

1 **Prebiotic effect of xylooligosaccharides produced from birchwood**

2 **xylan by a novel fungal GH11 xylanase**

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4 **Running title:** Prebiotic xylooligosaccharides produced by a *T. amestolkiae* xylanase  
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23 **Abstract**

24 A fungal endoxylanase belonging to the glycoside hydrolase gene family 11  
25 (GH11) was obtained from the ascomycete *Talaromyces amestolkiae*. The enzyme was  
26 purified, characterized and used to produce a mixture of xylooligosaccharides (XOS)  
27 from birchwood xylan. A notable yield of neutral XOS was obtained (28.8%) upon  
28 enzyme treatment and the mixture contained a negligible amount of xylose, having  
29 xylobiose, xylotriose and xylotetraose as its main components. The prebiotic potential  
30 of this mixture was demonstrated upon analyzing the variations in microorganisms'  
31 composition and organic acids profile in breast-fed child feces fermentations. The  
32 strong production of acetic and lactic acid, the decrease of potentially pathogenic  
33 bacteria and the increase of bifidobacteria and possible beneficial commensals  
34 confirmed the prebiotic value of these xylooligosaccharides.

35

36 **Keywords**

37 Xylosidase, XOS, microbiome, breast-fed, SCFAs, *Staphylococcus hominis*.

38

39 **1. Introduction**

40 Hemicelluloses are a fundamental part of the renewable resources in the  
41 biosphere, since they constitute the second major carbon source in crop wastes, only  
42 preceded by cellulose. Unlike this one, hemicelluloses group a wide variety of  
43 polysaccharides, among which xylan is the most abundant and has attracted great  
44 interest as raw material both for bioethanol production and to obtain value-added  
45 compounds. However, the industrial exploitation of xylan still needs to overcome the  
46 challenges derived from its structural heterogeneity and complexity.

47 Xylans are heteropolysaccharides formed by a backbone of  $\beta$ -1,4 linked  
48 xylopyranoses, branched by other monosaccharides, especially arabinofuranose, and  
49 highly substituted with glucuronic or methyl-glucuronic acid and acetyl side-groups.  
50 Their branching degree and composition strongly depend on the xylan source. As a  
51 result of these properties, a coordinated action of several hydrolases is required for  
52 accomplishing the complete breakdown of the polymer, with endo- $\beta$ -1,4-xylanases (EC  
53 3.2.1.8) and  $\beta$ -xylosidases (EC 3.2.1.37) playing the main roles. The first type of  
54 hemicellulases cut the xylan backbone into soluble oligosaccharides (XOS), which can  
55 be depolymerized to xylose by the action of  $\beta$ -xylosidases (Polizeli et al., 2005).  
56 Interestingly, although the complete conversion of xylan into xylose is a main target for  
57 bioethanol production, there is a huge and growing interest in the XOS themselves.  
58 These oligosaccharides are composed of xylopyranose residues linked through  $\beta$ -1,4  
59 bonds, with a degree of polymerization (DP) from 2 to 10 units. An expanding number  
60 of studies on their production and purification are being carried out, as their potential as  
61 emerging prebiotics is becoming evident (Aachary & Prapulla, 2009; Akpınar, Erdogan,  
62 Bakir, & Yılmaz, 2010; Chapla, Pandit, & Shah, 2012).

63 Gibson et al. (2004) defined prebiotics as “non-digestible (by the host) food  
64 ingredients that have a beneficial effect through their selective metabolism in the  
65 intestinal tract.” In this context, XOS have demonstrated their capacity to selectively  
66 stimulate the growth of probiotic microorganisms present in the lower gastrointestinal  
67 tract such as *Lactobacillus* and *Bifidobacterium* species (Chapla et al., 2012; Aachary &  
68 Prapulla, 2011). Besides this role as a classical prebiotic, XOS include other beneficial  
69 effects, among them possible antitumorigenic, anti-inflammatory, and antiallergic  
70 activities (Aachary & Prapulla, 2011). Together with these benefits, XOS have no  
71 negative effects on human health or objectionable organoleptic properties (Aachary &

72 Prapulla, 2009), which has made these oligosaccharides highly demanded as functional  
73 food ingredients.

74 In order to satisfy this demand, XOS are obtained from the xylan fraction of  
75 lignocellulosic materials by physicochemical or enzymatic methods. Physicochemical  
76 approaches mainly include autohydrolysis and acid hydrolysis. These processes are  
77 quite fast, but the substrate is partially converted into monosaccharides, reducing the  
78 production yield and releasing toxic by-products, making harder the subsequent  
79 purification phase. In contrast, the enzymatic approach is environmentally friendly,  
80 requires mild conditions, produces low monosaccharides' yields and non-toxic by-  
81 products (Linares-Pastén, Karlsson, & Aronsson, 2016; Chapla et al., 2012). The  
82 enzymatic hydrolysis of xylan is carried out by endoxylanases, a broad group of  
83 glycosidases that, according to the Carbohydrate Active Enzymes database (CAZy,  
84 <http://www.cazy.org/>), are distributed among the families GH8, GH10, GH11, GH30,  
85 GH43 and GH51. However, most of them are grouped in families 10 and 11, which  
86 include a rich representation of endoxylanases produced by filamentous fungi. These  
87 enzymes are extracellular and display high activity as compared to those from bacteria  
88 and yeasts (Polizeli et al., 2005). In this sense, the genera *Trichoderma* and *Aspergillus*  
89 have been extensively investigated in the search of cellulolytic and hemicellulolytic  
90 species, although *Penicillium* strains also seem to be good candidates as producers of  
91 these enzymes (Chavez, Bull, & Eyzaguirre, 2006).

92 In a previous study, a screening for lignocellulolytic fungi was carried out and  
93 the perfect state of a *Penicillium* species, identified as *Talaromyces amestolkiae*, was  
94 selected for encoding a large number of cellulases and hemicellulases (Nieto-  
95 Dominguez et al., 2015). The work presented here reports the production, isolation and  
96 biochemical characterization of a novel endoxylanase from this fungus. The capacity of

97 the enzyme for converting xylan into xylooligosaccharides was evaluated and the  
98 prebiotic potential of the resultant XOS mixtures demonstrated.

99

## 100 **2. Material and methods**

### 101 **2.1. Materials**

102 5 mL-HiTrap QFF cartridge, Superdex™ 75 10/300 GL column and Low  
103 Molecular Weight Gel Filtration Kit 17-0442-01 were purchased from GE Healthcare  
104 (Chicago, IL, USA).

