collection vial by compressed gas. The
170 extract was protected from light and stored at -20°C. Samples extracted with 100%
171 ethanol were dried under N₂ stream, samples extracted with ethanol and water mixtures
172 were first dried under N₂ and then freeze-dried in a freeze-dryer (Lyobeta, Telstar,
173 Terrassa, Spain), while those extracted with water were directly freeze-dried.

174

175 **2.4 Experimental design and statistical analysis**

176 A factorial experimental design 3^2 was employed for PLE optimization, considering
177 extraction yield, total phenolic content (TPC), total flavonoid (TF) and antioxidant
178 capacity (TEAC) as response variables to study the effects of temperature (50, 115,
179 180°C) and percentage of ethanol (0, 50, 100% in water) as independent variables. A
180 total of 12 experiments were conducted in a randomized order for commercial Goji
181 berry samples: nine points of the factorial design and three additional center points to
182 consider the experimental errors. The experimental design and data analysis were
183 carried out using RSM with Statgraphics Centurion XVI® software (Statpoint
184 Technologies, Warrenton, Virginia, USA). The effects of the independent factors on the
185 response variables in the separation process were evaluated at 95% confidence level
186 ($p \leq 0.05$) for all the variables. The significance of the mathematical model was evaluated
187 using ANOVA. A Pareto diagram was used to represent the effect of factors where bar
188 color shadings indicate a positive or negative effect caused in the response variable.
189 Moreover, a response surface plot was built to predict the most favorable PLE
190 conditions to extract phenolic compounds from Goji berry. Optimum PLE extraction
191 conditions were achieved by a multiple response optimization by the combination of
192 experimental factors, aiming to maximize the desirability function for the responses in
193 the extracts. To corroborate the suitability of predicted optimal conditions by the
194 mathematical model, fruits were extracted under optimal conditions, in triplicate.
195 Afterwards, the optimum extraction conditions obtained for commercial fruit were used
196 for the extraction of Goji berry from *Polonia*, *Selvatico mongolo* and *Bigol* varieties.

197 **2.5 Conventional solid-liquid extraction method**

198 A conventional solid-liquid extraction using methanol was used as benchmark method,
199 considering that methanol is the most commonly used solvent for the conventional

200 solid-liquid extraction of phenolic compounds from fruits and vegetables [19,20], and
201 that it has been used by several authors for the extraction of phenolic compounds from
202 Goji berries [8,9,10]. Briefly, 1 g of dried fruit sample was extracted with 20 mL of
203 methanol under agitation in ultrasonic bath for 5 minutes and then centrifuged at 3500 ×
204 g for 10 minutes. The extraction was repeated three times and the obtained supernatants
205 were collected together. The solvent was evaporated under vacuum at 40°C. The residue
206 was dissolved in 1 mL of mixture methanol/water (50:50 v/v), centrifuged at 12100 × g
207 for 5 minutes and filtered through a 0.45 µm pore size syringe filter. The extraction was
208 carried out in triplicate for each sample.

209

210 **2.6 Total yield**

211 Glass vials (40-60 mL) were weighed before collecting the extracts and after drying the
212 extracts, to calculate the extract mass. Then the global extraction yields obtained by
213 PLE and conventional methods were calculated as the ratio between the extract mass in
214 dry basis (x) and the mass of initial dry sample fed into the extraction cell (y). The total
215 yield was calculated as following according to equation 1:

$$216 \text{ extraction yield \% (w/dw)} = x (\text{extract mass})/y (\text{initial mass}) \times 100 \quad (1)$$

217

218 **2.7 Total phenolic content (TPC)**

219 The total phenolic content was determined according to Folin–Ciocalteu assay [21]
220 using gallic acid as standard. Briefly, 10 µL (concentration 10 mg/mL) of extract
221 (adequately dissolved) were added to 50 µL of Folin reagent. After 1 min, 150 µL of a
222 20% (w/v) aqueous sodium carbonate solution was added and the volume was made up
223 to 1 mL with water. After 2 h of incubation at room temperature in darkness, 300 µL of
224 the mixture was transferred into a microwellplate. The absorbance of solutions was

225 measured at 760 nm with a Synergy HT microplate reader, by Bio-Tek Instruments
226 (Winooski, VT, USA). TPC was calculated from a calibration curve using gallic acid as
227 standard (0.031–1.000 mg/L). The results were expressed as mg of gallic acid
228 equivalents (GAE) per g of dry fruit. All the analyses were performed in triplicate.

229

230 **2.8 Total flavonoids (TF)**

231 The TF content was measured by the aluminum chloride colorimetric assay [22].
232 Briefly, 100 μ L of extract (concentration 2.5 mg/mL) were added to 140 μ L of
233 methanol and 60 μ L of an 8 mM aqueous solution of AlCl_3 . After 30 min of incubation
234 in darkness, the absorbance was measured at 425 nm. TF was calculated from a
235 calibration curve using quercetin as standard (from 1 to 14 mg/L). Results were
236 expressed as mg of equivalent of quercetin per gram of dry fruit. All the analyses were
237 performed in triplicate.

