

HYPOTHESIS

# Nuclear cytochrome *c* – a mitochondrial visitor regulating damaged chromatin dynamics

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Over the past decade, evidence has emerged suggesting a broader role for cytochrome *c* (Cyt *c*) in programmed cell death. Recently, we demonstrated the ability of Cyt *c* to inhibit the nucleosome assembly activity of histone chaperones SET/template-activating factor I $\beta$  and NAPI1-related protein during DNA damage in humans and plants respectively. Here, we hypothesise a dual concentration-dependent function for nuclear Cyt *c* in response to DNA damage. We propose that low levels of highly cytotoxic DNA lesions – such as double-strand breaks – induce nuclear translocation of Cyt *c*, leading to the attenuation of nucleosome assembly and, thereby, increasing the time available for DNA repair. If DNA damage persists or is exacerbated, the nuclear Cyt *c* concentration would exceed a given threshold, causing the haem protein to block DNA remodelling altogether.

**Keywords:** chromatin remodelling; cytochrome *c*; DNA damage response; histone chaperone; low-complexity acidic region

## When excess DNA damage leads to programmed cell death

Cells are continuously exposed to endogenous (e.g. by-products of cellular metabolism) and exogenous agents (e.g. ionising and ultraviolet radiation) capable of inducing DNA damage [1]. In addition, some DNA lesions originate during DNA replication and by topoisomerase activity. To preserve the integrity of the genome, cells have evolved a set of defence mechanisms collectively known as the DNA damage response (DDR) [2]. The DDR encompasses the recognition of DNA lesions, the initiation of signalling cascades and the modulation of processes such as the cell cycle, transcription, chromatin remodelling, DNA repair and programmed cell death (PCD) [3]. These

regulatory functions are performed by different classes of DDR factors acting in coordination to provide an adequate response according to the type of DNA damage [4]. To date, just three sensory proteins are known to detect DNA lesions, all belonging to the family of phosphatidylinositol 3-kinase-related kinases: ataxia telangiectasia mutated (ATM), ataxia telangiectasia and Rad3-related (ATR) and DNA-dependent protein kinase. Recognition of DNA damage is followed by a sequence of phosphorylation reactions that culminate in the activation of the DDR signalling cascade, which in turn regulates the activity of numerous proteins participating in cell-fate decision-making [5].

## Abbreviations

ANP32B, acidic leucine-rich nuclear phosphoprotein 32 family member B; Apaf-1, apoptotic protease-activating factor-1; ATM, ataxia telangiectasia mutated; ATR, ataxia telangiectasia and Rad3-related; Cyt *c*, cytochrome *c*; DDR, DNA damage response; DSB, double-strand break; LCAR, low-complexity acidic region; MDM2, murine double-minute 2; NLS, nuclear localisation signal; NRP1, NAPI1-related protein; PCD, programmed cell death; PTM, post-translational modification; SET/TAF-I $\beta$ , SET/template-activating factor I $\beta$ .

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1 During the cell cycle, many minor, relatively harm-  
2 less DNA lesions occur, which do not require the com-  
3 plete activation of the DDR (Fig. 1A). Thus, the  
4 principal function of this protection system seems to  
5 be reserved for more perilous situations [4]. Among  
6 the various types of DNA damage, double-strand  
7 breaks (DSB) are one of the most serious, as inefficient  
8 repair can result in cell death or tumour development  
9 [1]. DDR factors localise at DSBs sites, forming struc-  
10 tures termed DNA repair foci. These foci constitute  
11 unique nuclear regions with a specialised protein com-  
12 position and structure that allows the accumulation of  
13 additional DDR factors. Moreover, the foci include  
14 large segments of chromatin surrounding DSBs that  
15 serve as platforms for the assembly of the repair  
16 machinery [4].

