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**Lack of support for the time-dependent molecular evolution hypothesis.**

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23 **Abstract**

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25 There is increasing momentum surrounding the hypothesis that rates of molecular evolution  
26 between individuals within contemporary populations are high, and that these rates decrease as a  
27 function of time, perhaps over several millions of years, before reaching stationarity. The  
28 implications of this are powerful, potentially reshaping our view of how climate history impacts  
29 upon both species distribution patterns and the geographic structuring of genetic variation within  
30 species. However, our assessment of the hypothesis reveals a lack of theoretical support and  
31 empirical evidence for hypothesized magnitudes of time-dependent rates of molecular evolution,  
32 with much of the apparent rate changes coming from artefacts and biases inherent in the methods of  
33 rate estimation. Our assessment also reveals a problem with how serial sampling is implemented  
34 for mutation rate estimation using ancient DNA samples, rendering published estimates unreliable.

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### 38 **Overview of the hypothesis of time-dependent rates of molecular evolution**

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40 It has long been recognized that rates of molecular evolution vary, and we can think of this variance  
41 as having two components. The first is inherent to the genome and presents itself as variation in the  
42 rate of change among nucleotide positions within a gene within species, or among genes within a  
43 genome, and one can expect to see relative similarity among species for this variance. The second  
44 component occurs between species, with evidence suggesting that species characteristics such as  
45 body size and generation time can explain some of this variance (Bromham 2011; Lanfear *et al.*  
46 2010). It has recently been further hypothesised that variance of molecular rate within species may  
47 also be explained by time-dependent processes (Ho *et al.* 2011a; Ho & Larson 2006; Ho *et al.* 2005;  
48 Penny 2005), an idea that has captured the attention of many. Early estimates of mtDNA control  
49 region mutation rate ( $\mu$  - the instantaneous rate at which nucleotide changes occur along a genetic  
50 lineage within a taxon) based on pedigree data (Howell *et al.* 2003; Parsons *et al.* 1997;  
51 Sigurdardóttir *et al.* 2000), and the first implementation of Bayesian methods to estimate mutation  
52 rate from heterochronously sampled DNA using Adelie penguin subfossil remains (Lambert *et al.*  
53 2002) yielded rates of molecular evolution in excess of those derived from phylogenetic estimates.  
54 In seeking to explain these rate estimate discrepancies, a more varied set of data were analysed and  
55 presented as an exponential relationship for the rate of molecular change and time (Ho *et al.* 2005).  
56 This echoed previous results for a subset of the same data (Garcia-Moreno 2004), but now provided  
57 a formal hypothesis for the patterns observed – the time-dependency of molecular rates (hereafter  
58 TDMR). The hypothesis predicts an exponentially declining rate estimate going back in time from  
59 the present, in some cases extending to timescales measured in millions of years (Figure 1). The  
60 primary explanation put forward for the hypothesis is an increasing role for purifying selection  
61 removing novel mutant alleles with negative fitness consequences over time (Ho *et al.* 2005) as  
62 observed polymorphisms transition from segregating intra-population variation to fixed

63 substitutions between populations. Although a more recent review acknowledges that different  
64 types of estimation bias can also explain an apparent exponential decline of molecular rates with  
65 time, these are seen as additional to, rather than an alternative to, an explanation of purifying  
66 selection (Ho *et al.* 2011a).

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68 A pattern of TDMR such as that depicted in Figure 1 can thus be explained either by: (i) real  
69 biological phenomena (i.e. purifying selection); (ii) artefacts of data analysis, or; (iii) a combination  
70 of (i) and (ii). Interpreting  $\mu$  under a model of purifying selection has substantial implications for  
71 our understanding of how climate impacts upon species distribution patterns (e.g. Lorenzen *et al.*  
72 2011), the geographic structuring of genetic variation within species (e.g. Palkopoulou *et al.* 2013),  
73 the timing of both population divergence or speciation events (e.g. Martínková *et al.* 2013), and  
74 estimating demographic change within species or populations (e.g. Crandall *et al.* 2012). With  
75 increasing interest and reference to a model of purifying selection as an interpretative framework, it  
76 is important and timely to evaluate both (i) support for the model, and (ii) alternative mechanistic  
77 explanations for molecular rate estimation to suffer from time-dependent biases.

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## 80 **Per-generation mutation rate estimates from pedigree studies and mutation-accumulation**

### 81 **lines**

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83 Pedigree rates provide the most direct window on non-lethal per generation  $\mu$  along direct lineages  
84 of descent. If rate estimates derived from pedigrees are found to exceed rate estimates from  
85 comparable phylogenetic studies, then such discrepancies require explanation. The seminal paper  
86 proposing the TDMR hypothesis contrasted human pedigree rates with comparable  
87 phylogenetically derived rates from primates (Ho *et al.* 2005). However subsequent papers have

88 more generally invoked high mutation rates from pedigree analyses or mutation-accumulation lines  
89 as evidence for the TDMR hypothesis (e.g. Ho *et al.* 2007a; Ho *et al.* 2011a; Ho *et al.* 2011b).  
90 High and low are relative terms, and without a biologically meaningful comparative framework for  
91 their interpretation, isolated rate values lack context and offer no support for the TDMR hypothesis.  
92 As an example, a high  $\mu$  derived from a *Caenorhabditis elegans* mutation-accumulation line is  
93 frequently presented as support for the TDMR hypothesis (e.g. Ho *et al.* 2007a; Ho *et al.* 2011a; Ho  
94 *et al.* 2011b). While the *C. elegans*  $\mu$  may be high compared to other species when extrapolated  
95 over a timescale of millions of years (Denver *et al.* 2000), unless it exceeds phylogenetically  
96 derived substitution rates for *C. elegans* (which are unknown), it is not evidence for the TDMR  
97 hypothesis.

