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**Diving capabilities of diving petrels**

Joan Navarro<sup>1,\*</sup>, Stephen C. Votier<sup>2</sup>, Richard A. Phillips<sup>3</sup>,

<sup>1</sup> Institut de Ciències del Mar (ICM-CSIC), Passeig Marítim de la Barceloneta 37-49,  
08003-Barcelona, Spain

<sup>2</sup> Environment and Sustainability Institute, University of Exeter, Penryn, Cornwall  
TR10 9EZ, UK

<sup>3</sup> British Antarctic Survey, Natural Environment Research Council, High Cross,  
Madingley Road, Cambridge CB3 0ET, United Kingdom

\*Author for correspondence (joan@icm.csic.es)

**Short title:** Diving capabilities of petrels

25 **Abstract**

26 In striking contrast to the general increase in diving ability with body mass in seabirds,  
27 amongst the Procellariiformes, the deepest dives appear to be by the smallest species.  
28 Here we use recently-developed, miniaturised Time Depth Recorders (TDRs) to provide  
29 the first accurate measurement of dive depth and duration in two small  
30 Procellariiformes: Common (*Pelecanoides urinatrix*) and South Georgian Diving Petrel  
31 (*P. georgicus*), and compare their diving performance in relation to body mass with that  
32 of 58 seabirds from four orders. The 20 common and 6 South Georgia diving petrels in  
33 our study dived to considerable depths and for long periods (respective means  $\pm$  SD of  
34 10.5 $\pm$ 4.6 m and 18.1 $\pm$ 3.6 m, and 36.4 $\pm$ 9.1 s and 44.2 $\pm$ 5.9 s). In relation to body mass,  
35 these dives are closely comparable to those of small alcids, which are considered to be  
36 diving specialists, and much greater than in closely-related petrels. Previous work has  
37 shown that diving petrels and small alcids share a number of convergent morphological  
38 traits; our data reveal these are manifested in terms of diving ability.

39 **Keywords:** Alcids, convergent evolution, diving capability, diving-seabirds, polar  
40 ecosystems, dive depth, dive duration

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## 49 **Introduction**

50 Although many seabirds are capable of diving, the majority only conduct shallow and  
51 short dives (del Hoyo et al. 1992, 1996). The few species that are considered to be  
52 diving specialists are penguins, alcids, cormorants and diving petrels, which alternate  
53 long periods foraging underwater with time spent resting on the sea-surface to recover  
54 or handle captured prey (Schreer and Kovacs 1997; Watanuki and Burger 1999;  
55 Brischox et al. 2008). In general, dive capability increases with body mass across  
56 taxonomic groups (Schreer and Kovacs 1997; Watanuki and Burger 1999; Halsey et al.  
57 2006; Brischox et al. 2008; Watanabe et al. 2011). This is largely because oxygen  
58 storage capacity scales linearly with body mass, whereas mass-specific metabolic rate  
59 scales with an exponent markedly less than one (Lasiewski and Calder 1971; Butler and  
60 Jones 1982).

61 There are some exceptions to the general trend for increasing dive capability with  
62 body mass, most obviously amongst Procellariiform seabirds (Halsey and Butler 2006).  
63 Based on data from capillary-tube depth gauges (CDGs), diving petrels (Family  
64 Pelecanoididae) appear to make unusually deep dives, despite their comparatively small  
65 size (Chastel 1994; Reid et al. 1997; Zavalaga and Jahncke 1997; Bocher et al. 2000a).  
66 However, CDGs only provide information on the maximum depth reached during the  
67 deployment period, which will be much greater than the mean diving depth, and are  
68 relatively inaccurate (Burger and Wilson 1988; Elliott and Gaston 2009). In addition,  
69 CDG do not measure dive duration, which is another useful indicator of diving ability  
70 since it is a measure of breath-holding capacity. Although time-depth recorders (TDRs)  
71 can overcome these problems and provide much more detailed diving statistics, until  
72 recently they were too large to deploy on small seabirds.

73 Here, by taking advantage of the availability of miniature TDRs, we provide the first  
74 accurate measurement of diving activity, including mean and maximum dive depth and  
75 dive duration of sympatric Common (*Pelecanoides urinatrix*) and South Georgian  
76 Diving Petrel (*P. georgicus*), and using published data, compare their mass specific  
77 performance with 58 other species of seabird from 4 orders.