17 Chromatin remodelling plays an essential role in  
18 DNA repair by facilitating the entry of DDR factors  
19 onto damaged DNA. The dynamic nature of the chro-  
20 matin is possible thanks to the concerted activity of  
21 histone-modifying enzymes, remodelling factors and  
22 histone chaperones (Ch). Among the various post-  
23 translational modifications (PTMs) to which histones  
24 are subjected, acetylation and ubiquitylation are  
25 related to DDR-induced chromatin remodelling. Such  
26 modifications increase the mobility of histones and  
27 facilitate their release from nucleosomes. PTMs can  
28 also destabilise chromatin structure, either directly or  
29 indirectly by recruiting ATP-dependent chromatin  
30 remodellers [6]. These enzymes enhance chromatin  
31 accessibility by disrupting contacts between DNA and  
32 histones, thus allowing for nucleosome sliding or e-  
33 viction from damaged DNA, and facilitating the  
34 exchange of histone variants [1]. Finally, Chs, which  
35 assist the incorporation of histones onto chromatin,  
36 are considered essential factors for nucleosome assem-  
37 bly, restoration of chromatin integrity and reactivation  
38 of transcription upon DNA damage repair. There are  
39 also some Chs which participate in histone eviction  
40 from nucleosomes around DSBs. Furthermore, certain  
41 Chs may also be involved in both the assembly and  
42 disassembly of nucleosomes [6].

43 Following remodelling of damaged chromatin, DSBs  
44 are mostly repaired by either homologous recombina-  
45 tion or nonhomologous end joining, depending on the  
46 phase of the cell cycle during which DNA lesion  
47 occurs [4]. However, if the damage exceeds the DNA  
48 repair capacity of the cell or the repair process fails,  
49 remaining DSBs can block both replication and tran-  
50 scription. Consequently, chronic DDR signalling trig-  
51 gers cell death by PCD or cellular senescence [2,5].

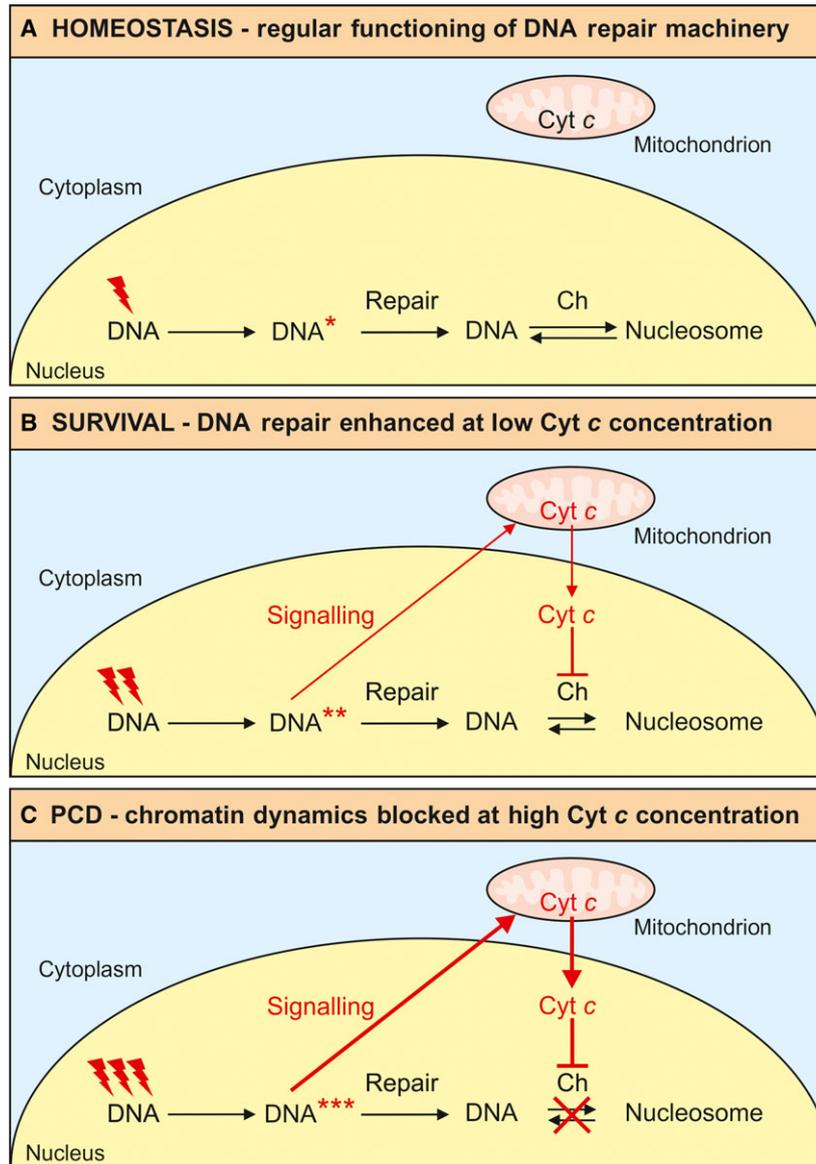
52 Programmed cell death – the orchestrated removal  
53 of unwanted cells – is an essential mechanism for life,

