

1 **Role of enzymes in improving the functionality of proteins in non-wheat dough**  
2 **systems**

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13 **Running title:** Enzymatically treated corn starches

14

15 **Abstract**

16 Gluten free systems lack the viscoelastic network required to resist gas production and  
17 expansion during baking. Enzymatic treatments of the GF flours have been proposed  
18 initially for creating protein aggregates that mimic gluten functionality but then also for  
19 modifying proteins changing their functionality in GF systems. To better exploit the  
20 technological function and the potentials of enzymatic processing for improving GF  
21 bread quality, it is important to understand the key elements that define the  
22 microstructure and baking functionality of GF batters as compared to wheat dough. In  
23 this review, some keys are pointed out to explain the different mechanisms that are  
24 available for understanding the action of enzymes to effectively design GF viscoelastic  
25 matrixes. Focus will be on protein modifying enzymes, because they play a decisive

26 role in the formation of the fine network responsible for improving the expansion of rice  
27 batters.

28

29 **Key words:** enzymes; gluten free batters; transglutaminase; protease

30

## 31 **1. Introduction**

32 In the Western world, bread is one of the most important staple foods. Bread quality  
33 largely relies on the unique viscoelastic properties of gluten. In fact, once the flour is  
34 hydrated, the gluten confers extensibility and good gas holding ability to the dough.  
35 However, pathologies associated with gluten consumption prompt food technologists and  
36 the food industry to find suitable replacements for breadmaking purposes.

37 When hydrated, flours from gluten free (GF) cereals result in a batter rather than dough  
38 as their proteins do not possess the viscoelastic properties typically found in gluten.  
39 Furthermore, gas holding is more difficult (Cauvain, 1998). For such reasons, the  
40 replacement of gluten in GF products requires the supplementation of existing  
41 functional ingredients in the bread formula but also the development of new functional  
42 ingredients and advanced processing techniques (Zannini et al., 2012). Enzymatic  
43 processing offers a sustainable, specific bio-processing tool able to deliver products  
44 which are natural, contain a reduced amount of chemicals and possess appealing  
45 sensorial properties. Enzymes can be applied in the processing of cereals to obtain: (i)  
46 modified fibrous structures alternative to commercially available hydrocolloids and  
47 gums (ii) protein and/or polysaccharide based functional ingredients and (iii) natural  
48 pre-biotics. Enzymes are commonly applied in the baking industry in order to improve  
49 the characteristics and quality of wheat flour based products (Rosell and Collar, 2008).  
50 Comprehensive reviews are available, which describe in detail the mechanism of action

51 of enzymes commonly used in the baking industry, the implication at molecular level on  
52 the main flour constituents and their influence on baking properties, textural and  
53 sensorial quality, and nutritional aspects (Gerits et al., 2014; Joye et al., 2009; Poutanen,  
54 1997; Goesaert et al., 2009). However, the focus of such reviews is on wheat based  
55 products, which implies that the technological function described for each enzyme  
56 might not be directly translated to application in GF bread products. In fact, the  
57 microstructure and rheological properties of wheat dough and GF batters are inherently  
58 different and enzymatic treatments are pursuing the improvement of dough viscoelastic  
59 properties (Rosell, 2009). To better exploit the technological function and the potentials  
60 of enzymatic processing for improving GF bread quality, it is important to understand  
61 the key elements that define the microstructure and baking functionality of GF batters as  
62 compared to wheat dough. After a short review of such differences, the application of  
63 enzymatic processing in GF breads is discussed with focus on protein modifying  
64 enzymes.

## 65 **2. GF batter microstructure as compared to wheat dough**

66 Immediately after mixing, wheat dough consists of a dispersion of discrete gas cells that  
67 are embedded in a continuous starch-protein matrix (Figure 1A). The gluten–starch  
68 matrix is the primary stabilizing factor for expanding gas cells against  
69 disproportionation and coalescence as controlled by the strain hardening behaviour of  
70 gluten (Sroan et al, 2009; Gan et al., 1995; Bloksma, 1990; Hosney, 1992). Strain  
71 hardening is considered a key factor, controlling the breadmaking properties of the  
72 dough (Dobraszczyk et al., 2003) owing to the entanglement of large glutenin molecules  
73 in the gluten network (MacRitchie and Lafiandra, 1997; Singh and MacRitchie, 2001).  
74 During baking, the gluten matrix provides the dough with both the extensibility to  
75 respond to the increasing gas pressure as well as the strength to resist collapse (Sroan et

76 al, 2009). Later in the baking process, the increase in the elastic-like behaviour of the  
77 gluten–starch matrix as result of starch gelatinization and gluten polymerization, results  
78 in the rupture of the matrix and the formation of a permanent network. Consequently,  
79 the bread dough undergoes a structural transformation from foam to an open sponge  
80 (Figure 1A) (Gan et al., 1995), which is associated with a sharp increase in the release  
81 of gas from the dough. It is reported by some authors (Sroan et al, 2009; Turbin-Orger  
82 et al., 2012; Gan et al., 1995) that during rupture of the gluten-starch matrix, a  
83 secondary stabilizing mechanism involving thin liquid lamellae at the gas–liquid  
84 interface prevents the coalescence and disproportionation of gas cells coming in close  
85 contact with each other. The liquid film contains surface active proteins and (polar)  
86 lipids which stabilizes the gas cells.

87 These mechanisms are crucial to provide the soft sponge structure typical of a wheat  
88 bread crumb, which can be macroscopically described as a high volume fraction of air  
89 ( $\geq 0.8$ ) dispersed in a solid matrix of mostly open cell walls (Lagrain et al., 2012). At  
90 microstructural level, the solid matrix of the crumbs consists of a continuous phase of  
91 gelatinised starch (Pomeranz et al., 1984; Durrenberger et al., 2001; Zannini et al.,  
92 2012) and a continuous gluten network which encloses the starch granules and fibre  
93 fragments (Figure 1B).

