Optical properties of calcium under pressure from first-principles calculations

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Here we present theoretical *ab initio* calculations based on time-dependent density-functional theory of the macroscopic dielectric function of calcium from 0 to 110 GPa in the fcc, bcc, and sc phases. All the dielectric functions are calculated using very fine reciprocal space meshes since both eigenvalues and matrix elements entering in the density-response functions are interpolated using Wannier functions. Our calculations correctly predict the energies of the low- and high-energy plasmons observed in the fcc phase at room pressure. Moreover, we predict that in the sc phase a very low-energy interband plasmon emerges at around 50 GPa that dramatically modifies the behavior of the reflectivity making it almost vanish at the plasmon energy. As a consequence, calcium becomes an example of how optical properties can become complex under pressure.

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I. INTRODUCTION

Calcium is one of the most remarkable and interesting elements under pressure. Its behavior under compression represents a textbook example of how pressure can induce the emergence of complex and unexpected phenomena in elements that are *a priori* thought to be simple.¹ Calcium undergoes a series of unsuspected phase transitions to lower coordinated structures. Room-temperature x-ray diffraction measurements²⁻⁵ demonstrate that the face-centered cubic (fcc) phase transforms to body-centered cubic (bcc) structure at 19 GPa. Subsequent phase transitions to simple cubic (sc), P4₃2₁2, Cmca, and Pnma structures are found at 32, 119, 143, and 158 GPa, respectively. Although the sc phase shows imaginary phonon branches throughout the whole first Brillouin zone (1BZ) in ab initio calculations based on the harmonic approximation^{6–9} and alternative phases have been proposed,^{10,11} recent experiments reconfirm the presence of this phase in nature at room temperature.^{12,13} Also, novel theoretical calculations suggest that anharmonicity stabilizes the sc phase.¹⁴ Second, the electronic properties of calcium conflict with the naive idea that pressure makes metals better conductors. Despite being a good metal at ambient conditions, calcium falls to a semiconductor state in the fcc phase above 14 GPa and recovers its metallic character upon transformation to the bcc phase.¹⁵ Finally, it is worth mentioning that calcium is already a superconducting material in the sc phase¹⁶ and becomes the element with the largest T_c at higher pressures, reaching extraordinary values of T_c such as 29 K at 216 GPa.¹⁷

Besides the mentioned structural phase transitions, the loss of metallic character, and the emergence of superconducting phenomena, the complexity induced by pressure in simple elements is also exemplified by the evolution of the optical properties under compression. As an example, the semiconductor character of materials, such as lithium or sodium at respective pressure ranges of 65–95 GPa^{18,19} and >200 GPa,²⁰ is directly evidenced by the loss of shininess of the sample. Moreover, for the metallic oP8 and tl19 phases of Na²¹ a drastic modification of the high and uniform reflectivity associated to

a good metal is observed.²² Theoretical calculations describe a similar mitigation of the reflectivity for fcc lithium,²³ which is directly associated to the interband low-energy plasmon already predicted in previous *ab initio* calculations.^{23–25} Indeed, in systems such as $Ag^{26,27}$ graphite,²⁸ single-wall carbon nanotubes,²⁹ or MgB_2 ,^{30,31} interband transitions in the band structure shape the dielectric function of metals and induce the emergence of several plasmons that cannot be understood within the free-electron model. If the origin of a plasmon resides in these interband transitions, it is sometimes referred to in the literature as an interband plasmon.^{32,33} We will employ the expression "interband plasmon" with this in mind in what follows. Moreover, interband transitions are generally enhanced under pressure. This is generally due to the induced electronic localization reflected in the flattening of the bands.^{34,35} Therefore, the emergence of low-energy plasmons affecting the reflectivity coefficient might be a rather common phenomena under pressure. In this context, it is worth mentioning the recently reported ab initio calculations predicting a vanishing reflectivity in the infrared regime.³⁶

Considering the mentioned outstanding properties of compressed calcium, it is sensible to ask whether its optical properties are as well characterized by the presence of low-energy plasmons leading to a complex and diminished reflectivity. In this work we present ab initio calculations within time-dependent density-functional theory (TDDFT) for the dielectric and optical properties of Ca in a wide pressure range going from 0 to 110 GPa and covering the fcc, bcc, and sc phases. In all calculations the dielectric function has been computed using very fine Brillouin zone integration k-point meshes taking advantage of the so-called Wannier interpolation.^{37,38} As will be shown, calcium is predicted to develop a low-energy plasmon under pressure dramatically modifying the optical properties and making the reflectivity almost vanish at around 0.9 eV in the sc phase. According to our calculations the plasmon emerges around 50 GPa with practically an infinite lifetime and appears completely damped above 95 GPa. Hence, our calculations demonstrate that calcium is another example of how pressure can induce the emergence of interband low-energy plasmons and emphasize that these can be rather common in many different compounds under compression.

