

1 **Environmental drivers of sardine (*Sardina pilchardus*) in the Catalan Sea (NW Mediterranean**
2 **Sea).**

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20

21 **Abstract**

22 In the area surrounding the Ebro Delta, similar to the rest of the north-western Mediterranean Sea, the
23 sardine (*Sardina pilchardus*), one of the most exploited small pelagic fishes, has suffered a decreasing
24 trend in abundance and biomass in the last decade, with low values in evidence since 2007.

25 The dependence of this species on environmental factors makes it vulnerable to environmental
26 changes; consequently, the abundance of the species is highly variable. Using segmented regression,
27 we evaluated the presence of discontinuities in the temporal pattern of the seasonally adjusted landings
28 per unit effort (LPUE), which was used as a proxy of abundance, between 2000 and 2013. The results
29 suggested a sudden increase in mid-2005, followed by a sharp decrease starting in 2006. A generalized
30 additive mixed model (GAMM), incorporating the linear correlation structure, was used to identify
31 relationships between the seasonally adjusted LPUE and trends of the Western Mediterranean
32 Oscillation index (WeMOI), Sea Surface Temperature (SST), Salinity (SAL) and the Zonal and
33 Meridional Currents (ZC and MC, respectively). The variance inflation factors (VIFs) were calculated
34 between all environmental variables to avoid high-dimensional collinearities. The final GAMM,
35 selected using the Akaike information criterion, indicated that positive WeMOI values, which favour
36 the productivity of the area, along with SAL (at ca.38) and a northward-flowing MC, favoured LPUE.
37 Our results, obtained by applying a method in which variation due to season, non-linearity,
38 autocorrelation and collinearity of the covariates was taken into account, provided further evidence of
39 the dependence of the sardine population upon specific hydrographic variables.

40

41 **Keywords:** Sardine, landing, purse seine, GAMM, WeMOI, NW Mediterranean Sea.

42

43 **Introduction**

44 Small pelagic fishes are an important component of marine ecosystems due to their role in energy transfer
45 from lower to higher trophic levels (Cury 2000; Palomera et al. 2007). Globally distributed, they support
46 important fisheries around the world (Alder et al. 2008). Early maturation, a short life span, and rapid
47 and drastic responses to changes in the ocean climate (Checkley et al. 2009) characterize their biology.

48 Large fluctuations in the population abundance of these species in different parts of the world have been
49 associated with shifts in biological and physical processes that particularly affect the recruitment phase
50 (Agostini & Bakun 2002) due to the vulnerability of the species to changing oceanographic conditions
51 during early life stages. Moreover, changes in environmental conditions also influence the adult
52 populations and consequently affect fisheries' production by directly influencing the spatial distribution
53 of fish or their availability to fishing fleets and by indirectly influencing adult mortality (Palomera et al.
54 2007; van Beveren et al. 2016).

55 The effects of climatic components on the variability of small pelagic resources have been studied across
56 various marine ecosystems (e.g. Checkley et al. 2009). Despite the differences encountered across the
57 ecosystems, such as the importance of an environmental factor in one area but not in another area (e.g.
58 in the Mediterranean sea between the Strait of Sicily, where the Atlantic-Ionian Stream was identified as
59 a main driver; Patti et al. 2004; and the Aegean Sea, where the main drivers were depth and river flow;
60 Giannoulaki et al. 2005), patterns of physical mechanisms, summarized as the 'ocean triads' concept,
61 can generally be identified (Agostini & Bakun 2002). In fact, even if small pelagics inhabit
62 distinguishable areas characterized by different oceanographic characteristics (e.g. circulation patterns,
63 bathymetry, rivers influences), their distribution patterns seem to be driven by environmental processes,
64 which, although specific to each ecosystem, lead to conditions capable of enhancing and maintaining
65 food availability (Bonanno et al. 2014).

66 Small pelagics dominate the catches in the Mediterranean sea; in particular, European anchovy *Engraulis*
67 *encrasicolus* (Linnaeus, 1758) and European sardine *Sardina pilchardus* (Walbaum, 1792) represent the
68 main species landed (Stergiou et al. 2016). Similar to other Mediterranean fish stocks, sardine spawning
69 stock biomass and age at the time of capture have shown a progressive decrease in the last two decades
70 (Vasilakopoulos et al. 2014).

71 Since the mid-1990s, sardine and anchovy landings have demonstrated a continuous decreasing trend in
72 the NW Mediterranean Sea (Catalan sea and Gulf of Lions; Van Beveren et al. 2016). This trend has also
73 been observed in the rest of the Mediterranean in recent decades and is consistent with the decline in
74 population biomass in almost all areas where small pelagic stocks are assessed (GFCM 2015; STECF
75 2015).

76 In the Catalan sea, sardine reproductive success is enhanced by productivity mechanisms during the
77 spawning season, which occurs from the autumn to spring, with a peak in the winter (January and
78 February; Olivar et al. 2001, 2003; Sabatés et al. 2007). Spawners' abundance is mainly high in inshore
79 waters, where sardine eggs are concentrated in water < 100 m deep (Olivar et al. 2001, 2003). During
80 winter vertical mixing, which is mediated primarily by wind stress, an increased homogenization of the
81 water column enhances biological primary productivity. This condition favours the survival of sardine
82 in their early life stages, which is directly linked to increased landings (Lloret et al. 2004). However,
83 extreme wind speeds can negatively affect sardine populations by increasing larvae mortality via reduced
84 feeding success due to the dispersal of food and larvae to unfavourable locations (Borges et al. 2003;
85 Lloret et al. 2004).

86 In addition to the wind mixing index, significant positive relationships were found with the Western
87 Mediterranean Oscillation index (WeMOI, Martin-Vide & Lopez-Bustins 2006; Martín et al. 2012), a
88 good proxy for regional atmospheric conditions in this area. Finally, although less significant, negative
89 correlations were found in relation to sea surface temperature (Martín et al. 2012).

