- 1 Genetic and toxinological characterization of North Atlantic strains of the dinoflagellate
- 2 Ostreopsis and allelopathic interactions with toxic and non-toxic species from the genera
- 3 Prorocentrum, Coolia and Gambierdiscus
- 4 María García Portela<sup>1,\*</sup>, Pilar Riobó Agulla<sup>2,†</sup>, José Mariano Franco Soler <sup>2,†</sup>, Rosa M<sup>a</sup> Bañuelos
  5 Fuentes <sup>3,†</sup> and Francisco Rodríguez Hernández<sup>1</sup>
- 6 <sup>1</sup> Instituto Español de Oceanografia (IEO), Centro Oceanográfico de Vigo, Subida a Radio Faro
- 7 50-52, Cabo Estay, Canido, 36390 Vigo, Spain.
- 8 E-mails: maria.garcia@vi.ieo.es; francisco.rodriguez@vi.ieo.es
- 9<sup>2</sup> Instituto de Investigaciones Marinas, CSIC (UA Microalgas Nocivas CSIC-IEO), Eduardo Cabello,
- 10 6, 36208 Vigo, Spain. E-mails: pilar.riobo@vi.ieo.es; jose.franco@vi.ieo.es
- <sup>3</sup> Departamento de Oceanografía, Instituto de Investigaciones Marinas (CSIC), Eduardo Cabello, 6,
- 12 36208 Vigo, Spain.E-mail: banhuelos@iim.csic.es
- 13 <sup>†</sup> These authors contributed equally to this work.
- 14 \* Author to whom correspondence should be addressed; E-mail: maria.garcia@vi.ieo.es.
- 15 Tel.: (+34) 986 49 21 11, (+34) 986 49 86 26.
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#### 24 Abstract

25 The genus Ostreopsis includes several toxic species that can develop blooms in benthic 26 ecosystems, with potential harmful consequences for human health and marine invertebrates. 27 Despite of this, little is known about the allelopathic interactions between these organisms and 28 other co-occurring microalgae that exploit similar spatial and nutrient resources in benthic 29 ecosystems. The aim of this study was to follow these interactions in cultures of two Ostreopsis ribotypes with different toxin profiles (O. cf. ovata contained ovatoxins-a, b, c and 30 31 e, while only ovatoxin-d was found in O.sp. "Lanzarote-type"), mixed with species of three 32 benthic dinoflagellate genera (Coolia, Prorocentrum and Gambierdiscus), isolated from the 33 same area (North East Atlantic, Canary Islands). In a first experiment, the potential 34 allelopathic effects on growth rates were followed, in mixed cultures of *Coolia monotis* (a non toxic species) exposed to the clarified medium and to cells of O. sp. "Lanzarote-type" and O. 35 36 cf. ovata. Growth delayed in C. monotis was observed specially in clarified medium, while 37 the O. sp. "Lanzarote-type" strain attained much lower densities in mixed cultures. In a second experiment, we examined the potential effects of clarified media from O. 38 39 sp. "Lanzarote-type" and O. cf. ovata on the adherence capacity in two toxic species 40 (Prorocentrum hoffmannianum and Gambierdiscus excentricus). Contrasting effects were found: a significant increase of adherence capacity in *P. hoffmannianum vs* attachment decline 41 42 in G. excentricus, that experienced also severe deleterious effects (cell lysis). Our results 43 suggest the existence of weak to moderate allelopathic interactions between the studied 44 organisms, although the outcome is dependent on the species involved.

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46 Keywords: Ostreopsis; Allelopathy; Ovatoxins; PTXs; Interespecific effects, Inhibitory effects;
47 Adhesion.

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#### 50 1. Introduction

51 Harmful algal blooms (HABs) are natural toxic events which are -at times- related with increases of

52 phytoplankton biomass and that represent a potential threat for the public health and/or aquatic

ecosystems (Smayda, 1997). Dinoflagellates are one of the most relevant HAB groups, and toxigenic
species are sometimes, involved in this phenomenon (Liew et al., 2000).

In the recent decade, benthic and epiphytic dinoflagellates –which inhabit sediments or live epiphytic
on macroalgae–, have attracted much attention given the apparent geographical expansion of some

57 toxic genera like *Ostreopsis* and *Gambierdiscus* (Berdalet et al., 2012, Shears and Ross, 2009).

58 Dinoflagellate blooms are correlated with environmental changes in the water column (Pitcher et al.,
59 2010), even so, in the benthos, the processes that shape dinoflagellate populations and facilitate the

60 blooming of certain species are poorly understood (Fraga et al., 2012).

61 The genus Ostreopsis produces palytoxins (PLTXs) and palytoxin-like compounds (Ciminiello et al.,

2011), such as ovatoxins (OVTXs), which are implicated in poisonings through ingestion of some 62 63 clupeoid fishes, known as clupeotoxism (Onuma et al., 1999). In the Mediterranean Sea the blooms of Ostreopsis have been associated with skin irritations (Hoffmann, 2008) and irritative processes after 64 inhalation of marine aerosols in the Tuscan and Ligurian coasts in Italy (Ciminiello et al., 2008, Totti 65 et al., 2010), Andalusian (García et al., 2008) and Catalan coast in Spain (Vila et al., 2012). One of the 66 67 most relevant episodes occurred in 2005, when about 200 people suffered respiratory illnesses provoked by ovatoxins and cells transported through the wind as marine aerosols as recently described 68 by Ciminiello et al. (2014). 69

Although HABs like those of *Ostreopsis* can be nearly monospecific (Fraga et al., 2012; Nascimento et al., 2012), benthic communities of dinoflagellates are usually constituted by diverse taxa and species in different world areas. For example, the most widespread assemblages in NW Mediterranean Sea are composed of *Ostreopsis* sp., *Coolia monotis*, *Prorocentrum lima*, also in East Malaysia there have been usually reported associations of *Coolia*, *Amphidinium*, *Gambierdiscus*, *Ostreopsis* and

75 Prorocentrum spp. (Vila et al., 2001; Tan et al., 2013). The co-occurrence of microalgae is conditioned

76 by the balance between cell concentration (gains and losses), light and nutrient availability

(Hutchinson, 1961). To overcome the low nutrients uptake, different adaptations have been proposed
like nutrient retrieval through swimming, mixotrophy and allelopathic strategies (Smayda, 1997; Fraga
et al., 2012).

