

1                   **Health benefits of oat: current evidence and molecular mechanisms**

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18 **ABSTRACT**

19 Oat has historically been considered as a valuable crop due to its nutritional attributes.  
20 In recent years, oat has attracted growing attention as a healthy food due to its content  
21 of various bioactive compounds that can positively impact human health, such as  $\beta$ -  
22 glucan, avenanthramides, tocopherols, sterols, phytic acid and avenacosides. These  
23 compounds are involved in the reduction of the risk of cardiovascular diseases (CVD),  
24 type 2 diabetes mellitus (T2DM), gastrointestinal disorders and cancer. This short  
25 review summarizes the current knowledge about the beneficial effects of oat  
26 consumption, with emphasis on oats bioactive compounds responsible for the health-  
27 promoting attributes of this cereal and their underlying molecular mechanisms.

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38 **Keywords:** oat, health, bioactive compounds, molecular mechanisms

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## 40 **Introduction**

41 Oat has been recognized as a valuable foodstuff since ancient times, due to its  
42 nutritional attributes. This cereal provides important amounts of carbohydrates, mainly  
43 in the form of starch, dietary soluble fiber, lipids, good-balanced proteins and several B  
44 vitamins. Recently, oat has received increased attention due to its health-related  
45 benefits. Consumption of oat products has been associated to reduction of serum  
46 cholesterol, and the risk of cardiovascular diseases (CVD), as well as with prevention of  
47 cancer, diabetes and gastrointestinal disorders [1]. Based on clinical studies, the U. S  
48 Food and Drug Administration and European Food and Safety Agency have approved  
49 health claims for oat-derived foods regarding the ability of oat  $\beta$ -glucan (OBG) to  
50 reduce the serum cholesterol and the risk of CVD [2,3]. However, the beneficial effects  
51 of oat consumption have been attributed not only to the presence of OBG but also to  
52 other bioactive compounds [1].

53 Oat can be included among gluten-free ingredients when it is produced, prepared, and/or  
54 processed in a way to avoid contamination with gluten-containing cereals [4]. However,  
55 the careful selection of oat cultivar is crucial prior to its consumption by celiac people,  
56 since the immunoreactivity associated with toxic prolamins depends on oat genotype  
57 [5]. The inclusion of oat in gluten-free diets may improve the nutritional status of celiac  
58 people and can offer a wider choice in their diets.

59 Despite the tremendous increase in oat popularity and consumption, its production has  
60 declined steadily over the last years, especially in Europe, due to the dominance of other  
61 cereals such as wheat and barley [6]. Nowadays the food industry is investing a great  
62 deal of effort in increasing the usage of oat as ingredient for formulating novel food  
63 products. The development of new oat products may increase the range of functional  
64 foods in the market and could contribute to the prevention of chronic diseases.

65 This short review summarizes the current knowledge about the beneficial effects of oat  
66 consumption, with emphasis on oat bioactive compounds responsible of the health-  
67 promoting attributes of this cereal and their underlying molecular mechanisms. **The**  
68 **information provided in this review is based on very recent literature sources.**

### 69 **Bioactive compounds in oats.**

70 The health beneficial properties of oat can be attributed to different bioactive  
71 compounds:

72 **OBG** is the main component of the soluble fiber in oats, consisting of a linear branched  
73 chain of D-glucose molecules bonded by mixed  $\beta$ -(1-3) and  $\beta$ -(1-4) linkages, with an  
74 approximate distribution of 30% to 70%, **respectively** [7]. This polysaccharide is  
75 located in oat aleurone and subaleurone endosperm cell walls, and its content varies  
76 from 1.8 to 7% [8,9]. The concentration and degree of polymerization of OBG depends  
77 not only on the cultivar, but also on the growing, processing and storage conditions of  
78 oats [10]. There is strong evidence showing that OBG is partially responsible **for the**  
79 **reduction of blood glucose and serum cholesterol attributed to the consumption of this**  
80 **cereal** [11]. The beneficial effects of OBG are related to its physico-chemical and  
81 rheological characteristics such as molecular weight, conformation, water solubility and  
82 viscosity [10, 12].

