

1 **Ammonia and formaldehyde participate in the formation of 2-amino-**
2 **1-methyl-6-phenylimidazo[4,5-*b*]pyridine (PhIP) in addition to**
3 **creati(ni)ne and phenylacetaldehyde**

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10

11 *Abbreviated running title: Ammonia and formaldehyde participate in the formation of*

12 *PhIP*

13 ABSTRACT

14 The formation of formaldehyde from phenylacetaldehyde and phenylalanine, and the
15 contribution of both formaldehyde and ammonia to the production of PhIP from
16 phenylacetaldehyde and creatinine were studied in an attempt to clarify the reaction
17 pathways that produce PhIP. Formaldehyde was produced by thermal degradation of
18 phenylacetaldehyde and, to a lower extent, also by degradation of phenylalanine,
19 phenylethylamine, styrene, and creatinine. When formaldehyde was added to a mixture
20 of phenylacetaldehyde and creatinine, PhIP yield was multiplied by nineteen. When
21 formaldehyde and ammonia were simultaneously present, PhIP yield was multiplied by
22 fifty and the E_a of the reaction decreased by 61%. All these results point out to
23 formaldehyde and ammonia as the two additional reactants required for PhIP formation
24 from both phenylacetaldehyde/creati(ni)ne and phenylalanine/creati(ni)ne mixtures. A
25 general pathway for PhIP formation is proposed. This pathway is suggested to be the
26 main route for PhIP formation in foods.

27

28 *Keywords:* Ammonia; Formaldehyde; Heterocyclic aromatic amines; Maillard reaction;
29 Reactive carbonyls

30

31 **1. Introduction**

32 Heterocyclic aromatic amines (HAAs) are a group of heterocyclic amines containing
33 from 2 to 5 (generally 3) condensed aromatic cycles with one or more nitrogen atoms
34 and, usually, one exocyclic amino group (Alaejos & Afonso, 2011). They are formed in
35 foods during the heating process. HAAs are usually grouped in thermic or pyrolytic
36 HAAs depending on whether they are produced at temperatures lower or higher than
37 300 °C, respectively. Among all of them, the thermic 2-amino-1-methyl-6-
38 phenylimidazo[4,5-*b*]pyridine (PhIP) is one of the most abundant HAAs produced in
39 foods. It is typically found at amounts up to 35 ng/g (Puangsombat, Gadgil, Houser,
40 Hunt, & Smith, 2011), but there are reports of higher levels, especially in fried and
41 barbecued chicken (Solyakov & Skog, 2002). This HAA has been shown to be
42 carcinogenic in animal models and it is suspicious of increased risk in humans for
43 different types of cancer (Barbir, Linseisen, Hermann, Kaas, Teucher, Eichholzer, &
44 Rohrmann, 2012; Tang, Kryvenko, Wang, Trudeau, Rundle, Takahashi, Shirai, &
45 Rybicki, 2013).

46 The mechanism by which PhIP is produced has been the objective of different
47 studies, and nowadays it has been clearly demonstrated that PhIP is produced by
48 reaction of phenylalanine with creati(ni)ne (Cheng, Wong, Cho, Chu, Sze, Lo, Chen, &
49 Wang, 2008; Felton, Knize, Hatch, Tanga, & Colvin, 1999; Murkovic, 2004; Murkovic,
50 Weber, Geiszler, Fröhlich, & Pfannhauser, 1999; Zöchling, & Murkovic, 2002). In
51 addition, the presence of reactive carbonyls facilitates the reaction by converting
52 phenylalanine into phenylacetaldehyde, which has been shown to be an intermediate in
53 the reaction (Cheng, Wong, Cho, Chu, Sze, Lo, Chen, & Wang, 2008; Zöchling, &
54 Murkovic, 2002). These reactive carbonyls were traditionally considered to proceed
55 from carbohydrates (Murkovic, 2004; Wong, Cheng, & Wang, 2012; Zochling &

56 Murkovic, 2002), but recent studies have suggested that reactive carbonyls formed from
57 either lipids (Zamora, Alcon, & Hidalgo, 2012; 2013a) or amino acids (Zamora, Alcon,
58 & Hidalgo, 2013b) are also able to contribute to PhIP formation by converting
59 phenylalanine into phenylacetaldehyde.

60 Differently to these widely accepted steps in PhIP formation, there are others that
61 need further clarification. Thus, PhIP has 13 carbon atoms, but phenylacetaldehyde has
62 8 carbon atoms and creatinine has 4 carbon atoms. The origin of the additional carbon,
63 and the way of how it is incorporated into the PhIP molecule has not been explained yet,
64 although previous studies have demonstrated that it comes from the phenylalanine
65 molecule but it is not the carboxylic carbon of the amino acid (Murkovic, Weber,
66 Geiszler, Fröhlich, & Pfannhauser, 1999). In addition, PhIP has 4 nitrogen atoms, but
67 phenylacetaldehyde has not nitrogen atoms and creatinine has only 3 nitrogen atoms.
68 Previous studies have suggested that the additional nitrogen atom can proceed from the
69 ammonia formed by either phenylalanine or creatinine thermal decomposition
70 (Murkovic, 2004; Zamora, Alcon, & Hidalgo, 2013a), but the way by which ammonia is
71 incorporated into the PhIP molecule is also unclear at present.

72 In an attempt to understand the reaction pathways by which PhIP is produced, this
73 study investigated firstly the formation of formaldehyde by thermal decomposition of
74 phenylacetaldehyde and, then, the contribution of both ammonia and formaldehyde to
75 PhIP formation.

76 **2. Materials and methods**

77 *2.1. Materials*

78 2-Amino-1-methyl-6-phenylimidazo[4,5-b]pyridine (PhIP) was purchased from
79 Toronto Research Chemicals (North York, Ontario, Canada). All other chemicals were

80 purchased from Aldrich (Milwaukee, WI, USA), Sigma (St. Louis, MO, USA), Fluka
81 (Buchs, Switzerland), or Merck (Darmstadt, Germany), and were analytical grade.