90 These significant relationships (Lloret et al. 2004; Martín et al. 2012) demonstrated that environmental
91 changes influenced sardine population variability by presumably acting principally on the early life
92 stages. However, the results of these analyses were strongly based on correlative or linear models; there
93 is evidence that the relationships between the fisheries' catches (or landings) and the information
94 available on environmental and climate factors can be better modelled using non-linear relationships
95 (Borges et al. 2003). Generalized additive models (GAMs) are a modelling framework well suited to
96 describing this kind of relationship by means of non-linear specification of the dependence of the
97 response variable (Wood 2006); hence, the data allow for the determination of the nature of the
98 relationship rather than the assumption of some form of parametric relationship (Guisan et al. 2002).
99 Furthermore, in cases in which residual autocorrelation is significant (i.e. violation of independence), as

100 in the analysis of time series, generalized additive mixed models (GAMMs) can be used to explicitly
101 model autocorrelation (Wood 2006).

102 Environmental variability can alter fish distributions over short time-scales and can persist as long as
103 environmental conditions remain unfavourable for fish survival, growth and reproduction, significantly
104 affecting fishery by varying resource availability (Fréon et al. 2005). Thus, in the present study, a
105 characterization of sardine landings in terms of trend and breakpoints was performed to evaluate the
106 presence of marked temporal changes. Then, using GAM and GAMM, we investigated the relationships
107 between the decrease in sardine landings and a combination of potential climate drivers in the Catalan
108 Sea in order to assess their influence.

109

110 **MATERIALS AND METHODS**

111 *General characteristics of the Study Area*

112 The fishing area is situated off the Ebro delta (NW Mediterranean Sea) between 40°56' N and 41°16' N
113 latitude and 0°80' E and 1°72' E longitude (Figure 1 (a)). This area, which is part of the so-called Ebro
114 shelf, is marked by a drastic change in shelf width, evolving from ca. 15-20 km in the northern part to
115 ca. 70 km in the southern part, and by the presence of a steep slope (Figure 1 (a)). A shelf-slope density
116 front separating the less dense continental influenced waters and the denser open sea waters (Font et al.
117 1998) and the presence of a quasi-permanent geostrophic slope current (Northern Current, NC) flowing
118 south-westward (Milot 1999; Salat et al. 2002) characterize the Ebro shelf.

119 The study area receives an important amount of continental fresh water from the Ebro river. On average,
120 its annual water discharge rate ranges from 300 and 600 m³ s⁻¹ with maximum discharge in the spring
121 and autumn (Salat 1996). The seasonal evolution of the stratification is clear in the area. The water
122 column, in fact, is almost homogenous in the winter (13-14° C at all depths) while it is characterized by
123 a defined thermocline between the early spring and late autumn (Salat 1996). Consequently, the river
124 outflow plays an important role, especially immediately before the stratified season, by providing
125 nutrients and enhancing surface productivity during this season (Salat 1996; Palomera et al. 2007).

126 The sea surface is dominated by winds coming from the north-northwest, which are strong and more
127 frequent in the winter (60-100 km/h). These winds are associated with vertical mixing along the coast,
128 contributing to the formation of the surface mixed layer during the stratified season (Salat 1996).
129 Furthermore, the north westerly wind predominance, together with the specific features of the shelf, are
130 involved in the intrusion processes of the shelf edge flow into the shelf, allowing the development of
131 anticyclonic eddies (Salat 1996; Xing & Davies 2002), which are important structures for the spawning
132 and reproduction of the small pelagic populations (Bakun 2006). Given these features, the Ebro river
133 continental shelf is considered one of the most important spawning areas for clupeids in the western
134 Mediterranean Sea (Palomera 1992; Palomera et al. 2007).

135 *Data*

136 The monthly data of landings (kg) and the number of fishing days (a measure of fishing effort) (Figure
137 1 (b)) for purse seine vessels were obtained for the two harbours of the study area with a small pelagic
138 fleet, Tarragona and L'Ametlla del Mar, in the South of Catalonia, from 2000 to 2013 (Figure 1(b)). The
139 selected ports produce 20-30% of the total landings of sardine in the geographical subarea GSA06
140 (STECF, 2015 p. 110 shows 9-10,000 t in GSA06 in 2012-2103, from 17-20,000 in 2002-2004), while
141 in south Catalonia, production was ca. 6,000 t annually in the early 2000s and has been down to ca. 1000
142 t in recent years. The fleet was composed of 20 purse seiners in 2013 with the following characteristics:
143 16-24 m LOA, 30-65 GT and 127-450 kW engines. This purse seine fleet has decreased in the number
144 of vessels (STECF, 2015 p. 109), similar to the other fleets in the Mediterranean Sea, in the last two
145 decades due to poor profitability of fisheries and EU-funded subsidies for the decommissioning of vessels
146 (STECF, 2015 p. 111, 140 vessels in GSA06 in 2013, i.e. our fleet is approximately 15% of the total
147 fleet).

148 As an index of sardine fishery productivity, the monthly average LPUE (Y_t) was obtained by dividing
149 the landings per month by the sum of the days when fishing operations were carried out by the fleet
150 (LPUE in kg/day).

151 The environmental monthly time series were derived from satellite datasets, which are commonly used
152 in studies of fisheries data due to their consistent space-time coverage and their ability to highlight the
153 main ocean processes that determine the dynamics of fish populations dwelling near the surface (e.g.

