## Influence of wavelength on the laser removal of lichens colonizing heritage stone

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## Abstract

Laser irradiation of lichen thalli on heritage stones serves for the control of epilithic and endolithic biological colonizations. In this work we investigate rock samples from two quarries traditionally used as source of monumental stone, sandstone from Valonsadero (Soria, Spain) and granite from Alpedrete (Madrid, Spain), in order to find conditions for efficient laser removal of lichen thalli that ensure preservation of the lithic substrate. The samples presented superficial areas colonized by different types of crustose lichens, i.e. Candelariella vitellina, Aspicilia viridescens, Rhizocarpon disporum and Protoparmeliopsis muralis in Valonsadero samples and P. cf. bolcana and A. cf. contorta in Alpedrete samples. A comparative laser cleaning study was carried out on the mentioned samples with ns Q-switched Nd:YAG laser pulses of 1064 nm (fundamental radiation), 355 nm (3rd harmonic) and 266 nm (4th harmonic) and sequences of IR-UV pulses. A number of techniques such as UV-Vis absorption spectroscopy, stereomicroscopy, scanning electron microscopy (SEM), SEM with backscattered electron imaging (BSE), electron dispersive spectroscopy (EDS) and FT-Raman spectroscopy were employed to determine the best laser irradiation conditions and to detect possible structural, morphological and chemical changes on the irradiated surfaces.

The results show that the laser treatment does not lead to the complete removal of the studied lichen thalli, although clearly induces substantial damage, in the form of loss of the lichen upper cortex and damage to the algal layer. In the medium term these alterations could result in the destruction of the lichen thalli, thus providing a degree of

control of the biodeterioration processes of the lithic substrate and reducing the chances of subsequent lichen recolonization.

Keywords: laser removal, lichens, biodeteriogen layer, stone, conservation

## **1** Introduction

Free living microorganisms as fungi, algae, cyanobacteria and non-photosynthetic bacteria, as well as lichens, symbiotic associations between fungi and algae/cyanobacteria, represent the main biodeterioration agents on stone substrates [1-3]. Highlighting recent studies on photoautotrophic microbiota, it has been found that algae are responsible of a certain degree of aesthetic damage, leading to the green staining of surfaces. In contrast, cyanobacteria can also induce endolithic damage, altering crystalline surfaces of the stone [4, 5]. Generally, the internal regions of the lithic substrate are also subjected to colonization by endolithic fungi that lead to significant physical and chemical effects and at times result in considerable substrate damage [6, 7]. On the other hand, lichens can affect the surface of the stone and can also penetrate deep into the substrate, promoting physical and chemical changes [8]. As epilithic and endolithic colonizers play an important role in biodeterioration of stone, microscopic techniques are necessary to evaluate their action. In situ microscopy, which consist of simultaneously applying several microscopy techniques without separating the biological component from its habitat [9], allows characterization of the biofilms involved in biodeterioration and reveals the biogeophysical and biogeochemical impact of biodeteriogen agents.

The effective removal of microbial colonization is necessary for the preservation of stone heritage. Mechanical treatments often fail to protect the substrate integrity and the application of biocides, apart from involving environmental toxicity issues, is not always completely effective to eliminate biodeteriogens [1, 2]. The main problem detected during biocide treatment of lichens encrusted on stone heritage is that considerable portions of lichen thalli remain attached to the substrate, even after several weeks of application of highly concentrated biocides, usually applied with bristle brushes or in the form of poultices [1, 2, 10]. In previous treatments of lichen encrustations, a mixture of dead and living microorganisms was found after biocide application. These included living fungal forms in stone cracks and debris of dead, free-

living and lichenized fungi [11]. Other treatments were effective for cyanobacteria, but with unequal effects in lichen and endolithic fungi [11, 12].

Laser cleaning constitutes a promising alternative to more conventional cleaning techniques for certain applications. In the field of cultural heritage, it is a well established technique, allowing fine and selective removal of superficial deposits and encrustations [10, 13-25]. Laser irradiation can be used for antifouling purposes, causing mortality to biofilm forming microorganisms, like marine bacteria and microalgae [26, 27]. When applied to the treatment of lichen encrustations on stone, and although laser cleaning fails to completely remove lichen thalli, superficial lichen debris can be brushed away without affecting the substrate surface [10, 28, 29]. When applied to microbial colonization on stone, the laser cleaning approach requires a physical parametrization, associated with a detailed petrographic and mineralogical diagnosis of the effects induced on the substrates, allowing the determination of the irradiation thresholds for damage phenomena and the understating of the nature of the effects on biodeteriogens and stones [28, 30-32]. Depending on the microorganism type and species involved, different complex interactions with the rock minerals are observable [6, 7].