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## 79 **Material and Methods**

80 Fieldwork was carried out at Bird Island, South Georgia (54°00'S, 38°03'W) during the  
81 Antarctic summer of 2010/11. We equipped 20 Common and 6 South Georgian Diving  
82 Petrels with miniaturised TDRs (Cefas G5, 8 bit resolution, Cefas Technology Ltd,  
83 Lowestoft, UK) during the incubation period when birds were attending their single egg  
84 (November 2011 and January 2012 for common and South Georgian diving petrels,  
85 respectively). The TDRs were 3.1cm in length, 8 mm in diameter and weighed 2.5 g in  
86 air, <1g in water, representing <2% of adult body mass (Table 1). TDRs were  
87 programmed to record pressure (depth,  $\pm 0.2$  m, relative accuracy  $\pm 0.04$  m) every 1 sec,  
88 covering the entire foraging trip. Incubating birds were caught by hand in their burrows,  
89 and a TDR attached to the tail feathers using waterproof tape. Birds were returned to  
90 their burrows which were then inspected daily using a burrowscope to check for partner  
91 change-overs, and the device recovered after a single foraging trip. Deployment and  
92 retrieval took <3 min.

93 We tested for potential effects of device deployment on two foraging parameters -  
94 trip duration and body mass at the end of the trip - which were recorded for all  
95 individuals fitted with TDRs, and 10 untracked Common and South Georgian Diving  
96 Petrels breeding in adjacent burrows during the same period whose attendance was also

97 monitored using a burrowscope. All individuals (tracked and untracked) were  
98 individually marked with a standard British Trust for Ornithology metal ring.

99 Downloaded TDR data were processed using diveMove 1.2.6 software (Luque  
100 2007), available through GNU R (R Development Core Team 2007). Data were  
101 corrected for surface drift (zero offset correction; Luque and Fried 2011) and a dive  
102 threshold was set at 1 m depth. Mean depth during the bottom phase and maximum dive  
103 depth and dive time were extracted for each dive.

104 Data on body mass, maximum dive duration and dive depth of 11 alcids, 12  
105 penguins, 11 cormorants and 24 procellariiforms (13 Procellariidae, 4 Diomedidae, 3  
106 Hydrobatidae and 3 Pelecanoididae) were obtained from the literature (see Table S1-  
107 electronic supplement). We consulted three exhaustive reviews of air-breathing  
108 vertebrates (Schreer and Kovacs 1997; Halsey et al. 2006; Brischoux et al. 2008),  
109 supplemented by searches of ISI Web of Knowledge and a diving database (Ropert-  
110 Coudert and Kato 2012).

111 Maximum and mean dive depths and durations of tracked Common and South  
112 Georgian Diving Petrels were compared using Kruskal-Wallis tests. Relationships  
113 between body mass, maximum dive duration and depth among species in different  
114 taxonomic groups were determined using Pearson's correlation coefficient. Diving data  
115 were log-transformed in order to normalize ( $\log_{10}(1+\text{body mass})$ ) and to reduce the  
116 effect of outliers prior to statistical analyses. All statistical analyses were conducted in  
117 IBM Statistic SPSS 210 software (SPSS, Inc., Chicago, Illinois). The significance level  
118 was set at  $P=0.05$ .

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121 **Results**

122 Based on TDR data, mean and maximum dive depth was significantly greater and mean  
123 and maximum dive duration marginally greater in South Georgian than Common  
124 Diving Petrels (Table 1; mean dive depth;  $\chi^2=10.01$ ,  $df=24$ ,  $p=0.003$ , maximum dive  
125 depth,  $\chi^2=8.53$ ,  $df=24$ ,  $p=0.003$ ; mean dive duration;  $\chi^2=3.01$ ,  $df=24$ ,  $p=0.06$ , maximum  
126 dive duration;  $\chi^2=3.57$ ,  $df=24$ ,  $p=0.05$ ). For both species, the maximum dive depths  
127 recorded using the TDRs were considerably lower than those obtained previously with  
128 CDGs (Fig. 1).

129 Trip duration and body mass at the end of the foraging trip were similar in birds  
130 equipped with TDRs, and controls, for both common (Table 1; body mass,  $\chi^2=2.58$ ,  
131  $p=0.11$ ; trip duration,  $\chi^2=1.14$ ,  $p=0.28$ ) and South Georgian diving petrel (Table 1; body  
132 mass,  $\chi^2=0.05$ ,  $p=0.82$ ; trip duration,  $\chi^2=0.61$ ,  $p=0.44$ ).