82 *2.2. Formation of formaldehyde and other compounds during phenylacetaldehyde* 83 *thermal heating*

84 Phenylacetaldehyde (10 μmol) solutions in 500 μL of 0.3 M sodium phosphate
85 buffer, pH 6, (or non-buffered distilled water) were heated at 200 $^{\circ}\text{C}$ in closed test tubes
86 under air for 1 h. At the end of the heating process, samples were cooled (5 min at room
87 temperature and 10 min at -20°C), and the formation of formaldehyde and other
88 compounds was studied by either LC-MS/MS or GC-MS.

89 For comparison purposes, formaldehyde formation as a consequence of thermal
90 degradation of phenylalanine, phenylethylamine, styrene, benzaldehyde, and creatinine
91 was also studied by LC-MS/MS. Reactions were carried out analogously to the
92 described above but phenylacetaldehyde was substituted by the tested compound.

93 *2.3. Formaldehyde formation by LC-MS/MS*

94 Formaldehyde was identified by LC-MS/MS after derivatization with
95 dansylhydrazine, following a procedure previously described for other carbonyl
96 compounds (Hidalgo, Navarro, Delgado, & Zamora, 2013). The corresponding
97 dansylhydrazone was formed by mixing 150 μL of the cooled solution with 50 μL of
98 trifluoromethanesulfonic acid solution (3% in methanol), and 200 μL of
99 dansylhydrazine solution (2 mg/mL in methanol). The resulting solution was kept at 25
100 $^{\circ}\text{C}$ for 1 h and, then, diluted with 200 μL of eluent A (a 30:70 mixture of 0.2% formic
101 acid in acetonitrile and 4 mM ammonium acetate), and analyzed by LC-MS/MS.

102 The employed equipment was composed by an Agilent liquid chromatography
103 system (1200 Series) consisting of binary pump (G1312A), degasser (G1379B), and

104 autosampler (G1329A), connected to a triple quadrupole API 2000 mass spectrometer
105 (Applied Biosystems) using an electrospray ionization interface in positive ionization
106 mode (ESI⁺). Compounds were separated on a Zorbax Eclipse XDB-C18 (150 mm x 4.6
107 mm, 5 μm) column from Agilent. As eluent A, a 30:70 mixture of 0.2% formic acid in
108 acetonitrile and 4 mM ammonium acetate was used. As eluent B, a 0.2% formic acid
109 solution in acetonitrile was employed. The mobile phase was delivered at 0.5 mL/min in
110 isocratic mode using 50% B. Mass spectrometric acquisition was performed by using
111 multiple reaction monitoring (MRM). The nebulizer gas (synthetic air), the curtain gas
112 (nitrogen), and the heater gas (synthetic air) were set at 40, 25, and 50 (arbitrary units),
113 respectively. The collision gas (nitrogen) was set at 5 (arbitrary units). The heater gas
114 temperature was set at 500 °C and the electrospray capillary voltage to 5.5 kV. The
115 fragment ions in MRM mode were produced by collision-activated dissociation of
116 selected precursor ions in the collision cell of the triple quadrupole and analyzed the
117 selected products with the second analyzer of the instrument. Three transitions were
118 acquired for the identification of formaldehyde and mass spectrometric conditions were
119 optimized by using infusion with a syringe pump. Transitions used for formaldehyde
120 identification in addition to its retention time were 278.1→171.1, 278.1→115.2, and
121 278.1→156.1. Operating conditions for detection of these transitions were: DP
122 (declustering potential), 26; FP (focusing potential), 370; EP (entrance potential), 10.5;
123 CEP (collision cell entrance potential), 20; CE (collision energy), 33, 67, and 47,
124 respectively, for the three transitions; and CXP (collision cell exit potential), 6, 4, and 6,
125 respectively, for the three transitions.

126 A semi-quantitative determination of formaldehyde was obtained by using
127 formaldehyde solutions in water as external standard. Thus, solutions of formaldehyde
128 (0–50 nmol) in 500 μL of distilled water were derivatized with dansylhydrazine

129 following the procedure described above. These samples were injected after the tested
130 samples in the same set of samples. The peak areas for formaldehyde standards were
131 directly proportional to the contents of formaldehyde ($r = 0.99$, $p < 0.01$). These data
132 were employed to estimate formaldehyde content in the tested samples.

133 *2.4. Study of phenylacetaldehyde degradation products by GC-MS*

134 The formation of other products as a consequence of phenylacetaldehyde degradation
135 in addition to formaldehyde was studied by GC-MS. GC-MS analyses were conducted
136 with a Hewlett-Packard 6890 GC Plus coupled with an Agilent 5973 MSD (mass
137 selective detector, quadrupole type). A fused-silica HP5-MS capillary column (30 m \times
138 0.25 i. d.; coating thickness, 0.25 μm) was used, and one microliter of sample was
139 injected in the pulsed splitless mode. Working conditions were as follows: carrier gas
140 helium (1 mL/min at constant flow); injector, 250 $^{\circ}\text{C}$; oven temperature programmed
141 from 40 $^{\circ}\text{C}$ (1 min) to 240 $^{\circ}\text{C}$ at 5 $^{\circ}\text{C}/\text{min}$ and then to 300 $^{\circ}\text{C}$ at 10 $^{\circ}\text{C}/\text{min}$; transfer line
142 to MSD, 280 $^{\circ}\text{C}$; ionization EI, 70 eV, ion source temperature, 230 $^{\circ}\text{C}$, and mass range
143 28-550 amu.

144 *2.5. PhIP formation in creatinine/phenylacetaldehyde/formaldehyde/ammonia reaction* 145 *mixtures*

146 A solution of creatinine (10 μmol), phenylacetaldehyde (10 μmol), formaldehyde (0–
147 20 μmol), and/or ammonia (0–20 μmol) in 500 μL of 0.3 M buffer was heated at 180–
148 210 $^{\circ}\text{C}$ in closed test tubes under air for 0–1 h. The following buffers were employed:
149 sodium citrate for pH 2.15–6, sodium phosphate for pH 6–8 and 11–12, and sodium
150 borate for pH 8–10.

151 After cooling (5 min at room temperature and 10 min at -20°C), 100 μL of the
152 reaction mixture was diluted with 50 μL of internal standard solution (1.29 mg of

153 caffeine in 5 mL of a 30:70 mixture of 0.2% formic acid in acetonitrile and 4 mM
154 ammonium acetate), and 850 μ L of a 30:70 mixture of 0.2% formic acid in acetonitrile
155 and 4 mM ammonium acetate. PhIP was determined by LC-MS/MS.