The paper is organized as follows. In Sec. II we introduce the technical details of the *ab initio* calculations of the dielectric and the optical properties using Wannier functions. The results of the calculations are presented and analyzed in Sec. III. Finally, summary and conclusions are given in Sec. IV. Unless otherwise specified atomic units are used throughout, namely, $\hbar = e^2 = m_e = 4\pi\epsilon_0 = 1$.

II. CALCULATION DETAILS

Although the theorems that sustain density-functional theory (DFT)³⁹ apply only to the ground state, TDDFT has been rigorously formulated to describe time-dependent systems and obtain the excitation spectrum of many-electron systems.^{40,41} In particular, considering their large screening capability and the consequent suppression of exchange-correlation effects, TDDFT has been successful in the calculation of plasmons in metals.⁴² Within this theory the density-response function is the central ingredient allowing for a detailed study of the possible collective excitations. The density-response function describes up to linear order the change in the electronic density induced by an external potential. In momentum space it can be calculated from the following Dyson-like matrix equation:

$$\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega) = \chi^{0}_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega) + \sum_{\mathbf{G}_{1}\mathbf{G}_{2}} \chi^{0}_{\mathbf{G}\mathbf{G}_{1}}(\mathbf{q},\omega)$$
$$\times \left[\frac{4\pi}{|\mathbf{q}+\mathbf{G}_{1}|^{2}} \delta_{\mathbf{G}_{1}\mathbf{G}_{2}} + f^{xc}_{\mathbf{G}_{1}\mathbf{G}_{2}}(\mathbf{q},\omega)\right] \chi_{\mathbf{G}_{2}\mathbf{G}'}(\mathbf{q},\omega).$$
(1)

In Eq. (1) $\chi^0_{GG'}(\mathbf{q},\omega)$ represents the so-called noninteracting density-response function for a given momentum \mathbf{q} and frequency ω of the external perturbation. The **G** vectors denote the reciprocal lattice vectors, and, $f^{xc}_{G_1G_2}(\mathbf{q},\omega)$ encodes all the dynamical exchange-correlation effects. The noninteracting density-response function can be calculated from the single-particle Kohn-Sham states as⁴³

$$\chi^{0}_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega) = \frac{1}{\Omega} \sum_{\mathbf{k}}^{1BZ} \sum_{nm} \frac{f_{n\mathbf{k}} - f_{m\mathbf{k}+\mathbf{q}}}{\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \omega + i\eta} \\ \times \langle \phi_{n\mathbf{k}} | e^{-i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | \phi_{m\mathbf{k}+\mathbf{q}} \rangle \langle \phi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}} | \phi_{n\mathbf{k}} \rangle,$$
(2)

where $|\phi_{nk}\rangle$ and ε_{nk} are, respectively, the single-particle Kohn-Sham functions and energies, f_{nk} is the Fermi-Dirac distribution function, and η is a positive infinitesimal that ensures causality. In this work, instead of computing χ^0 directly through Eq. (2), what is explicitly computed is only the imaginary part given by

$$\operatorname{Im} \chi^{0}_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega) = -\pi \frac{1}{\Omega} \sum_{\mathbf{k}}^{1BZ} \sum_{nm} (f_{n\mathbf{k}} - f_{m\mathbf{k}+\mathbf{q}}) \\ \times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \omega) \langle \phi_{n\mathbf{k}} | e^{-i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | \phi_{m\mathbf{k}+\mathbf{q}} \rangle \\ \times \langle \phi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}} | \phi_{n\mathbf{k}} \rangle, \qquad (3)$$

and the real part Re $\chi^0_{GG'}(\mathbf{q},\omega)$ is obtained by applying the Kramers-Kronig relations.⁴⁴

Once χ^0 has been computed following this procedure, the density-response function has been obtained through Eq. (1). The inverse dielectric matrix can be calculated from the density-response function as

$$\epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q},\omega) = \delta_{\mathbf{G}\mathbf{G}'} + \frac{4\pi}{|\mathbf{q} + \mathbf{G}|^2} \chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega).$$
(4)