94 In GF batters, a continuous protein-starch matrix is missing as compared to wheat  
95 dough (Figure 2A). Starch becomes the primary structural element due to the lack of  
96 gluten, but only during the baking stage, when the batter temperature reaches those of  
97 starch gelatinization. During mixing, the stabilizing mechanism for the dispersed gas  
98 cells primarily relies on the viscosity of the medium, which also prevents starch and  
99 yeast from settling. For such reasons, hydrocolloids and gums are typically used in  
100 starch-containing products, such as GF batters (Rosell et al., 2001) as they can partially

101 mimic the structuring role of gluten (Figure 2A). In GF batters, hydrocolloids contribute  
102 to: (i) improve viscoelastic properties, (ii) act as water binders, (iii) improve texture by  
103 forming gels and (iv) stabilize gas cells. Their contribution to the structuring process in  
104 GF batters depends on their rheological and flowing properties and their interfacial and  
105 gel forming properties (Lazaridou et al., 2007; Hüttner and Arendt, 2010), which greatly  
106 depend on their origin and chemical structure. The rheological properties imparted to  
107 the GF batter by the hydrocolloids largely determine their baking quality. A strong  
108 correlation between rheological parameters such as the elastic modulus  $G'$  and the ratio  
109 of viscous to elastic behavior  $\tan \delta$ , and final bread quality have been reported  
110 (Lazaridou et al., 2007; Crockett et al., 2011a). In fact, a balance between elastic  
111 properties (film formation and gas retention) and viscous properties (protein absorption  
112 to the liquid lamella and flexibility for gas expansion) is required to achieve optimal  
113 baking quality in GF breads (Lazaridou et al., 2007; Crockett et al., 2011a; Matos and  
114 Rosell, 2013; Matos and Rosell, 2015).

115 Among the hydrocolloids, HPMC and xanthan gum are most frequently used because  
116 they most successfully replace gluten in GF breads within a wide spread of formulations  
117 (Anton and Artfield, 2008). In particular, HPMC is capable of stabilizing gas bubbles  
118 by accumulating at the gas liquid interface, forming an elastic microgel (Schober,  
119 2010). When a solution of HPMC in water is mixed at high speed, the surface active  
120 properties of HPMC enable the formation of stable and well aerated foams similar to  
121 whipped egg white while the same is not achieved with xanthan gum (Schober et al.,  
122 2008). Consequently, the resulting GF bread shows high specific volume and low  
123 crumb hardness (Crockett et al., 2011a; Mezaize et al., 2009; Sabanis, and Tzia, 2011).  
124 Microstructure analysis suggests that hydrocolloids alone are not sufficient to fully  
125 replace gluten in GF breads. Proteins from GF cereal flours generally lack the ability to

126 form a protein network upon baking (gel) (Figure 2A) and that the supplementation with  
127 functional proteins is therefore necessary. Scanning electron microscopy of GF breads  
128 demonstrated that a low-protein starch formulation including HPMC and xanthan gum  
129 lacked of matrix development (Ahlborn et al., 2005). On the contrary, a fibrous, web-  
130 like structure more similar to wheat bread could be achieved when supplementing with  
131 eggs and milk proteins.

132 Interactions among the main structure building elements in GF formulations, i.e.  
133 hydrocolloids, proteins and starches, should be carefully considered. Nowadays several  
134 GF grains, legumes, seeds and nut flours are used as they offer increased variety, high  
135 nutritional quality and palatability of the GF formulation (Zannini et al., 2012). These  
136 ingredients strongly diverge in their chemical composition and certain components may  
137 interact to different extents with the hydrocolloids (Hager and Arendt, 2013), thus  
138 resulting in GF batter microstructures and baking functionalities which are strongly  
139 dependent on the specific formulation used (El-Sayed, 2009; Hüttner and Arendt, 2010,  
140 Matos and Rosell, 2013). Special care should be taken with the hydrocolloids-starch  
141 interactions since those are specific and greatly dependent on the type of hydrocolloid  
142 (Gularte and Rosell, 2011). Protein source (e.g. soy, egg, milk) can affect hydrocolloid  
143 functionality by altering water distribution within the batter, weakening interactions  
144 with the starch matrix and reducing foam stability (Crockett et al., 2011b; Nunes et al.,  
145 2009). However, the negative effects might be overcome when the protein becomes the  
146 primary scaffolding element in the batter (Crockett et al., 2011b; Schober et al., 2008).  
147 Minor components such as soluble fibers can also strongly affect batter structure by  
148 creating a homogeneous phase with hydrocolloid and water which coats starch and flour  
149 particles, resulting in a more stable batter during proofing and baking (Martinez et al.,  
150 2014).

151       **3. Enzyme technology**

152       In the last decade, there have been an increasing number of studies focusing on  
153       enzymatic processing of GF batters, with particular focus on enzymes which could  
154       enhance the functionality of proteins either originating from GF flours or added as  
155       supplements to the formulation. A number of protein modifying enzymes are available  
156       for which their action mechanism can be classified as direct cross-linking, indirect  
157       cross-linking and proteolysis (Table 1).

158       *3.1 Crosslinking enzymes in GF baking applications*

159       The formation of linkages within proteins originating from GF flours and supplemented  
160       was initially considered the most plausible way to mimic gluten functionality in GF  
161       batters (Rosell 2009). For that purpose, the use of transglutaminase and different  
162       oxidases has been proposed.

163       *3.1.1 Transglutaminase action in GF applications*

164       Transglutaminase (TGase) is a protein-glutamine  $\gamma$ -glutamyl-transferase (EC 2.3.2.13),  
165       which catalyses an acyl-transfer reaction between the  $\gamma$ -carboxamide group of peptide-  
166       bound glutamine residues and a variety of primary amines (Motoki and Seguro 1998).  
167       When the  $\epsilon$ -amino group of a peptide bound lysine residue acts as substrate, the two  
168       peptide chains are covalently linked through an  $\epsilon$ -( $\gamma$ -glutamyl)-lysine bond (Folk and  
169       Finlayson 1977). Thus, the enzyme is capable of introducing covalent cross-links  
170       between proteins (Nonaka et al. 1989), building up new inter- and intramolecular bonds.  
171       In the absence of primary amines, water becomes the acyl-acceptor and the  $\gamma$ -carboxy-  
172       amide groups of glutamine residues are deamidated, yielding glutamic acid residues,  
173       which decrease the hydrophobic environment (Gerrard et al. 1998). Therefore,  
174       transglutaminase activity depends on the accessibility of glutamine and lysine residues  
175       in the proteins (Gerrard 2002, Houben, Hochstotter, and Becker 2012).

176 On wheat-based baked goods TGase application reduces the required work input,  
177 decreases water absorption of the dough (Gerrard et al. 1998), increases dough stability  
178 (Gottmann and Sproessler 1992), increases volume, improves structure of breads,  
179 strengthens bread crumb (Gerrard et al. 1998), and baking quality of weak wheat flours  
180 (Basman, Koksel, and Ng 2002). Electrophoretic analysis revealed that the effect was  
181 due to the crosslinking within gliadins and glutenins (Rosell et al. 2003). Furthermore,  
182 water soluble proteins, generally considered as non-dough-forming proteins, would be  
183 also involved in the formation of covalent bonds catalyzed by TGase (Bonet, Blaszcak,  
184 and Rosell 2006).

