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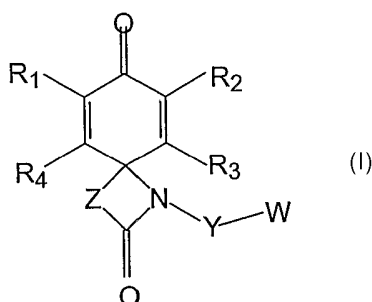
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(54) Title: SPIROLACTAMS AND THEIR SYNTHESIS



(57) Abstract: New spiro lactams of formula (I) having a cyclohexadienone moiety which are highly stable due to pi interactions between the W group and the dienone moiety. They are useful as UV absorbers and as intermediates for the synthesis of active biomolecules.



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SPIROLACTAMS AND THEIR SYNTHESIS

5 FIELD OF THE INVENTION

The present invention relates to new spiro lactam compounds, to synthetic processes and intermediate compounds for their preparation and to their use as UV absorbers.

10 BACKGROUND OF THE INVENTION

Lactams are compounds of high interest due to their biological activities, for example well known β -lactams such as some penicillins, cephalosporins and carbapenems have antibacterial activity.

15

Spirolactams are one particular class of lactams that have shown interesting biological properties. Some spiro-fused azetidinones have been described as having antibacterial activity, see US 4,680,388, or hypocholesterolemic properties, see for example WO 94 17038. Additionally, if these compounds have the adequate functionality they are valuable intermediates towards different families of compounds. The spiro lactam ring is the equivalent of an alpha amino or hydroxy amino acid and opens many possibilities in diastereo and/or enantioselective synthesis.

20

There are few synthetic processes available for this class of compounds. WO 96 25 27587 describes the catalytic enantioselective synthesis of certain spiro lactams that involves a large number of steps. US 5,734,061 also describes a process for the preparation of spirocyclic lactams N-substituted with a tertiary amine substituent. US 4,680,388 describes procedures to obtain N-sulphate substituted spiro lactams. These processes and the intermediates used in them are directed to very particular compounds and therefore 30 lack a wider applicability due to the absence of reactive functional groups.

CONFIRMATION COPY

Dina-Telma et al. in *Tetrahedron Letters*, vol. 35 no 13,2043-246 describe some spirodienone compounds containing a γ -lactam moiety.

5 Miyazawa, E. et al. in *Heterocycles*, vol 59, 1:149-160 "Synthesis of spiro-fused nitrogen heterocyclic compounds via N-methoxy-N-acylnitrenium ions using phenyliodine (III) bis(trifluoroacetate) in trifluoroethanol" describe another process to obtain functionalised spiro lactams including some spirodienones.

10 Glover, S.A. et al. in *Tetrahedron*, 1987, 43:2577-2592 "N-alkoxy-N-acylnitrenium ions in intramolecular aromatic addition reactions" describe the synthesis in low yields of benzolactams via cyclization of N-alkoxy-N-acylnitrenium ions.

15 Kawase, M. et al. in *J.Org. Chem.*,1989, 54:3394-3403 "Electrophilic aromatic substitution with N-methoxy-N-acylnitrenium ions generated from N-chloro-N-methoxyamides: syntheses of Nitrogen heterocyclic compounds bearing a N-methoxyamide group" describe among others the synthesis of spiro benzodienone lactams by ipso amidation with a nitrenium ion.

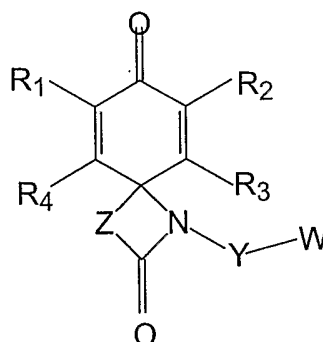
20 These processes present serious inconvenients relating to their yields and to the limited stability of the spiro-fused lactams obtained. Thus, any efficient process for producing functionalised spiro lactam compounds in high yield, with various functionalities such as a cyclohexadienone group, and if necessary with stereospecificity, would be a wellcome contribution to the art.

25

SUMMARY OF THE INVENTION

30 The invention provides very stable spiro-fused lactams having UV absorbing properties and which are useful as intermediate compounds in the preparation of a variety of highly functionalised chemical structures, including, if necessary, diastero and/or enantioselective processes.

In one aspect the invention provides a compound of formula I:



formula I

5 wherein R_1 and R_2 are independently selected from H, halogen, protected or unprotected hydroxy, protected or unprotected silyloxy, substituted or unsubstituted alkyl or cycloalkyl, substituted or unsubstituted alkoxy or aryloxy, substituted or unsubstituted aryl, substituted or unsubstituted heterocyclyl, nitro, substituted or unsubstituted amino, mercapto, substituted or unsubstituted arylthio or alkylthio;

10

R_3 and R_4 are independently selected from H, substituted alkyl, substituted or unsubstituted alkoxy or aryloxy, substituted or unsubstituted aryl, substituted or unsubstituted heterocyclyl;

15 Z is $-(C_{Ra}R_{b})_n-$ or $-CH_2-(C_{Ra}R_{b})-$ or $-(C_{Ra}R_{b})-CH_2-$ or $-CH_2-(C_{Ra}R_{b})-CH_2-$, or $-(CH_2)_2-(C_{Ra}R_{b})-$ or $-(C_{Ra}R_{b})-(CH_2)_2-$ wherein n is a number selected from 1, 2, 3 and R_a and R_b are each independently selected from hydrogen, substituted or unsubstituted alkyl, substituted or unsubstituted cycloalkyl, substituted or unsubstituted alkenyl, substituted or unsubstituted aryl, substituted or unsubstituted heterocyclyl, substituted or
 20 unsubstituted alkoxy, substituted or unsubstituted aryloxy, substituted or unsubstituted amino, or halogen;

Y is selected from $-O-$, $-S-$, $-N_{Ra}-$ or $-C(O)-$, wherein R_a is as previously defined;

25 W is a group with sufficient electronic density to stabilize the compound through p (π) interactions with the benzodienone moiety such as a group selected from substituted or

unsubstituted arylalkyl, substituted or unsubstituted heterocyclalkyl, substituted or unsubstituted alkenyl;
or a salt, complex or solvate thereof.

5 We have drastically increased the stability of these compounds through the selection of an adequate W group.

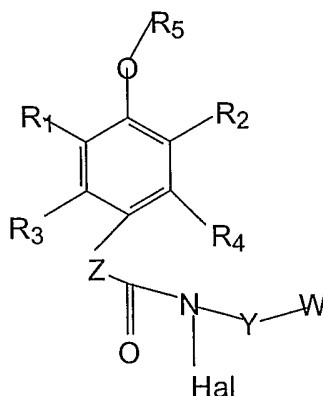
As a further advantage, the compound adopts a preferential conformation in which the W group blocks one of the faces of the benzodienone, directing further reactions on the free face of the benzodienone moiety.

10 In addition, we have found that these compounds present interesting UV absorption properties which can be modulated according to the substituents used.

In one embodiment the compounds of the invention are as above defined with the proviso that when Z is $-\text{CH}_2\text{CH}_2-$ then Y is selected from $-\text{O}-$, $-\text{S}-$ or $-\text{C}(\text{O})-$.

15 In another embodiment W is preferably a group having unsaturated bonds or aromatic groups, more preferably it comprises at least a group selected from substituted or unsubstituted aryl, substituted or unsubstituted heterocyclalkyl, substituted or unsubstituted alkenyl. More preferably it is selected from substituted or unsubstituted arylalkyl, substituted or unsubstituted heterocyclalkyl, substituted or unsubstituted alkenyl.