154 Tugores et al. 2011; Bonanno et al. 2013). The environmental variables selected were as follows; Sea
155 Surface temperature (SST in °C), Sea Surface Salinity (SSS), the Meridional Component of the water
156 current (MC in $m s^{-1}$, positive northward), and the Zonal Component of the water current (ZC in $m s^{-1}$,
157 positive eastward), which were retrieved from the Myocean Project with a spatial resolution of $1/16^\circ \times$
158 $1/16^\circ$ (5.2 x 7.0 km, approximately). The first variables mentioned were useful because they were linked
159 to the population dynamics of small pelagic fishes in the NW Mediterranean Sea (e.g. Lloret et al. 2001,
160 2004; Martín et al. 2008; Maynou et al. 2014) as well as in other locations around the Iberian peninsula
161 (Guisande et al. 2001; Borges et al. 2003; Guisande et al. 2004; Santos et al. 2012). The latter two
162 variables (MC and ZC) were selected because they are indicative of the circulation patterns upon the
163 Ebro shelf, which is characterized principally by a current flowing south-westward (Northern Current)
164 and interrupted by clockwise eddies (structures supporting high level of biological activities; Bakun
165 2006) and periods of current reversal (i.e. current flowing northward; Font et al. 1990; Salat et al. 2002;
166 Lorente et al. 2015). Furthermore, the monthly Western Mediterranean Oscillation index (WeMOI),
167 resulting from the difference of the standardized atmospheric pressure values in San Fernando-Cadiz
168 (South western of Spain) and Padua (North eastern Italy) (Martin-Vide & Lopez-Bustins 2006), was used
169 as an additional explanatory variable encompassing the overall atmospheric climatology in the area.
170 All environmental variables (X_i) were averaged over the entire study area (Figure 1 (a)) and examined
171 using statistical modelling to explore their temporal trends and whether they are possible explanatory
172 variables of sardine LPUE. The study area was delimited considering the area fished by the fleet, which
173 was based in the 2 study harbours and the bathymetric range from 35 to 200 m depth (Leonart & Maynou
174 2003). The fishing range of the vessels was local because the fleet was obliged to return to port daily,
175 and the boats had to be tied up for a minimum of 12 hours daily (Martín et al. 2012).

176

177 The LPUE dataset contained irregularities in terms of missing data in the winter months and in the
178 different number of trips where fishing operations were carried out (Table I, Figure 1 (b), Figure 2). This
179 was due to the annual closure of the purse seine fishery in the area for 2 months to protect anchovy
180 recruitment. The closure occurs usually in December and January, but may be advanced to mid-
181 November or delayed until mid-February for ad hoc reasons.

182

183 *Statistical analyses*

184 Because the objective of the analysis was to relate trends in the environmental variables to the temporal
185 variation observed in sardine fisheries productivity, to avoid any influence of the monthly cycle (Figure
186 2), the LPUE series was seasonally adjusted (SaY_s) as follows:

$$187 \quad (SaY_t) = Y_t - \mu_s$$

188 where the calculation of the monthly averages (μ_s) using the data from all years was used to correct the
189 time series by subtracting these values from each cycle subseries (months) (Figure 3). This simple
190 seasonal adjustment was chosen because we were not interested in imputing missing LPUE data, which
191 is a requirement for many decomposition methods since they do not allow ‘internal’ missing values.

192 The decomposition and extraction of temporal trends in the data series were performed according to ‘the
193 seasonal and trend decomposition procedure based on loess’ (STL) (first designed by Cleveland et al.
194 1990) (Figure 4). The STL is an empirical, non-parametric filtering procedure that decomposes a time
195 series (X_t) into three unobservable components using an iterative procedure (inner and outer loop) based
196 on successive smoothing (for more details see Cleveland et al. 1990), resulting in a trend (t_t), seasonal
197 (s_t) and residual or short-term variation (e_t) and is as follows:

$$198 \quad (X_t) = (t_t) + (s_t) + (e_t)$$

201 Overall, the STL includes six parameters that determine the degree of smoothing in trend and seasonal
202 components: n_p (Number of observations in each cycle of the seasonal component), n_i (Number of
203 iterations of the inner loop), n_o (Number of iterations of the outer loop), n_l (Smoothing parameter for
204 the low pass filter), n_s (Smoothing parameter for the seasonal component), and n_t (Smoothing
205 parameter for the trend component). The smoothing parameters of the seasonal component were
206 selected using the visual diagnostic method described by Cleveland et al. (1990), while the trend
207 smoothing parameters using the smallest odd integer number that satisfies the following equation:

$$208 \quad n_t \geq \frac{1.5 n_p}{1 - 1.5 n_s^{-1}} \text{ (Cleveland et al. 1990).}$$

209
210 The seasonally adjusted LPUE (Figure 3) showed relatively stable values around the zero mean until
211 approximately 2007 and decreasing values from 2008 onwards, indicating the possible presence of a
212 change in the trend around the mid-2000s. This change in trend suggests that a better description of the
213 seasonally adjusted LPUE may be provided by segmented regression. The selection of the best

214 explanatory segmented regression was achieved by comparing linear and non-linear (second order
215 polynomial) models and choosing the model with the lowest Akaike Information criterion (AIC). The
216 AICs were the minimum values obtained during the process of the selection of the optimum breakpoint
217 performed for each regression model. The selection of this point, indicating a marked change (in this
218 case on LPUE over time), was performed by doing a search grid throughout a time range starting from
219 the beginning of 2001 to the end of 2012 (ca. 90% of the data) and choosing the break that produced the
220 model that minimized the AIC (Crawley 2007). Because the objective was not to test the null hypothesis
221 of no effects, no correction for autocorrelation was attempted.

222 To assess the influence of exogenous factors on the decrease of sardine landings, the environmental
223 trends extracted by the STL procedure were included as explanatory variables in the Generalized
224 Additive Model approach (GAM, Hastie & Tibshirani 1990; Wood 2006) using the seasonal adjusted
225 LPUE as the response variable. The cubic regression spline was used as a one-dimensional non-
226 parametric smoothing function and a double penalty was applied to the penalized regression to reduce
227 the chance of over fitting. As error distribution, the Gaussian with the identity link function was applied,
228 and the restricted maximum likelihood estimation (REML) was used.

229 To detect possible high-dimensional collinearities, the variance inflation factors (VIFs) between all
230 environmental variables were calculated. The covariates with the highest VIFs were removed from the
231 model until the highest VIF value was <5 (Zuur et al. 2007).

