1	Alternative yeasts for winemaking: Saccharomyces non-cerevisiae and its
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### 20 Abstract

Wine fermentation has not significantly changed since ancient times and the most 21 22 traditional aspects are seen by the market as elements that uplift wine nuances and quality. In recent years, new trends have emerged from the sector in line with consumer 23 preferences, and due to the effects of global climate change on grape ripening. In the 24 25 first cases the consumers are looking for wines with less ethanol and fruitier aromas and in the second cases the wineries want to reduce the wine alcohol levels and/or 26 astringency. New yeast starters of alternative Saccharomyces species and their hybrids 27 can help to solve some problems that wineries face. In this article we review several 28 physiological and genetic aspects of S. uvarum and S. kudriavzevii and the hybrids, 29 30 which are especially relevant during the winemaking process, such as their good fermentative capabilities at low temperatures, resulting in wines with lower alcohol and 31 32 higher glycerol amounts.

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### 34 Keywords

35 Winemaking; yeasts; S. non-cerevisiae; aroma; glycerol; cold fermentation

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### 38 INTRODUCTION

Winemaking is one of the oldest food processing procedures that humans have 39 performed since ancient civilizations. In fact, the earliest evidence of winemaking is 40 traced to Iran at the Hajji Firuz Tepe site (5400-5000 BC) (This et al., 2006). The 41 process of wine production is a conversion of grape juice made by microorganisms, 42 mainly yeasts, where a huge number of compounds are metabolically consumed or 43 produced. The result is one of the most widely consumed beverages. In the modern 44 45 wine production process, selected yeasts are used to inoculate grape must in order to control the fermentation, reduce risk of contamination, increase the reproducibility and 46 generate specific characteristics in the wine by selecting yeasts strains with specific 47 abilities of fermentation. Only specific wine strains are able to outcompete and impose 48 over other non-wine and spoilage microorganism and ensure a proper wine 49 fermentation. Yeast starters companies have enormous interest in expand their strain 50 51 catalogue with the objective to present wine strains with general wine strain type characteristics abut also with specific abilities that can be useful for wineries. 52

Wine yeasts are highly specialised organisms that evolved under restrictive 53 54 environmental conditions created by human technology. During cellular adaptation to 55 those manipulated environments, yeast strains were subjected to selective pressures generated by alcoholic fermentation (Querol et al., 2003), resulting in adaptative 56 differences among them (Barrio et al., 2006). Most of commercial yeasts are S. 57 cerevisiae, being the most frequently used in wine fermentations, as well as the most 58 studied species. However other species of the Saccharomyces genus and even other 59 60 species of the genus Saccharomyces (S. uvarum) and their interspecific hybrids (S. cerevisiae x S. uvarum and S. cerevisiae x S. kudriavzevii) have shown their potential 61 application to solve the new challenges of the winemaking industry, thus attracting high 62

interest in last years by researchers in the field (González et al., 2007; Gangl et al., 63 64 2009; Tronchoni et al., 2009; Gamero et al., 2013). In addition, strain selection has been extended in recent years to non-Saccharomyces yeasts such as those belonging to genera 65 Candida, Kloeckera, Kluyveromyces Debaryomyces, Hanseniaspora, Hansenula, 66 67 Pichia, Metschnikowia, Schizosaccharomyces, Saccharomycodes, Starmerella. Torulaspora Rhodotorula. Although non-Saccharomyces species 68 or lack 69 competitiveness under oenological conditions, mainly because they do not ferment so vigorously and display lower stress resistance than Saccharomyces, employing mixed 70 starter cultures or sequential fermentations are using in the last years to enhanced 71 glycerol, improve the aroma complexity, reduced acetic acid and ethanol content 72 (Schuller and Casal, 2005; Comitini et al., 2011; Milanovic et al., 2012; Contreras et al., 73 2015; Morales et al., 2015; Lencioni et al., 2016; Ciani et al., 2016 a and b; Varela, 74 75 2016; Wang et al., 2016). Besides the scientific interest for the use of these species, only strains of the species Kluyveromyces thermotolerans, Metschnikowia pulcherrima, 76 77 Pichia kluyveri, Torulaspora delbrueckii are commercialized.

One of the most important challenges in winemaking is the modification of the 78 composition and properties of the grape must due to climate change (Borneman et al., 79 2013). Answers to these new demands require improvements in the enological practices, 80 among which the development of new yeast starters adapted to the fermentation 81 conditions imposed by climate change are of chief importance. Climate change impact 82 on the winemaking industry has stress out the importance of ethanol yield in the 83 84 fermentation (White et al., 2006). Temperature increases force early maturation of grapes in Mediterranean regions and sugar contents in musts are higher and, as a 85 consequence, alcohol contents in the resulting wine increase (Jones et al., 2005). An 86 87 alternative is to harvest grapes earlier, when sugar content is optimum to obtain wines

with the appropriated alcohol content. However, in this case, the ripening of balanced
fruits becomes more difficult because the maturation stage of grape tannins and phenols
is not reached and the outcome is very astringent wine. These wines with high ethanol
contents or with unpleasant young unripe tannins are not well accepted by consumers.
To avoid this lack of competitiveness, wineries demand yeasts exhibiting lower ethanol
yields as well as higher glycerol and mannoprotein productions, because these
compounds help to balance wine astringency (White et al., 2006).

