Catalytic oxidation of carbon monoxide on a curved Pd crystal: spatial variation of active and poisoning phases in stationary conditions

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Abstract

Understanding nanoparticle catalysis requires novel approaches in which adjoining crystal orientations can be studied under the same reactive conditions. Here we use a curved palladium crystal and near-ambient pressure X-ray photoemission spectroscopy to characterize chemical species during the catalytic oxidation of CO in a whole set of surfaces vicinal to the (111) direction simultaneously. By stabilizing the reaction at fixed temperatures around the ignition point we observe a strong variation of the catalytic activity across the curved surface. Such spatial modulation of the reaction stage is straightforwardly mapped through the photoemission signal from active oxygen species and poisoning CO, which are shown to coexist in a transient regime that depends on the vicinal angle. Line-shape analysis and direct comparison with ultra-high vacuum experiments help identifying and quantifying all such surface species, allowing us to reveal the presence of surface oxides during reaction ignition and cooling-off.

Introduction

The CO oxidation ($2\text{ CO} + \text{O}_2 \rightarrow \text{CO}_2$) on platinum group metal surfaces, such as palladium, has been intensively studied during decades, due to its enormous technological impact, and also as a model heterogeneous gas/surface catalytic reaction.¹ In the early times,^{2,3} Surface Science experiments carried out in Ultrahigh Vacuum (UHV) played an essential role in the basic understanding of the CO oxidation process, but a much deeper atomic-scale insight is being lately gained through surface sensitive techniques that operate at millibar and bar pressures, such as high-pressure Scanning Tunneling Microscopy (STM),^{4,5} Near-Ambient Pressure X-ray photoemission spectroscopy (NAP-XPS),⁶⁻⁸ infrared reflection absorption spectroscopy,⁹⁻¹³ and high-energy surface X-ray diffraction.¹⁴⁻²³ All these studies agree in the fundamental picture, namely the abrupt transition at the "ignition" temperature, from the low-temperature oxidation stage, when CO covers (or "poisons") the catalytic surface, to the high-temperature activity stage, when the CO-poisoning layer is displaced by chemisorbed

oxygen, preceding the build-up, first, of two-dimensional (2D) surface oxides, and last, of bulk oxides. Yet new experiments and approaches are needed to properly identify the driving mechanisms and the active sites that trigger the passage to the active stage.

In the case of Pd, the CO oxidation reaction has been investigated on a variety of high and low symmetry crystal surfaces under reaction conditions.^{4–8,10,13,21–28} This will help to separately understand the catalytic performance at crystal planes that shape technologically relevant nanoparticles. However, given the variety of reaction parameters and experimental constraints, comparative *in-situ* investigations among different surface orientations would be better suited. In the end, all facets in nanocrystals coexist in a reduced space, and are assumed to undergo simultaneous chemical and structural transformations during catalytic reactions.²⁹ Therefore, more realistic model systems are needed to fill this gap between individual crystallographic planes and nanoparticles.^{30,31} In this context, a cylindrical crystal sample can be designed to contain a selected variety of surface planes, which can be exposed to the same reacting conditions, and on which, e.g., the full analytical power of XPS can be preserved.³² The cylindrical surface approach that is used here is schematically depicted in Fig. 1a for a Pd crystal. The curved direction of the sample spans the complete set ($\Delta\alpha = \pm 16^\circ$) of vicinal orientations for the two type of close-packed atomic steps (called A and B) around the (111) symmetry direction. Since the radius of curvature of the sample (16 mm) is much larger than the X-ray light spot ($20\mu\text{m}$), separate crystallographic planes can be sequentially probed by macroscopic sample scanning (0.5 mm steps). In contrast to the full-cylinder approach tested earlier in catalysis studies,³³ the present sample design makes it simple to selectively probe all vicinal orientations with a standard synchrotron photon beam, without having to modify the sample manipulator or the NAP-XPS setup.