83 The health benefits of oat have also been associated to the presence of several  
84 antioxidant compounds, such as tocols, **namely tocopherols and tocotrienols**, phenolic  
85 compounds and sterols. **Tocols** (16-94 mg/kg) are composed of a polar chromanol ring  
86 linked to an isoprenoid-derived hydrocarbon chain. They act as strong free radical  
87 scavengers, showing also the ability to inhibit the **proliferation** of certain cancer cells  
88 [13]. Phenolic acids are the most abundant **phenolic compounds** in oat, especially

89 ferulic acid (250 mg/kg), that is present mainly bound to cell wall components by ester  
90 or ether linkages, but also exists as free forms [14]. Avenanthramides are  
91 hydroxycinnamoyl anthranilate alkaloids found exclusively in oats. **Twenty-five**  
92 **avenanthramides have been identified. The AVA-A (2p), AVA-B (2f) and AVA-C (2c)**  
93 **are the most abundant in oats** [15, 16]. Avenanthramides have documented antioxidant,  
94 anti-inflammatory and anti-proliferative activities [17,18]. Oat also contains other  
95 antioxidant phytochemicals such as **sterols** (447 mg/kg), being the most abundant  $\beta$ -  
96 sitosterol,  $\Delta$ -5 and  $\Delta$ -7 avenasterols [19] and **phytic acid** (5.6-8.7 mg/g) that **exhibits**  
97 **antioxidant activity due to its ability to chelate metal ions, making it catalytically**  
98 **inactive and resulting in the inhibition of metal-mediated free radicals production.**  
99 **However, this chelation-activity reduces bioavailability of essential minerals** [20].

100 Oat is the only **saponin-containing** cereal, comprising unique steroidal glycosides  
101 named **avenacosides A and B** (65.5 and 377.5 mg/kg, respectively) that have shown  
102 anticancer activity **through diverse and complex mechanisms, including inhibition of**  
103 **tumour cell growth by cell cycle arrest and stimulation of apoptosis, among others** [21].

#### 104 **Beneficial effects of oat on risk factors of CVD**

105 **Observational and controlled studies provide strong evidence for the beneficial effects**  
106 **of oat consumption in the reduction of CVD risk** [22,23]. Results from literature  
107 reviews and meta-analysis demonstrate that long-term oat consumption reduces fasting  
108 total and LDL-cholesterol and to some extent triglycerides, particularly in  
109 hypercholesterolaemic, **type-II diabetic** and healthy subjects [23–25]. The described  
110 cholesterol reduction percentage varies between 3% and 10% that represents a 6-18%  
111 decrease in coronary heart disease risk. Increased oat consumption have also shown to

112 reduce other lipid/lipoprotein markers associated with CVD risk , such as non-HDL  
113 cholesterol and **apolipoprotein B** (apoB) [26].

114 **Based on the current scientific evidence it is clear that beneficial effects of oat in blood**  
115 **lipid profile are largely attributed to OBG** [26]. The fecal excretion of bile acids caused  
116 by OBG intake reduces the amount of hepatic bile acids and activates cholesterol  
117 biosynthesis through upregulation of cholesterol 7- $\alpha$  hydroxylase (CYP7A1) which  
118 ultimately lowers circulating LDL cholesterol levels [26]. Animal studies pointed out  
119 that oat proteins and lipids are also contributing to cholesterol-lowering effects of oat  
120 [27,28]. Oat proteins are able to decrease circulating total and LDL-cholesterol through  
121 similar mechanisms **as** described for OBG [28].