New yeast starters, of non-cerevisiae strains (S. uvarum) or hybrids (S. 95 cerevisiae x S. uvarum and S. cerevisiae x S. kudriavzevii) can contribute to solve some 96 problems of the wineries related to climate change. Contrary to what happens with non-97 98 Saccharomyces species that have previously described, S. uvarum and hybrids among species of the genus Saccharomyces exhibit good fermentative capabilities at low 99 temperatures, producing wines with lower alcohol and higher glycerol amounts, while 100 fulfilling the requirements of the commercial yeasts, such as a good fermentative 101 performance and aromatic profiles, of great interest for the wine industry (González et 102 al., 2007; Tosi et al., 2009; Gamero et al., 2012). Moreover, some strains exhibit 103 pectinolytic activity (Naumov et al., 2001) and, hence, produce more aromatic wines 104 105 (Henschke and Rose, 1991).

Another important driving force in winemaking industry is the consumer's demands for new products with novel aromatic profiles. Nowadays, fermentations at low temperatures are a trend in winemaking because it improves the aromatic profile of the produced wines (Boulton et al., 1996). However, low fermentation temperatures have disadvantages because requires longer periods and the risks of halted or sluggish fermentations are bigger (Bisson, 1999). These problems can be avoided by providing yeasts better adapted to ferment at low temperatures.

# 114 BENEFICIAL ASPECTS OF S. UVARUM, S. KUDRIAVZEVII AND 115 SACCHAROMYCES HYBRIDS FOR WINEMAKING

116 Low fermentation temperatures conducted by S. cerevisiae have disadvantages because 117 longer processes are required, and the risks of halted or sluggish fermentations increase (Boulton et al., 1996; Bisson, 1999). These problems can be avoided with yeasts better 118 adapted to ferment at low temperatures. Although supposedly "cryotolerant" S. 119 cerevisiae yeasts are already available for the wine industry, e.g. QA23 from Lallemand 120 121 Inc. or Fermol Cryophile and Fermol Reims Champagne from AEB, most of them do 122 not offer desirable fermentation performances at low temperatures (10-15°C). 123 Physiological and enological works have indicated the potential benefits of the use of S. 124 uvarum, S. kudriavzevii and their hybrids with S. cerevisiae fermenting at low temperature (Figure 1) and have also shown its well-established cryotolerant character 125 (Tronchoni et al., 2012; Gamero et al., 2013; López-Malo et al., 2013). As S. uvarum, S. 126 kudriavzevii and hybrids strains are able to finish fermentations in musts with 250 g/L 127 sugars, producing lower alcohol levels and increased glycerol content without 128 129 increasing acetic acid, especially at low temperatures (González et al., 2006; González et al., 2007; Lopandic et al., 2007; Tronchoni et al., 2009; Masneuf-Pomarede et al., 130 2010; Paget et al., 2014) which makes this species suitable to counteract problems 131 derived from climate change. Furthermore, its sugar consumption rate, similar to that of 132 133 S. cerevisiae, could make this organism a good candidate to compete for a place at low fermentation temperatures in the wine yeast industry (Figure 1). 134

*S. kudriavzevii* has been never found in winemaking probably due to low
resistance to elevated concentrations of ethanol (Belloch et al., 2008), although its

contribution is very relevant as a part of the Saccharomyces hybrids. However, all the 137 138 published data has been obtained at the laboratory level, so the possibility of using S. kudriavzevii in wine fermentations must be tested at the industrial scale. There are some 139 140 physiological properties of S. kudriavzevii that could explain the potential benefits in the use of S. kudriavzevii in winemaking as the ability to perform complete wine 141 142 fermentations at laboratory scale with similar or even enhanced kinetics than S. 143 cerevisiae (Gonzalez et al., 2006; Combina et al., 2012). On the other hand, other works have shown that S. kudriavzevii cannot outperform S. cerevisiae strains even at low 144 temperature in synthetic media growth (Arroyo-López et al., 2011). Thus, the study of 145 146 interaction among both species can be important to explain the absence of S. kudriavzevii in wine fermentations conditions. In spite of these results, is not clear that 147 industrial wine fermentations inoculated with S. kudriavzevii selected strains will be 148 149 completed by them or they will be competed out at some point by S. cerevisiae 150 contaminant cells, which are present in different parts of the wineries. Thus, further 151 research al industrial scale must be conducted to finally implement the use of the 152 potentially beneficial species S. kudriavzevii in winemaking.

So far, it is known that S. cerevisiae and S. kudriavzevii natural hybrids are able 153 154 to dominate industrial wine fermentations performed at low temperatures in regions of cold climates (Gonzalez et al., 2006; Gang et al., 2009; Erny et al., 2012). On the other 155 hand, mixed cultures between S. kudriavzevii and S. cerevisiae auxotrophic mutants 156 performed in synthetic must showed a clear S. cerevisiae imposition at high 157 158 temperatures (31 °C) (Arroyo-López et al., 2011). But it is interesting to note that at low temperatures (17 °C), S. kudriavzevii is able to compete with S. cerevisiae in the first 159 160 part of the fermentation when they are inoculated 50:50. This suggests that in controlled 161 industrial wine fermentations at low temperatures, which contains very low levels of S.

*cerevisiae* cells coming from grape grains and winery equipment, the inoculation of *S. kudriavzevii* could contribute significantly to the final wine characteristics, by
 increasing glycerol production and enhancing its aroma profile (Figure 1) (Gonzalez et al., 2007; Tronchoni et al., 2009; Arroyo-López et al., 2011).

In the next sections we will analyze the molecular mechanisms related with the adaptation of *S. uvarum* and *S. kudriavzevii* to growth at low temperatures and the differences in the contribution to the aroma profile with respect to *S. cerevisiae* (Figure 169 1).