20 The invention also provides a process for producing a compound of formula I which comprises a step (a) of reacting a compound of formula III:



formula III

25

wherein R₁, R₂, R₃, R₄, Z, Y, W are as defined above,

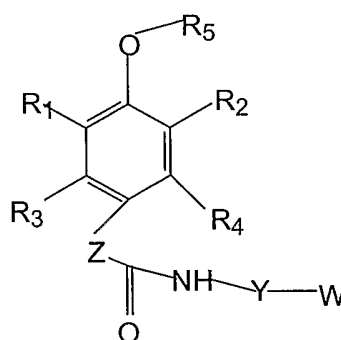
R₅ is hydrogen or substituted or unsubstituted alkyl;

Hal is F, Cl, Br, I or -SO₂CF₃;

with an N-acylnitrenium ion forming agent to produce a compound of formula I.

5

Preferably the process comprises the additional step (b) of preparing a compound of formula III by reacting a compound of formula IV:



10

formula IV

wherein wherein R₁, R₂, R₃, R₄, R₅, Z, Y, W are as defined above,

with a Weinreb-type amide halogenating agent; preferably an agent selected from alkyl

15 hypochlorite, alkyl hypobromite, sodium bromite, sodium hypochlorite,

benzyltrimethylammonium trihalide, N-halophthalimide, N-halosuccinimide or

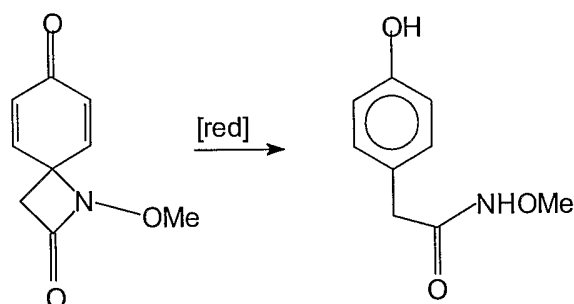
phenyliodine (III) bis(trifluoroacetate) (PIFA). Most preferred is sodium hypochlorite.

Further, the invention provides intermediate compounds useful in the production of a

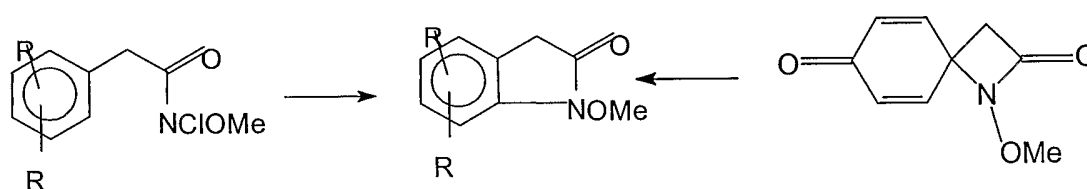
20 compound of formula I as defined above, such as compounds III.

DETAILED DESCRIPTION OF THE INVENTION

Previously described compounds such as N-methoxy substituted spiro-lactams
 25 benzodienones are poorly stable and tend to reverse to compounds structurally related to
 the starting products during their synthesis or purification because of an easily triggered
 reduction process:



Additionally, during their synthesis or during their purification, and depending on the substitution of the phenyl alkylamides starting materials, other aromatic heterocycles tend to be produced because of their higher stability, such as for example (see *J.Org.Chem.*, 1989, 54: 2294-3403, scheme I):



We have now found a new class of compounds containing the spiro lactam group and presenting a benzodienone functionality that are remarkably stable and open to a large number of possibilities for further use. The stability is present during synthesis and also in purification processes. In these compounds the above mentioned reactions are avoided.

Without being bound by theory, we believe that the high stability is provided by π interactions between the W group attached to the the Y group such as hydroxylamino and the benzodienone functionality. This configuration has a further advantage in that the W group covers one face of the benzodienone group, acting as a protecting group for one of the faces and directing the attack of further reactives to the other face.

20

In our copending application PCT/EP2005/...., filed the same day as the present application, the reactivity of the compounds of the invention is advantageously used to provide a broad range of intermediate compounds useful for the synthesis of biologically active molecules.

In the above definition of compounds of formula (I) and in the description the following terms have the meaning indicated:

5 "Alkyl" refers to a straight or branched hydrocarbon chain radical consisting of carbon and hydrogen atoms, containing no saturation, having one to eight carbon atoms, and which is attached to the rest of the molecule by a single bond, e. g., methyl, ethyl, n-propyl, i-propyl, n-butyl, t-butyl, n-pentyl, etc. Alkyl radicals may be optionally substituted by one or more substituents such as halo, hydroxy, alkoxy, carboxy, cyano, carbonyl, acyl,
10 alkoxy carbonyl, amino, nitro, mercapto and alkylthio, etc.

"Alkoxy" refers to a radical of the formula-ORalk where Ralk is an alkyl radical as defined above, e. g., methoxy, ethoxy, propoxy, etc. "Aryloxy" refers to a radical of formula -ORar wherein Rar is an aryl radical as defined below.

15

"Amino" refers to a radical of the formula-NH₂, -NHRa, -NRaRb.

"Aryl" refers to a phenyl, naphthyl, phenantryl or anthracyl radical. The aryl radical may be optionally substituted by one or more substituents such as hydroxy, mercapto, halo,
20 alkyl, phenyl, alkoxy, haloalkyl, nitro, cyano, dialkylamino, aminoalkyl, acyl and alkoxy carbonyl, etc. as defined herein.

25

"Aralkyl" refers to an aryl group linked to an alkyl group such as benzyl and phenethyl.

"Cycloalkyl" refers to a saturated carbocyclic ring having from 3 to 8 carbon atoms.

"Heterocyclyl" refers to a heterocyclic radical, i.e. a stable 3- to 15- membered ring which consists of carbon atoms and from one to five heteroatoms selected from the group
30 consisting of nitrogen, oxygen, and sulfur, preferably a 4-to 8-membered ring with one or more heteroatoms, more preferably a 5-or 6-membered ring with one or more heteroatoms. For the purposes of this invention, the heterocycle may be a monocyclic, bicyclic or

tricyclic ring system, which may include fused ring systems; and the nitrogen, carbon or sulfur atoms in the heterocyclyl radical may be optionally oxidised; the nitrogen atom may be optionally quaternized; and the heterocyclyl radical may be partially or fully saturated or aromatic. Examples of such heterocycles include, but are not limited to, azepines, benzimidazole, benzothiazole, furan, isothiazole, imidazole, indole, piperidine, piperazine, 5 purine, quinoline, thiadiazole, tetrahydrofuran.

“Hydroxyl protecting group” refers to a group that blocks the OH function for further reactions and can be removed under controlled conditions. The hydroxyl protecting groups are well known in the art, representative protecting groups are silyl ethers such as 10 trimethylsilyl ether, triethylsilyl ether, tert-butyldimethylsilyl ether, tert-butyldiphenylsilyl ether, tri-isopropylsilyl ether, diethylisopropylsilyl ether, hexyldimethylsilyl ether, triphenylsilyl ether, di-tert-butylmethylsilyl ether; alkyl ethers such as methyl ether, tert-butyl ether, benzyl ether, p-methoxybenzyl ether, 3,4-dimethoxybenzyl ether, trityl ether; 15 allyl ether; alkoxymethyl ether such as methoxymethyl ether, 2-methoxyethoxymethyl ether, benzyloxymethyl ether, p-methoxybenzyloxymethyl ether, 2-(trimethylsilyl)ethoxymethyl ether; tetrahydropyranyl and related ethers; methylthiomethyl ether; Esters such as acetate ester, benzoate ester; pivalate ester; methoxyacetate ester; chloroacetate ester; levulinate ester; Carbonates such as benzyl carbonate, p-nitrobenzyl 20 carbonate, tert-butyl carbonate, 2,2,2-trichloroethyl carbonate, 2-(trimethylsilyl)ethyl carbonate, allyl carbonate; and sulphates such as SO₃py. Additional examples of hydroxyl protecting groups can be found in reference books such as Greene and Wuts' “Protective Groups in Organic Synthesis”, John Wiley & Sons, Inc., New York, 1999.