122 The beneficial effects of increased oat consumption in blood lipid profiles have also  
123 been associated to changes in microbiota composition [29]. In particular, C57BL/6J  
124 mice fed wholegrain oat have greater relative abundance of *Prevotellaceae*,  
125 *Lactobacillaceae*, and *Alcaligenaceae* and lower *Clostridiaceae* and *Lachnospiraceae*  
126 families as well as reduced total and non-HDL cholesterol levels.

127 Hypertension is a major risk factor for stroke and myocardial infarction [30]. Results  
128 from randomized controlled trials (RCT) found an association between higher  
129 consumption of OBG and reduction of systolic and diastolic arterial blood pressure in  
130 pre-hypertensive and hypertensive overweight subjects [31]. Nevertheless, as most of  
131 these studies were based on hypocaloric interventions with the aim of weight loss,  
132 changes in weight cannot be ruled out as contributing to the findings.

133 **Moreover, several** studies have demonstrated that increased oat consumption reduces  
134 systemic inflammatory markers of CVD risk **although adequately powdered RCT with**  
135 **larger sample size are necessary to strengthen the evidence** [23].

136 **Beneficial effects of oat on type II diabetes and related risk factors**

137 Oat products have been shown to elicit low-postprandial glyceimic and insulinemic  
138 responses in either healthy or overweight subjects [32,33]. Many individual studies have  
139 also confirmed that subjects suffering T2DM may benefit from increased oat  
140 consumption [33]. However, contradictory results related to the efficacy of interventions  
141 with OBG alone in diabetic patients have been obtained [34]. Results from two meta-  
142 analysis comprising high quality RCT have concluded that mid-term daily OBG intake  
143 favored the glyceimic control as lowers fasting plasma glucose and glycosylated  
144 hemoglobin (HbA<sub>1c</sub>) levels in diabetic participants; however, these dietary interventions  
145 did not affect insulin sensitivity [35].

146 Much of the beneficial effects of oat products on glyceimic response are attributed to  
147 OBG. In fact, European Commission has authorized health claims related to OBG and  
148 reduction of postprandial glycaemia. In order to obtain the claimed effect, 4 g of OBG  
149 for each 30 g of available carbohydrates should be consumed per meal. Further studies  
150 have demonstrated that lower doses of high molecular weight OBG may be sufficient to  
151 reduce postprandial glycaemia when consumed as a preload [36]. This finding is in line  
152 with a systematic review showing the efficacy of OBG is more strongly related to its  
153 content than to the ratio of OBG/available carbohydrates [32].

154 The mechanisms of action of OBG to attenuate glyceimic response are related to its high  
155 viscosity that causes a delayed gastric emptying, reduces carbohydrates enzymatic  
156 digestion and retards glucose diffusion and absorption [32,37]. OBG has the ability to  
157 inhibit glucose transport by downregulation of glucose transporters in small intestinal  
158 epithelial cells [38]. At present, magnetic resonance imaging technique has provided  
159 new evidence indicating that reduced postprandial glycaemia of oatmeal is linked to a

160 synergistic effect of delayed gastric emptying and reduced frequency of gastric antral  
161 contraction waves [39].

162 Interesting findings from a meta-analysis of RCT have shown that oat intake resulted in  
163 a greater decrease in glycated HbA<sub>1c</sub>, fasting glucose and insulin response than  
164 extracted OBG [33]. These findings suggest that besides OBG other factors might be  
165 affecting **to the bioactivity** of oat products. In **agreement** with this state, **Xu and others**  
166 **[40]** found that oat starch molecular features might also be contributing to low post-  
167 prandial glycemic response. Moreover, it has been reported that the interaction of OBG  
168 and food starch has the potential to influence starch digestibility [41]. OBG can  
169 decrease starch digestibility by changing the microstructure of food products or by  
170 limiting water availability due to soluble OBG hydration which ultimately reduces  
171 starch gelatinization. Thus, the inhibition of starch digestibility is correlated with OBG  
172 efficacy in reducing glycemic response.

