

1 Review

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3 **Plant RNA virus fitness predictability:**
4 **contribution of genetic and environmental factors**

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14 Short title: The genetic architecture of RNA virus fitness

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16 *Keywords:* emerging viruses; epistasis; mutational effects; pleiotropy; reaction norms;
17 virus evolution

18 Forecasting plant virus emergence depends on identifying the factors that determine the
19 distribution of genetic variants within the primary host as well as across potential new
20 hosts. It is crucial (*i*) to determine the distribution of mutational fitness effects (DMFE)
21 on the primary host, (*ii*) how it changes on different hosts, (*iii*) the way in which
22 multiple mutations interact in determining viral fitness in the primary host, and (*iv*)
23 whether this interaction is host-dependent. To illustrate points (*i*) and (*ii*) we review
24 recent reports showing that the DMFE for a potyvirus markedly differs between natural
25 and non-natural hosts. Changes in genetic variance for fitness are the main cause of the
26 observed pattern among related hosts, whereas sign pleiotropy mainly explains
27 differences observed among unrelated hosts. To illustrate point (*iii*), we comment on
28 experiments showing significant epistasis among random pairs of mutations in
29 potyvirus genome. A large fraction of the interactions correspond to the reciprocal sign
30 epistasis, meaning that the sign of the effects of mutations at two loci are mutually
31 dependent. Finally, to illustrate point (*iv*) we present evidences that epistatic
32 interactions for an RNA virus varied among hosts, with magnitude epistasis being
33 stronger in the primary host but becoming weaker as host's taxonomic relatedness
34 decreased. The existence of all these interactions jeopardizes predicting the fitness and
35 evolutionary fate of a given mutation, since it will depend on the genetic background
36 but also on the host wherein the virus replicates.

37

38 **Introduction**

39 The emergence of plant viruses, understanding it as the generation of a new virus or a
40 new viral genotype able of infecting previously non-susceptible hosts, is a complex
41 problem that results from a combination of ecological and genetic factors (Anderson *et*
42 *al.*, 2004; Woolhouse *et al.*, 2005; Cleveland *et al.*, 2007; Jones, 2009; Elena *et al.*,
43 2011). The increasing threats imposed by emerging and re-emerging viruses implies
44 urgency in predicting the conditions under which plant RNA virus populations
45 replicating in their primary hosts would acquire the ability to successfully infect
46 individuals of a new host species, adapt to it and, eventually, turn into an epidemic. To
47 make such predictions, we first need to identify the factors determining why some
48 viruses, like *Cucumber mosaic virus*, *Potato virus Y* (PVY), *Barley yellow dwarf*, or
49 *Pepino mosaic virus*, have caused pandemics, whereas other viruses, such as *Cotton leaf*
50 *curl virus*, *Maize rough dwarf virus* or *Cocoa swollen shoot disease virus* produce
51 outbreaks limited in time and space. *Condicio sine qua non* for viral emergence is the
52 existence of standing genetic variation within the primary host that enables successful
53 replication within new hosts after occasional spillovers (Holmes, 2009; Elena *et al.*,
54 2011). Neglecting the effect of genetic drift, the frequency of host-range mutations
55 within the primary host will depend on the equilibrium between the rate at which they
56 are produced (i.e., mutation and recombination rates) and the fitness advantage (or
57 disadvantage) they may have in the primary host. For instance, if host-range mutations
58 are deleterious in the primary host, their frequency will be low and thus the likelihood
59 of emergence will be low as well. By contrast, if they are neutral or beneficial, their
60 frequency will increase, rising up the chances of emergence.

61 It is generally assumed that RNA viruses have high evolutionary potential as a
62 consequence of their fast and error-prone replication (Sanjuán *et al.*, 2010) along with

63 incredibly large population sizes (Holmes, 2009; Elena *et al.*, 2011). Regarding fitness
64 effects, extensive data have shown that host-range mutants confer high fitness in the
65 new host but usually pay fitness penalties in their primary host (Jenner *et al.*, 2002;
66 Agudelo-Romero *et al.*, 2008; Bedhomme *et al.*, 2012). Interestingly, fitness trade-offs
67 should preclude the evolution of generalist multi-host viruses (Gandon, 2004; Agudelo-
68 Romero *et al.*, 2008; Bedhomme *et al.*, 2012), since specialist will always outcompete
69 generalists in their corresponding hosts. Sign pleiotropy, i.e. when the sign of an
70 allele's effect on fitness depends on the environment (Remold, 2012), has been recently
71 referred to explain for the existence of such fitness trade-offs (Whitlock, 1996;
72 Agudelo-Romero *et al.*, 2008; Bedhomme *et al.*, 2012), although the accumulation of
73 neutral mutations in genes that are not necessary in a given host but essential in
74 alternative ones maybe a plausible explanation for specialization (Kawecki, 1994).

75 Probability that a viral genotype infects new hosts depends on the change in the
76 distribution of mutational fitness effects (DMFE) between the primary and the new
77 hosts, that is, whether the fraction of lethal, deleterious, neutral, and beneficial
78 mutations remains constant or varies across hosts. In addition, it is also essential to
79 know whether the effect of a given host-range mutation depends on the genetic
80 background where it appears or its effect is background-independent. These questions
81 are particular cases of two more general biological problems: (i) the extent to which a
82 phenotype (here viral fitness, W) is determined by the interaction between different loci
83 in the genome, also known as epistasis, and (ii) to which extent viral fitness results from
84 the genotype-by-environment interaction ($G \times E$ or reaction norm), host species or
85 genotypes being the environment for viruses (Hodgins-Davies & Townsend, 2010).

