

1 **Characterization and storage stability of astaxanthin esters, fatty acid profile and**
2 **α -tocopherol of lipid extract from shrimp (*L. vannamei*) waste with potential**
3 **applications as food ingredient**

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10 **ABSTRACT**

11 In this work a lipid extract from shrimp waste was obtained and characterized. The most
12 abundant fatty acids found were C16:0, C18:2n6c, C18:1n9c, C22:6n3, and C20:5n3.
13 The extract contained all-*trans*-astaxanthin, two *cis*-astaxanthin isomers, 5 astaxanthin
14 monoesters, and 10 astaxanthin diesters (7 ± 1 mg astaxanthin/g). C22:6n3 and C20:5n3
15 were the most frequent fatty acids in the esterified forms. Appreciable amounts of α -
16 tocopherol and cholesterol were also found (126 ± 11 mg/g and 65 ± 1 mg/g,
17 respectively). Little lipid oxidation was observed after 120 days of storage at room
18 temperature, revealed by a slight reduction of ω -3 fatty acids, but neither accumulation
19 of TBARS nor formation of oxidized cholesterol forms was found. This is attributed to
20 the antioxidant effect of astaxanthin and α -tocopherol, as their concentrations decreased
21 as storage continued. The lipid extract obtained has interesting applications as food
22 ingredient, owing to the coloring capacity and the presence of healthy components.

23 **Keywords**

24 Polyunsaturated fatty acids; α -tocopherol; astaxanthin; oxidation; food colorant; DHA;

25 EPA; shrimp

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28 1. INTRODUCTION

29 Large amounts of cephalothorax and cuticle waste, which may account for up to 50–
30 60% of animal weight, are produced annually by the crustacean processing industry
31 (Sachindra et al. 2005; Treyvaud Amiguet et al. 2012). This waste has the potential to
32 be valorized to obtain food ingredients and additives such as chitin/chitosan, proteins,
33 lipids, or carotenoids (Arancibia et al. 2014; Treyvaud Amiguet et al. 2012;
34 Takeungwongtrakul et al. 2015; Takeungwongtrakul et al. 2012). Recent works of our
35 research group have shown that the lipid extract obtained from shrimp cephalothoraxes
36 and cuticles waste is a promising food ingredient with multiple technological
37 applications (Montero et al. 2016; Gomez-Estaca et al. 2016; Gómez-Estaca et al.
38 2015). This is mainly because of its coloring capacity, provided by astaxanthin, which is
39 improved when encapsulated by spray-drying or complex coacervation or incorporated
40 into edible films. Moreover, astaxanthin is not the main component of the extract, as it
41 is reported to range between 2 and 5 mg/g lipid extract (Takeungwongtrakul et al. 2015;
42 Gómez-Estaca et al. 2016). Lipid extracts of similar nature have been reported to be rich
43 in polyunsaturated fatty acids (Takeungwongtrakul et al. 2012; Chen et al. 2007), and
44 also to contain tocopherols (Özogul et al. 2011) and cholesterol (Hernández-Becerra et
45 al. 2014). Astaxanthin, polyunsaturated fatty acids (specially eicosapentaenoic (EPA)
46 and docosahexaenoic acids (DHA)) and tocopherol may have health-promoting effects
47 when consumed, mainly related to their antioxidant and/or anti-inflammatory activities.
48 Inflammatory and oxidative mediated disorders including cancer, allergy, diabetes,
49 neurodegenerative diseases and coronary heart diseases are the main disorders that are
50 reduced thanks to consumption of these molecules (Özogul et al. 2011; Calder 2012;
51 Zhang et al. 2014). For this reason, this ingredient can be considered not only a food
52 colorant but also a functional compound for healthy food design. Multiple applications

53 on the use of ω -3 fatty acids-rich oils or astaxanthin for the development of functional
54 foods can be found in the literature (Lopez-Huertas 2010; Taksima et al. 2015). Other
55 compounds, however, such as the oxidized forms of cholesterol, are not desirable
56 (Hernández Becerra et al. 2014).

57 Microalgae, seaweeds, and crustaceans are the most important sources of astaxanthin
58 (Sowmya and Sachindra 2011). However, astaxanthin intake is low and almost limited
59 to fish species (crustaceans and salmonids). Astaxanthin possesses strong antioxidant
60 activity, which is higher than that of other carotenoids or α -tocopherol (Bauerfeind et al.
61 2014), emphasizing the interest on valorizing shrimp waste and developing new food
62 ingredients from it. Indeed, the epidermis and the hepatopancreas have been found to be
63 major sources of carotenoids in crustaceans, appearing colored or with massive, highly
64 colored fat globules (Nègre-Sadargues et al. 2000). Astaxanthin is commonly found in
65 nature either conjugated with proteins or esterified with one or two fatty acids
66 (monoester and diester forms) (Breithaupt 2004; Yang et al. 2015). Work on the
67 characterization of astaxanthin molecular species can be found for the alga *H. pluvialis*
68 (Miao et al. 2013) and for some crustacean species, such as *L. vannamei*, *E. superba*,
69 and *P. borealis* (Breithaupt 2004; Grynbaum et al. 2005; Yang et al. 2015).

70 Due to the interest and applications of the shrimp lipid extract obtained from
71 cephalothoraxes and cuticles waste, which was previously demonstrated in our previous
72 works, the objective of the present one has been to study in deep the composition of
73 such extract, with special emphasis on studying the astaxanthin molecular species, and
74 also its stability when stored at room temperature.

75 **2. MATERIALS AND METHODS**

76 **2.1. Chemicals**

77 All-*trans*-astaxanthin, cholesterol, fatty acid standards, and 1,1,3,3-tetraethoxypropane
78 were from Sigma-Aldrich Química, S.A. (Madrid, Spain). DL- α -tocopherol was
79 obtained from Supelco (Madrid, Spain) All HPLC-grade solvents, including methanol
80 and methyl t-butyl ether (MTBE), were obtained from Labscan Ltd. (Dublin, Ireland).
81 All other reagents were of analytical grade and supplied by Panreac Química S.A.
82 (Madrid, Spain).