105 Beechwood and birchwood xylan, Avicel, glucose, cellobiose,  
106 carboxymethylcellulose, nitrophenyl (NP) substrates and Coomassie Brilliant Blue R-  
107 250 were obtained from Sigma-Aldrich (St. Louis, MO, USA). Xylooligosaccharides  
108 from DP1 to DP6 were purchased from Megazyme (Wicklow, Ireland).

109

110 **2.2. Fungal strain and culture media.** The *T. amestolkiae* CIB strain is deposited at  
111 the IJFM (Instituto “Jaime Ferrán” de Microbiología) culture collection with the  
112 reference A795.

113 Fungal cultures were carried out according to our previous work (Nieto-  
114 Dominguez et al., 2015). Mandels medium was supplemented with 2% (w/v) beechwood  
115 xylan as carbon source and inducer of xylanolytic enzymes. In order to compare the  
116 secretion of xylanase activity, 1% and 3% xylan, 1% D-glucose and 1% Avicel were  
117 used as alternative inducers. Samples were periodically withdrawn from three replicate  
118 flasks and centrifuged at  $20,000 \times g$  for 5 min to separate the culture liquids from the  
119 mycelium.

120

121 **2.3. Enzyme and protein assays.** Endo- $\beta$ -1,4-xylanase activity was measured by the  
122 release of reducing sugars according to the Somogyi-Nelson method (Nelson, 1944).  
123 One unit of endoxylanase activity was defined as the corresponding to the release of 1  
124  $\mu$ mol of reducing sugar per minute. The standard reaction mixture consisted of 2.5%  
125 xylan, 50 mM sodium acetate (AcONa) buffer (pH 5) and the appropriate dilution of the  
126 purified enzyme or culture crude extract. Standard assays were incubated at 50 °C and  
127 1,200 rpm for 5 and 10 min, in order to check the linearity of the activity.

128 Enzymatic activity against glucose-containing substrates was measured  
129 following the release of glucose using the Glucose-TR kit (Spinreact, Vall d'en Bas,  
130 Spain) according to the manufacturer's instructions.

131 The BCA method was used to quantify proteins, using bovine serum albumin  
132 (BSA) as standard and Pierce reagents (Thermo scientific, Waltham, MA, USA),  
133 following the manufacturer's instructions.

134

135 **2.4. Endoxylanase purification.** Cultures were grown in 250 mL flasks with 50 mL of  
136 Mandels medium and 2% beechwood xylan and harvested after 3 days. Culture liquids  
137 were separated from mycelium by filtering through filter paper. The filtrate was  
138 collected and centrifuged at  $10,000 \times g$  and 4 °C for 30 min. The supernatant was  
139 subsequently filtered through discs of 0.8, 0.45 and 0.22  $\mu$ m pore size (Merck-  
140 Millipore, Darmstadt, Germany). The flow-through was concentrated and dialyzed  
141 against 10 mM phosphate sodium buffer (pH 6) by ultrafiltration using a 5-kDa cutoff  
142 membrane. An endoxylanase was isolated through two chromatographic steps using an  
143 ÄKTA Purifier chromatography system (GE Healthcare, Chicago, IL, USA). First, the  
144 dialyzed crude extract was loaded onto a 5 mL-HiTrap QFF cartridge equilibrated in 10  
145 mM phosphate buffer (pH 6) and a flow rate of 1 mL/min. Proteins were eluted by

146 applying a linear gradient of 1 M NaCl from 0 to 50% for 25 min. Then, the mobile  
147 phase mix changed to 100% 1 M NaCl for 10 min and finally to 0% for 10 min in order  
148 to wash and re-equilibrate the column, respectively. Fractions displaying endoxylanase  
149 activity were collected, dialyzed in 10 mM phosphate buffer (pH 6) and concentrated  
150 using 5 kDa Amicon® Ultra-4 Centrifugal Filter Units (Merck-Millipore, Darmstadt,  
151 Germany). Next, the samples were analyzed in a Superdex™ 75 10/300 GL column  
152 equilibrated in 100 mM NaCl phosphate 10 mM (pH6) buffer, eluting at a flow rate of  
153 0.5 mL/min for 60 min. The purified endoxylanase (XynM) was dialyzed and  
154 concentrated by ultrafiltration and stored at 4 °C.

155

156 **2.5. Physicochemical properties of XynM.** The estimated molecular mass of the  
157 protein and its homogeneity were determined by SDS-PAGE in 12% acrylamide gels  
158 (Laemmli, 1970), staining with Coomassie Brilliant Blue R-250 and using Precision  
159 Plus Protein™ Dual Color Standards (Bio-Rad, Hercules, CA, USA). The accurate  
160 molecular mass of XynM was measured by MALDI-TOF in an Autoflex III (Bruker  
161 Daltonics). In order to determine its quaternary structure, XynM was also subjected to  
162 size exclusion chromatography in a Superdex™ 75 10/300 GL column as described  
163 above. Prior to analysis, the column was calibrated with molecular-weight standards  
164 (Low Molecular Weight Gel Filtration Kit 17-0442-01) analyzed in the same conditions  
165 as the samples.

166 The isoelectric point, thermal and pH stabilities and optimal pH and temperature  
167 of the purified enzyme, together with the effect of some common chemical compounds  
168 on the activity of XynM, were determined as previously described (Nieto-Dominguez et  
169 al., 2015). The compounds selected for the assay were KCl, MgSO<sub>4</sub>, CaCl<sub>2</sub>, BaCl<sub>2</sub>,

170 MnCl<sub>2</sub>, FeSO<sub>4</sub>, CoCl<sub>2</sub>, NiSO<sub>4</sub>, CuSO<sub>4</sub>, AgNO<sub>3</sub>, ZnSO<sub>4</sub>, HgCl<sub>2</sub>, Pb(NO<sub>3</sub>)<sub>2</sub>,  
171 ethylenediaminetetraacetic acid (EDTA) and 2-mercaptoethanol (2-ME).

172

173 **2.6. Substrate specificity.** XynM activity was tested against other substrates apart from  
174 xylan in order to study its specificity. *p*-NP-β-D-xylopyranoside and *p*-NP-β-D-  
175 glucopyranoside were assayed at a final concentration of 3.5 mM in 50 mM AcONa  
176 buffer (pH 5). The enzyme activity was also measured against 20 mM of the  
177 disaccharide cellobiose and the polysaccharides Avicel and carboxymethylcellulose at  
178 final concentrations of 10 and 20 g/L, respectively.

179 The kinetic parameters for hydrolysis of beechwood xylan by XynM were  
180 determined.  $K_m$  and  $V_{max}$  were obtained by measuring the enzymatic activity against a  
181 range of substrate concentrations from 1.5 to 70 g/L. Values were calculated by fitting  
182 the experimental data by least squares to the Lineweaver-Burk linear equation of the  
183 Michaelis-Menten model.

