

1 **Soil-plant relationships and contamination by trace elements: A review of twenty**  
2 **years of experimentation and monitoring after the Aznalcóllar (SW Spain) mine**  
3 **accident**

4

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10

11 **ABSTRACT**

12 Soil contamination by trace elements (TE) is a major environmental problem and much  
13 research is done into its effects on ecosystems and human health, as well as into  
14 remediation techniques. The Aznalcóllar mine accident (April 1998) was a large-scale  
15 ecological and socio-economic catastrophe in the South of Spain. We present here a  
16 literature review that synthesizes the main results found during the research conducted  
17 at the affected area over the past 20 years since the mine accident, focused on the soil-  
18 plant system. We review, in depth, information about the characterization of the mine  
19 slurry and contaminated soils, and of the TE monitoring, performed until the present  
20 time. The reclamation techniques included the removal of sludge and soil surface layer  
21 and use of soil amendments; we review the effects of different types of amendments at  
22 different spatial scales and their effectiveness with time. Monitoring of TE in soil and  
23 their transfer to plants (crops, herbs, shrubs, and trees) were evaluated to assess  
24 potential toxicity effects in the food web. The utility of some plants (accumulators) with  
25 regard to the biomonitoring of TE in the environment was also evaluated. On the other

26 hand, retention of TE by plant roots and their associated microorganisms was used as a  
27 low-cost technique for TE stabilization and soil remediation. We also evaluate the  
28 experience acquired in making the Guadiamar Green Corridor a large-scale soil  
29 reclamation and phytoremediation case study.

30

31 *Keywords:*

32 Guadiamar Green Corridor

33 Heavy metals

34 Mine spill

35 Phytoremediation

36 Soil amendments

37 Soil pollution

38

39 **Contents**

40 1. Introduction

41 2. The Aznalcóllar mining accident

42 3. Contaminant source: The slurry

43 4. Concentrations of trace elements in the affected soils

44 5. Soil remediation by amendments addition

45 6. Trace element contamination effects on crops and wild herbs

46 6.1. Contamination effects on crops

47 6.2. Contamination effects on wild herbs

48 7. Afforestation of remediated soils

49 7.1. Contamination effects on tree growth and survival

50 7.2. Trace elements accumulation and biomonitoring of soil contamination

51 7.3. Potential of trees for phytostabilization of trace elements

52 8. Concluding remarks

53 Acknowledgements

54 References

55

## 56 **1. Introduction**

57 Trace elements (TE) are potential environmental pollutants, and their toxicity is a  
58 problem of increasing significance for ecological, evolutionary, nutritional, and  
59 environmental reasons. Since the industrial revolution, anthropogenic impacts have  
60 caused a progressive release of TE into the environment (Nriagu and Pacyna, 1988). As  
61 a consequence, soils, being the basic and most essential component of terrestrial  
62 ecosystems, have received an important load of TE, which might impair their functions  
63 and threaten the delivery of their ecosystem services. Unlike other pollutants, TE cannot  
64 be chemically or biologically degraded. Even worse, some of them can concentrate  
65 along the food chain and eventually accumulate in the human body (Adriano, 2001;  
66 Kabata-Pendias, 2011; Wu et al., 2010). Therefore increasing attention has been paid in  
67 recent years to the remediation of contaminated soils, in which the use of plants to  
68 remove or stabilize these metal ions is particularly emphasized.

69 Past and present mining activities have a significant impact on the environmental  
70 quality of the surrounding area (Doumas et al., 2016). The mining industry is expected  
71 to grow in the coming decades, following the expected trends in metal demand, which  
72 has increased as a result of both a growing world population and the increasing per-  
73 capita requirement (Elshkaki et al., 2016; Patiño Douce, 2106). However, the grade of  
74 most ore reserves is declining, worldwide, from high-grade, low-bulk to low-grade,  
75 high-bulk (ICMM, 2012; Van der Ent et al., 2015). This trend is causing a growing

76 problem related to the disposal and management of an increasing volume of waste  
77 generated by the mining industry (Van der Ent et al., 2015). Besides tailings, which are  
78 usually devoid of vegetation and highly exposed to wind and water erosion (Mendez  
79 and Maier, 2008; Santamaría, 2005), the huge volume of water-rich sludge produced  
80 during the flotation of valuable minerals (sulfides) requires a parallel and adequate  
81 disposal, which usually involves storage in constructed dams. Poorly constructed or  
82 heavily charged dams may lead to the rupture of these reservoirs (Van Niekerk and  
83 Viljoen, 2005), resulting in the spread of pollutants into vast land areas, as occurred at  
84 the Aznalcóllar mine complex (SW Spain) in late April 1998 (Ayala-Carcedo, 2004;  
85 Grimalt et al., 1999).

86 The Aznalcóllar accident was the second largest of the 59 major mine accidents in  
87 the world at that time (221 cases, according to Ayala-Carcedo, 2004) and the largest  
88 reported in Europe to the date of the accident (Nikolic et al., 2011). It had catastrophic  
89 ecological and socio-economic consequences, including the death of all fishes (about  
90 73,000 kg) and shellfishes in the water courses as well as many amphibians, birds, and  
91 mammals. In addition, the mine complex was closed, with the consequent loss of jobs  
92 (CMA, 2001, 2003a,b, 2008; Grimalt and Macpherson, 1999; Grimalt et al., 1999). The  
93 importance of this accident motivated a special issue of the journal *The Science of the*  
94 *Total Environment*, with 22 articles documenting the main environmental impacts  
95 (Grimalt and Macpherson, 1999). The subsequent restoration project is considered one  
96 of the few large-scale examples of soil reclamation using phytoremediation approaches  
97 (Domínguez et al., 2008). The contaminated and remediated area is a natural laboratory  
98 where a large number of studies have been conducted, contributing to the advancement  
99 of our understanding of the fate of TE in different ecosystem compartments, the  
100 effectiveness of different remediation approaches, and the evolution of ecosystems after

101 a major soil pollution episode. A search of the scientific literature yielded 435  
102 publications related to the Aznalcóllar accident (Figure 1), with 11,356 citations and an  
103 H index of 56, Grimalt et al. (1999) being the most cited paper (244 citations). The main  
104 categories of the publications were “Environmental Science” (61%), “Water Research”  
105 (11%), “Toxicology” (8%), and “Soil Science” (7%). Thus, this pollution episode and  
106 its subsequent management program can be considered one of the key references for  
107 researchers studying TE dynamics in terrestrial ecosystems.

108 Here, we present a literature review that incorporates the main results yielded by the  
109 work conducted in the affected area over the past 20 years after the mine accident,  
110 focusing on the soil-plant system. Additional information on the effect of the spill on  
111 the air, water, sediment, and fauna can be seen in Table A.1, including references  
112 relevant to these ecosystem compartments.

113 The implementation of robust monitoring programs is crucial to the remediation of  
114 contaminated environments (Peña-Fernández et al., 2014). We discuss here the main  
115 lessons learned during 20 years of monitoring and experimenting on the plant-soil  
116 relationships in this singular case study, as well as the gaps in our knowledge that still  
117 exist.

118

## 119 **2. The Aznalcóllar mining accident**

120 The Aznalcóllar accident was caused by the shear failure of the dam settlement, an  
121 over-consolidated marly clay formed during the Miocene (known as Guadalquivir blue  
122 marl), through a vertical joint and a bedding plane, provoked by a progressive failure  
123 process under high pore pressure (Ayala-Carcedo, 2004). Thus, the accident was caused  
124 by the excessive volume of waste deposited in the dam, which had been enlarged  
125 several times to increase its storage capacity. At the time of the accident the dam was

126 between 21 and 27 m high (Ayala-Carcedo, 2004; Gil-Toja et al., 2005; Grimalt et al.,  
127 1999). The spill affected 4286 ha of alluvial soils of the Agrio and Guadiamar river  
128 valleys, which were flooded by ca.  $6 \times 10^6$  m<sup>3</sup> of slurry composed of acidic water  
129 loaded with TE, finely divided metal sulfides, and materials used in the refining/floating  
130 process. A strip of 40 km long and 300 m wide, along both rivers, was covered by a  
131 layer of highly heterogeneous thickness (from <2 to >30 cm) of black sludge (Cabrera  
132 et al., 1999; López-Pamo et al., 1999) (Figure A.1).

133 An emergency soil clean-up procedure started shortly after the mine spill, whose cost  
134 amounted to almost 170 million euros (Arenas et al., 2003). This large-scale restoration  
135 plan included the purchase of the land by the Regional Government (formerly devoted  
136 to crops and pastures) and the design of a public nature reserve, acting as a ‘Green  
137 Corridor’ between the lowlands (Doñana National Park) and the mountains (Sierra  
138 Norte Natural Park). The affected and remediated area was declared as the protected  
139 landscape ‘Guadiamar Green Corridor’ (GGC) in 2003 (Arenas et al., 2003; CMA,  
140 2008).

141 During the clean-up operations about  $8 \times 10^6$  m<sup>3</sup> of sludge together with a variable  
142 layer of top soil (10-30 cm) were removed and disposed of in a mine open-pit. In the  
143 more accessible areas (former croplands), soil remediation was carried out by adding  
144 amendments - inorganic (calcium carbonate -rich amendments such as sugar beet lime)  
145 and organic (compost or manure) - followed by the afforestation of ca. 2700 ha with  
146 autochthonous species (Burgos et al., 2013; CMA, 2003b; Domínguez et al., 2008). In  
147 some sites, arsenic immobilization was performed by application of red soil (Antón-  
148 Pacheco et al., 2001). Despite these clean-up and remediation measures, soils of the  
149 affected zone continued to have trace-element concentrations higher than background  
150 values, and occasionally higher than values before restoration (Cabrera et al., 2008),

151 with a fairly irregular distribution. This was due to the heavy machinery used for sludge  
152 removal, and to the operations of liming, manuring, and harrowing that broke up and  
153 buried part of the sludge layer in the soil (Cabrera et al., 2008). Sixteen years after the  
154 accident soil and sediment pollution was still particularly high in the river banks and  
155 bed, where the limited accessibility made reclamation operations more difficult than in  
156 the floodplains (Domínguez et al., 2016a).