The term "allelopathy" refers to the effect (positive or negative) produced in one population due to biochemicals secreted by a different one (Hulot et al., 2004), and it can be understood as another adaptation (Legrand et al., 2003) that involves the secretion of biochemicals and the action of secondary metabolites (Arzul and Gentien, 2006). Distinct responses and sensitivity to allelochemical substances (Tillman et al., 2008) could be mediated by specific donor/target combinations in each organism.

86 Toxic effects can be considered as allelopathic effects, but by no means are allelopathic effects 87 restricted to toxins. There exist other molecules likely involved in allelopathy. Some alkaloids are able 88 to inhibit RNA synthesis (Mendes et al., 2013) as well as other marine microorganisms can suppress  $\beta$ -89 glucosidase activity (Pandey et al., 2013) which is responsible for enzymatic activity. Allelopathy 90 experiments are often carried out to test a hypothesis about interactions between target and donor 91 species. Even though, other communities growing in non-axenic cultures (e.g. bacterial communities) 92 could display feedback mechanisms and influence target/donor species behavior (Weissbach et al., 93 2010). Previous studies have documented different allelopathic interactions in planktonic 94 dinoflagellates, such as cell death in Karenia brevis caused by Skeletonema costatum (Prince et al., 95 2008), growth inhibition in *Heterocapsa circularisquama* by *Karenia mikimotoi* (Uchida et al., 1999), cell lysis, morphological changes and motility loss in Oxyrrhis marina by Alexandrium spp. (Tillmann 96 97 et al., 2002), same for Gymnodinium catenatum exposed to the raphidophyte Chattonella marina 98 (Fernández-Herrera et al., 2016), and protein activity inhibition when using supernatant from 99 Prorocentrum lima which contains okadaic acid (Sugg and VanDolah, 1999). Allelopathy could be 100 also implicated in favouring the growth of certain algae (Suikkanen et al., 2011), in mechanisms of 101 predatory behaviour (Park and Kim, 2010), and in the initiation of their blooms by reducing growth of

their competitors or affecting behavioural/physiological capabilities (Hakanen et al., 2014). In the case
of benthic dinoflagellates, specifically the genus *Ostreopsis*, only a few reports have described weak to
moderate allelopathic interactions between *O*. cf. *ovata* and other protists (Monti and Cecchin 2012;
Pezzolesi et al., 2011) or macroalgae (Accoroni et al., 2015).

The aim of the present work was to study the allelopathic interactions between Ostreopsis and several

105 Tezzolesi et ul., 2011) of mucrourgue (recoroni et ul., 2015).

dinoflagellates that commonly co-occur in benthic assemblages, including observations on adherence
capacity that have never been previously reported. For this purpose, a molecular and toxinological
characterization of several *Ostreopsis* strains from the Canary Islands was performed. Consequently,
two *Ostreopsis* ribotypes (*O.* cf. *ovata* and *O.* sp. "Lanzarote-type") with different toxin profiles were

111 selected to determine their effects (either by cell-to-cell contact or clarified medium) on cell viability,

112 growth and adherence capacity, on toxic and non-toxic species of the genera Coolia , Prorocentrum

and *Gambierdiscus* from the same geographical area.

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## 115 **2. Material and methods**

# 116 2.1 Culture conditions

117 Experiments were conducted with clonal non-axenic strains (Table 1) from CCVIEO microalgal 118 culture collection of the Instituto Español de Oceanografía (Vigo, Galicia, Spain). Cultures for mixed 119 cells growth and supernatant experiments were grown in 250 ml Erlenmeyer flasks and cultures for 120 adherence experiments were grown on 6-well dish plates. L1 medium (Guillard and Hargraves, 1993) 121 adjusted to a salinity of 32 was used in all treatments with volumes adapted to experimental settings. 122 Flasks and multi-well plates were incubated at 25 °C, 277  $\mu$ Em<sup>-2</sup>s<sup>-1</sup> light intensity under a 12:12 L:D 123 cycle.

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## 125 2.2 Mixed cells growth experiments

- 127 VGO1001, classified as O. cf. ovata (Penna et al., 2010) and VGO1000 which belongs to a distant
- 128 clade (first characterized by Penna et al. (2010) using *Ostreopsis* sp. VGO881), that we label here as
- 129 *O.* sp. "Lanzarote-type" ribotype following Parsons et al. (2012).
- 130 The experimental setup consisted of two culture treatments (150 ml total volume) where toxic (O. sp.
- 131 "Lanzarote-type" VGO1000 and O. cf. ovata) and non-toxic C. monotis VGO858 (LC-FLD analysis
- 132 did not shown the presence of YTXs; Fraga et al., 2008) species were seeded as follows: C. monotis
- 133 was seeded at an initial concentration of 1,000 cells  $ml^{-1}$  together with 500 cells  $ml^{-1}$  of O. sp.
- 134 "Lanzarote-type" (VGO1000). Same conditions were used with O. cf. ovata. Cell enumeration was
- 135 carried out every two days throughout 14 days on Lugol's Acid Solution-fixed samples in a Sedgewick
- 136 Rafter (S50) Chamber. The first sample was taken the same day of inoculation. pH range
- 137 measurements were made each sampling day (pH meter Crison Basic 20, electrode Crison 52-02). Live
- 138 observations were also made in each sampling day by means of a Zeiss Invertoscop D Microscope
- 139 (Carl Zeiss AG, Germany). Control cultures were used to calculate growth rates and to establish
- 140 comparisons with cultures exposed to the different treatments. For this purpose, nine 150 ml cultures
- 141 were used as triplicate controls of Ostreopsis (VGO1000, VGO1001) and C. monotis. Cells of C.
- 142 *monotis* were seeded at a concentration of 1,000 cells ml<sup>-1</sup> with L1 medium. O. sp. "Lanzarote-type"
- 143 (VGO1000) and O. cf. ovata were seeded with 500 cells  $ml^{-1}$  in L1 medium. A one-way analysis of
- 144 variance (ANOVA) was carried out to evaluate the statistical significance of the cell densities reached
- 145 in controls and treatments. Growth rates were expressed as instantaneous rate of increase (r; Gotelli,
- 146 1995), as given by (Wood et al., 2005):

147  $r = (\ln (N_t) - \ln (N_0)) / \Delta t$ 

Where  $N_t$  is the number of cells at the end of the time interval,  $N_0$  is the number of cells at the beginning of the time interval and  $\Delta t$  is the length of the time interval  $(t_t - t_0)$ . Units for *r* are given per unit time  $(t^{-1})$ .