The quantity $-\text{Im} \epsilon_{GG}^{-1}(\mathbf{q}, \omega)$ is usually known as the energyloss function, and the collective excitations of a system can in principle be identified from its peaks. If a plasmon is defined as a self-sustained charge oscillation, that is, as an oscillating electronic potential that is set up without the application of an external potential, plasmonic resonances appear as peaks in the energy-loss function.⁴⁵ In simple metals there is one single plasmon resonant peak, whose frequency is completely determined by the density of the valence electrons, but in more complex metals band structure effects complicate the profile of the energy-loss function, and several plasmon peaks may appear. The optical properties of solids are related to the vanishing momenta limit of the dielectric function. This limit is often referred as the macroscopic dielectric function and is defined as

$$\epsilon^{M}(\omega) = \lim_{\mathbf{q} \to 0} \frac{1}{\epsilon_{00}^{-1}(\mathbf{q}, \omega)},\tag{5}$$

where $\epsilon_{00}^{-1}(\mathbf{q},\omega)$ is the inverse dielectric matrix element with $\mathbf{G} = 0$ and $\mathbf{G}' = 0$.

In case that crystal local-field effects (CLFEs) are neglected, one obtains that $\epsilon^M(\omega) = \lim_{\mathbf{q}\to 0} \epsilon(\mathbf{q},\omega)$, but, according to the definition of Eq. (5), CLFEs are included in $\epsilon^M(\omega)$ through the inversion of the dielectric matrix. The refraction index *n* and the extinction coefficient κ are related to the macroscopic dielectric function through the equations

$$\operatorname{Re} \epsilon^{M}(\omega) = n^{2}(\omega) - \kappa^{2}(\omega), \qquad (6)$$

$$\operatorname{Im} \epsilon^{M}(\omega) = 2n(\omega)\kappa(\omega). \tag{7}$$

Once these coefficients are known, the reflectivity R is defined by

$$R(\omega) = \frac{(n(\omega) - 1)^2 + \kappa^2(\omega)}{(n(\omega) + 1)^2 + \kappa^2(\omega)}.$$
(8)

The convergence of the sum over the **k** points in Eq. (3) represents generally a very difficult task, and a very large number of **k** points might be needed. Correspondingly, the so-called Wannier interpolation method can be a very interesting way to overcome this problem, since it allows for a very accurate and efficient sampling of the reciprocal space.^{46,47} The first step in the calculation is to obtain a reasonable Kohn-Sham effective potential through a standard DFT self-consistent calculation. In a second step, the Kohn-Sham states are calculated non-self-consistently on a relatively coarse mesh from which the Wannier interpolation is accessible. In this work we considered the QUANTUM-ESPRESSO package⁴⁸ for both the self-consistent and non-self-consistent calculations. The obtained Kohn-Sham energies are interpolated into a very fine **k**-point mesh through the Wannier interpolation.

The WANNIER90 code⁴⁹ has been used for this purpose. The eigenenergies are not the only ingredients needed in Eq. (3); the matrix elements also need to be interpolated. The matrix elements, $\rho_{nm}(\mathbf{k},\mathbf{q},\mathbf{G}) \equiv \langle \phi_{n\mathbf{k}} | e^{-i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | \phi_{m\mathbf{k}+\mathbf{q}} \rangle$, are first calculated for the k points of the coarse mesh. These matrix elements are then "Wannier rotated" as

$$\rho_{n'm'}^{W}(\mathbf{k},\mathbf{q},\mathbf{G}) = \sum_{nm}^{N_{W}} U_{n'n}^{*}(\mathbf{k})\rho_{nm}(\mathbf{k},\mathbf{q},\mathbf{G})U_{mm'}(\mathbf{k}+\mathbf{q}), \quad (9)$$

where the U are the Wannier unitary matrices and N_W the number of bands chosen to build the maximally localized Wannier functions. The aim is to obtain the $\rho_{nm}(\mathbf{k},\mathbf{q},\mathbf{G})$ matrix elements for fixed nm, q, and G indexes in a fine k-point mesh. The $\rho_{nm}(\mathbf{k},\mathbf{q},\mathbf{G})$ matrix elements are not smooth due to the "random phase" carried by the Kohn-Sham states. On the contrary, Wannier rotated $\rho_{n'm'}^W(\mathbf{k},\mathbf{q},\mathbf{G})$ matrix elements show a smooth dependence on **k** and can be efficiently interpolated into a very fine mesh, considering, for example, a trilinear interpolation algorithm. After performing the interpolation, if \mathbf{k}' is a point of the fine mesh, the matrix element in the Kohn-Sham basis at \mathbf{k}' can be obtained simply as

$$\rho_{nm}(\mathbf{k}',\mathbf{q},\mathbf{G}) = \sum_{n'm'}^{N_W} U_{nn'}(\mathbf{k}')\rho_{n'm'}^W(\mathbf{k}',\mathbf{q},\mathbf{G})U_{m'm}^*(\mathbf{k}'+\mathbf{q}).$$
(10)

The $U(\mathbf{k}')$ and $U(\mathbf{k}' + \mathbf{q})$ matrices in Eq. (10) are directly obtained in the Wannier-interpolation step as they represent the electron wave functions in the basis of the Wannier functions.