184

185 **2.7. Peptide mass fingerprinting and N-terminal sequencing of XynM.** Peptide mass  
186 fingerprinting of the purified endoxylanase was carried out using MALDI-TOF mass  
187 spectrometry and N-terminal amino acid sequence was analyzed by sequential Edman  
188 degradation. Both approaches were carried out as described elsewhere (Nieto-  
189 Dominguez et al., 2015).

190

191 **2.8. Sequencing and classification of XynM.** A BLASTP search against the NCBI nr  
192 database was carried out using the sequences of the N-terminal region and internal  
193 peptides. The gene sequences of the best hits were used as queries to run a local  
194 BLASTN against the assembled genome of *T. amestolkiae* CIB (GenBank accession

195 number MIKG00000000). A predicted gene was identified and its sequence submitted  
196 to the SignalP 4.1 server for locating the signal peptide. An alignment between the gene  
197 and the best hits of the former BLAST search was performed for introns' identification.  
198 The putative coding reading region of XynM, without introns and signal peptide, was  
199 translated to protein and submitted to dbCAN server (Yin et al., 2012) to assign XynM  
200 to a glycosyl hydrolase family. Local BLAST and alignments were performed on  
201 BioEdit sequence alignment editor (version 7.2.5).

202

203 **2.9. Production of XOS by enzymatic hydrolysis of birchwood xylan.** The hydrolysis  
204 reactions contained 20 g/L birchwood xylan in 10 mM AcONa buffer pH 4.6 with 1  
205 U/mL XynM, and the control sample had the same composition without enzyme. All  
206 samples (5 mL) were incubated at 50 °C and 600 rpm, for 96 h, and 100 µL-aliquots  
207 were mixed, at different time points, with pure ethanol (final ethanol concentration 70%  
208 v/v) to inactivate the enzyme and precipitate the remaining xylan. The inactivated  
209 samples were centrifuged at 3,000 rpm in order to analyze the supernatant by High-  
210 Performance Anion-Exchange Chromatography Coupled with Pulsed Electrochemical  
211 Detection (HPAEC-PAD).

212 The analysis was carried out in an ICS3000 Dionex (Sunnyvale, CA, USA)  
213 system consisting on a SP gradient pump, an AS-HV autosampler and an  
214 electrochemical detector with a gold working electrode and Ag/AgCl as reference  
215 electrode. An anion-exchange 3 × 250 mm Carbo-Pack PA-200 column (Dionex,  
216 Sunnyvale, CA, USA) was used at 30 °C. Eluent preparation was performed with Milli-  
217 Q H<sub>2</sub>O. The initial mobile phase was 15 mM NaOH at 0.5 mL/min for 12 min. An 8  
218 min-gradient from 15 mM to 75 mM NaOH and from 0 mM to 80 mM AcONA was  
219 applied. Then, the mobile phase composition varied from 75 mM to 100 mM NaOH and

220 from 80 mM to 320 mM AcONa for 10 min. A final 15 min-gradient was programmed  
221 to return to the initial conditions (15 mM NaOH and 0 mM AcONa). The peaks were  
222 analyzed using the Chromeleon software. The flow rate was constant at 0.5 mL/min and  
223 25 µL of each sample were injected. The identification and quantification of xylobiose,  
224 xylotriose, xyloetraose, xylopentaose and xylohexaose was done using commercial  
225 standards. All samples were previously diluted 1:10 with H<sub>2</sub>O and filtered through 0.45  
226 µm nylon filters.

227

228 **2.10. Characterization of products.** The molecular weight of the hydrolysis products  
229 from birchwood xylan was assessed using a mass spectrometer with hybrid QTOF  
230 analyzer (model QSTAR, Pulsar I) (AB Sciex, Framingham, MA, USA). Samples were  
231 analyzed by direct infusion and ionized by electrospray with methanol as ionizing phase  
232 both in positive and negative reflector modes.

233

234 **2.11. Prebiotic effect of XOS from birchwood xylan.**

235 **2.11.1. Fecal fermentations.** Assays were carried out in 10 mL microfermentors (24  
236 multi-well plates; µ-24 Bioreactor) (Pall Corporation, Port Washington, NY, USA). The  
237 fresh fecal sample came from a female breast-fed baby who had not received antibiotic  
238 treatment for at least 3 months prior to experimentation and had no history of bowel  
239 disorders. Stools were vacuum stored and refrigerated until fermentation took place.  
240 Fresh feces (800 mg) were weighted and dissolved in the culture medium described by  
241 Macfarlane and coworkers (1998) in a proportion 1:5 (feces:medium) to hydrate them.  
242 Each microfermentor was inoculated with 500 µL of the homogenized mixture (fecal  
243 slurry). Before starting fermentation, the headspace was displaced with nitrogen, and the  
244 whole assay was performed in anaerobiosis, maintaining temperature (37 °C) and pH

245 (pH 5.5). A sample was taken from the initial fecal slurry as the time 0 control, and  
246 fermentations were harvested after 24 h and immediately frozen and stored at -20 °C.

247

248 **2.11.2. Production of short-chain fatty acids (SCFAs) and other organic acids.** The  
249 content of acetic, propionic, butyric, lactic and succinic acid in the slurries from  
250 fermentations was evaluated by high-performance liquid chromatography (HPLC). For  
251 organic acids quantification, 0.2 mL of the fermented samples were centrifuged at  
252 14,000 rpm for 60 min, filtered by 0.45 µm pore size filters and diluted by 1:2 in MilliQ  
253 quality water. An aliquot of 20 µL of processed samples was analyzed using a HPLC  
254 Acquity equipped with a 300 x 7.8 mm Aminex HPX-87H column (Bio-Rad, Hercules,  
255 CA, USA) and isocratically analyzed with 5 mM H<sub>2</sub>SO<sub>4</sub> as eluent (0.6 mL/min flow  
256 rate). Peaks were detected with a refractive index detector.

257

258 **2.11.3. Microbiome analysis.** DNA from fecal fermentation samples was isolated  
259 according to Yuan and coworkers (2012) with minor modifications, with the aid of the  
260 MagnaPure Compact System (Roche Life Science, Indianapolis, IN, USA), to avoid  
261 bias in DNA purification toward misrepresentation of Gram positive bacteria. For  
262 massive sequencing, the hypervariable region V3-V4 of bacterial 16S rRNA gene was  
263 amplified using key-tagged eubacterial primers (Klindworth et al., 2013) and sequenced  
264 with a MiSeq Illumina Platform, following the Illumina recommendations for Library  
265 preparation and sequencing for metagenomics studies.