25 References herein to substituted groups in the compounds of the present invention refer to the specified moiety that may be substituted at one or more available positions by one or more suitable groups, e. g., halogen such as fluoro, chloro, bromo and iodo ; cyano ; hydroxyl ; nitro ; azido ; alkanoyl such as a C1-6 alkanoyl group such as acyl and the like ; carboxamido ; alkyl groups including those groups having 1 to about 12 carbon atoms or 30 from 1 to about 6 carbon atoms and more preferably 1-3 carbon atoms ; alkenyl and alkynyl groups including groups having one or more unsaturated linkages and from 2 to about 12 carbon or from 2 to about 6 carbon atoms ; alkoxy groups having one or more

oxygen linkages and from 1 to about 12 carbon atoms or 1 to about 6 carbon atoms ;
aryloxy such as phenoxy ; alkylthio groups including those moieties having one or more
thioether linkages and from 1 to about 12 carbon atoms or from 1 to about 6 carbon atoms ;
alkylsulfinyl groups including those moieties having one or more sulfinyl linkages and
5 from 1 to about 12 carbon atoms or from 1 to about 6 carbon atoms ; alkylsulfonyl groups
including those moieties having one or more sulfonyl linkages and from 1 to about 12
carbon atoms or from 1 to about 6 carbon atoms ; aminoalkyl groups such as groups having
one or more N atoms and from 1 to about 12 carbon atoms or from 1 to about 6 carbon
atoms ; carbocyclic aryl having 6 or more carbons, particularly phenyl or naphthyl and
10 aralkyl such as benzyl. Unless otherwise indicated, an optionally substituted group may
have a substituent at each substitutable position of the group, and each substitution is
independent of the other.

Unless otherwise stated, the compounds of the invention are also meant to include
15 compounds which differ only in the presence of one or more isotopically enriched atoms.
For example, compounds having the present structures except for the replacement of a
hydrogen by a deuterium or tritium, or the replacement of a carbon by a ^{13}C - or ^{14}C -
enriched carbon or ^{15}N -enriched nitrogen are within the scope of this invention.

20 Salts of compounds of the invention are also part of the invention. They can be
synthesized from the parent compound which contains a basic or acidic moiety by
conventional chemical methods. Generally, such salts are, for example, prepared by
reacting the free acid or base forms of these compounds with a stoichiometric amount of
the appropriate base or acid in water or in an organic solvent or in a mixture of the two.
25 Generally, nonaqueous media like ether, ethyl acetate, ethanol, isopropanol or acetonitrile
are preferred. Examples of the acid addition salts include mineral acid addition salts such
as, for example, hydrochloride, hydrobromide, hydroiodide, sulphate, nitrate, phosphate,
and organic acid addition salts such as, for example, acetate, maleate, fumarate, citrate,
oxalate, succinate, tartrate, malate, mandelate, methanesulphonate and p-
30 toluenesulphonate.

The compounds of the invention may be in crystalline form either as free compounds or as solvates (e.g. hydrates) and it is intended that both forms are within the scope of the present invention. Methods of solvation are generally known within the art.

5 The compounds of the present invention represented by the above described formula (I) may include enantiomers depending on the presence of chiral centres or isomers depending on the presence of multiple bonds (e.g. Z, E). The single isomers, enantiomers or diastereoisomers and mixtures thereof fall within the scope of the present invention.

10

In the compounds of formula I, R₃ and R₄ are preferably H. Other substituents such as halogen or unsubstituted alkyl are more difficult to produce because of the formation of indol type of compounds instead of the lactam.

15 In the compounds of formula I, substituents R₁ and R₂ should preferably not be strongly electrophilic because during the synthesis, and depending on the method used, they could difficult the attack of the nitrenium ion. Preferably they are each independently selected from hydrogen, halogen or substituted aryl. More preferably they are both hydrogen.

20

In the compounds of formula I, the group Z gives rise to a ring of 4, 5 or 6 members. Substitution on position Z creates a stereogenic center that could induce selective functionalisation on the benzodienone moiety. In a preferred embodiment Z is –(CH₂)_n–. In another preferred embodiment Z is –CRaRb–, –CH₂–CRaRb– or –CRaRb–CH₂–
25 wherein Ra and Rb are different thus creating a chiral center.

Although the lactam rings of 5 or 6 are also comprised within the scope of the invention, in one embodiment a β-lactam (n= 1) is preferred because of the further uses that can be given to such compounds.

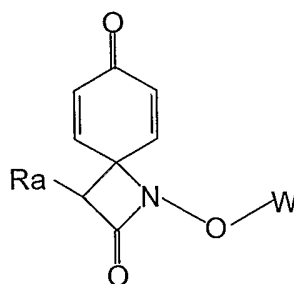
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The group Y in the compounds of formula I, plays a role in the stability and conformation and also during its synthesis. In an embodiment Y is preferably -O-, although other atoms are not excluded as long as the final product is stable.

5 As we already mentioned, the W group is important for the stabilization of the compound of formula I. Preferably it comprises unsaturated bonds or aromatic groups to increase the pi interaction. Aralkyl groups and alkenyl groups are preferred since they give the best stability. In a particular embodiment, W is -CRaRb-Q or -SiRaRb-Q since the stability of the conformation is further improved by the presence of a -CRaRb- or a -
10 SiRaRb- linker between Y and the substituent Q which has p (pi) interactions with the benzodienone moiety. The linker is preferably -CHRa-. In this case a stereogenic center is introduced which allows for the selectivity or specificity of any further reaction, distinguishing the two double bonds of the benzodienone. This will advantageously open
15 the way to diastereo- and/or enantioselective synthesis in addition to the selection for one face which is mentioned above. Depending on the size of Ra it can also modulate the p (pi) interactions and thus modulate properties such as UV absorption.

In one embodiment the W is an aralkyl group. Among the aryl groups, substituted or unsubstituted phenyl and naphthyl are preferred. Heterocyclalalkyl groups are also
20 envisaged.

In one embodiment the compounds of formula II are preferred:



Formula II

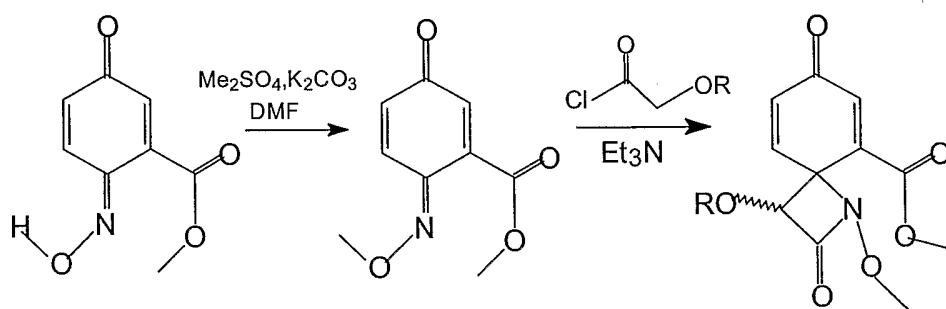
25 Wherein W and Ra are as above defined. A particularly stable compound according to formula II has W = benzyl.

In one embodiment Ra is H in the compound of formula II.

In another preferred embodiment Ra is an halo, substituted or unsubstituted alkyl, hydroxy, alkoxy, aryloxy group or an hydroxy protected group, thus introducing a chiral center in the β -lactam ring.

5 The compounds of formula (I) or (II) defined above can be obtained by available synthetic procedures. Some examples of these procedures are described in the documents mentioned above.