Some laser cleaning studies have focused on the removal from stone of biodeteriogen agents such as epilithic lichen and fungi [10, 28-34]. It has been shown that Er:YAG laser irradiation (at 2.94 µm) produces cellular destruction in the lichen Diploschistes scruposus due to the laser wavelength coincidence with the OH absorption band of either intrinsic or extrinsic water [28]. A rapid heat transfer to lichen thalli could take place when the laser wavelength is in resonance with light absorption bands of biodeterioration layers. For the lichen species Verrucaria nigrescens, Q-switched nanosecond Nd:YAG laser irradiation causes the partial removal of lichen thalli, with clear structural and ultrastructural alterations, including the ablation of the lichen upper cortex and part of the medulla and the plasmolysis of endolithic fungal cells [32]. These effects are probably due to the high concentration of endogenous or exogenous absorbing compounds at 1064 nm. A study of the effect of pulse duration and wavelength of Nd:YAG laser in the elimination of V. nigrescens from Carrara marble substrates has shown the advantages of irradiation at 532 nm, mainly due to the corresponding high optical absorption of the fungal melanin compound [30, 34], with the ensuing disruptive photothermal and photomechanical effects. Very recently, some of us [31] compared different Q-switched ns Nd:YAG irradiation modes based on single-wavelength irradiation, at 1064 nm and at 355 nm, and on a double wavelength irradiation consisting in sequences of a number of 1064 nm pulses followed by the same number of 355 nm pulses. We showed that this sequential IR–UV laser treatment ensures effective removal of lichen thalli and damage in the microbial cells, thus providing a method for effective elimination of crustose epilithic lichens.

In this work, samples of sandstone from Valonsadero (Soria, Spain) [35] and of granite from Alpedrete (Madrid, Spain) [36] were investigated in order to find the conditions for efficient laser removal of crustose lichens, typically colonizing these two types of lithic substrates. The selected stone types are traditionally used in heritage buildings and monuments in central Spain and the samples studied presented superficial areas colonized by different crustose lichens, i.e. Candelariella vitellina, Aspicilia viridescens, Rhizocarpon disporum and Protoparmeliopsis muralis in Valonsadero samples and P. cf. bolcana and A. cf. contorta in Alpedrete samples. These species show a wide range of anatomical structures and a diverse set of secondary compounds. UV-Vis absorption spectra of the biodeteriogen layer diluted in ethanol and identification of the lichen secondary compounds by thin layer chromatography (TLC) served to determine the best laser wavelengths for irradiation of each biodeteriogen species. A laser cleaning study was carried out on the mentioned samples with ns Qswitched Nd:YAG laser pulses of 1064 nm (fundamental radiation), 355 nm (3rd harmonic) and 266 nm (4<sup>th</sup> harmonic) at fluences just below the previously determined ablation thresholds of the bare stone samples.

A number of techniques were employed to detect morphological and chemical changes on the irradiated surfaces. Stereomicroscopy was used to describe morphological and colour changes, scanning electron microscopy (SEM) at low vacuum served to analyse the effects on the surface of the lichens, while SEM with backscattered electron imaging (SEM-BSE) and electron dispersive spectroscopy (EDS) microanalyses of the polished transversal cross sections were applied to assess effects inside the lichen layer. FT-Raman spectroscopy was employed to detect possible structural and chemical changes of stone substrates.

## 2 Experimental and Methodology

The present study was carried out on sandstone samples consisting of quartz (60-85%), K feldspar (10-30%) and mica (2-5%) [35] and granite samples consisting of

interlocking plagioclase aggregates (20-30 %), quartz (30-40 %), K feldspar (25-35 %) and biotite (10-20 %) [36].

Elemental chemical analysis of the two stone types was carried out using between 8 and 15 kg of fresh rock samples, that were dissolved in a mixture of HF and HClO<sub>3</sub> in platinum crucibles. Major elements were determined by inductively coupled plasma atomic emission spectroscopy (ICP-AES) using a Jovin-Yvon 38 plus spectrometer. FeO was determined by titration.

According to these measurements, the chemical composition (in wt %) of sandstone samples was: SiO<sub>2</sub> (76.0), AI<sub>2</sub>O<sub>3</sub> (10.0), Fe<sub>2</sub>O<sub>3</sub> (5.9), K<sub>2</sub>O (3.6), Na<sub>2</sub>O (0.24), CaO (0.06), MgO (0.08), TiO<sub>2</sub> (0.23), SO<sub>3</sub> (< 0.03) and of granite samples: SiO<sub>2</sub> (69.6), AI<sub>2</sub>O<sub>3</sub> (15.02), Fe<sub>2</sub>O<sub>3</sub> (2.97), FeO (1.54), K<sub>2</sub>O (3.89), Na<sub>2</sub>O (3.32), CaO (2.45), MgO (0.96), TiO<sub>2</sub> (0.4), P<sub>2</sub>O<sub>5</sub> (0.16), MnO (0.05). These values are the result of averaging over a high number of samples of the same stone and are affected by less than 1% error. It has to be noticed that the CaO concentration of granite is considerably higher than that of the sandstone, a factor that can influence the lichen removal, as it will be seen in the Results and Discussion section.

As mentioned, sandstone samples were colonized by the crustose lichen species *Candelariella vitellina* (yellow-orange), *Aspicilia viridescens* (greenish grey), *Rhizocarpon disporum* (grey brown) and *Protoparmeliopsis muralis* (yellowish green) and granite samples were colonized by the also crustose lichens *P*. cf. *bolcana* (yellowish green) and *A*. cf. *contorta* (greenish grey).