266 The resulting sequences were split taken into account the barcode introduced  
267 during the PCR reaction. Quality control of the sequences was performed in different  
268 steps: i) quality filtering (minimum threshold of Q20) was performed using FASTX-  
269 Toolkit version 0.013, ii) primer (16S rRNA primers) trimming and length selection

270 (reads over 300 nt) was done with cutadapt version 1.2. These FASTQ files were  
271 converted to FASTA files and UCHIME program version 7.0.1001 was used in order to  
272 remove chimeras that could arise during the amplification and sequencing step. Those  
273 clean FASTA files were subjected to analysis with QIIME version 1.8 with the  
274 parameters by default and SILVA 16s rRNA database version 123 in order to annotate  
275 each sequence at different phylogenetic levels (phylum, family and genus). Putative  
276 species level was afterward annotated comparing the taxonomical association found in  
277 QIIME database with NCBI database species annotation. Alpha diversity and beta  
278 diversity was conducted with QIIME.

279         Microbiomes were grouped by treatment (control 0 h, control 24 h, 200 g/L XOS  
280 and 400 g/L XOS) and means were compared in order to determine if XOS displayed a  
281 demonstrable prebiotic effect in the tested conditions.

282

### 283 **3. RESULTS AND DISCUSSION**

284 **3.1. XynM production.** At laboratory scale it is usual to select a commercial xylan of  
285 high purity and homogeneity in order to induce xylanase activity, maximizing  
286 reproducibility and making results comparable with others from different sources  
287 (Chavez et al., 2006). For this reason, endoxylanase activity and total secreted proteins  
288 were measured in *T. amestolkiae* cultures with different commercial carbon sources.  
289 The endoxylanase-inducer effect of 1% glucose, 1% Avicel or 1%, 2% or 3%  
290 beechwood xylan in 6 day-old cultures was assayed (Fig S1, Supplementary). As  
291 expected, no endoxylanase activity was detected when glucose was the carbon source,  
292 since this monosaccharide has been extensively reported as a strong repressor of the  
293 hemicellulolytic metabolism in the genus *Penicillium* (Chavez et al., 2006). However,  
294 cultures with Avicel, a cellulosic substrate, produced a small endoxylanase induction

295 (15% of the maximal activity). Induction of xylanolytic enzymes in fungi by this kind of  
296 substrates has already been reported (Polizeli et al., 2005) suggesting an overlap  
297 between the expression pathways of cellulases and xylanases (Chavez et al., 2006).  
298 Cultures induced with 2% and 3% xylan showed the highest extracellular endoxylanase  
299 activity, although maximal activity was achieved two days before with 2% xylan, which  
300 implies a more cost-effective enzyme production. Based on these results, 2%  
301 beechwood xylan was chosen as the best inducer for endoxylanase, which was  
302 produced, purified and characterized from these crude extracts.

303

304 **3.2. Purification of XynM.** Cultures were harvested at day 3 post-inoculation,  
305 (maximal activity), using crudes for enzyme isolation. Purification resulted in a final  
306 yield of 5.3% recovered activity. The pure enzyme (Fig. 1A) was stored at 4 °C,  
307 maintaining its activity for at least six months. After the process, the specific activity  
308 increased from 61.4 to 118.3 U/mg, which represents a degree of purification of 1.9.  
309 These apparently low values may be understood taking into account that the initial  
310 endoxylanase activity was measured using the concentrated crudes against xylan, a  
311 complex polymer which needs the coordinate action of different enzymes to be  
312 completely hydrolyzed. Along the purification process, the progress in XynM isolation  
313 proceeded in parallel with a decrease in the auxiliary enzymes that participate in xylan  
314 hydrolysis, causing an unavoidable loss of activity that may explain the low final yield  
315 and degree of purification.

316

317 **3.3. Characterization of XynM.** The enzyme was determined to have an accurate  
318 molar mass of 19,861 Da (Fig. 1B), an isoelectric point around 5.5, and a monomeric  
319 active form. XynM displayed maximal activity at pH 3-4 and 50 °C and was stable

320 across the wide range of pH assayed, although its thermostability was low at 50 °C and  
321 higher temperatures. These are typical properties previously reported for endoxylanases  
322 from *Penicillium* species (Chavez et al., 2006).

323 XynM fitted into Michaelis-Menten kinetics for beechwood xylan, while it was  
324 inactive against the other substrates tested. This high specificity has been reported as a  
325 characteristic of GH11 xylanases (Polizeli et al., 2005). Its kinetic characterization  
326 revealed  $K_m$  and  $V_{max}$  values of  $5.5 \pm 1.4$  mg/mL and  $129.0 \pm 12.6$  U/mg, respectively,  
327 which are similar to those reported for several endoxylanases from *Penicillium* and  
328 *Talaromyces* species. It was the addition of some common chemical compounds to the  
329 reaction mix that revealed the most distinguishing characteristic of XynM: its high  
330 tolerance to  $\text{Cu}^{2+}$  and  $\text{Hg}^+$ . The case of  $\text{Cu}^{2+}$  is especially remarkable because it is  
331 commonly detected upon ash analysis of lignocellulosic biomass (Bin & Hongzhang,  
332 2010). Both cations have been reported as strong inhibitors of endoxylanases (Ryan et  
333 al., 2003; Belancic et al., 1995).

334 Additional data on enzyme characterization are presented in the Supplementary  
335 information (Fig. S2, and Table S1).

336

337 **3.4. Sequencing and classification of XynM.** The preliminary identification of XynM  
338 relied on its peptide mass fingerprint and N-terminal sequence. MALDI-TOF and  
339 TOF/TOF data from the fingerprint and N-terminal sequences were used to interrogate  
340 the NCBI non-redundant protein database. The search returned as the best matches a  
341 GH11 protein from *Thielavia terrestris* NRRL 8126 (gi:367042760) and Xylanase B  
342 from *Talaromyces purpurogenum* (gi:1004289) (Belancic et al., 1995), respectively.

343 The sequences from the mRNA encoding for *T. purpurogenum* (GenBank  
344 accession number Z50050.1) and *T. terrestris* (NCBI Reference Sequence

345 XM\_003651712.1) xylanases were used as queries to run local BLASTN against the  
346 assembled genome of *T. amestolkiae*. Both queries matched the same genome region  
347 with E values of  $2 \cdot 10^{-18}$  and  $4 \cdot 10^{-23}$  respectively. The located region was validated by  
348 identifying the reported internal peptide and N-terminal sequence. Then, alignment of  
349 this region with the gene sequences of XynB and the GH11 xylanase from *T. terrestris*  
350 allowed predicting the start and stop codons and the presence of a single intron.