185 Gujral and Rosell (2004a) initially exposed the hypothesis that the enzymatic creation  
186 of a protein network in GF doughs might mimic gluten functionality. The addition of  
187 increasing amounts of TGase (0.5, 1.0 or 1.5% w/w) to rice flour induced a progressive  
188 enhancement of the viscous ( $G''$ ) and elastic ( $G'$ ) moduli, but the highest bread volume  
189 and softer crumb was obtained with 1.0% TGase. The protein fractionation of rice  
190 doughs indicated that albumins and globulins fractions were mostly affected, and the  
191 electrophoresis analysis confirmed the intermolecular crosslinking leading to high  
192 molecular weight proteins, which would result in a more continuous protein phase  
193 (Marco et al. 2007).

194 Nonetheless, flour source has great influence on the resulting TGase induced effect,  
195 likely due to their amino acid composition, since lysine and glutamine are required for  
196 the enzyme activity. In fact, Renzetti, Dal Bello, and Arendt (2008) observed significant  
197 differences when comparing the action of TGase on six different gluten-free cereals  
198 (brown rice, buckwheat, corn, oat, sorghum and teff). The presence of protein  
199 complexes was confirmed by three-dimensional confocal laser scanning micrographs.  
200 Batter fundamental rheological analysis and bread quality confirmed the improving



201 effect of TGase on buckwheat and brown rice batters and breads, which was explained  
202 by protein crosslinking and formation of large protein complexes for both buckwheat  
203 and brown rice breads (Renzetti et al., 2012; Renzetti et al., 2008a; Renzetti et al.,  
204 2008b). Conversely, TGase addition had a detrimental effect on the elastic-like behavior  
205 of corn batters but yielding higher specific volume and lower crumb hardness on corn  
206 breads. TGase was not effective to obtain breads from oat, sorghum or teff (Renzetti,  
207 Dal Bello, and Arendt 2008). However, Onyango et al. (2010) reported a decrease in the  
208 resistance to deformation and an increase in the elastic recovery of TGase treated batters  
209 composed of sorghum blended with pregelatinized cassava starch, leading to an  
210 improvement in the final breads.

211 Protein crosslinking seems to be an effective alternative to create internal networks in  
212 the GF systems. However, excessive crosslinks may result in a tight structure that  
213 impedes the expansion during proofing. In order to optimize TGase treatment of GF  
214 flours, the enzyme dosage should be carefully considered depending on the specific  
215 formulation, since availability and accessibility of lysine and glutamine varies among  
216 GF flours. In fact, studies carried out with bug damaged wheat flour, which has higher  
217 number of free amino acids, revealed that as the level of TGase increases it does  
218 augment the crosslinks and simultaneously the number of disulfide bonds. Although an  
219 increase in the level of crosslinks is not directly related to flour functionality  
220 improvement. Indeed, rheological studies combined with calorimetric and biochemical  
221 analysis confirmed that bug damaged wheat flour requires higher level of TGase than  
222 sound wheat flour for obtaining an optimum functional response (Bonet et al., 2005;  
223 Caballero et al., 2005). Certainly, the amount and nature of the proteins present on those  
224 flours, and more specifically the level of lysine and glutamic acid, must explain  
225 differences encountered among flours.

226 To solve the possible protein deficiency, protein supplementation was proposed to  
227 increase the amount of substrate available for the enzyme (Marco et al. 2008, Marco and  
228 Rosell 2008a, b, Marco et al. 2007). Studies carried out in wheat flour confirmed that  
229 TGase was able to form homologous polymers within water-soluble, salt-soluble, and  
230 glutenin proteins. Scanning electron micrographs of the doughs made from blends of  
231 wheat and protein sources doughs showed the formation of heterologous structures in  
232 the wheat-lupin blends (Bonet et al., 2006). Marco and Rosell (2008a) reported the  
233 effect of transglutaminase on rice flour functionality when it was blended with protein  
234 isolates from different sources (pea, soybean, egg albumen and whey proteins). A  
235 decrease in the amount of free amino acids confirmed the crosslinking action of TGase  
236 in the case of soybean and whey proteins blended with rice flour, although it was not  
237 possible to identify whether the crosslinking was between homologous or heterologous  
238 protein chains. Viscoelastic moduli of the rice dough were significantly modified by the  
239 action of TGase, but whereas the presence of pea and soybean increased  $G'$  and  $G''$ , egg  
240 albumen and whey protein decreased them. It seems that vegetable proteins added to  
241 rice flour interconnected by inter or intra linkage due to TGase, whereas some  
242 antagonistic effect was observed with the animal proteins, likely genetic aspects might  
243 be involved in their differences.

244 Derived from the complexity of the GF systems, different experimental designs have  
245 been proposed for optimizing the nature and levels of proteins and the amount of TGase  
246 (Storck et al. 2013, Bojana et al. 2012). An experimental design was recommended for  
247 obtaining better structured protein network from a combination of soybean and pea  
248 protein (Marco and Rosell 2008b). Electrophoretic studies confirmed that TGase action  
249 resulted in the formation of isopeptide and disulfide bonds. In the case of pea proteins,  
250 major pea proteins extracted in the glutelin and in albumin–globulin fractions

251 underwent the greatest crosslinking, consequently large aggregates between pea and rice  
252 proteins were formed (Marco et al. 2007). Similarly, soybean proteins were crosslinked  
253 with rice proteins through the formation of new intermolecular covalent bonds catalysed  
254 by transglutaminase and the indirect formation of disulfide bonds among proteins,  
255 mainly involving  $\beta$ -conglycinin and glycinin of soybean and the glutelins of the rice  
256 flour, although albumins and globulin also participated (Marco et al. 2008). The strategy  
257 of creating a protein network by TGase treatment of protein supplemented GF  
258 formulations, became effective after optimization of water and supplemented proteins  
259 amounts and of enzyme dosage. HPMC was also included in the optimization process to  
260 provide additional structural strength and a more open aerated structure included  
261 (Marco and Rosell 2008c). Although soybean proteins reduced the specific volume of  
262 the bread, scanning electron micrographs confirmed the participation of those proteins  
263 in the network created by the TGase. Moore et al. (2006) also showed by confocal laser-  
264 scanning microscopy (CLSM) that it is possible to form a protein network in GF bread  
265 with the addition of TGase and proteins like skim milk powder, soya flour and egg  
266 powder. However, the effectiveness of the enzyme is dependent on both the protein  
267 source and the enzyme concentration.

268 Despite the usefulness of microbial TGase for improving GF systems functionality,  
269 some concern has been raised suggesting (i) its homology to tissue TGase that mediates  
270 in the coeliac disease, and (ii) higher reactivity of IgA of celiac patients sera against  
271 prolamins from TGase treated breads (Cabrera-Chavez et al. 2008, Dekkings et al.  
272 2008). Currently, no further studies have been reported supporting those hypothesis.