133 The relationships between diving parameters (maximum dive depth and duration)  
134 and body mass were positive for alcids, cormorants and penguins (Fig. 1). In contrast,  
135 among the Procellariiformes, the relationship between maximum dive depth and body  
136 mass was negative for both the Procellariidae and Diomedeidae (Fig. 1a). Due to sample  
137 size constraints ( $n < 3$  spp. in Diomedeidae, Pelecanoididae and Hydrobatidae), the  
138 relationship between dive duration and body mass was only estimated for the  
139 Procellariidae, and was positive (Fig. 1b). Despite being closer taxonomically to the  
140 Procellariidae, the maximum dive durations of diving petrels were much closer to the  
141 regression line calculated for alcids (see 95% CI). Indeed, the diving capabilities  
142 (maximum dive depth and duration) of diving petrels are comparable to those of  
143 similarly-sized alcids, and dive durations in particular were much greater than would be  
144 predicted for a procellariid of the same body mass (Fig. 1a, 1b and 2).

145 **Discussion**

146 This is the first study to provide reliable data from TDRs on the diving activity of the  
147 diving petrels, or indeed any of the numerous small (<250g) procellariiforms, including  
148 prions, storm petrels and gadfly petrels. Based on the comparison with untracked birds,  
149 the deployment of TDRs apparently did not affect the foraging behaviour and body  
150 mass of Common and South Georgian Diving Petrels. Although both Common and  
151 South Georgia Diving Petrels dived to considerable depths and for prolonged periods  
152 based on the TDR records, they did not dive as deep as suggested from previous studies  
153 using CDGs (Common Diving Petrels = 30-40 m; South Georgian Diving Petrels =25  
154 m; Reid et al. 1997; Bocher et al. 2000b). Unsurprisingly, the values for mean dive  
155 depth and duration from the TDRs were lower still. The differences in maximum values  
156 are almost certainly attributable to the inaccuracy of CDGs, which tend to overestimate  
157 depth (Burger and Wilson 1988; Elliott and Gaston 2009). However, it should be borne  
158 in mind that the TDRs were deployed for a single trip, and the CDGs for several trips,  
159 and hence the longer observation period may also be a contributing factor.  
160 Alternatively, although we did not find an effect of TDR deployment on trip duration  
161 and body mass, these devices can change the buoyancy of seabirds and reduce the  
162 depths reached (Ropert-Coudert et al. 2007).

163 Our results indicate that South Georgian Diving Petrels on average dive deeper and  
164 reach greater maximum depths than Common Diving Petrels. In theory, this could  
165 simply reflect a seasonal shift in the vertical distribution of prey because the timing of  
166 incubation and therefore deployment periods for each species were several weeks apart.  
167 However, there are differences in diet between these two species that are maintained in  
168 the period when both are simultaneously rearing chicks, suggesting consistent

169 differences in the way they exploit the water column (Reid et al. 1997). Although the  
170 diet of both species is dominated by crustaceans, in particular euphausiids (mainly  
171 Antarctic krill *Euphausia superba* and *Thysanoessa* spp), Common Diving Petrels  
172 consume a much higher proportion of copepods (Reid et al. 1997; Bocher et al. 2000a),  
173 which could be distributed differently in the water column. In any case, based on the  
174 clear correlation between dive depth and duration, a common pattern showed in diving  
175 seabirds, both diving petrel species apparently change the duration and depth of diving  
176 events, probably in response to diurnal variation in the vertical distribution of their prey.

177       Why do both diving petrels need to dive to such depths? One presumes that such  
178 energetically-expensive behavior must reflect the vertical distribution of their main food  
179 resources, which are euphausiids and copepods (Reid et al. 1997; Bocher et al. 2000a).  
180 However, it could also be a mechanism to reduce interspecific competition for food with  
181 other sympatric small petrels including Antarctic Prion (*Pachyptila desolata*) and Blue  
182 Petrels (*Halobaena caerulea*), which are very abundant (Prince 1980; Cherel et al.  
183 2002a), but have much lower diving capability than diving petrels (Chastel and Bried  
184 1996; Cherel et al. 2002b; Navarro et al. 2013).

185       As expected, when comparing the diving capabilities (dive depth and dive duration)  
186 with other families of seabird, the diving capabilities of diving petrels are similar to  
187 those of alcids of similar body mass. Based on the TDR data, the maximum depth and  
188 dive durations of diving petrels are similar to the data reported for Little Auk (*Alle alle*)  
189 (Harding et al. 2009), and proportionally lower than in larger alcids such as Rhinoceros  
190 Auklet (*Cerorhinca monocerata*) and Common Guillemot (*Uria aalge*) (Kato et al.  
191 2003; Tremblay et al. 2003). Diving petrels dive to much greater depths and for longer  
192 periods than any species in the order Procellariiformes with the exception of some



193 *Puffinus* shearwaters (Table S1), highlighting the high diversity of diving modes found  
194 in this order.