86 Epistasis (the genotype-by-genotype component or $G \times G$) is particularly relevant for
87 understanding adaptive evolution, as it determines the ruggedness of the adaptive

88 landscape (Whitlock *et al.*, 1995; Poelwijk *et al.*, 2011) as well as the accessibility of
89 adaptive pathways throughout the landscape (Weinreich, 2005; Welch & Waxman,
90 2005; Franke *et al.*, 2011). Evolutionary trajectories may end up at suboptimal fitness
91 peaks due to the ruggedness of the landscape; thus epistasis can therefore hamper the
92 efficiency of natural selection and thus slow down the rate of adaptation (Whitlock *et al.*,
93 1995). Moreover, epistasis can make certain evolutionary pathways selectively
94 inaccessible because of the valleys in the fitness landscape: intermediate genotypes have
95 reduced fitness compared with surrounding genotypes.

96 The extent, origin and consequences of $G \times E$ interactions in determining phenotypes
97 and fitness has been a central aim of ecology, genetics and evolution. Therefore, it
98 should also be central for the epidemiology and evolution of infectious diseases. The
99 fate of genetic variation in viral population depends on the form of the $G \times E$ interactions
100 (Futuyma & Moreno, 1988) and, for instance, a change in the rank order of fitness of
101 virus genotypes in different hosts may support a balanced polymorphism in the viral
102 population (Gillespie & Turelli, 1989).

103 In more quantitative terms, the fitness W of a viral genotype G infecting a host E
104 would be given by the relationship

$$105 \quad W \sim G + E. \tag{1}$$

106 Does Eq. 1 provide a good approximation to viral fitness? How many additional terms
107 need to be added to achieve a good prediction of viral fitness? In an effort to tackle
108 these issues for a plant RNA virus, we have been conducting a series of experiments
109 with *Tobacco etch virus* (TEV; genus *Potyvirus*, family Potyviridae). In a first stage, we
110 created a collection of single-nucleotide substitution mutants and evaluated the DMFE
111 on the primary host *Nicotiana tabacum* (Carrasco *et al.*, 2007) and in a set of new hosts
112 that differed in degree of taxonomic relatedness to tobacco (Lalić *et al.*, 2011). These

113 experiments allowed us to demonstrate the existence and the causes of $G \times E$. In a
114 second set of experiments, we characterized the amount and type of epistasis among
115 random pairs of point mutations in the primary host (Lalić & Elena, 2012a). Finally, in
116 a third set of experiments we tested whether epistasis itself varied across hosts (Lalić &
117 Elena, 2012b). Here, we provide an overview of these experiments and provide an
118 integration of the different results into a unified conceptual framework that tries to shed
119 light onto the problem of emerging viruses. Those readers interested in methodological
120 details are kindly directed to the original articles.

121

122 **Definition of viral fitness and properties of the DMFE in the primary** 123 **host**

124 Fitness is a macroscopic property that measures the reproductive success of a viral
125 genotype on a given host. As such, it includes many different components, for instance,
126 genome unpacking, translation, replication, coating into new particles, and cell-to-cell
127 and systemic movement. In all these steps, fitness depends on the quality of the
128 interactions with many different cellular components that the virus uses on its own
129 benefit. Furthermore, viral fitness would also depend on the successful interaction
130 between the virus and the defense mechanisms of the plant, by dismounting or evading
131 them. Finally, viral fitness also depends on the stability of virion particles and,
132 obviously, on the efficiency of the processes within the vector that would ensure a
133 successful transmission to the next host. In most plant virus evolution experiments, and
134 in those regarding this review, vectors do not play any role, since transmission is always
135 mechanical. In our studies we have used real-time quantitative PCR to determine virus
136 concentration systemically infected leaves. From these determinations, we estimated a
137 Malthusian growth rate per day, m , for each TEV genotype on each particular host.

138 Absolute fitness was then defined as $W = e^m$ (Crow & Kimura, 1970). In Lalić *et al.*
139 (2011) we directly reported m as a measure of fitness, whereas in all other studies we
140 reported W . Here, we homogenize fitness definitions and use W in all cases.

141 DMFE have been characterized in recent years for a handful of single-stranded DNA
142 and RNA viruses in their primary hosts (reviewed by Sanjuán, 2010). In all cases, site-
143 directed mutagenesis was performed on infectious clones, generating collections of
144 random single-nucleotide substitution mutants. The fitness of each mutant was then
145 determined. Carrasco *et al.* (2007) characterized the DMFE for the first plant virus,
146 TEV on its primary host *N. tabacum*. Notice that this study reported relative fitness,
147 rather than absolute fitness, evaluated by means of competition experiments between
148 the mutant genotypes and an engineered surrogated wild-type. Three major conclusions
149 could be drawn from this study. First, TEV shows very little tolerance to mutations,
150 with a large fraction (ca. 41%) being lethal. Second, for non-lethal mutations, the mean
151 fitness loss associated to a single nucleotide substitution is about 50%. Third, the
152 DMFE is left-skewed (i.e., containing more negative values than the Gaussian) and
153 leptokurtic (i.e., comprising less central values than the Gaussian and having heavier
154 tails). Accordingly, the probability density function (PDF) that better fits the data
155 belongs from the heavy-tailed family (e.g., Weibull) or a highly skewed one (Beta).

156

157 **Epistasis: mutational fitness effects depend on the genetic background**

158 Multi-dimensional epistasis refers to all possible individual interactions among a set of
159 mutations, providing a precise description of the fitness landscape (Kondrashov &
160 Kondrashov, 2001) (Fig. 1). Magnitude epistasis occurs when the fitness value of a
161 mutation depends on the genetic background, while its sign remains constant
162 (Weinreich, 2005; Poelwijk *et al.*, 2011). Magnitude epistasis can be either

163 positive/negative depending on whether the double mutant is more/less fit than expected
164 under the multiplicative null model (Fig. 1). Sign epistasis refers to cases where the
165 sign of the mutational effect changes depending on the genetic background (i.e., a
166 mutation may be beneficial in one background but deleterious in another; Fig. 1)
167 (Weinreich, 2005; Poelwijk *et al.*, 2011). A particular case of sign epistasis is
168 reciprocal sign epistasis, when the sign of the fitness effect of a mutation is conditional
169 upon the state of another locus and *vice versa* (Fig. 1). Reciprocal sign epistasis is a
170 necessary condition for an adaptive landscape to be rugged (Poelwijk *et al.*, 2011).