83 **2.2. Obtaining the lipid extract from shrimp waste**

84 Ten kilograms of frozen shrimp (*L. vannamei*), kindly provided by Angulas Aguinaga
85 Burgos (Burgos, Spain), was thawed at room temperature and peeled manually. Shrimp
86 waste (cephalothorax, cuticles, tails, and pleopods) was homogenized to a particle size
87 of ≈ 5 mm. Aliquots of 10 g of the homogenate were mixed with ethyl acetate (50 mL
88 each) and stirred for 30 min at room temperature in darkness; after extraction the
89 samples were filtered through Whatman No. 1 filter paper and all the aliquots were
90 mixed together.

91 **2.3. Analysis of carotenoids**

92 The carotenoid species contained in the lipid extract were quantified by RP-HPLC-
93 DAD using a Develosil UG C30 column (5 μ m particle size) (Nomura Chemical, Sojo,
94 Japan) with a guard cartridge (Phenomenex, Macclesfield, UK) packed with ODS C18.
95 Aliquots of the lipid extract were dried and dissolved in a mixture of methanol/MTBE
96 at 25:75 (v:v) ratio, and filtered through a 0.45 μ m syringe filter, and then a 20 μ l
97 volume was injected. For elution, the linear mobile phase gradient was methanol 4%
98 H₂O:MTBE from 83:17 to 33:67 over 60 min at a flow rate of 1 mL/min, at 22 °C.
99 Commercial astaxanthin and spectral data were used to assign all-*trans*-astaxanthin
100 isomers; all-*trans*-astaxanthin was quantified by means of a calibration curve following

101 the method described by Gómez-Prieto et al. (2002). A DU-70 spectrophotometer
102 (Beckman Instruments) was routinely used to check the concentration of the working
103 standard solution, the concentration being calculated using the extinction coefficient
104 125,100 (Britton 1995). Mono- and diesters of astaxanthin were quantified from the
105 same calibration curve owing to the absence of patterns. Tentative identification of the
106 mono- and diesters of astaxanthin was accomplished by RP-HPLC-MS (Agilent 6530
107 Accurate-Mass Q-TOF LC/MS) according to the exact masses expected for each
108 compound, in the same conditions as described for RP-HPLC-DAD.

109 **2.4. Fatty acid profile**

110 Lipid extract (10 mg) was derivatized into fatty acid methyl esters (FAMES) in triplicate
111 using 0.5 M sodium methoxide in anhydrous methanol and acetyl chloride in anhydrous
112 methanol. FAMES were extracted with 4 mL hexane and used for GC analysis (1 μ L).
113 The fatty acid profile was determined in an Agilent 7820A gas chromatograph with FID
114 detector. Separation was carried out in a Agilent HP-88 column (60 m, 0.32mm i.d, 0.25
115 μ m film thickness ref. 112-8867) with split injection (40:1) and Helium at a constant
116 flow of 1.2 mL/min. Detector temperature was set at 260°C and injector temperature at
117 250°C. The temperature profile of the oven was 125°C for 1 min which then increased
118 by 8°C/min to 145°C for 26 min, then increased by 2°C to 220°C for 5min. Identification
119 was accomplished by comparison of the retention times with standards, and results were
120 expressed as relative percentage of the identified fatty acids. The fatty acids identified
121 accounted for 94% of the total amount of fat in weight.

122 **2.5. α -Tocopherol and cholesterol quantification**

123 The lipid extract was subjected to saponification and subsequent extraction with hexane.
124 An aliquot was dried and dissolved in methanol and then injected in an Agilent 1100

125 high resolution liquid chromatograph equipped with a Supelcosil LC-F column with
126 pre-column (ref. 59158) and coupled to an Agilent G1946D simple quadrupole mass
127 spectrometer. The mobile phases used were isocratic 1:10 water:methanol at a flow rate
128 of 1 mL/min. Identification and quantification were accomplished by comparison with
129 patterns, which were subjected to the same preparation steps as the samples.

130 **2.6. Thiobarbituric acid reactive substances**

131 A modified version of the method described by Gudipati et al. (2010) was adopted. The
132 lipid extract was dissolved in absolute ethanol, and aliquots were mixed with a reagent
133 solution containing 15% trichloroacetic acid and 0.375% thiobarbituric acid, and then
134 incubated in a water bath for 15 min at 90 °C. The reaction was stopped in an ice bath
135 and the malondialdehyde–thiobarbituric acid complex was extracted with 1-butanol by
136 vigorous agitation in a vortex mixer. The mixture was centrifuged ($5000 \times g/10 \text{ min}/22$
137 °C), and the absorbance of the upper phase was read at 532 nm. TBARS concentrations
138 were calculated from a standard curve prepared with 1,1,3,3-tetraethoxypropane.

139 **2.7. Stability study**

140 Aliquots of the lipid extract in ethyl acetate were transferred into 25 mL amber glass
141 vials and dried under nitrogen flow (resulting in approximately 50 mg lipid extract/vial),
142 after which the vials were closed (in the presence of air) and stored at room temperature
143 ($24 \pm 2 \text{ °C}$) for 120 days. At each sampling day (0, 10, 35, 50, and 120), in triplicate, the
144 following analyses were performed: quantitative analysis of all-*trans*-astaxanthin, total
145 astaxanthin monoesters and total astaxanthin diesters, fatty acid profile, cholesterol
146 content, α -tocopherol content, and TBARS were performed as described above. The
147 degradation rate constants (k) of all-astaxanthin, total astaxanthin monoesters, total

148 astaxanthin diesters, and total astaxanthin of the lipid extract were calculated according
149 to the following equation:

$$150 \quad k = \frac{-\ln(C_1/C_0)}{t} \quad (1)$$

151 where C_1 is the total amount of astaxanthin at each sampling date, C_0 is the initial
152 amount of astaxanthin, and t is the storage time.