In one embodiment of the process of the invention, a Staudinger type reaction
10 between an activated carboxylic acid and an imine can be used to provide access to the compounds of the invention, such as in the following case:

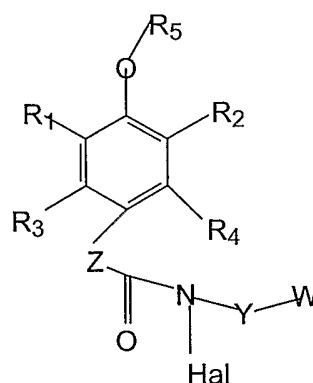


Alternative to these processes will be apparent to the person skilled in the art.

15

However, particularly good results are obtained forming the spiro lactam ring through reduction of an aromatic compound via a N-acylnitrenium ion.

Therefore in one aspect the invention is directed to a process of preparing a
20 compound of formula I as defined above which comprises the step (a) of reacting a compound of formula III:



formula III

wherein R₁, R₂, R₃, R₄, Z, Y, W are as defined above; R₅ is hydrogen or substituted or
 5 unsubstituted alkyl; Hal is F, Cl, Br, I or eventually -SO₂CF₃;
 with an N-acylnitrenium ion forming agent to produce a compound of formula I.

If Hal is an halogen, an adequate precipitating agent will be able to form the
 nitrenium ion. In general silver salts give good results, other salts can be used.
 10

R₅ is preferably an electron-donating group, to promote the ipso addition of the
 nitrenium ion. Preferably R₅ is alkyl such as methyl, ethyl, propyl, etc. Most preferably it is
 methyl.

15 An adequately substituted amino group can be used as an alternative to the -OR₅
 group, in this case the addition of the nitrenium ion will generate the iminium salt of the
 benzodienone which by hydrolysis generates the benzodienone. In this alternative, it is
 preferred that the substituents on the N atom be electro-donating groups, such as
 dialkylamine. Another possibility is to use an halogen group instead of -OR₅ as described
 20 in *J.Org.Chem.*, 2003, 68: 6739-6744.

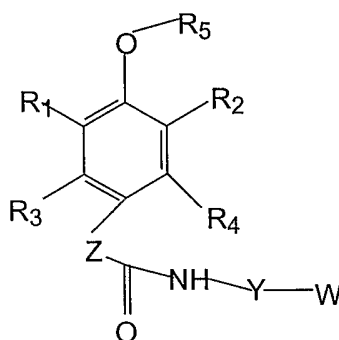
The reaction is preferably carried out in the absence of light to avoid undesired
 radical reactions such the formation of the alkoxyamide starting material rather than ipso
 amidation (addition), or decomposition of compounds of formula III.

25

Preferably the solvent should be polar, such as for example trifluoroacetic acid or acetic acid. A temperature of about -10°C to about 10°C is preferred, more preferably of about 0°C. The reaction can be carried out under inert atmosphere if necessary. The obtained product of formula I can be purified following standard procedures such as evaporation, chromatography, phase separation (extraction). As previously mentioned the product is stable and can be stored for a prolonged period of time.

The compound of formula III is preferably prepared from a Weinreb-type amide compound of formula IV:

10



formula IV

15 wherein R₁, R₂, R₃, R₄, R₅, Z, Y, W are as defined above, by reaction with an halogenating agent. The halogenating agent is preferably an agent selected from alkyl hypochlorite, alkyl hypobromite, sodium bromite, sodium hypochlorite, benzyltrimethylammonium trihalide, N-halosuccinimide, N-halophthalimide or phenyliodine (III) bis(trifluoroacetate) (PIFA). Sodium hypochlorite is preferred because of its low cost and availability.

20

The halogenation is preferably carried out in an apolar solvent, such as acetone, and at a temperature of about -10°C to about 10°C, more preferably of about 0°C. The reaction is preferably carried out in absence of light to avoid undesired radical reactions.

25

The compounds of formula IV are either commercially available or easily prepared following known procedures as described for example in the above mentioned references.

The processes above described provide a quick and easy way (3 steps) to obtain the stable compounds of formula I.

The possibility of the preparation of new lactams, which are stable, densely functionalised and well suited to control further reactions opens a large number of possibilities for further use. The compounds of formula I are useful starting materials to produce a variety of chemical structures of interest. The double bond can be subjected to electrophilic attacks with for example hydroxylating agents, epoxydation agents, reduction agents, as well as cycloadditions and Michael reactions.

RMN and UV spectroscopic data (see examples section) for compounds described by formula I, are in complete agreement with the presence of π interactions between their benzodienone portion and the Y-X substitution for the cases in which the π -electrons are adequately orientated.

Thus, from the comparison between the ^1H RMN data (chemical shifts and coupling constants) for compounds 3a, 3b, 3c y 3d (examples of formula IV) and compounds 5a, 5b, 5c y 5d (examples of formula I) it became evident that compounds 5c and 5d have to present the above indicated π interactions. For compound 5d, the huge difference between the four signals assigned to the four protons of its benzodienone portion has to be correlated to the interaction between this moiety and its Y-X portion. In addition, we propose that this interaction is enhanced by a Thorpe-Ingold effect.

Furthermore, the UV date for compounds 3a, 3b, 3c y 3d (examples of formula IV) and compounds 5a, 5b, 5c y 5d (examples of formula I) (see examples section) support our conclusions from their ^1H RMN data. While the spectra for 3a, 3b, 3c y 3d (examples of formula IV) present at 276 nm their maximum absorption (λ_{max}) and this is with independence of theirs Y-W substitution or Y-X, respectively, the situation for examples of formula I is completely different. Compounds 5a and 5b present at 243 nm their maximum absorptions (λ_{max}), and compounds 5c and 5b at 242 y 232 nm, respectively. The variation of 11 nm into the maximum absorption (λ_{max}) between compound 5d and

compound 5a is assigned to the interaction between its benzodienone portion moiety and its Y-X portion.

5 The knowledge of the structural basis (the establishment of π interactions between their benzodienone portion and the Y-X substitution) for the above features spans the scope of their accessibility and applicability. From their absorption data it is clear that both the range of absorption and the possibility to modulate this range by selection the appropriate substituents makes these compounds useful materials as UV absorbers.

10 The following examples are intended to exemplify the invention, and should not be construed as limiting the disclosure of the claimed invention.

EXAMPLES

15 General Methods and Materials.

All reactions described below were carried out under argon atmosphere unless otherwise noted. The solvents used were distilled and dried under argon atmosphere before use (CH_2Cl_2 and benzene were distilled over CaH_2). Flash Chromatography was executed on columns loaded with 230-400 mesh silica gel Merck. TLC was carried out on silica gel
20 Merck (Kieselgel 60F-254).

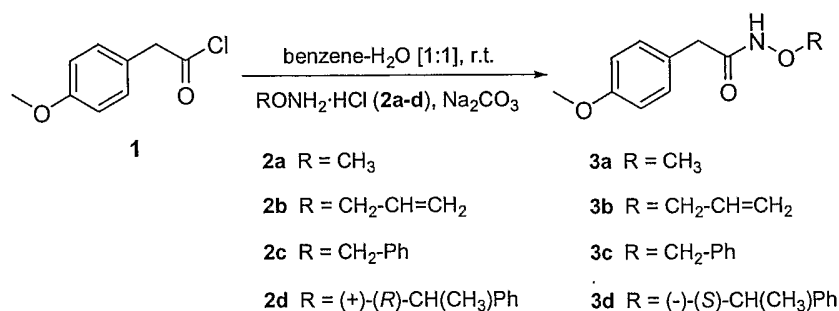
All starting materials were purchased commercially (Aldrich, Fluka and Merck) and used without further purification, except the *N*-alcoxyamine 2d, which was prepared according to a literature procedure (see below). A commercial household bleach solution Mavy[®] which is stated to be <5% NaOCl was used for the preparation of *t*-butyl
25 hypochlorite following the procedure described below.