195 Diving petrels share a number of convergent traits with small alcids, including  
196 compact body shape, high wing loading and short wings (Warham 1977). These are all  
197 adaptations for effective underwater wing propulsion (Thaxter et al. 2010). Moreover,  
198 our data confirm that these are manifested in terms of very similar dive depth and dive  
199 duration. In addition, as both diving petrels and alcids breed in polar or cold temperate  
200 regions, they probably have adaptations to reduce loss of body heat during dives such as  
201 the presence of particular feather configurations (Ortega-Jiménez and Álvarez-Borrego  
202 2010), or the use of vasoconstriction to reduce blood flow to peripheral tissues (Wilson  
203 et al. 1992).

204 In summary, this study provides the first reliable dive data for two species of diving  
205 petrel, revealing that both Common and South Georgian Diving Petrels are proficient  
206 divers in relation to their small size. This energetically-expensive behavior not only  
207 reflects the vertical distribution of their main prey, but reduces interspecific competition  
208 with other sympatric small petrels. Parallel diving capabilities of diving petrels and  
209 small alcids confirm their apparent convergence in a range of morphological and  
210 physiological traits.

211

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219

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**Table 1** Mean and standard deviation of maximum and mean dive duration, maximum and mean dive depth, body mass at the end of foraging trip and trip duration for Common Diving Petrel (CDP) and South Georgian Diving Petrels tracked with TDRs at Bird Island, South Georgia. Body mass at the end of foraging trip and trip duration for untracked CDP and SGDP are also indicated. The number of individuals is indicated in parentheses.

	CDP	SGDP
Instrumented birds		
Mean dive duration (s)	10.1±4.1 (20)	14.3 ± 4.2 (6)
Maximum dive duration (s)	36.4±9.1 (20)	44.2±5.9 (6)
Mean dive depth	2.1±0.3 (20)	4.2±1.1 (6)
Maximum dive depth (m)	10.4±4.6 (20)	18.1±3.6 (6)
Body mass (g)	149.1±6.8(20)	128.5±12.6 (6)
Trip duration (days)	1.11±0.47 (20)	2 (6)
Untracked birds		
Body mass (g)	140.6±15.3 (10)	129.5±9.7 (10)
Trip duration (days)	1.20±0.42 (10)	1.90±0.32 (10)

300 **Figure captions**

301

302 **Fig 1** Relationships between body mass and; (a) log-maximum dive depth, and (b) log-  
303 maximum dive duration in alcids, cormorants, penguins, four procellariiform families  
304 (Procellariidae, Diomedeidae, Hydrobatidae and Pelecanoididae). Linear regressions are  
305 shown for each group. 95% CI for the Alcidae is also indicated. Crosses indicate dive  
306 data from *Pelecanoides* spp. fitted with TDRs (black fill) or capillary-tube gauges  
307 (white fill) for: (1) common diving petrel, (2) South Georgian diving petrel, and (3)  
308 Peruvian diving petrel.

309

310 **Fig 2** (a) Relationship between dive depth and dive duration of Common and South  
311 Georgian Diving Petrels and, (b) example of the diving activity during an entire trip of  
312 one Common Diving Petrel tracked with TDRs at Bird Island, South Georgia.

313

314 **Fig 3** Mean of maximum dive depth of seabirds of less than 250 g. Black and white bars  
315 indicate dive data obtained using capillary-tube gauges and TDRs, respectively.