171 Positive magnitude epistasis has been shown to be the norm in animal and
172 bacteriophage RNA viruses (reviewed in Elena *et al.*, 2010). Would this be the case for
173 a plant RNA virus? To answer this question Lalić & Elena (2012a) sought to
174 characterize the patterns of multidimensional epistasis in TEV. To do so, pairs of
175 mutations from the Carrasco *et al.* (2007) collection were drawn at random and the
176 corresponding double mutants were generated by site-directed mutagenesis. The
177 absolute fitness of the wild-type (W_{00}), the corresponding single (W_{x0} and W_{0y}) and the
178 double mutants (W_{xy}) were evaluated as described above. Magnitude epistasis among
179 mutations x and y , ε_{xy} , was calculated as $\varepsilon_{xy} = W_{00}W_{xy} - W_{x0}W_{0y}$ (Kouyos *et al.*, 2007).
180 Several interesting results were found by Lalić & Elena (2012a). First, magnitude
181 epistasis was widespread, with some pairs showing negative epistasis and others
182 positive epistasis. Cases of negative epistasis were associated to the generation of
183 synthetic lethals, i.e., two mutations that were independently viable resulted in lethality
184 when combined. Otherwise, the average epistasis was positive, in agreement with
185 former observations for other RNA viruses. Fig. 1 shows the number of cases of
186 magnitude, sign and reciprocal sign epistasis within our dataset of 53 TEV double
187 mutants. Another very interesting observation is the pervasiveness of reciprocal sign

188 epistasis; 12 out of the 20 TEV double-mutant genotypes for which significant epistasis
189 were detected fulfilled the mathematical condition of sign epistasis (Poelwijk *et al.*,
190 2011), and among these, 11 further met the condition for reciprocal sign epistasis.

191 The dominance of positive epistasis among deleterious mutations and the high
192 frequency of synthetic lethality in TEV genome are side-effects of the low genetic
193 robustness of RNA genomes that lack of redundancy and, by contrast, often code for
194 overlapping reading frames, contain functional RNA secondary structures and encode
195 multi-functional proteins. The abundance of reciprocal sign epistasis suggests that TEV
196 fitness landscape must be highly rugged. This high ruggedness has implications for the
197 evolutionary dynamics of TEV, since it imposes harsh constraints to the evolution.
198 Ruggedness also means that historical contingency should be important: the first
199 mutation to appear in a genome conditions what evolutionary mutational pathways
200 maybe reachable. In other words, the result of evolutionary optimization may not
201 necessarily be the global optima but TEV populations may be trapped into suboptimal
202 fitness peaks.

203 A particularly illustrative study of the effect of epistasis among viral loci on the
204 emergence of resistance-breaking viruses was recently provided by Monterrey *et al.*
205 (2011). These authors found that certain alleles of the VPg protein conferred PVY the
206 ability to infect and accumulate in *Capsicum annuum* plants that carried the *pvr2*
207 resistance allele (a particular genetic variant of the eukaryotic translation initiation
208 factor 4E, eIF4E). However, the beneficial effect of the escape mutations at VPg was
209 conditional upon the alleles present at the CI viral protein.

210 Therefore, Eq. 1 has to be modified by decomposing the G term into two factors, one
211 accounting for the net fitness effect of point mutations and an additional one that

212 accounts for the epistatic interactions between mutations at different loci in TEV
213 genome:

$$214 \quad W \sim G + G \times G + E \quad (2)$$

215

216 **$G \times E$: mutational fitness effects are dependent on the host species**

217 Lalić *et al.* (2011) undertook the task of exploring how different host species would
218 affect the parameters describing the DMFE for TEV, as well as specifically testing
219 whether point mutations would be sufficient to give rise to a significant $G \times E$ in a viral
220 genome. To do so, they randomly selected 20 single mutants from Carrasco *et al.* (2007)
221 collection and quantified their fitness across a panel of eight host species. Five hosts
222 belonged to the natural host range of TEV (the *Solanaceae* species *N. tabacum*,
223 *Nicotiana benthamiana*, *Solanum lycopersicum*, *C. annuum*, and *Datura stramonium*).
224 The other three species were not TEV natural hosts, although they were experimentally
225 susceptible to systemic infection (the *Asteraceae* *Helianthus annuus*, and the
226 *Amaranthaceae* *Gomphrena globosa* and *Spinacea oleracea*). Table 1 shows the
227 parameters describing the DMFE and the classification of mutations on each host.
228 Overall, mutations are either neutral or deleterious in hosts that are close relatives to the
229 primary one (*N. tabacum*), with the expected value of the DMFEs being close to the one
230 estimated for the primary host and the distributions being left-skewed (i.e., most
231 mutations being deleterious or even lethal; Table 1). As hosts taxonomic relatedness to
232 the primary one decreases, the DMFEs suffer a change in their location and shape: the
233 expected deleterious fitness effect became larger but the distributions also become right-
234 skewed (i.e., a certain fraction of mutations become beneficial; Table 1). This suggests
235 that the number of mutations that may potentially expand TEV host range is large and
236 increasing as the taxonomic relatedness to the primary host decreases. In all cases,

237 regardless the host, the PDF that better fits the data belong to the heavy-tailed family
238 (e.g., Weibull).