Melting points (mp) were determined on a Reichert Microscopic Hot-Stage and are uncorrected. ^1H and ^{13}C NMR spectra were measured on a Varian Gemini-200 and a Varian Inova-300 spectrometer with $(\text{CH}_3)_4\text{Si}$ as an internal reference and CDCl_3 as solvent unless otherwise noted. Both ^1H and ^{13}C NMR spectral data are reported in parts
30 per million (δ) relative to residual sign of the solvent (CDCl_3 , 7.26 ppm and 77.0 ppm for ^1H and ^{13}C NMR, respectively). ^1H and ^{13}C NMR designations are: s (singlet); s br. (broad

singlet); d (doublet); t (triplet); q (quartet); m (multiplet). Infrared (IR) spectra were recorded on a Perkin-Elmer FT-IR spectrometer. UV spectra were recorded on a Perkin-Elmer 402 spectrometer. Low-resolution mass (LRMS) spectra were obtained on a Hewlett Packard 5973 MSD spectrometer with a direct inlet system (EI) at 70 eV. Microanalytical data (E.A.) were obtained on a Perkin-Elmer 240C and Heraeus CHN-O instruments at the Instrumental Analysis Department of Instituto de Química Orgánica General (C.S.I.C.).

Example 1:

General Procedure for the Preparation of the *N*-Alcoxyamides 3a-d from the 4-Methoxyphenylacetyl Chloride (1).



The *N*-alcoxyamines 2a-c were purchased from Aldrich and Fluka Companies, and used without further purification. The *N*-alcoxyamine 2d was prepared following the procedure described in: Brown, D. S.; Gallagher, P. T.; Lightfoot, A. P.; Moody, C. J.; Slawin, A. M. Z.; Swann, E. *Tetrahedron* 1995, 51, 11473-11488.

To a vigorously stirred solution of *N*-alcoxyamine hydrochloride 2a-d (17.87 mmol) and sodium carbonate (32.50 mmol) in a mixture of benzene (23 ml) and H₂O (23 ml) with ice-water bath cooling, was added 4-methoxyphenylacetyl chloride (1). The mixture was stirred at room temperature for 12 h under an argon atmosphere and the progress of the reaction was monitored by TLC (hexane-AcOEt, 1:2). Then, AcOEt (50 ml) was added and the organic layer separated. This process was repeated three times. The combined extracts were washed with brine (2 x 50 ml), dried over Na₂SO₄, filtered and concentrated under reduced pressure to give the corresponding *N*-alcoxyamide 3a-d, which was used in the next reaction without further purification.

Example 2:***N*-Methoxy-4-methoxyphenylacetamide (3a)**

The compound was obtained from 1 and 2a as described in: Kawase, M.; Kitamura, T.;
5 Kikugawa, Y. *J. Org. Chem.* 1989, 54, 3394-3403, "Electrophilic aromatic substitution
with *N*-methoxy-*N*-acylnitrenium ions generated from *N*-chloro-*N*-methoxyamides:
syntheses of nitrogen heterocyclic compounds bearing a *N*-methoxyamide group".

$R_f = 0.14$ (TLC, hexane-AcOEt, 1:2); yield, 99%; white solid, mp 86-87 °C (lit. mp 83-85
°C); $^1\text{H-NMR}$ (200MHz, CDCl_3): δ 7.88 (1H, s br., NH), 7.19 (2H, d, $J = 8.7$ Hz, H-2),
10 6.88 (2H, d, $J = 8.7$ Hz, H-3), 3.81 (3H, s, OCH_3), 3.71 (3H, s, NOCH_3), 3.50 (2H, s, CH_2);
 $^{13}\text{C-NMR}$ (75MHz, CDCl_3): δ 169.1, 158.5, 130.1, 126.1, 113.9, 63.8, 55.1, 39.1; IR
(KBr): ν 3467, 3159, 2967, 1644, 1612, 1513, 1252, 1063, 1033 cm^{-1} ; UV (MeOH): λ_{max}
(ϵ) = 276 nm ($1619 \text{ l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 195(M^+ , 3), 165(1), 160(1), 148(6),
15 135(4), 121(100), 91(23), 78(66); E.A. ($\text{C}_{10}\text{H}_{13}\text{NO}_3$): calculated C, 61.53; H, 6.71; found
C, 61.61; H, 6.76.

Example 3:***N*-(*O*-Allylhydroxyl)-4-methoxyphenylacetamide (3b)**

Following the same procedure as in example 1 but starting from 2b we obtained compound
20 3b.

$R_f = 0.30$ (TLC, hexane-AcOEt, 1:2); yield, 99%; white solid, mp 100-101 °C; $^1\text{H-NMR}$
(200MHz, CDCl_3): δ 7.85 (1H, s br., NH), 7.18 (2H, d, $J = 8.5$ Hz, H-2 and H-6), 6.87 (2H,
d, $J = 8.5$ Hz, H-3 and H-5), 5.89 (1H, m, $\text{CH}=\text{CH}_2$), 5.31 (1H, s br., $\text{CH}=\text{CH}_2$), 5.25 (1H, s
br., $\text{CH}=\text{CH}_2$), 4.32 (2H, d, OCH_2), 3.80 (3H, s, OCH_3), 3.49 (2H, s, CH_2); $^{13}\text{C-NMR}$
25 (75MHz, CDCl_3): δ 169.1, 158.5, 131.9, 130.0, 126.2, 120.2, 113.8, 76.9, 55.0, 39.2; IR
(KBr): ν 3467, 2967, 1641, 1609, 1514, 1253, 1057 cm^{-1} ; UV (MeOH): λ_{max} (ϵ) = 276 nm
($2070 \text{ l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 221(M^+ , 37), 180(3), 161(16), 148(33), 135(9),
121(100), 91(17), 78(31); E.A. ($\text{C}_{12}\text{H}_{15}\text{NO}_3$): calculated C, 65.14; H, 6.83; found C, 65.21;
H, 6.89.

Example 4:***N*-Benzyloxy-4-methoxyphenylacetamide (3c)**

Following the same procedure as in example 1 but starting from 2c we obtained compound 3c.

- 5 $R_f = 0.40$ (TLC, hexane-AcOEt, 1:2); yield, 99%; white solid, mp 98-99 °C; $^1\text{H-NMR}$ (200MHz, CDCl_3): δ 7.71 (1H, s br., NH), 7.34 (5H, s br., Ph), 7.11 (2H, d, $J = 8.5$ Hz, H-2 and H-6), 6.83 (2H, d, $J = 8.5$ Hz, H-3 and H-5), 4.86 (2H, s, OCH_2Ph), 3.79 (3H, s, OCH_3), 3.45 (2H, s, CH_2); $^{13}\text{C-NMR}$ (75MHz, CDCl_3): δ 168.9, 158.5, 135.0, 130.0, 129.01, 128.4, 128.3, 126.0, 113.9, 77.8, 55.0, 39.3; IR (KBr): ν 3436, 3159, 2965, 1644, 10
10 1611, 1512, 1252, 1059, 1032, 726, 696 cm^{-1} ; UV (MeOH): λ_{max} (ϵ) = 276 nm ($1558 \text{ l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 271(M^+ , 14), 239(2), 211(6), 193(1), 180(2), 165(3), 148(5), 121(71), 91(100), 77(29); E.A. ($\text{C}_{16}\text{H}_{17}\text{NO}_3$): calculated C, 70.83; H, 6.32; found C, 70.87; H, 6.35.

15 **Example 5:****(-)-(*S*)-*N*-(1-Phenylethoxy)-4-methoxyphenylacetamide (3d)**

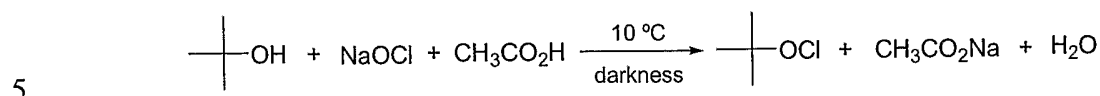
Following the same procedure as in example 1 but starting from 2d we obtained compound 3d.