232

233 The residuals of the full model were checked using variography, and violations of the independence
234 assumption were detected (Figure 5 (a)). Consequently, to avoid a Type I error, the generalized additive
235 mixed model (GAMM), which is capable of accounting for dependence between observations by
236 adding a correlation structure to the additive model, was used (Wood 2006). Following Pinheiro &
237 Bates (2000) and Zuur et al. (2009), we selected the linear residual correlation based on the
238 minimization of the AIC by comparing models with the same fixed component (i.e. the full model with
239 all the covariates) and different correlation structures. Furthermore, to assess the adequacy of the linear
240 correlation structure, we investigated the sample semi-variogram for the normalized residuals (Figure 5
241 (b)). The general form of the GAMM used in the analysis has the following structure:

$$242 \quad y = \beta_0 + \sum_{j=1}^p f_j(X_j) + \varepsilon_j$$

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$$\text{corr}(\varepsilon_{js}, \varepsilon_{jt}) = \begin{cases} 1 & \text{if } s = 0 \\ h(s, d) = 1 - \left(\frac{s}{d}\right) & \text{if } 0 < s < d \end{cases}$$

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where β_0 is the intercept, X_j are the covariates, f_j the cubic spline smoothing function for each covariate and ε_j are the error terms, which are normally distributed with mean 0 and variance σ^2 . In the independence assumption, residuals from different time points were not allowed to covary. Next, we modelled the dependence between the residuals of different time points ($\varepsilon_{js}, \varepsilon_{jt}$) by the introduction of the linear correlation structure (second equation), where $h(\cdot)$ is the correlation function, s is the temporal distance between ε_j and ε_{jt} and d is the range, which represents the time distance at which residuals are no longer correlated.

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The likelihood ratio test (ML) was used to obtain the optimal fixed model formulation, and the final combination of variables was refitted using REML (Zuur et al. 2009). All analyses were performed with R v. 3.1.2, and the mgcv library was used to implement the generalized additive and mixed models (Wood 2006).

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Results

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Sardine LPUE exhibited the lowest values in the winter, while the highest LPUE occurred between the spring and fall (Figure 2). Figure 3 shows the seasonally adjusted LPUE and the fitted breakpoint regression. Landings underwent a decreasing trend during the whole period, with higher values between 2000 and 2004, followed by a slow decrease between 2004 and 2006 and a more accentuated decline starting in 2006.

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The values of the AIC when comparing the different models suggested that the trend in LPUE was better modelled by dividing the data into two blocks rather than assuming a constant variation over time. The first block was best described with a linear function, while the second was best represented by using a second order polynomial regression. The profile of the AIC indicated that the optimum break was in 2005, and specifically, in June of that year. The seasonally adjusted LPUE decreased linearly and more slowly before the break and was characterized by large fluctuations, followed by a jump in mid-2005 to a higher LPUE. The second block was characterized by a strong LPUE decrease

271 and slight intra and inter-annual variations, with the minimum values of LPUE almost constant at the
272 end of the series (Figure 3).

273
274 Table II shows the parameters used for the STL decomposition of the environmental variables. The
275 trend components obtained from the environmental series are shown in Figure 4. Salinity showed two
276 clear increasing trends during 2000-2006 and 2009-2013 and for a period between 2006 and 2009
277 where the values reached those observed in 2000; overall, the values at the end of the study period were
278 notably higher than those at the beginning of the period (ca. 37.7 vs ca. 38.2) (Figure 4 (a)).

279 Temperature showed a slightly increasing trend with fluctuations during the whole period, although
280 less marked than salinity (from 18.8 at the beginning of the period to 19.0 °C, approximately) (Figure 4
281 (b)). Figure 4 (c) shows the WeMOI trend, which was characterized by a decrease from 2000 to 2006,
282 followed by stabilization at negative values; the highest positive values were observed between 2000
283 and 2003 (Figure 4 (c)). Both the Meridional and the Zonal currents showed a decreasing trend from
284 mainly positive values to mainly negative ones starting from the end of 2009. Both currents presented a
285 peak of positive values in 2009, indicating a higher northward flow in the case of the Meridional
286 current and a higher eastward flow for the Zonal current (Figure 4 (d), Figure 4 (e)).

287 The calculation of VIFs to determine variables of high collinearity indicated a value of 5.67 for the
288 Zonal current, and hence, this variable was not included in the model. The other variables showed a
289 maximum VIF of 3.73 and were utilized because they did not introduce major bias in the analyses.

290
291 The GAMM formulation, with the incorporation of the linear residual correlation structure, was
292 selected because the semi-variogram on the residuals compared with the GAM full model showed
293 temporal independence (Figure 5) and because it minimized the AIC compared to the GAM
294 formulation. The optimal fixed model formulation of the GAMM included Salinity, WeMOI and the
295 Meridional current and explained 47% of the deviation. The parameter estimates of the GAMM are
296 shown in Figure 6 and in Table III. Significant negative effects on the LPUE were present in relation
297 with WeMOI for negative values between ca. -0.5 to ca. -0.2, while positive values higher than ca. 0.2
298 positively correlated with abundance. Although not particularly evident, a positive effect was present
299 for WeMOI values lower than ca. -0.8 (Figure 6 (a)). The non-linear relationship with salinity is shown
300 in Figure 6 (b). Negative significant effects were found for values lower than ca. 37.5, followed by
301 values not affecting abundance until ca. 38. After this value, significant positive effects were

302 recognized and were characterized by a local peak at ca. 38.1, which was followed by a negative non-
303 significant effect (Figure 6 (b)). The meridional current negatively affected LPUE when flowing
304 southward and positively at the opposite direction, with the shape of the relation presenting a local
305 positive peak between 0.01 to 0.02 m/s, and anything above the positive effect started a decrease
306 (Figure 6 (c)).