238

239 **2.9 Trolox equivalents antioxidant capacity (TEAC) assay**

240 Antioxidant capacity was measured using the Trolox Equivalents Antioxidant capacity
241 (TEAC) methodology [23] with some modifications. $\text{ABTS}^{\bullet+}$ (2,2'-Azino-bis (3-
242 ethylbenzothiazoline-6-sulfonic acid) diammonium salt) radical cation was produced by
243 reacting 7 mM ABTS solution and 2.45 mM potassium persulfate solution in the dark at
244 room temperature for 16 h. The aqueous $\text{ABTS}^{\bullet+}$ solution was diluted with 5 mM
245 sodium phosphate buffer at pH 7.4 till an absorbance of 0.7 (± 0.02) at 734 nm. The
246 extracts were prepared at five different concentrations and 10 μ L of each was mixed
247 with 1 mL of $\text{ABTS}^{\bullet+}$ solution and 300 μ L of the mixture were transferred to a 96-
248 multiwell microplate. After 45 min of incubation in darkness the absorbance was
249 recorded at 734 nm in a Synergy HT Microplate reader, by Bio-Tek Instruments

250 (Winooski, VT, USA). Trolox (6-hydroxy-2,5,7,8-tetramethylchromane-2-carboxylic
251 acid) was used as a reference standard and TEAC values were calculated from the
252 Trolox (from 0.25 to 2 mM) standard curve. The percentage of inhibition of ABTS was
253 calculated for Trolox standard and samples using values of absorbance obtained
254 (absorbance ABTS, absorbance standard (Trolox) and absorbance of sample), as shown
255 in equations 2 and 3 respectively:

$$256 \quad \% \text{Inhibition} = [(A_{\text{ABTScontrol}} - A_{\text{ABTSstandard}}) / A_{\text{ABTScontrol}}] * 100 \quad (2)$$

$$257 \quad \% \text{Inhibition} = [(A_{\text{ABTScontrol}} - A_{\text{ABTSsample}}) / A_{\text{ABTScontrol}}] * 100 \quad (3)$$

258 These values were obtained from five different concentrations of each sample tested in
259 the assay giving a linear response between 20 and 80% of the blank absorbance. All the
260 analyses were performed in triplicate. Results were expressed as mmol of equivalent of
261 trolox per gram of dry fruit.

262

263 **2.10 HPLC-DAD-ESI-IT-MS of Goji berry fruits**

264 High pressure liquid chromatography- diode array detector- tandem mass spectrometry
265 (HPLC-DAD-MS/MS) analysis of the extracts was carried out on an Agilent 1200
266 series liquid chromatograph (Agilent Technologies, Santa Clara, CA) consisting in a
267 binary pump, an autosampler and a diode-array detector (DAD), directly coupled to an
268 ion trap mass spectrometer (Agilent ion trap 6320) with an electrospray ionization (ESI)
269 interface. HPLC-DAD-MS/MS method was based on previously studies conducted for
270 phenolic compounds analysis in Goji berry [9,10] with minor modifications. HPLC
271 separation was carried out using a C18 reversed-phase column (150 × 4.6 mm i.d., 3 μm
272 particle size, from ACE Ltd, Aberdeen, Scotland) with a Security Guard Cartridge of
273 the same material. Elution was conducted at 30 °C with water/0.1% formic acid (solvent
274 A) and acetonitrile/0.1% formic acid (solvent B) at a constant flow rate of 0.6 mL min.

275 The gradient program was as follows: 0 min, 0%B; 10 min, 5%B; 15 min, 10%B; 45
276 min, 60%B; 65 min, 70%B; 70 min, 100%B; 72 min 0%B. The injection volume was 10
277 μ L. Data were acquired using photodiode array detector in the range 200-700 nm and
278 the chromatograms were extracted at 280 and 370 nm. The instrument was controlled
279 by LC ChemStation 3D Software Rev. B.04.03 (Agilent Technologies, Santa Clara, CA,
280 USA).

281 Chromatograms were acquired in the MS instrument using negative ionization mode
282 with the following parameters: capillary voltage, -3.5 kV; drying temperature, 350 $^{\circ}$ C;
283 drying gas (N₂) flow rate, 9 L min⁻¹; nebulizer gas pressure, 40 psi. Full scan was
284 acquired in the m/z range 50 – 2200 . Automatic MS/MS analyses were also performed,
285 fragmenting the two highest precursor ions ($10,000$ counts threshold; 1 V Fragmentor
286 amplitude).

287

288 **3 Results and discussion**

289 **3.1 PLE of Goji berry phenolic compounds**

290 PLE conditions were optimized by RSM to test its efficiency towards the extraction of
291 phenolic compounds from Goji berry fruits. An experimental design is proposed in
292 order to study the significance of the main parameters involved in the extraction, i.e.,
293 extraction temperature (50 – 180 $^{\circ}$ C) and green solvent composition (water/ethanol
294 mixtures). Extraction time and pressure were kept constant at 20 min and 1500 psi,
295 respectively, taking into account results obtained in previous published works [24,25].
296 A factorial experimental design at three levels was set-up as follows: temperature (50 ,
297 115 , 180 $^{\circ}$ C) and ethanol percentage in water (0% , 50% , 100%). Employing this design,
298 the influence of extraction conditions on four different response variables was studied.