responsible for mediating vital processes such as  
embryonic development, homeostasis and immune  
defence [7]. PCD is a highly conserved event, utilised  
by evolutionarily distant organisms, from plants to  
humans [8]. In mammals, apoptosis is by far the most  
common and best-understood form of PCD, and can  
be initiated via two different pathways: the intrinsic  
and the extrinsic pathway, both of which converge on  
the activation of caspases [9]. Cytochrome *c* (Cyt *c*)  
has long been implicated in the intrinsic pathway of  
apoptosis, where its association with apoptotic pro-  
tease-activating factor-1 (Apaf-1) results in the forma-  
tion of the apoptosome, leading to the activation of  
caspases-3 and -7, and ultimately cell death. In addi-  
tion to this well-characterised role of Cyt *c* in apop-  
tosis, there is a growing body of evidence suggesting that  
the haem protein may regulate novel pathways  
involved in PCD [10–14]. In plant PCD, the role of  
Cyt *c* remains largely unclear, although its release  
from mitochondria is a conserved event [8]. However,  
the lack of an Apaf-1 plant homologue raises ques-  
tions as to the function of extra-mitochondrial Cyt *c*.

### **Cytochrome *c* inhibits the nucleosome assembly activity of low-complexity acidic region-containing histone chaperones**

To better understand the role of Cyt *c* in PCD, our  
group performed two independent proteomic studies –  
in human and plant cells – aimed at identifying new  
cytosolic and nuclear protein targets for Cyt *c* [10,11].  
A total of 49 novel partners (40 in humans and nine in  
plants) were found to interact with Cyt *c* both *in vitro*  
and *in vivo*, all of which were implicated in biochemi-  
cal pathways directly or indirectly linked to PCD regu-  
lation. These findings suggested a wider role for Cyt *c*  
in regulating cell death by still unknown molecular  
mechanisms.

Nur-E-Kamal *et al.* [15] were the first to report that  
Cyt *c* migrates into the cell nucleus upon DNA dam-  
age in the absence of caspase activation. They sug-  
gested a role for Cyt *c* in chromatin remodelling, but  
its nuclear function has remained unelucidated. Re-  
cently, we confirmed that in response to DSBs Cyt  
*c* can translocate into the nucleus prior to caspase cas-  
cade activation [13,14]. More importantly, we discov-  
ered that nuclear Cyt *c* hinders the binding of several  
Chs – namely, SET/template-activating factor I $\beta$   
(SET/TAF-I $\beta$ ), acidic leucine-rich nuclear phosphopro-  
tein 32 family member B (ANP32B), nucleolin and  
NAP1-related protein (NRP1) – to core histones [10–  
12], thereby inhibiting their nucleosome assembly



**Fig. 1.** Nuclear Cyt *c* concentration-dependent effect during DNA damage. (A) DNA suffers minor lesions during the cell cycle that are swiftly repaired without complete activation of the DDR. Under such circumstances, Cyt *c* would remain confined to the mitochondrial intermembrane space. (B) Highly cytotoxic DNA lesions – such as DSBs – trigger Cyt *c* migration into the nucleus. Initially, Cyt *c* translocates at low concentrations, playing a prosurvival role. Cyt *c* binding with histone chaperones diminishes their nucleosome assembly activity and could thus prolong the time naked damaged DNA is accessible to repair machinery. (C) If DNA damage persists or is exacerbated, Cyt *c* accumulates in the nucleus surpassing a determined threshold, upon which it can sequester the vast majority of histone chaperones. Consequently, both nucleosome assembly and disassembly are blocked. In this way, Cyt *c* is transformed into a prodeath factor as its nuclear concentration increases. Red thunderbolts represent any endogenous or exogenous agent that causes DNA damage: one for very low doses which does not trigger Cyt *c* release from mitochondria, two for low doses which produce a small liberation of Cyt *c* and three for high doses eliciting a massive release of Cyt *c*. Red asterisks indicate damaged DNA: one for minor lesions, two for low accumulation of serious lesions and three for high accumulation of severe lesions.