98       Thus, although high pedigree-derived estimates of  $\mu$  and low phylogenetically inferred  
99 substitution rates are consistently cited as evidence for the TDMR hypothesis (e.g. Ho *et al.* 2007a;  
100 Ho *et al.* 2011a; Ho *et al.* 2011b; Ho & Larson 2006; Ho *et al.* 2005; Ho *et al.* 2007b; Penny 2005),  
101 it is important to point out that direct evidence only comes from a single species. Pedigree data  
102 from human studies are one of the two foundations for the TDMR hypothesis (Ho *et al.* 2005), and  
103 a number of human pedigree analyses using the hypervariable region I (HVRI) and HVRII of the  
104 mitochondrial D-loop region are cited (e.g. Howell *et al.* 2003; Parsons *et al.* 1997; Santos *et al.*  
105 2005; Sigurdardóttir *et al.* 2000) in this way. However, compelling evidence is often lacking, with  
106 several human pedigree studies yielding estimates of  $\mu$  that are comparable to phylogenetically  
107 derived estimates of substitution rates without the need to invoke a hypothesis of TDMR (Santos *et al.*  
108 *et al.* 2005; Sigurdardóttir *et al.* 2000). Additionally, in their human pedigree analysis, Santos *et al.*  
109 (2005) offer a sensible explanation for why some human pedigree rates appear to yield high values  
110 when compared with phylogenetically derived estimates of  $\mu$ . Without correction for both gender  
111 and the probability of intra-individual fixation,  $\mu$  derived from pedigree data will be overestimated  
112 and in excess of those derived from phylogenetic studies. Estimates of  $\mu$  from studies that use

113 mtDNA disease pedigrees (e.g. Howell *et al.* 2003) may also be compromised, and it has even been  
114 shown that disease associated mutations in the nuclear genome can be associated with enhanced  
115 variation within the mitochondrial genome (Annunen-Rasila *et al.* 2006).

116 The argument for high human mutation rates is more generally weakened by recent and  
117 thorough whole nuclear genome pedigree and population analyses, all of which converge on a  
118 human generational rate estimate that is actually less than the phylogenetic estimate (Altshuler *et al.*  
119 2010; Awadalla *et al.* 2010; Lynch 2010; Nelson *et al.* 2012; O'Roak *et al.* 2012; Roach *et al.* 2010;  
120 Sanders *et al.* 2012). Scally and Durbin (2012) offer an insightful review of this data and the  
121 potential explanations for this discrepancy whereas Thomas and Hahn (2014) further point out the  
122 difficulties in extrapolating long term substitution rates from underlying short term and dynamic  
123 mutational parameters. But in the context of the TDMR hypothesis, it is sufficient to merely  
124 highlight that data rich analyses derived from next generation sequencing are so far in contradiction  
125 to one of the foundations of the TDMR hypothesis.

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### 128 **Temporally calibrated DNA sequences and the estimation of molecular rate**

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130 Substitution rate estimates derived from the coalescent-based phylogenetic analysis of temporally  
131 calibrated sequences comprise the second foundation of the TDMR hypothesis (Ho *et al.* 2005).

132 Rate estimates can be generated from DNA sequences sampled from different time points because  
133 the known ages can be used as calibrations in the absence of other calibration points (Drummond *et al.*  
134 2002; Rambaut 2000), and the most cited example in support of the TDMR hypothesis is that of  
135 Adélie penguins, *Pygoscelis adeliae* (Lambert *et al.* 2002). A Bayesian Markov chain Monte Carlo  
136 (MCMC) inferential framework that accounts for coalescent stochasticity in the times of co-  
137 ancestry between contemporary and ancient DNA (aDNA) lineages was used, under the

138 assumptions of a panmictic population for the entire sample of penguins. The analysis yielded a  
139 mean overall rate estimate of 0.96 mutations/site/Myr for the HVRI region, a value approximately  
140 five times higher than the fossil calibrated phylogenetically derived avian rate of 0.208  
141 mutations/site/Myr. Rate estimates derived from aDNA are becoming the dominant empirical data  
142 set used to support the hypothesis (e.g. Ho *et al.* 2007a; Ho *et al.* 2007b), and are increasingly being  
143 seen as direct evidence for a long temporal persistence of transient polymorphisms within species.  
144 However, there are apparent contradictions. In contrast to Lambert *et al.*'s (2002) aDNA-based  
145 estimate of 0.96 mutations/site/Myr, a pedigree analysis of the HRVI region derived from 508  
146 families of Adélie penguins with 915 chicks yields an estimate for  $\mu$  of 0.55 mutations/site/Myr  
147 (Millar *et al.* 2008).

148         Substitution rate estimates from aDNA essentially use the mutational information contained  
149 across sampling time intervals (Drummond & Rodrigo 2000), and Lambert *et al.*'s (2002) original  
150 Adélie rate estimate of 0.96 mutations/site/Myr derives from an average aDNA sequence age of  
151 3,014 yr BP. A reanalysis of Lambert *et al.*'s (2002) data by Ho *et al.* (2007a) yields a rate estimate  
152 in excess of 1.6 mutations/site/Myr, while a subsequent analysis by Millar *et al.* (2008) of a subset  
153 of the Lambert *et al.* (2002) data with several new sequences yielded a rate estimate of 0.86  
154 mutations/site/Myr from an average aDNA sequence age of 4,279 yr BP (Millar *et al.* 2008).  
155 Against expectations from the TDMR hypothesis, all three estimates are higher than the pedigree  
156 rate for Adélie penguins, and in the case of Ho *et al.* (2007a), significantly so.