239 The analyses of the DMFE already suggest the existence of a significant $G \times E$
240 component. Proper analysis of the fitness data (GLM using host species and TEV
241 genotypes as random factors) confirms that most of the observed variation (66.82%)
242 was attributable to the $G \times E$ interaction, whereas 26.13% was due to pure differences
243 among host species and 4.29% to pure genetic differences among TEV mutants. This
244 large significant interaction means that we cannot accurately predict a particular
245 genotype's absolute fitness in a given host from the main effects. Henceforth, this
246 result confirms that Eq. 1 needs to be modified to account for the dependence of
247 mutational fitness effects on the host wherein effects are being evaluated:

$$248 \quad W \sim G + E + G \times E \quad (3)$$

249 Lalić *et al.* (2011) data demonstrate that single random nucleotide substitutions are
250 sufficient to produce a significant $G \times E$. Mutations involved in significant $G \times E$ were
251 scattered along the genome and they were randomly chosen irrespective of their fitness
252 effects. Thus, it is possible to conclude that phenotypic plasticity in TEV was not
253 associated to the expression of any particular cistron but results from the contribution of
254 different ones. In the context of emerging plant virus infections, the existence of a
255 significant $G \times E$ means that knowing the absolute fitness of a viral genotype in the
256 primary host informs us little about what it may be in alternative ones, thus minimizing
257 our ability to predict which genetic variants may be relevant for expanding TEV host-
258 range.

259 There is a compelling idea that taxonomic relatedness among primary and novel
260 hosts may constrain the chances for a virus to jump the host species barrier, and that the
261 more closely related the primary and the new host are, the greater are the chances for a

262 successful spillover (DeFilippis & Villareal, 2000). There are good mechanistic reasons
 263 that argue for it; if the ability to recognize and infect a host cell is important for cross-
 264 species transmission, then genetically related species are more likely to share related
 265 cell receptors and defense pathways. However, others support the opposed view based
 266 on the observation that spillovers have occurred between hosts that can be either closely
 267 or distantly related, and no rule appears to predict the susceptibility of the new host
 268 (Holmes & Drummond, 2007). Viral host switches between closely related species (e.g.,
 269 species within the same genera) may also be limited by cross-immunity to related
 270 pathogens.

271

272 **The causes of $G \times E$: differences in genetic variance for fitness and**
 273 **antagonistic pleiotropy**

274 A significant $G \times E$ can be produced by two non-mutually exclusive mechanisms
 275 (Remold & Lenski, 2001). First, pleiotropic effects may change the rank order of
 276 mutations from the primary to alternative hosts (e.g., a mutation beneficial in the new
 277 host may not be so in the primary one). Second, whilst retaining the rank order of
 278 fitness effects, $G \times E$ can also be generated by altering the genetic component of
 279 phenotypic variance across hosts ($\sigma_{G \times E}^2$). The relative contribution of these two
 280 mechanisms to the observed $G \times E$ can be evaluated using Robertson (1959)
 281 decomposition of $\sigma_{G \times E}^2$. The amount of $G \times E$ expressed by a collection of viral
 282 genotypes across two heterogeneous hosts could be written as:

283
$$\sigma_{G \times E}^2 = \frac{1}{2}(\sigma_{G_H} - \sigma_{G_{N.tabacum}})^2 + \sigma_{G_H}\sigma_{G_{N.tabacum}}(1 - \rho_{G_H G_{N.tabacum}}), \quad (4)$$

284 where σ_{G_H} and $\sigma_{G_{N.tabacum}}$ are the genetic standard deviations for fitness in novel host H
 285 and the primary host $N. tabacum$, respectively, and $\rho_{G_H G_{N.tabacum}}$ is the genetic
 286 correlation for fitness across both hosts. The first right-hand term in Eq. 4 corresponds

287 to the variance resulting from the differences between genetic variation expressed in the
288 two hosts. $G \times E$ will be generated if there is more genetic variance in one host than in
289 the other because the differences between viral genotypes will depend on the host that
290 they are infecting. The second right-hand term in Eq. 4 involves the genetic correlation
291 between hosts. In this case $G \times E$ will be generated if the collection of genotypes
292 responds inconsistently to different hosts, that is, if the rank order of fitness effects is
293 altered from the primary host to each alternative one. If $\rho_{G_H G_{N.tabacum}} < 0$, then
294 selection would generate sign pleiotropy (*sensu* Remold, 2012) thus favoring different
295 viral genotypes in different hosts.

296 Table 2 shows the estimated components of genetic variance (σ_G^2 and $\sigma_{G \times E}^2$) and the
297 genetic correlation, $\rho_{G_H G_{N.tabacum}}$, that are necessary to evaluate the relative
298 contribution of pleiotropy and change in genetic variances. Two interesting
299 observations can be drawn from Table 2. First, on average, the genetic variances were
300 larger for the *Solanaceae* than for the non-*Solanaceae*. Second, genetic correlations
301 were positive for all the *Solanaceae*, suggesting weak magnitude pleiotropy (*sensu*
302 Remold, 2012): on average, mutations beneficial in *N. tabacum* tend to remain
303 beneficial, although to a different extent, in phylogenetically related hosts. However,
304 correlations become negative for the non-*Solanaceae*, indicating sign pleiotropy: on
305 average, mutations being beneficial in the new hosts tend to be deleterious in the
306 primary one. Fig. 2 shows the fraction of $\sigma_{G \times E}^2$ attributable to each mechanism.
307 Whereas changes in genetic variances between primary and alternative hosts explain
308 most of the observed differences in $G \times E$ for alternative hosts that are phylogenetically
309 related to the primary one, sign pleiotropy largely explains the observed differences in
310 $G \times E$ for hosts that are unrelated to the primary one. This has profound evolutionary
311 implications. Changes in genetic variance imply that the relative influence of selection

312 and drift on the fate of mutations depends on the host. Exposure to the hosts within
313 which the genetic variance for fitness is low minimizes the efficiency by which natural
314 selection operates either removing deleterious alleles or fixing beneficial ones and thus
315 enhances the role of drift. This seems to be the situation for the *Solanaceae* hosts,
316 suggesting that different TEV alleles may dominate in one host or another as a
317 consequence of a balance between drift and selection. By contrast, sign pleiotropy
318 implies that selection favors different mutations in different hosts thus driving to a
319 balanced polymorphism across hosts and leads to specialization. The sign pleiotropy
320 observed between *N. tabacum* and the non-*Solanaceae* hosts suggests that TEV may be
321 interacting with different host factors and that the improved interaction with tobacco
322 may led to less efficient interactions with an orthologous factor, if available, in the
323 alternative hosts. In this regard, many examples exist in the plant virology literature
324 showing that host-range mutations have negative pleiotropic effects in the primary host
325 (reviewed in Elena *et al.*, 2011). A particularly illustrating example is the interaction
326 between the VPg of potyviruses and the host's eIF4E (Robaglia & Caranta, 2006).
327 Translation of the viral genomic RNA into the polyprotein depends upon the correct
328 interaction between VPg and eIF4E. Mutations in eIF4E have been identified as the
329 cause of PVY resistant phenotype of pepper cultivars. Not surprisingly, PVY
330 overcomes the resistance by fixing amino acid changes in the central domain of VPg
331 that reconstitute the correct binding. These mutations pay a fitness cost in the non-
332 resistant pepper cultivars (Ayme *et al.*, 2007; Montarry *et al.*, 2011).