To determine the best laser wavelength for irradiation in each case, we employed a double approach. First, we measured the UV-Vis absorption spectra of extracts of lichen layers. To that purpose, we dissolved ca. 1 cm<sup>2</sup> of each lichen sample in 5 mL of pure ethanol during 16 hours. The resulting suspensions were then filtered with a 0.2  $\mu$ m Millipore syringe filter. Spectra were measured in the 200-1100 nm range with a double-beam spectrophotometer (Shimadzu UV-3600) using 1 cm optical path quartz cuvettes for both the sample and for the pure ethanol reference. Second, we identified lichen secondary compounds by TLC following the method of Orange et al. [37]. In brief, ca. 1 cm<sup>2</sup> of each lichen species was immersed in 400  $\mu$ L of acetone during 60 min. The extracts were applied in two 20 x 20 cm<sup>2</sup> glass silica gel plates (Merck 100390) using solvents A and C [37]. Plates were finally revealed using 10 % H<sub>2</sub>SO<sub>4</sub> and inspected under UV light. Lichen compounds were identified through their retention factors.

We applied sequences of laser pulses to hydrated areas of the lichen thalli that were previously selected under a Leica EC3 stereomicroscope equipped with a NIKON COOLPIX 8800 VR camera, according to their homogeneity and good shape. Stereomicroscopy was also used to detect morphological and colour surface changes produced upon irradiation. The samples were maintained under controlled low humidity conditions before and after laser irradiation.

Irradiation tests were carried out with a Q-switched Nd:YAG laser (pulse width 17 ns, repetition frequency 1-10 Hz) using the fundamental wavelength of 1064 and its third and fourth harmonic at 355 and 266 nm, respectively. We applied sequences of 100 pulses at either individual IR or UV wavelengths or sequences of 100 IR pulses followed by another 100 UV pulses under the same irradiation path. The unfocussed laser beams, with a cross section of ca. 0.25 mm<sup>2</sup>, were directed to the surface of the sample with the help of mirrors and the pulse energy was measured with a joulemeter (Gentec ED-200). The laser fluences used for irradiation of the samples were 1.8 J cm<sup>-2</sup> for 1064, 0.4 J cm<sup>-2</sup> for 355 and 0.2 J cm<sup>-2</sup> for 266 nm, respectively, values just below the ablation threshold of both bare sandstone and granite lithotypes. During irradiation, the samples were translated perpendicularly to the laser propagation direction to obtain uniformly irradiated areas of up to 1 cm<sup>2</sup>.

After irradiation and examination by stereomicroscopy, the stone samples were prepared for SEM-BSE observation using the method developed by Wierzchos and Ascaso [38]. This technique allows for the fine monitoring of the changes in the anatomy of the epilithic lichen thalli and in the morphological alterations of the photobiont and mycobiont cells induced under the different laser irradiation conditions. Briefly, the stone samples, were cut transversely, fixed, dehydrated, embedded in resin and fine-polished after polymerization. The fine-polished surfaces of the stone sample cross-sections were carbon coated and finally examined using a SEM (FEI Inspect, Hillsboro, USA) equipped with a solid-state, four diodes BSE detector plus an auxiliary X-ray EDS microanalytical system (INCA, Oxford, UK). Optimum conditions of observation were obtained at an accelerating potential of 15-20 kV, a working distance of 8-10 mm and specimen current of 10<sup>8</sup> A.

For FT-Raman spectroscopic measurements, we used a RFS 100/S-G Bruker spectrometer equipped with a cooled Ge detector. The excitation source consists of a

continuous Nd:YAG laser emitting at 1064 nm. We applied controlled laser power outputs (20-50 mW) to avoid damage of the samples during measurements. The light scattered from an area of  $< 0.01 \text{ cm}^2$  was collected in backscattering (180°) geometry. Each data point resulted from the accumulation of 500 scans and the wavenumber resolution was 4 cm<sup>-1</sup>.

### **3 Results and discussion**

#### **3.1 Characterization of lichens**

The different laser irradiation conditions lead to different degrees of thalli removal, highly depending on the lichen species treated and on the type of stone substrate. The efficiency of laser damage depends on the laser-material interaction, which in turn, is related to wavelength, fluence and optical properties of the sample, such as absorption of light and heat diffusion [19, 21, 28, 30-32]. The wavelength of 1064 nm was selected because it is known to produce ultrastructural changes in endolithic mycobiont hyphae and also in free living fungi and algae lying inside the stone substrate [32]. UV laser wavelengths were chosen depending on the UV-Vis absorption spectra of ethanol extracts of each lichen species and on the lichen compounds identified by TLC.

Figure 1 shows the absorption spectra in the 200-500 nm range of the biodeterioration layer extracted in ethanol for some of the lichens studied. All the lichen samples present a higher absorbance at 266 than at 355 nm, and in the case of *P. muralis*, the absorbance is considerably higher at the shorter wavelength (0.88 at 266 and 0.2 at 355 nm). *P.* cf. *bolcana* and *A. viridescens* UV-Vis spectra are not shown because they are very similar to those obtained from *P. muralis* and *A. cf. contorta*, respectively. The solution obtained from *C. vitellina* presented a strong yellow colour and it was necessary to obtain an ethanol solution with a concentration 10 times lower in order to avoid saturation of the absorbance. As observed in this figure, the absorbance of the *Aspicilia* lichen is very low at wavelengths longer than 220 nm. For wavelengths larger than 500 nm, the absorbance is negligible in all cases (not shown).



Fig. 1. Optical absorption spectra of solutions obtained upon diluting the indicated lichen layers in ethanol. Solution from *C. vitellina* was dissolved ten times more in pure ethanol.