156 2.6. PhIP determination

157 Samples were analyzed by using the same equipment and column previously
158 described for formaldehyde determination. As eluent, a 30:70 mixture of 0.2% formic
159 acid in acetonitrile and 4 mM ammonium acetate was used. The mobile phase was
160 delivered at 0.5 mL/min in isocratic mode. Mass spectrometric acquisition was
161 performed by using multiple reaction monitoring (MRM). The nebulizer gas (synthetic
162 air), the curtain gas (nitrogen), and the heater gas (synthetic air) were set at 45, 25, and
163 50 (arbitrary units), respectively. The collision gas (nitrogen) was set at 5 (arbitrary
164 units). The heater gas temperature was set at 500 $^{\circ}$ C and the electrospray capillary
165 voltage to 5.5 kV. The fragment ions in MRM mode were produced by collision-
166 activated dissociation of selected precursor ions in the collision cell of the triple
167 quadrupole and analyzed the selected products with the second analyzer of the
168 instrument. Three transitions were acquired for the identification of both PhIP and the
169 IS. To establish the appropriate MRM conditions for the individual compounds, the
170 mass spectrometric conditions were optimized by using infusion with a syringe pump to
171 select the most suitable ion transitions for the target analytes. Precursor and product
172 ions used for confirmation purposes and operating conditions were described previously
173 (Zamora, Alcon, & Hidalgo, 2012). The 225.0 \rightarrow 210.1 and 195.2 \rightarrow 138.0 transitions
174 for PhIP and caffeine, respectively, were used for quantification purposes in this study.

175 Quantification of PhIP was carried out by preparing five standard curves of this
176 compound in 500 μ L of 0.3M sodium phosphate buffer, pH 8, and following the whole
177 procedure described above. For each curve, seven different concentration levels of PhIP

178 (0–2 nmol) were used. PhIP content was directly proportional to the PhIP/IS area ratio
179 ($r > 0.997$, $p < 0.0001$). The limit of detection (LOD), defined as the lowest sample
180 concentration that could be detected with a signal-to-noise ratio (S/N) greater than three
181 (Hidalgo, Alaiz, & Zamora, 2001), was 0.005 nmol. The limit of quantitation (LOQ),
182 defined as the lowest concentration that could be quantified with a precision less than
183 15%, was 0.01 nmol.

184 2.7. *Statistical analysis*

185 All data given are mean or mean \pm SD values of, at least, three independent
186 experiments. Statistical comparisons among different groups were made using analysis
187 of variance. When significant F values were obtained, group differences were evaluated
188 by the Tukey test (Snedecor & Cochran, 1980). Statistical comparisons were carried out
189 using Origin® v.7.0220 (OriginLab Corporation, Northampton, MA). The significance
190 level is $p < 0.05$ unless otherwise indicated.

191 3. Results

192 3.1. *Formation of formaldehyde and other products as a consequence of* 193 *phenylacetaldehyde thermal heating*

194 When phenylacetaldehyde was heated at 200 °C for 1 h, a small amount of this
195 aldehyde was decomposed and a number of new products were formed. Among them,
196 the formation of formaldehyde was identified by LC-MS/MS after derivatization with
197 dansylhydrazine. Although the employed water had traces of formaldehyde, when
198 phenylacetaldehyde was heated at 200 °C for 1 h in water, the formation of
199 formaldehyde could be easily confirmed because the area of this peak increased one
200 order of magnitude. The amount of formaldehyde formed after heating 1 h at 200 °C in
201 water was 2 nmol per μ mol of phenylacetaldehyde (0.2%). This value was higher when

202 phenylacetaldehyde was heated in 0.3 M sodium phosphate buffer, pH 6. The
203 formaldehyde formed using phosphate buffer in the place of water was 8 nmol per μmol
204 of phenylacetaldehyde (0.8%). Analogously to phenylacetaldehyde, formaldehyde was
205 also produced when heating phenylethylamine, although to a lower extent than when
206 heating phenylacetaldehyde. In addition, formaldehyde was also formed in solutions of
207 phenylalanine and styrene, which were worse formaldehyde producers than either
208 phenylacetaldehyde or phenylethylamine. The heating of creatinine solutions also
209 produced formaldehyde, although to a very low extent. On the other hand benzaldehyde
210 solutions did not produce formaldehyde when heated at 200 °C for 1 h.

211 In addition to formaldehyde, other compounds were also formed when heating
212 solutions of phenylacetaldehyde in 0.3 sodium phosphate buffer, pH 6. The compounds
213 detected by GC-MS were benzaldehyde, 1-phenylethanol, phenylacetic acid, and a
214 compound of molecular weight 222 amu, which was tentatively identified as 2,4-
215 diphenylbut-3-enal based on its mass spectra. Identification of other compounds was
216 carried out by both retention indexes and mass spectra.

217 *3.2. PhIP formation in creatinine/phenylacetaldehyde/ammonia/formaldehyde reaction* 218 *mixtures*

219 When creatinine and phenylacetaldehyde were heated together, PhIP was produced
220 to a significant extent (Fig. 1). However, the presence of either ammonia (A) or
221 formaldehyde (F) increased ($p < 0.05$) the amount of PhIP by three and nineteen times,
222 respectively. Furthermore, when formaldehyde and ammonia were added
223 simultaneously (F+A), the amount of produced PhIP increased fifty times in comparison
224 to the PhIP produced in creatinine/phenylacetaldehyde mixtures.

225 These values depended on the amount of formaldehyde and ammonia added as well
226 as on the pH of the reaction. Fig. 2A shows the effect of reaction pH on the amount of
227 PhIP produced in creatinine/phenylacetaldehyde/formaldehyde reaction mixtures. As
228 observed in the figure, PhIP was mostly produced at pH 5-8 with a maximum at pH 6-7.
229 A pH value of 6, using sodium phosphate buffer, was used in this study.

230 When formaldehyde was added to a mixture of 10 μmol of creatinine and 10 μmol of
231 phenylacetaldehyde, the amount of PhIP formed increased very rapidly until 5 μmol of
232 formaldehyde was added, then remain constant until 7.5 μmol of formaldehyde, and
233 decreased afterwards (Fig. 2B). Differently to the addition of formaldehyde, the addition
234 of ammonia increased much more slowly the formation of PhIP and the maximum of
235 PhIP formed was produced with the addition of 20 μmol of ammonia (Fig. 2B).
236 Therefore, 5 μmol of formaldehyde and 20 μmol of ammonia were selected to be added
237 to 10 μmol of creatinine and 10 μmol of phenylacetaldehyde in the different reaction
238 mixtures analyzed in the rest of this study.