157

### 158 **3. Contaminant source: The slurry**

159 The characterization of the contaminant source is vital to the implementation of  
160 remediation programs (Wyke et al., 2014). In the Aznalcóllar mine accident the slurry  
161 was made up by a mixture of acidic water and sludge.

162         There was no information available on the composition of the acid water of the  
163 slurry. According to Cabrera (2000) its composition should have been very similar to  
164 that of the Guadiamar River 12 km downstream from the tailing dam a few hours after  
165 the accident: low pH (ca. 5) and high concentrations of TE (e.g., 0.27 mg L<sup>-1</sup> of As, 0.85  
166 mg L<sup>-1</sup> of Cd, 3.6 mg L<sup>-1</sup> of Pb and 463 mg L<sup>-1</sup> of Zn). In contrast, in the same place and  
167 a month later, the pH value was 6.6 and the concentrations of TE had decreased  
168 considerably (As 0.072 mg L<sup>-1</sup>, Cd 0.068 mg L<sup>-1</sup>, Zn 97.1 mg L<sup>-1</sup>). A year later, the  
169 water composition (e.g., pH 7.4; As 0.0016 mg L<sup>-1</sup>, Pb <0.01 mg L<sup>-1</sup>, Zn 0.127 mg L<sup>-1</sup>,  
170 Cabrera, 2000) was within the normal range of values found before the accident  
171 (Arambarri et al., 1996). The low pH and high TE concentrations of the acid waters  
172 immediately after the flood and near the dam contrasted with the higher pH (ranging  
173 between 6.15 and 9.42) and lower levels of contaminants of the toxic waters once they  
174 were channeled in the ‘Entremuros’ (meaning ‘between-dikes’) area, 40 km  
175 downstream from the dam (Garraón et al., 1999). These changes resulted from dilution

176 by the river water and TE precipitation. Even so, these channeled waters were  
177 chemically neutralized (Garralón et al., 1999) before flushing them into the  
178 Guadalquivir River. These high pH values in the 'Entremuros' waters were also  
179 reported by Alzaga et al. (1999) when studying the organic compounds in waters,  
180 sludge, and affected soils.

181 The pyritic sludge was characterized according to its sedimentological (Gallart et al.,  
182 1999), structural (Simón et al., 1999), mineralogical (López-Pamo et al., 1999; Sastre et  
183 al., 2004), and chemical properties (Alzaga et al., 1999; Cabrera et al., 1999; Doménech  
184 et al., 2002a; Galán et al., 2002; López-Pamo et al., 1999; Sastre et al., 2004; Simón et  
185 al., 1999; Vidal et al., 1999). Basically, the pyrite sludge was characterized by a fine  
186 grain composition: between 50 and 90% of the particles had a mean diameter ranging  
187 from 4.5 to 13  $\mu\text{m}$  (Alastuey et al., 1999; Gallart et al., 1999). This fine grain size was a  
188 great concern because of its potential impact on air quality during the soil clean-up  
189 operations, in which tonnes of soil were removed using heavy machinery (Alastuey et  
190 al., 1999; Querol et al., 1999).

191 The sludge was composed mainly of pyrite (68-78%, w/w) with minor proportions of  
192 sphalerite, chalcopyrite, galena, and arsenopyrite (heavy metal-bearing sulfides), as well  
193 as other minerals such as chlorite, quartz, calcite, and gypsum (Alastuey et al.,  
194 1999; López-Pamo et al., 1999). According to its mineralogical composition, the sludge  
195 had high concentrations of Fe and S (up to ca. 40%) and also high levels of potentially  
196 toxic TE such as Ag, As, Bi, Cd, Co, Cu, Hg, Pb, Sb, Se, Tl, and Zn (Alastuey et al.,  
197 1999; Cabrera et al., 1999; López-Pamo et al., 1999). Table 1 shows a complete analysis  
198 of the sludge chemical composition, comparing its concentrations of Fe, S, and TE with  
199 normal ranges in soils (Cabrera et al., 1999).



200 The partial oxidation of sulfides resulted in the formation of a white sulfate crust on  
201 the top of the sludge, after the evaporation of the interstitial fluids during the dry  
202 periods (Alastuey et al., 1999). This complex process - that involves hydration,  
203 oxidation, and hydrolysis reactions generating acidic conditions and solubilization of  
204 elements - affected not only the sludge but also the contaminated soils (Dorronsoro et  
205 al., 2002; García et al., 2009; Simón et al., 1999, 2001). Regarding to the mobility of  
206 these elements in the sludge, calcium showed the highest mobility (water-extractable  
207 levels), followed by Cd, Co, Cu, Mn, Ni, Pb, Sr, and Zn; by contrast, S, Fe, Ag, Sb, and  
208 Tl had relatively lower mobility and As, Cr, and V had the lowest mobility (Alastuey et  
209 al., 1999).

210

#### 211 **4. Concentrations of trace elements in the affected soils**

212 Soils were contaminated by both the TE dissolved in the acidic water and by the  
213 sludge rich in these elements. The acidic water penetrated throughout the soil profile  
214 and the TE reacted with soil components, becoming retained through different  
215 processes, while the sludge entered the soil through pores and cracks, also contributing  
216 to the increase in the total content of TE in the soils. The first studies on the soil  
217 contamination caused by the mine-spill were carried out before the clean-up operations;  
218 that is, before the sludge crust was mechanically removed (Alastuey et al., 1999;  
219 Cabrera et al., 1999; Díaz-Barrientos et al., 1999; López-Pamo et al., 1999; Simón et al.,  
220 1999; Vidal et al., 1999). During soil sampling the sludge crust covering the soil was  
221 carefully removed and collected for analysis; then, soil samples were taken at different  
222 depths (0-5, 5-10, 10-15, 15-20, and 20-50 cm). Thus, the contamination detected in  
223 these studies mainly resulted from the large amounts of TE dissolved in the acidic  
224 waters of the slurry entering the soils (especially Cd, Cu, and Zn; Simón et al., 1999)

225 and from the particulate fraction of the slurry that could have penetrated through  
226 fissures and cracks of the soils.

227 At this initial stage the soils were contaminated mainly by Ag, As, Au, Bi, Cd, Cu,  
228 Hg, Pb, Sb, Tl, and Zn (Alastuey et al., 1999; Cabrera et al., 1999; Simón et al., 1999).  
229 Table 2 shows the total TE values in affected and unaffected soils in 1998, just after the  
230 mine accident (Cabrera et al., 1999). There was a significant effect of soil texture on TE  
231 mobility. The concentrations of TE below 20-cm depth decreased in soils with a clay  
232 content greater than 25% to values close to the background levels of the Guadiamar  
233 valley soils (Cabrera et al., 1999). In contrast, TE contamination penetrated below this  
234 depth in coarser soils (located mainly at the Northern section of the Guadiamar Valley),  
235 being noticeable down to at least 50-80 cm.

236 Paradoxically, concentration levels of TE increased in the soil after the remediation  
237 operations, which was attributed to the mixing and burying of the remains of the sludge  
238 layer (left on the soil surface) by the heavy machinery used for sludge removal, liming,  
239 and manuring (Cabrera et al., 2005, 2008; Nagel et al., 2003; Simón et al., 2008a). The  
240 amount of sludge buried in this way reached a maximum of 5% (w/w) in the first  
241 centimeter of the soil (Domènech et al., 2002b). In consequence, the soils affected by  
242 the mine spill continued to exhibit a high concentration of TE several years after the  
243 accident, when compared to the background values of the Guadiamar valley soils (see  
244 results for 4, 7, or 16 years after in Cabrera et al., 2008 and Domínguez et al., 2008,  
245 2016a) (Table 2). One of the main consequences of the sludge deposition was the strong  
246 acidification caused by the sulfide oxidation, with weathering of primary minerals and  
247 carbonates in calcareous soils. This process enhanced the potential leaching of TE,  
248 especially in acid soils, a circumstance requiring a rapid intervention to neutralize the

249 acidity (Martín et al., 2007; Ordóñez et al., 2007; Simón et al., 2002, 2005a,b; Vázquez  
250 et al., 2011).

251 After the sludge removal and soil remediation (amendments addition and  
252 afforestation), different surveys have monitored the evolution of soil contamination over  
253 the years, using a range of techniques. Although most of the TE analyses were  
254 performed by wet digestion (triacid digestion, aqua regia, etc.), some authors used  
255 alternative techniques such as by Portable X-ray Fluorescence (PXRF), near-infrared  
256 spectroscopy (NIRS), multitemporal imaging spectroscopy, and spectral mixture  
257 analysis (Romero-Freire et al., 2016; Font et al., 2005; Kemper and Sommer, 2002;  
258 Kemper et al., 2005), obtaining similar results for the TE concentrations. These studies  
259 highlighted the irregular distribution of the residual contamination along the GGC (e.g.,  
260 Aguilar et al., 2004a; Burgos et al., 2006; Cabrera et al., 2008; Domínguez et al., 2016a;  
261 Galán et al., 2002; Kemper and Sommer, 2002; Kraus and Wiegand, 2006; Martín et al.,  
262 2004, 2008; Martín-Peinado et al., 2015; Simón et al., 2007, 2008b; Vanderlinden et al.,  
263 2006a, among others). In addition, the area has been monitored using Geographical  
264 Information Systems and Remote Sensing to evaluate the affected surface (López-Pamo  
265 et al., 1999; Moreira Madueño et al., 2005).