153 **2.8. Statistical analysis**

154 All the data were submitted to analysis of variance by ANOVA at a significance level of
155 $p \leq 0.05$ using the SPSS® computer program (SPSS Statistical Software, Inc., Chicago,
156 IL, USA).

157 **3. RESULTS AND DISCUSSION**

158 **3.1. Chemical characterization of the lipid extract**

159 From every 100 g of shrimps, 55 g were muscle and 45 g were waste; the moisture
160 content of the waste was $74.2 \pm 0.9\%$. A lipid extract with an intense red color and a
161 characteristic shrimp odor was obtained from the waste fraction, the extraction yield
162 being 2.1 ± 0.6 g/100 g and 7.9 ± 2.5 g/100 g in wet and dry basis, respectively. The
163 most abundant compound in weight was fat, as the fatty acids fraction accounted for
164 804 ± 8 mg/g lipid extract. The following compounds in importance were α -tocopherol
165 (126 ± 1 mg/g), cholesterol (65 ± 1 mg/g), and astaxanthin (7 ± 1 mg/g); the sum of
166 these four compounds represented 100% of the lipid extract.

167 The fatty acid composition of the lipid extract during storage time is shown in Table 1.

168 With regard to the data at day 0, the most abundant fatty acids were palmitic (C16:0),
169 linoleic (C18:2n6c), oleic (C18:1n9c), DHA (C22:6n3), and EPA (C20:5n3) acids.

170 Saturated fatty acids (SFAs) accounted for $\approx 31\%$ of the total amount of fatty acids
171 identified, whereas MUFAs and PUFAs represented ≈ 25 and $\approx 44\%$, respectively. These
172 results agree with those reported by Takeungwongtrakul et al. (2012), suggesting low
173 variations in composition in this species as function of the region of capture. Given the
174 low PUFA/SFA and ω -6/ ω -3 ratios found (Table 1), it is obvious that the inclusion in
175 the diet of the lipid extract obtained in the present work would help to reduce both of
176 them. Excessive amounts of ω -6 PUFAs and a very high ω -6/ ω -3 ratio promote the
177 pathogenesis of many diseases, including cardiovascular disease, cancer, and
178 inflammatory and autoimmune diseases, whereas increased levels of ω -3 PUFAs (a low
179 ω -6/ ω -3 ratio) exert suppressive effects (Simopoulos 2002). For example, the ω -6/ ω -3
180 ratio is nowadays estimated to range between 15 and 16.7, whereas suppressive effects
181 on various diseases have been observed at ratios ranging from 2 to 5 (Simopoulos
182 2002). Fatty acids influence inflammation through a variety of mechanisms; many of
183 these are mediated by, or associated with, the fatty acid composition of cell membranes.
184 Eicosanoids produced from arachidonic acid have roles in inflammation, whereas EPA
185 and DHA give rise to resolvins which are anti-inflammatory and inflammation
186 resolving. The contents of arachidonic acid and of EPA and DHA in cell membranes
187 can be altered through oral administration of marine oils (Calder 2012).

188 The carotenoid profile analyzed by HPLC-DAD and the detailed data for the
189 identification of astaxanthin molecular species by HPLC-MS are shown in Figure 1 and
190 Table 2, respectively. A total of 18 astaxanthin derivatives, including all-*trans*-
191 astaxanthin, two *cis*-astaxanthin isomers, 5 astaxanthin monoesters, and 10 astaxanthin
192 diesters, were tentatively identified. Peak number 1 was identified as all-*trans*-
193 astaxanthin, according to its retention time and spectrum, after injection of the
194 corresponding pattern; this was further confirmed by HPLC-MS. Peaks 2 and 3 were

195 identified as two *cis*-astaxanthin isomers, owing to their retention time (Lin et al. 2005)
196 and the fact that they showed the same mass spectra as all-*trans*-astaxanthin (Table 2).
197 Peaks 4 to 8 and 9 to 18, which showed similar UV spectra to that of all-*trans*-
198 astaxanthin, with tiny differences in the maximum absorption length, were attributed to
199 fatty acid mono- and diesters of astaxanthin, respectively. Among monoesters found in
200 the present work, one of them was composed of a saturated fatty acid (palmitic acid,
201 C16:0), another one of a monounsaturated fatty acid (oleic acid, C18:1n9c), and the
202 other three of polyunsaturated fatty acids, two ω -3 (DHA, C22:6n3, and EPA, C20:5n3)
203 and one ω -6 (linoleic acid, C18:3n3). It is worth noting that, among monoesters, the
204 DHA-astaxanthin one was by far the most abundant. Among astaxanthin diesters, 3
205 contained EPA and the other 7 DHA, and it was especially interesting that the double
206 EPA and DHA diesters were present. The fatty acid composition of the mono- and
207 diesters of astaxanthin is congruent with that of the whole lipid extract determined by
208 GC, as the most abundant fatty acids reported (Table 1) are also the main constituents of
209 the esterified astaxanthin forms (mainly oleic, palmitic, DHA, and EPA). The
210 carotenoid profile obtained in the present work was quite similar to those reported by
211 Lin et al. (2005) for shrimp (*P. hardwickii*) shell waste, Yang et al. (2015) for whole
212 shrimp (*L. vannamei*), and Grynbaum et al. (2005) for krill (*E. superba*), showing all-
213 *trans*-astaxanthin, *cis* isomers, and mono- and diesters of astaxanthin. The same was
214 also true for *P. borealis*, although no *cis* isomers were found (Breithaupt 2004). The
215 number, relative proportion, and composition of astaxanthin molecular species found in
216 the literature and in the present work differed, probably owing to differences in species,
217 site and season of catch, raw material used (whole shrimp, waste), extraction methods,
218 etc. As an example, Yang et al. (2015) found that free astaxanthin, astaxanthin
219 monoesters, and astaxanthin diesters in *L. vannamei* accounted for \approx 33%, \approx 59%, and

220 $\approx 8\%$ of the total amount, respectively, whereas these values were $\approx 2\%$, $\approx 20\%$, and
221 $\approx 78\%$, respectively, for *P. borealis* (Breithaupt 2004). As Figure 3 shows, all-*trans*-
222 astaxanthin, astaxanthin monoesters, and astaxanthin diesters in the present work
223 accounted for $\approx 16\%$, $\approx 41\%$, and $\approx 43\%$ of the total amount, respectively.