Another advantage of Wannier functions is that they can be used to design an adaptive smearing scheme.⁴⁷ Indeed, in our calculations the Dirac delta distribution in Eq. (3) has been approximated by a Gaussian function $(\sqrt{\pi\sigma_{nm,\mathbf{k}}^2})^{-1} \exp(-x^2/\sigma_{nm,\mathbf{k}}^2)$, where $\sigma_{nm,\mathbf{k}}$ is a state-dependent smearing parameter adapted to the steepness of the integrand formed by $\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \omega$. The optimal values of $\sigma_{nm,\mathbf{k}}$ are easily deduced from the band derivatives, which are readily available in the Wannier interpolation method.⁴⁶ Therefore, within this procedure the smearing parameter is not an external input parameter anymore, but a parameter adapted automatically to resolve sharp features in the spectral function.

In all our calculations CLFEs have been treated including four reciprocal lattice shells in the calculation of the density-response function for both fcc and sc structures. We considered three shells for the bcc structure. We have made use of the random-phase approximation (RPA), namely, $f^{xc} = 0$, in all calculations. The Kohn-Sham states entering Eq. (3) have been calculated using a plane-wave basis with a 100 Ry energy cutoff and making use of the generalized gradient approximation (GGA) for the exchange-correlation potential.⁵⁰ The electron-ion interaction has been modeled with a norm-conserving pseudopotential including $3s^2$, $3p^6$, and $4s^2$ states in the valence. The integrations over the 1BZ needed to converge the effective Kohn-Sham potentials have been performed using a $16 \times 16 \times 16$ Monkhorst-Pack mesh in all cases. The coarse meshes used for the interpolation are $20 \times 20 \times 20$, $16 \times 16 \times 16$, and $24 \times 24 \times 24$ for the fcc, bcc, and sc structures, respectively. A $144 \times 144 \times 144$ fine mesh has been chosen for the Wannier interpolation in all cases. In the sum over band indexes in Eq. (3) all bands up to 30 eV above the Fermi energy were included. The $\mathbf{q} \rightarrow 0$ limit in Eq. (5) was treated in all cases by simply considering a finite but sufficiently small value of $|\mathbf{q}|$ ranging from 0.01 to 0.02 a.u.^{-1} .

III. RESULTS AND DISCUSSION

Following the procedure outlined in Sec. II here we will present and discuss the results that we have obtained for the optical properties of calcium in the fcc phase at ambient pressure, in the bcc phase at 20 GPa, and in the sc phase at 35, 50, 65, 80, 95, and 110 GPa. Hence, we will cover all the stability range of these three phases.

A. The fcc phase

As shown in Fig. 1, the energy-loss spectra of fcc Ca at ambient pressure is not at all as trivial as in a free-electronlike metal. Indeed, the presence of two clear bulk plasmons instead of the single one expected within the free-electron-like approach is remarkable. The first plasmon peak appears at an energy of 3.7 eV, while the second emerges at approximately 8.3 eV. When CLFEs are neglected, the energy of the lowenergy plasmon is shifted less than 1%, while the energy of the second around 3%. We deduce that CLFEs are not too relevant for determining the plasmon energy at least for small momenta. Considering that in the free-electron model the energy of the intraband plasmon is given as

$$\omega_p = \sqrt{4\pi n},\tag{11}$$

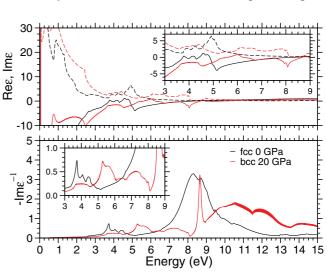
where *n* is the valence electron density, the intraband plasmon is expected around $\omega_p = 8.0$ eV. Hence, while the plasmon at 8.3 eV seems to be associated to intraband transitions, the lower energy plasmon must be associated to interband transitions not present in the free-electron model. Let us denote henceforth this plasmon as the interband plasmon. Remarkably, different measurements find a plasmon peak

4 bcc 20 GPa ¯_ω3 μ2 0 5 2 3 4 6 7 8 9 10 11 12 13 14 15 Energy (eV) FIG. 1. (Color online) In the top panel Re $\epsilon^{M}(\omega)$ (solid line) and Im $\epsilon^{M}(\omega)$ (dashed line) are plotted for the fcc phase of Ca at 0 GPa and

for the bcc phase of Ca at 20 GPa. In the bottom panel the energy-loss

function is shown at these pressures for these two structures. In both

figures the inset addresses the 3-9 eV energy range.