351 The putative sequence of XynM, analyzed using the SignalP 4.1 server,  
352 indicated a signal peptide that fitted perfectly with the beginning of the N-terminal  
353 sequence determined experimentally. The mature gene sequence without the signal  
354 peptide and the intron was analyzed by the ExPASy Bioinformatics Resource Portal  
355 and resulted in a theoretical molecular mass of 19,785 Da, very close to the value  
356 obtained by MALDI-TOF for the purified protein. This good agreement and the  
357 matches of the N-terminal sequence and the internal peptide suggest that it was  
358 correctly identified. The nucleotide sequence was then submitted to the GenBank  
359 database under the accession number KX641268.

360 The predicted gene was analyzed by the dbCAN server in order to annotate the  
361 enzyme into a glycosyl hydrolase family, indicating that XynM belongs to the GH11  
362 family (E-value  $4.7 \cdot 10^{-58}$ ). This was not unexpected attending to its low molecular mass  
363 and high specificity reported above (Polizeli et al., 2005).

364

### 365 **3.5. Production of XOS by hydrolysis of birchwood xylan catalyzed by XynM.** XOS

366 production reached the maximum yield after 10 h reaction, and the profile of DPs barely  
367 changed for the following 14 h (Fig. 2A, Table 1). The thermal and end-product  
368 inhibition of XynM as well as the decrease of accessible hydrolytic sites in the  
369 polysaccharide may explain the stagnation of the global yield (Akpınar et al., 2010).

370 The maximum output of neutral XOS (28.8%) is expressed as percentage of the  
371 initial amount of birchwood xylan, and was calculated from the sum of the  
372 concentrations of uncharged XOS from Xyl<sub>2</sub> to Xyl<sub>6</sub> measured from HPAEC-PAD  
373 (Table 1). The high content of Xyl<sub>2</sub> and Xyl<sub>3</sub> as products of the reaction catalyzed by  
374 XynM agrees with the fact that GH11 endoxylanases do not display significant activity  
375 towards these small products (Pollet, Delcour, & Courtin, 2010). It is noteworthy the  
376 negligible formation of free xylose. Other reaction products could not be identified, and  
377 probably comprise substituted xylooligosaccharides. Indeed, the high retention times  
378 observed for the unidentified XOS suggested that these substituents may be negatively  
379 charged, which would be in good agreement with the presence of glucuronic or methyl-  
380 glucuronic acids, some of the most common side-chains in xylans.

381 Mass spectrometry analysis confirmed the presence of 4-*O*-methyl-D-glucuronic  
382 acid derivatives in the reaction. The peaks were found as [M + Na]<sup>+</sup> and [M + K]<sup>+</sup>  
383 adducts in the positive mode (Fig. 2B) and as [M – H]<sup>–</sup> and [M + Cl]<sup>–</sup> adducts in the  
384 negative mode (Fig. 2C). The presence of xylose (173.04 m/z), glucose (203.05 m/z),  
385 xylobiose (305.08 m/z; 321.05 m/z), xylotriose (437.20 m/z; 453.09 m/z) and  
386 xylo-tetraose (569.16 m/z) was confirmed, in agreement with the previous results  
387 obtained by HPAEC-PAD (Fig. 2A). In the negative mode spectra, 4-*O*-methyl- $\alpha$ -D-  
388 glucuronopyranosyl derivatives of xylooligosaccharides Xyl<sub>3</sub>-Xyl<sub>5</sub> (603.18 m/z; 735.22  
389 m/z; 867.26 m/z) were also found. Larger neutral XOS may not be detected by this  
390 technique because of their low proportion in the reaction mixture and the reduced  
391 ionizability of this type of oligosaccharides (Ahn & Yoo, 2001).

392 The use of endoxylanases for obtaining XOS from xylan as an alternative to the  
393 physicochemical approaches has attracted great interest and several reports have come  
394 out during the last decade (Table S2, Supplementary). It should be emphasized that

395 comparison of the published information on different xylanases is a difficult task, since  
396 parameters as enzyme dosage and purity (especially regarding the presence or absence  
397 of xylanolytic auxiliary activities), xylan source, and type of pretreatment vary  
398 depending on the report. However, the analysis of data from the literature revealed that  
399 XynM produced XOS in a comparable yield to those from most of the reported  
400 xylanases (Bian et al., 2013; Akpinar et al., 2010), releasing a virtually negligible  
401 amount of monomeric xylose, which is indeed the main advantage of the enzymatic  
402 production of XOS as compared with physicochemical approaches.

403

### 404 **3.6. Prebiotic effect of XOS from birchwood xylan.**

405 **3.6.1. Production of SCFAs and other organic acids.** SCFAs, lactate and succinate,  
406 some of the main end-products of fermentation by colonic bacteria (Fooks, Fuller, &  
407 Gibson, 1999; Rodriguez-Colinas et al., 2013), were determined in fecal fermentations  
408 supplemented with the whole mixture of birchwood XOS (containing both the neutral  
409 XOS and the 4-*O*-methyl-D-glucuronic acid derivatives) produced by catalysis with  
410 XynM. The global production of organic acids was remarkably higher in the presence of  
411 these XOS, especially for acetic and, to a lesser extent, for lactic acid, and the  
412 differences observed seemed to be dose-dependent (Fig. 3). In general, this profile is in  
413 good agreement with other reports for fecal fermentations of XOS (Kabel, Kortenoeven,  
414 Schols, & Voragen, 2002). However, the amount of butyric acid, an end-product usually  
415 found upon fermentation of other mixtures of XOS by probiotic bacteria (Lecerf et al.,  
416 2012), changed from 14 mg/L (0 h) to be negligible regardless of the presence or not of  
417 the XOS mixture.

418 Even though the presence of these organic acids, which are well-known  
419 biomarkers for probiotic species (Aachary & Prapulla, 2011; Lecerf et al., 2012; Li,

420 Summanen, Komoriya, & Finegold, 2015), suggested the prebiotic effect of birchwood  
421 XOS, their role was further confirmed analyzing the composition of the microbial  
422 communities in these samples.