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#### 152 2.3 Ostreopsis supernatant experiments

153 Exponentially growing cultures of O. sp. "Lanzarote-type" (VGO1000) and O. cf. ovata were diluted to reach a concentration of 500 cells ml<sup>-1</sup> and filtrated through glass microfiber filters with a diameter 154 of 47 mm size (MVF-5, Cde-Parmer Instrument, Filter-Lab, U.S.A.). This medium without cells 155 156 (hereinafter, clarified medium or supernatant) was distributed in six Erlenmeyer flasks, where C. *monotis* was seeded at concentrations of 1,000 cells ml<sup>-1</sup> (total volume of 150 ml). *Ostreopsis* 157 supernatants were added in proportions equivalent to 500 cells ml<sup>-1</sup> for each Ostreopsis strain (23 ml 158 159 for VGO1000 and 22 ml for O. cf. ovata). Instantaneous rates of increase (r) and ANOVA were calculated as described above. Cultures were monitored for 14 days to follow growth rates and 160 161 behavioural changes relative to control treatments and pH range measurements were made each 162 sampling day. The mixed growth experiments followed this same experimental regime.

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#### 164 2.4 Adherence capacity experiments

165 With the purpose of studying the allelopathic effects of clarified medium from Ostreopsis on 166 adherence capacity of other co-occurring benthic species, two toxic strains of *Prorocentrum* 167 hoffmannianum (VGO1031; OA producer, P. Riobó, pers. comm.) and Gambierdiscus excentricus (VGO 791, MTX and CTX producer according to Neuro-2a CBA analysis; Fraga et al., 2011), were 168 169 used. These strains were seeded in triplicate for controls and treatments (8 ml total volume). Controls 170 of *P. hoffmannianum* and *G. excentricus* were seeded at 1,000 and 500 cells ml<sup>-1</sup>respectively and filled up to 8 ml with L1 medium. Experimental treatments included 1,000 cells ml<sup>-1</sup> of *Prorocentrum*, 500 171 cell ml<sup>-1</sup> of *Gambierdiscus* and clarified medium of O. sp. "Lanzarote-type" (VGO999) and O. cf. 172 173 ovata, inoculated in concentrations equivalent for1:2 and1:4 ratios for Prorocentrum: Ostreopsis and 174 Gambierdiscus: Ostreopsis (8 ml total volume). Controls and treatments were prepared same day 175 corresponding to Ostreopsis exponential growth phase and pH measurements were made each 176 sampling day (pH meter Crison Basic 20, electrode Hamilton MiniTrode). The ratios of Prorocentrum, Ostreopsis filtrate, and L1 fresh medium added were 1:2:5 and 1:4:3 for 1:2 and 1:4 treatments 177

respectively, for both *Ostreopsis* strains. L1 medium was adjusted in *Gambierdiscus* treatments and
controls due to lower growth rates achieved in lab cultures for this species. In this case, ratios were
4:2:3 and 4:4:1 for 1:2 and 1:4 treatments, respectively.

Cell enumeration was carried out on the days 3 and 6 in triplicate, by gently picking a coverslip placed into the bottom of a well using a steel lab tweezer and soaking it three times in a 100 ml beaker with 90 ml of fresh L1 medium to remove the unattached or loosely attached cells and counting the attached cells with a 10X eyepiece reticle with a10mm grid (100 x 100) coupled with an inverted microscope (Nikon Eclipse, TE2000-S). The adherence capacity was estimated by comparing the number of cells stuck to the coverslip among control and treatment wells. An analysis of variance (ANOVA) was carried out at the end of the experiments to evaluate the statistical significance of results.

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## 189 2.5 Nutrient analysis

Triplicate samples of 10 ml from mixed cells growth and *Ostreopsis* supernatant experiments (sections 2.2 and 2.3, respectively) were collected for nutrient analysis on days 0, 6 and 14. With the same purpose, triplicate samples of 8 ml from wells used for adherence experiments were collected on days 0, 3 and 6. Nutrient contents were determined by segmented flow analysis with Futura-Alliance autoanalyzers following Hansen and Grassoff (1983). The analytical error for nitrate was  $\pm$  0.06  $\mu$ M,  $\pm$ 0.01  $\mu$ M for nitrite and  $\pm$  0.005  $\mu$ M for phosphate.

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#### 197 2.6 Toxins extraction

198 Sample aliquots (1.5 ml) from each culture were fixed with Lugol's and cell counts were made using a

199 Sedgewick Rafter Counting Slide. Cell pellets from total culture volumes of 260 ml, 430 ml and 460

200 ml, containing  $1.21 \times 10^6$  cells,  $1.23 \times 10^6$  cells and  $1.74 \times 10^6$  cells (O. cf. ovata, O. sp. "Lanzarote-type"

201 (VGO1000 and VGO999), respectively) were collected during exponential phase by filtration through

202 glass microfiber filters with a diameter of 47 mm size (MVF-5, Cde-Parmer Instrument, Filter-Lab,

203 U.S.A.). The filters with cells were re-suspended in MeOH and sonicated to homogenize the

suspension using Ultrasonic Homogenizer (Cole-Palmer, Chicago, IL. USA) sonication probe 4710.
Next, samples were centrifugated at 4,620 g for 20 min at10°C. The supernatant was removed and the
pellet was extracted again with MeOH, following the same procedure. Both supernatants were
combined and the total volume was adjusted to 3 ml. Extracts were stored at -20° until their analysis by
LC-HRMS, at which time they were tempered and filtered through 0.45 µm syringe filters.

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#### 210 2.7 Toxins analyses: LC-HRMS.

211 Mass spectrometry analyses (Ciminiello et al., 2010) were performed using a Thermo Scientific 212 Dionex LC coupled to an Exactive mass spectrometer, equipped with an Orbitrap mass analyzer. The 213 instrument was mass calibrated for positive and negative modes, and the capillary and tube lens 214 voltages were also optimized, using the automated script within the Extractive acquisition. HRMS 215 experiments were carried out without and with all ion fragmentation (AIF) (HCD 35 eV). The mass 216 range for both, full MS and AIF mode, ranged from 200 to 3,000 m/z at a resolving power of 140,000 217 and 70,000 respectively.

The following conditions were used (Garcia-Altares et al., 2014): a column of 2.7  $\mu$ m XBRIDGE BEH-C18, 50×2.10 mm (Waters), was kept at 20 °C and eluted at 0.2 ml min<sup>-1</sup> with water (eluent A) and 95 % acetonitrile/water (eluent B), both containing 30 mM acetic acid. The following gradient elution was used: 28 % B at t=0, 29 % B at t=10, 30 % B at t=20, 100 % B at t=21, and hold for 10 min; reequilibration time was 13 min. For toxins identification an analytical standard of Palytoxin from *Palythoa tuberculosa* containing 5 ng  $\mu$ L<sup>-1</sup> was purchased from Wako chemicals GmbH (Neuss, Germany).