By scanning the Pd cylindrical crystal of Fig. 1a with the X-ray beam in the NAP-XPS station of the ALBA synchrotron (Barcelona, Spain), we have been able to map surface and gas phases at all close-packed vicinal Pd(111) planes during the catalytic oxidation of carbon monoxide. The temperature-dependent hysteretic process around the ignition

temperature (arrows indicate heating and cooling) is schematically described in Fig. 1b. In this work we establish stationary conditions of gas flow, pressure and sample temperature during the transition from the low- to the high-activity stages (dashed lines in Fig. 1b), such as to accurately determine surface phases at each reaction stage and as a function of the crystallographic orientation. As it occurs in other Pd and transition metal surfaces,^{8,34} we find that the low-activity stage is linked to the presence of a poisoning CO layer, which is replaced in the high-activity phase by an active layer containing both chemisorbed O and metal oxides, in variable proportions across the curved surface. We also find that the transition is faster for {111}-like (B-type) with respect to {100}-like (A-type) vicinals, and also with respect to the Pd(111) surface, for which a ~ 45 K transient state is found. The latter allows the surprising coexistence of both poisoning CO and active O species on the surface, both during ignition and cooling-off.

Experimental

Curved sample processing in Ultra High Vacuum

The curved Pd(111) surface (Bihurcrystal Ltd., Spain) is obtained by mechanical erosion of a flat Pd(111) crystal, followed by mechanical polishing down to $0.25\ \mu\text{m}$ grinding. In UHV, the sample was extensively treated by alternating sputtering (1 keV Ar ion beam) and thermal flashing (1200 K). To remove any possible C segregation, the annealing cycle was periodically performed in the presence of O_2 (1×10^{-8} mbar, 10 minutes). Sputtering was carried out at 45° incidence and with the scattering plane of the Ar ions parallel to the surface steps.

Near-Ambient pressure X-ray Photoelectron Spectroscopy setup

The NAP-XPS spectra were measured at the NAPP-CIRCE beamline of the ALBA synchrotron light source (Barcelona, Spain) with a PHOIBOS 150 NAP analyzer from SPECS.

Figure 1: **Catalytic oxidation of CO on a curved Pd crystal.** (a) Sketch of the curved Pd(111) sample featuring A-type and B-type vicinal surfaces around the center of the crystal, which is oriented along the high-symmetry (111) direction. (b) Schematic diagram for the hysteretic transition between the high- and low-activity stages of the catalytic oxidation of CO on Pd(111), and on stepped A-type and B-type surfaces. The ignition of the catalytic reaction sets in faster and at lower temperature in vicinal surfaces with B-type steps, as compared to A-type steps and the (111) plane.

The analyzer is equipped with four differentially pumped stages connected through small apertures. A set of electrostatic lenses focuses the electrons through the apertures to maximize transmission.^{35,36} Such a set-up allows the detector to be kept in UHV while the sample is at a maximum pressure of 20 mbar. The instrumental, beamline plus analyzer, energy resolution during the experiments was better than 0.3 eV. The beam spot size at the sample is $100 \times 20 \mu\text{m}^2$ (horizontal $\times$ vertical), with the horizontal axis oriented parallel to surface steps, thereby allowing to probe vicinal angles with a small spread of $\Delta\alpha < 0.05^\circ$. The

light incidence was normal to the (111) surface. For XPS scans over the curved surface, the sample was rigidly shifted in front of the beam, resulting in a linearly variable emission angle from $\sim 55^\circ$ at the A side of the crystal to $\sim 25^\circ$ at the B side of the crystal. This did not affect the surface sensitivity, but caused a steady 30% intensity decrease of gas phase core-levels across the curved sample, from A to B, as evidenced in Figs. 2 and 4.

High resolution Ultrahigh Vacuum X-ray Photoelectron Spectroscopy

High-resolution Photoemission spectroscopy with the substrate exposed to CO and molecular oxygen under Ultra-High Vacuum conditions was carried out at I311 beamline at MaxII in Lund, Sweden. To achieve accurate scans across the curved sample, the light spot size was reduced to 100 μm by means of the exit slit, and hence the vicinal angle spread was $\Delta\alpha < 0.25^\circ$. XPS α -scans were taken with the sample temperature kept within the 150–200 K range. The photon energy was varied to optimize the cross section, the surface sensitivity and, in the case of the Pd $3d$, the ability to resolve surface and bulk emission lines across the whole curved surface. Gases were dosed while holding the sample at room temperature (0.2 L CO₂, 10 L O₂) and at 380 K (10 L CO₂).