### 273 *3.1.2 Oxidases action in GF applications*

274 Different oxidases (lipoxygenase, sulphhydryl oxidase, glucose oxidase,  
275 polyphenoloxidase and peroxidase) have been used for its beneficial effect on bakery

276 applications due to their action on dough strengthening and stabilization (Oort 1996),  
277 and as dough bleaching agents (Gelinas et al. 1998), improving the quality of fresh  
278 breads.

279 Glucose oxidase (EC 1.1.3.4) (GO) catalyzes the conversion of  $\beta$ -D-glucose to  $\delta$ -D-1,5-  
280 gluconolactone, which is spontaneously converted into gluconic acid and hydrogen  
281 peroxide. The hydrogen peroxide ( $H_2O_2$ ) interacts with the thiol groups of the proteins  
282 resulting in disulphide bonds and promotes the gelation of water-soluble pentosans,  
283 changing the rheological properties of wheat dough (Hoseney and Faubion 1981,  
284 Primo-Martin, Valera, and Martinez-Anaya 2003). It must be stressed that side activities  
285 present in glucose oxidase commercial preparations might have a substantial effect on  
286 those changes (Hanft and Koehler 2006). From a molecular standpoint, high  
287 performance capillary electrophoresis and cryo-scanning electron microscopy indicated  
288 that glucose oxidase modified gluten proteins (gliadins and glutenins) through the  
289 formation of disulfide and non-disulfide crosslinks. The reducing action of the peroxide  
290 mainly affected high molecular weight glutenin subunits (Bonet et al. 2006b), resulting  
291 in an increased content of gluten macropolymer (Steffolani et al. 2010). Nevertheless,  
292 some protein disruption was observed when analyzing dough ultrastructure, which  
293 could facilitated the enfolding of starch granules by the gluten matrix (Indrani et al.  
294 2003). GO action was not limited to gluten proteins. In fact, a decrease in sulfhydryl  
295 (SH) groups has been observed in soluble and insoluble protein fractions during the  
296 initial stage of mixing where a high consumption of the  $H_2O_2$  was observed, without  
297 further significant SH changes after mixing (Pescador-Piedra, Farrera-Rebollo, and  
298 Calderon-Dominguez 2010). Nevertheless, over-dosage of glucose oxidase produces  
299 excessive crosslinking in the gluten network with dramatic effect on the breadmaking  
300 properties.

301 When GO was supplemented to rice dough, bread specific volume increased with a  
302 simultaneous reduction of the crumb hardness (Gujral and Rosell 2004b). The GO  
303 action resulted in an increase of the dough consistency and the elastic and viscous  
304 moduli, leading to doughs which were more resistant to deformation. From a molecular  
305 standpoint, the effect was ascribed to protein crosslinking and gelation of water soluble  
306 pentosans in the rice flour. Protein crosslinking resulted from the ability of hydrogen  
307 peroxide to form disulfide bonds, as indicated by the decrease in free SH groups (Gujral  
308 and Rosell 2004b). Simultaneously, a decrease in the amount of free amino acids was  
309 reported, which implied the formation of additional covalent crosslinks (Gujral and  
310 Rosell 2004b). The action of GO on other GF (corn, sorghum, brown rice and teff) was  
311 tested by Renzetti and Arendt (2009a), showing that enzyme effect was dependent on  
312 the type of flour and enzyme concentration. GO improved the specific volume and  
313 crumb structure of breads made with corn or sorghum flour, but crumb softening was  
314 only observed in corn. The observed changes in baking quality were associated with  
315 increased elastic-like behavior, viscosity and resistance to deformation (i.e. increased  
316  $G^*$ ) of the GO treated batters. On the contrary, none or minor effects were reported for  
317 brown rice or teff flour. Overall, GO offers an alternative to promote rapid dough or  
318 batter crosslinks in GF systems, but the primary protein structures greatly determines  
319 the final effect on GF batters and breads.

320

321 Polyphenoloxidases that catalyze the polymerization of the phenolic compounds such as  
322 catechol, pyrogallol, and gallic acid to quinones by molecular oxygen are designated,  
323 based on their substrate specificity, as tyrosinase (EC 1.14.18.1), catechol oxidase (EC  
324 1.10.3.2) and laccase (EC 1.10.3.1). Free radical generated in these reactions are mainly  
325 responsible for the protein-protein cross-linking, ferulic acid mediated protein-

326 arabinoxylan interactions and diferulated oxidation of arabinoxylans. Laccase is able to  
327 stabilize the dough structure by cross-linking proteins and proteins with arabinoxylans,  
328 resulting in a strong arabinoxylan network by oxidative dimerization of feruloyl esters  
329 through ferulic acid. In wheat bread applications, laccase has been reported to decrease  
330 arabinoxylans extractability, increase oxidation of sulfhydryl groups and the rate of  
331 protein depolymerization during mixing (Labat, Morel, and Rouau 2000). These  
332 specifically catalyzed actions are mainly responsible for the improvement of wheat flour  
333 dough properties (Houben, Hochstotter, and Becker 2012, Labat, Morel, and Rouau  
334 2000). Laccase supplemented wheat dough has higher strength and stability and lower  
335 stickiness, improving its machinability and leading to softer crumb in baked products  
336 (Selinheimo et al. 2006, Caballero, Gómez, and Rosell 2007). Consequently, increased  
337 loaf bread volume and improved crumb structure and softness have been reported  
338 (Goesaert et al., 2005; Labat, Morel, & Rouau, 2000).

339 Studies on laccase applications in GF breads are limited. Renzetti et al. (2010) reported  
340 the increased specific volume and softening crumb effect of preparations of laccase  
341 containing endo- $\beta$ -glucanase side activity for making GF oat flour. Authors explained  
342 the improvement by the increase in batter softness, deformability and elasticity, in part  
343 due to the  $\beta$ -glucan depolymerisation. Flander et al. (2011) also reported high specific  
344 volume of oat bread combining *Trametes hirsute* laccase and xylanase, although crumb  
345 softness remained unaltered.