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### 171 Adaptation to low temperatures

Several studies have focused in understand the cryophilic character of *S. uvarum* and *S. kudriavzevii* at the molecular level, including transcriptomic and metabolomic studies (Combina et al., 2012; López-Malo et al., 2013). Some aspects of these species have been highlighted in relation to cold resistance and winemaking as glycerol accumulation (Arroyo-López et al., 2010; Oliveira et al., 2014), membrane composition (Tronchoni et al., 2012), or translation efficiency (Tronchoni et al., 2014) and are addressed with more detail below.

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# 180 *Glycerol accumulation*

181 The cryophilic species *S. uvarum* and *S. kudriavzevii* produce higher amounts of 182 glycerol compared to *S. cerevisiae* in must fermentations (Arroyo-López et al., 2010; 183 Oliveira et al., 2014). Glycerol contributes to wine quality by generating sweetness and 184 fullness (Ribereau-Gayon et al., 1977; Eustace and Thornton, 1987). Also increase

viscosity which can soften the astringency caused by unriped tannins present in early 185 186 harvested grapes (Ishikawa and Noble, 1995, Llaudy et al., 2006). In addition, glycerol plays an important role in cold stress adaptation (Tulha et al., 2010), although other 187 188 features have been related to yeasts adaptation to low temperatures, including synthesis of ribosomal proteins, changes in membrane lipid composition and a higher trehalose 189 content (Aguilera et al., 2007). In S. cerevisiae, glycerol is synthesized after a cold 190 191 shock via a regulatory mechanism involving the HOG (High Osmolarity Glycerol) pathway (Hayashi and Maeda, 2006; Panadero et al., 2006). Intracellular glycerol 192 content was linked to cell survival in fermentations at low temperatures (Tulha et al., 193 194 2010) and also is involved in freeze/thawing stress resistance (Izawa et al., 2004).

195 Cryoprotectanct glycerol is synthesized by a branch of glycolysis involving two steps (Ansell et al., 1997; Norbeck et al., 1997; Pahlman et al., 2001), which in the case 196 197 of Saccharomyces yeasts are catalyzed by two isoenzymes each step: GPD for glycerol-198 3-phosphate dehydrogenases (Gpd1p and Gpd2p) and GPP for glycerol-3-phosphatases (Gpp1p/Rhr1p and Gpp2p/Hor2p). Flux modelling calculations of metabolic control 199 values of glycerol synthesis indicate that the glycerol-3-phosphate dehydrogenase 200 reaction has a flux control coefficient of approximately 0.85, being the major 201 202 responsible of the flux control of this pathway (Remize et al., 2001). Moreover, GPD1 203 gene overexpression increases the glycerol levels produced while the overexpression of the other three enzymes does not (Nevoight and Stahl, 1996; Pahlman et al., 2001; 204 205 Remize et al., 2001) whereas a reduction of GPD1 expression leads to a reduced flux 206 towards glycerol (Nevoight and Stahl, 1996; Hubmann et al., 2011). Furthermore, GPD1 is activated in response to cold stress (Panadero et al., 2006). All this data 207 supported the important role of GPD1 in the cold stress response in Saccharomyces. 208

Recently, our research focused in deciphering the mechanisms by which the 209 210 cryophilic species S. kudriavzevii produce more glycerol and its putative role in the better adaptation of this species to cold environments (Figure 1). Interestingly, it has 211 212 been shown that S. kudriavzevii has a higher GPD1 expression and that Gpd1p presents enhanced enzymatic parameters and an increased activity (Oliveira et al., 2014). All 213 214 these differences suggest that S. kudriavzevii has changed its metabolism to promote the 215 branch of the glycolytic pathway involved in the glycerol production to adapt to low temperature environments (Figure 1) and maintain the NAD<sup>+</sup>/NADH ratio in alcoholic 216 fermentations. In agreement with these results, new studies suggested that temperature-217 218 induced redox imbalances could be compensated by increasing glycerol accumulation but also by the production of cytosolic acetaldehyde through the deletion of GUT2 or 219 220 ADH3 respectively (Paget et al., 2014).

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### 222 Membrane lipid composition

As previously indicated, the lipid composition of S. kudriavzevii exhibits some features 223 224 that may explain its adaptation to low temperatures (Tronchoni et al., 2012). Yeast membranes are a lipid bilayer consisting of two amphipathic molecules, phospholipids 225 (PL) and sphingolipids, with an apolar phase of fatty acids (FA). The fatty acids of S. 226 cerevisiae mainly correspond both to unsaturated fatty acids (UFA), oleic acid and 227 228 palmitoleic acid, and saturated fatty acids (SFA), palmitic acid and stearic acid. The 229 medium chain fatty acids (MCFA) are found in lower proportions but their 230 concentrations increase when yeasts are grown under anaerobic conditions, such as the 231 wine fermentation. Sterols are also important, being ergosterol the main sterol in fungi 232 (Henschke and Rose, 1991). Changes in any of these lipids can significantly disturb the