239 PhIP formation also depended on the time and temperature of the reaction. Fig. 3A
240 shows that PhIP increased linearly as a function of time in
241 creatinine/phenylacetaldehyde reaction mixtures between 180 and 210 $^{\circ}\text{C}$. However,
242 PhIP was not formed from the initial time, and a lag time was observed. This lag time
243 usually decreased when temperature increased. The lag time observed at 200 $^{\circ}\text{C}$ for this
244 binary system was 13.4 min. Reaction rates were higher when temperature increased,
245 and they were calculated by using the equation:

246
$$[\text{PhIP}] = [\text{PhIP}]_0 + kt$$

247 where $[\text{PhIP}]_0$ represents the intercept, k is the rate constant, and t is the time. These rate
248 constants were used in an Arrhenius plot for the calculation of the activation energy (E_a)

249 of PhIP formation in creatinine/phenylacetaldehyde mixtures (Fig. 4). The determined
250 E_a was 136.2 kJ/mol.

251 Analogous behavior was observed when formaldehyde was present in the reaction
252 mixtures, but much higher amounts of PhIP were produced (Fig. 3B). A lag time for the
253 formation of PhIP was also observed in creatinine/phenylacetaldehyde/formaldehyde
254 reaction mixtures, but it was lower than the observed in creatinine/phenylacetaldehyde
255 reaction mixtures. The lag time observed at 200 °C for the ternary system containing
256 formaldehyde was 9.1 min. By employing an Arrhenius plot (Fig. 4), the E_a of the
257 reaction could be determined and resulted to be 132.2 kJ/mol. This value was very
258 similar to that obtained for creatinine/phenylacetaldehyde reaction mixtures.

259 The change of formaldehyde by ammonia resulted in a considerable decrease of the
260 PhIP produced (Fig. 3C), but the behavior of this system was analogous to the other
261 above described systems. The lag time observed for
262 creatinine/phenylacetaldehyde/ammonia system heated at 200 °C was 9.2 min. By
263 employing an Arrhenius plot (Fig. 4), the E_a of the reaction could be determined and
264 resulted to be 95.7 kJ/mol, lower than those values obtained for
265 creatinine/phenylacetaldehyde and creatinine/phenylacetaldehyde/formaldehyde
266 reaction mixtures.

267 Finally, the simultaneous addition of formaldehyde and ammonia to creatinine and
268 phenylacetaldehyde resulted in the formation of large amounts of PhIP (Fig. 3D), and
269 the reduction of both the lag time (4.1 min at 200 °C) and the E_a (53.44 kJ/mol).

270 **4. Discussion**

271 A number of studies have been focused to investigate the reaction pathways by
272 which PhIP is produced. Thus, Manabe, Kurihara, Wada, Tohyama, & Aramaki (1992)

273 found that PhIP was produced by aqueous heating of a mixture of creatinine,
274 phenylalanine, and sugar or aldehyde, which suggested a role for reactive carbonyls in
275 the reaction. Later, Murkovic's group postulated the role of phenylacetaldehyde as an
276 intermediate in the reaction by demonstrating the formation of PhIP from
277 phenylacetaldehyde and creatinine (Murkovic, Weber, Geiszler, Fröhlich, &
278 Pfannhauser, 1999), and by isolating the aldol condensation product between
279 phenylacetaldehyde and creatinine (Zöchling & Murkovic, 2002). However, the later
280 steps needed to complete the PhIP molecule are still unclear.

281 The results obtained in the present study allow completing the puzzle, firstly by
282 identifying additional compounds that also take part in the reaction and then by
283 explaining how the different compounds can react among them. The most important
284 missing compound was formaldehyde. The results obtained in the present study have
285 shown that formaldehyde is produced from phenylacetaldehyde and also, to a lower
286 extent, by phenylethylamine, phenylalanine, styrene, and creatinine heating.
287 Formaldehyde is suggested to be produced according to the pathway shown in Fig. 5.
288 Although phenylacetaldehyde (**1**) has an α -hydrogen and the aldol condensation (*route*
289 *B*) is favored over the disproportionation reaction (*route A*), *route A* should also be
290 produced to a certain small extent. Thus, the formation of the condensed aldol product
291 (**11**), phenylacetic acid (**2**) and phenylethanol (**3**) was observed by GC-MS. This last
292 alcohol should be easily dehydrated under the reaction conditions to produce styrene (**4**)
293 (*route A1*). This alkene, which could not be detected in our system, is a well known
294 source of formaldehyde (**7**) by oxidation (see, for example, Fukuzumi, Mizuno, & Ojiri,
295 2012). As a byproduct of this reaction, benzaldehyde (**6**) would be produced. This last
296 compound was also detected in our reaction mixtures. In addition, this reaction pathway
297 can also explain the observed formation of formaldehyde from phenylalanine,

298 phenylethylamine, and styrene. Thus, both phenylacetaldehyde and styrene are
299 produced by thermal degradation of phenylalanine (Hidalgo & Zamora, 2007) and
300 phenylethylamine elimination produces the corresponding styrene as shown for other
301 amines (Zamora, Delgado, & Hidalgo, 2009). The only data that does not seem to agree
302 with this *route A1* as the unique pathway for formaldehyde production is that styrene
303 produced less formaldehyde than phenylacetaldehyde according to the results obtained
304 in this study.

305 As an alternative route (*route A2*) for formaldehyde production, the degradation of
306 the alcohol (**3**) might be produced in the presence of oxygen to produce small amounts
307 of a hydroperoxide (**8**) with one carbon lesser than the original alcohol, at the same time
308 that formaldehyde is formed. The well known rearrangement of hydroperoxides (see,
309 for example, Pratt, Tallman, & Porter, 2011), would be the responsible for the formation
310 of phenol (**9**) and formaldehyde (**7**) as a major compounds, but the formation of
311 benzaldehyde (**6**) might also be analogously produced. Although phenol (**9**) could not
312 be unequivocally identified in our chromatograms, trace amounts of this compound
313 might be present because some characteristic fragments of this compound at the
314 appropriate retention index were found. In addition, this route would explain the
315 formation of formaldehyde from phenylalanine and phenylethylamine, although to a
316 lower extent than from phenylacetaldehyde because they should be converted first into
317 phenylacetaldehyde (Zamora, Alcón, & Hidalgo, 2013c; Zamora, Delgado, & Hidalgo,
318 2012).