- $R_f = 0.32$ (TLC, hexane-AcOEt, 1:1); yield, 99%; white solid, mp 60-61 °C; $[\alpha]_{\text{D}}^{20} = -$
20 168.2° (c 1.1, CHCl_3); $^1\text{H-NMR}$ (200MHz, CDCl_3): δ 7.56 (1H, s br., NH), 7.32 (5H, m, Ph), 6.98 (2H, d, $J = 7.1$ Hz, H-2 and H-6), 6.77 (2H, d, $J = 7.1$ Hz, H-3 and H-5), 4.98 (1H, m, $\text{OCH}(\text{CH}_3)\text{Ph}$), 3.78 (3H, s, OCH_3), 3.35 (2H, s, CH_2), 1.53 (3H, d, $J = 6.6$ Hz, CH_3); $^{13}\text{C-NMR}$ (75MHz, CDCl_3): δ 168.5, 158.1, 140.6, 129.7, 128.0, 127.8, 126.6, 126.3, 113.5, 82.5, 54.7, 38.8, 20.4; IR (KBr): ν 3202, 3057, 2956, 2927, 2847, 1652,
25 1609, 1512, 1455, 1301, 1247, 1178, 1035, 700 cm^{-1} ; UV (MeOH): λ_{max} (ϵ) = 276 nm ($1841 \text{ l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 285(M^+ , 3), 268(2), 181(6), 165(2), 148(6), 121(40), 105(100), 91(5), 77(17); E.A. ($\text{C}_{17}\text{H}_{19}\text{NO}_3$): calculated C, 71.56; H, 6.71; found C, 71.62; H, 6.75.

30 **Example 6:**

General Procedure for the Preparation of the Spiro-Lactams 5a-d.

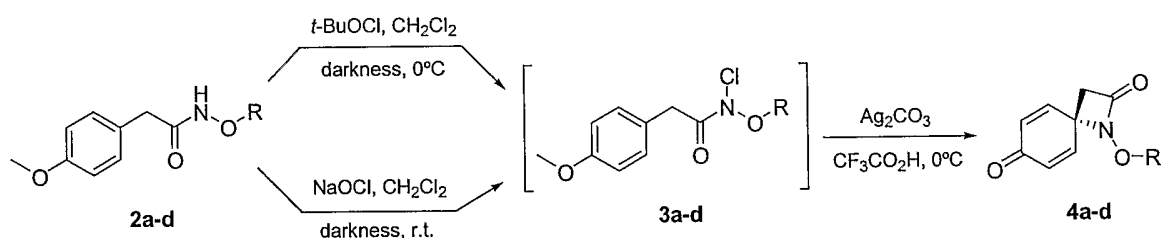
First, the compound *t*-Butyl Hypochlorite was prepared following the procedure described in: Mintz, M. J.; Walling, C. *Org. Syntheses* 1969, 49, 9-12.



To a vigorously stirred commercial household bleach solution (500 ml) was added, at 10 °C in the dark and in a single portion, a solution of *tert*-butyl alcohol (37 ml, 0.39 mol) and glacial acetic acid (24.5 ml, 0.43 mol). The reaction mixture was stirred for about 3 min, and then was poured into separatory funnel. The lower aqueous layer was discarded, and the oily yellow organic layer was washed first with 10% aqueous Na₂CO₃ solution (50 ml) and then H₂O (50 ml). The product was dried over CaCl₂ (1 g) and filtered. The product can be stored in refrigerator over CaCl₂ in amber glass bottles. The *t*-butyl hypochlorite isolated by this procedure could be used in the next reaction without further purification.

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To a stirred solution of a *N*-alcoxyamide 3a-d (7.37 mmol) in CH₂Cl₂ (30 ml) was added slowly, at 0 °C in the dark, freshly prepared *tert*-butyl hypochlorite (9.21 mmol). Alternatively, sodium hypochlorite such as commercial household bleach solution Mavy[®] is used, at room temperature in the dark. The resulting mixture was stirred at 0 °C (or in the case of bleach added, at room temperature) in the dark under an argon atmosphere until the disappearance of starting material by TLC (hexane-AcOEt, 1:2) was observed (the time required was generally less than 30 min). The solvent was evaporated in the dark under

25

reduced pressure and the residue, the *N*-chloro-*N*-alcoxyamide 4a-d as a yellow solid ($R_f \sim 0.83$, hexane-AcOEt, 1:2), was used in the next reaction without further purification.

The solid *N*-chloro-*N*-alcoxyamide 4a-d cooled at 0 °C under argon atmosphere, was added a solution of silver carbonate (14.74 mmol) in TFA (30 ml) in the dark with stirring. The mixture was stirred until the reaction was complete, generally 30 min (TLC monitoring, hexane-AcOEt, 1:2), and then the solvent was removed under pressure below 35 °C. The residue was basified with 5% aqueous Na₂CO₃ solution (75 ml) with cooling. The precipitated silver salts were filtered through Celite in vacuum, and the pad was washed with CH₂Cl₂. The aqueous solution was extracted with CH₂Cl₂ (3 x 150 ml). The combined extracts was washed with brine (2 x 150 ml), dried over Na₂SO₄ and concentrated under reduced pressure. The residue was purified by silica gel column chromatography (hexane-AcOEt) to give the spiro β-lactam 5a-d.

15 **1-Methoxy-1-azaspiro[3.5]nona-5,8-diene-2,7-dione (5a)**

Flash chromatography (hexane-AcOEt, 1:1). $R_f = 0.33$ (TLC, hexane-AcOEt, 1:2); yield, 43%; pale brown solid, mp 107-109 °C; ¹H-NMR (200MHz, CDCl₃): δ 6.91 (2H, d, $J = 10.2$ Hz, CH=CHCO), 6.46 (2H, d, $J = 10.2$ Hz, CH=CHCO), 3.77 (3H, s, OCH₃), 2.97 (2H, s, CH₂); ¹³C-NMR (75MHz, CDCl₃): δ 184.2, 162.2, 145.5, 132.5, 65.5, 60.4, 43.6; IR (KBr): ν 3436, 3014, 2934, 1772, 1667, 1630, 1404, 1060, 880 cm⁻¹; UV (MeOH): λ_{max} (ε) = 243 nm (11959 l·mol⁻¹·cm⁻¹); LRMS(EI): m/z 179(M⁺, 1), 164(1), 151(2), 137(100), 106(3), 78(6); E.A. (C₉H₉NO₃): calculated C, 60.33; H, 5.06; found C, 60.39; H, 5.10.

1-(*O*-Allylhydroxyl)-1-azaspiro[3.5]nona-5,8-diene-2,7-dione (5b)

25 Flash chromatography (hexane-AcOEt, 3:2). $R_f = 0.40$ (TLC, hexane-AcOEt, 1:2); yield, 68%; yellow oil; ¹H-NMR (200MHz, CDCl₃): δ 6.89 (2H, d, $J = 10.1$ Hz, CH=CHCO), 6.44 (2H, d, $J = 10.1$ Hz, CH=CHCO), 5.97-5.83 (1H, m, CH₂-CH=CH₂), 5.37 (1H, m, CH₂-CH=CH₂), 5.32 (1H, m, CH₂-CH=CH₂), 4.35 (2H, d, $J = 6.3$ Hz, OCH₂-CH=CH₂), 2.96 (2H, s, CH₂); ¹³C-NMR (75MHz, CDCl₃): δ 183.9, 162.6, 145.7, 131.6, 131.4, 128.7, 77.8, 60.1, 42.9, 12.8; IR (NaCl, CCl₄): ν 3536, 3050, 2927, 1783, 1669, 1631, 1401, 1251,

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1052, 940, 880, 839 cm^{-1} ; UV (MeOH): λ_{max} (ϵ) = 243 nm ($12549 \text{ l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 205(M^+ , 1), 177(3), 163(79), 147(34), 133(36), 120(8), 106(89), 78(100); E.A. ($\text{C}_{11}\text{H}_{11}\text{NO}_3$): calculated C, 64.38; H, 5.40; found C, 64.44; H, 5.44.