157         Concerns regarding the calculation and interpretation of rate estimates from aDNA have  
158 been raised because of the potentially confounding effects of demographic model misspecification,  
159 and information content limitation (Debruyne & Poinar 2009; Emerson 2007; Navascués &  
160 Emerson 2009; Ramakrishnan & Hadly 2009). Other factors may also confound rate estimates,  
161 such as the sampling of shared variation across time points, where sample sizes for different time  
162 points are limited (Figure 2). Several studies have employed simulation approaches to demonstrate

163 conditions under which the Bayesian estimation of rates from aDNA may or may not be reliable  
164 (Ho *et al.* 2007a; Navascués & Emerson 2009). However, while many studies have produced  
165 estimates from  $\mu$  from aDNA, none have provided validation of their estimates independently of the  
166 Bayesian implementation from which they were derived. The endorsement of a given rate estimate  
167 from aDNA often seems to be that other rate estimates from aDNA are similarly high. One study,  
168 however, has presented an alternative validation of their aDNA rate estimate. By the progressive  
169 removal of older sequences for rate estimation, Ho *et al.* (2007b) demonstrate a pattern of  
170 increasing rate estimation with decreasing average ages of aDNA samples for *Bison bison*  
171 sequences, as predicted by the TDMR hypothesis. The result presented by Ho *et al.* (2007b) is  
172 compelling because all DNA sequences descend from an ancestral sequence at some unknown time  
173 in the past, but in common to all sampled alleles. That is to say, empirical values for both effective  
174 population size ( $N_e$ ) and time of the most recent common ancestor (TMRCA) are identical across  
175 samples, assuming the standard coalescent panmictic model holds. While Ho *et al.* (2007b) address  
176 the temporal behaviour of  $\mu$  with their sampling scheme, their study does not report posterior values  
177 for  $N_e$  and TMRCA, which are also estimated using the prior of  $\theta$ , but not expected to be  
178 influenced by DNA sequence sampling times. We evaluate this expectation by reanalysing the data  
179 of Ho *et al.* (2007b), and reveal that the estimation of  $\mu$  is confounded by prior distributions and  
180 posterior estimations of  $N_e$  and TMRCA (Box 1).

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### 183 **Alternative drivers of rate overestimation and curvilinear time-rate relationships**

184

185 Theoretical models cannot explain the TDMR hypothesis with only *de novo* mutation. Even under  
186 the unrealistic assumption that all mutations are in some way negative, unrealistically large  
187 population sizes are required (Woodhams 2006). However, by incorporating ancestral



188 polymorphism into the model, Peterson and Masel (2009) have successfully demonstrated that  
189 patterns of rate elevation over extended timescales can be explained. They demonstrate that the  
190 apparent acceleration of the molecular clock at short timescales can be explained by segregating  
191 polymorphisms present at the time of the ancestral population, but not *de novo* mutations. Again, an  
192 important distinction to be made here is that much of the TDMRA explored in Peterson and Masel  
193 (2009) is in fact largely TDMRA as a methodological artefact as opposed to TDMRA being a  
194 biological phenomena expected under purifying selection. In other words, ancestral polymorphism  
195 can result in apparent TDMRA if one does not explicitly incorporate the coalescent into per lineage  
196 estimates of  $\mu$  (Charlesworth 2010).

197         Demographic factors that can further distort estimates of  $\mu$  include historical population  
198 subdivision and large ancestral population sizes that can both produce biases arising from ancestral  
199 polymorphism. In the case of historical population subdivision, estimates of  $\mu$  derived from serial  
200 temporal samples that typically use a coalescent model that assumes historical panmixia will lead to  
201 significant over-estimates to the degree that population samples come from a set of isolated  
202 populations with limited genetic connectivity over time (Navascués & Emerson 2009). Whether or  
203 not this is a common problem is an ecological question, as levels of subdivision and population  
204 genetic structure vary widely across taxa and although species-specific dispersal ability is often  
205 correlated with  $F_{ST}$  values (Bohonak 1999), there are many exceptions over broad taxonomic  
206 groups (Selkoe *et al.* 2014; Weersing & Toonen 2009). While the assumption of historical panmixia  
207 over large geographic scales might be met for many diverse taxa (e.g. Hellberg 2009), many  
208 estimates of  $\mu$  coming from serially sampled terrestrial species are likely to be over-estimates due to  
209 various levels of un-modeled subdivision, especially in taxa where ancient DNA is available, such as  
210 Moas (Baker 2007), *Zea Maize* (Moeller *et al.* 2007), hyenas (Rohland *et al.* 2005), brown bears  
211 (Hailer *et al.* 2012; Miller *et al.* 2012), bowhead whales (Alter *et al.* 2012) and ancestral  
212 populations of horses and cattle (Bruford *et al.* 2003). Estimates from such datasets should not be

213 used as evidence for the TDMR model, and should be subjected to re-analysis after the  
214 development and availability of methods for rate estimation from serial DNA samples that explicitly  
215 account for historical population substructure.

216 Another type of evidence used to evaluate the TDMR hypothesis is to derive rate estimates  
217 from different species pairs whose population splitting times are derived from geological evidence.  
218 However, especially in the case of large ancestral population sizes, a bias in rate estimation arises  
219 when one assumes a time of divergence between sister populations and then equates this time with  
220 the TMRCA between samples collected from the two sister populations. Unfortunately, the  
221 expected difference in splitting times between gene trees and species/population trees as well as the  
222 large stochastic variance in coalescent gene tree divergence times are often both ignored in these  
223 studies (but see BurrIDGE *et al.* 2008). This oversight not only results in a strong upward bias in rate  
224 estimates as the assumed calibration time approaches zero (Charlesworth 2010), the apparent  
225 mutation rate is expected to approach infinity as the geologically-calibrated time of divergence  
226 approaches zero (Tuffley *et al.* 2012) (Figure 3). Although this artefactual problem has been  
227 recognized by the TDMR community (Ho *et al.* 2011a), phylogenetically-derived estimates of rates  
228 using models that ignore ancestral polymorphism are still commonly reported (Heath *et al.* 2012;  
229 Lukoschek *et al.* 2012). On the other hand there are a growing number of studies that derive  
230 estimates using biogeographical information under a coalescent phylogenetic model that accounts  
231 for gene trees being deeper than population trees (Obbard *et al.* 2012).

232 A similar bias can arise if one estimates mutation rates with a population growth model  
233 while assuming the known timing and demography of the historical population expansion.  
234 Specifically, one can constrain a population growth model by assumptions of post-LGM  
235 demographic expansions and use this model for mutation rate estimation (e.g. Crandall *et al.* 2012).  
236 However, by not accounting for the complex demographic history of admixture and size change of  
237 the entire species, the resulting mutation rate estimates could be biased and should be considered

238 provisional until more better fit models are used. For example, if a subset of samples are lineages  
239 coming from un-sampled populations or demes via historical migration, as would be a the case in a  
240 meta-population under the coalescent “scattering phase” (Wakeley 2004), using the panmictic  
241 model can result in biases such as spurious signals of population growth or compression and likely  
242 distort the mutation rate estimates that are extracted when constraining the timing of growth (Heller  
243 *et al.* 2013; Paz-Vinas *et al.* 2013).