299 These response variables were the global extraction yield expressed as g/100 g dry
300 matter, together with the TPC, TF and TEAC values.

301 Table 1 presents the experimental matrix and results obtained at each extraction
302 condition for the four response variables. As can be seen in Table 1, the response
303 variables showed a different behavior depending on the extraction conditions. Thus, the
304 highest global yields of the PLE extracts were achieved with 50% ethanol in water as
305 extraction solvent, with yields of 41.74 %, 53.46% and 74.84% at 50, 115 and 180 °C,
306 respectively. Moreover, the experimental data showed that an increase in the
307 temperature from 50 to 180 °C led to a substantial increase in the global extraction yield
308 for all the different extraction solvents.

309 As can be seen in Table 1 the lowest total phenolic content (TPC of 5.72 mg GAE/g)
310 was found in the extract obtained with pure water at 50 °C, and the highest TPC value
311 (74.84 mg GAE/g) was obtained for 100% ethanolic extract at 180 °C. For all
312 employed solvents, TPC increased with temperature. Summarizing, the solvent that
313 extracted more phenolic compounds are, in decreasing order: pure ethanol, ethanol +
314 water (50% v/v), pure water. In a similar manner as TPC, TF values showed a minimum
315 of 0.16 mg QE/g extract when pure water at 50 °C was used and a maximum value of
316 3.20 mg QE/g extract with 100% ethanol at 180 °C. In addition, TF increased with
317 temperature for all tested solvents. Respect to the antioxidant activity, the highest
318 TEAC value of 1.21 was found in the extract obtained with 50% ethanol solvent at 180
319 °C, which are the same conditions that gave the highest yield. Also antioxidant activity
320 increased with the temperature except for 100% ethanol as extraction solvent. Thus,
321 based on TPC and TF results, it can be concluded that the higher yields obtained with
322 50% ethanol as extraction solvent are due to the extraction of other non-phenolic
323 compounds, which have antioxidant activity.

324 The extraction yields, TPC, TF and TEAC values obtained for different temperatures
325 and solvent compositions were statistically analyzed. Regression analysis was
326 performed on the experimental data and the coefficients of the model were evaluated for
327 significance. Figure 1 shows the standardized Pareto charts for the four response
328 variables studied and their corresponding response surface plot. Different bar color
329 shadings indicate the positive (grey) and negative (black) effects whereas the vertical
330 line tests the significance of the effects at the 95% confidence level (see Figure 1).

331 Extraction yield was positively influenced by temperature. This behavior can be
332 explained by (i) improvement of mass transfer from the sample to the extraction
333 solvent, (ii) increasing of the solubility of compounds and (iii) reduction in solvent
334 viscosity giving more penetration of the solvent in the matrix [26]. The composition of
335 the solvent also showed a significant effect (see Figure 1), obtaining the highest yield
336 with the mixture of water:ethanol (50:50, v:v). According to the mathematical model,
337 180 °C and 52.6 % ethanol were the optimum conditions to maximize the extraction
338 yield.

339 TPC and TF values showed the same behavior. These responses were positively
340 influenced by temperature giving pure ethanol the highest values (see Figure 1). In this
341 case, according to the mathematical model 180 °C and 100% ethanol were the optimum
342 conditions to maximize TPC and TF values. The TEAC values were also positively
343 influenced by temperature, while the increasing amount of EtOH in the solvent showed
344 a significant negative effect on TEAC values as shown in Figure 1. According to the
345 mathematical model, the highest TEAC values can be achieved by using 180 °C and
346 77% ethanol as optimum conditions.

347 Since all response variables had equal importance, a multiple response optimization was
348 carried out including extraction yield, TPC, TF and TEAC (see Figure 2). The optimum

349 PLE conditions predicted by the model were as follows: 180°C and 86% ethanol with a
350 good desirability value of 0.815.

351 To corroborate the usefulness of our model, three replicate extractions for commercial
352 Goji berry were carried out under the optimum PLE conditions predicted by the model.
353 Table 2 shows the predicted and the experimental values. As can be seen, the values
354 experimentally obtained for yield, TPC, TF and TEAC (77.64, 65.98, 3.02 and 0.80,
355 respectively) were in good agreement with those theoretically predicted (69.95, 62.22,
356 3.20 and 0.78, respectively), besides, all the RSD values were lower than 8%. Once the
357 experimental design was validated for the commercial Goji berries samples, these
358 parameters were applied for the extraction of phenolic compounds from the other three
359 varieties: *Selvatico mongolo*, *Bigol* and *Polonia*. The results are given in Table 3.