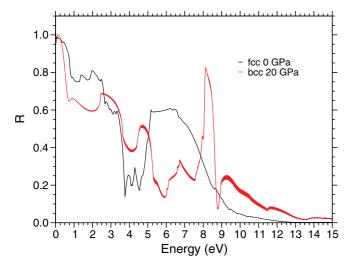


FIG. 2. (Color online) The reflectivity of fcc Ca at 0 GPa and of bcc Ca at 20 GPa.

between 3.4 and 4.4 eV, and a second one between 8.8 and 9.8 eV. $^{51-54}$ These values are in reasonable agreement with our calculations.

The reflectivity of fcc Ca at ambient pressure is plotted in Fig. 2. At low energies the reflectivity is slightly suppressed in a series of steps. Each step coincides with the gaps for interband transitions at high-symmetry points X and L. At these points the joint density of states is large, and interband transitions suppress the reflectivity as suggested by Ehrenreich and Philipp.^{26,55} Although up to approximately 3.5 eV the reflectivity is rather strong, close to the interband plasmon energy the reflectivity coefficient shows a sudden dip, indicating that plasma oscillations induce its most remarkable features. At higher energies the reflectivity rises, but it is suppressed once again when it reaches the energy of the intraband plasmon. A similar behavior of the reflectivity has been found experimentally.⁵¹ The agreement found for the plasmon energies and the profile of the reflectivity suggests that our method based on Wannier interpolation can accurately describe the optical properties of Ca at higher pressures, in different phases where no experiments are available as far as the authors know.

The energy of the interband plasmon coincides with the energy at which Re $\epsilon^M(\omega)$ passes through zero while Im $\epsilon^M(\omega)$ remains weak. The optical interband electronic transitions of about 5 eV between occupied and unoccupied states along XWLK generates a peak in Im $\epsilon^M(\omega)$ around this energy. As it can be appreciated in the band structure plotted in Fig. 3(a), these transitions happen between rather localized bands. Driven by the Kramers-Kronig relations, this increase in Im $\epsilon^M(\omega)$ makes Re $\epsilon^M(\omega)$ pass through zero at the plasmon frequency and induces the sudden dip of the reflectivity mentioned above. Indeed, the role of interband transitions in the emergence of a plasmon, which is accompanied with the abrupt modification of the reflectivity, is framed in the context described by Ehrenreich and Philipp.^{26,55}

The presence of the above-mentioned peak in the imaginary part of the macroscopic dielectric function is related to an unconventional behavior of the valence electrons. Contrary to what is expected for a free-electron-like metal, here valence

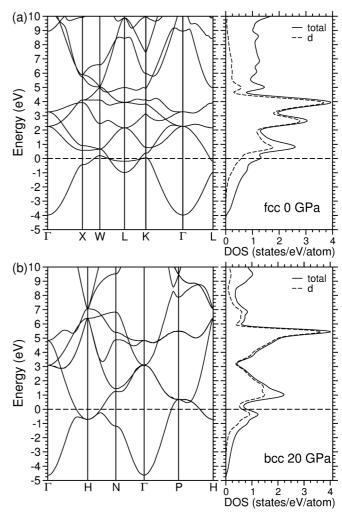


FIG. 3. (a) Band structure of fcc Ca at 0 GPa. The total DOS and its projection onto d states are shown in the right panel. (b) Band structure and DOS of bcc Ca at 20 GPa. The Fermi level is depicted with a dashed line in all figures.

electrons localize in the interstitial octahedral sites, already at room pressure in fcc calcium. This fact is reflected by the electron localization function (ELF), which is a simple and useful tool to measure the electronic degree of localization.⁵⁶ Figure 4(a) demonstrates that the ELF has, in fact, a local maximum at the octahedral site. As a consequence, the fcc Ca bands show a rather flat structure leading to the prominence of electronic transitions close to 5 eV. Let us remark as well that, as shown by the density of states (DOS) of Fig. 3(a), the 3d states lie very close to the Fermi energy, and along the WLK high-symmetry line they appear even occupied. On the other hand, those occupied states lying close to the Fermi level along the XW high-symmetry line, have already a d character, anticipating the $s \rightarrow d$ electronic transfer induced by pressure. Interestingly, when the fcc phase is compressed, the localization at the octahedral sites is increased, and a gap is opened at the Fermi level leading to a semiconductor state.^{10,15}