266 The total As concentration in the contaminated soils was frequently greater than the  
267 intervention value established for agricultural Andalusian soils (Galán et al., 2002),  
268 indicating (according to the definition of these intervention values) an impairment of the  
269 functional properties of the soil, and a level of contamination above which a serious  
270 case of soil contamination is deemed to exist, thus needing soil reclamation. In contrast,  
271 the levels of other potentially toxic elements, such as total Cd and Hg, were below the  
272 maximum acceptable concentrations (according to Aguilar et al., 1999), that is, within  
273 the range between no observable adverse effect level and the lowest observable adverse

274 effect level. Nevertheless, as discussed below, (available) Cd is currently the most  
275 worrying element in the area, due to its high mobility (Pérez de Mora et al., 2010),  
276 while elements such as As, Cu, Pb, and Tl present a comparatively low mobility and are  
277 less taken up by plants (Domínguez et al., 2008; Martín et al., 2004).

278 Mercury was only occasionally measured in the contaminated soils, despite being an  
279 important component of the sludge with a potentially high toxicity. Cabrera et al. (2008)  
280 reported that the Hg content was 6.6 times greater in the affected soils (0-20 cm) than in  
281 unaffected soils (three years after the accident), although with a very irregular  
282 distribution ( $0.404 \pm 0.420 \text{ mg kg}^{-1}$ , mean value  $\pm$  SD). In general, this level is rather  
283 similar to those reported by Galán et al. (2002) and Kemper and Sommer (2002).

284 Mercury and other less mobile elements, such as As and Pb, reached their highest  
285 values (greatest contamination) close to the tailing dam (in the first 5-8 km away from  
286 the mine; Cabrera et al., 2008; Vanderlinden et al., 2006b). Martín-Peinado et al. (2015)  
287 estimated that, within the first 18 km away from the mine, a irregular pattern of spots  
288 with bare soils covered about 7% of the total affected area, despite the remediation;  
289 these spots had acidic soils with remnants of sludge. In contrast, beyond 18 km from the  
290 mine, the soils were predominantly neutral or alkaline and the bare area decreased to  
291 0.4%. The potential toxicity of these bare soils has been evaluated by using different  
292 toxicity bio-assays (Escoto Valerio et al., 2007; García-Carmona et al., 2017; Romero-  
293 Freire et al., 2016), which showed the extremely adverse conditions for plant growth  
294 and soil fauna that prevail in these spots. García-Carmona et al. (2017) recommended  
295 mixing the remediated and bare soils to reduce the pollutant burden of these spots.

296 The river beds and banks are hotspots of residual contamination (Domínguez et al.,  
297 2016a; Galán et al., 2002). Concentrations up to  $3000 \text{ mg kg}^{-1}$  of Pb,  $650 \text{ mg kg}^{-1}$  of As,  
298 and  $9 \text{ mg kg}^{-1}$  of Cd were detected in the river banks 16 years after remediation, in the

299 survey by Domínguez et al. (2016a). This study highlighted that the solubility of the  
300 most mobile TE (Cd and Zn) was different across the river section, these TE being more  
301 soluble in the river channel and banks than in the floodplain. This study also found  
302 evidence of a redistribution of Cd and Zn along the basin, while As and Pb remained at  
303 shorter distances from the mine. The authors concluded that application of new  
304 remediation techniques in river banks should be a priority for land managers, to prevent  
305 a further redistribution of Cd and Zn downstream. The conservation status of riparian  
306 ecosystems in the Guadiamar basin was already bad before the accident, but the mine  
307 spill made it worse (Arribas et al., 2005).

308 Taking together all the recent surveys in the area, it can be concluded that, in general,  
309 the residual contamination in the Guadiamar floodplain does not necessary imply a  
310 serious risk for the native biota, given its relative immobilization within the soil matrix;  
311 however, the particular situation of the polluted bare spots and river banks should be  
312 remediated. According to Adriano (2001), “pollution” only exists when harmful effects  
313 on the ecosystem are detected (see sections below).

314 Clearly, this favorable evolution of soil contamination in the floodplain was possible  
315 due to the sludge removal and remediation works, as shown by the study and  
316 monitoring of a “control” plot of 2000 m<sup>2</sup> - where sludge was not removed, soils were  
317 not cleaned, and amendments were not applied. The sludge-covered soil in this plot was  
318 analyzed at different depths and at different times after the accident: one month (at 0-  
319 125 mm; Dorronsoro et al., 2002), three years (0-570 mm; García et al., 2009), seven  
320 years (0-60 cm; Álvarez-Ayuso et al., 2008), and 12 years (0-100 cm; Burgos et al.,  
321 2013). These studies showed that the progressive oxidation and hydrolysis of sulfides in  
322 the deposited sludge resulted in a strong acidification and weathering of carbonates, re-  
323 dissolution of the most mobile elements (Cd, Cu, and Zn), and their penetration into

324 deeper layers (Dorronsoro et al., 2002; García et al., 2009) - similar to the situation  
325 observed in the bare soils close to the mine and in the river banks (Domínguez et al.,  
326 2016a; Martín-Peinado et al., 2015). In contrast, consistent leaching of the most mobile  
327 elements was not observed in the remediated, adjacent soils, separated from the sludge-  
328 covered plot by only a few meters. With time, an increase in the pH of the topsoil (from  
329 pH ca. 3, seven years after the accident, to pH ca. 6, 12 years later) has been observed in  
330 this non-remediated plot, possibly facilitated by its progressive colonization by natural  
331 vegetation (as discussed in Burgos et al., 2013). Despite this natural remediation by  
332 plants, these results demonstrate that sludge removal and soil remediation were  
333 necessary to avoid the leaching of the most mobile elements and to minimize the risk of  
334 contamination of groundwater sources, close to the Doñana National Park (Burgos et  
335 al., 2013).

336

### 337 **5. Soil remediation by amendments addition**

338 Remediation of the affected soils was carried out by assisted natural remediation  
339 (ANR), a practical approach to accelerate natural remediation (NR). The latter refers to  
340 a variety of processes that take place naturally in the soil (sorption, precipitation,  
341 complexation) and reduce the mobility and bioavailability of TE. The ANR technique  
342 consists of the enhancement of those processes that stabilize TE in the soil by the use of  
343 inorganic or organic amendments. This *in situ* immobilization technique that reduces  
344 TE mobility and availability is less expensive and less disruptive to the natural  
345 environment than conventional excavation and disposal methods (Adriano et al., 2004;  
346 Xiong et al., 2015).

347 There is no consensus for standardized methods to estimate the mobility of TE in soil  
348 (often referred as availability of TE for soil organisms; Kabata-Pendias, 2004); in fact,

349 various solutions (i.e.: acids, neutral salts, chelating agents, and others) are used for TE  
350 extractions (Kabata-Pendias, 2004). In the Guadamar case study, solutions of  $\text{CaCl}_2$ ,  
351  $\text{NH}_4\text{NO}_3$ ,  $\text{CH}_3\text{COOH}$ , and chelating agents such as DTPA and EDTA have been used to  
352 assess bioavailable TE concentrations (Murillo et al., 1999; Nagel, 2003; Vidal et al.,  
353 1999). As mentioned before, Cd was found to be the most mobile element in the  
354 affected soils. Zinc and, to a lesser degree, Cu also showed a potentially high mobility  
355 (especially in acidic scenarios), while elements such as As, Bi, Pb, and Tl had a very  
356 low mobility (Vidal et al., 1999).

357 Clemente et al. (2015) reviewed the suitability of different amendments with respect  
358 to the restoration of soils contaminated by TE. However, detailed information about  
359 amendments actually applied on a large scale in the contaminated soils (after the sludge  
360 removal) is very scarce. Three types were used: calcic amendments (sugar-beet lime in  
361 particular) for acid soils (at rates of 20, 30, or 50 t ha<sup>-1</sup> depending on the soil acidity),  
362 iron oxide-rich red soil where the As concentration was high (at rates of 500, 700, or  
363 900 t ha<sup>-1</sup> depending on the As level), and different organic matter-rich composts to  
364 recover soil fertility, but without any dose specification (Antón-Pacheco et al., 2001).  
365 According to Cabrera et al. (2005; 2008), the organic amendments were added at a rate  
366 of 15-25 t ha<sup>-1</sup>. The availability of TE (estimated by extraction with 0.05 M EDTA)  
367 decreased in amended soils, although it was still much higher than in non-affected soils  
368 (data from December 2001) (Madejón et al., 2006b) (Figure 2).

369 More specific information about the efficacy of amendments was provided by a field  
370 experiment performed at the “El Vicario” study site (from 2002 to 2016), inside the  
371 GGC (Madejón et al., 2006a, 2009a, 2010a; Xiong et al., 2015). In addition,  
372 experiments with contaminated soils and amendments were carried out in containers,  
373 pots, and ‘microcosms’ (Burgos et al., 2010; Ciadamidaro et al., 2016, 2017; Montiel-

374 Rozas et al., 2016a; Pérez de Mora et al., 2005, 2007a). In these experiments several  
375 organic amendments were used: leonardite (LEO), litter (LIT), municipal waste  
376 compost (MWC), biosolid compost (BC), ‘alperujo’ compost (AC), and cow manure  
377 (CM). Inorganic amendments tested included lime and sugar beet lime (SL), sometimes  
378 in combination with organic materials (e.g., LEO + SL), and other types of materials,  
379 such as red soil, iron oxides, zeolites, and bentonites (Aguilar et al., 2007; Cama et al.,  
380 2005; Querol et al., 2006). The amendments were applied at different rates, with single  
381 or repeated applications and varying experimental time lengths. In general, the  
382 amendments increased the soil pH and organic carbon content, while reducing soluble  
383 TE concentrations in the soil and TE concentrations in plants (Burgos et al., 2008;  
384 Madejón et al., 2006a, 2009a; Pérez de Mora et al., 2011; Walker et al., 2004; Xiong et  
385 al., 2015).