360 Among the total yield, the results obtained under the PLE optimum conditions for the
361 four fruits samples analyzed in the present study indicate potential differences between
362 commercial (origin: China) and fresh berry varieties (origin: Italy). All samples were
363 freeze-dried before extraction to minimize the effect of humidity. The three fresh fruit
364 samples (*Selvatico mongolo*, *Bigol* and *Polonia*) gave a lower yield values respect to
365 commercial fruit sample (see Tables 2 and 3). Various factors contribute to the phenolic
366 profile of plants: genotype, site location, climatic conditions and year. It is probable that
367 fresh berry fruits, growing in a different habitat with different treatment, have a
368 different phenolic content. In addition, among TPC, TF and TEAC, results obtained
369 were in good agreement with those theoretically predicted (low RSD values). However,
370 interestingly, an appreciable relationship between total phenols, total flavonoids and
371 antioxidant activity was observed.

372

373 **3.2 Comparison of PLE vs. SLE**

374 Solid-liquid extraction (SLE) is commonly used for extraction of phenolic compounds
375 using solvents such as methanol, ethanol and ethyl acetate [25]. This traditional
376 extraction method consists of a direct extraction with an appropriate solvent using an
377 extractor, homogenizer or ultrasonic bath for a given time. Often, traditional methods
378 have some disadvantages like long extraction times and the massive use of solvents. In
379 the present work, PLE is proposed as an alternative method to reduce common
380 disadvantages like extraction time and solvents usage. In this work, a conventional
381 solid-liquid extraction using methanol was used as benchmark method, since methanol
382 is the most widely used solvent for the extraction of phenolic compounds [19,20], and
383 has been previously used for the extraction of phenolic compounds from Goji berries
384 [8,9,10]. Table 4 shows the results obtained for phenolic compounds extraction from
385 commercial Goji berry samples using PLE and SLE. The results presented in Table 4
386 show that PLE is quite more effective than the conventional SLE method for phenolic
387 and flavonoid extraction. The PLE extract also presented higher antioxidant activity
388 than SLE while PLE gave a slightly lower extraction yield than SLE. These results
389 confirm that the combined application of high pressure and temperature in PLE is
390 effective in the recovery of phenolic compounds from Goji berries when compared to a
391 traditional method. By considering economic and practical aspects, PLE can be
392 considered clearly advantageous respect to SLE for Goji berry phenolic compounds
393 extraction. Furthermore, the use of environmentally friendly solvents as ethanol and
394 water can be also proposed as a green approach compared to the use of methanol in
395 conventional SLE method.

396 **3.3 Determination of major phenolic compounds by HPLC-DAD-MS/MS**

397 HPLC-DAD-MS/MS was used to analyze the PLE Goji berry extracts obtained at the
398 aforementioned optimum PLE conditions in order to separate and identify the phenolic

399 compounds in the extracts. Considering previously studies [9,10], the optimization of
400 analytical method was performed. Taking into account the chemical structure of
401 phenolic compounds, the separation was optimized using a C18 stationary phase. Due to
402 the wide range of polarity of phenolic compounds, a gradient elution was developed.
403 Different mobile phases were tested to optimize the analytical method and acetonitrile
404 (instead of methanol) was found to improve the chromatographic peak resolution of
405 phenolic compounds. In addition, the use of formic acid as modifier was tested in
406 different amounts to improve the peak shape. The best separation was achieved by using
407 water/0.1% formic acid as solvent A and acetonitrile/0.1% formic acid as solvent B.
408 Data on the phenolic compounds found in the different samples are summarized in
409 Table 5. Figure 3 shows representative chromatograms obtained for the four studied
410 Goji berry samples at 280 and 370 nm. As it can be seen, the qualitative profile varied
411 depending on the sample studied. In order to improve compounds' identification, the
412 MS/MS spectrum was recorded in negative ionization mode because of the best
413 performance of phenolic compounds in negative ionization mode compared to positive
414 ionization. Compounds identification was based on DAD and fragmentation mass
415 spectra (MS/MS), comparison of retention time of commercial standards when available
416 and data reported in the literature.

417 A total of nine phenolic compounds were tentatively identified in the four extracts
418 including hydroxycinnamic acids and their derivatives and flavonols, namely:

419 Hydroxycinnamic acids derivatives. Peak 1 (Rt: 3.7 min, λ_{\max} : 290 nm) was identified
420 as caffeoylhexose on the basis of the fragmentation of the molecular ion ($[M-H]^-$) at m/z
421 341 showing a loss of 162 amu, $[M-H-hex]^-$ at m/z 179 [28]. Peak 2 (Rt: 8.0 min, λ_{\max} :
422 250 nm) was identified as p-coumaroylquinic acid having a molecular ion ($[M-H]^-$) at
423 m/z 337 and MS/MS fragmentation at m/z 179 as major fragment [29]. Peaks 9 (Rt:

424 21.0 min, λ_{\max} : 280 nm) and 10 were identified as two isomers of the dicaffeoylquinic
425 acid having a molecular ion ($[M-H]^-$) at m/z 515 and 514 respectively. Mass spectrum
426 of these compounds showed fragmentation ions at m/z 353, m/z 191, m/z , m/z 179 and
427 m/z 173 that is the characteristic mass spectrum of this chlorogenic acid [29].