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## 226 2.8 DNA extraction, PCR amplification and sequencing

227 Samples for DNA extraction were processed from Ostreopsis strains listed in Table 1 as follows: 1.5 228 ml from each culture were picked up into Eppendorf-tubes (1.5 ml) and centrifuged (Centrifuge 5424 229 R, Eppendorf AG, New York, USA) at 15,871 g for 2 min, washed with sterile MQ water and centrifuged again (15,871 g, 2 min). The resulting pellets were resuspended in 100 µL of 10% Chelex 230 231 100 (BioRad, Hercules, CA, USA), centrifuged (15,871 g, 2 min), boiled at 95°C in an Eppendorf 232 Mastercycler EP5345 thermocycler (Eppendorf AG, New York, USA) for 10 min, then vortexed. The 233 boiling and vortex steps were done twice and samples were centrifuged (15,871 g, 2 min) three times. 234 The supernatants were transferred to clean 200 µL tubes. Genomic DNA was quantified and checked 235 for its purity in a Nanodrop Lite spectrophotometer (ThermoScientific, Waltham, MA, USA). In some 236 cases (Ostreopsis VGO999, VGO1000, VGO1001 and VGO1187), single cells were picked up 237 manually for direct amplification with the aid of a capillary glass micropipette under a Zeiss 238 Invertoscop D Microscope (Carl Zeiss AG, Germany), rinsed into three drops of sterile distilled water 239 over a microscope drilled slide and transferred to 200 µL tubes. 240 The D1-D2 regions of the LSU rRNA gene were amplified from culture extracts and single cells using 241 the pairs of primers D1R/D2C (5'-ACCCGCTGAATTTAAGCATA-3'/5'-242 ACGAACGATTTGCACGTCAG-3') (Lenaers et al., 1989). The amplification reaction mixtures (25 μL) contained 2.5 μL reaction buffer, 2 mM MgCl<sub>2</sub>, 0.25 pmol of each primer, 2 mM of dNTPs, 0.65 243 244 units Taq DNA polymerase (Qiagen, CA, USA), 2 µL of DNA (or the isolated cells, as described before). The DNA was amplified in an Eppendorf Mastercycler EP5345 (Eppendorf AG, New York, 245 246 USA) under the following conditions: initial denaturation at 95 °C for 1 min, followed by 40 cycles of

- 247 denaturation at 54 °C for 1 min, annealing at 72 °C for 3 min, extension at 72 °C for 3 min, and a final
- extension cycle at 72 °C for 10 min. A 10  $\mu$ L aliquot of each PCR reaction was checked by agarose gel
- electrophoresis (1% TAE, 50 V) and SYBR Safe DNA gel staining (Invitrogen, CA, USA). The PCR
- 250 products were purified with ExoSAP-IT (USB Corp., Cleveland, OH, USA), sequenced using Big Dye
- 251 Terminator v3.1 (Applied Biosystems, Foster City, CA, USA) and migrated in an AB 3130 Sequencer
- 252 (Applied Biosystems) at the CACTI Sequencing Facilities (Universidad de Vigo, Spain).

- 255 The sequences of the studied Ostreopsis strains were compared with 37 other O. spp. strains obtained 256 from Genbank. Coolia monotis (AM902747) was used as outgroup to root the tree. LSU sequences 257 were aligned using BioEdit v.7.2.5. The final alignment for the LSU phylogeny included 544 sites 258 from 52 sequences. Phylogenetic model selection was performed on MEGA 6. The model that best fits 259 the tree was Tamura 3 Parameter with the following likelihood settings: base frequencies of A and 260 T= 0.3029 and for C and G = 0.1971, 1.3965 as estimated value of transition/transversion and gamma 261 distribution shape (G = 0.89). Bootstrap ML analysis was performed with 1,000 pseudo-replicates and 262 the consensus tree was computed using a freely available French bioinformatic platform 263 (http://atgc.lirmm.fr/phyml/) using a Maximum Likelihood (ML) method. Additionally, a phylogenetic 264 reconstruction was carried out in MEGA 6 using a Neighbor-joining (NJ) method. The reconstructed 265 topologies were very similar with both methods and the phylogenetic tree was represented using the 266 ML results, with bootstrap values from ML and NJ method (n=1000). Net average distance between 267 different groups of taxa was calculated on MEGA 6 according to the following formula: 268  $d_A = d_{XY} - ((d_X + d_Y)/2)$
- 269 Where  $d_{XY}$  is the average distance groups X and Y, and  $d_X$  and  $d_Y$  are the mean within-groups 270 distances.
- 271

## 272 **3. Results**

273 3.1 Phylogenetic analysis

Sequences of LSU rDNA (D1-D2) from 14 *Ostreopsis* strains isolated in the Canary Islands (listed in
Table 1) and those of 37 *Ostreopsis* strains obtained from GenBank database were used to build the
ML phylogenetic tree in Figure 1. The LSU-based phylogeny showed two groups of *O*. cf. *ovata* (the
Mediterranean-Atlantic-Pacific and Indo-Pacific subclades), as previously described in the literature

(Penna et al., 2010; Tawong et al., 2014). Topologies from ML and NJ methods were very similar,
there were slight differences just regarding the branching pattern of *O*. sp. 1 and *O*. sp. 2 from
Japanese waters. The latter two species were split by NJ in different groups with low bootstrap support
(<50), while ML placed *O*. sp. 2 and two sequences from Russian waters in the same branch. Net
average distances between *Ostreopsis* cf. *ovata vs Ostreopsis* sp. 1 and *O*. sp. 2 were very similar
(Table 2).

The LSU phylogenetic tree revealed a clade of *Ostreopsis* clearly differentiated from *O*. cf. *ovata* Mediterranean-Atlantic-Pacific ( $d_A$ =1.044) and Indo-Pacific ( $d_A$ =1.069) subclades (Table 2). This clade included six strains from the Canary Islands sequenced in our study, termed previously (Parsons et al., 2012) as *Ostreopsis* sp. "Lanzarote-type". Among the rest of *Ostreopsis* sequences obtained in the present study, eight strains from the Canary Islands were identified as *O*. cf. *ovata*, and one strain from the Southern Iberian Peninsula (VGO1187) belonged to the *O*. cf. *siamensis* clade.