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245

## 246 **Conclusions**

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248 We suggest that explanations of purifying selection for the TDMR hypothesis suffers from a  
249 number of problems that may have been overlooked, or disregarded, by adherents. The difference  
250 between generational mutation rates and those derived from long-term phylogenetic substitution  
251 rate estimates is at the heart of the TDMR hypothesis, as generational mutation rates are to be  
252 expected to exceed long-term phylogenetic estimates. However, there is at present a lack of data for  
253 the direct comparison of these two classes of rate estimate within the same taxon. Humans represent  
254 one example where comparisons can be made, but in contrast to previous assertions derived from  
255 aDNA (e.g. Ho *et al.* 2007a; Ho *et al.* 2011a; Ho *et al.* 2011b; Ho & Larson 2006; Ho *et al.* 2005;  
256 Ho *et al.* 2007b; Penny 2005), data suggests little difference between generational mutation rates  
257 and phylogenetic estimates (Altshuler *et al.* 2010; Awadalla *et al.* 2010; Lynch 2010; Nelson *et al.*  
258 2012; O’Roak *et al.* 2012; Roach *et al.* 2010; Sanders *et al.* 2012), indicating that demographic  
259 history may exert a modulating influence. Theoretical models cannot explain exponential rate decay  
260 curves from the behaviour of *de novo* mutations (Peterson & Masel 2009; Woodhams 2006), but  
261 such curves can be explained by the segregation patterns of ancestral polymorphisms (Peterson &  
262 Masel 2009). Rate estimates derived from temporally sampled DNA sequence data have

263 increasingly been presented as evidence for rate decay relationships that may extend back several  
264 millions of years. It has already been shown that less than simple demographic histories can result  
265 in the overestimation of  $\mu$  (Navascués & Emerson 2009). Here we have demonstrated that the  
266 estimation of  $\mu$  from temporally sampled DNA sequences within a Bayesian MCMC inferential  
267 framework is confounded by the priors and estimates of  $N_e$  and TMRCA, meaning that such  
268 estimates are flawed.

269         Although clearly there is an important difference between intergenerational mutational rates  
270 and longer-term evolutionary substitution rates (Gibb & Hills 2013), the extent to which these vary  
271 within taxa, the temporal scale at which they change, and the direction of change, remain to be  
272 clearly understood. To address this we need: (i) more data sets sampled from within the same taxa  
273 to facilitate the direct comparison of intergenerational and longer-term evolutionary substitution  
274 rates; (ii) improved models and methodologies to extract rate information from temporally sampled  
275 DNA sequences; (iii) robust evaluation and validation of methodologies to extract rate information  
276 from temporally sampled DNA sequences (Hoban *et al.* 2012); and (iv) appropriate null models that  
277 take into account coalescent stochasticity, sampling deficiencies and spatial-temporal demographic  
278 structure. The TDMR hypothesis is elegant and simple, but both the hypothesis and the data that  
279 has been presented to support it can be explained by the behaviour or ancestral polymorphism, or  
280 non-biological phenomena, but not the behaviour of *de novo* mutations.

281

## 282 **Acknowledgements**

283 We thank two anonymous referees for their constructive comments that helped to improve the  
284 manuscript. We also thank Matthew Hahn for his comments and suggestions in the latter stages of  
285 manuscript preparation.

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426 **Author contributions**

427 Both authors contributed equally to the preparation of this manuscript.

428

429 **Data Accessibility**

430 All DNA sequence data sets and parameter values for BEAST analyses presented in this manuscript  
431 are provided as online supporting information.

432

433 **Supporting Information**

434 Additional Supporting Information may be found in the online version of this article:

435 **Appendix S1** Values reported in Figure I.

436 **Appendix S2** BEAST input files from Ho *et al.* (2007b) with cataclysm model, and modifications  
437 of these used to generate Figure I.

438

**439 Box 1: Temporally sampled DNA, and the estimation of  $\mu$ ,  $N_e$  and TMRCA**

440 Here we present a reanalysis of the *Bison bison* data of Ho *et al.* (2007b) for the estimation of  $\mu$ ,  
441 where we also report posterior values for contemporary  $N_e$  and TMRCA (Figure I). The original  
442 analyses of Ho *et al.* (2007b) implemented a 12-category Bayesian skyline plot model, however the  
443 authors were unable to provide these original files for reanalysis. We were instead provided with  
444 files implementing a cataclysm model, which exhibit essentially the same rate trend as that reported  
445 by Ho *et al.* (2007b). We also include an additional data set not included by Ho *et al.* (2007b),  
446 sequences sampled from between 5,000 years ago and the present. Of immediate note is a clear  
447 positive relationship between sequence calibration time and estimates for both  $N_e$  (contemporary  
448 effective population size) and TMRCA (tree root height in years). As older DNA sequences are  
449 pruned from the DNA sequence matrix, the estimation of both  $N_e$  and TMRCA decrease. In  
450 contrast, estimates of  $\mu$  increase, meaning that the apparent increase in  $\mu$  with calibration time is  
451 confounded by coincident decreases of  $N_e$  and TMRCA, all of which are controlled by  $\theta$ . To  
452 control for the confounding effects of  $N_e$  and TMRCA for time calibrated estimation of  $\mu$ , we have  
453 undertaken new analyses that control for both  $N_e$  and TMRCA by placing strong priors on both  
454 parameters, both separately and jointly. We arbitrarily selected the posteriors for the full data set  
455 (all sequences sampled over the last 60,000 years) to parameterise all data sets (Figure I). Thus, all  
456 data sets were reanalysed with (i) a uniform root height prior between 159,000 and 161,000 years,  
457 (ii) a uniform effective population size prior between 400,000 and 410,000 and (iii) both priors  
458 implemented simultaneously (Figure I). In contrast to the results presented by Ho *et al.* (2007b), all  
459 three analyses result in a decrease in estimation of  $\mu$  with decreasing calibration time.