TLC analyses revealed the following lichen substances by species: calycin and pulvinic acid lactone in *C. vitellina*, traces of norstictic acid in *R. disporum*, usnic and psoromic acids, zeorin and two unidentified substances in *P. muralis* and *P. cf. bolcana*. All these lichen compounds absorb UV radiation in the explored spectral range (Fig. 1) [39]. No substances were detected by TLC in *A. cf. contorta* and *A. viridescens*. The identified lichen compounds are listed in Table 1, together with the absorption wavelength range and the corresponding molar attenuation coefficients.

Lichen	Lichen compounds	$\lambda_{abs} (nm)$	ε (L mol <sup>-1</sup> cm <sup>-1</sup> )
Candelariella	Calycin	236-291	11749–54954
vitellina	Pulvinic acid lactone	316-389	13183-31623
<i>Aspicilia viridescens</i> <i>and A. cf. contorta</i>	Non-detected	_	_
Rhizocarpon	Traces of nortistic	250-270	1995–18620
disporum	acid	295-333	8710-39810
Protoparmeliopsis	Usnic acid	282-290	21380-32359
muralis and P. cf.	Psoromic acid	208-240	-
bolcana	Zeorin	-	-

Table 1. Lichen compounds identified for each lichen species with the absorption wavelength ( $\lambda_{abs}$ ) range [39] and the corresponding molar attenuation coefficient ( $\varepsilon$ ). Data taken from [39].

Taking into account these results, and with the help of visual and stereomicroscopic observations of laser irradiated samples, we defined the laser conditions for removal of

the colonization layers. For UV irradiation, the wavelength of 266 nm was selected, except for samples colonized by *P. muralis*. In this case, irradiation at 355 nm was preferred due to the lower absorbance at this wavelength, a selection that served to assess the effect of laser absorption by the sample. The laser irradiation fluences were chosen to be just below the damage threshold of the bare stone substrates. Irradiation conditions for each sample are presented in Table 2.

Lichen	1064 nm	355 nm	266 nm	1064 + 355 nm	1064 + 266 nm
Lienen	$1.8 \text{ J cm}^{-2}$	$0.4 \text{ J cm}^{-2}$	$0.2 \text{ J cm}^{-2}$	1.8-0.4 J cm <sup>-2</sup>	1.8-0.2 J cm <sup>-2</sup>
Candelariella	x		x		X
vitellina (S)	Λ		Λ		Λ
Aspicilia	v		v		v
viridescens (S)	Λ		Λ		Λ
Rhizocarpon	v		v		v
disporum (S)	Λ		Λ		Λ
Protoparmeliopsis	Х	Х		v	
muralis (S)				Λ	
Protoparmeliopsis	v		v		v
cf. bolcana (G)	Λ		Λ		Λ
Aspicilia	v		v		v
cf. contorta (G)	Λ		Λ		Λ

Table 2. Laser irradiation conditions for each sample. (S) stands for sandstone and (G) for granite.

#### **3.2 Microscopy analysis of treated samples**

The structural modifications of the biodeteriogens were screened by stereomicroscopy. Figure 2 illustrates the effect of laser irradiation on *C. vitellina* on sandstone. Specifically, Figure 2a shows an area of the non-treated sandstone sample colonized by this lichen species, Figures 2b and 2c show areas of the sample irradiated at 1064 and 266 nm, respectively. The 1064 nm laser treatment (Fig. 2b) induces a superficial change of colour of the lichen from the initial yellow-orange to a greenish orange tone, that is consequence of the partial removal of the lichen upper cortex in most of the treated thalli. The laser treatment also removes small portions of the thalli in some areas (white-grey spots in Fig. 2b). On the other hand, UV irradiation (Fig. 2c) induces more pronounced effects on the lichen. The superficial green tone is due to the uncovering of the algal layer. Other changes are also observable, i.e. hymenial tissue was partially ablated from lichen apothecia (fungal reproductive structures), although excipular tissues remained, as indicated in the figure by a white arrow. UV laser irradiation also

induces the complete removal of large areas of the thalli (white-grey zones). For this species, the higher removal efficiency by UV irradiation is mainly attributable to the higher optical absorption of the compounds present in this lichen species (Fig. 1), which triggers damage through photomechanical effects [30].



Fig. 2. Stereomicroscopy images of *C. vitellina* on sandstone: a) non-treated, b) irradiated with 100 pulses of 1064 nm and c) irradiated with 100 pulses of 266 nm. The white arrow in c) indicates partially ablated apothecia. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

Figure 3 displays the effect of laser irradiation of *A. viridescens* on sandstone. Figure 3a shows a non-treated area of the sample (above the red fringe) and an area irradiated with 1064 nm pulses followed by pulses of 266 nm (below the red fringe). On the surface of the treated part, the green colour corresponding to the algal layer is clearly visible by stereomicroscopy. Further, upper hymenium in apothecia appears changed from a blackish colour to a light brown tone (full white arrow). SEM-BSE images show that IR-UV dual laser irradiation leads to the partial removal of some areoles, exposing the basal lipid-rich medullar fungal cells (Fig. 3b, left of the full white arrow). Further, in some areas, complete removal of the areoles was observed. For this sample, the presence of lipid bodies (marked with an open white arrow) in fungal hyphae is evident, both in non-treated and laser treated areas.