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### 291 3.2 Toxins profile

292 Toxin profiles of the three Ostreopsis strains shown different patterns. The gradient system allowed a 293 chromatographic separation of the major components of the extracts (Fig. 2). Even though all 294 Ostreopsis analysed belong to the same location -Canary Islands- relevant differences were found 295 between species. Ovatoxin-a (OVTX-a) was by far the major component of O. cf. ovata (VGO1001) 296 toxins profile representing 54% of the total toxins content, followed by ovatoxin-b (OVTX-b) with 28.6%, ovatoxin-c (OVTX-c) with 11.3% and ovatoxin-e (OVTX-e) with a 6.1%. On the other hand, 297 only ovatoxin-d (OVTX-d) was found in the other two Ostreopsis strains (VGO1000 and VGO999), 298 designated as Ostreopsis sp. "Lanzarote-type". The amount of OVTX-d for VGO1000 was 9.85 pg 299 PLTX equivalents cell<sup>-1</sup> and 8.55 pg PLTX equivalents cell<sup>-1</sup> for VGO999. HR full MS spectra (Fig. 3) 300 301 were acquired in the range *m/z* 890-920, *m/z* 1,330-1,380 and *m/z* 2,590-2,710 for OVTX-a, *m/z* 890-302 920, *m/z* 1330-1380 and *m/z* 2,600-2,710 for OVTX-b, -c, -e contained in O. cf. ovata (VGO1001) culture extract. Moreover, *m/z* 890-920, *m/z* 1,330-1,380 and *m/z* 2,600-2,710 ranges were also 303

304	observed for OVTX-d contained in both O. sp. "Lanzarote-type" (VGO1000 and VGO999) culture
305	extracts. In the former range ( $m/z$ 890-920) ovatoxins presented characteristic tri-charged ion peaks
306	due to $[M+2H+K]^{3+}$ and $[M+2H+Na]^{3+}$ in addition to bi-charged ion peaks presented in the range $m/z$
307	1,330-1,380 from $[M+2H+K]^{2+}$ and $[M+2H+Na]^{2+}$ . In the ultimate range ( <i>m</i> / <i>z</i> 2,590-2,690) mono-
308	charged ion peaks are represented by $[M+H]^+$ , $[M+H-H_2O]^+$ , $[M+H-2H_2O]^+$ and $[M+H-3H_2O]^+$ in
309	OVTX-a and only $[M+H]^+$ , $[M+H-H_2O]^+$ were identified in the range of $m/z$ 2,600-2,710 for the
310	remaining OVTXs. Water losses represented by $[M+H]^{2+}$ and $[M+3H]^{3+}$ were also present in these
311	three ranges as described in Ciminiello et al. (2010). Characteristic fragment ions were revealed by
312	HRMS at <i>m/z</i> 327 for OVTX-a, -d, <i>m/z</i> 371 for OVTX-b, -c and <i>m/z</i> 343 for OVTX-e. HRMS data of
313	palytoxin (PLTX) and ovatoxin-a, -b, -c and -d obtained from full MS spectra are presented in Table
314	3.

315

### 316 3.3 Mixed cells cultures

317 In this experiment, Ostreopsis sp. "Lanzarote-type" (VGO1000) grown with C. monotis cells (Fig. 4A) 318 exhibited a short exponential growth phase, significantly lower maximum densities than in controls (F 319 (89.23) > 7.71; p < 0.05), and entered into stationary phase two days earlier (Fig. 5A). In turn, O. cf. 320 ovata followed the same exponential growth than control treatments, but exhibited lower densities in 321 stationary phase and a sharp decline towards the end of the experiment (Fig. 5B). Maximum growth 322 rates in both Ostreopsis species were similar in controls and mixed cultures, while in C. monotis 323 maximum rates were higher when coexisting with Ostreopsis sp. "Lanzarote-type" (VGO1000) (r = $0.52 \text{ d}^{-1}$ ) than in controls (  $r = 0.34 \text{ d}^{-1}$ ). Even though, a growth decline was observed in *C. monotis* 324 325 cells until day 6 in both Ostreopsis cell treatments (Fig. 5C). Such effect was stronger with O. cf. ovata 326 and lasted until day 10. Evolution of pH range in these experiments varied from 8 to 8.8, these 327 maximum values being observed in bi-algal cultures of O. sp. "Lanzarote-type" (VGO1000). Nitrates and phosphates were not limiting at the end of the experiment, the lowest values (513.8±40.3 µM and 328

329	6.2±1.4	uM for nitrates	and phos	phates res	pectively:	nearly 50%	and 10%	of initial nutrient

330 concentrations) being registered in *O*. cf. *ovata* and *C*. *monotis* bi-algal cultures.

331

### 332 3.4 Ostreopsis supernatant cultures

333 The addition of cell-free filtrate from Ostreopsis sp. "Lanzarote-type" (VGO1000) strain did not affect the maximum cell densities reached by Coolia monotis (Fig. 5D). This is not the case of O. cf. ovata 334 filtrate treatment in which C. monotis highest cell numbers ( $\sim 15.5 \times 10^3$  cells ml<sup>-1</sup>) were significantly 335 lower (F (15.48) > 7.71; p < 0.05) than in the controls ( $\sim 22.8 \times 10^3$  cells ml<sup>-1</sup>). No morphological 336 alterations or deleterious effects were observed in Coolia (Fig. 4B) but a delay of C. monotis growth 337 was observed during the first eight days. Growth rates of C. monotis were slightly higher in controls (r 338  $= 0.34 \text{ d}^{-1}$ ) than in supernatant treatments (r = 0.22 d<sup>-1</sup> in O. sp. "Lanzarote-type" (VGO1000) and r = 339 0.27 d<sup>-1</sup> in O. cf. ovata). Moreover, we noticed reduced motility in C. monotis exposed to O. sp. 340 341 "Lanzarote-type" (VGO1000) supernatant in the short-term, 4 days, but not at the end of the 342 experiment. The treatment with O. cf. ovata did not exhibit this effect. A series of short videos was recorded to illustrate this observation comparing C. monotis controls (Videos 1A, 1B) and Ostreopsis 343 sp. "Lanzarote-type" (VGO1000) filtrate treatments (Videos 2A, 2B, 2C, 2D) on day 4. Maximum pH 344 345 values in supernatant experiments were slightly lower (8.6) than in controls (8.7). Nitrates and 346 phosphates were not limiting at the end of the experiments, and the lowest values (617±72 µM and 347 7.9±1.6 µM for nitrates and phosphates respectively; nearly 50% and 12% of initial nutrient 348 concentrations) were recorded in O. cf. ovata supernatant treatment.