307

308 **Discussion**

309

310 The highly productive waters surrounding the Ebro river represent one of the few exceptions in the
311 essentially oligotrophic coasts of the Mediterranean Sea; they are influenced by the two principal
312 enrichment processes characterizing this semi-enclosed sea: nutrient intake by large river discharge and
313 exposure to strong winds, allowing water mixing (Salat 1996; Salat et al. 2002; Lloret et al. 2004).

314

315 During the period of 2000 to 2013, the declining trend of sardine LPUE was characterized by two
316 striking events: the sudden increase in mid-2005, followed by an abrupt decrease until the year 2010,
317 where it stabilized at a lower value for the rest of the investigation period.

318 The breakdown of the seasonal adjusted LPUE agrees with the findings of Tugores et al. (2010), who,
319 with the use of acoustic surveys, described a general decreasing trend in abundance from 2003 to 2006
320 with signals of recovery during the year 2005 in the area surrounding the Ebro. Since sardines in the
321 NW Mediterranean Sea spawn from November to March (e.g. Lloret et al. 2004; Palomera et al. 2007),
322 during the early summer, the fished sardine population is mainly composed of adults that are 1 and
323 almost 2 years old (Lloret et al. 2004). The discontinuity observed in the summer of 2005 might have
324 occurred due to more suitable environmental conditions during the spawning season between the late
325 autumn of 2003 and the winter of 2004 compared with the other spawning periods preceding the
326 breakpoint. In particular, temperature during this period was on average relatively low and had small
327 variability (low standard deviation compared to other years) which could indicate a completely broken
328 thermocline in mid-autumn. This homogeneous water column likely allowed optimal environmental
329 conditions in terms of nutrient supplies that lasted the whole spawning season and therefore increased
330 food availability for sardine early life stages. Although this was only a small recovery, which formed
331 part of the general decreasing trend observed in the area, it could have led to an expansion of the area

332 occupied by this fish and the formation of more dense patches (in areas with favourable environmental
333 conditions), which became more susceptible to fishing pressure (Barra et al. 2015).

334 The second characteristic event of this period, occurring between 2010 and 2013 and consisting of the
335 stabilization at the lowest values, is in accordance with the findings in the nearby Gulf of Lions (NW
336 Mediterranean Sea), where sardine landings in the same period became even lower than those during
337 the period before the 1960s (Van Beveren et al. 2016).

338 A part of the landings variation, which are only an approximation of fish abundance, could be
339 explained by a change in fishing effort (Figure 1 (b)), but sudden changes, such as the LPUE shift
340 observed, emphasize the fact that the fluctuations can also be due to variation in the availability of the
341 species to the fishing gear due to environmental conditions, thus leading to low productivity (Cushing
342 1995; Van Beveren et al. 2016). Furthermore, when looking at the estimated summer biomass from
343 2010 to 2013 from scientific acoustic surveys (MEDIAS) in the whole GSA 06, its average was almost
344 three and a half times higher than their respective annual landing estimates (STECF 2015). In these
345 estimates, sardine seems to maintain high biomass regardless of the preceding fishing pressure, and
346 consequently, it seems unlikely that the recent LPUE changes were caused by overexploitation alone.
347 Instead, a combination of overexploitation and an unfavourable environment for the species would
348 better explain these trend changes. On the other hand, the likely increase in the catchability of modern
349 purse seiners, as seen with other fleets in Europe (García-Carreras et al. 2015), may contribute to the
350 problem of decreasing abundance of sardine due to excessive fishing mortality.

351 The aim of this study was to test the environmental drivers that best explained the variation of sardine
352 LPUE, and to do so we used GAM and GAMM, which are useful tools to describe relationships
353 between biological and environmental variables (e.g. Bellido et al. 2008; Martín et al. 2008;
354 Giannoulaki et al. 2011; Carpi et al. 2015).

355 In our study, in the final GAMM obtained, the drivers that better explain the LPUE dynamic are
356 WeMOI, SSS and MC. The influences of the WeMOI on fish populations were indirect, with its effects
357 mediated through relationships with local environmental factors (Martín et al. 2012). In fact, during the
358 positive WeMOI, which positively affected LPUEs, the low pressures in the Gulf of Genoa and the
359 high pressure over the Azores (Martin-Vide & Lopez-Bustins 2006) led to two phenomena: one, the
360 prevailing winds affecting the study region came from the northwest (García-Sellés et al. 2010) and
361 two, an augment of the river's discharge rate volume due to an increase in rainfall in the head of the
362 Ebro drainage basin in north Spain (Martín et al. 2012).

363 When north westerly winds and river discharge are simultaneously propitious, wind pushes the
364 continental and more productive waters across the shelf and causes these waters to be mixed and
365 trapped in the mesoscale eddy structure, which takes form offshore of the Ebro mouth (Font 1990;
366 Xing & Davies 2002; Salat et al. 2002). Furthermore, wind stress allows a rise in the mixing and
367 increases the turbulence in the surroundings of the river mouth, widening the mixing area and thus
368 reducing the salinity gradient (Sierra et al. 2002). The resulting waters become more productive and
369 have salinity values more typical of the shelf-water (ca. 38), which was observed in our results and are
370 positively related with LPUEs.

371 These favourable, high nutrient conditions enhance phyto- and zooplankton production (Salat 1996;
372 Salat et al. 2002; Lloret et al. 2004) and allow the sardine population to increase in abundance and
373 consequently yield high LPUE (Agostini & Bakun 2002; Lloret et al. 2004; Sabatés et al. 2007;
374 Palomera et al. 2007). Our findings are congruent with those of other studies in the Mediterranean Sea
375 (Lloret et al. 2004; Ganiás 2009; Martín et al. 2012) as well as in other areas and for other species of
376 sardine (e.g. Pacific sardine in Emmett et al. 2005), where the positive relationships between sardine
377 and primary and secondary production, the latter being enhanced by wind and continental water inputs,
378 are described.