386 Under field conditions, lime and SL were especially effective at increasing pH in the  
387 heavily polluted soils (from values as low as 2.5 to near neutrality), which facilitated the  
388 establishment of a noticeable plant cover (Cama et al., 2005; Clemente et al., 2003,  
389 2006; Madejón et al., 2006a; Pérez de Mora et al., 2006 a,b, 2011; Xiong et al., 2015).  
390 Liming in combination with other amendments, such as soils rich in iron oxides and  
391 clay, was a suitable treatment for loams and sandy loam soils, with high gravel content  
392 (Aguilar et al., 2004b). Nevertheless, Simón et al. (2010) pointed out that liming should  
393 be properly controlled because “excessively high pH” could limit As immobilization  
394 and, at the same time, the effectiveness of  $\text{CaCO}_3$  could be limited by the precipitation  
395 of gypsum and iron hydroxysulfates on the carbonate surface, reducing its capacity to  
396 react with the acidic solution.

397 Among the organic amendments, LEO was found to be an excellent material for  
398 long-term carbon sequestration in contaminated lands because of its molecular



399 composition, rich in relatively stable aromatic and lignin-derived compounds (Montiel-  
400 Rozas et al., 2016b).

401 The incorporation of organic amendments was also effective at reducing the leaching  
402 of more mobile TE, such as Cd, Cu, and Zn (by 40–70% in comparison to untreated  
403 soils) (Pérez de Mora et al., 2007a). Organic amendment application reduced the  
404 exudation of low-molecular-mass organic acids from the roots of some herbs (Montiel-  
405 Rozas et al., 2016a). However, application of organic amendments should be made with  
406 caution, given that the increase in soil organic matter could enhance the availability of  
407 certain elements, such as Sb, to plants (Nakamaru and Martín-Peinado, 2017).

408 The Cu-binding capacity of BC and LEO was studied by Soler-Rovira et al. (2010).  
409 Despite the fact that the pH of the soil-amendment system is the most important  
410 chemical property governing Cu availability in amended soils, soil organic matter and  
411 the humic acid (HA) fraction may also be important factors. In particular, binding sites  
412 formed by N-, S-, and O-containing acidic functional moieties in HAs may play an  
413 important role in Cu (II) behavior. Binding sites involving N may exert a relatively  
414 more marked influence than those involving S and O in soils amended with BC, while  
415 sulfurated and oxygenated acidic sites may be relatively more important in soils  
416 amended with LEO.

417 Other studies have evaluated the response of afforested wild olive saplings (*Olea*  
418 *europaea* var. *sylvestris*) to a fulvic acid-rich amendment five years after the accident.  
419 Although fulvic acids are known to increase the availability of TE in soil, this organic  
420 amendment did not lead to the accumulation of a phytotoxic concentration of any TE in  
421 any plant tissue (Murillo et al., 2005)

422 The long-term monitoring of the experimental site, where treatments with different  
423 application periodicity were tested, revealed that the necessity for re-treatment of the

424 contaminated soil was amendment- and element-dependent (Madejón et al., 2010a;  
425 Pérez de Mora et al., 2011). In the long-term, SL-amended soil showed the highest pH  
426 after one or successive applications (at a rate of 30 Mg ha<sup>-1</sup> year<sup>-1</sup>), the TE availability  
427 diminishing over time. Subsequent applications of amendments only caused consistent  
428 beneficial effects in the soils treated with organic amendments (Xiong et al., 2015). The  
429 results of the long-term experiment suggested that the durability and sustainability of  
430 amendment incorporations were high, the effects of the amendments being noticeable  
431 more than 12 years after application. At the same time, TE solubility decreased in the  
432 unamended plots, a clear sign of NR (Xiong et al., 2015) (Figure 3).

433 Soil remediation in the GGC led also to a consistent recovery of biochemical  
434 functionality, measured as soil enzyme activities and general microbiological rates  
435 (Carreira et al., 2008; Hinojosa et al., 2004 a,b; Pérez de Mora et al., 2005, 2006c).  
436 Enzyme activities were strongly inhibited in the most polluted areas (Carreira et al.,  
437 2008; Hinojosa et al., 2004 a,b). By contrast, remediated soils reached higher enzyme  
438 activities than non remediated areas, with urease and β-glucosidase showing the greatest  
439 discrimination between degrees of contamination. In a laboratory study, Hinojosa et al.  
440 (2008) reported that arylsulfatase (that hydrolyzes aryl sulfates) was the soil enzyme  
441 with the highest sensitivity to the presence of sludge. After amendment with lime, acid  
442 and alkaline phosphatases exhibited the highest percentage of recovery and β-  
443 glucosidase the lowest (Hinojosa et al., 2008). In general, the use of amendments and/or  
444 a plant cover improved the biological status of the soils, increasing microbial biomass  
445 carbon, enzyme activities, and the maximum rate of glucose mineralization, especially  
446 in organically amended soils (Pérez de Mora et al., 2005). However, the differences in  
447 enzyme activities among treatments (type -MWC, BC, LIT, LEO and SL-) and dose of  
448 amendment) varied, depending on the activity studied. For most treatments, the

449 dehydrogenase and aryl-sulfatase activities (sensitive indicators of heavy metal toxicity)  
450 showed the greatest responses to amendment application. At the same time, the  
451 structural diversity (measured by ARDRA; amplified ribosomal DNA restriction  
452 analysis) of bacterial and fungal communities changed under the different treatments  
453 (Pérez de Mora et al., 2006c), linked to the distinct nature of the amendments employed  
454 and the development of a plant root system.

455 In the case of fungi, Montiel-Rozas et al. (2016c) studied the effects of both  
456 contamination and amendments application on mycorrhization processes. The  
457 arbuscular mycorrhizal (AM) community associated with the studied plants (*Lamarckia*  
458 *aurea* and *Chrysanthemum coronarium*) under field conditions responded to different  
459 levels of contamination as a result of the amendments application (Montiel-Rozas et al.,  
460 2016c). Somewhat contrasting results were reported by the same authors for other plants  
461 (*Poa annua*, *Medicago polymorpha*, and *Malva sylvestris*) and other experimental  
462 conditions (a medium-term experiment in pots). In this case, soil contamination had a  
463 secondary role with regard to structuring the fungal communities, while the initial  
464 content of soil total organic matter was the main factor affecting the AM community  
465 (Montiel-Rozas et al., 2017).

466 In the long-term experiment, vegetative colonization was clearly enhanced by  
467 amendments, although some plants also colonized the non-amended (control) plots at  
468 slower rates (Xiong et al., 2015). All these results showed that ANR using amendments  
469 had potential for success on a field scale, reducing TE availability in soils and therefore  
470 their entry into the food web. Nonetheless, it is important to remark that high quality by-  
471 products should be employed as amendments to minimize TE input into soil (Madejón  
472 et al., 2006a; Pérez de Mora et al., 2007b).

473 Other studies have evaluated the response of *Paulownia fortunei* to amendment  
474 application, using contaminated soils from the GGC. The plantation of these fast-  
475 growing trees with industrial use (for cellulose or biofuel production) could be a  
476 profitable alternative to the ANR approach. A general improvement in soil biochemical  
477 quality was detected when organic amendments were applied to the containers  
478 containing soil from the GGC, in which saplings were grown, in comparison to non-  
479 amended soils. This tree species was considered adequate to improve the economic  
480 balance of the revegetation of TE-contaminated soils (Madejón et al., 2014, 2016; see  
481 also section 7 on afforestation).

482

## 483 **6. Trace element contamination effects on crops and wild herbs**

484 Plants in the Guadiamar Valley have been exposed to TE since the Roman times  
485 (about 2,000 years ago), when exploitation of polymetallic sulfides in the area started. A  
486 few years before the accident, Cabrera et al. (1987) reported high concentrations (mean  
487 values in  $\text{mg kg}^{-1}$ ) of Fe (1950), Cu (45), Pb (51), and Zn (272) in *Mentha rotundifolia*  
488 and of Pb (8.5) and Zn (202) in *Typha latifolia* plants collected close to the Aznalcóllar  
489 mine; all these values were greater than those in plants of the same species growing in  
490 adjacent areas, not influenced by the mining activities. After the mine accident, there  
491 was a priority interest in assessing the concentrations of potentially toxic elements in  
492 plant leaves, fruits, and seeds, and in evaluating the consequences for the food web. All  
493 presented plant concentrations are based on dry weight basis.

494

### 495 *6.1. Contamination effects on crops*

496 The accident affected the riparian and dry forests, and also crops and orchards along  
497 the flooded area. The first results obtained for spill-affected plants were reported by

498 Murillo et al. (1999), who analyzed sunflower and sorghum crops that had been directly  
499 affected by the spill; samples were taken two months after the accident - thus, before the  
500 remediation operations. Although most plants near the river channel were washed away  
501 or killed by the spill, many others, more distant from the river, had their stem bases  
502 submerged into the sludge and continued to grow and to produce seeds. Unexpectedly,  
503 these crops grew better than those not affected by the spill, and had higher nutrient  
504 concentrations in aerial tissues (K, Ca, and Mg in the case of sunflower, and N and K in  
505 the case of sorghum) - indicating a certain 'fertilizing' effect of the flood (by  
506 mobilization of nutrients from the soil), despite its potential toxicity. In general, none of  
507 the TE studied, in both crops, reached levels that were phytotoxic or toxic for humans or  
508 livestock.

509 The use of plants to remove contaminants from the soil is termed phytoextraction.  
510 After the remediation operations, different crops were tested for phytoextraction  
511 purposes, in particular those that hyper-accumulate TE, like species belonging to the  
512 Brassicaceae. The species assayed - such as *Brassica napus*, *B. carinata*, and *B. juncea* -  
513 showed certain capacity for extracting metals, especially Zn and Tl (Clemente et al.,  
514 2005; Del Río et al., 2000, 2005; Soriano and Fereres, 2003); however, the total crop  
515 uptake was moderate, casting doubts on the feasibility of using these crops for the  
516 decontamination of the area (Bernal et al., 2005).

517 Trace element accumulation in the leaves and seeds of other crops, such as barley  
518 and triticale (Soriano and Fereres, 2003) and sunflower (Madejón et al., 2003), was  
519 even lower than in *Brassica* species. Sunflower was considered as an adequate crop for  
520 phytoremediation when using EDTA to enhance TE extraction (Alcántara et al., 2005);  
521 however, this practice is questionable because this chelator is both toxic and non-  
522 biodegradable (Wu et al., 2010).