333

334 **Epistasis among mutations also depends on host: $G \times G \times E$**

335 The results presented so far suggest that (i) epistasis is common in TEV genome and
336 that (ii) mutational effects depend on the host. Therefore, it is logical to expect that

337 epistasis may also vary depending on the host, that is, a significant $G \times G \times E$ component
338 may exist to determine TEV absolute fitness. To test this prediction, Lalić & Elena
339 (2012b) evaluated the strength and type of epistasis for a set of TEV double mutants on
340 four experimental hosts (*N. tabacum*, *D. stramonium*, *H. annuus*, and *S. oleracea*). The
341 10 double mutants used were randomly chosen among the larger collection described in
342 Lalić & Elena (2012a). Fig. 3 shows the distribution of epistasis across the four hosts,
343 after removing synthetic lethals from the dataset (which is justified since they are
344 irrelevant in terms of evolutionary dynamics). In short, average epistasis was positive
345 in the primary host, as already shown above, but became negative, although not
346 significant, on all alternative hosts, with a tendency to reduce in magnitude as the
347 taxonomic relatedness to the primary host decreased (Fig. 3). Furthermore, the number
348 of non-epistatic interactions was significantly larger in non-*Solanaceae* hosts.

349 These results indicate that host effects on epistasis, similarly to what happened with
350 the effect of point mutations, are modulated by the degree of genetic divergence
351 between the primary and alternative hosts. This result is in good agreement with the
352 prediction that mutations shall be more severe in poor environments and milder in rich
353 ones (You & Yin, 2002). Furthermore, mild mutations are expected to be involved in
354 negative epistatic interactions in poor environments but in positive interactions in rich
355 ones (You & Yin, 2002). Our results are in good agreement with these predictions:
356 average mutational effects are milder and mutations show positive epistasis in the
357 primary host but switch to larger effects and negative or no epistasis in alternative hosts.
358 Together, these observations suggest that the primary host, and those that are closely
359 related to it, represent rich environments for TEV while the alternative and unrelated
360 hosts represent more stressful environments. This makes sense, considering that TEV
361 has a coevolutionary history with *Solanaceae* hosts and thus its interaction with cellular

362 resources and defenses is optimal. By contrast, alternative hosts may not provide the
363 necessary resources at the right time, amount or location.

364 $G \times G \times E$ is equivalent to the concept of epistatic pleiotropy (Remold, 2012). Under
365 epistatic pleiotropy, virus populations may achieve either specialization for a single host
366 or, alternatively, become generalist with no cost, depending on the host in which they
367 evolve. More importantly, no-cost generalists can evolve despite the existence of true
368 genetic trade-offs. We will discuss this possibility in large in the next section.

369 Finally, here we have provided evidences that Eqs. 2 and 3 are still insufficient to
370 describe the variability in TEV fitness and that a more realistic description would be
371 provided by the following equation, which incorporates all levels of genetic and
372 genetic-by-environmental interactions:

$$373 \quad W \sim G + G \times G + E + G \times E + G \times G \times E \quad (5)$$

374

375 **Pleiotropy and epistasis**

376 Pleiotropy and epistasis have strong parallelism because for both interactions, the effect
377 of an allele depends on its context: the host species for pleiotropy and the virus' genetic
378 background for epistasis. Indeed, it has been postulated that pleiotropy is a prerequisite
379 for epistasis (Martin et al., 2007; De Visser *et al.*, 2011). This dependence is easy to
380 understand for the case of sign pleiotropy, where mutations with a positive effect in the
381 new host have a negative effect in the primary one (Remold, 2012). In the context of
382 compensatory evolution, sign pleiotropy is a precondition for sign epistasis (Fig. 1),
383 because it allows for the negative pleiotropic effects of previously selected mutations to
384 be compensated by additional ones (De Visser *et al.*, 2011). Therefore, the question to
385 be answered is whether a positive association exists between the tendency of mutations
386 to be involved in significant epistasis and how often they are pleiotropic. To evaluate

387 whether such positive association holds for TEV, we have proceeded as follows. First,
 388 the tendency of a given mutation x to be involved into epistatic interactions, namely
 389 epistasisness or E_x , was evaluated as the average of the squared epistasis coefficients ε_{xy}
 390 for all pairs in which mutation x has been tested: $E_x = \langle \varepsilon_{xy}^2 \rangle$, where $\langle \cdot \rangle$ represents the
 391 average value. The square was taken to remove signs as we are interested in whether a
 392 mutation is involved in epistasis, regardless its sign. Second, the average pleiotropic
 393 effect of a mutation x across the seven alternative hosts was calculated as $P_x =$
 394 $\left\langle \left(\frac{W_{x,H}}{W_{x,N.tabacum}} - 1 \right)^2 \right\rangle$, which measures the average quadratic difference in fitness
 395 between host H and the primary host *N. tabacum*. For a mutation with no pleiotropic
 396 effect $W_{x,H} = W_{x,N.tabacum}$ and thus $P_x = 0$; for a pleiotropic mutation $W_{x,H} < W_{x,N.tabacum}$
 397 and $P_x > 0$. In the extreme case of sign pleiotropy, i.e. mutation x being lethal in all
 398 alternative hosts ($W_{x,H} = 0$), then $P_x = 1$.