membrane function and alter the role of membrane-associated proteins. Thus, many 233 234 organisms have developed mechanisms to keep the appropriate membrane fluidity regardless of the temperature (Cossins and Prosser, 1978). It has been described that 235 236 low temperatures increase in the degree of fatty acid (FA) unsaturation in S. cerevisiae (Sakamoto and Murata, 2002), producing a higher membrane fluidity. Another way to 237 increase membrane fluidity is to reduce the FA chain length (Torija et al., 2003). 238 Beltran et al. (2006) reported some of these effects in S. cerevisiae strains during an 239 industrial wine fermentation. Redón et al. (2011) also reported common changes in the 240 lipid composition of different industrial species and strains of Saccharomyces after 241 growth at low temperature showing that the MCFA and triacylglyceride content 242 phosphatidic 243 increased, whereas the acid content and the 244 phosphatidylcholine/phosphatidylethanolamine ratio decreased, as occurs in S. uvarum 245 compared to S. cerevisiae (Figure 1). A similar response was observed in S. 246 kudriavzevii, although sterol esters and squalene were also increased (Tronchoni et al., 247 2012). These results indicate that the membrane of S. kudriavzevii has a composition 248 that allows its adaptation to growth at low temperatures due to two mechanisms already observed in the other species (Figure 1). Regardless the growth temperature, the S. 249 kudriavzevii strains had higher medium-chain fatty acids and squalene percentages and 250 251 also shorter chain lengths (Tronchoni et al., 2012). This differential lipid content has a significant impact in the enhanced fitness of S. kudriavzevii at low temperatures. 252 However, more research must be done in order to clarify the role of the different 253 254 membrane components in the physiology of these yeasts.

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# 256 *Translation efficiency*

At low temperatures, ribosome maturation may be compromised due to a reduction in 257 RNA structure plasticity by RNA hyperstabilization (Zavanelli et al., 1994; Fortner et 258 al., 1994; Li and Brow, 1996; Staley and Guthrie, 1999; Hilliker et al., 2007; Perriman 259 260 and Ares, 2007; Kurata et al., 2013). A transcriptome comparison of S. kudriavzevii with S. cerevisiae shed light on the response of this cryotolerant yeast to low 261 temperature (Tronchoni et al., 2014). A similar low temperature stress response for both 262 263 species is the presence of up-regulated genes related to translational machinery, although S. kudriavzevii shows a higher response compared to S. cerevisiae. Tronchoni 264 et al (2014) postulated that this higher response could be the result of changes in the 265 266 stability of a functional RNA conformation in relation to a competing structure (Zavanelli et al., 1994). However, the different paromomycin susceptibility (Tronchoni 267 et al., 2012) suggests that other mechanisms can also be involved related to S. 268 269 kudriavzevii translation machinery at low temperatures. Also, paromomycin resistance 270 can be the result of enhanced translation efficiency (Perriman and Ares, 2007). This 271 study suggests that S. kudriavzevii has increased translation efficiency due to higher 272 ribosome availability after adaptation to cold shock (Figure 1) (Tronchoni et al., 2012). García-Rios et al (2016) analyzing the proteome profiling of S. cerevisiae and 273 cryotolerant species S. uvarum and S. kudriavzevii during low-temperature wine 274 275 fermentationwe by iTRAQ-based showed that the main differences among the 276 proteomic profiling of the three Saccharomyces strains grown at 12 °C and 28 °C lay in translation, glycolysis and amino acid metabolism. These data corroborate previous 277 278 transcriptomic results (Tronchoni et al., 2014), which suggest again that S. kudriavzevii is better adapted to grow at low temperature as a result of enhanced more efficient 279 280 translation.

283 Although no similar transcriptomic data is available for S. uvarum, the metabolome comparison of S. cerevisiae, S. uvarum and S. kudriavzevii growing at 12 284 °C revealed that the main differences between the two cryotolerant species and S. 285 cerevisiae were in carbohydrate metabolism, mainly fructose. However, these two 286 287 species have developed different strategies for cold resistance. S. uvarum presented elevated shikimate pathway activity, while S. kudriavzevii displayed increased NAD<sup>+</sup> 288 synthesis (López-Malo et al., 2013). García-Rios et al (2016) analyzing the proteome 289 profiling of these species and comparing with S. cerevisiae observed that amino acid 290 biosynthetic pathways can also be mechanisms that better explain biomass yield in 291 292 cryotolerant strains and also can justify the differences in the aroma synthesis. However, at low temperature, S. cerevisiae showed higher concentrations of glycolytic and 293 alcoholic fermentation enzymes. 294

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### 296 Contribution to the wine aroma

297 Wine fermentation aromas result from a complex mixture of chemical compounds produced by yeast secondary metabolism (Lilly et al., 2000; Swiegers and Pretorius, 298 299 2005). Higher alcohols (fusel, marzipan and floral aromas) as well as acetate and ethyl 300 esters (fruity and floral aromas) are the main scents in young wines. Higher alcohols are generated from sugar metabolism intermediates, through anabolic reactions, or from 301 branched-chain amino acids, through the Ehrlich pathway, a multistep catabolic reaction 302 303 (Boulton et al., 1996; Dickinson et al., 1997; Eden et al., 2001; Dickinson et al., 2003). Ethyl ester compounds are produced by condensation of alcohols and coenzyme-A-304 activated acids (Swiegers and Pretorius, 2005), while acetate esters result from the 305