In previous laser removal studies of *Verrucaria nigrescens* on a dolostone substrate [32] it was found that IR laser irradiation was adequate for the alteration of fungal lipid bodies. In contrast, in the case of *A. viridescens* the laser irradiation at 1064 nm (not shown) resulted in the partial removal of the upper cortex, while he lipid bodies of the exposed fungi remained unaltered. This difference is possibly related with the higher

fluences used for treating *V. nigrescens* (2 J cm<sup>-2</sup>) [32], conditions that were allowed as dolostone presents a higher ablation threshold than sandstone at this wavelength.



Fig. 3. Images of *A. viridescens* on sandstone: a) stereomicroscopy image of the non-treated zone (above the red fringe) and treated by sequential IR–UV irradiation (1064 + 266 nm, below the red fringe), b) SEM-BSE image of non-treated sample (right of the full white arrow) and irradiated (left of the full white arrow) as indicated in a). The open white arrow signals the presence of lipid bodies. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

For *R. disporum* on sandstone (Fig. 4a), the sequential IR-UV (1064 + 266 nm) dual laser irradiation (Fig. 4b) induced the partial removal of the lichen upper cortex and exposure of the algae, as put in evidence by the green colour of the irradiated area. SEM images of the superficial parts of the thalli in a non-treated zone (Fig. 4c) and in the irradiated zone (Fig. 4d) reveal further details of the effects of the IR-UV dual laser treatment. The areoles from the non-irradiated thalli (Fig. 4c) show an uneven

continuous surface, characteristic of the amorphous layer of polysaccharides that constitute the upper part of the cortex. Figure 4d shows how the laser treatment eliminates this layer, leaving a network of small holes which correspond to damaged fungal cells from the cortex. The partial removal of the cortex induced by IR-UV irradiation is confirmed by the SEM-BSE images (Figs. 4e and 4f). The white arrows in these two figures mark the altered lichen upper cortex and the exposed algae, responsible of the observed green colour of Figure 4b.

As the surface of *R. disporum* lichen colonized samples present a dark colour (Fig. 4a), it is expected that the IR laser mode (1064 nm) should be appropriate for removal, in similarity with the case of the dark *V. nigrescens* lichen species [32]. However, for the latter, as in the case of *A. viridescens*, a minimum fluence of 2 J cm<sup>-2</sup> was necessary for complete removal. As this fluence overcomes the ablation threshold of sandstone it cannot be used in the present case.



Fig. 4. Stereomicroscopy images of *R. disporum* on sandstone: a) non-treated and b) treated by sequential IR–UV irradiation (1064 + 266 nm). SEM images of the surface of *R. disporum* on sandstone: c) non-treated and d) treated by sequential IR–UV irradiation. SEM-BSE images of the same sample after sequential IR–UV irradiation: e) general view and f) detailed view with high magnification. The white arrows mark the damaged lichen upper cortex and the exposed algae. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

Results obtained in *P. muralis* on sandstone are exemplified in Figure 5. As seen in the stereomicroscopy image of Figure 5a, the thalli of this lichen species presents numerous apothecia. Single IR or UV laser irradiation (not shown) fails to remove both lichen apothecia and areoles, whereas sequential IR-UV (1064 + 266 nm) laser irradiation (Fig. 5b) leads to the partial removal of the upper cortex. Again, in this case, the green

colour denotes the exposure of the algal layer on some areas of the surface of the treated part (white arrows). The effect of laser irradiation on the apothecia is limited and only amphitecial areas (peripheral parts) seem to be partly removed. The SEM-BSE image (Fig. 5c) confirms the elimination of the thalli vegetative parts under the present irradiation conditions (white arrow).



Fig. 5. Stereomicroscopy images of *P. muralis* on sandstone: a) non-treated and b) treated by sequential IR–UV irradiation (1064 + 355 nm), where the white arrows signal the partial removal of the cortex. c) SEM-BSE image of the lichen irradiated area, where the white arrow marks the partial elimination of the thalli. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

Figure 6 displays the results obtained in *P*. cf. *bolcana* on granite. Fig. 6a shows nontreated lichen thalli covered by numerous apothecia. Sequential IR-UV (1064 + 266 nm) laser irradiation (Fig. 6b) partially removed the lichen upper cortex, exposing the green coloured algal layer. The SEM-BSE image of a cross-section (Fig. 6c) shows the elimination of some areoles (indicated by the white arrow), whereas in the image with a higher magnification (Fig. 6d) it is possible to also observe the elimination of fragments of the upper cortex and the structural damage inflicted on the thalli (also indicated by the white arrows). The inset in Figure 6d displays the EDS-mapped area of this image showing the calcium distribution inside the lichen (see comment in the following paragraphs).



Fig. 6. Stereomicroscopy images of *P*. cf. *bolcana* on granite: a) non-treated and b) treated by sequential IR-UV (1064 + 266 nm) irradiation. c) SEM-BSE image of the laser treated sample, d) high magnification SEM-BSE image of the square marked in c). The white arrows in c) and d) indicate damage on the thalli. The inset in d) displays the EDS-mapped area, showing the Ca distribution. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

The analyzed *Protoparmeliopsis* lichen species (*P. muralis* and *P.* cf. *bolcana*) present numerous apothecia on the thalli surface which respond differently than the rest of the thalli to laser irradiation. The effect of IR irradiation on the abundant apothecia is not totally efficient and only some parts of those elements are altered. On the other hand, UV irradiation (either at 266 and 355 nm) induces a rather superficial damaging effect in the lichen thalli of both species. This effect is more pronounced at 266 than at 355 nm, probably due to the higher absorption at the shorter wavelength (see Fig. 1). The combination of the two IR and UV wavelengths in the tested sequential dual irradiation of these lichen species brings a clear advantage with respect to the single IR or UV wavelength irradiation modes.