399 Fig. 4 shows the relationship between E_x and P_x obtained for the TEV data described
 400 in the previous sections. A weak, yet significant, positive correlation exists between
 401 both traits ($\rho_S = 0.400$, 18 df, 1-tailed $P = 0.040$), thus supporting the positive
 402 association between epistasis and pleiotropy.

403 So far, the TEV data reviewed here picture that sign pleiotropy in host usage and
 404 epistasis at genomic level go hand in hand, thus corresponding to a situation that
 405 Remold (2012) defined as epistatic pleiotropy. Epistatic pleiotropy has two important
 406 implications. First, unlike either sign or magnitude pleiotropy in the absence of
 407 epistasis, epistatic pleiotropy allows for the evolution of either specialist or no-cost
 408 generalist viruses, depending on the virus population's host. Second, and very
 409 important to limit the emergence of new viruses, when epistasis is in the form of
 410 reciprocal sign epistasis, as it is the norm in TEV genome, the ruggedness of the
 411 adaptive landscape diminishes the ability of viral populations to escape from specialism

412 to a situation of no-cost generalism. A long history of evolution in the primary host
413 could have resulted in an adaptive walk towards a host-specific fitness peak involving
414 most, if not all, viral loci. Such population could find itself many mutational steps,
415 through an adaptive valley, away from reaching a generalist peak.

416

417 **Conclusions**

418 Here we have reviewed recent data showing that the expected effect on viral fitness of
419 point mutations depends on the genetic background where they appear in as much as on
420 the host species being infected by the virus. In other words, the reviewed data show
421 that the virus genotype and the host species interact in a non-linear manner to determine
422 the fitness of a potyvirus. The implications of these observations for our understanding
423 of emerging plant viral infections are multiple, but basically all hint on the
424 unpredictability at the level of effect of individual mutations: in the light of information
425 collected on the primary host, one can not anticipate which particular viral genotypes
426 will be more likely to emerge in related hosts. However, the observation of sign
427 pleiotropy in unrelated hosts leaves some room for predictability at least at the level of
428 classes of mutations: beneficial mutations, as a class, in the primary host may become
429 deleterious in new ones.

430 Finally, the existence of epistatic pleiotropy on host usage together with the
431 dominance of reciprocal sign epistasis in the viral genome create rugged adaptive
432 landscapes that may trap viral populations in local peaks and impede their escape
433 towards no-cost generalists. In some sense, these are good news, since the difficulty to
434 generate no-cost generalists reduces the likelihood of successful spillovers, as most
435 genotypes will necessarily pay a large fitness cost after infecting a new host.

436

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442

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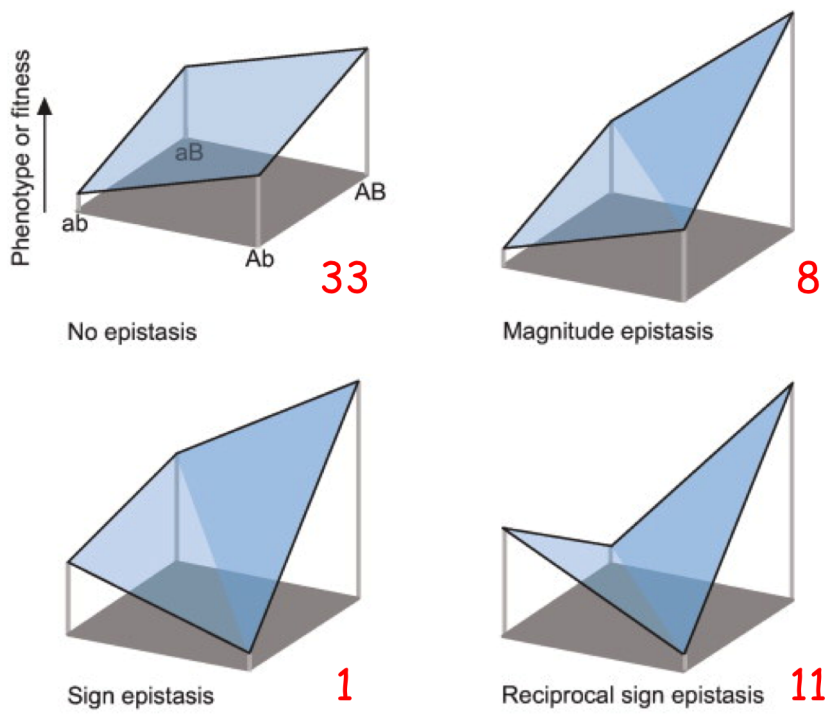
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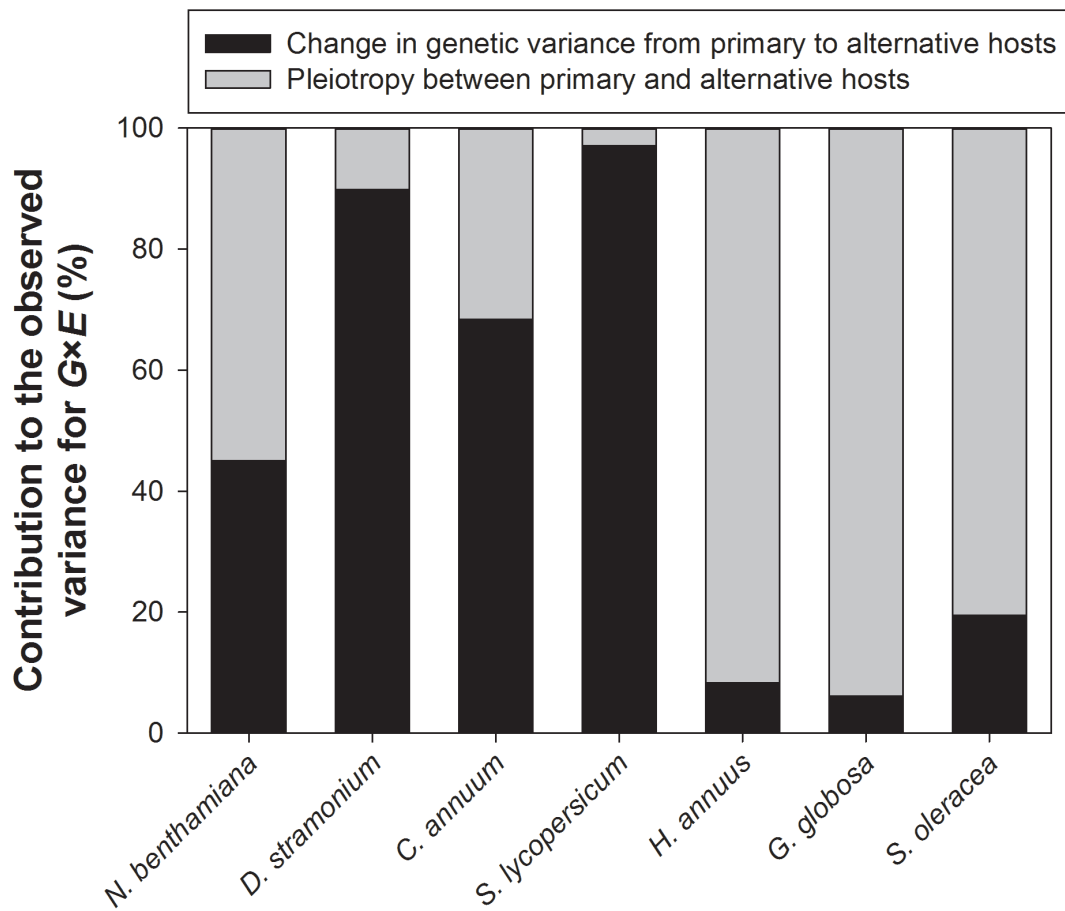
542 **Figure 1** Abundance of the different types of genetic interactions between 53 pairs of
543 mutant alleles observed for TEV. The effect of each type of epistasis (or lack of it) on
544 the landscape ruggedness is illustrated (modified from Dawid *et al.*, 2010). The red
545 numbers next to each panel correspond to the abundance of each type of epistasis within
546 TEV dataset. Data taken from Lalić & Elena (2012a).
547