346

### 347 *3.1.3 Further considerations on cross-linking enzymes in GF applications*

348 From a rheological standpoint, GF batters treated with TGase or GO show a  
349 considerable increase in elastic-like behavior and in the resistance to deformation,  
350 which results from the promotion of large protein aggregates in comparison to a

351 dispersed protein phase of the non-treated batters. Protein polymerization may enhance  
352 the continuity of protein networks by strengthening those already present in the floury  
353 endosperm (Renzetti et al., 2008a) or by promoting the formation of supramolecular  
354 aggregates within the native GF proteins (Renzetti et al., 2008a; Renzetti et al.,  
355 2012)(Figure 3A,B). When GF batters are supplemented with functional proteins from  
356 other sources (e.g. soy and whey protein isolate, egg), protein networks can be the result  
357 of heterologous protein complexes. The changes in the rheological and microstructural  
358 properties of the batters are reflected in the breadmaking performance of the GF system,  
359 resulting in significant improvements especially in terms of crumb structure (Renzetti et  
360 al., 2008a; Moore et al., 2006; Marco and Rosell, 2008c). The effect of the observed  
361 changes in rheology and microstructure have not been unanimous, with some authors  
362 reporting negative influences on specific volume and crumb hardness (Renzetti, Dal  
363 Bello, Arendt, 2008; Moore et al., 2006; Marco and Rosell, 2008c), and others reporting  
364 high volumes and soft crumbs (Gujral and Rosell, 2004a; Gujral and Rosell 2004b). As  
365 stated earlier, variations in the GF formulations in terms of water amounts, enzyme  
366 dosage and protein source and amount may modulate considerably the effects on baking  
367 quality. Furthermore, hydrocolloids such as HPMC has been used in some of the  
368 reported formulations, while others have relied only on the breadmaking properties of  
369 the GF flours. Synergistic interactions between enzymatic induced molecular and  
370 rheological changes with HPMC should therefore be carefully considered. An overview  
371 of successful GF formulations with TGase or GO application is provided in Table 2.

372

### 373 *3.2. Proteases in GF baking applications*

374 Proteases (EC 3.4), which include proteinases and peptidases, are enzymes capable of  
375 hydrolyzing the peptide bonds in proteins. In standard baking applications, proteases are

376 generally used to weaken gluten strength, reduce mixing time, decrease dough  
377 consistency, improve machinability and extensibility of the dough, ensure dough  
378 uniformity, regulate gluten strength in bread, control bread texture and also to improve  
379 flavor (Goesaert et al., 2005; Di Cagno et al., 2003; Mathewson, 1998). In addition,  
380 proteases have largely replaced bisulfite, which was previously used to control  
381 consistency through reduction of gluten protein disulfide bonds, while proteolysis  
382 breaks down peptide bonds. In both cases, the final effect is a similar weakening of the  
383 gluten network (Linko et al., 1997). Apart from direct baking applications, proteases  
384 can also be applied to improve the functional properties of cereal proteins (Xiangzhen  
385 Kong et al., 2007; Celus et al., 2007) in order to develop functional ingredients.

386 The application of proteases to improve GF bread quality have been first proposed by  
387 Renzetti and Arendt (2009b), which reported a 1.3 fold increase in specific volume and  
388 0.3 fold decrease in crumb hardness for brown rice bread treated with a commercial  
389 protease (Neutrase from *Bacillus amyloliquefaciens*). The study was performed on a  
390 simple formulation based on brown rice flour and water without the addition of  
391 hydrocolloids. Therefore, the gas retention capability and the structure forming  
392 properties were mainly relying on the functionality of the rice flour constituents, i.e.  
393 proteins and starch. From a rheological standpoint, improved batter expansion was  
394 related to a decrease in the resistance to deformation of GF batters (decrease in complex  
395 moduli  $G^*$ ), while maintaining a similar ratio of the viscous to elastic behavior (i.e.  $\tan$   
396  $\delta$ ), which favored film formation and gas retention. Similar effects on batter rheology  
397 were confirmed in a later study at both small and large deformations by application of  
398 Neutrase in oat breads (Renzetti et al., 2010). The increase in batter deformability and  
399 elasticity obtained with protease treatment were related to increased stability of the  
400 batter film during expansion of the gas cells. The improved film stability prevented



401 premature gas cell rupture and collapsing of dough during proofing and oven spring, as  
402 suggested by a considerable increase in the maximum height of batter during proofing.  
403 A similar rheological mechanism was also observed with rice bread supplemented with  
404 whey proteins and it was related to specific protein functionality among those of  
405 varying dairy sources (Nunes et al., 2009).

406 Gas cell stabilization in protease treated rice bread was further elucidated by Hamada et  
407 al. (2013), which showed the retention of many small bubbles during fermentation as  
408 compared to large and irregular air bubbles in the collapsing control batter. The  
409 improved gas retention with yeast fermentation was related to a considerable reduction  
410 in sedimentation of the flour particles for the protease treated batter.

411 From a molecular standpoint, the rheological behavior of the protease treated batters  
412 could not be entirely explained by changes in the water holding capacity of hydrolyzed  
413 proteins, as further addition of water to untreated rice batters would not provide with  
414 similar rheological effects (Renzetti and Arendt, 2009b). Instead, protease induced  
415 changes in protein-protein and protein-starch interactions may explain such effects  
416 (Renzetti and Arendt, 2009b; Amemiya and Menjivar, 1992). Microscopic analysis of  
417 rice batters showed a fine network of interlinked protein-starch aggregates after  
418 inducing protein degradation (Hatta et al., 2015; Hamada et al., 2013), thus confirming  
419 the relationship between the changes in batter rheology and the observed molecular  
420 interactions (Figure 2B). When such molecular structures are achieved, a cellular  
421 microstructure is predominantly observed in the GF bread crumb compared to untreated  
422 bread (Hatta et al., 2015; Hamada et al., 2013; Kawamura-Konishi et al., 2013).

423 Fine network of protein-starch aggregates were observed with metallo, serine, cysteine  
424 proteases and with a protease derived from *Aspergillus oryzae* (Hatta et al., 2015).  
425 These enzymes showed almost complete degradation of the  $\alpha$ - and  $\beta$ - glutelin subunits

426 which constitute the main protein fraction of rice (Van Den Borgh et al., 2006; Renzetti  
427 et al., 2012). On the contrary, the hydrolytic activity of aspartyl proteases did not result  
428 in a similar degradation of rice glutelins and neither a similar microstructure (Hatta et  
429 al., 2015). Therefore, the improvements in baking quality of rice bread were specifically  
430 related to the extended degradation of the  $\alpha$ - and  $\beta$ - glutelin subunits, which almost  
431 disappeared as protein bands in the SDS electrophoresis gel (Hatta et al., 2015). The  
432 glutelin subunits are linked by an intermolecular disulphide bond and further  
433 polymerize by disulphide bonding and hydrophobic interactions to form large  
434 macromolecular complexes (Utsumi, 1992). Partial degradation of the macromolecular  
435 protein structures resulted in opening up of the protein complexes, resulting in an  
436 increase in the  $\alpha$ - and  $\beta$ - glutelin subunits extracted from batters under reducing  
437 conditions and the release of low molecular weight proteins (Renzetti and Arendt  
438 2009b). Similar results were observed also when dissociation of the disulphide linkages  
439 between  $\alpha$ - and  $\beta$ - subunits of rice glutelins was obtained by addition of glutathione  
440 (Yano, 2010). In both cases, the treatments resulted in improved baking quality of rice  
441 batters (Renzetti and Arendt, 2009b; Yano, 2010; Yano et al., 2013). Therefore, it  
442 remains to be further explored the exact mechanism and the identity of the protein  
443 subunits that play a decisive role in the formation of the fine network responsible for  
444 improving the expansion of rice batters. Extensive degradation of globulins, which  
445 constitute oat main protein fraction, as well as albumins and prolamins were also  
446 associated with improved baking performance of batters from oat flour (Renzetti et al.,  
447 2010). Overall, improvements in GF bread quality were achieved with protease  
448 processing of flours which considerably differed in their protein profile. Hence, the  
449 technological functionality provided by proteolytic actions may be derived from varying  
450 protein structures and should be further investigated in the future.