319 Once formaldehyde and ammonia have been produced, the reaction of these
320 compounds with creatinine and phenylacetaldehyde is suggested to be produced as
321 indicated in Fig. 6. According to Zöchling & Murkovic (2002), the first steps of the
322 reaction are the formation of the condensation product **12** between creatinine and

323 phenylacetaldehyde. Thus, phenylacetaldehyde (**1**) and creatinine (**10**) would react to
324 produce the corresponding aldol product (**11**) which later would lose water to yield the
325 corresponding conjugated olefin (**12**). This adduct would then react with ammonia and
326 formaldehyde according to the indicated scheme (Fig. 6) to produce PhIP. Firstly, the
327 reaction of compound **12** with ammonia would produce the corresponding imine (**13**),
328 which later would evolve to the amine (**14**) by tautomerization. This amine (**14**) would
329 then react with formaldehyde to produce the corresponding imine (**15**) which, after
330 electronic rearrangement (**16**), oxidation (**17**), and tautomerization, would be the origin
331 of PhIP (**18**). Ring closures analogous to that suggested in this pathway have been
332 observed, for example, in edible oils heated at high temperatures (Destailats & Angers,
333 2005).

334 This reaction pathway explains the results obtained in this and in previous studies.
335 Thus, previous assays carried out using ¹³C-labelled phenylalanine showed that the new
336 carbons in the pyridine ring of PhIP came from phenylalanine (Murkovic, Weber,
337 Geiszler, Fröhlich, & Pfannhauser, 1999). The proposed mechanism is in agreement
338 with this because two of the new carbons belong to phenylacetaldehyde and the third
339 one, which belongs to formaldehyde, is also produced by phenylalanine or
340 phenylacetaldehyde thermal decomposition. In addition, it also agrees that ammonia can
341 proceed from either phenylalanine or creatinine (Murkovic, 2004), because it is
342 ammonia and not the original compound that takes part in the reaction.

343 These reaction pathways are also in agreement with the obtained results showing that
344 reaction yields increased considerably with the addition of formaldehyde and
345 formaldehyde plus ammonia to the creatine/phenylacetaldehyde reaction mixtures, and,
346 to a lesser extent, with the addition of ammonia (Fig. 1). In addition, they also agree
347 with the reduction of lag times and E_a observed when formaldehyde and ammonia were

348 present (Figs. 3 and 4). Thus, the addition of the compounds needed to produce PhIP
349 should increase the reaction yield and reduce the lag time because no previous reactions
350 are required. In addition, reaction yields were higher because formaldehyde and
351 ammonia were added to a higher extent than they are produced in the reaction mixtures
352 by decomposition of phenylacetaldehyde or creatinine. Furthermore, lag times were
353 reduced because reaction was simplified. Thus, the lag time for PhIP formation was
354 reduced from 13.4 min for the creatinine/phenylacetaldehyde reaction mixtures heated at
355 200 °C to 4.1 min for creatinine/phenylacetaldehyde/ammonia/formaldehyde mixtures
356 heated at the same temperature, and to 2.9 min when this quaternary system was heated
357 at 210 °C. These data also support that no other reactants are needed. Although a small
358 lag time was always observed, the small lag time observed also in the quaternary system
359 at high temperature is likely a consequence of the time required for the reaction to reach
360 enough temperature so that PhIP can be produced.

361 Although the concentration of formaldehyde seems to be the limiting step of the
362 reaction to produce PhIP to a high extent (Fig. 1), the E_a of the reaction for
363 creatine/phenylacetaldehyde and creatinine/phenylacetaldehyde/formaldehyde systems
364 were very similar. On the contrary, the presence of ammonia decreased E_a by 30% and
365 the simultaneous presence of ammonia and formaldehyde decreased E_a by 61%. Only in
366 the presence of the four reactants, the E_a of the reaction was low enough to suggest that
367 PhIP could be produced to a significant extent without a considerable heating.

368 Differently to the model systems described in this study, formaldehyde and ammonia
369 can be formed in foods by alternative pathways. Therefore, the content of these new
370 reactants, or of the compounds from which they could be produced, should also be
371 considered to understand the amount in which PhIP is produced in food products. To
372 this respect, formaldehyde content ranges from 8–20 mg/kg in meats and 1–98 mg/kg in

373 fish (World Health Organization, 1989). In addition, all these results also suggest that
374 PhIP content should be reduced if the amount of formaldehyde either present or
375 produced in a food product could be controlled.

376 Although the existence of other alternative pathways that could also produce PhIP to
377 some extent cannot be discarded, the very big increases (~50 times) observed in the
378 PhIP formed when formaldehyde and ammonia were present and the fact that E_a for the
379 reaction after adding both formaldehyde or ammonia was much reduced, suggest that
380 the pathway described in this study is likely the main route for PhIP formation.
381 Therefore, the formation of PhIP in foods seems to be produced from phenylalanine and
382 creati(ni)ne according to the following general route. The first step is the Strecker
383 degradation of the amino acid to produce phenylacetaldehyde, a reaction that is favored
384 in the presence of reactive carbonyls. Then, phenylacetaldehyde reacts with creati(ni)ne
385 to produce the condensed aldol product **12**. At the same time, the degradation of
386 phenylalanine, phenylacetaldehyde, and creati(ni)ne are responsible for the formation of
387 the ammonia and formaldehyde required to complete the PhIP molecule. Alternatively,
388 and depending on the composition of the food product, ammonia and formaldehyde
389 might also be formed by other routes from other food components. Finally, the reaction
390 between the condensed aldol product **12**, ammonia, and formaldehyde according to the
391 scheme shown in Fig. 6, is the reason by which PhIP is formed.