423

424 **3.6.2. Microbiome determination.** Microorganisms were identified up to the genera  
425 and putative species level and quantified in terms of relative abundance (Fig. 4).  
426 According to the microbiome data, *Bifidobacterium* was one of the predominant genera  
427 in the initial control (25%). The abundance of these probiotic bacteria in the feces of  
428 breast-fed children has been previously reported and related to the presence of prebiotic  
429 oligosaccharides in breast-milk (Barile & Rastall, 2013). However, the proportion of  
430 *Bifidobacterium* species dropped off remarkably (18%) after 24 h in the control without  
431 XOS, while a clear beneficial effect of the XOS mixture on bifidobacteria was  
432 observed. The presence of 200 g/L XOS allowed maintaining its initial population  
433 (23%) in the same time period, and with 400 g/L it raised up to 32%.

434 In the case of *Lactobacillus*, the other fully established probiotic genus, the  
435 presence of this XOS combination had no apparent impact on its relative abundance, as  
436 has been observed for other XOS mixtures (Li et al., 2015).

437 Apart from the effect on conventional probiotic bacteria, the most remarkable  
438 outcome was the dramatic increase of the proportion of *Staphylococcus* sp., and  
439 specifically *S. hominis*, in the presence of XOS respect to the control samples at 0 h and  
440 24 h. Given the extent of the increase, the identity of this species was further confirmed  
441 by PCR-based analysis (Table S3, Supplementary). *S. hominis* is a coagulase-negative  
442 staphylococcus naturally found in the microbiome of breast-fed children (Martin et al.,  
443 2007). Strains of this species were recently suggested as potential probiotics for its  
444 ability to produce bacteriocins, a sort of substances that act as growth inhibitors of

445 relevant pathogens as *Staphylococcus aureus* or *Helicobacter pylori* (Sung, Kim, Kim,  
446 Joo, & Kim, I, 2010; Lopez-Brea, Alarcon, Domingo, & Diaz-Reganon, 2008). The  
447 enrichment in *S. hominis* may be related to the observed profile of organic acids. The  
448 high quantities of acetic and lactic acids determined in samples containing XOS may  
449 seem surprising when compared to the modest increase in the abundance of  
450 bifidobacteria. As observed in Figure 3, the content of acetic acid increased 3.6- and  
451 5.4-fold in fermentations with 200 g/L and 400 g/L XOS samples, respectively, as  
452 compared with the 24 h control. Indeed, the production of these metabolites is related to  
453 the two main bacterial populations positively affected by XOS: *Bifidobacterium* (~1.8-  
454 fold increment) and *S. hominis* (12.0-fold increment with 200 g/L XOS and 14.7-fold  
455 with 400 g/L). Considering the evident stimulation of the growth of the last  
456 microorganism by XOS, and taking into account that it was found to produce both  
457 acetic and lactic acid (Julak, Stránská, Procházková-Francisci, & Rosová, 2000; Kloos  
458 & Schleifer, 1975), the organic acids profile determined in these experiments could be  
459 mostly attributed to *S. hominis* metabolism. However, it is worth mentioning that,  
460 besides the role of *S. hominis*, there are other potential explanations for the acids'  
461 profile, as this pattern can differ for the same bacterial population depending on the  
462 available substrates.

463         To a minor extent, results regarding *Streptococcus salivarius* are also interesting.  
464 This species was also reported as a potential probiotic (Burton, Chilcott, Moore,  
465 Speiser, & Tagg, 2006) and its content increased respect to the 24 h control from 11%  
466 to 19% in samples with 400 g/L XOS.

467         The presence of two clostridia in control samples is also notable (16% at 0 h and  
468 12% after 24 h). One of them, *Clostridium perfringens*, is a normal member of the gut  
469 microbiota that has been related to several diseases when its population increases to

470 pathogenic levels (Smedley, Fisher, Sayeed, Chakrabarti, & McClane, 2005). The data  
471 in Figure 4 show that the presence of birchwood XOS led to a decrease in the  
472 abundance of clostridia below 0.5% for both concentrations tested. XOS also prevented  
473 the development of other pathogens, as *Actinomyces radingae* (7% of the microbiome  
474 of the 24 h control sample) that can produce actinomycosis, a human chronic disease  
475 (Smego & Foglia, 1998). Enterobacteriaceae was the most represented family in the  
476 control microbiomes, constituting 32% and 37% at 0 h and 24 h respectively. Among  
477 the members of this family, *Citrobacter freundii* was a clearly dominant species in  
478 controls, while its representation in the microbial community decreased notably. Just the  
479 opposite effect was observed for *Kluyvera cryocrescens*, which displayed a remarkable  
480 growth with 400 g/L XOS and was the main enterobacteria with 200 g/L. The biological  
481 relevance of this change in the profile of the microbiota is unclear and more information  
482 on both species is required. In global terms, 200 g/L XOS avoided the increase of  
483 enterobacteria while 400 g/L dropped off its presence to 26%. This decrease is  
484 considered a characteristic feature of prebiotics, which prevent the development of  
485 higher concentrations of potentially pathogenic species from this family (Macfarlane,  
486 Macfarlane, & Cummings, 2006).

487 Xylooligosaccharides are considered novel candidate prebiotics (Rastall &  
488 Gibson, 2015). Together with this work, rising evidences support their role in  
489 selectively affecting gut microbiota, in particular stimulating the growth of several  
490 species from *Bifidobacterium* and *Lactobacillus* (Aachary & Prapulla, 2011). However,  
491 most of these studies were carried out *in vitro* using single-species cultures (Li et al.,  
492 2015; Chapla et al., 2012), while the number of *in vivo* reports is much more limited and  
493 restricted to the effect of commercial XOS mixtures on the adult population (Lecerf et  
494 al., 2012; Aachary & Prapulla, 2011). Fermentation of fecal slurries constitute an *in*

495 *in vitro* alternative to pure cultures that provides a useful representation of the diversity of  
496 the gut microbiota without requiring large quantities of the prebiotic tested (Gibson,  
497 Probert, Van Loo, Rastall, & Roberfroid, 2004). However, the investigations based on  
498 the effect of XOS in fecal fermentations are scarce (Kabel et al., 2002).

499 Most studies conducted on infants focus on formulations of  
500 galactooligosaccharides (GOS) and fructooligosaccharides (FOS) that mimic the  
501 composition of human milk oligosaccharides (HMOS) and the prebiotic effect of human  
502 milk, without paying attention to other potential prebiotics (Barile & Rastall, 2013;  
503 Thomas & Greer, 2010). The main goal for those works was to restore the  
504 predominance of gut bifidobacteria, present in children fed with human breast milk, but  
505 lost in formula-fed infants (Thomas & Greer, 2010). Using this model, the XOS mixture  
506 obtained in this work has demonstrated not only bifidogenic effect, but also a certain  
507 antimicrobial action, which is typical from HMOS. Indeed, the growth inhibition of  
508 species from *Clostridium* and *Citrobacter* has been previously demonstrated for human  
509 milk (Marcobal et al., 2010; Newburg & Walker, 2007). This evidence suggests the  
510 potential interest of including XOS as components of infants' formula and opens the  
511 field for determining the effect of other prebiotics beyond GOS and FOS.