Line fitting of XPS spectra

Fitting of all XPS spectra is performed using Donjac-Šunjić lines^{37,38} convoluted with Gaussian functions. Fitting of the high-pressure data is preceded by the previous analysis of the Pd $3p_{3/2}$, $3d_{5/2}$, C $1s$, and O $1s$ spectra from clean and CO and O₂ chemisorbed surfaces acquired in UHV conditions (see also supplementary information). For such process we include 0.2 L and 10 L CO, as well as 10 L O₂ data. These UHV experiments set the initial energy and line-shape values for bulk and surface components of Pd, and chemisorbed CO and O peaks during the fitting of the high-pressure spectra. The number of peaks for adsorbed species used in UHV fits is the minimum needed for a good result. At this point, we also rely on literature data to identify different chemisorbed components.^{24,39,40} Once the

initial set of peaks is established for clean and CO and O₂ chemisorbed species, we turn to the fitting of the spectra for the CO oxidation experiment. The starting point is the (111) surface, and then we consider the A- and B-edges of the sample, trying to keep constant line shapes and positions (energy shifts permitted below 100 meV) of all individual peaks, only allowing variations in peak intensity. In NAP-XPS spectra, we introduce additional lines for the CO, O₂, and CO₂ gas phase peaks.

Results and discussion

CO and O₂ gases were introduced in the XPS chamber in a constant flow regime of 0.15 ml/min, up to a stable 0.3:0.3 mbar mixture, and with the Pd curved sample kept at 370 K. Then the temperature was stepwise increased until the whole sample reached the high activity stage at 560 K. In a second process, the temperature was stepwise decreased down to 470 K, such as to completely cool off the catalytic reaction in the cylindrical sample. In Fig. 2a-c we examine the steady distribution of surface chemical phases across the curved surface at 485 K during the cooling-off (see the corresponding dashed line in Fig. 1b). C 1s (Fig. 2a) and O 1s (Fig. 2b) spectra are acquired at seventeen different points of the surface, in $\Delta\alpha = 2^\circ$ vicinal angle steps, and their intensity is displayed in a color scale. In the C 1s spectrum we observe two features, the emission at higher binding energy, originating from the C 1s core level of CO₂ gas between the analyzer nozzle and the sample, and a sharp signal from the CO chemisorbed phase at 285.7 eV. The latter reaches its maximum intensity at the center of the sample [Pd(111) face], and rapidly decreases towards the stepped edges. The O 1s emission from chemisorbed and oxide species appears as a shoulder in the low binding energy side of Pd 3p_{3/2} peak, with the reverse intensity variation: it is maximum at the densely stepped edges and vanishes at the (111) plane. Therefore, both Figs. 2a and 2b demonstrate that at 485 K the catalytic oxidation of CO switches off at the (111) surface, but remains ignited at highly stepped crystal planes, with B-type steps being more active

Figure 2: **XPS maps of stationary states for a 0.3:0.3 mbar CO:O₂ gas mixture.** Intensity plots of (a) C 1s and (b) O 1s regions across the curved surface at 485 K, during reaction cooling-off. Individual XPS spectra at the center and the densely stepped A and B edges appear overlaid. In the C 1s spectrum, the emission at 285.7 eV corresponds to chemisorbed CO, and its strong variation indicates that the reaction is poisoned at the sample center, becoming progressively more active towards the A-side, and fully active at the B-edge of the sample. In the O 1s spectrum in (b) active oxygen-rich species appear as a low-binding energy shoulder of the Pd 3p_{3/2} peak. (c) α -dependent XPS intensity of chemisorbed CO (C 1s) and O-rich (O 1s) surface species, and CO₂ and O₂ (O 1s) in the gas phase. Abrupt changes of slope occur in both A- and B-sides, at the marked (557) and (443) planes, respectively. Data are determined from line-fit analysis of individual spectra. (d) α -dependent XPS intensity of chemisorbed CO (C 1s) at different temperatures during reaction cooling-off (downward arrow) and ignition (upward arrow).