306 combination of acetyl-CoA with an alcohol, by the action of alcohol acetyl transferases 307 (Lilly et al., 2000). The amount and nature of these aroma compounds are influenced by many factors, such as must nitrogen content, fermentation temperature and the yeast 308 309 strain (Lilly et al., 2000; Swiegers and Pretorius, 2005). Several studies have characterized the impact of S. uvarum and S. kudriavzevii on the fermentation processes 310 311 and the by-product formation and aroma profile (Gonzalez et al., 2007; Henschke et al., 312 2000; Gamero et al., 2011a; Gamero et al., 2011b; Gamero et al., 2012; Gamero et al., 2013). These studies showed that S. uvarum and S. kudriavzevii strains present different 313 aroma compound accumulation patterns during wine fermentation compared to those of 314 315 S. cerevisiae strains (Figure 1). Hence, the utilization of S. uvarum and S. kudriavzevii strains in winemaking can contribute to a new aromatic composition in the final wines, 316 317 by increasing the higher alcohol and ester amounts. Specifically, S. uvarum generates 318 larger amounts of 2-phenylethanol, 2-phenylethyl acetate and ethyl lactate (Henschke et 319 al., 2000; Masneuf-Pomarede et al., 2010; Gamero et al., 2011a; Gamero et al., 2012) 320 and S. kudriavzevii produce larger amounts of higher alcohols and 2-phenylethanol at 321 12°C (Henschke et al., 2000; Gamero et al., 2011a; Gamero et al., 2013; Stribny et al., 2015). These differences in aroma production have been correlated with differences in 322 gene sequences and in gene regulations. For example, S. kudriavzevii strains exhibited 323 324 an up-regulation in acyltranferase EHT1 and a down-regulation in acyltranferase EEB1 325 (the genes involved in ethyl esters formation) during fermentation at 28°C (Gamero et al., 2014). The low ethyl ester production in S. kudriavzevii also suggests that 326 327 acyltransferase *EEB1* is more important in the production of these aromatic compounds than EHT1, as previously described (Saerens et al., 2008). Also it is interesting to note 328 that the higher level of geraniol uptake, which is then bioconverted into linalool and 329 alpha-terpineol, is one of the most significant abilities of S. kudriavzevii among others 330

(Gamero et al., 2011b). By comparative genomic analysis, among the available genome 331 332 sequences of S. cerevisiae, S. uvarum and S. kudriavzevii, of genes involved in aroma synthesis, Stribny et al (2015) observed important differences at nucleotide level in the 333 334 genes ARO10 (encoding a phenylpyruvate decarboxylase), ATF1 and ATF2 (coding for alcohol acetyltransferases 1 and 2, respectively). The heterologous expression of these 335 genes from S. kudriavzevii and S. uvarum in 3 deletant S. cerevisiae T73 strains 336 ( $\Delta ATF1$ ,  $\Delta ATF2$ , and  $\Delta ARO10$  strains) confirmed the higher production of several 337 338 aromatic compounds by S. kudriavzevii and S. uvarum such as isoamyl alcohol, 339 isobutanol and their esters (Stribny et al., 2016a and 2016b). As occurred with Gpd1p (Oliverira et al., 2014), it has also been observed interesting differences in enzymatic 340 activities between these proteins in the cases of S. kudriavzevii and S. uvarum. The 341 342 enzymatic activity of SkAro10p with phenylpyruvate as a substrate was half that ScAro10p whereas the activities of SkAro10p for the other tested substrates were more 343 344 than three times higher. Differences in the enzymatic properties of ScAtf1p, SkAtf1p and SuAtf1p were also detected (Stribny et al., 2016a). Hence, the utilization of S. 345 uvarum, S. kudriavzevii or their hybrids strains in winemaking can contribute to a new 346 347 aromatic composition in the final wines.

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# 349 THE ROLE OF S. CEREVISIAE HYBRIDS IN WINEMAKING

In the case of *Saccharomyces* genus, one of the most interesting mechanisms observed in the adaptation of these yeasts to industrial process is the formation of interspecific hybrids. Allopolyploidy and introgression by interspecific hybridization are the main mechanisms of lateral gene transfer in eukaryotes (de Barros Lopes et al., 2002; Barrio et al., 2006). Interspecific hybridization generates new gene combinations of potential adaptive value conferring, under fluctuating or intermediate environmental conditions,
selective advantages to the hybrids with respect to their parental species (Masneuf et al.,
1998). Hybrids between *S. cerevisiae* and other *Saccharomyces* species such as the
cryotolerant *S. uvarum* (Naumov et al., 2000; Greig et al., 2002; Le Jeune et al., 2007)
and *S. kudriavzevii* (Bradburi et al., 2006; González et al 2006; Lopandic et al., 2007;
Erny et al., 2012; Peris et al., 2012a) have been isolated from wine, cider and brewing
fermentations, and other sources.

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### 363 On the origin of *S. kudriavzevii* wine hybrids

364 In recent years, hybrids between S. cerevisiae and S. kudriavzevii have been isolated not only from wine, but also from cider and brewing fermentations, as well as other sources 365 (Bradburi et al., 2006; González et al 2006; Lopandic et al., 2007; Erny et al., 2012; 366 367 Peris et al., 2012a) suggesting interesting human interactions in their origin and 368 propagation (Peris et al., 2012b). Researchers have looked for S. kudriavzevii wine hybrids worldwide but they were only isolated in specific locations in Europe (Figure 369 370 2). By combining the phylogenetic analysis of gene sequences with all the available information on genetic and genomic characterization of S. cerevisiae x S. kudriavzevii 371 hybrids a total of seven potential hybridization events were predicted as the S. 372 kudriavzevii wine hybrids origins (Peris et al., 2012b). Most hybrids seem to have been 373 374 generated by rare-mating events involving a diploid S. cerevisiae strain and a haploid 375 strain of S. kudriavzevii generating different chimerical genomes with ploidy values 376 close to 3n (Table 1) (Peris et al., 2012a; Peris et al., 2012b). Two of these hybridization 377 events generated the most frequent wine hybrid types (Figure 2), one was found in Wädenswill, Switzerland, and is phylogenetically related to Trappist brewing hybrids. 378

The other type is widely distributed from the Rhine valley (Alsace and Germany) to theDanube valley (Austria, Croatia and Hungary) wine regions.