349

#### 350 3.5 Adherence capacity experiments

A short-term experiment (6 days, sampling days 3 and 6) to examine the effect of adding *Ostreopsis*supernatant over adherence capacity was assayed using two species: *Gambierdiscus excentricus* (Fig.
6A) and *Prorocentrum hoffmannianum* (Fig. 6B). Deleterious effects on *G. excentricus* cells treated

with *Ostreopsis* filtrate were observed, including lysis and shedding of the theca. These effects were apparent through inverted microscope on day 6 and stronger with *O*. sp "Lanzarote-type" (VGO999) (Fig. 6C) and *O*. cf. *ovata* (Fig. 6D) treatments at 1:4 ratios. Concomitantly, *G. excentricus* cells exhibited a significant decrease in the number of attached cells (F (26.70) > 7.71; p < 0.05) after exposure to *O*. sp. "Lanzarote-type" (VGO999) supernatant at 1:4 ratio on day 3 (Fig. 7A). Even though, *O*. cf. *ovata* treatments at both ratios yielded significant lower numbers of attached cells on day 6 (F (21.48; 25.86) > 7.71; p < 0.05, for ratios 1:2 and 1:4 respectively).

In the case of *P. hoffmannianum* (Figs. 7B), higher numbers of attached cells were found in *Ostreopsis* cell-free filtrate compared with controls. ANOVA results showed that 3 days after inoculation the number of attached cells were significantly higher in *O*. sp. "Lanzarote-type" (VGO999) treatments (F (134.96; 35.98)> 7.71; p < 0.05, for concentrations 1:2 and 1:4 respectively). All treatments showed significant differences in cell numbers according to ANOVA after six days. Largest differences were found in *O*. cf. *ovata* treatments at 1:4 ratio (F (164.85 >> 7.71; p << 0.05).

367 Maximum pH values in cultures was always below 8.2. Nutrients analysis indicated that nitrate and 368 phosphate were not limiting. In *G. excentricus* the lowest values for nitrate ( $738\pm25 \mu$ M; nearly 72% 369 of initial nutrient concentrations) where observed in controls whereas the final lowest phosphate 370 concentration (9.6±4.7  $\mu$ M; nearly 30% of initial nutrient concentrations) was found with *O.* cf. *ovata* 

371 treatment at 1:4 ratio. In *P. hoffmannianum* lowest values for both nitrate and phosphate ( $538\pm43 \mu M$ 

and  $4.3\pm0.1 \mu$ M; nearly 81% and 20% of initial nutrient concentrations of nitrate and phosphate,

373 respectively) were observed in O. sp. "Lanzarote-type" (VGO999) treatment at 1:4 ratio.

374

## 375 **4. Discussion**

376 4.1 Molecular analysis and toxin profiles of the studied Ostreopsis strains

377 In the last few years, several phylogenetic studies in Ostreopsis have become available at global and

378 regional scales (e.g. Penna et al., 2010; Sato et al., 2011; Tawong et al., 2014). These surveys, based

379 on LSU and ITS analyses, yield an increasingly complex picture. This includes several

380 biogeographical groups in Ostreopsis cf. ovata, together with O. cf. siamensis and putative O.

381 *lenticularis/O. labens* groups, and an increasing number of molecular clades (and subclades), that may

Unfortunately, genetic results were not available in the original descriptions of Ostreopsis species and

382 represent cryptic species labeled, at present, as *Ostreopsis* sp. 1-7 (e.g. Tawong et al., 2014).

383

384 this leads to an increasing gap between the number of genetic clades and the accepted taxonomic 385 entities. The LSU sequence from Canary Islands (VGO881, Acc. No. FM994895) was included in one 386 of these genetic surveys (Penna et al., 2010), that emerged as a sister clade to O. cf. siamensis from the 387 Mediterranean Sea. This sequence was designated as Ostreopsis sp. "Lanzarote-type" (Parsons et al., 388 2012), and only two other strains belonging to this molecular group have been found elsewhere in 389 Eastern Mediterranean Sea (Fraga, Aligizaki and Penna, pers. comm.; Parsons et al. 2012). In this 390 study, we reported the partial LSU rDNA (D1-D2 domains) analyses of six additional Ostreopsis sp. 391 strains -VGO999, VGO1000, VGO1014, VGO1015, VGO1016 and VGO1150- that matched the 392 VGO881 sequence and built up a robust Ostreopsis sp. "Lanzarote-type" clade. All these strains 393 originated from the Canary Islands and LSU analyses indicated they are closer to O. sp. 5 and 6 from 394 Asiatic areas (Japan and Thailand; Sato et al., 2011; Tawong et al., 2014). Genetic distance results 395 suggest that Ostreopsis sp. "Lanzarote-type" ribotype would likely represent a new species. Even 396 though, the similar morphological traits among Ostreopsis species and the lack of molecular 397 information on individuals from the type localities (excepting O. lenticularis and O. labens; Parsons et 398 al., 2012), not allow the discarding of the possibility that O. sp. "Lanzarote-type" could belong to some 399 formerly described species.

400 Regarding toxin profiles, it must be stressed that their variability in *Ostreopsis*, which could be related 401 to methodological issues and/or true intraspecific differences between *Ostreopsis* strains (Ciminiello et 402 al., 2013). Moreover, it has also been proposed that different sampling periods could also explain 403 variable intraspecific toxin profiles (Honsell et al., 2013), besides the fact that toxin amount is linked 404 with the evolution of cell numbers and toxin contents in distinct growth phases of *Ostreopsis* 

405 (Vidyarathna et al., 2012). In the case of the O. cf. ovata clade VGO1001 its toxin profile was 406 quantitatively dominated by ovatoxin-a, ovatoxin-b and ovatoxin-c, in agreement with previous 407 references (Table 4), such as three O. cf. ovata strains, two from the Adriatic Sea (Ciminiello et al., 408 2012; Pezzolesi et al., 2014), and one from NW Mediterranean Sea (Brissard et al., 2014). In the light 409 of these results, it is noteworthy that the two Ostreopsis sp. "Lanzarote-type" analyzed in this study 410 displayed an identical toxin composition, different to other profiles reported in the literature (Table 4). 411 Such a characteristic toxin profile would help to support the fact that they actually represent a distinct 412 species. Nevertheless, its formal characterization as a new species was not the objective of the present 413 study and additional data, including morphological and toxinological data, should be accumulated and compared against currently accepted species to describe O. sp. "Lanzarote-type" as a new species. 414

415

416 4.2 Allelopathy experiments with Ostreopsis: effects of mixed cultures and cell-free filtrate on growth
417 rates.