460 The results of our reanalyses would appear to suggest that, in contrast to the TDMR  
461 hypothesis,  $\mu$  increases as a function of time, consistent with human generational rate estimates that  
462 are less than phylogenetic estimates (Altshuler *et al.* 2010; Awadalla *et al.* 2010; Lynch 2010;  
463 Nelson *et al.* 2012; O'Roak *et al.* 2012; Roach *et al.* 2010; Sanders *et al.* 2012). However, we

464 caution against conclusions of both absolute value of  $\mu$ , and trends in  $\mu$  derived from temporally  
465 sampled sequences, until a clearer understanding of how estimates of  $\mu$  are derived. While our  
466 reanalyses demonstrate shortcomings when temporally sampled sequences are used for the  
467 estimations of  $\mu$ , we do not consider our results to themselves provide meaningful estimates of  $\mu$ .  
468

469 **Figure I.** Estimates of TMRCA (black), effective population size ( $N_e$ ; blue) and DNA mutation rate  
470 (red) given temporally calibrated DNA sampled at intervals ranging from the present to an upper  
471 limit ranging from 5,000 to 60,000 years before the present. Solid lines depict estimates from Ho *et al.*  
472 *2007* and dashed lines depict estimates from reanalysis under different priors: Panel A:  
473  $\text{Pr}(\text{TMRCA})=159,000\text{-}161,000$  years; Panel B:  $\text{Pr}(N_e)=400,000\text{-}410,000$ ; Panel C:  
474  $\text{Pr}(\text{TMRCA})=159,000\text{-}161,000$  years and  $\text{Pr}(N_e)=400,000\text{-}410,000$ . Values are presented in  
475 Appendix S1, Supporting Information. BEAST input files from Ho *et al.* (2007b) with cataclysm  
476 model and modifications of these used to prepare Figure I are provided in Appendix S2, Supporting  
477 Information.

478

479

480 **Figure 1. Plot of time-dependent rates showing an exponentially declining rate estimate with**  
481 **increasing time depth.** The spontaneous rate of non-lethal mutations is approached at a time  
482 depth of zero. As the time frame increases, the estimated rate tends towards the long-term  
483 substitution rate observed in phylogenetic analyses calibrated using palaeontological or geological  
484 data. The exact form of the curve is likely to show considerable variation among taxa and among  
485 loci. From Ho *et al.* (2011a).

486

487 **Figure 2. Shared variation across sampling times and the estimation of molecular rate.** DNA  
488 sequences sampled from different time points may differ because of mutation events occurring  
489 between time points, or because of sampling effects. Panel A summarises the mutational  
490 relationships and sampling times for DNA sequences sampled from voles of the Orkney  
491 archipelago, used for the estimation of  $\mu$  (Martínková *et al.* 2013). Black indicates contemporary  
492 sequences, red indicates ancient sequences, and the white asterisk indicates the root of the network  
493 (the inferred ancestral sequence, based on relatedness to continental sequences). Shared variation  
494 across time points is demonstrated by the presence of both ancestral and derived sequences (circles  
495 shaded equally in black and red) in both contemporary and ancient samples, and statistically non-  
496 significant differences between mean mutational differences from the ancestral sequence for  
497 contemporary (4.1) and ancient (3.6) sequences ( $p = 0.28$ ). Sampling of shared variation across  
498 different time points may have consequences for the estimation of  $\mu$ . Panel B summarises  
499 hypothetical mutational relationships among 11 haplotypes (A-K), with blue bars indicating  
500 mutational events along branches. Panels C and D represent two hypothetical sampling scenarios,  
501 over three time points, across which all 11 haplotypes are available for sampling, but for which no  
502 subsequent mutations (and thus no new haplotypes) occur. Both scenarios will yield non-zero  
503 estimations of  $\mu$ , yet  $N_e$  and  $\mu$  cannot be co-estimated when no mutational events have occurred  
504 across the sampling intervals due to unidentifiability of these two component parameters of  $\theta$ .