316

317 **Electronic Supplementary Material:**

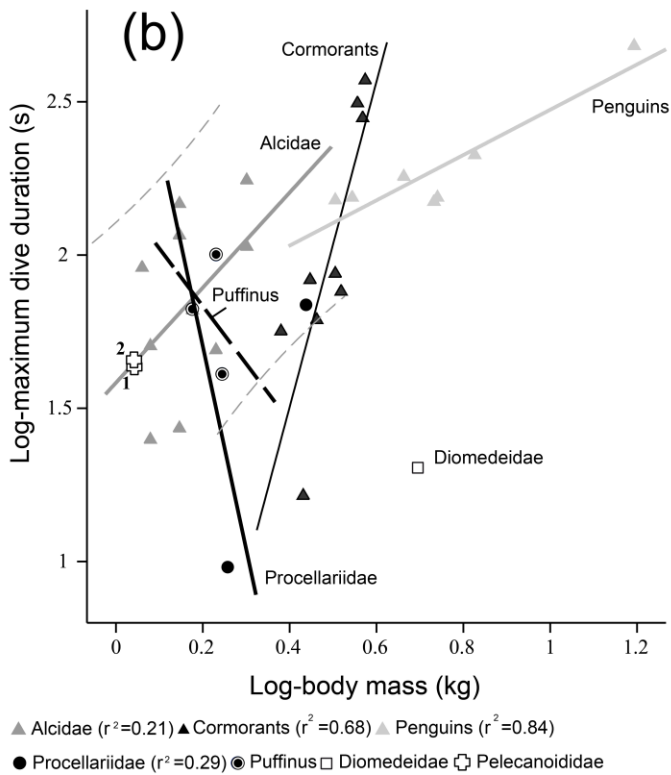
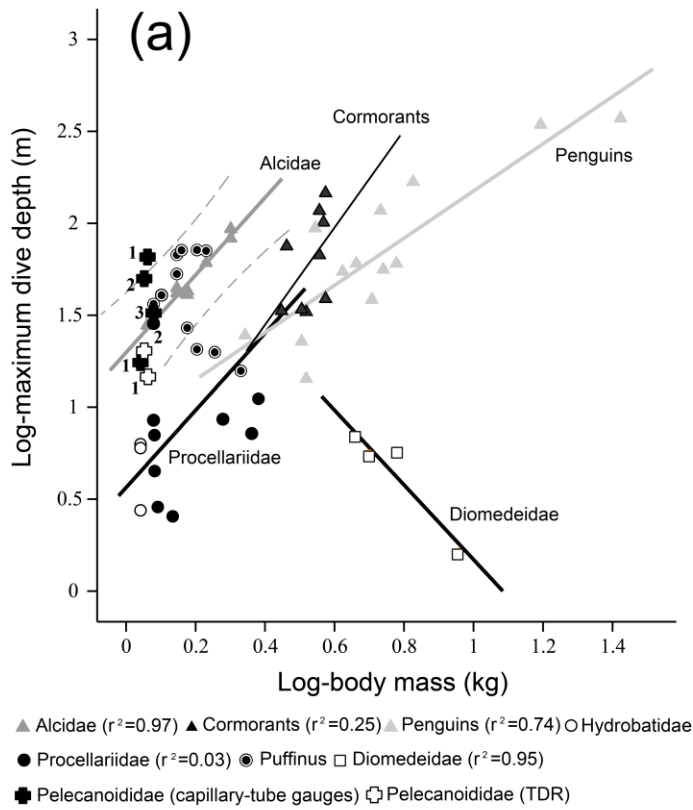
318 **Table S1** Seabird species, diving information (maximum dive depth and maximum dive  
319 time), methodology used (TDR, time-depth recorders; CDG, capillary-depth gauges;  
320 VHF, VHF radio-transmitter; VO, visual observation) and references.