418 Our principal aim was to describe the allelopathic effects between Ostreopsis cells or their cell-free 419 filtrate and other benthic dinoflagellate genera that commonly share the same habitat. The hypothesis 420 was that Ostreopsis could display some inhibitory effects on its competitors that provide an adaptative 421 advantage to this bloom-forming toxic genus. Allelopathic studies on benthic dinoflagellates are 422 limited (e.g. Wang and Tang, 2008; Cai et al., 2014). Most of the available research deals with 423 planktonic organisms and indicate a variety of deleterious effects (mortality, reduced growth and/or 424 swimming speed, etc), associated with direct cellular contact or mediated by dissolved toxins in cell-425 free filtrates. For example *Prymnesium parvum* and *Karlodinium* spp. are known to release toxins in 426 cell-to-cell contact while toxin-assisted micropredation is taking place (Berge et al., 2012; Place et al., 427 2012; Remmel et al., 2012), and Alexandrium ostenfeldii filtrate triggers short-term species-specific 428 effects (lysis, shedding of theca and encystment) on other dinoflagellates (Hakanen et al., 2014). 429 In the case of Ostreopsis several recent studies addressed the allelopathic interactions (of cells and

430 cell-free medium) including invertebrates, protists and macroalgae.

431 In the case of invertebrates (Giussanni et al., 2015; Privitera et al., 2012), toxic effects have been 432 described on sea urchins and crustaceans associated with intact Ostreopsis cells, but not with cell-free 433 filtrate (Giussanni et al., 2015, and references therein). Also, the implication of mucilaginous matrix 434 devoid of Ostreopsis cells in toxic effects on Artemia salina was recently demonstrated (Giussanni et 435 al., 2015), together with the presence of palytoxins in the mucous matrix. These authors also found that 436 cell-free filtrate from Ostreopsis cf. ovata did not contain any toxins, and that sonicated cultures had 437 much lower toxic effects than intact cells. Regarding allelopathic effects on Ostreopsis, Accoroni et al. 438 (2015) observed negative effects on the growth of Ostreopsis cf. ovata by three macroalgae. Despite 439 complete algicidal effects were not detected, most O. cf. ovata cells exposed to powder of macroalgae 440 were stressed and encysted. In the case of our mixed cell experiments we did not observe 441 morphological changes or encystment in Ostreopsis exposed to C. monotis. Rather, mixed cultures of 442 two Ostreopsis ribotypes with different toxin profile did not show up strong allelopathic effects on 443 growth rates of *Coolia monotis* and do not support the idea that OVTXs produced by *Ostreopsis* sp. 444 can be held liable for growth inhibition. In fact, slightly stronger effects namely growth delay were 445 observed in clarified medium of Ostreopsis which would not contain toxins as previously stated 446 (Giussanni et al., 2015).

447 Monti and Cecchin (2012) also found weak reductions in the growth of C. monotis and Prorocentrum 448 minimum exposed to Ostreopsis cells, but not at all on the diatom Coscinodiscus granii. In the same 449 study, cell-free filtrate effects were screened but these did not induce any observable allelopathic 450 effect. Our observation of reduced growth rates in Ostreopsis in mixed cultures with C. monotis was 451 also reported by Pezzolesi et al. (2011). From our data, it was concluded that strongest negative effects 452 on growth rates were observed in O. sp "Lanzarote-type" by cell-to-cell contact with C. monotis, whereas neutral effects on growth occurred in O. cf. ovata. In this sense, nutrient analyses in our study 453 454 showed that nitrates and phosphates were still abundant by the end of every experiment, ruling out that 455 differential reduction in growth rates were due to nutrient depletion. It is known that an increase in pH 456 to ~9 could affect the growth of phototrophs (Pedersen and Hansen, 2003). Although pH tolerance

Allelopathic potency can be variable among *Ostreopsis* strains (Hattenrath-Lehmann et al., 2011), and in that sense, clarified medium of both *Ostreopsis* affected *C. monotis* growth in the first days, with a stronger reduction by *O.* cf. *ovata* supernatant than *O.* sp. "Lanzarote-type" (VGO1000). Reduced motility in *Coolia monotis* was observed only with *O.* sp. "Lanzarote-type" (VGO1000) cell-free filtrate, and similar effects have been also reported in other interactions between dinoflagellates such as *Fragilidium duplocampanaeforme* and some *Dinophysis* spp. (e.g. Park and Kim, 2010; Rodríguez et al., 2014).

466

#### 467 4.3 Adherence capacity

468 Attachment of benthic algae to the substrate is an ecological adaptation to survive turbulence reducing 469 the likelihood of being dispersed by waves or desiccation at low tide, as well as to colonize living and 470 inert substrates (Smayda 2002; Fraga et al., 2012; Hoppenrath et al., 2014). Given that their attachment 471 to the substrate is not rigid the alternative term of "metaphyton" has been also proposed to describe the 472 unicellular free-living algae that can be found amongst the microscopic algae and macrophytes (Round, 1984; Carnicer et al., 2015). Benthic dinoflagellates attach to sediments or macroalgae by 473 474 different mechanisms, using stalks, flagella or mucus like some Prorocentrum, Coolia and Ostreopsis 475 species (Hoppenrath et al., 2014). For these reasons, the development of strategies to counteract the 476 adhesion/colonization of other organisms could be a competitive advantage for some benthic 477 microalgae. Most available studies on this issue are related with anti-biofouling methods associated 478 with bacteria, e.g. the release of extracellular products acting as chemosensory inhibitory signals 479 (Silva-Aciares and Riquelme, 2008), and repressive effects on diatoms adhesion capacity from fatty 480 acids in bacterial crude extracts (Gao et al., 2014). Similar studies on micro- and macroalgal induced 481 effects on adhesion have been rarely explored. To our knowledge, only Allen and Leflaive (2014)

reported inhibition of adhesion of a freshwater diatom (*Nitzschia palea*) after exposure to cell-free
filtrate from the filamentous green algae *Uronema conferviculum*.

484 Our work revealed that cell-free filtrate of *Ostreopsis* caused opposite effects among the tested species: 485 it favoured cell attachment in Prorocentrum hoffmannianum with a positive dose-dependent 486 relationship, whilst the reverse was true in G. excentricus. Even though, in Gambierdiscus is not 487 possible to determine if adhesion capacity was negatively affected because deleterious effects (cell 488 lysis) were observed at the same time. The results in P. hoffmannianum were unexpected and 489 contradict the simple idea of an extracellular release of allelochemicals exerting negative effects on 490 adhesion to eliminate competitors of a bloom-forming organism like Ostreopsis. Notwithstanding, given that this nature of allelopathic interaction has never been reported in benthic dinoflagellates we 491 492 believe that the extent and intensity of these changes in adhesion capacity should be explored in the 493 future.