428 Flavonols. Three flavonols were tentatively identified, quercetin-*O*-rutinoside (rutin),
429 quercetin-3-*O*-glucoside and isorhamnetin 3-*O*-rutinoside. The identity of Peak 18 (Rt:
430 28.8 min, λ_{\max} : 280,360 nm) as rutin was corroborated comparing UV-visible spectrum,
431 retention time and MS/MS data after injecting the standard [28]. Quercetin-3-*O*-
432 glucoside was assigned at peak 20 (Rt: 29.8 min, λ_{\max} : 300 nm) [30]. The MS spectrum
433 showed a molecular ion ($[M-H]^-$) at m/z 462.2 and MS^2 fragmentation ion at m/z 301 as
434 quercetin ion. Peak 22 (Rt: 30.3 min, λ_{\max} : 240, 290 and 340 nm) was identified as
435 isorhamnetin 3-*O*-rutinoside. This identification was confirmed by the mass spectral
436 data with molecular ion ($[M-H]^-$) at m/z 623 and MS^2 fragment at m/z 315 [4].

437 Pyroglutamic acid hexose was assigned at peak 3 (Rt: 9.4 min, λ_{\max} : 280 nm) and
438 exhibited a deprotonated ion ($[M-H]^-$) at m/z 290. In the MS-MS spectra was observed
439 an ion at m/z 128, after elimination of hexose moiety at m/z 128. Identification of this
440 compound is consistent with a previous study [5].

441

442 According to our results, *Lycium barbarum* fruits are mainly rich in flavonoids and
443 phenolic acids derivatives, in good agreement with previously published works [2,5,7] .

444 In this regard, it has already been shown that the phenolic profile of Goji berries from
445 Mongolia, China and Tibet depends on the cultivation area [5]. These berries showed
446 differences in terms of quercetin and isorhamnetin derivatives, rutin, narcissin and
447 kaempferol derivatives, among flavonoids. Furthermore, there was also a significant
448 difference in terms of phenolic acids derivatives. These results are in good agreement

449 with our study, in which the chemical composition in flavonoids and phenolic acid
450 derivatives is clearly influenced by the different geographic origin, climate, soil and
451 cultivations method. Besides, the four samples studied in the present study showed a
452 different qualitative profile, which it is certainly due to the different cultivars and
453 origins. Among flavonoids, rutin is the most frequent compound [2,4,8,9]. We detected
454 rutin only in the commercial Goji berry sample. Also the other two flavonoids,
455 quercetin 3-o-glu and isorhamnetin 3-o-rut, were detected only in the commercial Goji
456 berry sample and not in the *Polonia*, *Selvatico mongolo* and *Bigol* varieties. Among
457 phenolic acid derivatives, some compounds as caffeoylhexose, p-coumaroylquinic acid
458 and dycaffeoylquinic acid were identified in all varieties of Goji berry fruits. Caffeic
459 acid derivative was identified in all samples except in variety *Selvatico mongolo*.
460 Dycaffeoylquinic acid was identified in varieties *Polonia* and *Bigol*.

461

462 **4 Concluding remarks**

463 A green extraction method based on pressurized liquid extraction has been developed
464 for the first time to obtain phenolic bioactive compounds from Goji berries. Optimum
465 extraction conditions were obtained using RSM. An experimental design was applied to
466 optimize the extraction conditions in order to maximize the selected response variables
467 (extraction yield, total phenols, total flavonoid and antioxidant activity of the extracts).
468 The optimal PLE conditions were achieved at 180°C and 86% ethanol in water as
469 solvent, showing better figures of merit than the conventional SLE method using
470 methanol. At optimal conditions, experimental values obtained coincided with the
471 predicted theoretical values by RSM. The chemical characterization of those extracts,
472 carried out by HPLC-DAD-MS/MS, allowed the tentative identification of nine
473 phenolic compounds in four different Goji berry varieties. Major fragments of the non-

474 elucidated compounds included in the present work may help future comparisons about
475 phenolic composition of Goji berry fruits. The qualitative differences found among the
476 Italian varieties and the commercial sample native from China indicate that an in-depth
477 characterization of Goji berry phenolic compounds from different varieties may be of
478 potential interest for future works addressing biomarkers for geographical and
479 authentication studies.

