Fabrication of Bi$_2$Te$_3$ nanowire arrays and thermal conductivity measurement by 3$\omega$-scanning thermal microscopy

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Bi$_2$Te$_3$ is well-known for its utility in thermo-electrical applications and more recently as topological insulator. Its nanostructure has attracted plenty of attention because of its potential capacity to reduce thermal conductivity. Here, we have grown a composite sample made of a Bi$_2$Te$_3$ nanowires (NWs) array embedded in an alumina matrix. We have then performed scanning thermal microscopy (SThM) in a 3$\omega$ configuration to measure its equivalent thermal resistance. Using an effective medium model, we could then estimate the mean composite thermal conductivity as well as the thermal conductivity of the NWs to be, respectively, ($\lambda_C$) = (1.68 ± 0.20) W/mK and ($\lambda_{NW}$) = (1.37 ± 0.20) W/mK, showing a slight thermal conductivity reduction. Up to now, there have been two main techniques reported in literature to evaluate the thermal conductivity of nanostructures: the use of a thermal microchip to probe a single NW once its matrix has been dissolved or the probing of the whole NWs array embedded in a matrix, obtaining the thermal conductivity of the whole as an effective medium. However, the 3$\omega$-SThM presented here is the only technique able to measure the thermal conductivity of single NWs embedded in a matrix as well as the thermal conductivity of the composite locally. This technique is more versatile and straightforward than other methods to obtain the thermal conductivity of nanostructures.

I. INTRODUCTION

Over the past decade, one dimensional structures such as nanowires (NWs)$^{1–3}$ or nanotubes$^{4,5}$ have experienced an important development because of their potential thermoelectric applications. Indeed, nanostructured materials for which at least one dimension is smaller than the phonon mean free path and larger than the electron mean free path are expected to offer a reduction of their thermal conductivity. Here, we have grown a composite sample made of a Bi$_2$Te$_3$ nanowires (NWs) array embedded in an alumina matrix. We have then performed scanning thermal microscopy (SThM) in a 3$\omega$ configuration to measure its equivalent thermal resistance. Using an effective medium model, we could then estimate the mean composite thermal conductivity as well as the thermal conductivity of the NWs to be, respectively, ($\lambda_C$) = (1.68 ± 0.20) W/mK and ($\lambda_{NW}$) = (1.37 ± 0.20) W/mK, showing a slight thermal conductivity reduction. Up to now, there have been two main techniques reported in literature to evaluate the thermal conductivity of nanostructures: the use of a thermal microchip to probe a single NW once its matrix has been dissolved or the probing of the whole NWs array embedded in a matrix, obtaining the thermal conductivity of the whole as an effective medium. However, the 3$\omega$-SThM presented here is the only technique able to measure the thermal conductivity of single NWs embedded in a matrix as well as the thermal conductivity of the composite locally. This technique is more versatile and straightforward than other methods to obtain the thermal conductivity of nanostructures.

The characterization of NW properties, such as structural, electrical, or thermal properties, is of vital importance. Focusing our attention in thermal characterization, we observe that there is a need of techniques able to reach a nanometric lateral spatial resolution. Optical methods, such as infrared thermometry, visible thermo-reflectance, or interferometry, which are diffraction limited, cannot reach this resolution. Since its invention in 1986, the scanning thermal microscopy (SThM)$^{18–20}$ is presented as the most efficient technique to study thermal transport in nano-objects and nanomaterials.$^{21}$

In this work, we present measurements of the thermal conductivity carried out by 3$\omega$-SThM on a composite sample made of a Bi$_2$Te$_3$ NWs array embedded in an alumina matrix. To date, most of the NW thermal conductivity measurements have been taken for single NWs.$^{13,14}$ However, many future NW devices will be based on a large number of NWs embedded in a matrix. Therefore, thermal properties of an array of NWs might be different to single ones because of

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the matrix-NWs interaction. These changes in the thermal conductivity depend on the NW diameter and the geometry of the array as well as the character of the NW/matrix interface and the presence of additional components.