Given that activity associated with alleged allelochemicals persists in cell-free filtrates but in some cases seems degraded after a certain length of time, length of these effects needs to be monitored over time and eventually new filtrate additions could be necessary (Wang et al., 2006; Fistarol et al., 2003). Finally, physical variables like turbulence could significantly change the final results of adherence experiments in the laboratory, and in the natural environment this factor is expected to induce a faster washing out of the allelochemicals as well as resuspension and lower attachment capacities of the organisms (Totti et al., 2010).

501

# 502 5. Conclusions

503 Competition with other benthic/metaphytic species plays an important role in determining the 504 formation of harmful algal blooms (Carnicer et al., 2015). In this sense, allelopathic interactions have 505 been only explored in recent years in benthic dinoflagellates, and weak to moderate effects between 506 the bloom-forming genus *Ostreopsis* and other organisms have been documented. In the present work 507 we reported diverse allelopathic interactions through cell-to-cell contact or exposure to *Ostreopsis* cellfree extracts in benthic dinoflagellates. Short-time effects (reduced motility, growth delay) to – for the first time- severe deleterious effects (cell lysis) and adhesion capacity changes were observed. In addition, some negative effects involved *Ostreopsis*, i.e. lower growth in *Ostreopsis* sp. "Lanzarotetype" exposed to *Coolia monotis*, but not in *O*. cf. *ovata*. Together, these observations point out that the characterization of allelopathic interactions, and the allelochemical substances involved (Granéli et al., 2012), could represent an interesting line of research to assess the role of biotic factors on the bloom dynamics in benthic marine ecosystems.

515

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522

## 523 Author Contributions

524 M.G-P. participated in the experimental design, performed allelopathic experiments, molecular

525 analyses and wrote the manuscript. P.R. and J.-M.F. performed LC-HRMS and co-wrote the

526 manuscript. R. M. B. F. carried out nutrient analysis and F.R. designed the study and co-wrote the

527 manuscript.

528

# 529 Conflicts of Interest

530 The authors declare no conflict of interest.

531

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734	Figure captions
735	Table 1
736	
737	Figure 1. Maximun likelihood (ML) phylogenetic tree of genus Ostreopsis based on the D1-D2
738	domains of the LSU gene sequence. Numbers on the major nodes represent, from left to right, ML
739	(1,000 psudoreplicates) and NJ (1,000 pseudoreplicates) bootstrap values. Only bootstrap values >
740	60% are shown. Asterisks indicate major differences between methods. The tree was rooted using
741	Coolia monotis (AM902747). Med: Mediterranean. Atl: Atlantic. Pac: Pacific. Indo: Indonesian.
742	
743	Table 2
744	
745	Figure 2. Total ion chromatogram (TIC) of (A) Ostreopsis cf. ovata (VGO1001) culture extract
746	containing OVTX-a, -b, -c and -e. (B) Ostreopsis sp. "Lanzarote-type" (VGO1000) and (C)
747	Ostreopsis sp. "Lanzarote-type" (VGO999) culture extracts both containing OVTX-d.
748	
749	Figure 3. HR Full MS spectra (positive ions) in the mass range m/z 890-2710 of OVTX-a, -b, -c, -e
750	contained in Ostreopsis cf. ovata (VGO1001) culture extract and OVTX-d contained in Ostreopsis sp.
751	"Lanzarote-type" (VGO1000 and VGO999). Clusters from left to right indicate tri-, bi- and mono-
752	charged ion peaks.

753

754 Table 3

755

Figure 4. Micrographs of *Coolia monotis* cells in (A) bi-algal culture with *Ostreopsis* sp. "Lanzarotetype" (VGO1000) and (B) exposed to *Ostreopsis* cf. *ovata* supernatant. Scale bars (A) 20 μm and (B)
10 μm.

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760	Figure 5. Abundances in mixed cell cultures. (A) Ostreopsis sp. "Lanzarote-type" (VGO1000) in
761	controls and mixed with Coolia monotis cells. (B) Ostreopsis cf. ovata in control and mixed with
762	Coolia monotis cells. (C) Coolia monotis in control and in mixed cultures with Ostreopsis sp.
763	"Lanzarote-type" (VGO1000) and Ostreopsis cf. ovata cells. (D) Coolia monotis in control and in
764	cultures with Ostreopsis sp. "Lanzarote-type" (VGO1000) and Ostreopsis cf. ovata filtrates. The error
765	bar is the standard deviation of triplicate cultures.
766	
767	Video 1. (A) Single cell from controls of <i>Coolia monotis</i> on day 4. (B) Group of cells from one of the
768	controls of Coolia monotis on day 4.
769	Video 2. (A) Coolia monotis single cell with Ostreopsis sp. "Lanzarote-type" (VGO1000) filtrate on
770	day 4. (B) Coolia monotis single cell with Ostreopsis sp. "Lanzarote-type" (VGO1000) filtrate on day
771	4. (C) Coolia monotis single cell with Ostreopsis sp. "Lanzarote-type" (VGO1000) filtrate on day 4.
772	(D) Coolia monotis cells group with Ostreopsis sp. "Lanzarote-type" (VGO1000) filtrate on day 4.
773	
774	Figure 6. Micrographs of (A) Gambierdiscus excentricus and (B) Prorocentrum hoffmannianum cells
775	in controls during adherence short-term experiments. Micrographs of Ostreopsis sp. treatment effects
776	on Gambierdiscus excentricus after 6 days showing (C) ecdysis (arrow) with Ostreopsis sp.

777 "Lanzarote-type" (VGO999) treatment at 1:4 ratio and (D) deleterious effects (left arrow) and ecdysis

778 (right arrow) with Ostreopsis cf. ovata treatment at 1:4 ratio. Scale bars (A-C-D) 60 µm and (B) 10

779 μm.

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- 781 Figure 7. Adherence experiment. (A) Gambierdiscus excentricus (B) Prorocentrum hoffmannianum
- cell numbers (attached to coverslips) under Ostreopsis sp. "Lanzarote-type" (VGO999) and Ostreopsis
- 783 cf. ovata cell-free filtrate treatments, at 1:4 and 1:2 equivalent cellular ratios
- 784 (Gambierdiscus: Ostreopsis and Prorocentrum: Ostreopsis). X and Y axis represent sampling days after
- 785 exposure and number (N) of attached cells, respectively.
- 786

787 Table 4