379 The negative values of the WeMOI, which are characterized by an onshore wind flowing from the
380 South (S) and Southeast (SSE), lead to warmer conditions and enhance rain events in the area (Martin-
381 Vide & Lopez-Bustins 2006) and do not allow the discharge water of the river to extend offshore (Xing
382 & Davies 2002); instead, it remains confined to the north and northwest of the Ebro mouth (Mestres et
383 al 2003), probably decreasing primary production. These conditions negatively affect sardine LPUE.
384 Negative relationships are also found with low values of salinity, suggesting that less saline waters or
385 meteorological parameters that lead to a reduction in the salinity of the coastal waters (i.e. rainfall
386 enhanced by WeMOI) are not a suitable environment for this small pelagic fish. As described by
387 Palomera et al. (2007), sardine in early life stages, contrary to anchovy, can be distributed in a wide
388 salinity range and appear to have a lower tolerance to low salinity waters.

389 Moreover, another environmental variable (i.e. current flowing towards the coast) that contributed to
390 the explanation in the landing per unit effort variability was the meridional current flowing northward,
391 which was positively related with LPUE. This northward current, resulting from the interaction
392 between the cross shelf flow and the topographic structure of the Ebro shelf, which displaces the
393 coastal flow eastward (Lorente et al. 2015), is described as being essential for the generation of the

394 mesoscale anticyclonic eddies along the Catalan coast (Garreau et al. 2011). These structures allow the
395 surface waters and particles to converge in the centre of the anticyclonic circulation, increasing food
396 availability (Bakun 2006) and influencing sardine aggregations.

397 Overall, with respect to previous studies in the area, our results constitute a step forward by applying a
398 more complex methodology where variation due to the seasonality, non-linear relationships,
399 autocorrelation in the data and collinearity of the environmental covariates were considered and thus
400 provided further evidence of the dependency of sardine LPUEs upon specific hydrographic variables.
401 The study stresses the importance of the additive and non-linear effects on sardine landings production
402 in Catalan Sea via the WeMOI and the local environmental variables (i.e. salinity and northward
403 current). Although LPUEs are only an approximation of the population abundance, they are a function
404 of fishing efforts and stock dimension (Santos et al. 2012); therefore, the environmental influences
405 encountered can also be expected to affect the sardine population in the area.

406 Sardine landings along the Catalan Coast have been characterized by cyclical fluctuations for the last
407 40 years (Martín et al. 2012), and the analysed period is part of the long decreasing trend starting from
408 the mid-90s. In the studied area and the whole GSA06, this decreasing trend reached the lowest values
409 of the historical series during the last years of the series. Given the overexploited status of sardine stock
410 and the nonstationary nature of the relationships between the physical factor and the populations'
411 functional response (Schmidt et al. 2014), which may have changed over time, unexpected patterns
412 such as the downfall of cyclic fluctuations may arise and prolong the period of low abundance. The
413 environmental conditions that can be expected in the western Mediterranean Sea, under the current
414 climatic change, is a considerable decrease in rainfall and wind, warmer surface waters and a prolonged
415 stratification period (Calvo et al. 2011). These conditions are in line with the second half (i.e. 2006 and
416 later) of the time series environmental data analysed here. If these trends continue in future decades, a
417 likely scenario of decreased primary productivity and lower sardine fisheries production can be
418 anticipated.

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577 **Tables**

578 Table I. Sum of landings in tons and number of fishing operation for each month subseries

	jan	feb	mar	abr	may	jun	jul	aug	sep	oct	nov	dec
Landings (Tons)	0	1090.72	2815.35	3865.54	4677.89	3463.29	3236.93	4100.31	3868.99	4501.23	2497.49	1094.84
N of trips	0	1335	3034	3160	3050	2246	2615	2710	2439	2558	2039	1289

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581 Table II. STL decomposition parameters. n_p = Number of observations in each cycle of the seasonal
 582 component, n_i = Number of iterations of the inner loop, n_o = Number of iterations of the outer loop, n_l =
 583 Smoothing parameter for the low pass filter, n_s = Smoothing parameter for the seasonal component, n_t
 584 = Smoothing parameter for the trend component

	Decomposition Parameters					
Environmental Variables	n_p	n_i	n_o	n_l	n_s	n_t
Temperature	12	2	0	13	29	19
Salinity	12	2	0	13	21	19
WeMOI	12	2	0	13	27	19
Meridional Current	12	2	0	13	25	19
Zonal Current	12	2	0	13	21	19

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587 Table III. Final GAMM model with the analysis of deviance of the covariate (WeMOI = Western
 588 Mediterranean oscillation index; Sal = Salinity; MC = Meridional current). Edf = estimated degree of
 589 freedom. DVe = explained deviance.

	edf	F	p-value	DVe	R²
<i>s(WeMOI)</i>	2.878	5.898	< 0.01	47%	0.43
<i>s(Sal)</i>	5.06	5.144	< 0.01		
<i>s(MC)</i>	3.1	5.24	< 0.01		

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600 **Figure captions**

601 Figure 1. a) The study area limited by the 35 m and 200 m of the isobaths and the two fishing ports; b)
602 landings in tons per month and sum of the days per month in which fishing operations were carried out
603 by the fleet. Note the missing data in the winter due to the annual closed season; c) Annual landings in
604 tons from the two ports starting from the year 1993.

605 Figure 2. Seasonal cycle of the sardine LPUE. The bold lines indicate the median, while bold points
606 are the mean LPUE values.

607 Figure 3. Variation in seasonal adjusted LPUE series (points) and segmented regression (lines) fitted to
608 identify the possible presence of breaks.

609 Figure 4. Main graphs: Trend components of the environmental drivers obtained by the STL
610 decomposition (SAL = Salinity; SST = Sea surface temperature, WeMOI = Western Mediterranean
611 oscillation index; ZC = Zonal Current; MC = Meridional current). Small graphs: monthly mean of each
612 covariate.