Figure 7 illustrates the case of *A*. cf. *contorta* on granite. As can be seen in the stereomicroscopy image (Fig. 7a) of a non-treated zone (left and above the red fringe) and of a zone treated by sequential IR–UV (1064 + 266 nm) irradiation (right and below

the red fringe), laser irradiation induces the partial removal of the upper cortex and exposure of the algae. The SEM image of non-treated zone (Fig. 7b) shows the typical structure of the surface of the lichen upper cortex. After laser treatment the cortex appears clearly altered in the SEM images of the irradiated zone (Figs. 7c and 7d). Figure 7c shows the more uneven surface of the cortex present after irradiation, and in Fig. 7d it is shown that the algal layer is exposed in some parts of the thalli, in regions where the upper cortex was ablated during the laser treatment. This effect is shown in more detail in the SEM-BSE image (Fig. 7e), which displays the cortex deterioration and removal (white arrows), leaving exposed algal cells. Figure 7f shows a low magnification SEM-BSE image of the irradiated zone in which it is possible to observe the loss of fragments of upper cortex and damages in the algae layer, indicated by arrows. The inset on Figure 7f displays the EDS-mapped area corresponding to the square showing the calcium distribution inside the lichen thalli. IR-UV laser irradiation of A. cf. contorta on granite produces the best results in this lichen species. The alteration of the upper cortex and changes in the areoles structure are clearly observed. Here the lichen upper cortex is partially removed by the sequence of IR pulses and the exposed unprotected photobiont cells are eventually damaged by the following train of UV pulses.



Fig. 7 Images of *A*. cf. *contorta* on granite: a) stereomicroscopy image of a non-treated zone (left and above the red fringe) and of a zone treated by sequential IR–UV (1064 + 266 nm) irradiation (right and below the red fringe), with the characteristic green colour. SEM images of the superficial area of the same sample: b) non-treated, c) and d) laser treated. In d) algal cells are observed. e) and f) SEM-BSE images of the same treated sample. The arrows in e) and f) mark the upper cortex with a high level of deterioration The inset in f) shows the corresponding EDS-mapped of the indicated square area, displaying the Ca distribution. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

Sandstone and granite present different textures and slightly different chemical composition, factors that can influence on the lichen growth. Despite this differences, the laser cleaning effect appear quite similar for both substrates

Additionally, in the case of the lichen species which are colonizing the granite substrate (*P*. cf. *bolcana* and *A*. cf. *contorta*), the presence of calcium inside the lichens (Fig. 6d and 7f), probably in the form of calcium oxalate due to the reaction between oxalic acid from the lichen and calcium from the substrate, could also prevent the laser damaging effect and thus action of irradiation only partially removed the upper cortex in the vegetative parts (areoles). It is worth noticing that, as it has been shown in Experimental section, the CaO concentration of granite is 2.45 %, whereas that of sandstone is 0.06 %.

Table 3 summarizes the results obtained for the optimal laser treatment of each lichen species on the two different substrates.

Lichen	<b>Best irradiation</b>	Optimal results obtained
	wavelength	
Candelariella vitellina (S)	UV (266 nm)	Large areas of thalli completely removed. Hymenial tissue partially ablated from lichen apothecia inducing decolouration.
Aspicilia viridescens (S)	IR-UV (1064 + 266 nm)	Complete removal of fragments of thalli and exposure of fungi. Decolouration of lichen apothecia. Fungi exposed but fungi lipid bodies remain unaltered.
Rhizocarpon disporum (S)	IR-UV (1064 + 266 nm)	Partial removal of upper cortex and exposure of algae (green colour). Elimination of fungal hyphae leaving small holes on treated surface.
Protoparmeliopsis muralis (S)	IR-UV (1064 + 355 nm)	Apothecia are not removed, only peripheral parts are partially eliminated. Elimination of thalli in vegetative parts.
Protoparmeliopsis cf. bolcana (G)	IR-UV (1064 + 266 nm)	Elimination of upper cortex and exposure of algae (green colour). Removal of some areoles.
Aspicilia cf. contorta (G)	IR-UV (1064 + 266 nm)	Partial removal of upper cortex and exposure of algae (green colour).

Table 3. Summary of the results obtained after laser cleaning of lichens in different heritage stones. (S) stands for sandstone and (G) for granite.

## **3.3 FT-Raman spectroscopy measurements**

We analyzed by FT-Raman spectroscopy the non-irradiated stone control areas and the areas where the laser treatment induced partial or complete elimination of the biodeteriogen film. As representative examples, FT-Raman spectra obtained on *C. vitellina* on sandstone and on *A.* cf. *contorta* on granite are shown in Figure 8. The bands observed are listed in Table 4, together with their corresponding assignments.

For sandstone (Fig. 8a), the Raman spectrum of the bare sandstone acquired in the back side of the sample (magenta line), displays the characteristic bands of  $\alpha$ -quartz (128, 207, 267, 355, 465, 510, and 799 cm<sup>-1</sup>) [40], the main component of sandstone.