224 With regard to the astaxanthin content in absolute terms, as already mentioned in the
225 introduction section, absolute comparison among works is very difficult, with values
226 varying greatly, from 0.06 to 440 mg/g lipid extract (Sowmya and Sachindra 2012;
227 Sachindra et al. 2005); accordingly, the value obtained in the present work (7 ± 1 mg/g)
228 is in agreement with the citations. Similarly, the high α -tocopherol content found ($126 \pm$
229 1 mg/g lipid extract) is in accordance with the literature, as both shrimp flesh and by-
230 products such as cephalothorax are known as good sources of tocopherols (Özogul et al.
231 2011; Sanches-Silva et al. 2011). The extract also contained an appreciable amount of
232 cholesterol (65 ± 1 mg/g), which is an expected value, as Sriket et al. (2007) reported
233 that the cholesterol concentration is usually greater than 100 mg/100 g of raw shrimp.
234 As cholesterol is a lipophilic molecule, its concentration in the lipid extract obtained
235 from the shrimp cephalothorax is not surprising. The importance of cholesterol intake
236 with regard to the incidence of hypercholesterolemia is a topic of scientific debate.
237 Studies reveal that a decrease in cholesterol intake had lesser effects on cholesterol
238 blood levels than reducing saturated fatty acids intake (McNamara 1995), so the high
239 PUFA content in the extract could lessen the noxious effects of cholesterol in the human
240 organism.

241 **3.2. Stability of the lipid extract**

242 The stability of the lipid extract was evaluated by determination of changes in the fatty
243 acid profile, TBARS, astaxanthin (all-*trans*-astaxanthin, total monoesters, and total

244 diesters), α -tocopherol, and cholesterol during storage. The analysis of the fatty acid
245 profile pointed to some degradation of all the ω -3 fatty acids present in the extract,
246 namely linoleic (C18:3n3), eicosapentaenoic (C20:5n3), docosapentaenoic (C22:5n3),
247 and docosahexaenoic acids (C22:6n3), with a concomitant increase in the proportion of
248 other fatty acids, not only SFA (palmitic (C16:0), margaric acid (C17:0), behenic acid
249 (C22:0)), but also MUFA (palmitoleic acid, C16:1, and vaccenic acid, C18:1n7c) (Table
250 1). This behavior is attributed to the unsaturated nature of ω -3 PUFAs, which makes
251 them more susceptible to lipid oxidation than less unsaturated lipids (Jacobsen et al.
252 2008). The partial degradation of PUFAs gave rise to a progressive decrease in the
253 PUFA/SFA ratio, which fell from 1.39 to 1.30, as well as a progressive increase in the
254 ω -6 / ω -3 PUFA ratio, from 1.30 to 1.44. However, having in mind the storage
255 conditions (presence of oxygen, room temperature, long storage period), the degradation
256 of PUFAs can be considered low, and the resulting PUFA/SFA and ω -6/ ω -3 PUFA
257 fatty acid ratios are still good from a nutritional point of view. The low oxidation rate is
258 confirmed by the fact that no accumulation of TBARS was found (data not shown). The
259 evolution of the cholesterol content during storage was monitored in order to infer the
260 formation of oxidized cholesterol forms. As the cholesterol content was not
261 significantly modified ($p \leq 0.05$) (Figure 2), the formation of oxidized cholesterol forms
262 is not considered to be important.

263 The explanation for the low oxidation of the lipid extract lies in the high astaxanthin and
264 α -tocopherol contents, whose antioxidant activity is well known (Higuera-Ciapara et al.
265 2006; Özogul et al. 2011; Bauerfeind et al. 2014). This is supported by the work by Cai
266 et al. (2013), who found that the remaining bioactive compounds present in pine nut oils
267 obtained from kernels submitted to increasing roasting times affected their radical
268 scavenging activity and oxidation stability. Carotenoids, and especially astaxanthin, are

269 very good singlet oxygen quenchers and free radical scavengers, preventing the
270 oxidation of lipids by making themselves available for reactions with radicals instead of
271 lipids and undergoing decomposition (Sowmya and Sachindra 2012). Indeed,
272 astaxanthin and α -tocopherol contents decreased as storage continued (Figures 3 and 4,
273 respectively), showing at day 120 \approx 12% and \approx 30% of the amounts initially present,
274 respectively. If we consider the degradation of astaxanthin in greater depth, it can be
275 seen in Figure 3 that all the species studied (all-*trans*-astaxanthin, total monoesters, and
276 total diesters) were gradually degraded. The content of *cis*-astaxanthin isomers has not
277 been represented as they practically disappeared after 15 days of storage, owing to their
278 instability, as compared to all-*trans*-astaxanthin (Hernandez-Marin et al. 2013). The
279 evolution of the relative content of each astaxanthin species during storage (Figure 3)
280 suggests that esterified forms are less stable than the free form, as the proportion of all-
281 *trans*-astaxanthin to total astaxanthin increased as storage continued. Astaxanthin
282 degradation fitted well to a first-order kinetic ($r^2 = 0.813, 0.956, 0.951, \text{ and } 0.946$ for
283 all-*trans*-astaxanthin, monoesters, diesters, and total astaxanthin, respectively), with
284 degradation rate constants of $-0.015, -0.0307, -0.0303, \text{ and } -0.0268$ for all-*trans*-
285 astaxanthin, monoesters, diesters, and total astaxanthin, respectively, also pointing to
286 the lower stability of the esterified forms of astaxanthin as compared to all-*trans*-
287 astaxanthin. Yang et al. (2015), who also found astaxanthin esters to be more unstable
288 than all-*trans*-astaxanthin when whole shrimps (*L. vannamei*) were subjected to thermal
289 treatment, attributed this behavior to the release of free astaxanthin after hydroxylation
290 of astaxanthin esters, owing to the numerous works reporting the higher stability of
291 esterified carotenoids, including astaxanthin, as compared to the free forms (Miao et al.
292 2013; Schweiggert et al. 2007; Lorenz and Cysewski 2000). However, a recent study
293 has pointed to the higher instability of astaxanthin esterified forms under light-induced