613 Figure 5. a) Experimental variogram of the residuals obtained by applying a GAM with all
614 environmental trends. Note that there is evidence that the independence assumption is violated. b)
615 Experimental variogram of the normalized residual using the GAMM with the linear correlation
616 structure. The horizontal axis (h) in each graph represents the time distance.

617 Figure 6. Final GAMM of the seasonal adjusted LPUE in relation to the trend of the environmental
618 variable. The y-axis indicates the smoothers for significant effects of a) Western Mediterranean
619 oscillation index, b) Salinity and c) Meridional current. Shaded areas show 95% confidence limits for
620 the smoothers.

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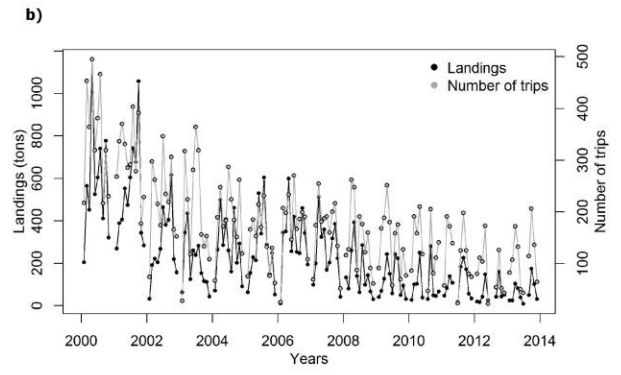
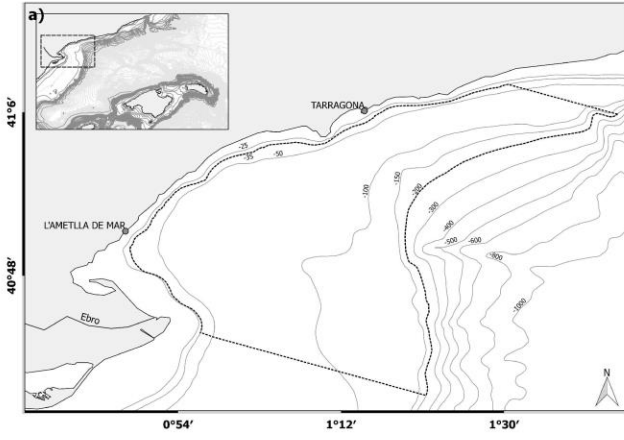
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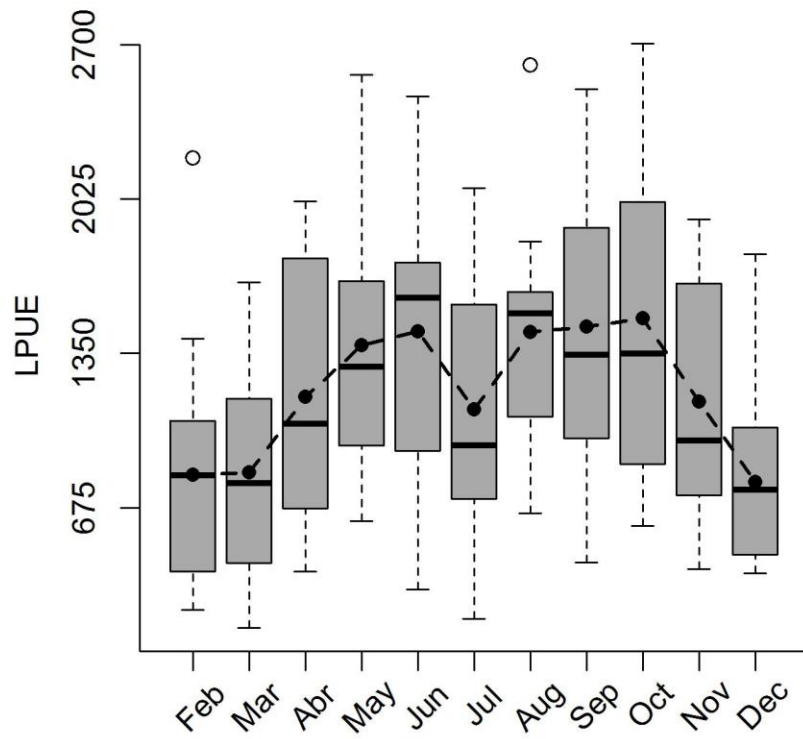


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633 FIG. 1

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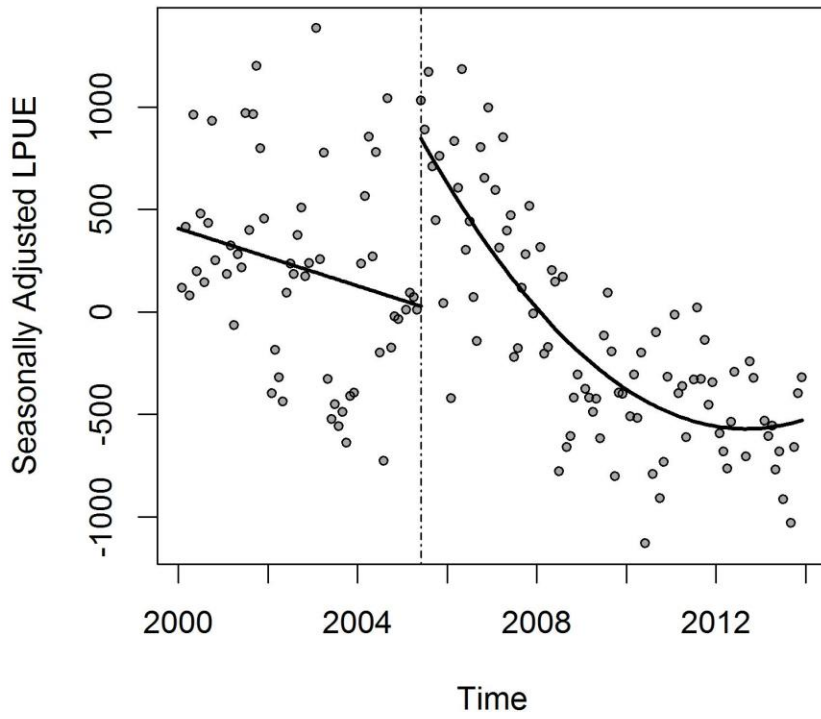
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638 FIG. 2