548

549 **Figure 2** Contribution of sign pleiotropy and changes in variance for fitness to the
550 observed variance in $G \times E$ when comparing the primary host (*N. tabacum*) and the
551 alternative ones. For *Solanaceae* hosts, $G \times E$ is mostly generated by changes in genetic
552 variance for fitness across hosts; by contrast, sign pleiotropy is the main cause of $G \times E$
553 for non-*Solanaceae* hosts. Data taken from Lalić *et al.* (2011).

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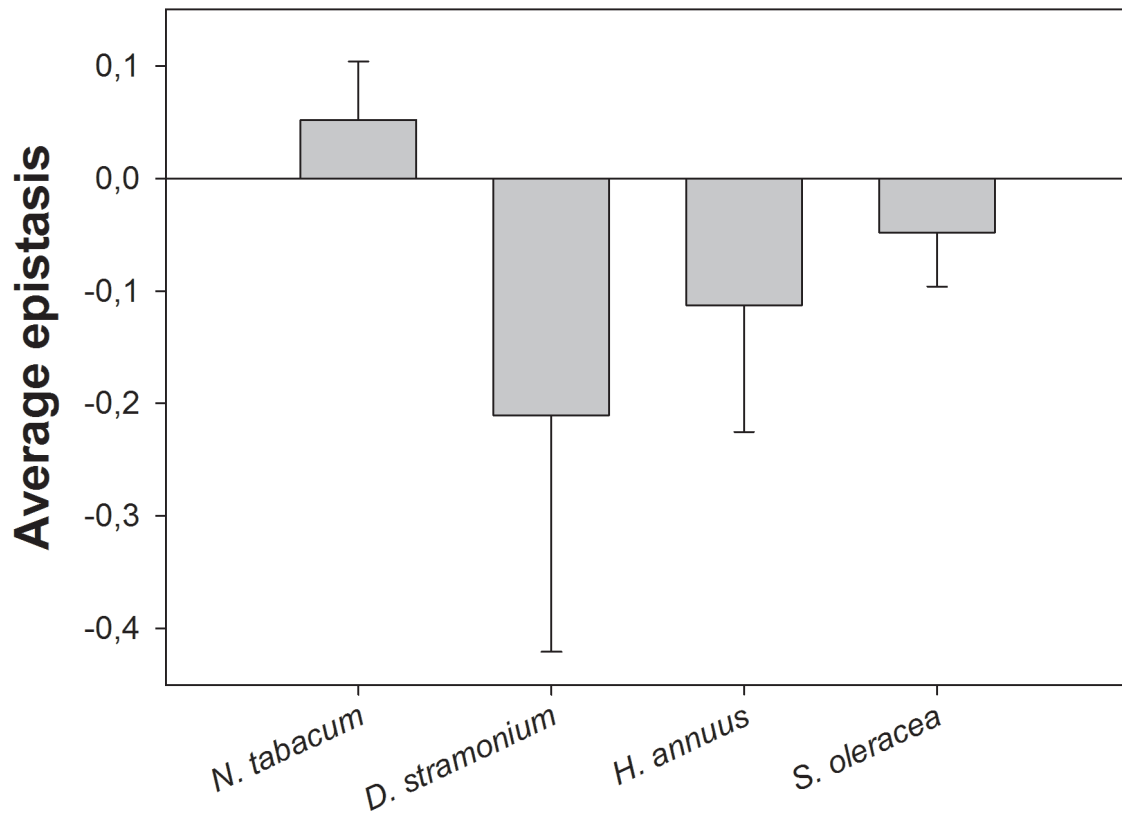


555

556

557 **Figure 3** Distribution of epistasis among pairs of non-lethal mutations in TEV genome
558 evaluated on four different host species. Error bars represent ± 1 SEM. Data taken from
559 Lalić & Elena (2012b).