523 An alternative technique is to use plants to immobilize contaminants in the soil, an  
524 approach termed phytostabilization. White lupin (*Lupinus albus*) showed high retention  
525 of heavy metals in its root nodules and a capacity to increase soil pH, thus it was  
526 considered adequate for phytostabilization; on the other hand, it was disesteemed for  
527 phytoextraction purposes (Vázquez et al., 2006). Trace element concentrations in white  
528 lupin tissues were increased by using different chelators, NTA (nitrilotriacetic acid)  
529 being particularly effective; however, a parallel increase in TE in soil leachates, above  
530 the maximum permissible levels (USEPA, 1997), was also recorded (Peñalosa et al.,  
531 2007), questioning the environmental feasibility of this technique.

532 Considering the low TE extraction achieved by most crops and its questionable  
533 viability for large areas (Van der Lelie et al., 2001), phytoextraction was finally  
534 discarded as a management approach. However, Robinson et al. (2003) suggested that  
535 afforestation, with a 30-year rotation and wood harvesting, could contribute to long-  
536 term phytoextraction of TE and be profitable. Eventually, the regional administration  
537 established the aforementioned Green Corridor, where agricultural activity was  
538 forbidden.

539

#### 540 6.2. Contamination effects on wild herbs

541 The establishment of an herbaceous vegetation cover, based on autochthonous  
542 species well adapted to the local conditions, contributes to the sustainable  
543 phytostabilization of polluted lands. However, continuous monitoring of TE uptake by  
544 the herbaceous plants is needed in order to regulate and avoid (as much as possible) the  
545 transfer of potentially toxic elements along the terrestrial food web.

546 In one of the first surveys after the accident and clean-up operations, del Río et al.  
547 (2002) analyzed 99 species of 29 families for As and heavy metals. Among these

548 species, they found remarkably high concentrations of TE in *Amaranthus blitoides*  
549 (*Amaranthaceae*), with up to 120 mg kg<sup>-1</sup> of As, 152 mg kg<sup>-1</sup> of Cu, 450 mg kg<sup>-1</sup> of Pb,  
550 and 1138 mg kg<sup>-1</sup> of Zn, as well as high Cd concentrations (up to 9.7 mg kg<sup>-1</sup>) in  
551 *Chamaemelum fuscatum* (*Asteraceae*). Alcántara et al. (2005) also highlighted  
552 comparatively high TE accumulation in some wild plant species collected in 1999. The  
553 most representative families were *Asteraceae* (22.4%), *Poaceae* (11.3%), *Polygonaceae*  
554 (7.0%), *Brassicaceae* (6.0%), *Fabaceae* (5.6%), *Chenopodiaceae* (4.5%), and  
555 *Cyperaceae* (2.3%). In these surveys the TE concentrations in many plant species were  
556 above the critical levels of toxicity for livestock and other herbivores, which justified  
557 the maintenance of the monitoring of TE in wild plants in the polluted area.

558 Madejón et al. (2002) monitored two common wild grasses, *Cynodon dactylon* and  
559 *Sorghum halepense*, 18 months after the accident, comparing plants growing on sludge-  
560 covered soil, on remediated soil, and on adjacent, non-affected soils. The results showed  
561 that on the sludge-covered plot some TE reached levels that could be toxic in the food  
562 web, while on the remediated soils only Cd reached levels in the grass tissues that could  
563 be considered of concern. For this reason, the TE in *C. dactylon* were determined two  
564 years later (2000 and 2001; Madejón et al., 2006b): with the exception of Cd, the TE  
565 concentrations in plant tissues decreased with time, being almost similar in sludge-  
566 covered plots and in non-affected soils. The aging of most TE in soils (Kirby et al.,  
567 2012; Ma et al., 2006) was further corroborated by the plant surveys carried out during  
568 2007-2009 along the GGC, which showed that the residual contamination of the  
569 affected area did not have a negative effect on pasture TE accumulation or growth. The  
570 addition of amendments also affected the herbaceous plant cover and composition by,  
571 especially, changing soil pH (Burgos et al., 2008; Madejón et al., 2006a; Pérez de Mora  
572 et al., 2006a,b, 2007a,b, 2011). In a later study, carried out 11 years after the accident,

573 in the sludge-covered plot, species such as *Poa annua*, *Medicago truncatula*, and  
574 *Plantago lagopus* exhibited TE concentrations that were normal for higher plants and  
575 tolerable for livestock (Burgos et al., 2013), confirming the process of long-term metal  
576 aging, even in the more polluted sites of the GGC. However, other plant species -  
577 especially those of the Brassicaceae, Asteraceae, and Plantaginaceae families - still had  
578 comparatively high TE concentrations. Madejón et al. (2005a, 2007) reported that the  
579 concentrations of Tl (and other TE) in *Diplotaxis catholica*, *Hirschfeldia incana*,  
580 *Plantago lanceolata*, and *Raphanus raphanistrum* were above the normal levels in  
581 plants (according to Kabata-Pendias, 2011).

582 The management of the afforested area included control of the herbaceous cover, to  
583 reduce competition and the fire risk. Mechanical control is expensive, may affect  
584 biodiversity, and generates greenhouse gas emissions. An alternative means of control  
585 was implemented, namely eco-grazing using horses, with the condition that their meat  
586 was not consumed (Madejón et al., 2009b). The concentrations of TE in the pastures  
587 (based on grasses and legume species) were tolerable for the grazing horses (NRC,  
588 2005), whose nutrient requirements were covered (Madejón et al., 2010b). Only the  
589 relatively high Cd concentration could be somewhat excessive for more sensitive  
590 animals consuming a diet based on Cd-rich members of the Asteraceae and other plant  
591 families (Madejón et al., 2012a). In general, it stood out that, regardless of the pasture  
592 composition, grazing should be avoided on regenerating pastures in autumn, due to the  
593 comparatively greater concentrations of TE in the herbage and the soil adhering to it.  
594 Horses usually feed closer to the ground, using shorter grasses than other animals  
595 (Menard et al., 2002).

596 Wild herbaceous plants are also grazed by terrestrial snails, which are harvested  
597 locally for human consumption and thus represent a potential health risk. Madejón et al.



598 (2013a) found TE concentrations in the snail bodies that were of concern for human  
599 consumption; therefore, it was advisable to avoid collecting them for human  
600 consumption in the GGC.

601 Biofuel production is another alternative use for contaminated soils. Different thistle  
602 species, growing spontaneously in the GGC, have been evaluated for biomass  
603 production for energy purposes (Domínguez et al., 2017). Among them, *Silybum*  
604 *marianum* showed by far the highest production of biomass, being able to colonize  
605 highly contaminated sites. In general, the TE accumulation in thistle species was lower  
606 than the upper limit of normal levels in plants (according to Chaney, 1989). As an  
607 exception, *S. marianum* accumulated high levels of Cd, but this did not affect its  
608 calorific value.

609 Besides the terrestrial vegetation, the wetland vegetation was also severely impacted  
610 by the spill that contaminated wetland ecosystems with the acid waters. As in the  
611 terrestrial plants, the analysis of the aquatic macrophyte *Scirpus maritimus* also showed  
612 a progressive decrease in the uptake of TE by vegetation over time. Two months after  
613 the accident (samples of 1998) Meharg et al. (1999) reported a mean Zn concentration  
614 of 1390 mg kg<sup>-1</sup> in *S. maritimus* tubers, with an extreme value of 3145 mg kg<sup>-1</sup>, while  
615 two years later (samples of 2000) Madejón et al. (2006c) reported a maximum of 180  
616 mg kg<sup>-1</sup>. The Cd accumulation in the tubers also decreased, from maximum values of  
617 18.2 mg kg<sup>-1</sup> in 1998 (Meharg et al., 1999) to 0.9 mg kg<sup>-1</sup> two years later (Madejón et  
618 al., 2006c). The accumulation of potentially toxic elements in *S. maritimus* tubers was  
619 of great concern because they are massively consumed by Greylag geese (*Anser anser*)  
620 wintering at Doñana National Park. A consistent temporal reduction in the Cd and Zn  
621 concentrations in *Typha dominguensis* and *S. maritimus* was also reported by Pain et al.  
622 (2003). In contrast to Cd and Zn, the As concentrations in the tubers were consistently

623 low, even in the first studies. The high concentration of As in the mine sludge (up to  
624 4000 mg kg<sup>-1</sup>; Cabrera et al., 1999) caused some social alarm due to its known toxicity.  
625 However, the acid waters entering the channeled 'Entremuros' site contained relatively  
626 little As in solution (Pain et al., 1998), although some As could have reached this area in  
627 As-rich suspended particulates (Taggart et al., 2005, 2009). The As level reported by  
628 Madejón et al. (2006c) in the *Scirpus* tubers, about 1.0 mg kg<sup>-1</sup> in 2000, was very  
629 similar to the levels recorded two years earlier (1.1 mg kg<sup>-1</sup> in 1998; Taggart et al.,  
630 2005).

631 Taking all these studies in wild herbaceous species into account (Table A.2), it could  
632 be concluded that a general trend of a decrease in TE accumulation over the years has  
633 been observed. However, different plant species have different TE accumulation  
634 patterns and different responses to TE availability in soils (Baker, 1981); thus,  
635 continuous monitoring of TE in plants along the GGC is advisable, to detect any  
636 potential risk for the terrestrial food web.

637

## 638 **7. Afforestation of remediated soils**

639 After the cleaning-up of the sludge and soil remediation, the subsequent afforestation  
640 program included the planting of more than 20 species of native shrubs and trees in the  
641 affected area (Domínguez et al., 2008). For the tree-soil relationships, different research  
642 stages consisted of the evaluation of: the growth and survival of the planted saplings,  
643 TE accumulation in plants and their use as biomonitors of soil contamination, and the  
644 effects of trees on soils and their potential for phytoremediation.