451 Additional to the effects on batter rheology and gas holding properties, a secondary  
452 mechanism for the observed improvements in baking quality of GF batters have been  
453 ascribed to changes in flour pasting properties (Renzetti and Arendt, 2009b; Renzetti et  
454 al., 2010; Yano, 2010; Schober et al. 2007), independently of the flour source used, i.e.  
455 rice, oat or sorghum. In general, a decrease in peak viscosity and breakdown of the  
456 starch paste were observed with protease treatment (Derycke et al., 2005, Hamaker and  
457 Griffin, 1993; Xie et al., 2008). These changes were associated to an improved ability of  
458 the starch paste to expand while maintaining the textural integrity of the crumb during  
459 baking (Renzetti and Arendt, 2009b; Renzetti et al., 2010; Yano, 2010). Changes in the  
460 pasting profiles of the GF batters were related to modifications in protein–starch  
461 interactions resulting from the proteolytic activity (Ragae and Abdel-Aal, 2006;  
462 Renzetti and Arendt 2009b). In the concentrated regime conditions of the RVA test,  
463 starch granules cannot swell to their maximum because of space restrictions (Derycke et  
464 al., 2005). In such conditions, protein structures surrounding the starch granules confer  
465 rigidity to the paste, and the rheology of the system is dictated by the rigidity of the  
466 suspended particles (Steeneken, 1989). By disrupting the paste rigidity, protein  
467 hydrolysis decreases RVA viscosity (Derycke et al., 2005). The improvements in  
468 baking performance of the GF batters could not be explained by  $\alpha$ -amylase treatment  
469 (Hamada et al., 2013; Hatta et al., 2015) and the side  $\alpha$ -amylase activity had none or  
470 little effect on the pasting curves (Renzetti and Arendt, 2009b; Renzetti et al., 2010).

471 The extent of protease activity on the GF flour proteins is dependent on the treatment  
472 conditions, i.e. temperature and time of incubation. Improvements on baking quality of  
473 GF batters were reported for short incubation times, i.e. 30 minutes (Renzetti and  
474 Arendt, 2009b; Renzetti et al., 2010), as well as long incubation times, 12-18 hours  
475 (Hatta et al., 2015; Hamada et al., 2013; Kawamura-Konishi et al., 2013), with

476 temperature ranges of 23-55°C. Incubation times have been shown to be a determinant  
477 factor for improving GF batter functionality for a specific protease (Hamada et al.,  
478 2013). However, the information provided is still very limited and further research  
479 should be conducted to relate optimal incubations times to the molecular,  
480 microstructural and rheological changes in GF batters and finally link them to baking  
481 quality.

482 The de-polymerization mechanism exerted by proteases, whilst proved beneficial for  
483 rice and oat batters, has been showed to be detrimental for the baking performance of  
484 GF batters based on sorghum and buckwheat, while no effects were observed with corn  
485 flour (Renzetti and Arendt, 2009a) . From a rheological perspective, the reason for the  
486 detrimental effect may be related to the loss of elastic properties (increase in  $\tan \delta$ ),  
487 which was associated with the decrease in the resistance to deformation of batters, i.e.  
488  $G^*$  (Renzetti and Arendt, 2009a). From a molecular standpoint, buckwheat proteins  
489 form web-like structures, which contribute to the textural and baking quality of bread  
490 (Renzetti et al., 2008b). TGase treatment improves crumb texture by reinforcing such  
491 protein network (Renzetti et al., 2008a), while protease disrupts its continuity resulting  
492 in crumb defects. In these type of breads, the integrity of the protein structures may be  
493 fundamental to ensure textural quality, unless other structuring ingredients are  
494 supplemented, e.g. hydrocolloids (Schober et al., 2007). On the other hand, the  
495 information reported is still limited and more extensive research should be conducted on  
496 the application and optimization of protease treatment to a wide variety of GF flours.

497

## 498 **Conclusions**

499 Overall, enzymatic treatment of GF batters is a promising processing technology for  
500 improving the breadmaking performance of GF flours. The technology demonstrates the

501 ability to impart rheological and microstructural changes to GF batters, which enable a  
502 substantial improvement in the gas holding and textural properties of GF batters and  
503 breads. From the molecular standpoint, the role of the proteins results crucial whenever  
504 applying crosslinking enzymes or proteases. The different type of proteins structure  
505 determines the effectiveness of the enzymatic treatment, because of that the global  
506 effect of the enzymatic treatments are greatly dependent on the flour type and the level  
507 of enzyme added. Consequently, each GF system requires a specific optimization of the  
508 type of enzymes and the effective levels.

509 Improvements in GF systems could be obtained without the need of hydrocolloid  
510 addition and further research should be conducted in order to understand whether these  
511 technologies could be combined to provide synergistic effects. As earlier discussed,  
512 molecular interactions between the hydrocolloids and GF flour components should be  
513 carefully considered in order to ensure the correct functionality to the GF batter. On the  
514 contrary, the use of enzymes in replacement of hydrocolloids could be beneficial to  
515 reduce the costs of GF breads as well as the list of additives in view of current market  
516 trends towards consumer's friendly, clean label formulations.

517 As the reported achievements relied on a biochemical modification of GF flours, a  
518 further understanding of the molecular mechanisms may open new opportunities for the  
519 milling and ingredient supplier industry in the development of GF flours, which have  
520 been functionalized by biochemical or physical modification processes. Furthermore,  
521 alternative technologies, such as sourdough or gluten-degrading enzymes, could be  
522 successfully applied in GF bread not solely to degrade gluten contaminant (Di Cagno et  
523 al., 2004), but also to increase the breadmaking functionality of the GF flours.  
524 Therefore, although up to now enzymes were considered processing aids, these further  
525 applications could allow promoting the term healthy aids.