5 1-Benzoyloxy-1-azaspiro[3.5]nona-5,8-diene-2,7-dione (5c)

The purity of spiro-lactam 5c is related to the concentration of the household bleach solution used. ^1H NMR spectra have to be used for the determination of spiro-lactam / *N*-alcoxyamide (5c : 3c) ratio. By TLC both compounds have the same R_f (0.40, hexane-AcOEt, 1:2).

- 10 Flash chromatography (hexane-AcOEt, 2:1). R_f = 0.40 (TLC, hexane-AcOEt, 1:2); yield, 68%; pale brown-reddish solid, mp 77-79 °C; ^1H -NMR (200MHz, CDCl_3): δ 7.32 (5H, m, Ph), 6.55 (2H, d, J = 10.2 Hz, $\text{CH}=\text{CHCO}$), 6.17 (2H, d, J = 10.2 Hz, $\text{CH}=\text{CHCO}$), 4.88 (2H, s, OCH_2Ph), 2.89 (2H, s, CH_2); ^{13}C -NMR (75MHz, CDCl_3): δ 183.8, 162.9, 145.0, 134.2, 130.9, 128.7, 128.6, 128.1, 78.7, 60.0, 42.9; IR (KBr): ν 3459, 3043, 2963, 1764, 1672, 1630, 1375, 1056, 886, 841, 768, 737, 696 cm^{-1} ; UV (MeOH): λ_{max} (ϵ) = 242 nm ($9511 \text{ l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 255(M^+ , 1), 197(41), 121(6), 106(16), 91(100), 78(25); E.A. ($\text{C}_{15}\text{H}_{13}\text{NO}_3$): calculated C, 70.58; H, 5.13; found C, 70.63; H, 5.18.

However, compounds 5c and 3c were separated by Flash chromatography (hexane-toluene-acetone, 1:5:1). Spiro β -lactam 5c: R_f = 0.40 (TLC, hexane-toluene-acetone, 1:2:1); white solid; yield, 55%.

X-ray crystallography data were obtained using a ENRAF-NONIUS CAD-4 diffractometer, using the $\theta/2\theta$ -scan method.

25 Cristal data

| | |
|--------------------------------|--|
| Empirical formula | $\text{C}_{15}\text{H}_{13}\text{NO}_3$ |
| Formula weight | 255.26 |
| Temperature | 293(2) K |
| Wavelength | 0.71073 Å |
| 30 Crystal system, space group | Orthorhombic, $Pcab$ |
| Unit cell dimensions | $a = 8.358(7) \text{ \AA}$ $b = 11.388(2)$ $c = 28.213(7)$ |
| Volume | $2685(2) \text{ \AA}^3$ |
| 35 Z, Calculated density | 8, 1.263 Mg/m^3 |
| Absorption coefficient | 0.089 mm^{-1} |

F(000)

1072

Atomic coordinates ($\times 10^4$) and equivalent isotropic displacement parameters ($\text{\AA}^2 \times 10^3$).
 5 U(eq) is defined as one third of the trace of the orthogonalized U_{ij} tensor.

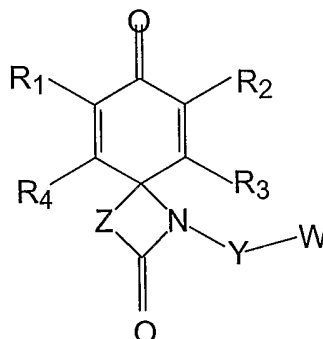
| | x | y | z | U(eq) | |
|----|-------|---------|----------|---------|--------|
| 10 | | | | | |
| | O(1) | 2372(3) | -2592(2) | 6076(1) | 78(1) |
| | O(2) | 2258(3) | 3560(2) | 5207(1) | 82(1) |
| | O(3) | 1875(2) | 2496(2) | 6186(1) | 52(1) |
| 15 | C(8) | 2467(4) | 2563(3) | 5332(1) | 56(1) |
| | C(1) | 2405(4) | -1553(3) | 5966(1) | 53(1) |
| | C(2) | 3914(5) | -896(4) | 5948(1) | 57(1) |
| | C(3) | 3989(4) | 189(3) | 5793(1) | 52(1) |
| | C(4) | 2584(4) | 821(2) | 5609(1) | 46(1) |
| 20 | C(5) | 1049(4) | 180(4) | 5679(1) | 53(1) |
| | C(6) | 967(5) | -902(4) | 5838(1) | 57(1) |
| | C(7) | 2786(5) | 1370(3) | 5104(1) | 58(1) |
| | N | 2499(3) | 2063(2) | 5766(1) | 53(1) |
| | C(9) | 3158(5) | 2886(6) | 6497(2) | 82(2) |
| 25 | C(10) | 2379(4) | 3388(3) | 6925(1) | 58(1) |
| | C(11) | 2424(5) | 2786(4) | 7342(2) | 97(1) |
| | C(12) | 1723(9) | 3243(6) | 7740(2) | 146(3) |
| | C(13) | 975(9) | 4264(8) | 7720(3) | 173(4) |
| | C(14) | 874(9) | 4870(6) | 7318(3) | 146(3) |
| 30 | C(15) | 1614(5) | 4439(4) | 6912(2) | 94(1) |

35 (-)-(S)-1-(1-Phenylethoxy)-1-azaspiro[3.5]nona-5,8-diene-2,7-dione (5d)

Flash chromatography (hexane-AcOEt, 3:2). $R_f = 0.47$ (TLC, hexane-AcOEt, 1:2); yield, 55%; brown oil; $[\alpha]_D^{20} = -63.6^\circ$ (c 1.0, CHCl_3); $^1\text{H-NMR}$ (200MHz, CDCl_3): δ 7.30-7.17 (5H, m, Ph), 6.67 (1H, dd, $J = 10.0, 2.9$ Hz, $\text{CH}=\text{CHCO}$), 6.23 (1H, dd, $J = 10.0, 2.0$ Hz, $\text{CH}=\text{CHCO}$), 6.11 (1H, dd, $J = 10.0, 2.9$ Hz, $\text{CH}=\text{CHCO}$), 5.81 (1H, dd, $J = 10.0, 2.0$ Hz, $\text{CH}=\text{CHCO}$), 4.88 (1H, q, $J = 6.6$ Hz, $\text{OCH}(\text{CH}_3)\text{Ph}$), 2.75 (2H, s, CH_2), 1.44 (3H, d, $J = 6.6$ Hz, CH_3); $^{13}\text{C-NMR}$ (75MHz, CDCl_3): δ 184.2, 163.8, 145.4, 144.9, 140.1, 131.9, 130.5, 128.9, 128.6, 127.1, 85.0, 60.5, 43.4, 20.7; IR (NaCl, CCl_4): ν 3289, 2978, 2927, 1784, 1668, 1630, 1512, 1454, 1249, 1050, 700 cm^{-1} ; UV (MeOH): λ_{max} (ϵ) = 232 nm (3083 $\text{l}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$); LRMS(EI): m/z 269(M^+ , 1), 181(1), 165(1), 155(1), 148(1), 121(26), 105(100), 77(19); E.A. ($\text{C}_{16}\text{H}_{15}\text{NO}_3$): calculated C, 71.36; H, 5.61; found C, 71.40; H, 5.67.