645

646 7.1. Contamination effects on tree growth and survival

647 The performance of the plantations (growth and survival rates, plant nutrient status)  
648 was monitored for 3-5 years after afforestation. In addition to soil contamination,  
649 planted individuals had to resist summer drought, high irradiance, the alteration of soil  
650 structure, and competition from herbaceous plants, which resulted in very low survival  
651 rates of tree saplings in some highly altered sites (Domínguez et al., 2010a). The  
652 survival rate differed among the seven woody species monitored. Holm oak (*Quercus*  
653 *ilex* subsp. *ballota*) saplings were more sensitive to drought than those of other  
654 sclerophyllous tree species, such as wild olive (*Olea europaea* var. *sylvestris*); the holm  
655 oak saplings planted in dry terraces suffered high mortality during the first years after  
656 planting. Greenhouse experiments showed a relative tolerance of holm oak (in terms of  
657 survival) to increasing concentrations of Cd by a nutritive solution with up to 200 mg L<sup>-1</sup>  
658 <sup>1</sup>, although this exposure affected root mass, length, and diameter (Domínguez et al.,  
659 2009). Therefore, the combination of impaired root growth and high sensitivity to  
660 drought probably provoked the observed mortality of oak seedlings in the field.

661 In order to propose alternative planting schemes to improve holm oak establishment,  
662 some strategies designed to mitigate abiotic stress during the summer were tested in the  
663 area. Facilitation using nurse shrubs, such as *Retama sphaerocarpa*, was proven to be  
664 very effective at decreasing oak seedling mortality over the dry season (Domínguez et  
665 al., 2015). The shade provided by the nurse shrub protected plants from the damaging  
666 effects of high irradiance, extreme temperatures, and water loss during the summertime.  
667 Also, seedling survival rates were slightly higher under a pioneer shrub (*R.*  
668 *sphaerocarpa*) than under a late successional shrub (*Phillyrea angustifolia*) or tree  
669 (*Olea europaea*). Possibly, seedlings growing under *R. sphaerocarpa* might benefit  
670 from the higher light availability, in comparison to the conditions under the canopy of

671 the late successional shrubs/trees. The fact that the nurse effect of *Retama* shrubs was  
672 due mainly to the amelioration of extreme microclimatic conditions, and not because of  
673 lower TE mobility or higher microbial activity underneath the shrub cover, was  
674 confirmed in a further study by Domínguez et al. (2016b).

675 Although other abiotic stresses (high irradiance and drought) might be more critical  
676 to the survival of the plantations than TE contamination, the soil chemical conditions  
677 imposed by the mining spill had an important role in the nutritional status of the  
678 plantations. In some sites, intensive acidification of the soil took place, due to the  
679 oxidation of the sulfides deposited onto the soil (Domínguez et al., 2016a). Low soil pH  
680 resulted in nutrient deficiencies in afforested oak and wild olive saplings, in particular  
681 of P - as indicated by a high N:P ratio (Domínguez et al., 2010b). The previously  
682 mentioned facilitation experiment also showed that the oak seedlings that emerged in  
683 more acidic soils were less likely to survive the dry season than those that emerged in  
684 neutral soils (Domínguez et al., 2015).

685

## 686 *7.2. Trace elements accumulation and biomonitoring of soil contamination*

687 A main objective of the first studies after the mine accident was to evaluate the  
688 toxicity risks for the food web derived from the accumulation of TE in the leaves and  
689 fruits of the surviving adult trees. Monitoring of two tree species typical of the  
690 Mediterranean forests in the area, wild olive and holm oak, showed comparatively low  
691 values of the most toxic elements (As, Cd, Pb, and Tl) in their leaves and fruits  
692 (Madejón et al., 2006e). Only during the first year after the accident did the leaves of  
693 holm oak (spiny and tomentose underneath) and the fleshy fruits of olive trees (non-  
694 washed samples) reach worrying levels of contamination; these exceptionally high  
695 levels were derived from the frequent clouds of dust produced during sludge removal

696 and soil clean-up operations in 1999 (Madejón et al., 2005b; Querol et al., 1999).  
697 Fortunately, even in non-washed samples, the TE concentrations decreased with time  
698 and, in consequence, the toxicity risk to the food web diminished to a normal level.

699 In contrast to holm oak and olive tree, white poplar (*Populus alba*), a tree species  
700 abundant in the riparian forest, accumulated high levels of TE in leaves. In particular,  
701 the maximum values recorded for Cd (15.4 mg kg<sup>-1</sup>) and Zn (1312 mg kg<sup>-1</sup>) in trees  
702 surviving after the accident were in the phytotoxic range (Madejón et al., 2004). The  
703 ability of members of the Salicaceae (poplars and willows) to accumulate Cd and Zn in  
704 their leaves is well-known; in fact, they have been proposed for the phytoextraction  
705 (removal of metals by plant harvesting) of both elements in contaminated sites  
706 (Robinson et al., 2000). The concentrations of TE in poplar leaves were significantly  
707 correlated with the soil availability (EDTA-extractability) for Cd, Zn, As, Mn, and Cu,  
708 but not for Fe, Ni, or Pb (Madejón et al., 2004). Given this ability of poplar leaves to  
709 provide quantitative information on the availability of certain TE in soils, it was  
710 proposed that they could be used to biomonitor soil contamination, in particular by Cd  
711 and Zn (Madejón et al., 2004, 2006d).

712 White poplar leaves were assessed again, 12 years after the accident (Madejón et al.,  
713 2013b). While the As and Pb concentrations had significantly decreased in comparison  
714 to the previous analysis, Cd and Zn had not changed significantly over time (Figure 4).  
715 The fruiting catkins also showed significant accumulation of Cd and Zn, suggesting that  
716 the barriers impeding metal translocation to seeds were weak. Despite this accumulation  
717 of TE in seeds at contaminated sites, germination and plantlet growth were not affected  
718 (Madejón et al., 2015).

719 In addition to the direct potential effect of TE-rich leaves on herbivores, it is  
720 important to consider the effects of poplar litter, loaded with Cd and Zn, on the general

721 soil health. Experiments with white poplar litter were carried out under both field and  
722 controlled conditions (greenhouse containers and microcosm incubations; Ciadamidaro  
723 et al., 2013, 2014a,b,c, Madejón et al., 2012b). Poplar litter addition did not increase the  
724 soil Cd and Zn concentrations significantly, nor did it reduce plant growth (Ciadamidaro  
725 et al., 2013, 2014a). On the contrary, the organic matter added through the litter had  
726 positive effects on some aspects related to the cycles of carbon (microbial biomass  
727 carbon, water soluble carbon,  $\beta$ -glucosidase activity) (Madejón et al., 2012b) and  
728 nitrogen (Ciadamidaro et al., 2014b). The litter input, like the exudates from the poplar  
729 roots, increased the pH in acidic soils and improved other variables related to soil  
730 quality - such as total and soluble organic carbon, microbial biomass carbon and  
731 nitrogen, enzyme activities, and, especially in neutral soils, the potential nitrification  
732 rate (Ciadamidaro et al., 2014b, c; Madejón et al., 2012b).

733 The long-term monitoring of white poplars in the area suggests that leaves of this  
734 tree can be used as long-term biomonitors of soil TE bioavailability (Figure 4). More  
735 recently, the potential of other tree species as biomonitors of long-term soil  
736 contamination has been also explored. *Eucalyptus camaldulensis* (red gum) is a tree  
737 species from Australia widely planted in poor-soil conditions in the Mediterranean  
738 region. It was abundant in the Guadiamar basin before the accident, planted for pulp  
739 production. Monitoring of the regrown trees in contaminated sites from the GGC  
740 rendered low values of TE in leaves, below phytotoxicity levels. However, the  
741 correlations with soil availability ( $\text{CaCl}_2$ -extracted) were significant for Cd, Mn, and  
742 Zn, but not for Cu or Pb. Therefore, *E. camaldulensis* was proposed for the  
743 biomonitoring of soil Cd, Mn, and Zn in contaminated sites (Madejón et al., 2017).

744

745 7.3. *Potential of trees for phytostabilization of trace elements*

746 Phytostabilization is the use of plants and associated microorganisms to immobilize  
747 contaminants in the soil. Trees and shrubs could have a greater potential for  
748 phytostabilization than herbaceous plants, because of their extensive root systems that  
749 contribute to physical soil stabilization, reducing the spread of contaminants through  
750 soil erosion (Mendez and Maier, 2008). Those tree and shrub species with reduced  
751 transfer of TE from the soil to aboveground organs will be best suited to this soil  
752 remediation measure (Bolan et al., 2011; Madejón et al., 2017).

753 Most of the afforested trees in the GGC (with the exception of Salicaceae species)  
754 showed comparatively low TE concentrations in their aboveground tissues, usually  
755 within the normal ranges for higher plants (Domínguez et al., 2008). In general, the TE  
756 concentrations in aboveground tissues of trees and shrubs were far lower than those in  
757 herbaceous plants; bearing in mind also their larger root systems, these woody species  
758 could be considered as suitable phytostabilizers of TE-contaminated soils (Domínguez  
759 et al., 2008, 2009, 2010a). In particular, holm oak was very efficient at retaining Cd in  
760 its roots. Although soil acidification at some sites increased the availability of Cd and  
761 other TE, the leaves of holm oak trees growing on acidic soils (with high TE  
762 availability) did not accumulate more Cd than leaves of trees growing on neutral or  
763 basic soils (Domínguez et al., 2009). Greenhouse experiments, in which holm oak  
764 seedlings were exposed to soil solutions highly contaminated by Cd, showed an extreme  
765 ability of these seedlings to accumulate Cd in their fine roots (up to 7 g kg<sup>-1</sup> dry root),  
766 thus supporting the suitability of holm oak for phytostabilization (Domínguez et al.,  
767 2011). Mastic shrub (*Pistacia lentiscus*) seedlings also retained Cd in their roots, but  
768 were more sensitive to Cd than oak seedlings in terms of growth and survival. In the  
769 same experiment, Tl was retained much less than Cd by the roots of both species;

770 fortunately, the TI availability in soil was comparatively low in the affected soils of the  
771 study area (Martín et al., 2004; Vidal et al., 1999) and, therefore, its concentration in the  
772 leaves of woody plants was low under field conditions (Domínguez et al., 2008;  
773 Madejón et al., 2006e). The mycorrhizal fungi associated with holm oak roots could  
774 also contribute to TE retention in roots and reduced transfer to aboveground organs.  
775 Ongoing studies in the GGC are assessing the diversity of ectomycorrhizal fungal  
776 species and the role of the fungal community in plant health and TE accumulation  
777 (Alvárez-López et al., unpublished).