We first present, in Sec. II, the sample we have studied as well as the 3ω-SThM measurement method used. Then, we show in Sec. III, the topographical and thermal images obtained for the Bi$_2$Te$_3$ NWs sample and we show how we can extract the thermal conductivity of the composite Bi$_2$Te$_3$ NWs/alumina matrix. Finally, in Sec. IV, we discuss the possibility to deduce from the previous result the thermal conductivity of the NWs.

II. SAMPLE AND SET-UP

A. Sample growing process and description

Nominal 200 nm diameter Bi$_2$Te$_3$ NW arrays were prepared in Whatman® anodic alumina oxide (AAO) filters. One surface of the AAO templates was deposited with 5 nm of Cr and 150 nm of gold by e-beam evaporation. Then, an electrical contact was made with the gold layer and the AAO template was used as a working electrode to perform an electrodeposition in a three electrode cell configuration following a similar procedure than the one described in Refs. 23–25.

The deposition potential was set to –20 mV versus Ag/AgCl and the deposition has been carried out for 12 h until most of the Bi$_2$Te$_3$ wires growing inside the AAO pores reached the alumina template surface. Afterwards, the sample was polished using 50 nm alumina nanoparticles. In order to eliminate the possible excess of alumina nanoparticles from polishing, the sample was treated afterwards with 10% weight in volume concentration of KOH.

37 μm long Bi$_2$Te$_3$ NWs with a composition (measured by Energy Dispersive X-Ray spectroscopy) of Bi$_{2.02}$Te$_{2.98}$, the c-axis oriented perpendicular to the surface of the alumina (measured by X-Ray Diffraction), and diameters ranging from 200 to 400 nm were finally obtained to be measured by SThM in adequate conditions. Figure 1 presents scanning electron microscopy (SEM) images of the sample. We can see that not every pore is filled with a NW (Figure 1(a)) because some of the NWs are not long enough to reach the surface of the matrix (Figure 1(b)). In our case, given that the measurements are made using the tip, we access and analyze only the NWs jutting out above the matrix.

B. Experimental set-up for thermal conductivity measurements

Thermal measurements have been investigated using a 3ω STthM method at room temperature to carry out thermal images while simultaneously obtaining contact mode topographical images.

The tip used is a V-shaped Pd/SiO$_2$ thermo-resistive probe which offers a nanometric topographic lateral resolution, a typical 100 nm thermal lateral resolution and 3 kHz thermal cut-off frequency, far better than the classical Wollaston thermoresistive probe. It is made of a thin palladium (Pd) ribbon on a micrometric thick SiO$_2$ silica layer. The thin Pd ribbon acts as the thermo-resistive element. Its temperature coefficient has been measured: α$_{\text{tip}}$ = 1.6 × 10$^{-3}$ K$^{-1}$. The probe electrical resistance is R$_0$ = 327 Ω. The length and width of each arm of the V-shaped Pd tip are measured from SEM images to be typically 7 μm and 3.5 μm, respectively.

For the 3ω-SThM measurement, a sinusoidal current I(t) = I$_0$ sin(ωt), with ω being the fundamental pulsation, is passed through the thermoresistive probe. A heat flux $P_{\text{Joule}}$ at pulsation 2ω is, therefore, dissipated in the probe, generating a temperature variation T$_{2\omega}$ at the same pulsation. The thermoresistive probe resistance is then modulated at 2ω. Finally, according to Ohm’s law, the tip voltage is modulated at 3ω and its amplitude can be expressed as

$$V_{3\omega,Tip} = K_{\text{ampli}} \frac{R_{\text{Tip}} \Delta T_{\text{Tip}} I_0}{2} <T_{2\omega}>,$$

where K$_{\text{ampli}}$ is the amplification system gain, I$_0$ is the amplitude of the ω pulsation current supplying the probe, and $<T_{2\omega}>$ is the mean temperature variation amplitude over the tip length.