392 **Abbreviations used**

393 HAAs, heterocyclic aromatic amines; PhIP, 2-amino-1-methyl-6-phenylimidazo[4,5-
394 *b*]pyridine.

395

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Figure legends

Fig. 1. PhIP formation in equimolecular creatinine/phenylacetaldehyde (Co), creatinine/phenylacetaldehyde/formaldehyde (F), creatinine/phenylacetaldehyde/ammonia (A), and creatinine/phenylacetaldehyde/formaldehyde/ammonia (F+A), reaction mixtures heated in 0.3 M sodium phosphate buffer, pH 6, for 1 h at 200 °C. Significance: *, $p < 0.05$ vs. control; **, $p < 0.01$ vs. control.

Fig. 2. Effect of: A, reaction pH, and B, reactant concentration, on the PhIP produced in creatinine/phenylacetaldehyde/formaldehyde (○) or creatinine/phenylacetaldehyde/ammonia (◇) reaction mixtures heated in 0.3 M sodium phosphate buffer, pH 6, for 1 h at 200 °C. Reaction mixtures contained 10 μmol of creatinine and 10 μmol of phenylacetaldehyde. Abbreviations: A, ammonia; F, formaldehyde.

Fig. 3. Effect of time and temperature on the formation of PhIP in: A, creatinine/phenylacetaldehyde; B, creatinine/phenylacetaldehyde/formaldehyde; C, creatinine/phenylacetaldehyde/ammonia; and D, creatinine/phenylacetaldehyde/formaldehyde/ammonia reaction mixtures. Samples were heated in 0.3 M sodium phosphate buffer, pH 6, for the indicated times at 180 (○), 190 (△), 200 (▽), and 210 (◇) °C.

Fig. 4. Arrhenius plot for creatinine/phenylacetaldehyde (□), creatinine/phenylacetaldehyde/formaldehyde (○), creatinine/phenylacetaldehyde/ammonia (◇), and creatinine/phenylacetaldehyde/formaldehyde/ammonia (△) reaction mixtures.

Fig. 5. Proposed pathways for formaldehyde formation by phenylacetaldehyde thermal degradation.

Fig. 6. Proposed pathway for PhIP formation from phenylacetaldehyde, creatinine, ammonia, and formaldehyde.