480

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490

491 The authors declare that they have no conflict of interests.

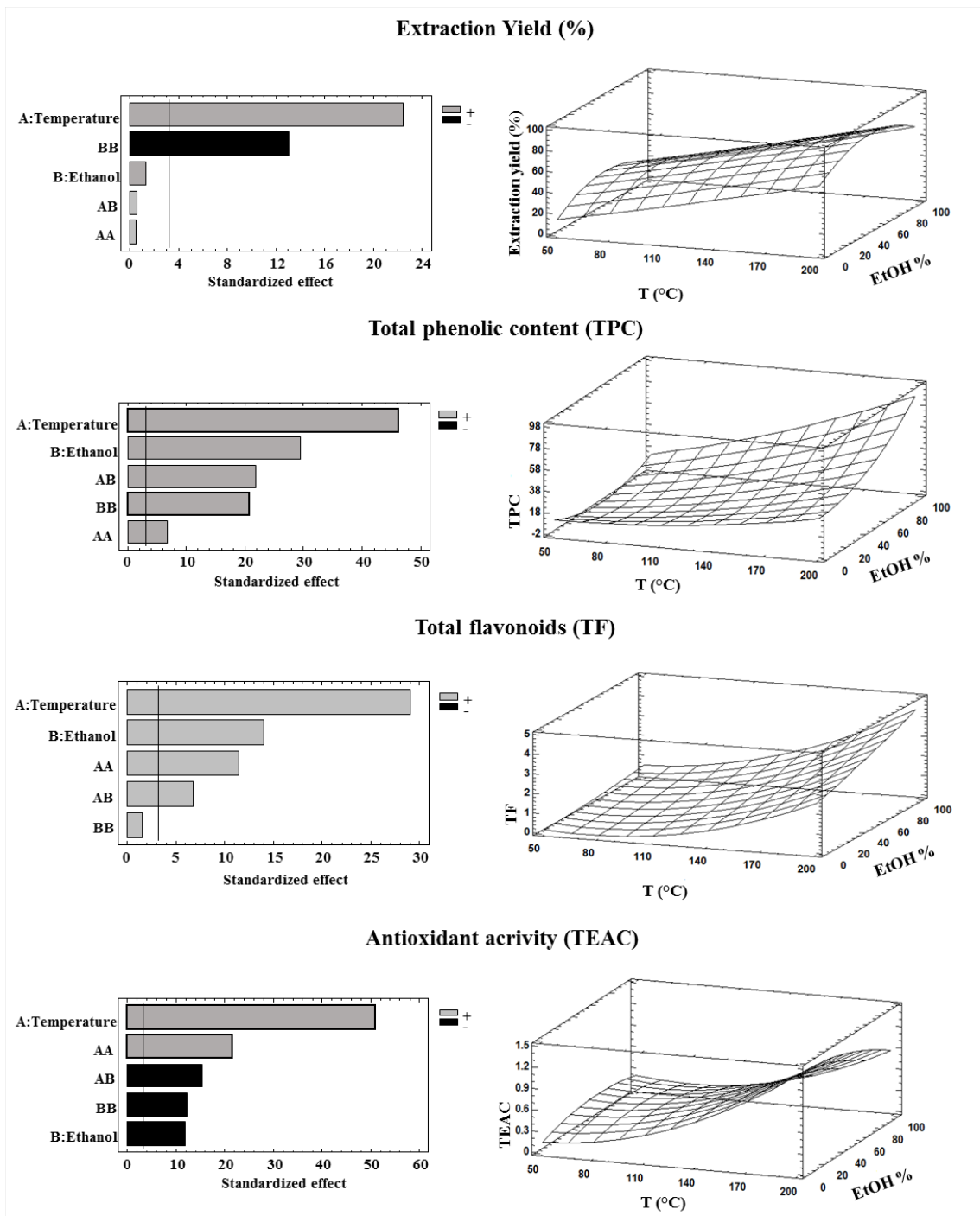
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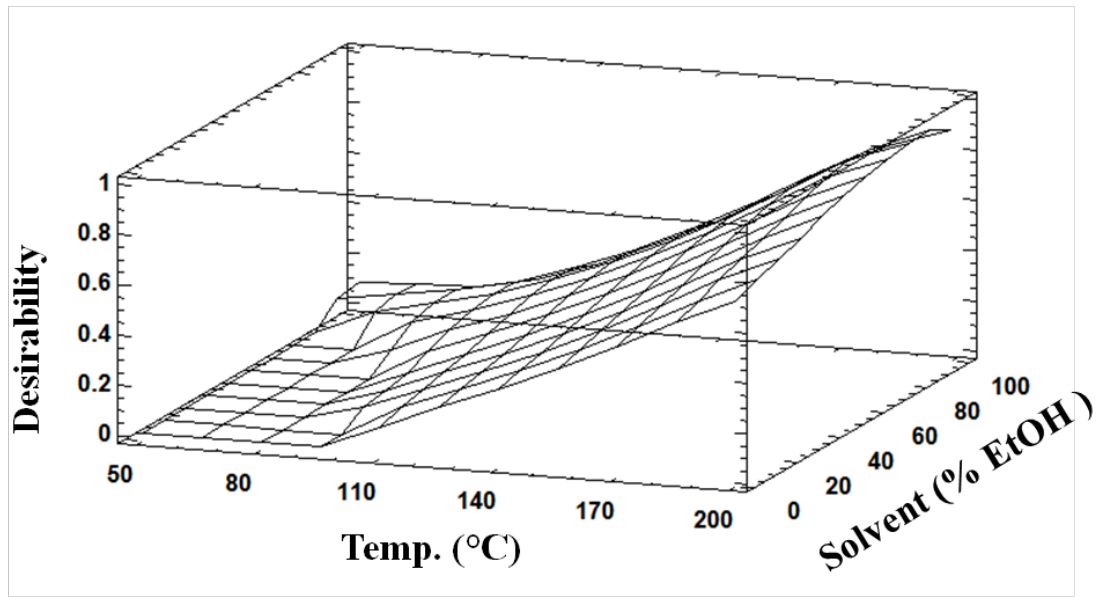
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556 **Figure 1.** Standardized Pareto charts for: A, extraction yield, B, TPC, C, TF and D,
 557 TEAC according to the experimental factors temperature and ethanol percentage (grey
 558 and blue bars show negative and positive effects, respectively); and their corresponding
 559 response surface plot obtained from the analyses of variance.