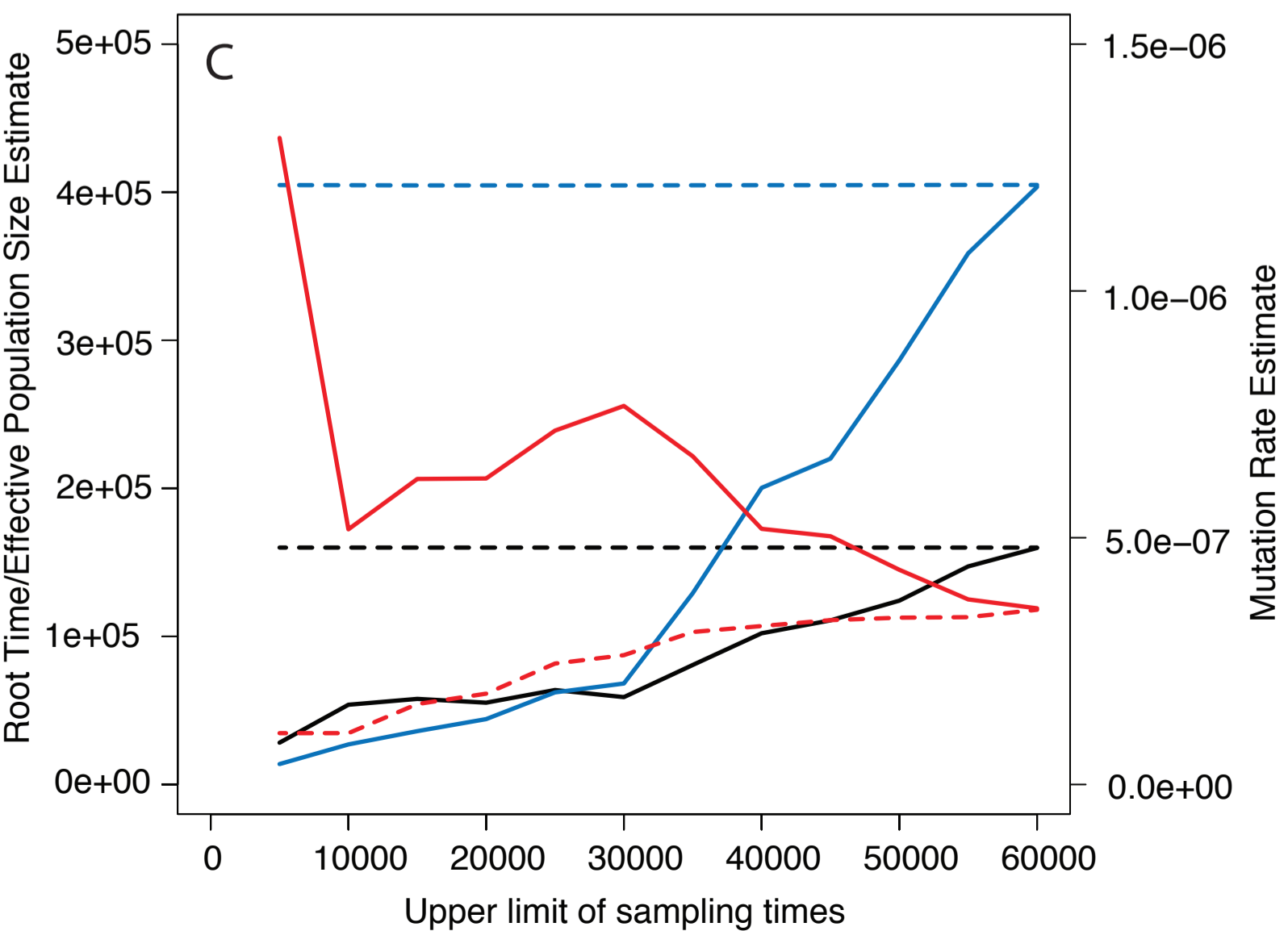
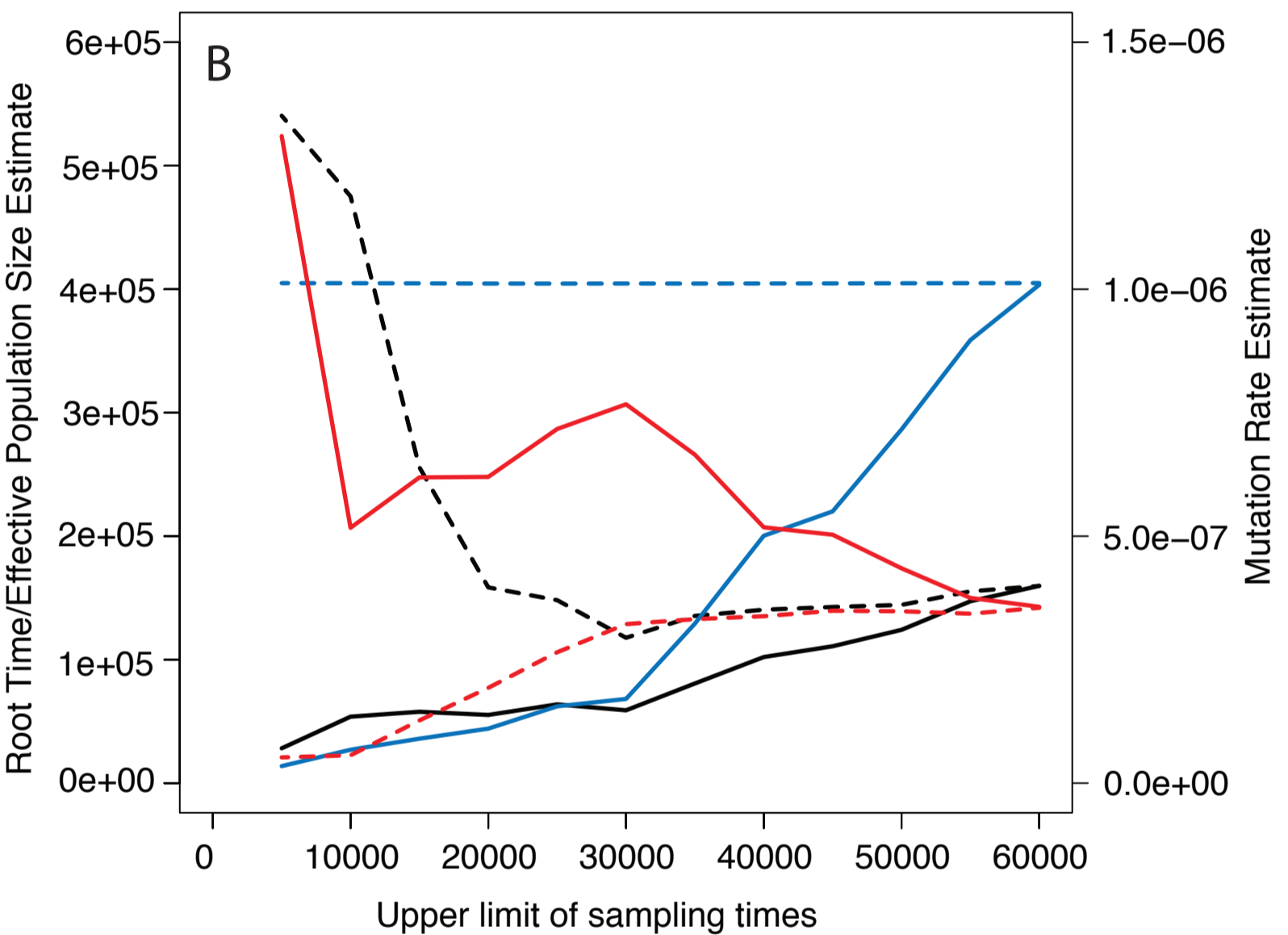
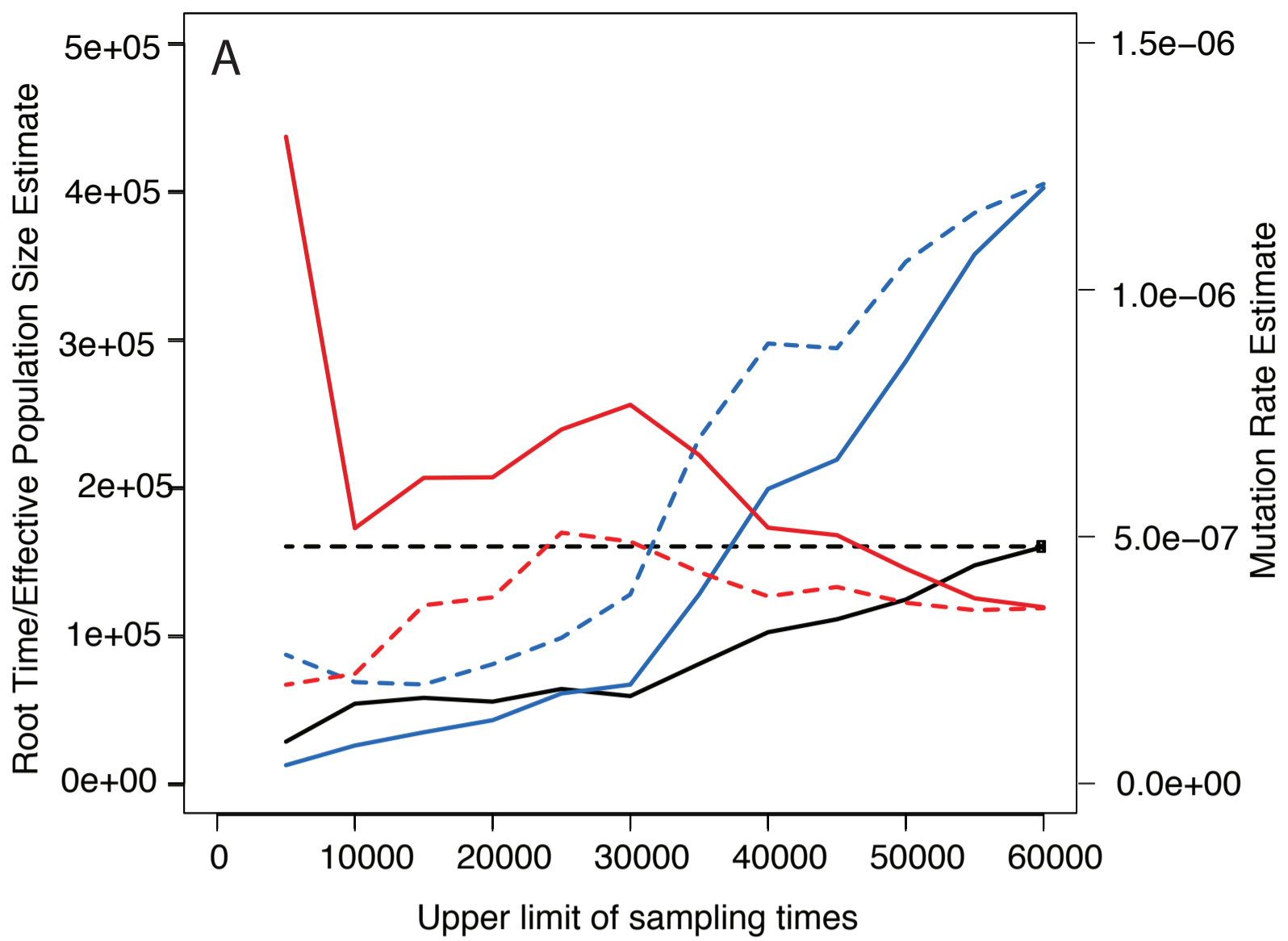
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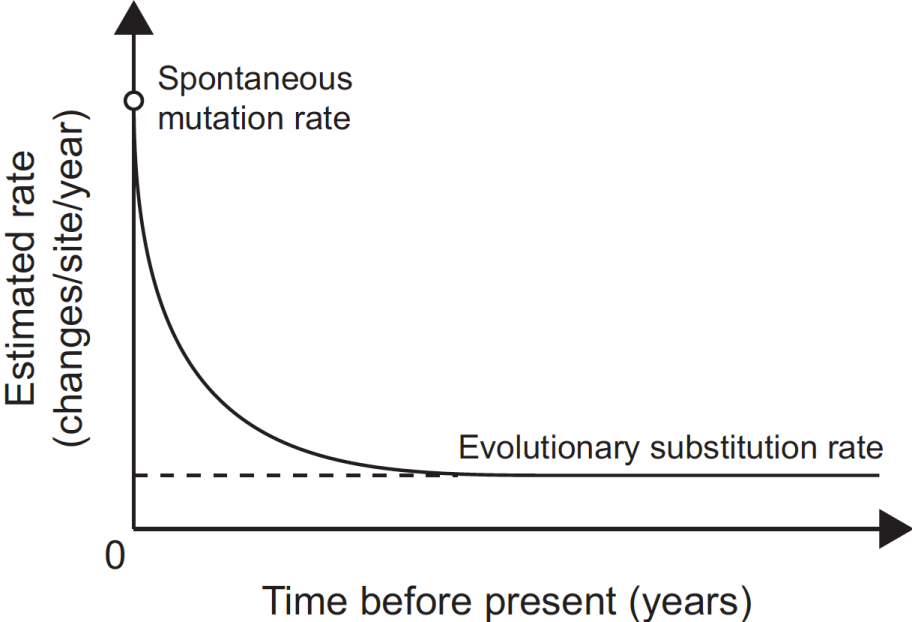
506 **Figure 3. Coalescent drivers of a curvilinear time and rate relationship.** Panels A, B, C and D  
507 depict that the common ancestor of a sample (MRCA) from two sister populations are decreasingly  
508 a proportion of the population age ( $\tau$ ). By ignoring this expectation predicted by the coalescent in  
509 the estimates of mutation rates given geologically calibrated sister population divergence times, an  
510 artifactual curvilinear relationship arises between divergence times and rate estimates.