The spectrum of a non-irradiated zone with mixed microbial *C. vitellina* colonization (black line) presents small features attributed to the sandstone background at 463 and 506 cm<sup>-1</sup> and additional bands assigned to the lichen compounds of *C. vitellina* [41-43], namely pulvinic dilactone (at 1406, 1603 and 1674 cm<sup>-1</sup>), calycin (at 1377 and 1632 cm<sup>-1</sup>) and carotene, a compound produced by the lichen photobiont (at 1001, 1157, 1188 and 1524 cm<sup>-1</sup>). The presence of these lichen compounds has been also confirmed by TLC (see 3.1) and Table 1.

Additionally, in the spectrum of the non-treated area of the sample, some small features could be attributed to calcium oxalate monohydrate (whewellite) at 506, 1406, 1454 and  $1632 \text{ cm}^{-1}$ . The band at 506 cm<sup>-1</sup> coincides with a quartz band and those at 1406 and  $1632 \text{ cm}^{-1}$  match with bands of the lichen compounds, thus preventing the assignment.

The intensity of the bands of the lichen compounds slightly increases upon laser irradiation of the colonized sample at 1064 nm (blue line), while the bands corresponding to the sandstone substrate weakly emerge in the spectrum. This supplies evidence of the partial removal of the lichen thalli which leaves exposed cells localized in internal layers of the lichen thalli. Figure 8 also displays the spectrum of a colonized sample zone after 266 nm laser irradiation (red line). In this case the bands of the bare sandstone can be observed. The Raman signal after sequential IR–UV (1064 + 266 nm) laser irradiation (green line) is very low, although a hint of lichen compounds bands is detected in the analyzed spectral zone. Although at the applied irradiation conditions the lichens are not completely removed, the biological layer is damaged and the surface does not yield a noticeable Raman response.

The FT-Raman results support the visual and stereomicroscopy observations (Fig. 2) performed on *C. vitellina* colonized sample, confirming the partial removal of the thalli upper cortex and exposure of the algal layer by irradiation at 1064 nm and the complete removal of fragments of thalli by irradiation at 266 nm.

FT-Raman spectra were also obtained on *A*. cf. *contorta* on granite and are shown in Figure 8b. The FT-Raman spectrum of the bare granite acquired in the back side of the sample (magenta line), displays the characteristic bands of  $\alpha$ -quartz (128, 207, 267, 356, 465, 699, 786, 1098 and 1160 cm<sup>-1</sup>) [40], plagioclase (165, 286, 408, 479 and 509 cm<sup>-1</sup>)

[44] and K feldspar (286, 479 and 509 cm<sup>-1</sup>), the main components of this kind of granite [36].

The spectrum of a non-irradiated granite zone with mixed microbial *A*. cf. *contorta* colonization (black line) presents small features attributed to the granite background, as the band at 1081 cm<sup>-1</sup>, and additional bands assigned to chlorophyll (at 743, 1289 and 1383 cm<sup>-1</sup>) and carotene, a compound produced by the lichen photobiont (at 1003, 1157, 1188 and 1524 cm<sup>-1</sup>) [41]. Additionally, in the spectrum of the non-treated area of this sample, some weak bands could be attributed to calcium oxalate monohydrate (whewellite) at 1454 and 1632 cm<sup>-1</sup>.

The intensity of the bands of the biodeterioration layer clearly decreases upon laser irradiation of the colonized sample at 1064 nm (blue line), while the bands corresponding to whewellite weakly emerge in the spectrum. This supplies evidence of the partial removal of the lichen thalli. In the case of a colonized sample zone irradiated at 266 nm (red line), only bands of carotene are observed. The Raman signal after sequential IR–UV (1064 + 266 nm) laser irradiation (green line) is very low, although a hint of substrate and whewellite bands is detected in the analyzed spectral zone, indicating that the biological layer is clearly damaged and the surface does not yield a noticeable Raman response. These results support the stereomicroscopy and SEM images obtained on *A.cf. contorta* sample (Fig. 7), confirming the partial removal of the thalli upper cortex and exposure of Ca distribution by irradiation at 1064 + 266 nm, without complete lichen removal.

In the spectra of the laser irradiated zones (Fig. 8) of both sandstone and granite substrates, no new peaks appear upon laser irradiation, indicating the absence of chemical changes in the stone substrate that could be induced by laser removal of microorganisms.



Fig. 8. FT-Raman spectra of a) *C. vitellina* on sandstone and b) *A.* cf. *contorta* on granite. The magenta line corresponds to the spectrum of the bare stone, and the black line to the spectrum of the surface colonized by the lichen before laser irradiation. The blue line is the spectrum of the colonized sample after laser irradiation at 1064 nm, the red line corresponds to the sample irradiated at 266 nm and the green line is the spectrum of an area treated by sequential IR–UV (1064 + 266 nm) irradiation. For interpretation of the references to colour in this figure, the reader is referred to the web version of the article.

Table 4. Raman wavenumbers (in cm<sup>-1</sup>) and vibrational band assignment for the sandstone and granite samples and for the same samples colonized by *C. vitellina* and *A.* cf. *contorta*, respectively, under different laser irradiation conditions.