512

### 513 **Conclusions**

514 The GH11 endoxylanase XynM from *T. amestolkiae* was isolated, characterized,  
515 genetically sequenced and used to obtain a prebiotic mixture of XOS from birchwood  
516 xylan. The enzymatic hydrolysis resulted in a neutral XOS yield of 28.8%, mainly Xyl<sub>2</sub>  
517 to Xyl<sub>4</sub>. To the best of our knowledge, this is the first report on the effect of a non-  
518 commercial XOS mixture on the fecal microbiota of breast-fed children. The prebiotic  
519 effect is well supported and the beneficial effect of birchwood XOS consisted not only

520 in stimulating the growth of beneficial bacteria, but also in preventing the development  
521 of potential pathogenic species.

522

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530

### 531 **Author declaration**

532 The authors declare that they have no conflict of interest.

533

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535

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- 663
- 664
- 665

666 **Table 1.** Composition of the reaction mixture in the hydrolysis of 2% (w/v) birchwood  
 667 xylan with XynM. Glc: glucose; Xyl: xylose; Xyl<sub>2</sub>: xylobiose; Xyl<sub>3</sub>: xylotriose; Xyl<sub>4</sub>:  
 668 xylotetraose; Xyl<sub>5</sub>: xylopentaose; Xyl<sub>6</sub>: xylohexaose; GA: glucuronic acid; XOS:  
 669 xylooligosaccharides.

670

Reaction time (h)	[Products] (g/L) <sup>a</sup>								XOS yield (%) <sup>c</sup>
	Glc	Xyl	Xyl <sub>2</sub>	Xyl <sub>3</sub>	Xyl <sub>4</sub>	Xyl <sub>5</sub>	Xyl <sub>6</sub>	Total <sup>b</sup>	
<b>0.25</b>	0.05	0.01	0.50	0.93	0.76	0.23	0.43	2.90	14.2
<b>1</b>	0.11	0.02	1.03	1.55	1.11	0.27	0.40	4.50	21.80
<b>3</b>	0.12	0.03	1.18	1.79	1.26	0.31	0.47	5.16	25.0
<b>5</b>	0.11	0.04	1.21	1.70	1.19	0.29	0.45	4.98	24.1
<b>7</b>	0.12	0.05	1.33	1.85	1.29	0.31	0.49	5.45	26.4
<b>8</b>	0.13	0.06	1.39	1.90	1.32	0.32	0.50	5.61	27.1
<b>10</b>	0.13	0.06	1.49	2.01	1.39	0.33	0.53	5.95	28.8
<b>24</b>	0.14	0.08	1.53	1.78	1.24	0.31	0.57	5.65	27.2

671 <sup>a</sup> Determined by HPAEC-PAD.

672 <sup>b</sup> Total identified products.

673 <sup>c</sup> Percentage of Xyl<sub>2</sub>-Xyl<sub>6</sub> referred to the initial amount of birchwood xylan.

674

675

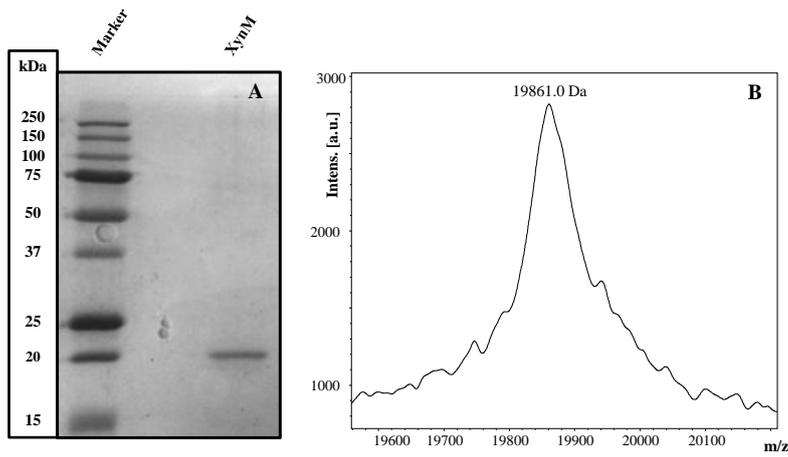
676 **FIGURE LEGENDS**

677

678

679 **Figure 1.** A) SDS-PAGE analysis of pure XynM. B) Determination of the molecular

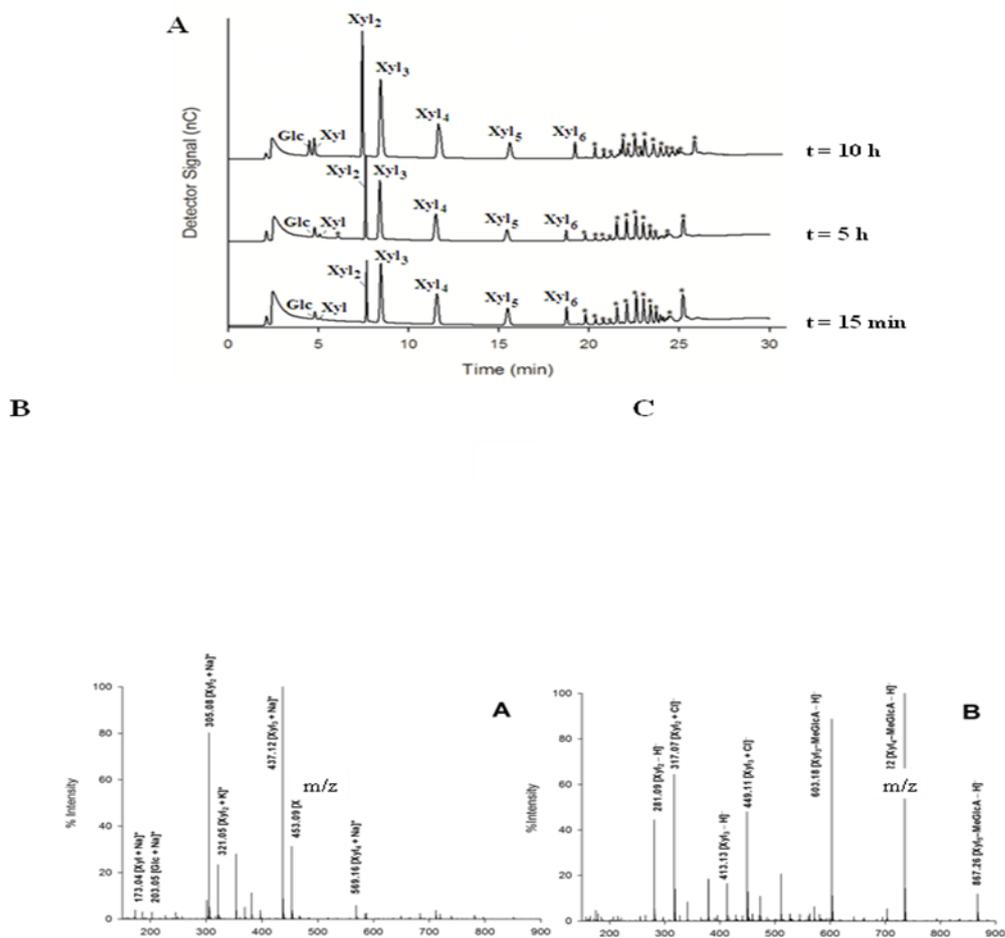
680 mass of XynM by MALDI-TOF.