B. The bcc phase

The metallic behavior is recovered when calcium transforms at 19 GPa to the bcc phase. At this pressure the $s \rightarrow d$

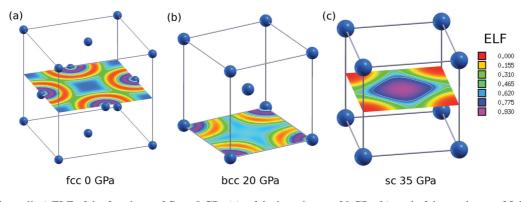


FIG. 4. (Color online) ELF of the fcc phase of Ca at 0 GPa (a), of the bcc phase at 20 GPa (b), and of the sc phase at 35 GPa (c). In (a) the octahedral sites are located at the center and at the corners of the plotted plane, while in (b) the octahedral site is located at the center of the plotted plane.

electronic transition is already complete. This can be seen in the DOS depicted in Fig. 3(b). The bcc band structure shows that the electrons are less localized than in the fcc phase. As noted in Fig. 4(b), this is also reflected in the lower value that the ELF takes in the octahedral sites for the bcc phase in comparison to the fcc phase. It seems that the localization is reduced in the less compact bcc structure since the valence electrons have more space. As a consequence, the electronic bands are slightly more dispersive and the peak observed in Im $\epsilon^{M}(\omega)$ around 5 eV disappears, describing instead a more homogeneous profile. Nevertheless, the profile of $\operatorname{Im} \epsilon^{M}(\omega)$ induces Re $\epsilon^{M}(\omega)$ to go through zero, which results in a wide resonant peak in the energy-loss function close to 5.3 eV. The resonant peak is almost insensitive to CLFEs. For higher energies, the intraband-like plasmon is present but with a double-peak structure: a sharp feature at 8.7 eV and a broad peak at 10.5 eV. In both cases the error made when neglecting CLFEs is less than 1%. Although the 8.7 eV peak is weaker than the ideal $\omega_p = 10.5$ eV result derived using the valence electron density in Eq. (11), the broad peak matches the free-electron-like value.

The calculated energy-loss spectrum induces a reduction of the reflectivity coefficient rather continuously up to 8 eV, as noted in Fig. 2. Here the most important contribution comes from interband transitions. Contrary to fcc Ca at ambient conditions, in this case the onset of interband transitions is not associated to the contributions from high-symmetry points areas characterized by large joint DOS. As a consequence, the characteristic step-function-like behavior is smoothed out. As expected, the weakest value of the reflectivity is found close to the energies corresponding to the broad low-energy excitation. Then, the reflectivity coefficient describes a sharp increase until complete suppression after the high-energy plasmon frequencies are reached, exactly as shown for the fcc phase.

C. The sc phase

When Ca adopts a sc structure beyond 32 GPa, its energyloss spectra starts to exhibit several remarkable features. Indeed, as shown in Fig. 5, a very low-energy undamped plasmon emerges at approximately 1 eV. This plasmon may be similar to the one found in the high-pressure phases of Na²² as it appears in the same energy range. This remarkable plasmon shows an interesting evolution with pressure. At 35 GPa the plasmon still has not emerged, only a wide small peak is appreciated at ~ 0.5 eV, but at 50 GPa a clear peak is observed around 0.9 eV. One could say that at this pressure the plasmon is undamped considering that both imaginary and real parts of

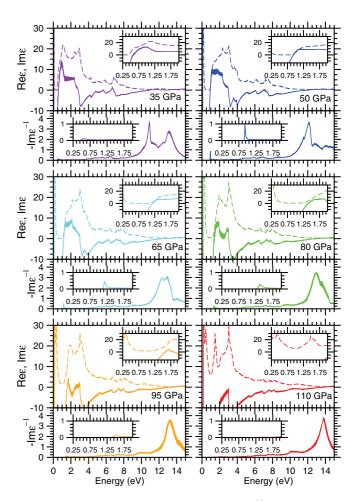


FIG. 5. (Color online) In the top panels Re $\epsilon^{M}(\omega)$ (solid lines) and Im $\epsilon^{M}(\omega)$ (dashed lines) are plotted for the sc phase of Ca at 35, 50, 65, 80, 95, and 110 GPa. In the bottom panels the energy-loss function is shown. In all figures, the inset addresses the 0.25–2.0 eV energy range.