activity. Indeed, both Cyt *c* and histones share highly positive isoelectric points, and consequently the ability to interact with the low-complexity acidic regions (LCARs) of the above-mentioned chaperones.

Low-complexity regions in proteins are motifs with little sequence diversity, containing few different or repeating amino acids, which can be either irregularly or periodically positioned [16]. The term LCAR,

1 normally used to designate a specific domain of  
2 ANP32B [17], refers to low-complexity domains  
3 mainly composed of acidic residues. Interestingly, Wu  
4 *et al.* [18] defined in a recent paper the concept of  
5 ‘acidic domain’ – within which LCARs can be  
6 included – as ‘a sequence stretch that contains at least  
7 20 acidic residues in 50 consecutive amino acids’. They  
8 proposed that acidic domains are the most abundant  
9 type of histone-binding motifs because of their presence  
10 in numerous chromatin-associated proteins. The  
11 ability of these motifs to bind their targets is sequence-  
12 independent but directly proportional to length, sug-  
13 gesting flexible or minimal structural requirements for  
14 binding [18].

15 Interactions between Cyt *c* and LCAR-containing  
16 Chs are transient and electrostatic in nature. Specifi-  
17 cally, the same surface patch of Cyt *c* seems to medi-  
18 ate interactions with both human SET/TAF-I $\beta$  [13]  
19 and plant NRP1 [14]. Furthermore, this area, which  
20 surrounds the haem cleft, also mediates interactions  
21 between Cyt *c* and its partners both within the electron  
22 transport chain (Cyt *c* oxidase [19] and Cyt *c*<sub>1</sub> [20])  
23 and in apoptosis (Apaf-1) [21]. This suggests a con-  
24 served molecular recognition mechanism for Cyt *c*  
25 binding, which utilises the surface surrounding the  
26 haem cleft to interact with many cellular targets.

27 Our latest papers reveal that the regulation of Chs-  
28 mediated chromatin remodelling by Cyt *c* during DDR  
29 is well-conserved throughout evolution [13,14]. Human  
30 SET/TAF-I $\beta$  and plant NRP1 are highly related pro-  
31 teins from both a functional and structural point of  
32 view, sharing a sequence identity of 45%, and almost  
33 identical folding [14]. Structurally, the two histone-bind-  
34 ing macromolecules form headphone-shaped homod-  
35 imers with each monomer containing an ‘ earmuff’  
36 domain and a LCAR. From a functional point of view,  
37 both SET/TAF-I $\beta$  and NRP1 possess histone-binding  
38 and nucleosome assembly activities, and can therefore  
39 participate in the restoration of chromatin configuration  
40 following DNA repair [13,14]. However, nuclear Cyt *c*  
41 can sequester SET/TAF-I $\beta$  and NRP1 (in humans and  
42 plants respectively), preventing their association with  
43 core histones and possibly modulating DNA repair  
44 [13,14]. This regulatory mechanism appears to be wide-  
45 spread, as other LCAR-containing Chs are also targeted  
46 by nuclear Cyt *c* [10,11]. Moreover, the LCAR-mediated  
47 binding mode is similar to that described in a recent  
48 report by other authors on interactions between p53  
49 and LCAR-containing proteins [22].

50 In addition to the above-mentioned capabilities,  
51 SET/TAF-I $\beta$  has also been found at repair foci, where  
52 it regulates DNA repair [23]. DNA lesions result in  
53 transient and specific transcriptional inhibition of the

affected chromatin regions, an event essential in avoid-  
ing interference between transcription and DNA repair  
[24]. It has also been reported that SET/TAF-I $\beta$  pro-  
motes transcription by dislodging chromatin-binding  
proteins that impede the access of RNA polymerase II  
onto DNA templates [25]. Taken together, the inhibi-  
tion of SET/TAF-I $\beta$  by Cyt *c* upon DNA damage  
may help to separate the processes of transcription  
and DNA repair in a spatio-temporal manner.