than A-type steps.⁴¹

In Fig. 2c we represent the C 1s and O 1s peak intensities as a function of the vicinal angle α , together with the O 1s intensity from O₂ and CO₂ in the gas phase. Data points are obtained after line-fitting individual spectra from Figs. 1a and b (see Supplementary Information (SI), Fig. 3 and Methods). At the B-step side, the adsorbed-CO intensity linearly decreases from the (111) direction up to a critical $\alpha \sim 7.5^\circ$, beyond which the intensity drop is also linear, but with a reduced slope. As indicated in the figure, such vicinal angle roughly corresponds to the (443) crystal plane. In the A side, a somewhat less-marked change of slope occurs at the (557) surface direction, namely at $\alpha \sim 10^\circ$. Notably, the O 1s intensity exhibits an almost complementary α -dependent variation to that of the C 1s from chemisorbed CO, and both contrast with the almost constant intensity from CO₂ and O₂ gas, which suggests a rapid gas diffusion and homogenization in front of the surface.⁴² Finally we note the binding energy variation as a function of α observed in gas peaks of Figs. 2a and b, which mirrors the local work-function at the curved surface. Notably, the sign and magnitude of the shift (up to 0.4 eV) are similar to the work-function difference between flat and stepped surfaces covered with CO,^{43,44} suggesting that the local substrate plane rather than the difference in the chemisorbed phase is the main reason for this α -dependent shift.

XPS scans under stationary conditions have been taken at the temperatures marked in Fig. 1b, during catalytic activation (upward arrow) and cooling-off (downward arrow). In Fig. 2d we show the C 1s intensity variation for chemisorbed CO at such different temperatures, revealing the strong asymmetric response of the surface during the ignition process. Data are normalized to the maximum CO signal detected, which corresponds to the (111) plane in the low-activity phase. The low-activity stage extends to the whole sample at 485K previous to ignition. At this temperature, the peak intensity decreases linearly from the (111) center down to 20% and 35% at A and B stepped areas, respectively. The same trend is found for the CO saturation coverage in Ultra High Vacuum (UHV) at 300 K (see Supplementary Information in Ref. 41), reflecting a decreasing CO chemisorption energy

for increasing α , and for B-type versus A-type vicinal surfaces. The high-activity stage is reached in the whole sample at 560 K, where no chemisorbed CO signal is detected. We may also compare curves in Fig. 2d at fixed sample points, such as to assess the temperature span of the transient stage at each surface plane. In the B side of the crystal, and beyond the (443) plane, it is striking to observe that the high-activity stage is kept down to 485 K, while the CO poisoning of the surface is completed at 470 K. Such abrupt transition from the high-activity to the low-activity stage in the B-edge of the sample contrasts to the more gradual decay of the catalytic activity in the (111) surface at the centre of the crystal. In fact, at the (111) surface CO poisoning already starts at 520K, and steadily increases and saturates at 485K. The A-step side appears as an intermediate case, with a linear increase of CO from a residual amount at 520 K, to a 20% of the maximum signal at 495K, a 40% at 485 K, and a complete CO saturation at 470 K.

The XPS scans of Fig. 2 prove the existence of a small temperature range ΔT during ignition and cooling-off, at which stable mixtures of poisoning and active surface species can be attained. Thus, the commonly assumed view of the CO oxidation as an autocatalytic process, or "thermal explosion", does not hold in the present case, particularly at the (111) center of the crystal. In fact, from the vertical cut in Fig. 2d at $\alpha = 0$ one can crudely determine $\Delta T \sim 45$ K at the Pd(111) plane. An even wider transient state has been recently reported for Ir(111) ($\Delta T \sim 75$ K),⁴⁵ in contrast to the sharp transition ($\Delta T < 20$ K) that characterizes Pt(111). As discussed for the Ir(111) case, the stability of the mixed CO-O chemisorbed layer is due to the high adsorption energy of CO and oxygen, which allow them overcome their mutual repulsive interaction. From the point of view of the CO adsorption energy, Pd(111) is an intermediate case between Ir(111) (high adsorption energy) and Pt(111) (low adsorption energy), as shown in thermal desorption experiments and systematic calculations.^{46–50} However, in vicinal Pd(111) the CO adsorption energy decreases as a function of α . This indeed explains the decreasing ignition temperature across vicinal planes observed in the curved Pd surface,⁴¹ but could also be the reason for