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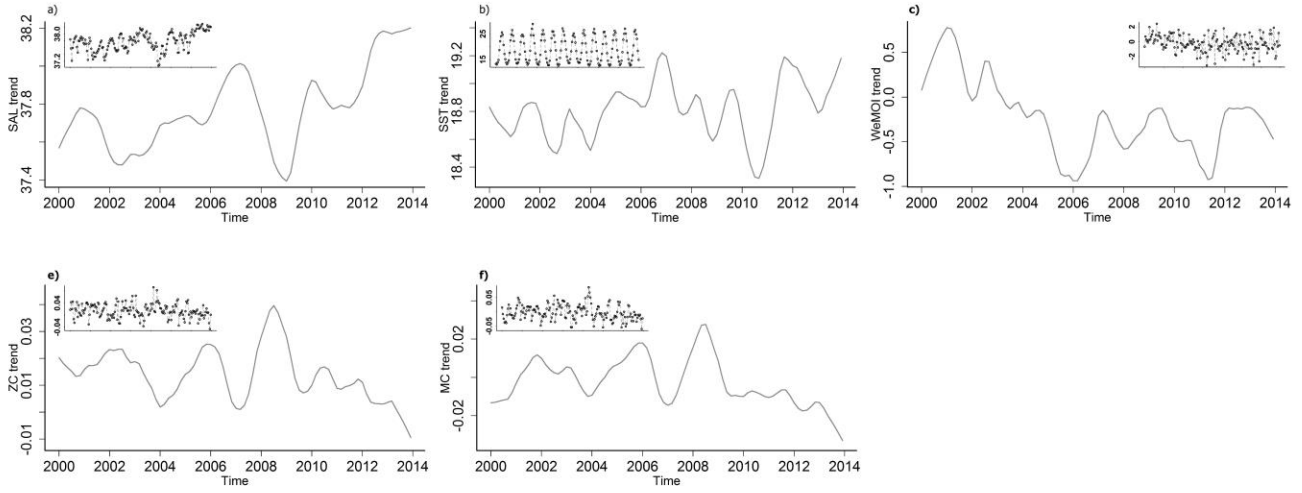


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643 FIG. 3

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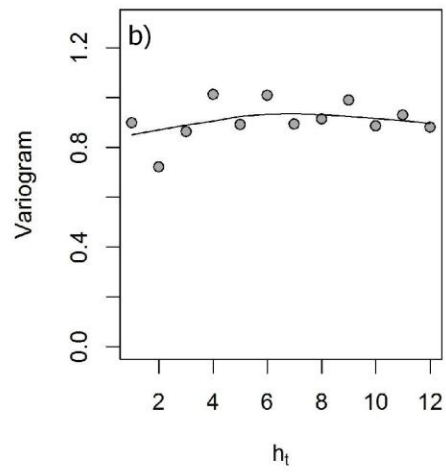
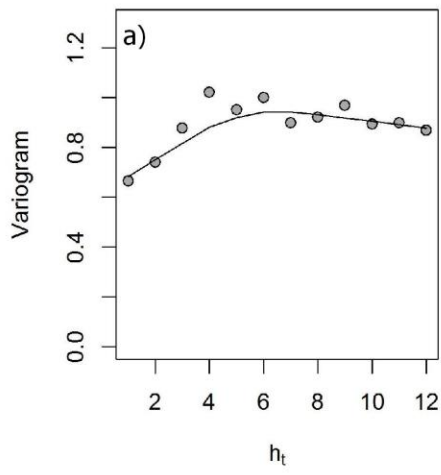


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647 FIG. 4

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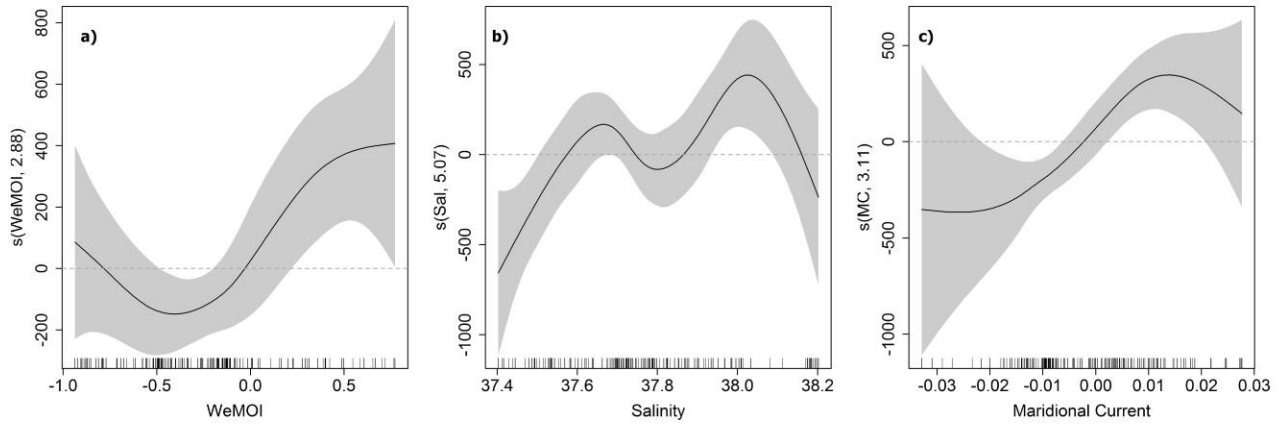


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651 FIG. 5

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