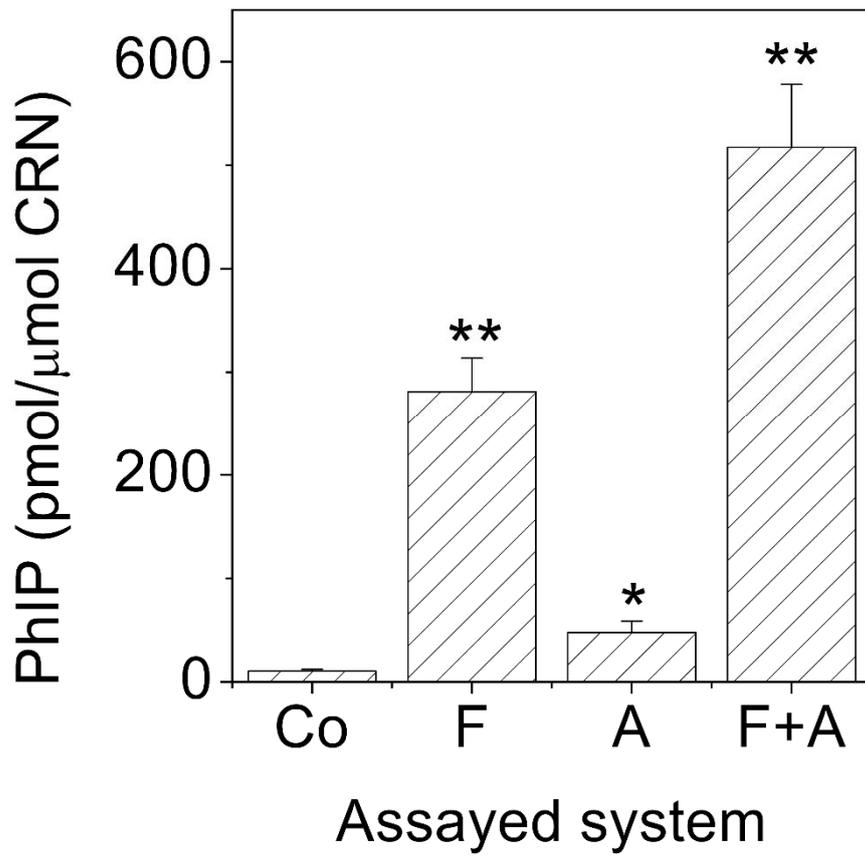


Figure 1

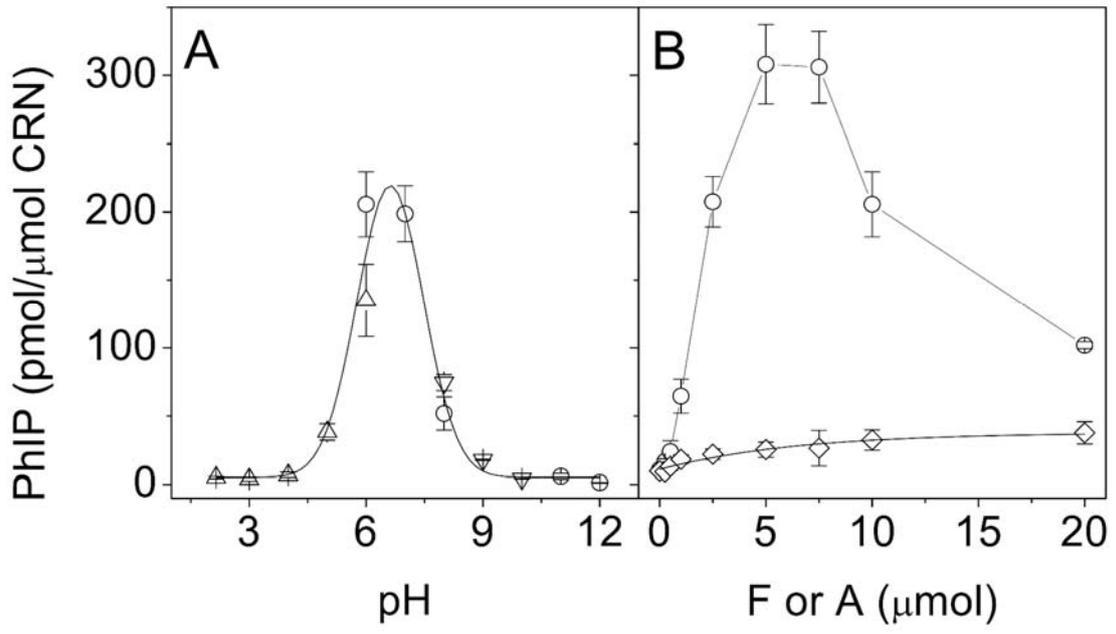


Figure 2

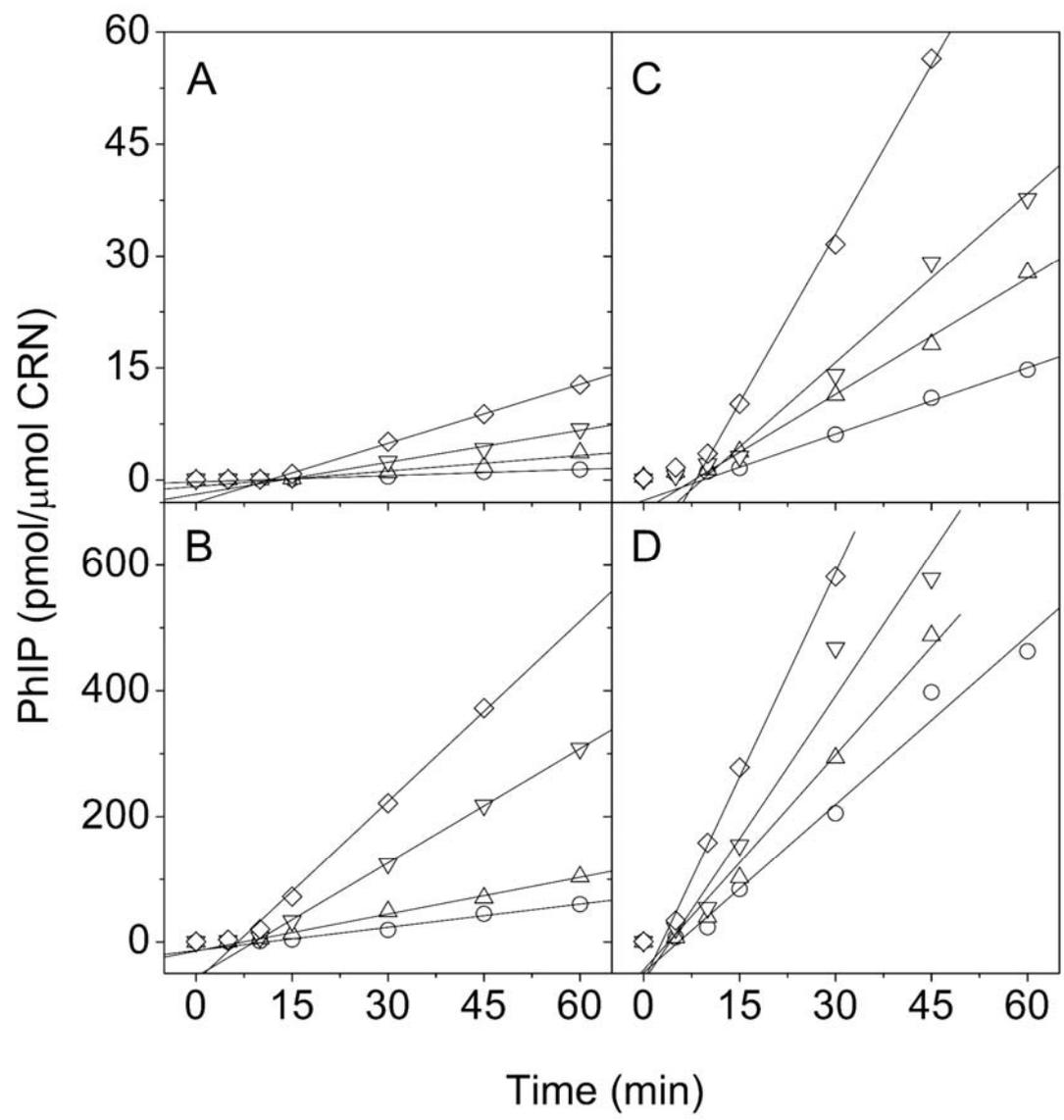


Figure 3