### 173 **Beneficial effects of oats on bowel disease**

174 Bowel disease include a wide range of pathologies affecting small intestine, colon and  
175 rectum such as irritable bowel syndrome (IBS), colorectal cancer and various types of  
176 inflammatory disorders (Crohn´s disease and ulcerative colitis). Lately, a systematic  
177 literature review concluded that long-term dietary intake of oat or oat bran could present  
178 some benefits for patients with IBS and ulcerative colitis and a plausible but non  
179 convincing protective effect on adenoma and cancer [42]. Despite of these promising  
180 results, further appropriately powered well-designed RCT are required to assess the  
181 efficacy of increased oat consumption.

182 The protective role of oat against functional disorders affecting the gastrointestinal tract  
183 has been recently established. Anti-inflammatory effects of OBG are associated with its

184 ability to reduce the number of lymphocytes T and B and granulocytes, promote  
185 leukocyte infiltration in intestinal mucosa, lower the production of pro-inflammatory  
186 enzymes and cytokines and stimulate the release of anti-inflammatory cytokines  
187 [43,44]. The anti-inflammatory properties of OBG seem to be related with its molecular  
188 weight, but the available data are contradictory.

### 189 **Beneficial effects of oat on body weight**

190 Results from an observational study including children aged 2-18 participating in the  
191 National Health and Nutrition Examination Survey 2001-2010 have shown that  
192 consumption of cooked oatmeal was associated with reduced risk for central adiposity  
193 and obesity [45]. These findings agree with RCTs concluding that short and long-term  
194 wholegrain oat intake had significant effects on reducing weight in overweight T2DM  
195 [24] and healthy participants [46]. The weight reduction effect was primarily attributed  
196 to oat fibre that reduces energy intake and increases satiety. This statement was recently  
197 supported by RCT focused on the short-term effects of consumption of oat-based  
198 breakfast cereals on appetite, satiety and energy intake [47]. These studies demonstrated  
199 that consumption of oatmeal suppresses appetite, increases satiety and reduces  
200 subsequent energy intake especially in overweight subjects [47].

201 The majority of the evidence suggests that OBG is the main responsible for the positive  
202 effect of oat consumption on perceptions of satiety [48]. Plausible mechanisms for OBG  
203 inhibition of food intake and weight gain is through its viscous properties that delay  
204 gastric emptying and reduce or delay digestion/absorption of macronutrients [48]. The  
205 increased interaction of gastric content with the intestinal cells that secrete satiety  
206 hormones may stimulate the release of peptides involved in appetite regulation.  
207 Additionally, OBG may activate the gut hypothalamic axis, thereby, increasing satiety.

208 Further evidence provided additional mechanisms for the preventive effect of oat fiber  
209 and OBG on weight gain and obesity-related liver lipotoxicity [49,50]. Decreased fat  
210 accumulation in hepatocytes and adipose tissue in rodents fed a high fat diet were  
211 attributed to the increased protein expression of peroxisome proliferator-activated  
212 receptors  $\alpha$  and  $\gamma$  as well as the decreased protein expression of sterol regulatory  
213 element binding protein-1 in liver and epididymal adipose tissue.

214 Dysbiosis of the gut microbiota has also found to play a key role in the development of  
215 obesity [51]. Recent research advances in metagenomics sequence have allowed the  
216 study of the complete profile of oat product-induced alterations in gut microbiota.  
217 Results from these studies have revealed that oat products individually modify gut  
218 microbiota composition and increase short chain fatty acids (SCFA) concentration,  
219 therefore, attenuating the high-fat diet induced obesity and related metabolic disorders  
220 in rats [52].