Figure 3: Low-activity and high-activity surface phases compared to chemisorbed phases in UHV (a) Comparative analysis of the O 1s and Pd 3d_{5/2} spectra between the poisoned phase at NAP (yellow background) and the CO chemisorbed Pd(111) surface in UHV for 0.2 L and 10 L CO exposures (see SI for UHV peak labeling and discussion). (b) Comparison of O 1s and Pd 3d_{5/2} between the active reaction stage (yellow background) and the $p(2 \times 2)$ oxygen-chemisorbed phase in UHV. LEED patterns in the insets prove the presence of the $c(4 \times 2)$ -CO (10 L CO) and the $p(2 \times 2)$ -O chemisorbed phases in UHV (see SI for detailed LEED images).

the behavior demonstrated in Fig. 2 (d), that is, the existence of a transient active state in the (111) center, as opposed to the sharp low-to-high activity transition at the densely stepped B-edge.

To properly identify and quantify phases at the active and poisoned stages we perform a thorough and consistent line fit analysis of individual NAP-XPS and UHV spectra together, as shown in Fig. 3 (see Methods and Ref. 38). The UHV environment allows both the optimal performance of XPS and the parallel structural LEED analysis,^{39,40,51} providing the accurate quantitative evaluation of surface chemical species in NAP-XPS. In Fig. 3 the different panels show this comparison for the Pd $3d_{5/2}$ and O $1s$ peaks. All UHV and NAP spectra correspond to the Pd(111) plane at the center of the crystal (see SI for a deeper discussion on UHV spectra). The low-activity stage must be compared with the spectra acquired after UHV exposure of 0.2 Langmuir (L) and 10 L of CO gas. The peaks in the poisoned phase during the CO oxidation at 485 K are equivalent (energy, line-shape) to the UHV ones, but the intensity ratios of the different lines indicate an intermediate CO coverage. In the 10 L spectra at UHV, both O $1s$ and Pd $3d_{5/2}$ exhibit the characteristic high-binding energy components of highly-dense chemisorbed CO phases [> 0.5 monolayers (ML)], which indicate a variety of chemisorption sites.^{39,40,51} These are absent in the O $1s$ and Pd $3d_{5/2}$ spectra of the poisoned phase during the oxidation reaction. In contrast, for the 0.2 L exposure in UHV the Pd $3d_{5/2}$ peak exhibits a residual surface emission that is not observed in the high-pressure poisoned phase. Judging from the O $1s$ intensity, as well as from the Pd $3d_{5/2}$ emission of CO-adsorbed Pd atoms, we conclude that the CO coverage in the low-activity phase at 485 K lies around 0.3 ML. The active phase at 560 K is more simple to identify and quantify. In contrast to the relevant presence of oxides under highly oxidative CO:O mixtures,²⁴ under the present 1:1 regime the active phase entirely corresponds to a pure chemisorbed O (chem-O) layer. This is readily judged from both, the characteristic O $1s$ line, and the residual emission from bare Pd atoms at the low-energy side of the Pd $3d_{5/2}$ peak. The intensity ratio with respect to the bulk Pd $3d_{5/2}$ and Pd $3p_{3/2}$, as well as the residual contribution from CO, indicate that the O coverage remains slightly below the 0.25 ML of the fully-saturated $p(2\times 2)$ chem-O layer.

Figure 4: **Active surface phases.** Line-fit analysis of the O 1s spectra taken at (a) 560 K (b) 520 K and (c) 485 K during reaction cooling-off, at the indicated sample positions: A-type edge (lower spectra), B-type edge (upper spectra) and (111) plane (center). The substrate signal is shaded in gray, chemisorbed CO in red, and the active oxygen-related species in dark blue (chemisorbed oxygen) and light blue (surface and subsurface oxides). The former dominates the spectrum at the fully-active stage at 560 K, whereas the latter build up at intermediate temperatures and at the highly stepped edges of the sample. (d) Relative oxide intensity as a function of the vicinal angle. Data correspond to the stationary state at 485 K during cooling-off (see Fig. 2).