681

682

683 **Figure 2.** Hydrolysis of 2% (w/v) birchwood xylan with XynM. A) HPAEC-PAD  
684 chromatograms from 15 min, 5 h and 72 h-reaction mixtures. B) ESI/MS spectra  
685 recorded in the positive ion mode; C) ESI/MS spectra recorded in the negative ion  
686 mode. Glc: glucose; Xyl: xylose; Xyl<sub>2</sub>: xylobiose Xyl<sub>3</sub>: xylotriose; Xyl<sub>4</sub>: xylo-tetraose;  
687 Xyl<sub>5</sub>: xylopentaose; Xyl<sub>6</sub>: xylohexaose; MeGlcA: 4-*O*-methyl-D-glucuronic acid; \*:  
688 unidentified.



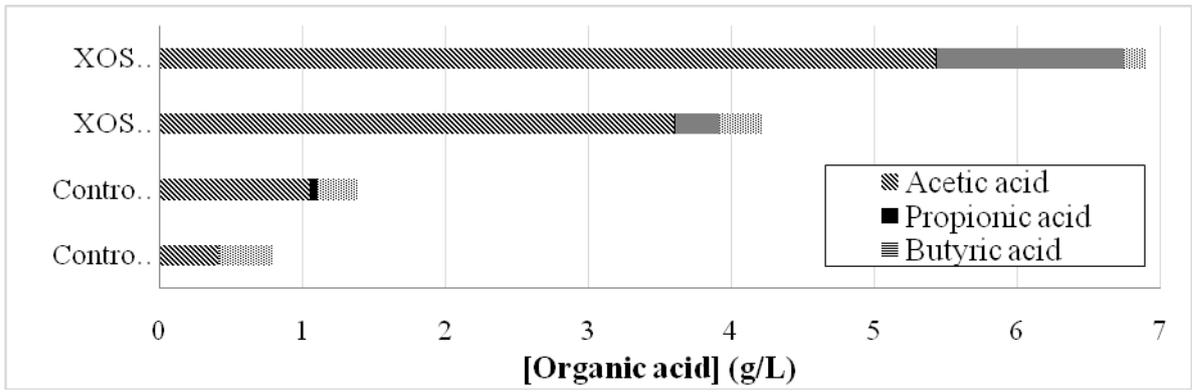
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691 **Figure 3.** Profile of SCFAs, lactic and succinic acid in the fermentations with XOS and

692 controls.

693



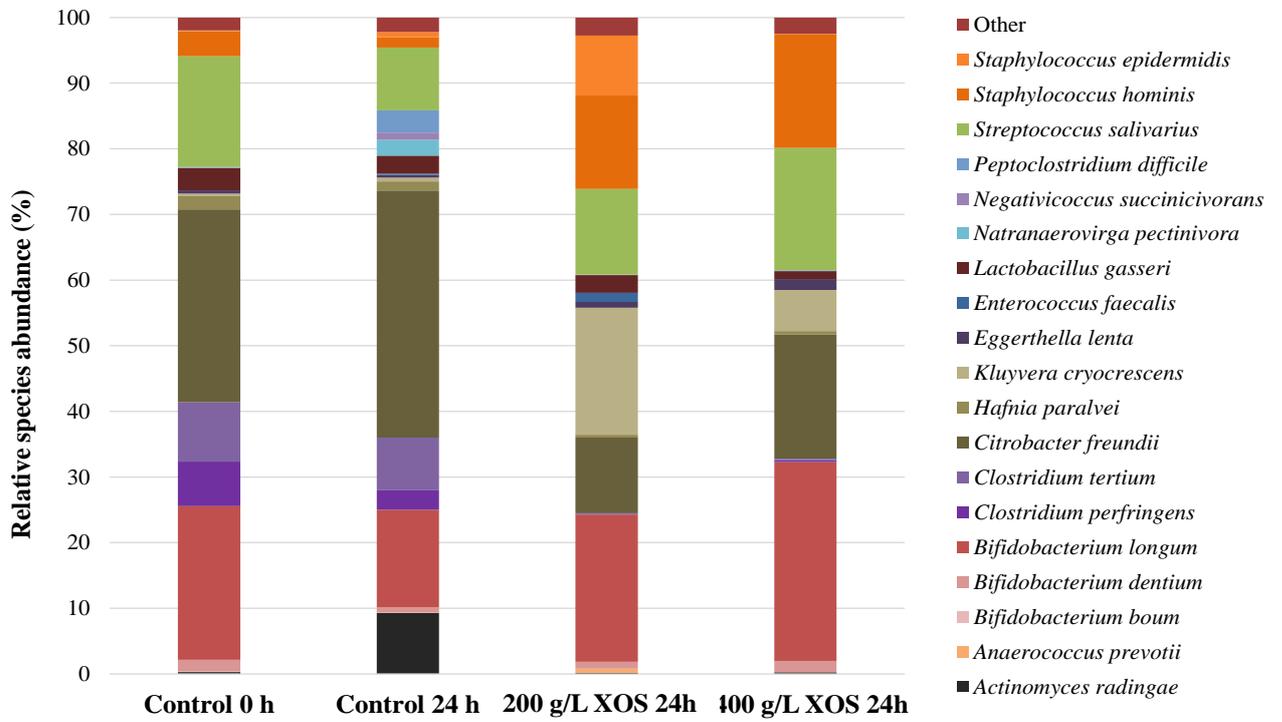
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696 **Figure 4.** Relative species abundance of bacterial microbiomes from fermentations of

697 control fecal samples (0 and 24 h) and in 24 h-samples containing a mixture of XOS.

698



700 Fig 1