### **Cytochrome *c* may play a dual, concentration-dependent role in damaged chromatin remodelling**

Here, we propose that the DSB-dependent release of  
Cyt *c* and its subsequent role in DNA repair (targeting  
LCAR modules) is not an all-or-nothing process. In  
fact, mitochondria-to-nucleus Cyt *c* translocation can  
occur at low concentrations in the absence of mito-  
chondrial permeability transition pore opening and/or  
caspase cascade activation [26]. The interaction  
between nuclear Cyt *c* at low concentration and  
LCAR-containing Chs could keep naked DNA accessi-  
ble longer for repair machinery, by slowing down the  
nucleosome assembly process. Thus, a small and con-  
trolled release of Cyt *c* may benefit DNA repair,  
avoiding genome instability and cell death (Fig. 1B).  
On the contrary, if the concentration of liberated Cyt  
*c* reaches a certain threshold, Cyt *c*-dependent block-  
ing of Chs could disrupt chromatin remodelling and,  
consequently, gene expression, causing the cell to irre-  
trievably execute DNA damage-induced PCD  
(Fig. 1C). According to our former proposal [12], the  
role of extra-mitochondrial Cyt *c* in PCD goes beyond  
caspase cascade activation; we hypothesised that Cyt *c*  
could inhibit key cellular processes involved in cell-fate  
decision-making, both in humans and plants. Accord-  
ingly, Cyt *c* binding with human SET/TAF-I $\beta$  and  
plant NRP1 could be part of an extensive and  
branched feedback network – probably involving other  
LCAR-containing targets – whose purpose would be  
to overcome the Cyt *c* threshold required to activate  
PCD.

Our hypothesis assumes that highly regulated mech-  
anisms can control both the exit of Cyt *c* from the  
mitochondria into the cytoplasm and its entrance into  
the nucleus with no matrix swelling nor outer mito-  
chondrial membrane dismantling. Either of which  
could compromise cell viability. Specific channel-  
mediated mechanisms should thus be involved in the  
selective release of Cyt *c* in response to the level of  
DNA damage. The proapoptotic proteins Bax and  
Bak, in particular, could facilitate mitochondrial

1 release of low levels of Cyt *c* without irreversibly trig-  
2 gering cell death [27,28].

3 Molecular details on the transport of Cyt *c* through  
4 the nuclear membrane are still unknown. Recently, it  
5 has been demonstrated that nuclear translocation of  
6 Apaf-1 is mediated through its association with the  
7 nucleoporin Nup107 [29]. The existence of an analo-  
8 gous nuclear import mechanism for Cyt *c* is therefore  
9 conceivable. Intriguingly, nuclear translocation of  
10 Apaf-1 occurs in response to DNA damage and,  
11 despite being a proapoptotic factor, it exerts a cas-  
12 pase-independent function in the nucleus in a similar  
13 manner as we propose for Cyt *c* [30].

14 The central idea of our hypothesis is that Cyt *c*  
15 plays a dual antagonistic role in controlling DDR. It  
16 is noteworthy that the tumour suppressor p53 similarly  
17 performs opposing tasks during the same process. p53  
18 plays a decisive role in cell-fate choice under DNA  
19 damage conditions. During homeostasis, however, p53  
20 is maintained at low levels by its inhibitory partner  
21 murine double-minute 2 (MDM2). Upon DNA DSBs,  
22 the p53-MDM2 complex is disrupted by the DDR-  
23 induced kinases ATM and ATR. These DDR factors  
24 contribute to the activation of promoter-specific tran-  
25 scriptional activity of p53 on target genes associated  
26 with cell cycle arrest and apoptosis [31]. p53 exhibits  
27 greater affinity for binding sites in the promoters of  
28 cell cycle arrest genes and so its prosurvival function  
29 predominates over its proapoptotic one, as cell cycle  
30 arrest favours DNA repair. In contrast, high levels of  
31 DNA damage are thought to reverse promoter selec-  
32 tion by p53, thereby increasing the expression of apop-  
33 totic genes [5]. Interestingly, the transcriptional activity  
34 of p53 is also modulated through its interaction with  
35 SET/TAF-I $\beta$ . Specifically, SET/TAF-I $\beta$  represses p53  
36 transcriptional activity in unstressed cells. However,  
37 acetylation of the C-terminal domain of p53 – an event  
38 linked to DNA damage – hinders its association with  
39 SET/TAF-I $\beta$  and thus prevents p53 inhibition [22].