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324 **Figure 1**

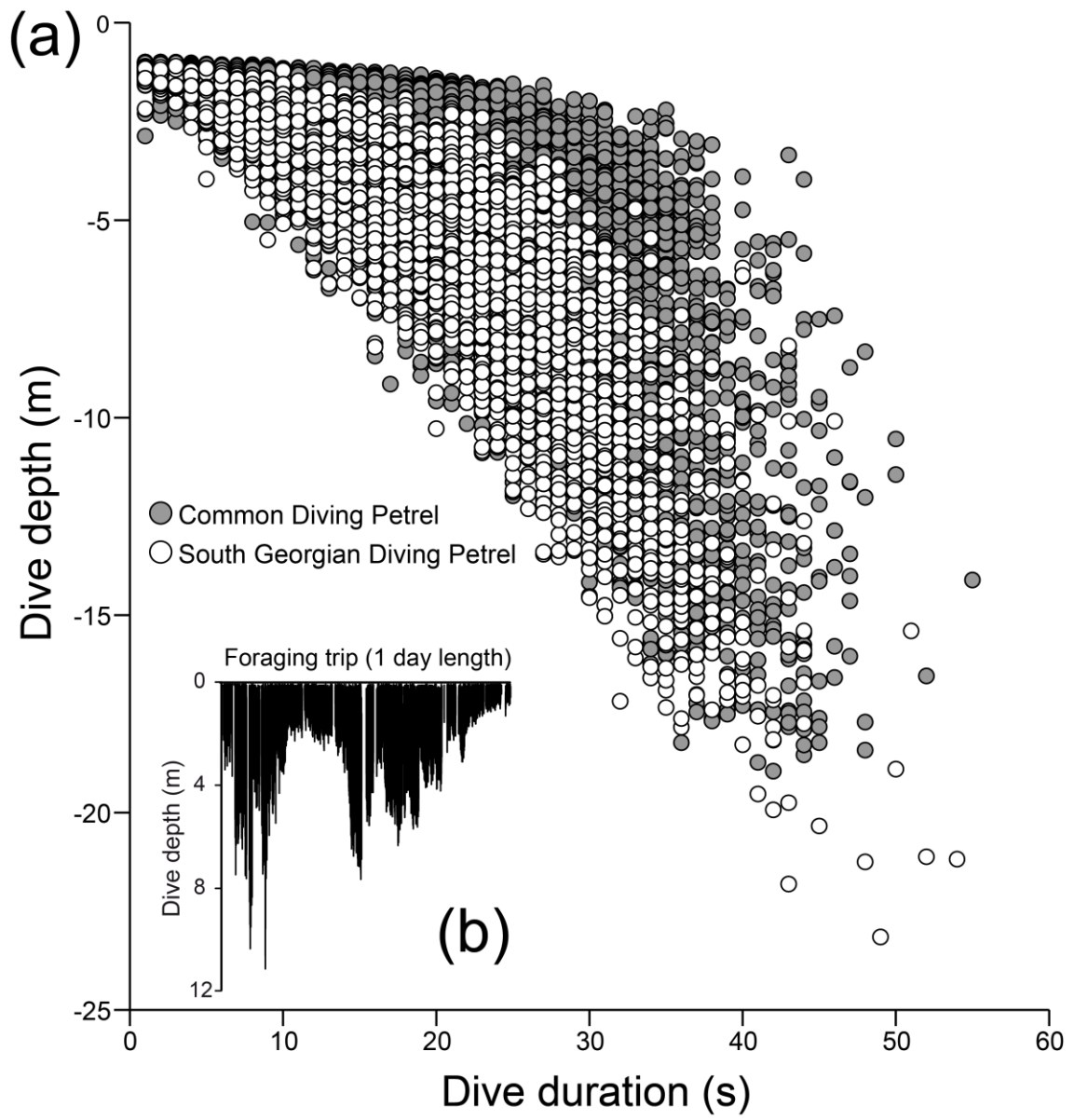


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327 **Figure 2**



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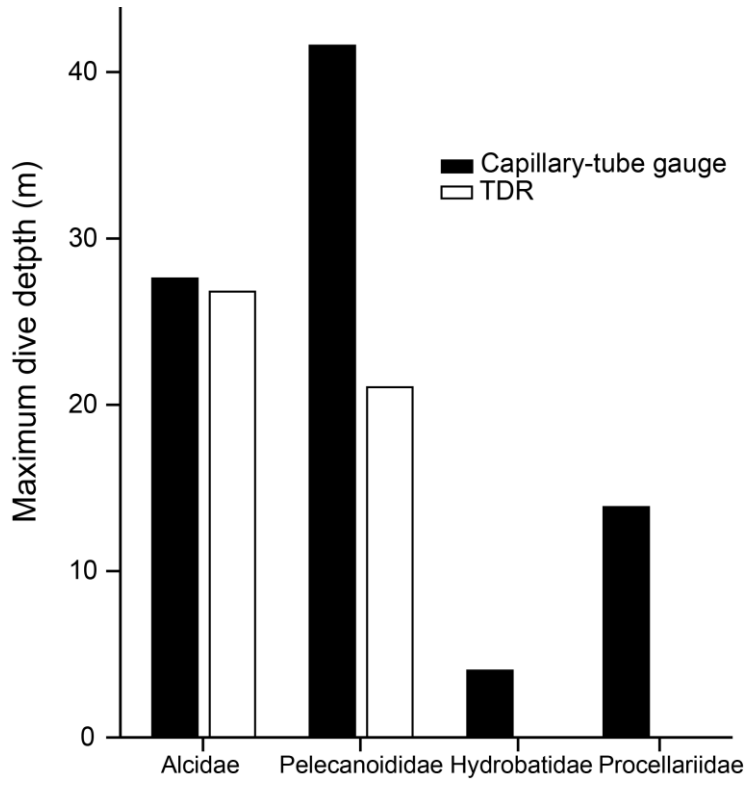
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336 **Figure 3**



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