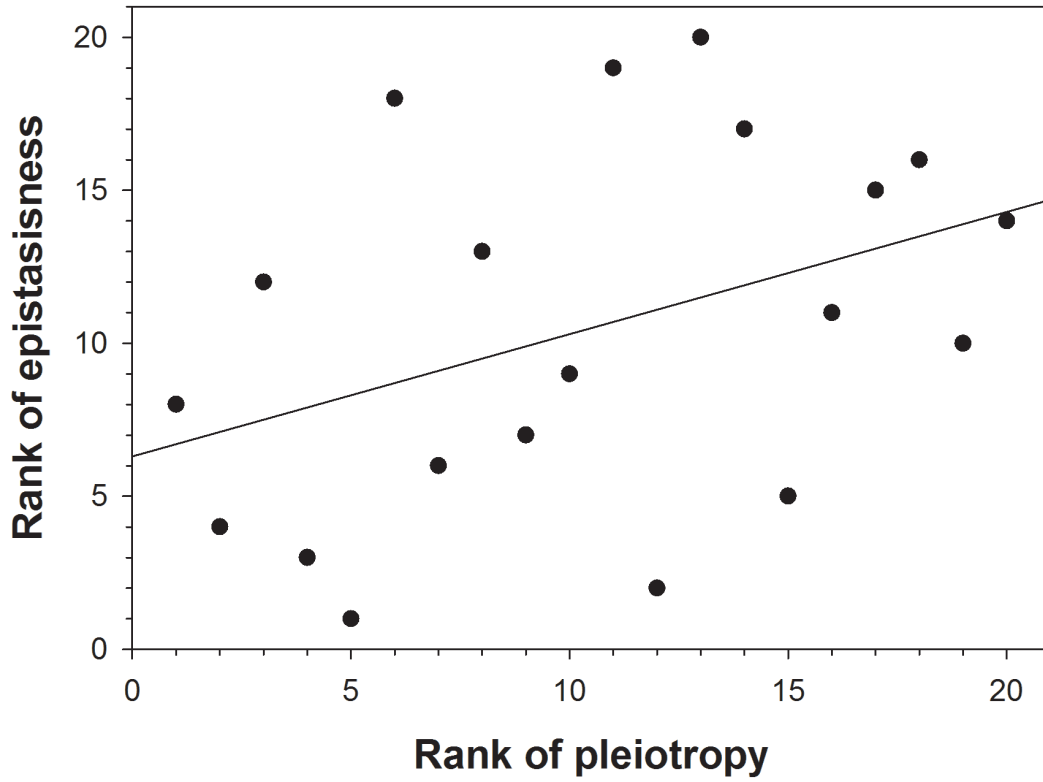
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561

562 **Figure 4** Relationship between the tendency of a mutation to be involved in epistatic
563 interactions (epistasisness) and its pleiotropic effect across hosts. Data taken from Lalić
564 *et al.* (2011) and Lalić & Elena (2012a, 2012b).

565



566

Table 1 Parameters describing the DMFE and the number of mutations classified as lethal, deleterious, neutral and beneficial on each host. Data taken from Lalić *et al.* (2011).

Host species	Expected W	Median	Standard deviation	Skweness	Kurtosis	Lethal	Deleterious	Neutral	Beneficial
<i>N. tabacum</i>	1.331	1.327	0.021	-1.974	4.608	0	6	14	0
<i>N. benthamiana</i>	1.315	1.319	0.065	-3.949	16.879	0	10	10	0
<i>D. stramonium</i>	1.365	1.380	0.054	-1.566	1.364	2	15	3	0
<i>C. annuum</i>	1.246	1.297	0.142	-1.037	-0.389	2	0	9	11
<i>S. lycopersicum</i>	1.350	1.418	0.041	-0.768	0.062	8	0	2	10
<i>H. annuus</i>	1.020	1.020	0.044	0.527	0.579	0	0	15	5
<i>G. globosa</i>	0.725	1.010	0.042	0.997	0.561	0	0	17	3
<i>S. oleracea</i>	0.976	0.962	0.052	1.479	1.915	0	0	17	3

Table 2 Different components of the variance for fitness evaluated in eight susceptible hosts. Data taken from Lalić *et al.* (2011).

Host species	σ_G^2	$\rho_{G_H G_{N.tabacum}}$	$\sigma_{G \times E}^2$
<i>N. tabacum</i>	$3.210 \pm 1.245 \times 10^{-4}$		
<i>N. benthamiana</i>	$2.683 \pm 0.922 \times 10^{-3}$	0.244 ± 0.222	$1.275 \pm 1.311 \times 10^{-3}$
<i>D. stramonium</i>	$7.916 \pm 2.510 \times 10^{-2}$	0.220 ± 0.224	$3.864 \pm 4.528 \times 10^{-2}$
<i>C. annuum</i>	$1.202 \pm 0.466 \times 10^{-2}$	0.010 ± 0.229	$6.148 \pm 6.587 \times 10^{-3}$
<i>S. lycopersicum</i>	$4.639 \pm 0.143 \times 10^{-1}$	0.468 ± 0.203	$2.264 \pm 3.216 \times 10^{-1}$
<i>H. annuus</i>	$9.250 \pm 6.548 \times 10^{-4}$	-0.592 ± 0.185	$9.458 \pm 9.555 \times 10^{-4}$
<i>G. globosa</i>	$7.360 \pm 6.135 \times 10^{-4}$	-0.336 ± 0.216	$6.920 \pm 6.988 \times 10^{-4}$
<i>S. oleracea</i>	$1.788 \pm 0.902 \times 10^{-3}$	-0.619 ± 0.180	$1.523 \pm 1.548 \times 10^{-3}$

σ_G^2 = genetic variance for fitness on each host.

$\rho_{G_H G_{N.tabacum}}$ = genetic correlation for fitness across host *H* and the primary host *N. tabacum*.

$\sigma_{G \times E}^2$ = variance for the interaction between viral genotype and host ($G \times E$) computed using Eq. 4.