Sandstone	C. Vitellina Non-treated	1064 nm	266 nm	1064 + 266 nm	Assignment
	1784	1796	1771		<i>v</i> (CO)
	1674	1674	1611	1678	v (CO) conjugated, free, pulvinic dilactone
	1632	1630			v (CO) conjugated, H-bonded, calycin; v (CO <sub>2</sub> ) whewellite
	1603	1602		1599	v (CC) conjugated, aromatic, pulvinic dilactone
			1580		G band, graphitic carbon
	1524	1524		1526	v(CC) carotene
	1454	1454			v(CO) whewellite
	1406	1406			$\omega$ (CO <sub>2</sub> ) whewellite; pulvinic dilactone
	1377	1377		1383	$\delta$ (CO) phenyl, calycin
	1188	1188		1186	ring stretch, carotene
			1340		D band, disordered carbon
	1157	1159		1157	v (CC) stretching, carotene
	1001	1001			v (CC) ring breathing, carotene
799					SiO <sub>2</sub> stretching, $\alpha$ -quartz
510	500	504			$\delta(CO_2)$ whewellite;
510	500	504			SiO <sub>2</sub> bending, $\alpha$ -quartz
465	463	465	465	465	SiO <sub>2</sub> bending, $\alpha$ -quartz
355		352	355		SiO <sub>2</sub> bending, $\alpha$ -quartz
267			269		SiO <sub>2</sub> twisting, $\alpha$ -quartz
207			199		SiO <sub>2</sub> twisting, $\alpha$ -quartz
128			128		SiO <sub>2</sub> twisting, $\alpha$ -quartz
Granite	A. cf. <i>contorta</i> Non-treated				
	1632	1630			$v(CO_2)$ whewellite
	1524	1524	1522	1512	v(CC) carotene
	1454	1460		1470	v (CO) whewellite
	1188	1188	1188	1186	ring stretching, carotene
1160					SiO <sub>2</sub> bending, $\alpha$ -quartz
	1157	1156		1167	v (CC) stretching, carotene
	1383	1373	1372		$\delta$ (CH <sub>2</sub> ) chlorophyll
	1289				$\delta$ (CH <sub>2</sub> ) chlorophyll
1098	1081	1079			SiO <sub>2</sub> asymmetric stretching, $\alpha$ -quartz
	1003	1001	994		v (CC) ring breathing, carotene
				886	whewellite
786					SiO <sub>2</sub> stretching, $\alpha$ -quartz
	743				Ring breathing, chlorophyll
699					SiO <sub>2</sub> stretching, $\alpha$ -quartz
509				510	SiO <sub>2</sub> stretching, plagioclase, K-feldspar, $\delta$ (CO <sub>2</sub> ) whewellite
479					SiO <sub>2</sub> stretching, plagioclase, K-feldspar
465					SiO <sub>2</sub> bending, $\alpha$ -quartz
408				413	Lattice vibration, plagioclase
356		1		1	SiO <sub>2</sub> vibration, $\alpha$ -quartz
286		1		1	Lattice vibration, plagioclase, K-feldspar
267					SiO <sub>2</sub> twisting, $\alpha$ -quartz
207					SiO <sub>2</sub> twisting, $\alpha$ -quartz
165		1		1	Lattice vibration, plagioclase
128					SiO <sub>2</sub> twisting, $\alpha$ -quartz

For the rest of the studied lichens (not shown), FT-Raman spectra of the doubly irradiated areas display the features of the substrates, thus confirming partial removal of the biodeteriogen layer as observed by stereomicroscopy and SEM-BSE. Also no new peaks appear upon laser irradiation in any of the used conditions.

## Conclusions

In this work we have investigated the use of Q-switched Nd:YAG laser delivering nanosecond pulses for the elimination of epilithic crustose lichen layers from heritage samples of sandstone and granite. Specifically, we compared different modes based on single-wavelength irradiation, either in the IR, at 1064 nm, in the UV, at 355 or 266 nm, and on dual sequential irradiation, with IR followed by UV pulses. The results obtained indicate that the optimal conditions for laser removal of the biodeteriogen layers are highly dependent on the lichen species treated and much less on the type of stone substrate.

In the case of lichen species with high absorption in the UV region, as *Candelariella vitellina*, the optimal cleaning conditions were obtained at 266 nm, which resulted in the partial removal of the upper cortex, exposure of the algae in some areas and complete removal of fragments of thalli in other areas. In *Protoparmeliopsis* species (*P. muralis* and *P.* cf. *bolcana*), the sequential IR-UV (1064 + 355 or 266 nm) laser irradiation partially removed the thalli upper cortex in the vegetative parts of the lichen (areoles). However, the damage inflicted in the apothecia was found minimal, possibly due to the highly efficient protective role of their sterile elements.

For the rest of studied lichens on sandstone (A. viridescens and R. disporum), the sequential IR-UV (1064 + 266 nm) laser irradiation was also found to be the most appropriate irradiation mode because it leads to the partial removal of the thalli areoles, to the exposure of medullar fungal cells and, in some cases, the complete removal of fragments of thalli.

In the case of the analyzed lichen species on granite (*P*. cf. *bolcana and A*. cf. *contorta*), the presence of calcium inside the lichen thalli, probably due to the reaction between oxalic acid from the lichen and calcium from the substrate, is thought to prevent the damaging effect of laser irradiation. In these cases, the laser effect consists in the partial removal of the thalli upper cortex from vegetative parts (areoles).

In the studied lichen species, it has been found that the laser treatment does not lead to the complete removal of lichen thalli, but it clearly induces various types and degrees of damage, including the loss of the upper cortex and dramatic effects on the algal layer. In the medium term, these alterations could eventually result in the destruction of the lichen thalli, thus providing a high degree of control of the biodeterioration processes of the lithic substrate and reducing the chances of lichen recolonization.

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