40 To prove our hypothesis, experiments will be neces-  
41 sary to demonstrate the positive effect of Cyt *c* on  
42 DNA repair effectiveness, as well as to determine the  
43 threshold concentration required to initiate PCD.  
44 Supercoiling assays have already been performed,  
45 showing that Cyt *c* can inhibit the nucleosome assem-  
46 bly activity of human SET/TAF-I $\beta$  and plant NRP1  
47 [13,14]. How these proteins modulate the evolution of  
48 DNA damage over time could thus be followed by  
49 monitoring the DSB biomarker  $\gamma$ -H2AX [32]. To this  
50 end, Cyt *c* knockout mammalian or plant cells will be  
51 transfected with plasmids encoding SET/TAF-I $\beta$  or  
52 NRP1 respectively. After induction of DSBs, evolution  
53 of DNA repair will be followed by tracking  $\gamma$ -H2AX

levels using flow cytometry. Inefficient DNA repair  
would be expected for Cyt *c* knockout cells, especially  
at the early DDR stages.

The Cyt *c* threshold concentration can be deter-  
mined by experiments correlating nuclear Cyt *c* con-  
centrations with cell death. A plasmid containing Cyt  
*c* fused to the GFP has already been designed to trans-  
fect the Cyt *c* knockout cells. A nuclear localisation  
signal (NLS) could eventually be added at the C-end  
of the chimera to facilitate its transfer to the nucleus  
upon proper folding of Cyt *c* in the mitochondria.  
After treatment with a DSB-inducing agent, nuclear  
GFP fluorescence will be monitored by time course  
confocal microscopy. The fluorescence level should be  
proportional to the amount of Cyt *c* that has been  
translocated into the nucleus upon DNA damage. On  
the other hand, cell death levels can be followed by  
using fluorescent annexin V conjugates, which bind to  
the early apoptosis marker phosphatidylserine [33].  
Additionally, site-directed Cyt *c* mutants at the inter-  
action area with Chs could be assayed.

In summary, we present here a model for a new role  
of Cyt *c* in the context of DNA damage. Based on our  
recent findings, we propose that Cyt *c* exerts an anti-  
apoptotic effect in the cell nucleus at the onset of the  
DDR. Such activity would be directly related with its  
ability to interact with LCAR-containing Chs, result-  
ing in the attenuation of nucleosome assembly and  
thus providing a greater time frame for repair machin-  
ery at damaged DNA sites. We argue that this new  
function of Cyt *c* would depend on the levels of DNA  
damage, which would be reflected proportionally in  
the nuclear concentration of Cyt *c*. If the haem protein  
exceeded a certain threshold concentration, most of  
the LCAR-containing Chs would be inhibited. This sit-  
uation would bring about a strong repression of chro-  
matin remodelling and, therefore, all cellular processes  
dependent on it (such as DNA replication and tran-  
scription), condemning the cell to death.

The enormous and overwhelming complexity of cel-  
lular processes regulating cell-fate choice under DNA  
damage has hampered the establishment of an inte-  
grative and exhaustive model defining the spatio-tem-  
poral sequence of molecular events. Little by little,  
new details that advance our knowledge of the intri-  
cate mechanisms controlling the delicate balance  
between cell survival and death are being discovered.  
With our hypothesis detailing the dual role of Cyt *c*  
under DNA damage, we intend to contribute to a  
better understanding of the networks governing cell-  
fate decisions as well as suggesting new lines of  
research focused on the adaptive function of Cyt *c* in  
the cell nucleus.

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## Author contributions

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