After ~6,000 years of adaptation to wine fermentation conditions in warm 381 climates of the Mediterranean and Fertile Crescent regions, wine S. cerevisiae yeasts 382 were taken by the Romans, together with the vines and winemaking tools, to the limits 383 384 of their empire, the Rhine and Danube Rivers. In these regions of Oceanic and Continental climates (Figure 2), these S. cerevisiae wine strains could have problems to 385 perform wine fermentations at low temperatures at which other Saccharomyces species 386 are better adapted. In such climate conditions, however, hybrids have clear advantages 387 388 over the parental species.

These *S. cerevisiae* x *S. kudriavzevii* hybrids likely originated several times but they probably spread during the Middle Age when Christian monks spread the viticulture and enology practices all over Europe (Figure 2) (Burton and Kerr, 2011). For example, in Central Europe Cistercian monks extended the Burgundian family of grape varieties, mainly Chardonnay and Pinots, as well as German varieties, and with them also the main lineage of hybrid yeasts responsible of wine fermentation (Erny et al., 2012; Peris et al., 2012a; Peris et al., 2012b).

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### 397 Molecular characterization of enological Saccharomyces hybrids

At the moment, very few information about the physiological and molecular part of S. *uvarum* hybrids are available. However, some more studies have been done on the S. *kudriavzevii* hybrids. Oenological characterization of S. cerevisiae and S. uvarum and S. *cerevisiae x S. kudriavzevii* hybrid strains has demonstrated that the hybrids are well
adapted to ferment at low and intermediate temperatures, producing moderate or higher

levels of glycerol and less acetic acid and more aromas (higher alcohols and esters) with
regard to reference strains of *S. cerevisiae* and *S. kudriavzevii* (Masneuf et al., 1998;
Gamero et al., 2013; González et al., 2007).

Natural hybrids between S. cerevisiae and S. kudriavzevii conducting wine 406 fermentations have been characterized by genetic approaches (Belloch et al., 2008; Peris 407 408 et al., 2012a; Peris et al., 2012b, Borneman et al., 2013). It is interesting to note that some of the advantages of this hybrids (fermenting at low temperature and produce 409 more glycerol) can be correlated with the genome composition (Figure 2 and Table 1). 410 Thus, it has been observed that most natural hybrids present as less, one S. kudriavzevii 411 allele for the genes related with the cold stress, ergosterol and glycerol metabolism 412 413 (Table 1). But also have been correlated some of these differences with gene expression 414 (Combina et al., 2012; Gamero et al., 2015). The commercial hybrid W27 induced eight genes related to these functional groups compared with S. cerevisiae reference species. 415 416 When expression of these W27 hybrid genes was compared with S. kudriavzevii, significant differences were observed in genes PGI1 and TIP1 involved in glycerol 417 biosynthesis; in genes mainly belonged to the PAU, DAN/TIR families, linked to cold 418 shock adaptation, while one gene (ARE1) is involved in sterol metabolic processes and 419 420 in aroma synthesis (Figure 2). Besides, the quantitative contribution to the overall gene expression of the alleles coming from one parental strain or the other was clearly 421 422 determined by the fermentation temperature for some genes as has been demonstrated for ARO1 and ATF2 genes where S. kudriavzevii allele was more expressed than that of 423 424 S. cerevisiae particularly at 12°C (Gamero et al., 2015).

425 At present, the only contribution of *S. kudriavzevii* to winemaking is through its 426 interspecific hybrids with other *Saccharomyces species*, most of them with *S.* 427 *cerevisiae*, that are present, and very frequently predominant, in wine fermentations of

European regions of Continental and Oceanic climates (Figure 2). Several wine hybrids 428 429 were physiologically and genetically characterized (Figure 2), indicating that these hybrids take advantage of their chimerical genomes (Table 1), coming from both 430 431 parental species, to adapt to changes in their environments (González et al., 2007; Gangl et al., 2009; Belloch et al., 2008; Arroyo-López et al., 2009), especially to grow at lower 432 temperatures, a trait acquired from the S. kudriavzevii parent (González et al., 2006, 433 González et al., 2007; Gangl et al., 2009; Salvadó et al., 2011; Tronchoni et al., 2012). 434 The enological characterization of some of those S. cerevisiae x S. kudriavzevii hybrids 435 have shown that S. cerevisiae provide ethanol tolerance and high fermentative capacity 436 437 (Arroyo-López et al., 2010; Le Jeune et al., 2007; Bradbury et al., 2006) whereas S. kudriavzevii genome contributes to their adaptation to low temperatures (Belloch et al., 438 2008; Arroyo-López et al., 2009). In fact, glycerol production in the hybrids seems 439 440 intermediate between both parentals in must fermentations, which suggest that the adaptation to low temperatures by increased glycerol production has been partially 441 442 inherited from S. kudriavzevii (González et al., 2007, Gangl et al., 2009). Regarding 443 ethanol, hybrids usually produce similar or higher levels compared to S. cerevisiae (Gangl et al., 2009). On the other hand, both species showed a differentiated pattern of 444 445 aroma compounds accumulation in winemaking which can generate a diverse aroma profile in S. cerevisiae x S. kudriavzevii hybrids. Aroma production by some hybrids 446 (W27 and HA1841) showed that aroma production profile of these hybrids was similar 447 to that of S. kudriavzevii at low fermentation temperature, whereas at moderate or high 448 449 fermentation temperatures, they showed higher similarities with S. cerevisiae (González et al., 2007; Gangl et al., 2009). Other studies have shown differences between aroma 450 profiles of hybrids and parental species except for higher alcohols production that were 451

452 comparable to those of *S. cerevisiae* at 28°C and *S. kudriavzevii* at 12°C (Bellon et al.,
453 2011; Cus and Jenko, 2013; Gamero et al., 2013).