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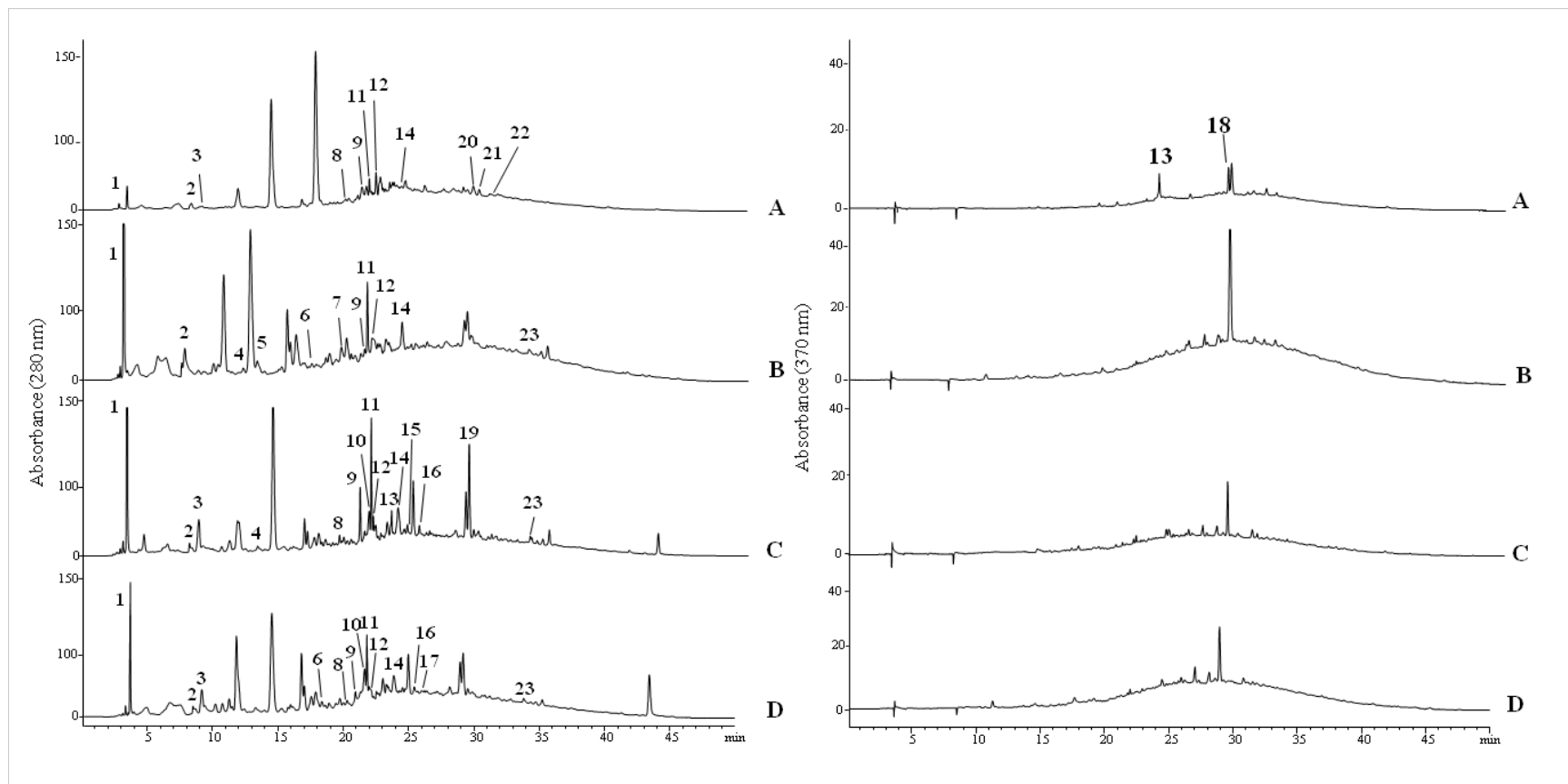


561

562 **Figure 2.** Response surface plot for the effects of solvent (percentage of ethanol in

563 water) and temperature on the overall desirability in PLE extractions.

564



565

566 **Figure 3.** HPLC-DAD chromatograms ($\lambda=280$ and 370 nm) obtained under the optimal PLE conditions (180°C and 86% ethanol in water)

567 corresponding to: A) Commercial fruit, B) *Selvatico mongolo*, C) *Bigol* and D) *Polonia* Goji berry extracts.

Table 1. Results observed in the studied response variables (i.e., yield, TPC, TF and TEAC) for commercial Goji berries extraction using PLE and a three-level two-factor experimental design (3²).