511

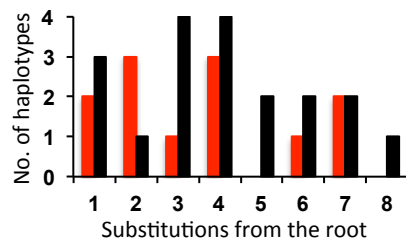
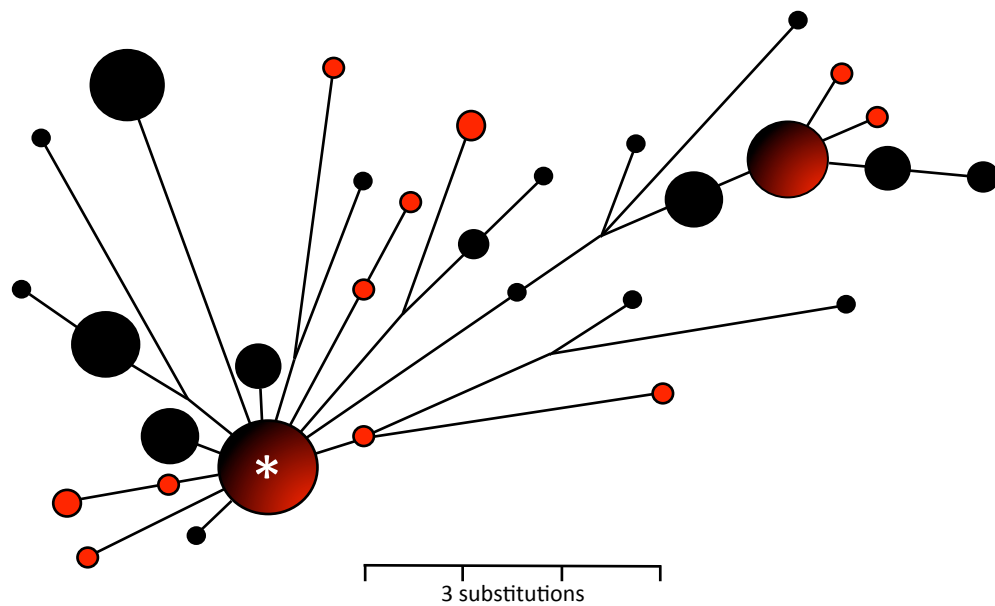


512 **Supplementary Table 1. Temporally sampled *Bison bison* DNA sequences and the estimation**  
513 **of  $\mu$ ,  $N_e$  and TMRCA.** In all three panels mean estimations of  $\mu$ ,  $N_e$  (effective population size) and  
514 TMRCA (root height) derived from the analysis conditions of Ho *et al.* (2007b) are shaded in grey.  
515 Sequence sampling intervals are the same as those of Ho *et al.* (2007b), with the addition of a  
516 sampling interval of 5,000 bp until the present. In panel A the root height is constrained to be equal  
517 for the reanalysis of all data sets. In panel B the effective population size is constrained to be equal  
518 for the reanalysis of all data sets. In panel C both the root height and effective population size are  
519 constrained to be equal for the reanalysis of all data sets. Constraint priors were chosen to be  
520 consistent with mean posterior values obtained from unconstrained analyses of the complete  
521 sequence data set spanning the full 60,000-year sampling interval.

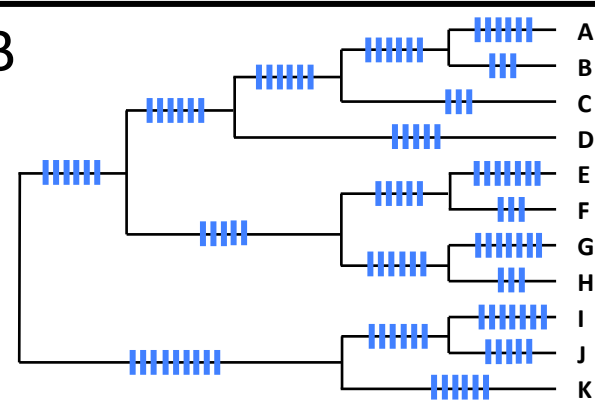




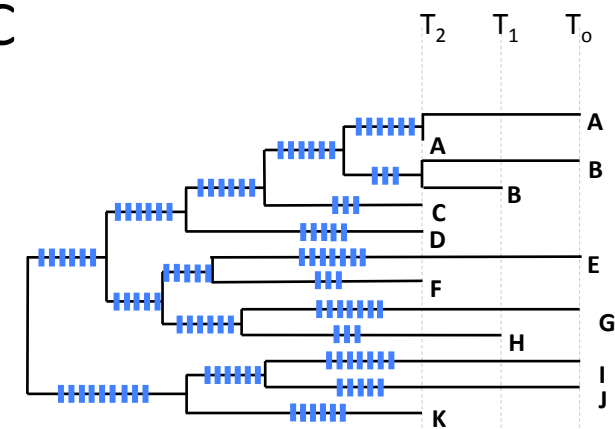
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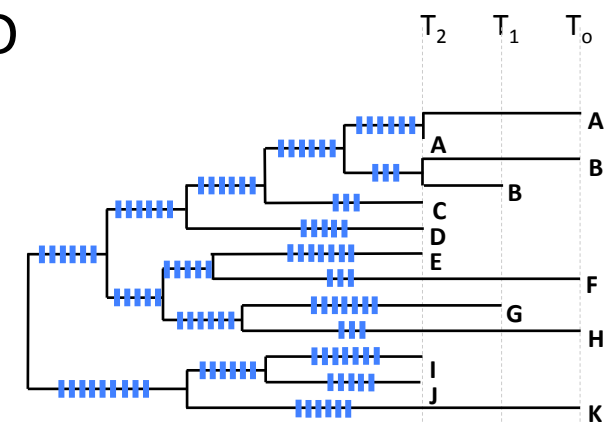
B



C



D



D