526

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531

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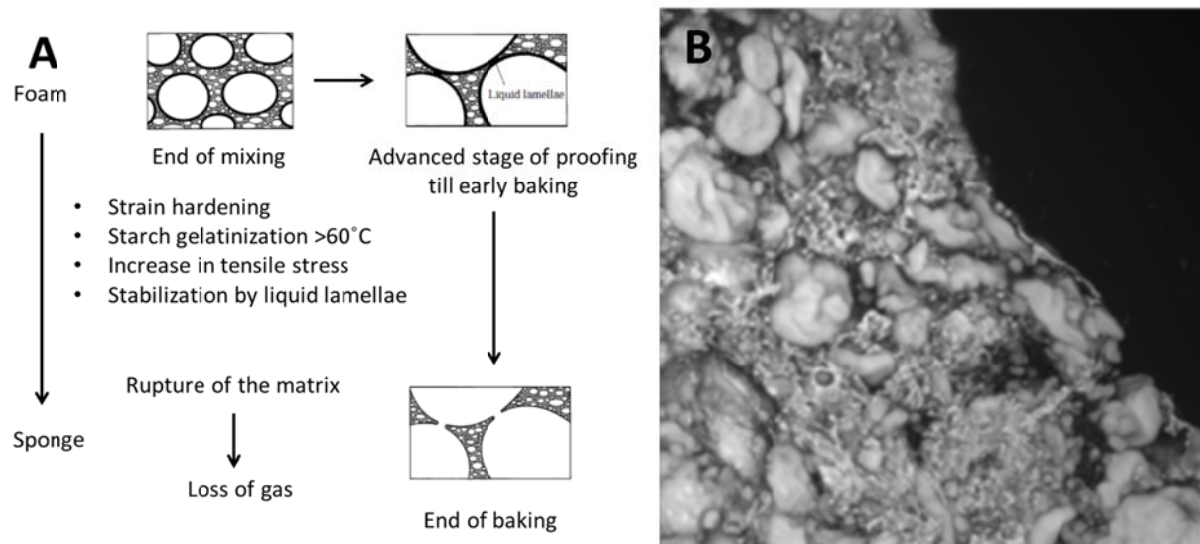
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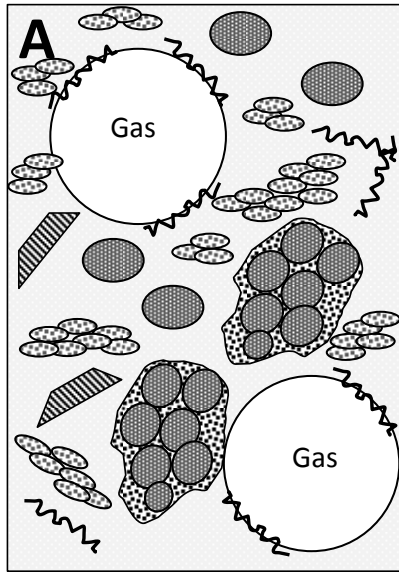


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824 Figure 1. (A) Wheat dough microstructure and mechanisms of expansion and cellular  
 825 structure formation during proofing and baking (Adapted from Gan et al., 1995); (B)  
 826 Confocal laser scanning microscopy image of wheat bread crumb showing the gluten-  
 827 starch matrix: gelatinised starch granules embedded in the gluten network (Adapted  
 828 from Zannini et al., 2012).

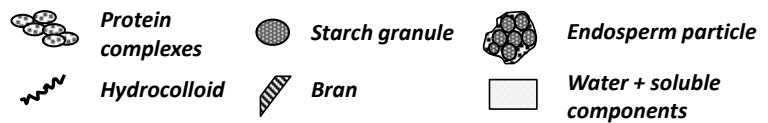
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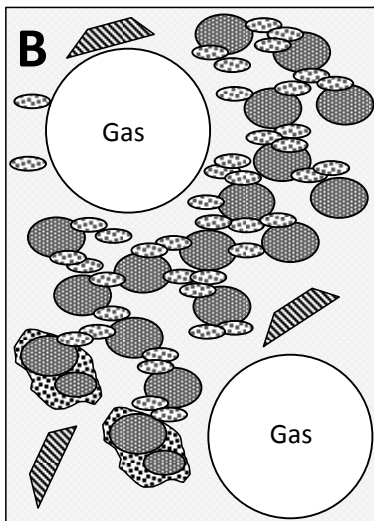


### Main ingredients and their functions in GF batter

- **Hydrocolloid**
  - increase batter viscosity and elastic-like behaviour
  - improve gas cell stabilization (when surface active)
  - contributes to structure fixation during baking (gelling)
- **Starch**
  - provides structure fixation during baking (gelatinization  $>60^{\circ}\text{C}$ )
  - controls batter viscosity during baking (pasting)
- **Proteins (from GF cereals)**
  - no or limited functionality
- **Proteins (supplemented, e.g. egg, dairy)**
  - structure fixation by gel formation

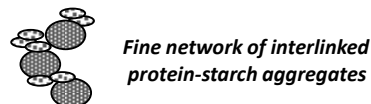


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### Protease functionalized GF batter

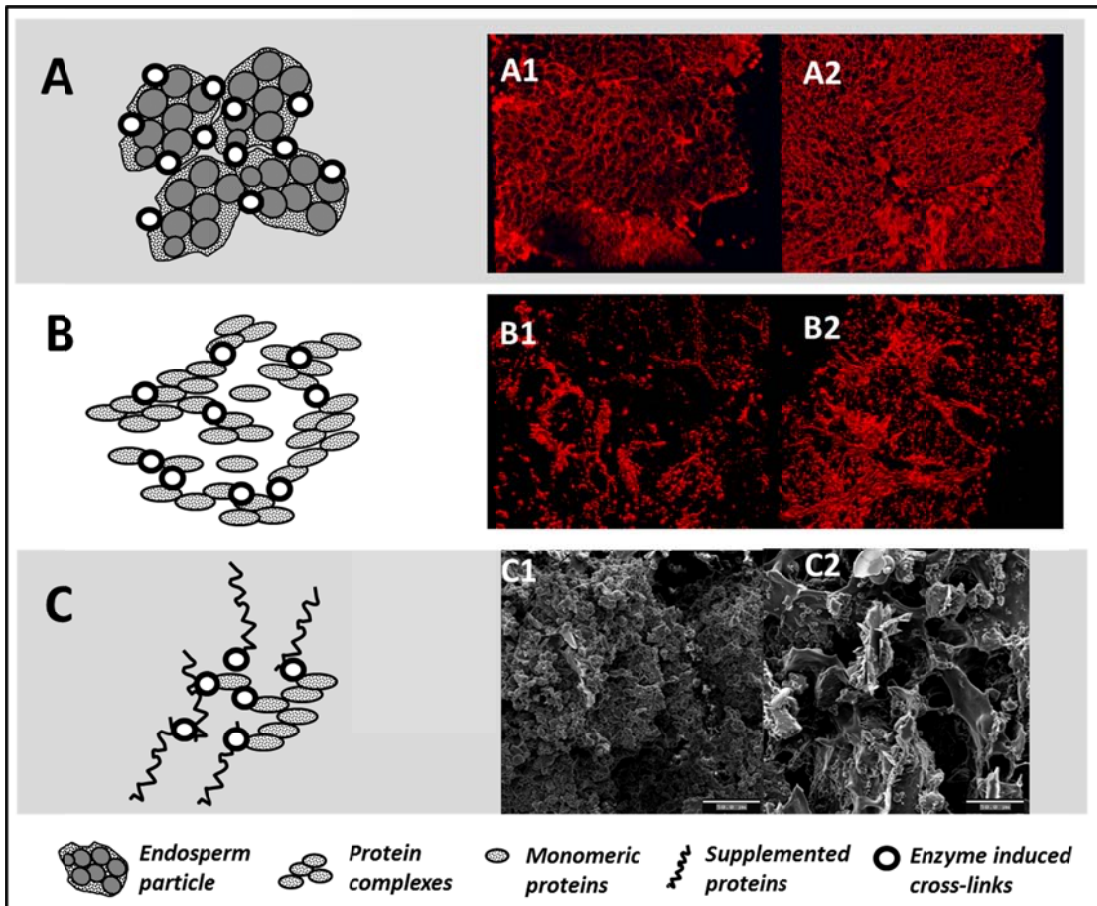
- **Hydrolyzed proteins (from GF cereals)**
  - Promote fine network of interlinked protein-starch aggregates
  - Improve gas retention
  - Improve elastic (gas retention and film formation) and viscous (cell expansion) properties. Achieved by decrease  $G^*$  and maintain/decrease  $\tan \delta$
- **Starch**
  - Improve structure fixation during baking by decreased viscosity and paste breakdown