CLAIMS

1. A compound of formula I:



formula I

5

wherein R_1 and R_2 are independently selected from H, halogen, protected or unprotected hydroxy, protected or unprotected silyloxy, substituted or unsubstituted alkyl or cycloalkyl, substituted or unsubstituted alkoxy or aryloxy, substituted or unsubstituted aryl, substituted or unsubstituted heterocyclyl, nitro, substituted or unsubstituted amino, mercapto, substituted or unsubstituted arylthio or alkylthio;

10

R_3 and R_4 are independently selected from H, substituted alkyl, substituted or unsubstituted alkoxy or aryloxy, substituted or unsubstituted aryl, substituted or unsubstituted heterocyclyl;

15

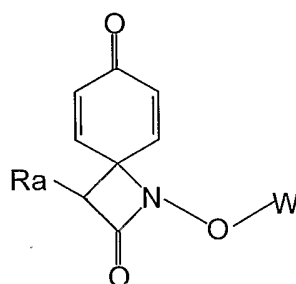
Z is $-(C_{Ra}R_{b})_n-$ or $-CH_2-(C_{Ra}R_{b})-$ or $-(C_{Ra}R_{b})-CH_2-$ or $-CH_2-(C_{Ra}R_{b})-CH_2-$, or $-(CH_2)_2-(C_{Ra}R_{b})-$ or $-(C_{Ra}R_{b})-(CH_2)_2-$ wherein n is a number selected from 1, 2, 3 and R_a and R_b are each independently selected from hydrogen, substituted or unsubstituted alkyl, substituted or unsubstituted cycloalkyl, substituted or unsubstituted alkenyl, substituted or unsubstituted aryl, substituted or unsubstituted heterocyclyl, substituted or unsubstituted alkoxy, substituted or unsubstituted aryloxy, substituted or unsubstituted amino, or halogen;

20

Y is selected from $-O-$, $-S-$, $-N_{Ra}-$ or $-C(O)-$, wherein R_a is as previously defined;

25

- W is a group with sufficient electronic density to stabilize the compound through p (π) interactions with the benzodienone moiety such as a group selected from substituted or unsubstituted arylalkyl, substituted or unsubstituted heterocyclalkyl, substituted or unsubstituted alkenyl;
- 5 or a salt, complex or solvate thereof.
2. A compound as defined in claim 1 wherein R_3 and R_4 are H.
3. A compound as defined in claims 1 or 2 wherein R_1 and R_2 are each independently
10 selected from hydrogen, halogen and substituted aryl.
4. A compound as defined in claim 3 wherein R_1 and R_2 are H.
5. A compound as defined in anyone of claims 1-4 wherein n is 1.
15
6. A compound as defined in anyone of claims 1-5 wherein Y is -O- .
7. A compound as defined in anyone of claims 1-6 wherein W is $-CRaRb-Q$, wherein Ra and Rb are as previously defined and Q is selected from substituted or unsubstituted aryl,
20 substituted or unsubstituted heterocycl, substituted or unsubstituted alkenyl.
8. A compound as defined in claim 7 wherein Ra and Rb in the group W are both H.
9. A compound as defined in claims 7 or 8 wherein Q is aryl, preferably phenyl.
25
10. A compound as defined in claim 7 wherein Ra is H and Rb is alkyl.
11. A compound of formula II:



Formula II

wherein W and Ra are as defined in claim 1; or a salt, complex or solvate thereof.

5

12. A compound as defined in claim 11, wherein W is $-\text{CH}_2\text{-Q}$, and Q is substituted or unsubstituted aryl, substituted or unsubstituted alkenyl; preferably substituted or unsubstituted phenyl or substituted or unsubstituted vinyl.

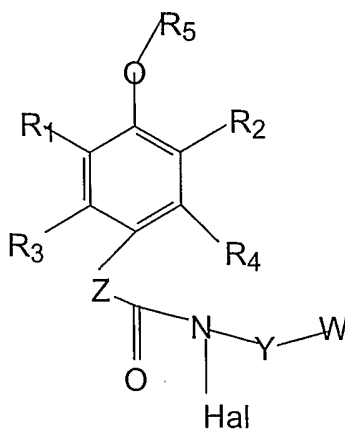
10

13. A compound as defined in claim 12, wherein Q is phenyl.

14. A compound as defined in any of claims 11-13 wherein Ra is selected from halo, substituted or unsubstituted alkyl, hydroxy, alkoxy, aryloxy group or an hydroxy protected group.

15

15. A process for producing a spiro lactam compound as defined in any of claims 1-14 which comprises the step (a) of reacting a compound of formula III:



formula III

20

wherein R_1 , R_2 , R_3 , R_4 , Z , Y , W are as defined in claim 1,

R_5 is hydrogen or substituted or unsubstituted alkyl;

Hal is F, Cl, Br, I or $-SO_2CF_3$;

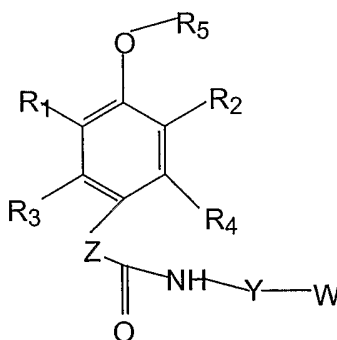
5 with an N-acylnitrenium ion forming agent to produce a compound of formula I.

16. A process as defined in claim 15 wherein the N-acylnitrenium ion forming agent is an halogen precipitating agent, preferably a silver salt.

10 17. A process as defined in claim 15 or 16 wherein the step (a) is carried out in the absence of light.

18. A process according to any of claims 15-17 which comprises the additional step (b) of preparing a compound of formula III by reacting a Weinreb-type amide compound of

15 formula IV:



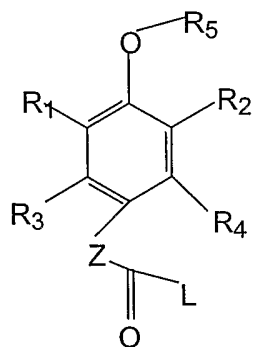
formula IV

20

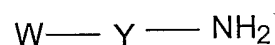
with a halogenating agent; preferably an agent selected from alkyl hypochlorite, alkyl hypobromite, sodium bromite, sodium hypochlorite, benzyltrimethylammonium trihalide, N-halosuccinimide, N-halophthalimide, or phenyliodine (III) bis(trifluoroacetate) (PIFA).

25 19. A process according to claim 18 wherein the halogenating agent is alkyl hypochlorite, preferably ter-butyl hypochlorite, or sodium hypochlorite.

20. A process according to anyone of claims 15-19 which comprises the additional step (c) of producing the compound of formula IV by reacting a compound of formula V with a compound of formula VI:



formula V

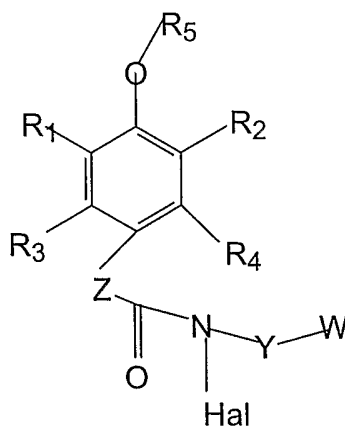


formula VI

5

wherein W, Y, Z, R₁, R₂, R₃, R₄, R₅ are as previously defined and L is a nucleophilic leaving group, preferably halogen.

10 21. A compound of formula III:



formula III

15 wherein R₁, R₂, R₃, R₄, Z, Y, W are as defined in anyone of claims 1-13;
R₅ is hydrogen or alkyl;
Hal is F, Cl, Br, I or SO₂F₃.

22. Use of a compound as defined in any of claims 1-14 as an UV absorber.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP2005/005146A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C07D205/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, BEILSTEIN Data, CHEM ABS Data

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 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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