294 autoxidation owing to alteration of the *cis-trans* equilibrium, the *cis* forms being less
295 stable than the *trans* ones (De Bruijn et al. 2016). As that study was performed working
296 with a laboratory-constructed mono- or diesterified palmitate astaxanthin ester, further
297 work would be needed to confirm this explanation in natural oils and fats.

298 Lu et al. (2014), who studied the stability of krill (*E. superba*) oil stored at 20 or 40 °C
299 for up to 42 days, found similar results to those of the present work, namely a
300 degradation of astaxanthin and tocopherols and low lipid oxidation. A higher oxidation
301 rate was found by Takeungwongtrakul et al. (2015), working with lipids from shrimp
302 (*L. vannamei*) hepatopancreas stored at 28–30 °C, in spite of the presence of
303 astaxanthin. It is worth noting that the astaxanthin content of the lipid extract obtained
304 in that work is lower than that found in the present one (≈ 2 mg/g vs. 7 mg/g), which is
305 the most feasible explanation for the differences between the two works. Unfortunately,
306 those authors did not report information on the tocopherol content. However, they
307 demonstrated the antioxidant activity of astaxanthin, as the addition of commercial
308 astaxanthin reduced lipid oxidation in comparison with the non-added sample.

309 In conclusion, shrimp waste of very low commercial value was valorized for the
310 production of a lipid extract rich in healthy components such as astaxanthin, PUFAs,
311 and α -tocopherol, that after storage for 120 days at room temperature suffered
312 negligible lipid oxidation and only slight changes in fatty acid composition, although
313 astaxanthin and α -tocopherol were gradually degraded. The lipid extract obtained has
314 interesting applications as a food ingredient, owing to the coloring capacity of
315 astaxanthin and the presence of healthy components.

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450 potential in human health. *Food & Function*. 5(3), 413-425.

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452 The authors state that there is not conflict of interest.

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457 **Captions**

458 Figure 1. Carotenoid profile of the lipid extract from shrimp waste as determined by
459 HPLC-DAD. The peaks were tentatively identified by HPLC-MS and results presented
460 in Table 2.

461 Figure 2. Cholesterol content (mg/g) of the lipid extract as a function of storage time.

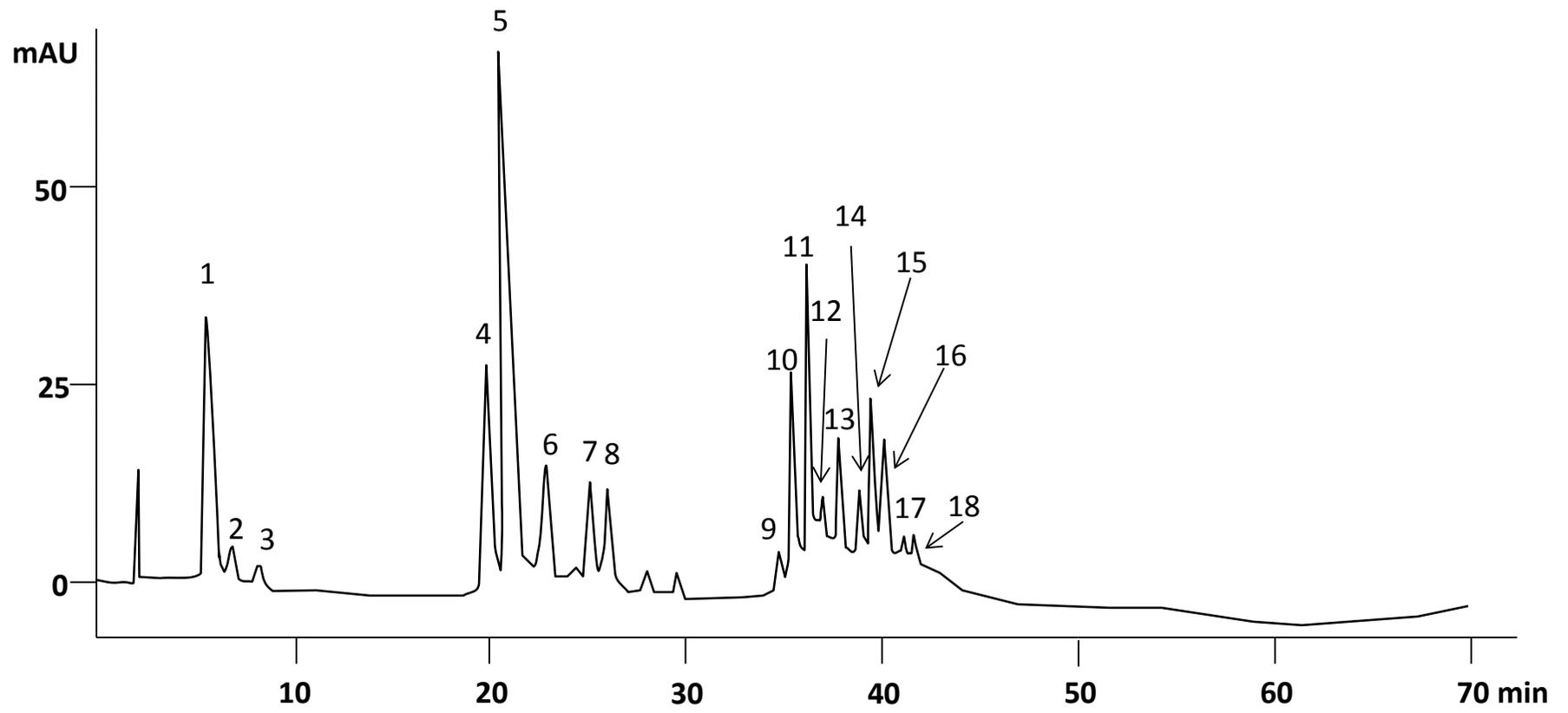
462 Figure 3. All-*trans*-astaxanthin, total astaxanthin monoesters, and total astaxanthin
463 diesters (mg/g lipid extract), and percentage of each fraction with respect to total
464 astaxanthin, as a function of storage time.