 $\epsilon^{M}(\omega)$ vanish practically at the plasmon energy, and that the condition for having a peak with vanishing line width (in case the CLFEs are neglected) is also fulfilled.⁴⁵ This peak shifts to approximately 1.2 eV at 65 GPa and to 1.4 eV at 80 GPa. As pressure is increased, the low-energy plasmon acquires a larger linewidth since, as depicted in Fig. 5, Re $\epsilon^{M}(\omega)$ and Im $\epsilon^{M}(\omega)$ no longer vanish at the same energy. Following this trend, at 95 and 110 GPa the plasmon has already disappeared as no peak is observed in the energy-loss function. The inclusion of CLFEs does not significantly modify the plasmon energy position, similar to the interband plasmon found in fcc Li at small momenta.²⁵ Besides the remarkable low-energy plasmon, higher energy plasmons are also present in the sc phase of Ca. Although at 35 GPa we find two prominent peaks above 10 eV, one at 10.9 eV and the second at 13.1 eV, at the other pressures the second peak is not observed, and only a regular intraband plasmon is present. Its energy is increased under compression in agreement with the density enhancement. Indeed, the simple model in Eq. (11) predicts the energy of this plasmon being not so far from the ab initio results. Although CLFEs are practically irrelevant for determining the energy of the interband low-energy plasmon, their effect is larger for the high-energy plasmon. According to our results, neglecting CLFEs leads to an error of up to 6% in the intraband plasmon energy.

The effect in the reflectivity of the plasmons that we have characterized is illustrated in Fig. 6. At 35 GPa, although there is no plasmon in the energy-loss spectra, the reflectivity is suppressed around 0.5 eV, coinciding with the onset of interband transitions close to the high-symmetry R point (see Fig. 7 for band structure). Nevertheless, the presence of the low-energy plasmon induces a much more pronounced dip in R at pressures that show a low-energy plasmon resonance. The smaller the line width of the plasmon, the more pronounced becomes the dip. For instance, at 50 GPa the reflectivity almost vanishes at 0.9 eV. There is no such dramatic change in the reflectivity at low energies above 95 GPa, where the low-energy plasmon is no longer present and interband transitions define its profile. On the other hand, in all the stability range of the sc phase, the reflectivity is suppressed above the energy of the intraband plasmon.

As noted above, a peak in the energy-loss function is generally a consequence of the fact that $\operatorname{Re} \epsilon^{M}(\omega) = 0$ when $\operatorname{Im} \epsilon^{M}(\omega)$ describes a valley where almost no electronic transitions are accessible, and it almost vanishes. This behavior is clearly characterized in the insets of Fig. 5 and is specially clear at 50 GPa, pressure at which the plasmon is practically undamped. Therefore, in order to understand the origin of the low-energy plasmon it is crucial to study which transitions contribute to the large increase of $\operatorname{Im} \epsilon^{M}(\omega)$ after the valley. Indeed, as suggested by the Kramers-Kronig relations, it is this region with large $\operatorname{Im} \epsilon^{M}(\omega)$ that is forcing $\operatorname{Re} \epsilon^{M}(\omega)$ to vanish at some point in the valley.^{26,55}

In Fig. 7 the band structure of sc Ca is shown for various pressures in its stability range. The calculated DOS demonstrates that most of the electron states acquire a d character already at 35 GPa. The general features of the calculated DOS and its trend under pressure are in agreement with previous calculations.⁵⁷ In order to identify which are the relevant low-energy optical transitions contributing to

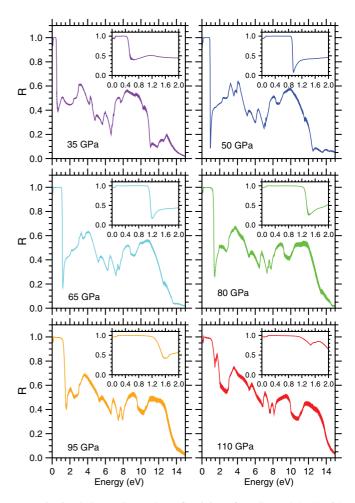


FIG. 6. (Color online) The reflectivity of sc Ca at 35, 50, 65, 80, 95, and 110 GPa. The inset addresses the 0.0–2.0 eV energy range. The slight deviation of the reflectivity from unity as $\omega \rightarrow 0$ is a numerical artifact caused by the use of a very small **q** vector to approximate the optical limit (see the end of Sec. II).