454

### 455 GENERATION OF ARTIFICIAL HYBRIDS

456 Genomic blind approaches, as artificial hybridization, are the most adequate methodologies to generate of new industrial strains (Giudici et al., 2005). The most 457 promising examples of wine yeast strains improvement involved generation of 458 interspecies hybrids within the species of the Saccharomyces genus (Bellon et al., 2011) 459 or further inbreed program of them (Bizaj et al., 2012). The final destination of the 460 generated hybrid should be considered to select the hybridization method since the use 461 of genetically modified organisms (GMOs) in food is limited by current legislations in 462 different countries, as well as by public concern (Schilter and Constable, 2002; 463 464 Pretorius and Hoj, 2005; Cebollero et al., 2007). According to Directive 2001/18/EC of 465 the European Parliament and the Council of the European Union, hybrids generated by mating of spores and rare-mating-based on the natural rare event of mating type 466 switching in industrial yeasts-must not be considered as GMOs. Recent studies, have 467 focused on optimize and develop programs of generation of artificial hybrids (Bellon et 468 al., 2011, Pérez-Través et al., 2012; Pérez-Través et al., 2014; da Silva et al., 2015; 469 Solieri et al., 2015; Lopandic et al., 2016). It has been described that hybrids obtained 470 by rare-mating are easily obtained and contain a complete set of chromosomes of both 471 parents (Pérez-Través et al., 2012; Pérez-Través et al., 2014). These artificial hybrids 472 473 have a more complete subset of genetic material inherited from each parental strain just 474 before they are generated. Consequently, they possess an extremely high genetic plasticity which could render a potentially better adaption to the environment. Due to 475

the fact that a loss of genetic material occurs during hybrids generation and genetic 476 477 stabilization, hybrids possessing a high amount of DNA became a better resource to obtain the best suitable hybrid strain for industrial purposes. However, to guarantee the 478 479 genetic invariability of recently generated hybrids during future industrial utilization, the genetic stabilization is a last crucial aspect to be considered in every hybridization 480 program (Pérez-Través et al., 2012; Pérez-Través et al., 2014). After generating the 481 482 hybrid, 30-50 generations were enough to obtain genetically stable interspecific S. cerevisiae-S. kudriavzevii and S. cerevisiae intraspecific hybrids, respectively (Pérez-483 Través et al., 2012). Indeed, Pérez-Través et al. (2012) presented evidences of the 484 485 existence of extensive genetic rearrangements among genetically similar genomes 486 during hybrid genetic stabilization.

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488

### 489 CONCLUSIONS

Yeasts starters are essential for the winemaking industry in order to obtain high quality 490 491 standards. S. cerevisiae strains has been classically used but wineries require new strains with different physiological characteristics to face new consumer demands and 492 493 other problems as the ones derived from climate change. Several studies have shown 494 that wine Saccharomyces non-cerevisiae as S. kudriavzevii and S. uvarum are suitable for winemaking due to their cryophilic character, based on increased glycerol 495 production, adapted membrane composition and enhanced translation efficiency, and 496 497 the ability to produce valuable aromatic compounds. These species have great potential to solve some of these problems either as a part of natural or artificial S. cerevisiae x S. 498 kudriavzevii hybrids, in co-cultures or directly as a new starter. 499

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# 857 Figure legends

Figure1. Summary of new challenges for the winemaking industry and the potential 858 benefits of using S. kudriavzevii and S. uvarum as a starter. The experience acquired 859 from the S. kudriavzevii and S. uvarum wine performances suggest relevant benefits 860 that can potentially solve most of these new problems. such as glycerol accumulation, 861 862 changes in membrane composition (higher levels of medium chain fatty acids (MCFA)and squalene (SQ), whereas phosphatidic acid (PA) content and the 863 phosphatidylcholine/phosphatidylethanolamine ratio (PC/PE) lowered) or enhanced 864 translation efficiency (ribosome recycling). They may considerably contribute to the 865 aroma profile for S. cerevisiae by increasing the levels of higher alcohols and esters in 866 867 the final wine. Genes involved overexpressed in these species are depicted.

868

Figure 2. Distribution of the *S. cerevisiae* x *S. kudriavzevii* hybrids. The geographic origin and sources from which the different hybrids were isolated are indicated on a climatic map of Europe. These hybrids are linked according to their group assignations, based on previous phylogenetic analyses (Erny et al., 2012; Peris et al., 2012a; Peris et al., 2012b). Within squares numbers indicate the number of strains described until now (up) and the range of *S. kudriavzevii* genome present in % (down).