To gain a further insight into the α -angle dependence of the catalytic reaction reflected in Fig. 2, we inspect the temperature evolution of surface phases in individual XPS spectra at three different crystal planes. The CO chemisorbed phase, characterized by the C 1s emission shown in Fig. 2a, shows no appreciable shifts or satellites, pointing to a rather homogeneous CO chemisorption site at all vicinal angles. The O 1s spectra in Fig. 4a correspond to three characteristic sample points (A edge, B edge, and center) and temperatures during the reaction cooling-off. At 560 K, the chem-O layer (dark blue) is present in every surface plane, with the highest concentration at the B-side and the lowest at the (111) plane, as judged from the O 1s intensity relative to that of the Pd 3p_{3/2} (green). However, the XPS spectra at the intermediate 520 K shows a significant presence of O 1s emission in between the CO and the chem-O species. To explain such contribution, we introduce extra peaks in the fit at around 530 eV binding energy (blue lines), which can be assigned to surface/subsurface Pd oxides featuring highly coordinated oxygen atoms.^{24,40,52} Such oxide emission reveals larger

intensity in the densely stepped A and B sides, and zero intensity at the Pd(111) surface. Pd surface/subsurface oxides of increasing O-coordination are known to sequentially appear on Pd(111) exposed to O₂.^{52,53} This is the case during reaction ignition (see Fig. S2 in SI), where the chem-O species is the first active phase to arise before the surface oxide is formed. However, in metastable O₂-rich atmospheres Pd oxides are stable only at low-temperature, while at higher temperature a single chem-O layer can stay.^{30,53} This equilibrium of oxygen-related phases manifests during reaction cooling-off: at 560 K chem-O is the only active species on the surface, at 520 K the oxide emerges, and at 485 K the chem-O intensity is largely suppressed, while the surface oxide emission remains.

The relative contribution of chem-O and surface oxide species to the total O 1s signal (oxide+chem-O) abruptly changes across the curved crystal at the critical α angles, as shown in Fig. 4d. The data correspond to the stationary state at 485 K during the cooling-off cycle (see the corresponding total oxygen intensity in Fig. 2c). No oxide is detected within a relatively wide $\Delta\alpha = \pm 5^\circ$ range around the (111) direction, and it steeply grows beyond that vicinal angle in both A and B sides. The absolute amount of oxide is notably higher at the B side, where at 485 K the CO oxidation reaction remains active during cooling-off. Since at 485 K the reaction has not started in the ignition cycle, this indicates that the hysteresis is caused by the ultimate reduction of these surface/subsurface Pd oxides, which build up in the presence of chem-O during the active phase. Chem-O is likely inducing substrate faceting of highly dense vicinal planes at the early ignition stage.^{15,19,23} Therefore, one could conversely speculate that the ability to develop surface/subsurface oxide facets, which certainly increases beyond a critical step density, explains the peculiar spatial modulation of the catalytic activity on the Pd curved surface that is mirrored in Fig. 2d.

Summary

In summary, the full power of near-ambient pressure XPS to identify surface chemical species in reaction conditions, added to the robustness of the curved surface approach, allows a consistent description of the surface chemistry during the catalytic oxidation of CO on Pd(111) and its vicinal planes. We find that active and poisoned phases above and below the ignition temperature are equivalent to UHV-related phases in all crystallographic planes. However, the strong spatial modulation of the catalytic activity at constant temperature during ignition demonstrates that the reaction is activated in the local plane, with clear A-B asymmetry. One can in fact freeze ignition or cooling-off processes to observe the coexistence of poisoned and active surface species within a small temperature range that depends on the vicinal angle. Yet the passage from the low- to the high -activity stage is rather abrupt for B-type vicinal surfaces and beyond the (443) plane, where we also detect the build-up of surface and/or subsurface oxides. All such features agree with the α -dependent variation of the CO chemisorption energy, as well as with the structural transformation of the surface, likely oxygen-induced faceting, beyond critical vicinal angles.

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Supporting Information Available

Supplementary information and data are provided for UHV experiments performed using the same curved Pd(111) sample, for a simultaneous gas analysis/XPS scan during the ignition

process, and for the Pd $3d_{5/2}$ peak fits at different temperatures in stationary conditions.

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