Run	Factors		Response Variables			
	T (°C)	Solvent (%) ¹	Yield (%)	TPC (mg GAE/g)	TF mg (Eq quercetin/g)	TEAC (mmol Eq Trolox/g)
1	50	0	12,74	5,72	0,16	0,22
2	115	0	28,81	8,31	0,25	0,30
3	180	0	62,70	28,80	1,68	1,05
4	50	50	41,74	8,35	0,37	0,27
5	115	50	56,11	10,15	0,58	0,36
6	115	50	51,26	11,74	0,51	0,40
7	115	50	53,46	12,54	0,73	0,37
8	115	50	56,58	12,14	0,55	0,38
9	180	50	74,84	35,60	2,86	1,21
10	50	100	6,43	6,97	0,38	0,15
11	115	100	46,74	44,83	1,82	0,49
12	180	100	59,22	75,84	3,20	0,47
Individual	180	52,6	78,84			
Optimum	180	100		75,30	3,48	
	180	77,2				1,05

¹ Refers to % ethanol in water.

Table 2. Predicted and observed values of each individual response variable (i.e., yield, TPC, TF and TEAC) for commercial Goji berries PLE extraction.

Sample	Response	Predicted	Observed	SD	RSD
Commercial	Yield %	69,95	77,64	5,44	7,37
	TPC, mg GAE/g	62,22	65,98	2,66	4,15
	TF, mg QE/g	3,20	3,02	0,13	4,16
	TEAC, mmol TE/g	0,78	0,80	0,02	2,25

Table 3. Observed values of each individual response variable (i.e., yield, TPC, TF and TEAC) after PLE extraction of different Goji berries varieties (*Polonia*, *Selvatico mongolo* and *Bigol*).

Sample	Response	Observed	SD	RSD
<i>Polonia</i>	Yield %	32,85	0,85	2,58
	TPC mg GAE/g	66,02	3,07	4,64
	TF mg QE/g	2,73	0,25	9,33
	TEAC mmol TE/g	0,83	0,02	2,60
<i>Selvatico mongolo</i>	Yield %	26,92	3,31	12,29
	TPC mg GAE/g	75,15	3,03	4,04
	TF mg QE/g	3,15	0,20	6,34
	TEAC mmol TE/g	1,06	0,07	6,48
<i>Bigol</i>	Yield %	26,57	1,75	6,60
	TPC mg GAE/g	59,18	1,13	1,91
	TF mg QE/g	1,66	0,07	4,48
	TEAC mmol TE/g	0,86	0,05	5,33

Table 4. Comparison of the four response variables (yield, TPC, TF and TEAC) obtained after using PLE or conventional solid-liquid extraction (SLE) of Goji berries.

Extraction method	Time	Solvent	Yield %	TPC mg GAE/g	TF mg QE/g	TEAC mmol TE/g
SLE	45	Methanol	81,63	40,82	0,61	0,69
PLE	20	Ethanol/Water	77,64	65,98	3,02	0,80

1

2 **Table 5.** Retention time (R_t), wavelengths of maximum absorbance in the UV-Vis region, molecular ions ($[M-H]^-$), major fragment ions, and
 3 tentative identification of phenolic compounds in four different varieties of Goji berries.

Peak number	R_t (min)	$[M-H]^-$	Main fragments	UV-Vis (nm)	Tentative identification	Goji berry variety			
						Commercial (A)	<i>Selvatico mongolo</i> (B)	<i>Bigol</i> (C)	<i>Polonia</i> (D)
1	3.7	341	191,179	290	caffeoylhexose	x	x	x	x
2	8.0	337	191,179,173	250	p-coumaroylquinic acid	x	x	x	x
3	9.4	290	200,128	280	pyroglutamic acid hexose	x		x	x
4	12.2	439	393,351,321,115	280	n.i.		x	x	
5	13.4	283	211,151	280	n.i.		x		
6	18.6	453	291	280	n.i.		x		x
7	19.6	629	347,275	280	n.i.		x		
8	20.3	283	179,151	280	caffeic acid derivate	x		x	x
9	21.0	515	353,191,173	280	dycaffeoylquinic acid	x	x	x	x
10	21.4	514	353,179	280	dycaffeoylquinic acid			x	x
11	21.9	796	634,472,308	280	n.i.	x	x	x	x
12	22.8	634	472,308	280,320	n.i.	x	x	x	x
13	23.6	632	470,334,217	320,370	n.i.	x		x	

14	24.0	355	309,211,151	280	n.i.	x	x	x	x
15	24.7	497	335,305,292	280	n.i.			x	
16	25.6	543	381,179,135	280	n.i.			x	x
17	27.0	625	300,271,179	280	n.i.				x
18	28.8	609	301	280,360	rutin*	x			
19	29	717	681,519,357	260,300	n.i.			x	
20	29.8	462.2	418, 301, 151	300	quercetin 3-o-glu	x			
21	30.0	517	471,399,345,247,157	280	n.i.	x			
22	30.3	623	315, 299, 271	240,290,340	isorhamnetin 3-o-rut	x			
23	33.6	916			n.i.		x	x	x

4 * identification corroborated by co-injection of the standard compound

5 n.i.: not identified

6