778 Besides the tree species studied in more detail (holm oak and olive tree), some shrub  
779 species were also evaluated for phytostabilization of the contamination in the GGC. We  
780 have already mentioned the function of shrubs as nurse plants, facilitating the  
781 establishment of other late-successional species underneath their canopy (Domínguez et  
782 al., 2015); they also have added value in relation to protection and facilitation of  
783 connectivity for wild fauna in the restored area (Rodríguez et al., 2009). In experimental  
784 plantations with different shrub species, the legume *Retama sphaerocarpa* showed  
785 greater survival rates than other species - such as *Myrtus communis*, *Rosmarinus*  
786 *officinalis*, or *Tamarix gallica* - and consistently high TE retention in its roots (de la  
787 Fuente et al., 2014; Domínguez et al., 2008; Moreno-Jiménez et al., 2008, 2011),  
788 making it a species suited to phytostabilization. Other shrubs, such as *M. communis* (de  
789 la Fuente et al., 2014; Moreno-Jiménez et al., 2008) and *R. officinalis* (Madejón et al.,  
790 2009c), have been also proposed for soil phytostabilization in the study area. However,  
791 the potential increase in soil TE availability during root decomposition has scarcely  
792 been studied. Moreno-Jiménez et al. (2009) reported that, at least for As,  
793 decomposition of roots did not lead to increased its availability for plant uptake, given  
794 that the resulting soluble forms are rapidly retained in soils.



795 Inputs of organic matter to soil through litter deposition, besides increasing soil C  
796 stocks, could also influence the long-term stabilization of TE in soils. Depending on the  
797 quality of the litter inputs, the effects of tree plantations on the soils underneath could  
798 lead to greater stabilization of TE in soils or, in contrast, to their solubilization - if, for  
799 instance, the accumulation of litter promotes soil acidification. An ongoing research line  
800 in the GGC is focused on the long-term footprint of the planted tree species on soils. A  
801 comparative study of seven tree species planted in a mixed design showed high  
802 variability in the chemical composition of the leaves, roots, and forest floor (coefficients  
803 of variation between 62 and 79%) 16 years after planting. The chemical footprint of the  
804 tree species on the topsoil was weak, probably due to the young age of the plantations.  
805 However, there were some differences between tree species; for example, soils under  
806 *Pinus pinea* had lower concentrations of Cd, Mn, Ni, and Zn than those under other  
807 species (Marañón et al., 2015).

808

## 809 **8. Conclusions**

810 The increasing release of trace elements (TE) into the environment, due to industrial  
811 and mining activities, represents a major concern for ecological systems and human  
812 health. Currently, there is a huge research effort on techniques for remediating TE-  
813 contaminated land. The Aznalcóllar mine spill and subsequent large-scale  
814 contamination were a challenge for researchers and managers. We can learn much from  
815 the experience acquired during 20 years of experimentation and monitoring in this  
816 contaminated area.

817 The large number of studies reviewed here represents a remarkable contribution to  
818 the understanding of TE dynamics in terrestrial ecosystems. The experience  
819 accumulated during 20 years of monitoring of soils and plants in a TE-contaminated

820 area suggests that, despite the fact that the mobility and toxicity of contaminants could  
821 be reduced by appropriate soil management, long-term, potential exposure to TE  
822 persists, together with the consequent transfer of these TE to the food web. Thus, long-  
823 term periodical monitoring of any TE-contaminated area is required.

824 In the initial remediation stage, the removal of sludge was crucial. It contributed  
825 firstly to the reduction of aesthetic disaster and secondly to preventing both the  
826 penetration of the released TE into the deeper soil layers and groundwater and the  
827 contamination of adjacent soils and surface waters by runoff or wind. So, extending this  
828 to other scenarios, sludge must be removed as soon as possible.

829 The different approaches to soil remediation tested in this area have highlighted the  
830 use of soil amendments, with regard to their effects, types, and duration. Understanding  
831 the relationships between soils and plants was vital to evaluate the retention of TE by  
832 roots and mycorrhizas, TE exclusion from aboveground organs, and thus the potential  
833 for the phytostabilization of soil contaminants.

834 The contaminated and remediated area reviewed here, the Guadiamar Green  
835 Corridor, has proven to be an excellent testing ground to understand - in a realistic  
836 context - the problems associated with TE contamination, their transfer from soil to  
837 plants, the toxicity induced in the food web, the utility of bioindicators, and the  
838 application of different remediation measures. The combination of soil amendments and  
839 native non-accumulator plant species is a feasible and cost-efficient option for the  
840 management of large TE-contaminated areas.

841

## 842 **Acknowledgements**

843 During this review we have received support from the European Union Seventh  
844 Framework Programme (FP7/2007-2013) under grant agreement n° 603498 (RE CARE)

845 and from Spanish Ministry of Economy and Competitiveness under RESTECO  
846 (CGL2014-52858-R) and BIORESMED (AGL2014-55717-R project) projects. We  
847 thank the staff of Guadiamar Green Corridor for facilities and support to carry out the  
848 different studies, to the Group SoilPlant of the IRNAS, CSIC , especially J. M. Algre  
849 and P. Puente for their technical assistance, and to all the scientists working on the  
850 Guadiamar area for providing their information. M.T. Domínguez thanks the University  
851 of Sevilla for her postdoctoral fellowship (V Plan Propio de Investigación).

852

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Table 1. Mean concentration and range (mg kg<sup>-1</sup>) of trace elements and S which concentrations were higher than the upper limit of the normal ranges in soils (Bowen, 1979) in samples of sludge compared with normal soils. Adapted from Cabrera et al. (1999). Ranges for Ag, Hg and S adapted from Alastuey al. (1999\*).Cabrera et al 2008\*\* and Lopez-Pamo, 1999.

Element	Sludge		Normal soil
	Mean	Range	Range
As	2878	1028-4022	0.1-40
Au	0.55	0.25-0.90	0.01-0.02
Bi	61.8	25.2-78.8	0.1-13
Cd	25.1	15.1-36.4	0.01-2
Cu	1552	715-2035	2-250
Pb	7888	3664-9692	2-300
Sb	669	269-797	0.2-10
Tl	51.6	28.3-61.8	0.1-0.8
Zn	7096	4424-10950	1-900
-----			
Ag***	34	25-41	0.01-8
Hg**	15.1	8.14-22.1	0.01-0.5
S (%)*	35	34.1-41.8	0.003-0.16

\*\* Cabrera et al 2008

\*\*\*LópezPamo et al 1999

Table 2. Total concentration (mg kg<sup>-1</sup>) of trace elements in soils (0-20 cm) of the Guadiamar area at different years.

		<b>As</b>	<b>Cd</b>	<b>Cu</b>	<b>Pb</b>	<b>Zn</b>	
		mg kg <sup>-1</sup>					
<b>Affected soils</b>							
<b>1998</b>	Mean	80.4	1.69	104	234	487	Cabrera et al., 1999
	Range	9.38-1648	0.12-22.0	12.5-958	25.3-4969	56.8-5283	
<b>1999</b>	Mean	61.3	1.26	120	202	380	Kemper and Sommer, 2002
	Range	7.0-442	0.05-14.8	17.5-521	17.5-3332	94-3887	
<b>2002</b>	Mean	153	4.44	155	321	462	Cabrera et al., 2008
	Range	11.7-595	1.23-7.67	12.7-443	8-1556	40-936	
<b>2005</b>	Mean <sup>1</sup>	155	1.44	115	218	475	Domínguez et al., 2008
	Range <sup>1</sup>	59-408	0.45-3.11	65.8-198	75-630	190-798	
<b>2014</b>	Mean <sup>1</sup>	127	1.79	135	387	525	Domínguez et al., 2016
	Range <sup>1</sup>	15.3-793	0.28-9.35	28.5-428	22.8-3032	117-3413	
<b>Un-affected soils</b>							
<b>1998</b>	Mean	18.9	0.33	30.9	38.2	109	Cabrera et al., 1999
	Range	8.37-38.5	0.12-1.06	12.3-85.0	19.5-86.3	53.9-271	

<sup>1</sup>Total values were calculated using pseudototal values of the papers and the corresponding recoveries factors.

## Figure captions

Figure 1. Results of a search for publications and citations with the key words “Aznalcóllar” or “Guadiamar” in the Web of Science (October 2nd 2017).

Figure 2. Comparison of mean values ( $\pm$  SE) of trace elements concentration extracted by EDTA from soils of the Guadiamar river basin: non affected by the mine spill (in white), affected before amendment addition (in black) and affected after the amendment addition (in grey) in 2001.