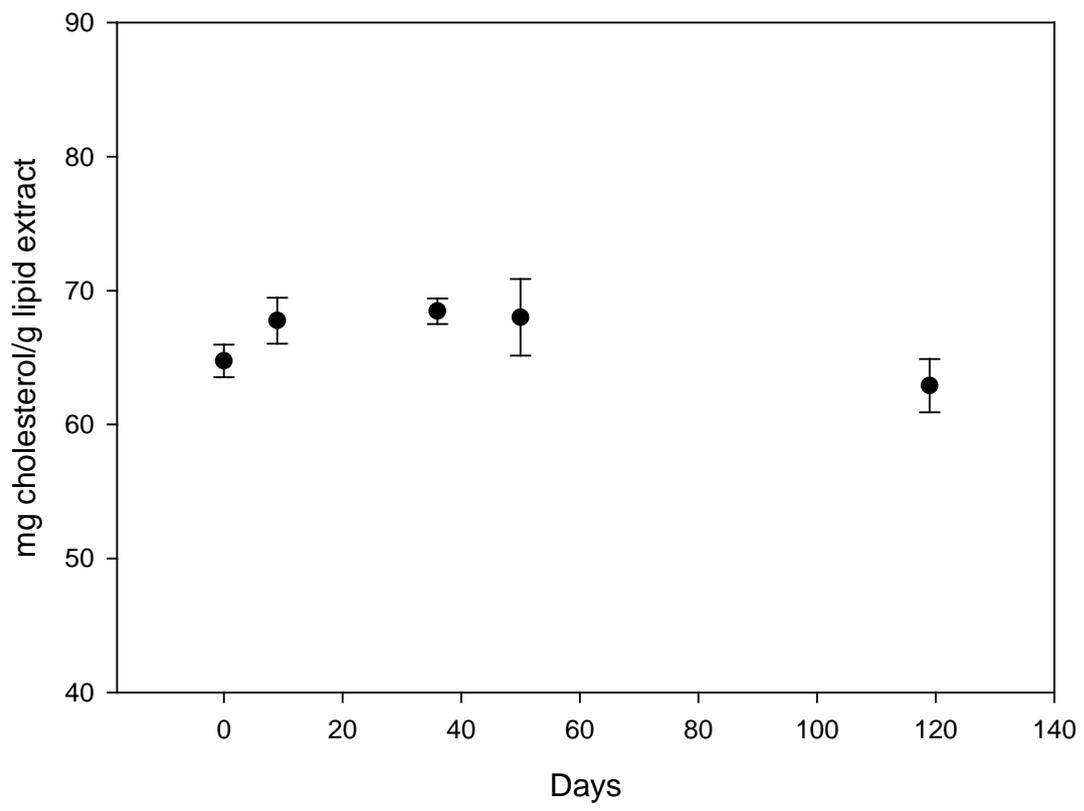
465 Figure 4. α -Tocopherol content (mg/g) of the lipid extract as a function of storage time.