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829 Figure 2. (A) Microstructure of GF batters and main ingredients functionalities (adapted  
830 from Schober, 2010); (B) Microstructure of protease treated GF batter and main  
831 functionalities provided

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843 Figure 3. Protein structures promoted by cross-linking enzymes: (A) cross-linkages  
 844 induced within and among proteins in endosperm particles such as in buckwheat flour,  
 845 resulting in strengthened protein networks (A2) which are already partially present in  
 846 the untreated bread (A1) (Renzetti et al., 2008b); (B) cross-linkages induced among  
 847 protein complexes and monomeric proteins such as in rice flour, resulting in enhanced  
 848 continuity of the proteins phase (B2) compared to the untreated bread (B1) (Renzetti et  
 849 al., 2008b); (C) cross-linkages induced among heterologous proteins including GF flour  
 850 proteins such as in rice flour and supplemented proteins such as soybean proteins (C2)  
 851 compared to the untreated dough (C1).

844

845

845 **Table 1.** Reaction mechanisms of protein modifying enzymes for GF food applications

846 (adapted from Buchert et al., 2007).

Type of action	Enzyme	Reaction mechanism	Reactive sites in proteins	Reactive sites in carbohydrates
Direct Cross-linking	Tyrosinase EC 1.14.18.1	Oxidation of mono and diphenols to ortho-quinones	Tyrosine	p-CA and caffeic acid, not FA
	Laccase EC 1.10.3.2	Oxidation of aromatic components to radicals	Tyrosine Cysteine	Phenolic acids: FA, etc.
	Peroxidase EC 1.11.1.7	Oxidation of aromatic components to radicals	Tyrosine Other aromatic AAs	Phenolic acids: FA, etc.
	Thiol oxidase EC 1.8.3.2 Glutathione oxidase EC 1.8.3.3	Oxidation of sulfhydryl groups to disulphides (S-S bonds)	Cysteine (-SH)	-
	Protein-glutamine gamma-glutamyltransferase (Transglutaminase) EC 2.3.2.13	Formation of isopeptide linkage through acyl-transfer reactions	Glutamine Lysine	-
Indirect Cross-linking	Glucose oxidase EC 1.1.3.4 Hexose oxidase EC 1.1.3.5	Production of H <sub>2</sub> O <sub>2</sub> in conjunction with glucose oxidation	Cysteine (-SH)	Phenolic acids: FA, etc.
	Proteolysis	Peptidases EC 3.4 Cysteine endopeptidase EC 3.4.22 Serine endopeptidase EC 3.4.21 Threonine endopeptidase EC 3.4.25 Aspartic endopeptidase EC 3.4.23 Metalloendopeptidase EC 3.4.24	Hydrolysis of peptide bonds	-

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848 p-CA: para-coumaric acid.

849 FA: Ferulic acid.

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853 **Table 2.** Overview of GF formulations with promising enhancement of breadmaking  
 854 functionality by cross-linking enzymes  
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Main structure forming ingredients	GF	Enzyme used	Batter rheology	Bread properties		Molecular effect/ microstructure	References
Buckwheat flour		TGase	Increased $G^*$ Decreased $\delta$	Improved crumb texture Lower specific volume		Cross-linking of major protein fractions Strengthened protein network (web-like)	Renzetti et al., 2008 Renzetti et al., 2009
Brown rice flour		TGase	Increased $G^*$ Decreased $\delta$	Improved crumb texture Lower specific volume		Cross-linking of glutelins into macromolecular complexes. Entrapment of LMW proteins. Promotion of protein network	Renzetti et al., 2008 Renzetti et al., 2012
Corn flour		TGase	Decreased $G^*$	Higher volume Lower hardness	specific crumb	Possibly deamidation of ( $\alpha$ -) zein	Renzetti et al., 2008
Rice flour		TGase	Increased $G^*$	Higher volume Lower hardness	specific crumb	Cross-linking of proteins. Reduction of free amino groups and –SH groups.	Gujral and Rosell, 2004a
Rice flour		TGase	Increased $G^*$	Higher volume Lower hardness	specific crumb	Cross-linking of proteins. Reduction of free amino groups and –SH groups.	Gujral and Rosell, 2004
Rice flour soybean proteins		TGase	Increased dough consistency	Higher volume Higher hardness	specific crumb	Cross-linking of $\beta$ -conglycinin and glycinin of soybean and the glutelins of rice flour. Cross-linking of albumins and globulins.	Marco and Rosell, 2008c; Marco et al. 2008
Rice flour		GO	Increased $G^*$	Higher volume Lower hardness	specific crumb	Cross-linking of glutelins. Reduction of free amino groups and –SH groups.	Gujral and Rosell, 2004b
Rice flour, corn flour, potato starch, xanthan gum, egg powder		TGase	Not determined	Lower volume Higher hardness Finer crumb structure	specific crumb	Enhanced continuity of egg protein network	Moore et al. 2006

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Rice flour, corn flour, potato starch, xanthan gum, skim milk powder	TGase	Not determined	Lower volume Higher hadrness Finer structure	specific crumb	Enhanced continuityof protein netwrok	Moore et al. 2006
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