### 221 **Beneficial effects of oat in cancer prevention**

222 *In vitro* and preclinical studies pointed out to the positive effects of oat biologically  
223 active compounds as anticarcinogenic agents. The ability of OBG as tumor growth  
224 inhibitor has been well established, even though its efficacy on tumor suppression  
225 depends on the type of tumor, the dose and timing of administration, the animal genetic  
226 background and tumor load [53]. The chemopreventive effects of OBG have been  
227 proven in 1,2-dimethylhydrazine-induced colon carcinoma in mice. One of the  
228 mechanisms involved in colon cancer prevention by OBG is the modulation of colon  
229 microbiota which reduces the conversion of primary bile acids to secondary bile acids  
230 that are known to be tumorigenic. Moreover, OBG promotes the synthesis of SCFA,  
231 which are well-known anticarcinogenic compounds by colonic anaerobic bacteria and

232 facilitates tumor cell apoptosis [54]. The induction of tumor cell apoptosis by low-  
233 molecular weight OBG has been also observed in human dermal cancer cells via  
234 activation of caspase-dependent apoptotic pathway [55].

235 Recently, inhibitory effects of avenacosides (**steroidal saponins**) against the growth of  
236 human colon cells HCT-116 and HT-29 have been reported [21]. The effects of these  
237 compounds as growth suppressors of colon cancer cells appears to be weak, but this  
238 study **might** open new perspectives for studying oat steroidal saponins as  
239 chemopreventive agents in different types of cancer.

#### 240 **Antioxidant and immunomodulatory properties of oat**

241 Oxidative stress is involved in a wide spectrum of pathologies. Antioxidants play a key  
242 role in preventing damage induced by oxidative stress through free radical  
243 neutralization. A plethora of studies have demonstrated that oat AVAs possess strong  
244 antioxidant activity [56]. An *in vitro* study has shown that the antioxidant effects of  
245 AVA are mediated by the induction of heme oxygenase-I (HO-I) expression through the  
246 activation of translocation of nuclear factor-E2-related factor 2 (Nrf2) in human kidney  
247 cells [17]. OBG also exerts an important antioxidant activity, due to its ability to  
248 diminish lipid peroxidation or to increase antioxidant defenses (glutathione reductase,  
249 glutathione peroxidase and superoxide dismutase activities) and antioxidant status, as it  
250 has been recently reported in LPS-induced enteritis experimental models [44,57].

251 Several studies have provided valuable insights into the immunomodulatory properties  
252 of OBG. This activity is partially mediated by the activation of Dectin-1 receptors that  
253 trigger the production of cytokines resulting in the induction of adaptive immune  
254 responses, as it has been demonstrated in mice and human dendritic cells [58,59].

255 Moreover, OBG modulates the expression of various immune-related genes in LPS-

256 stimulated THP-1 macrophages, leading to an overall enhanced anti-inflammatory effect  
257 [60]. Structure, solubility and molecular characteristics of OBG are key features  
258 influencing the immune-stimulating activity of this compound [58,59].

## 259 **Conclusions**

260 Oat offers many opportunities for future functional food development. There is  
261 accumulating evidence demonstrating that oat consumption reduces the risk of CVD  
262 and T2DM due to its LDL-cholesterol and glucose lowering effects, respectively. So  
263 far, much of these beneficial effects have been attributed to OBG. Despite promising  
264 advances in clinical research there is still insufficient evidence to draw conclusions on  
265 beneficial effects of oat intake in blood pressure, weight gain, bowel inflammatory  
266 diseases and cancer. In these cases, adequately powered RCT with larger sample size  
267 are still required to strength the evidence. So far, there are a number of bioactivities that  
268 have been identified for oat constituents; however, further research efforts should be  
269 focused in the understanding of whether other bioactive constituents could be  
270 contributing to the health benefit of oats and the elucidation of their mechanisms of  
271 action.

## 272 **Acknowledgements**

273 This work is supported by funding from Ministry of Economy and Competitiveness and  
274 European Union through FEDER Programme (grants number AGL2013-43247-R and  
275 AGL2015-67598-R ).

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