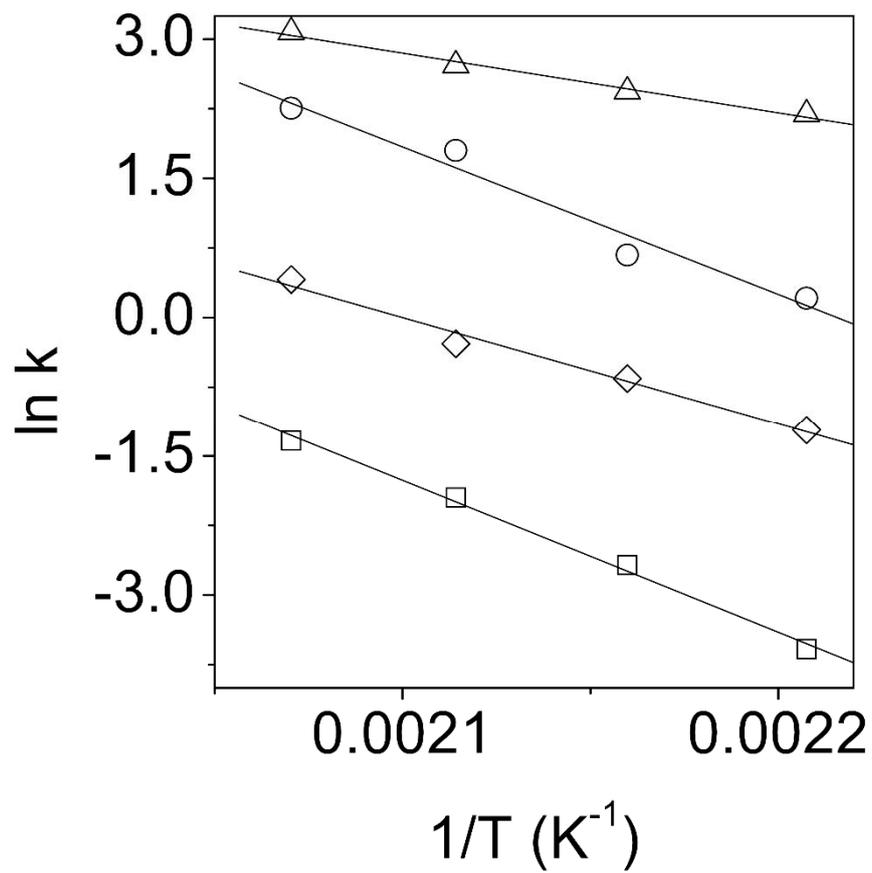


Figure 4

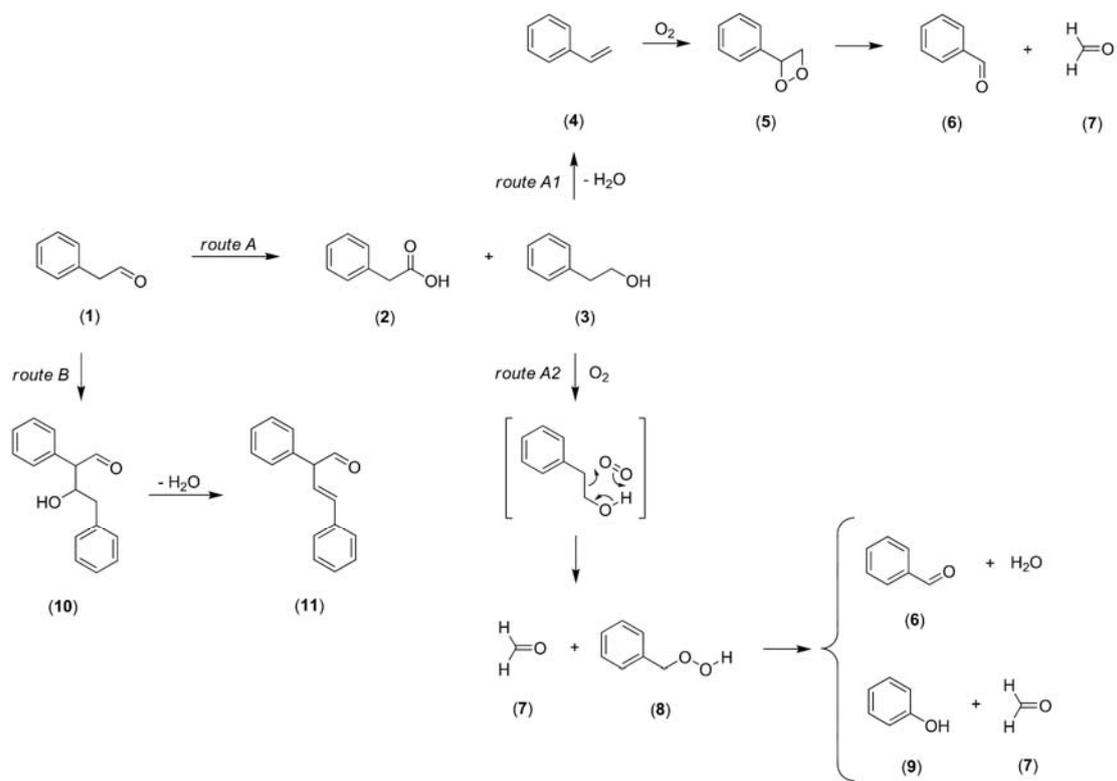


Figure 5

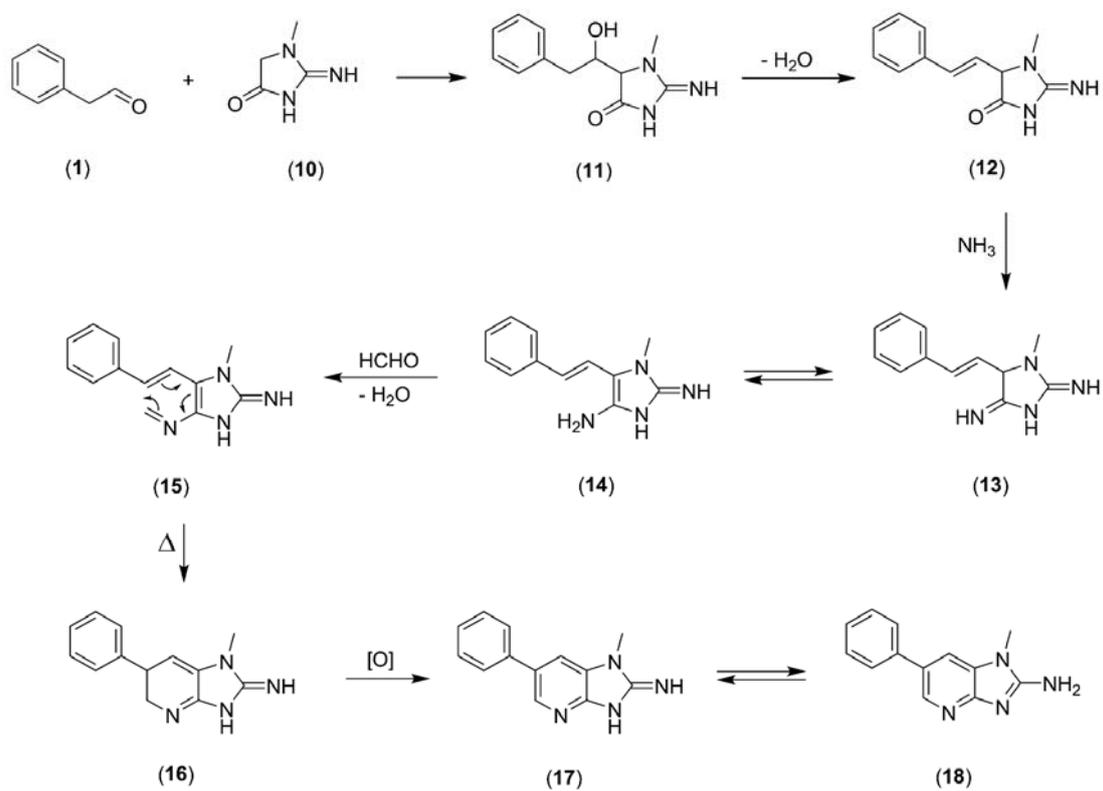


Figure 6