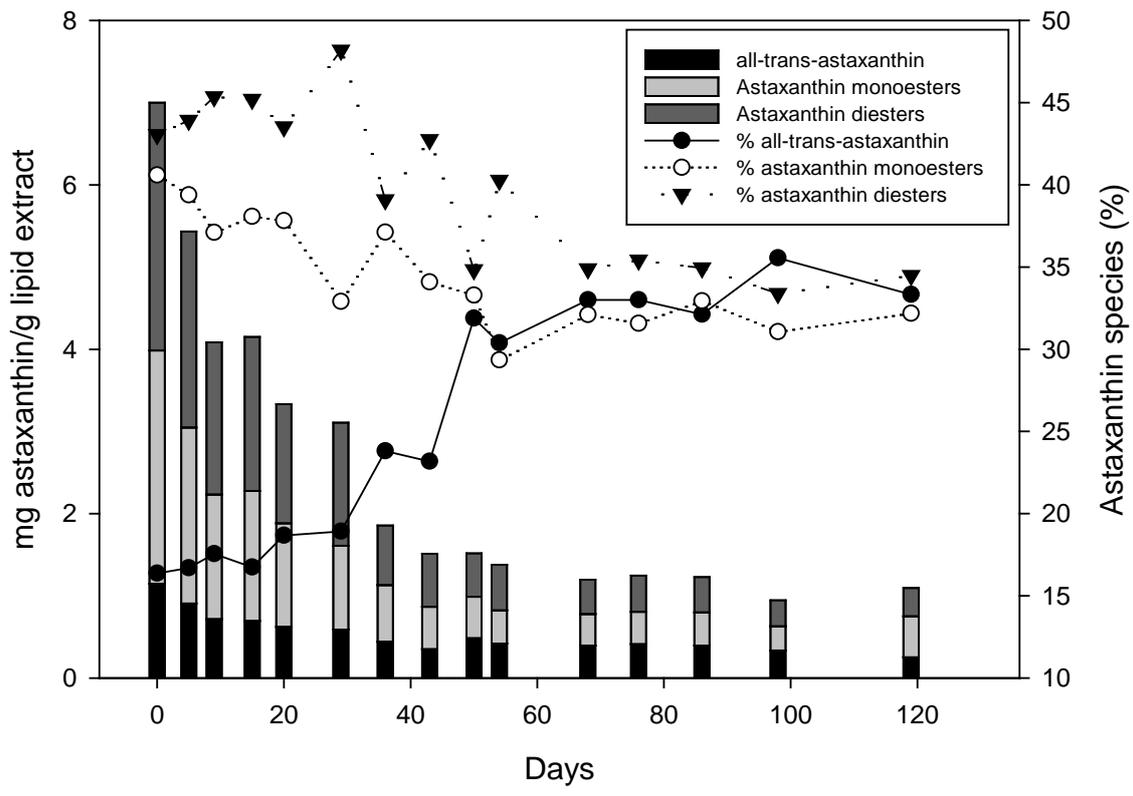
466

467 Table 1. Fatty acid composition (% of total fatty acids identified) of the lipid extract
468 from shrimp waste.

469 Table 2. Astaxanthin species tentatively identified by HPLC-MS. The peaks correspond
470 to those shown in Figure 1.







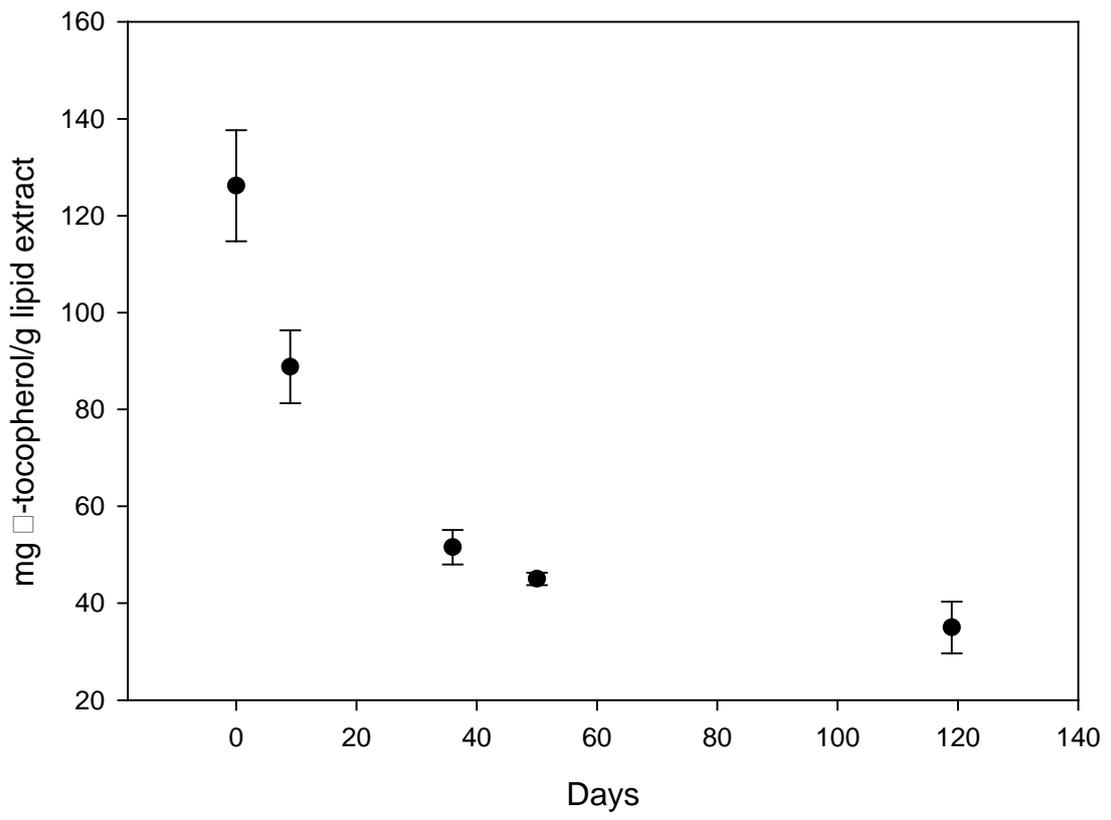


Table 1. Fatty acid composition (% of total fatty acids identified) of the lipid extract from shrimp waste.