Figure 3. Temporal changes in soil pH and CaCl<sub>2</sub>-extractable Cd concentrations in the 0–15 cm for each amendment treatment from 2003 to 2016 (similar behaviour for Cu and Zn). NA = non-amended control; SL = sugar beet lime; BC = biosolid compost; LEO = leonardite. Mean values  $\pm$  standard errors are expressed as mg kg<sup>-1</sup> of dry matter

Figure 4. Temporal variation in Cd (similar behaviour for Zn) and Pb (similar behaviour for As) concentrations in leaves of *Populus alba* L. at two sites from the GGC, neutral pH, white columns and ‘acidic pH ( grey columns), during the period 1999–2010. For each element and site bars with the same letter do not differ significantly ( $p < 0.05$ ). Mean values  $\pm$  standard errors are expressed as mg kg<sup>-1</sup> of dry matter

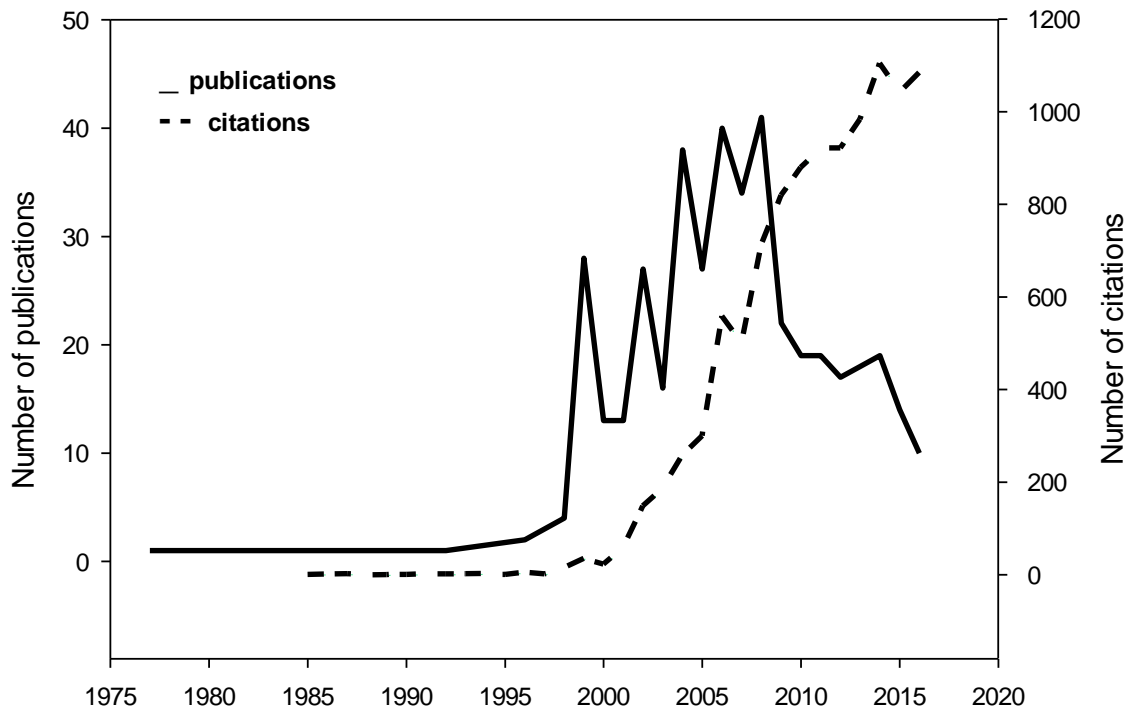


Figure 1

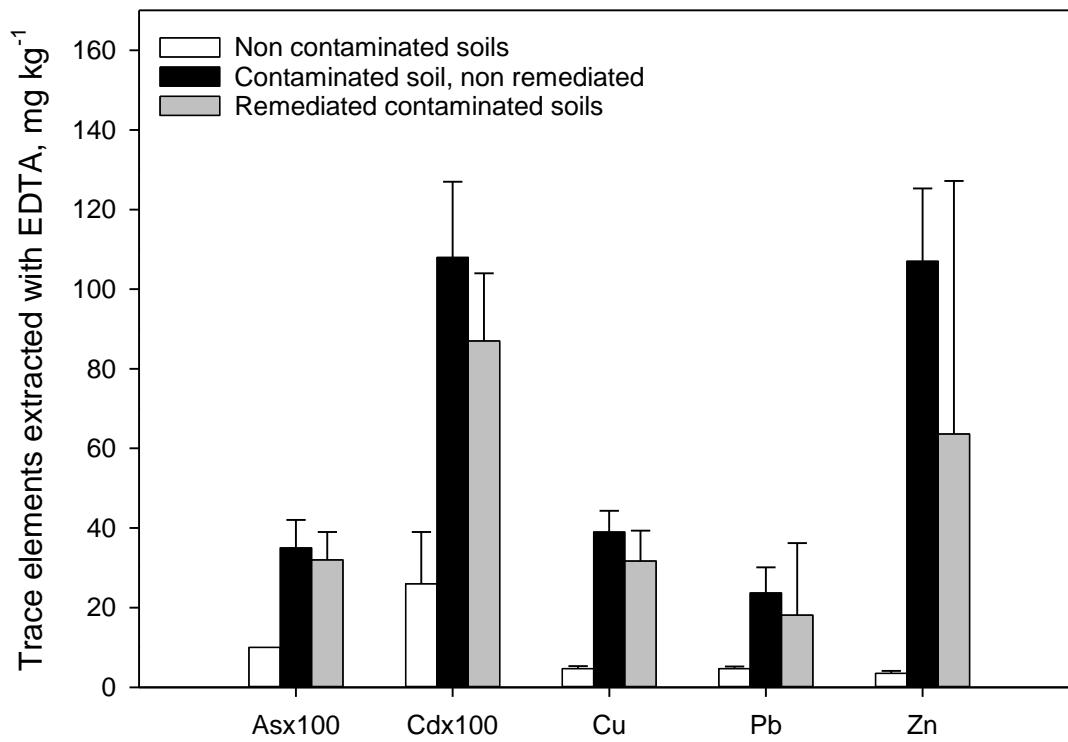


Figure 2



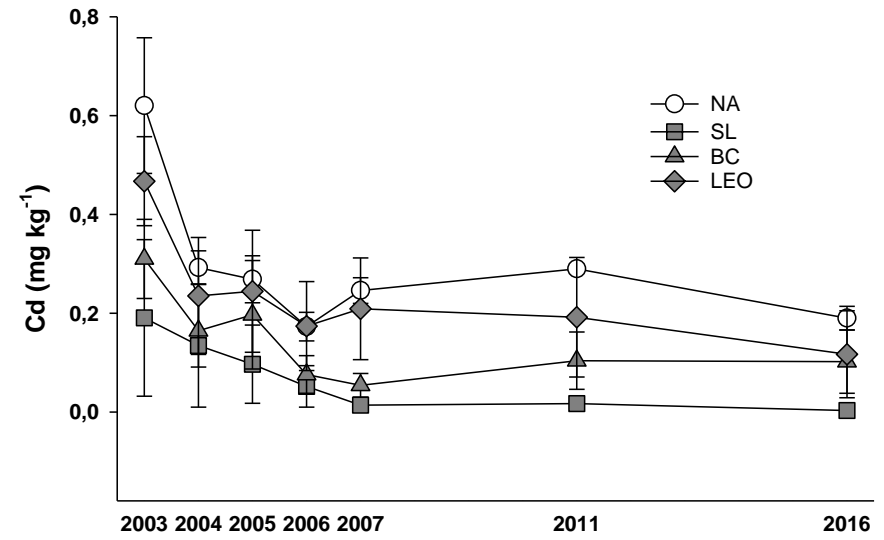
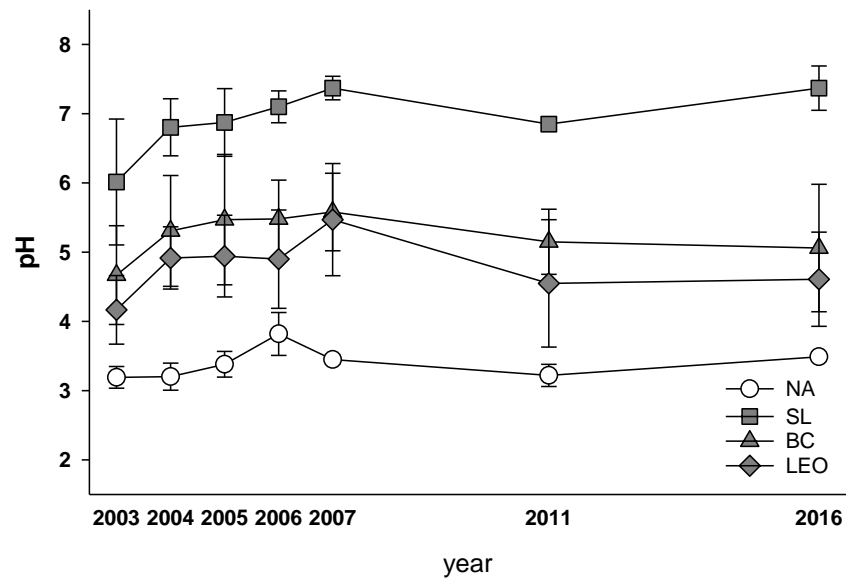


Figure 3

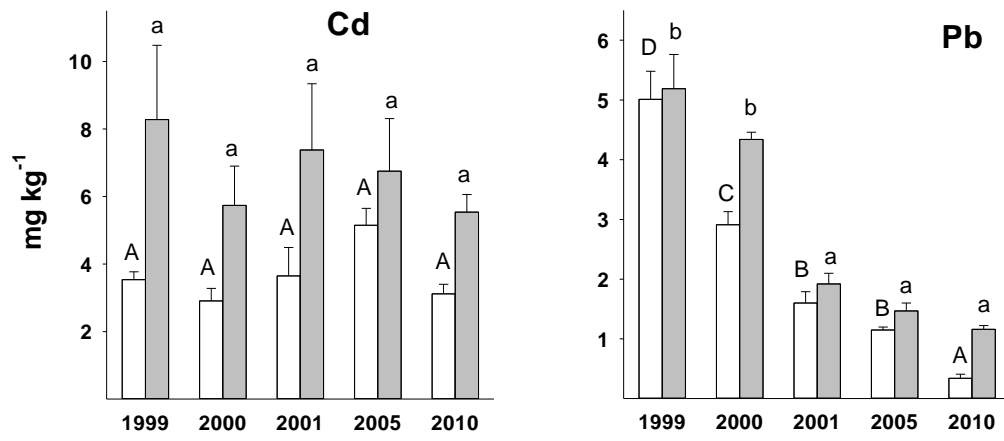


Figure 4