875

877 Tables

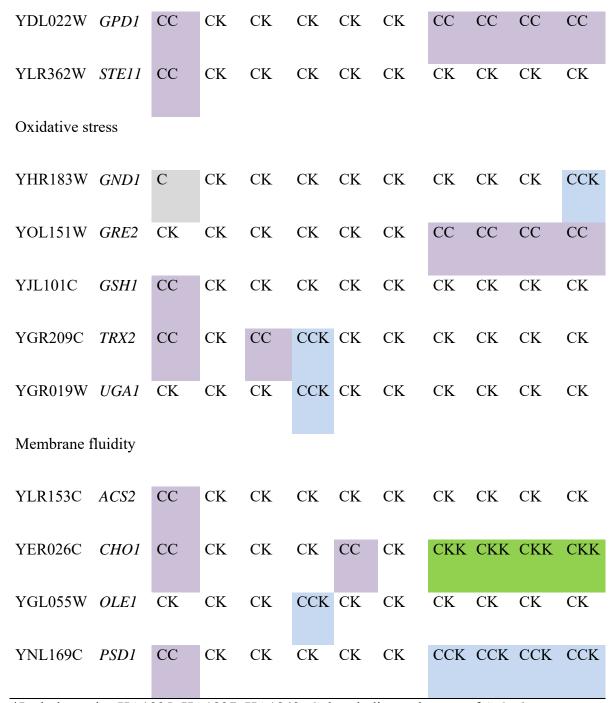
Table 1. Genome composition for the natural hybrids between *S. cerevisiae* and *S.* 

······································	879	kudriavzevii for ge	enes involved in	their physiological	differences (	(Peris et al., 2012a).
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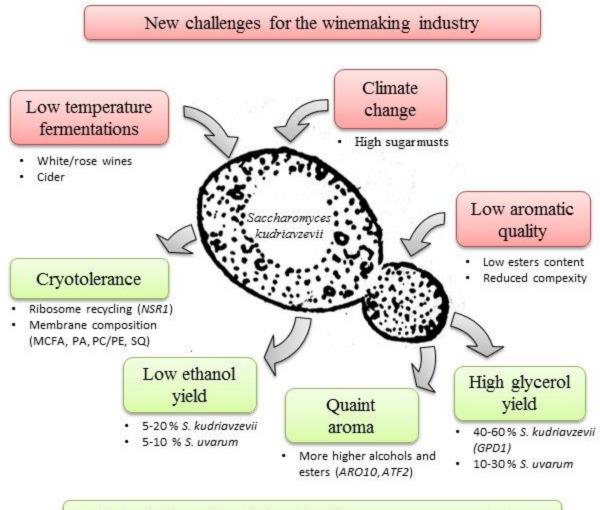
ORF	Gene	AMH	PB7	Vin7	HA*	HA	SOY3	W27	W46	SPG	441
						1841				16-91	
Cold stress											
YNL112W	DBP2	CC	СК	СК	CK	CK	СК	CCK	CCK	CCK	CCK
YJL153C	INO1	CC	CK	СК	CK	CK	CK	CK	CK	СК	CK
YJL223C	PAU1	CC	СК	СК	СК	CK	СК	CK	CK	СК	CK
YEL049W	PAU2	CC	CK	СК	CK	CC	CK	CCK	CCK	CCK	CCK
YCR104W	PAU3	CC	CK	CC	CK	CK	CK	CK	CK	СК	СК
YLR461W	PAU4	CC	CK	СК	CK	CK	CK	CK	CK	CC	CK
YNR076W	PAU6	CC	СК	СК	CK	CK	СК	CC	CCC	CCC	CCC
YAR020C	PAU7	С	СК	СК	CK	CK	СК	CK	CK	СК	CC
YBR067C	TIP1	CC	СК	СК	CK	CK	СК	CK	CK	СК	СК
YER011W	TIR1	CC	СК	СК	CK	CC	CK	CKK	CKK	CKK	CKK
Ergosterol											

metabolism

YGR175C	ERG1	CC	СК	CC	CCK	CK	СК	CK	CK	СК	СК
YHR007C	ERG11	С	СК	СК	СК	СК	СК	CK	CK	СК	CCK
YGR060W	ERG25	СК	СК	СК	CCK	СК	СК	CK	CK	СК	СК
YGL001C	ERG26	СК	СК	СК	CCK	CK	СК	CK	CK	СК	СК
YLR100W	ERG27	CC	CK	СК	СК	СК	СК	CK	CK	СК	СК
YLR056W	ERG3	CC	СК	СК	CK	CK	СК	CK	CK	СК	СК
YGL012W	ERG4	СК	СК	СК	CCK	CK	СК	CK	CK	СК	СК
YHR072W	ERG7	C	CK	СК	CK	CK	СК	CK	CK	СК	CKK
YNR043W	MVD1	CC	CK	CK	СК	CK	CK	CKK	CKK	CKK	CKK
YHR042W	NCP1	С	СК	СК	СК			СК	СК	СК	СКК
YHR042W Glycerol	NCP1	С	СК	СК							
	NCP1	С	СК	СК							
Glycerol					СК	СК		СК	СК	СК	СКК
Glycerol metabolism	PGI1	CC	СК	СК	СК	CK CK	СК	СК	СК	СК	СКК
Glycerol metabolism YBR196C	PGI1 TPI1	CC	СК	СК	СК	CK CK	СК	СК	СК	СК	СКК
Glycerol metabolism YBR196C YDR050C	PGI1 TPI1 ess	CC CC	СК	CK CK	СК СК СК	CK CK CK	СК	CK CK CC	СК СК СС	CK CK CC	CKK CK CC
Glycerol metabolism YBR196C YDR050C Osmotic stre	PGI1 TPI1 ess ERG1	CC CC CC	CK CK CK	CK CK	CK CK CK CCK	CK CK CK	CK CK CC	CK CK CC	СК СК СС	CK CK CC	CKK CK CC



\*Include strains HA1835, HA1837, HA1842. Colors indicate absence of *S. kudriavzevii*(K) alleles with one (grey), two (magenta) or three (orange) *S. cerevisiae* (C) gene
copies; or two S. kudriavzevii alleles with one copy of *S. cerevisiae* (green); or one *S. kudriavzevii* allele with two copy of *S. cerevisiae* (blue).



Potential benefits of wine Saccharomyces non-cerevisiae