Fatty acid	Day 0	Day 10	Day 35	Day 50	Day 120
C12:0	0.08 ± 0.00	0.07 ± 0.00	0.08 ± 0.00	0.08 ± 0.00	0.09 ± 0.02
C14:0	1.97 ± 0.01	1.98 ± 0.01	2.02 ± 0.01	2.02 ± 0.00	2.04 ± 0.02
C15:0	1.61 ± 0.06	1.60 ± 0.00	1.63 ± 0.01	1.63 ± 0.01	1.63 ± 0.01
C16:0	21.20 ± 0.11	21.40 ± 0.07	21.82 ± 0.08	21.66 ± 0.08	21.83 ± 0.02
C17:0	1.07 ± 0.02	1.07 ± 0.00	1.08 ± 0.00	1.10 ± 0.01	1.13 ± 0.02
C18:0	4.32 ± 0.16	4.11 ± 0.02	4.00 ± 0.02	4.19 ± 0.03	4.27 ± 0.02
C20:0	0.34 ± 0.05	0.32 ± 0.00	0.33 ± 0.02	0.33 ± 0.00	0.34 ± 0.01
C21:0	0.17 ± 0.01	0.19 ± 0.01	0.18 ± 0.00	0.20 ± 0.01	0.19 ± 0.02
C22:0	0.28 ± 0.01	0.28 ± 0.01	0.30 ± 0.00	0.30 ± 0.01	0.32 ± 0.01
C23:0	0.15 ± 0.02	0.15 ± 0.01	0.14 ± 0.00	0.15 ± 0.00	0.16 ± 0.01
C24:0	0.16 ± 0.02	0.15 ± 0.01	0.14 ± 0.01	0.16 ± 0.00	0.17 ± 0.01
Σ SFA¹	31.34 ± 0.47	31.33 ± 0.14	31.72 ± 0.13	31.81 ± 0.15	32.15 ± 0.16
C14:1	0.09 ± 0.00	0.10 ± 0.02	0.10 ± 0.02	0.10 ± 0.02	0.09 ± 0.00
C16:1	3.94 ± 0.02	4.14 ± 0.11	4.04 ± 0.02	4.12 ± 0.07	4.15 ± 0.01
C18:1n9t	0.28 ± 0.03	0.29 ± 0.02	0.26 ± 0.02	0.28 ± 0.00	0.30 ± 0.01
C18:1n9c	15.91 ± 0.04	16.01 ± 0.05	16.24 ± 0.03	16.30 ± 0.06	16.42 ± 0.01
C18:1n7c	3.64 ± 0.03	3.66 ± 0.04	3.72 ± 0.02	3.75 ± 0.02	3.75 ± 0.01
C20:1	0.89 ± 0.02	1.07 ± 0.03	0.87 ± 0.01	0.89 ± 0.01	0.92 ± 0.01
C22:1n9	0.10 ± 0.00	0.10 ± 0.01	0.10 ± 0.00	0.11 ± 0.01	0.12 ± 0.01
C24:1	0.25 ± 0.03	0.19 ± 0.00	0.23 ± 0.03	0.22 ± 0.02	0.24 ± 0.04
Σ MUFA²	25.09 ± 0.17	25.55 ± 0.28	25.57 ± 0.15	25.77 ± 0.21	25.98 ± 0.10
C18:2n6c	19.78 ± 0.10	19.82 ± 0.05	19.88 ± 0.17	19.92 ± 0.03	19.84 ± 0.06
C18:3n3	1.43 ± 0.02	1.42 ± 0.01	1.42 ± 0.01	1.40 ± 0.01	1.38 ± 0.01
C20:2	2.48 ± 0.05	2.50 ± 0.01	2.53 ± 0.01	2.48 ± 0.02	2.54 ± 0.06
C20:3n6	0.20 ± 0.00	0.20 ± 0.00	0.19 ± 0.01	0.20 ± 0.01	0.20 ± 0.00
C20:4n6	2.20 ± 0.00	2.17 ± 0.01	2.16 ± 0.00	2.12 ± 0.00	2.10 ± 0.01
C20:5n3	6.71 ± 0.01	6.55 ± 0.01	6.42 ± 0.01	6.35 ± 0.03	6.18 ± 0.03
C22:5n3	0.92 ± 0.01	0.92 ± 0.01	0.89 ± 0.01	0.89 ± 0.01	0.86 ± 0.01
C22:6n3	9.85 ± 0.10	9.54 ± 0.03	9.23 ± 0.02	9.07 ± 0.04	8.76 ± 0.04
Σ PUFA³	43.57 ± 0.29	43.12 ± 0.13	42.71 ± 0.24	42.43 ± 0.15	41.87 ± 0.22
Σ PUFAω-3⁴	18.91 ± 0.14	18.43 ± 0.04	17.95 ± 0.03	17.70 ± 0.05	17.19 ± 0.05
Σ PUFAω-6⁵	24.66 ± 0.11	24.69 ± 0.06	24.76 ± 0.18	24.72 ± 0.09	24.68 ± 0.07
Σ PUFA/Σ SFA⁶	1.39	1.38	1.35	1.33	1.30
Σ PUFAω-6/Σ PUFAω-3⁷	1.30	1.34	1.38	1.40	1.44

¹Σ SFA: total amount of saturated fatty acids. ²Σ MUFA: total amount of monounsaturated fatty acids. ³Σ PUFA: total amount of polyunsaturated fatty acids. ⁴Σ PUFA ω -3: total amount of ω -3 polyunsaturated fatty acids. ⁵Σ PUFA ω -6: total amount of ω -6 polyunsaturated fatty acids. ⁶Σ PUFA/Σ SFA: polyunsaturated/saturated fatty acids ratio. ⁷Σ PUFA ω -6/PUFA ω -3: ω -6/ ω -3 polyunsaturated fatty acids ratio.

Table 2. Astaxanthin species tentatively identified by HPLC-MS. The peaks correspond to those shown in Figure 1.

Peak no.	Rt (min)	Compound	[M+Na] ⁺	[M+H] ⁺	Formula
1	6.89	<i>All-trans</i> Ast	619.3758	597.3938	C40 H52 O4
2	7.35	<i>Cis</i> Ast	619.3758	597.3938	C40 H52 O4
3	8.90	<i>Cis</i> Ast	619.3758	597.3938	C40 H52 O4
4	19.90	Ast C20:5	903.5898	881.6079	C60 H80 O5
5	21.07	Ast C22:6	929.6054	907.6235	C62 H82 O5
6	22.96	Ast C18:2	881.6054	859.6235	C58 H82 O5
7	25.33	Ast C18:1	883.6211	861.6392	C58 H84 O5
8	26.42	Ast C16:0	857.6054	835.6235	C56 H82 O5
9	34.95	Ast C20:5 / C20:5	1187.8038	1165.8219	C80 H108 O6
10	36.37	Ast C22:6 / C22:6	1239.8351	1217.8532	C84 H112 O6
11	37.25	Ast C22:6 / C16:1	1165.8195	1143.8375	C78 H110 O6
12	37.96	Ast C22:6 / C18:2	1191.8351	1169.8532	C80 H112 O6
13	38.85	Ast C22:6 / C16:0	1167.8351	1145.8532	C78 H112 O6
14	39.20	Ast C22:6 / C15:0	1153.8195	1131.8375	C77 H110 O6
15	39.95	Ast C20:5 / C16:0	1141.8195	1119.8375	C76 H110 O6
16	40.08	Ast C22:6 / C20:5	1213.8195	1191.8375	C82 H110 O6
17	41.10	Ast C22:6 / C18:1	1193.8508	1171.8688	C80 H114 O6
18	41.98	Ast C20:5 / C18:1	1167.8351	1145.8532	C78 H112 O6

Note. Rt, retention time; Ast, astaxanthin.