Im $\epsilon^{M}(\omega)$ after the valley, we focus on the possible optical transitions below approximately 2 eV. Optical transitions with such a low energy happen in different regions of the 1BZ: close to Γ , along ΓX close to X, along XM in the neighborhood of the band crossing, close to R, and halfway between ΓM . At 35 GPa, the pocket at Γ remains unoccupied, and, thus, no transitions are possible in this region. As pressure is increased, the pocket starts to be occupied, thus allowing optical transitions. The range of energies for these transitions is larger for higher pressures, but the starting energy for them is larger the greater the pressure. On the other hand, those transitions close to X along ΓX start at lower energies the higher the pressure. Regarding the transitions along XM, these are activated at lower energies for lower pressures, similar to the optical transitions close to the R point. These transitions, depicted with small arrows in Fig. 7, are greatly favored by the flat region found in the band structure along the high-symmetry line R Γ especially in the 35–80 GPa pressure range. This flat region is reflected by the strong localization of the valence electrons in the interstitial regions as noted by the ELF plot in Fig. 4(c). Finally, the electronic transitions halfway between Γ M are relevant only for 95 and 110 GPa.

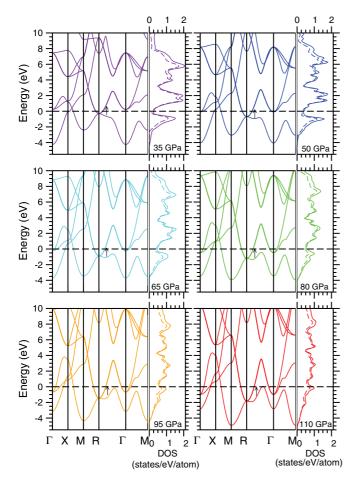


FIG. 7. (Color online) Band structure and DOS of sc Ca at 35, 50, 65, 80, 95, and 110 GPa. The projection of the DOS onto *d* states is depicted with dashed lines. The Fermi level is depicted with a horizontal black dashed line. Interband transitions along $R\Gamma$ are depicted with small arrows.

Interestingly, the increase of $\operatorname{Im} \epsilon^{M}(\omega)$ after the valley coincides exactly with the lowest energy for the optical transitions close to high-symmetry R point or along the high-symmetry line XM in the neighborhood of the band crossing. These transitions shift to higher energies under pressure, forcing the real part of the macroscopic dielectric function to have a zero at higher energies. On the other hand, it seems that the possible transitions close to Γ are not too relevant for Im $\epsilon^{M}(\omega)$. Indeed, if these transitions had a strong contribution to the imaginary part of the macroscopic dielectric function, the valley would not be present and the low-energy plasmon would also disappear. The reason why some transitions are reflected in the imaginary part and some others are apparently hidden might be related to the structure of the DOS. Indeed, while the flattened regions in the band structure both along $R\Gamma$, associated to the strong localization

in the interstitial sites, and ΓM seem to be reflected in the DOS, the states close to Γ do not seem to contribute much to the DOS. Therefore, the evolution with pressure of the remarkable low-energy plasmon can be understood in terms of the evolution of the band structure under compression.

IV. CONCLUSIONS

We have presented a theoretical ab initio study of the optical properties of Ca under pressure. In all our calculation we have used Wannier interpolation in order to resolve the sharp features present in the spectral functions. The energies of the low- and high-energy plasmons that we predict for the fcc phase at room pressure are in good agreement with experiments. The most relevant features in our calculated reflectivity are also identified in measurements.⁵¹⁻⁵⁴ The presence of the low-energy interband plasmon in this phase, which is related to the large localization of the valence electrons in the interstitial octahedral sites, addresses that fcc Ca is not a simple free-electron-like metal at room pressure. We predict that a low-energy plasmon remains in the bcc phase at 20 GPa, though at higher energies and with a larger line width. Finally, according to our calculations, the sc phase develops a remarkable very low-energy interband plasmon above 50 GPa. This induces an almost vanishing reflectivity in the infrared regime. The presence of this low-energy plasmon can be attributed to the electron state localization induced by pressure in the interstitial sites. This plasmon is almost undamped at this pressure, but acquires a larger line width under compression, and it is suppressed above 95 GPa. Plasmon dispersions and the reflectivity coefficients of materials are accessible today with great accuracy under pressure;^{22,58,59} thus, it would be very interesting if experiments could confirm the presence of the low-energy plasmon predicted in this work for the sc phase.

In conclusion, this works strengthens the idea that pressureinduced electronic localization induces the appearance of low-energy plasmons in the energy-loss spectra. The presence of this type of plasmons strongly modifies the reflectivity, leading it to an almost vanishing value close to the plasmon energy. This anomalous optical behavior has been already observed in Na²² and has been predicted in Li^{23–25} and AlH₃.³⁶ Thus, calcium seems to be another example of this behavior, providing a good example of how pressure-induced complexity is also reflected in the optical properties.

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