When the tip comes into contact with a material, a heat flow goes from the tip to the sample and this flow depends on the thermal conductance of the sample. Consequently, the $<T_{2\omega}>$ tip temperature variations depend on the equivalent thermal resistance R$_{eq}$ between the tip and the sample. The more conductive the sample, the lower the 2ω thermal variations. This configuration is hence sometimes called

![Figure 1. Sample SEM pictures: (a) Top view of the Whatman alumina matrix partially filled with Bi$_2$Te$_3$ nanowires whose diameter ranges between 200 nm and 400 nm, (b) Cross section view of the sample before polishing.](image)
conductivity contrast imaging. Experimentally, the tip scans the sample and we measure the $3\omega$ tip voltage $(V_{3\omega})_{\text{Tip}}$ using a lock-in amplifier. From the $(V_{3\omega})_{\text{Tip}}$ image, we can then deduce a $<T_{3\omega}>$ tip temperature variations map and then an equivalent tip-sample thermal resistance $R_{eq}$ image.\cite{footnote}

Let us underline that, for a sample constituted of several NWs or a NW “carpet,” this experimental method enables to simultaneously measure $R_{eq}$ on each NW of the thermal image. Then we can do a statistical data processing and thus deduce a mean thermal conductivity and its associated standard deviation.

As a preliminary and necessary measurement, we have experimentally estimated the tip-to-sample thermal exchange radius $r_{ex}$ which is assimilated here to the circular constriction radius.\cite{footnote} The experimental procedure consists of applying the $3\omega$ method scanning the SThM probe on an abrupt step made of a 200 nm thick oxide layer on a Si substrate. When the probe placed upon the oxide layer is shifted toward the step, the tip-to-sample thermal exchange surface is truncated, which causes an increase of the tip temperature variations until a maximum value when the contact point is at the edge of the step. Measuring the distance from the position for which the $(V_{3\omega})_{\text{Tip}}$ signal starts to increase to the position for which the signal is maximal leads to the tip-to-sample thermal exchange radius $r_{ex}$. Here, its value has been evaluated to $r_{ex} = 230 \text{ nm}$. This value has double importance: on the one hand, the thermal lateral resolution depends on it and, on the other hand, it constitutes an essential value in our method to determine the NW thermal conductivity as presented in Sec. III.

III. STHM MEASUREMENTS

A. NWs equivalent thermal resistance evaluation

The $3\mu m \times 3\mu m$ topographical and $(V_{3\omega})_{\text{Tip}}$ images obtained simultaneously using the $3\omega$-SThM technique described above at a 927 Hz fundamental frequency ($\omega = 5825 \text{ rad/s}$) are presented in Figure 2. The NWs jutting out above the matrix (bright parts in Figure 2(a)) offer a lower $3\omega$ voltage (dark parts in Figure 2(b)) than the alumina matrix, consequently a lower $2\omega$ temperature variation. This is explained by an increase of the heat flux passing from the tip to the sample in this region. The equivalent thermal resistance is then lower on the NWs than on the alumina.

From the $(V_{3\omega})_{\text{Tip}}$ image (Figure 2(b)), we have plotted in Figure 3 the distribution of the equivalent thermal resistances measured on the alumina part of the sample and on the Bi$_2$Te$_3$ NWs. We clearly distinguish two separate distributions. The mean equivalent thermal resistance value is $<R_{eq}>_{\text{NW}} = (1.53 \pm 0.05) \times 10^6 \text{ W/K}$ on the NWs and $<R_{eq}>_{\text{Alu}} = (1.75 \pm 0.02) \times 10^6 \text{ W/K}$ on the alumina. The mean equivalent thermal resistance is higher on the alumina part than on the NWs, which indicates that thermal conductivity of the alumina is lower than the NW one.

Now, from these mean equivalent thermal resistance values, we want to evaluate the mean thermal conductivity of the NWs. Let us consider a NW on top of which the tip, whose SEM image is presented in the top left part of the image, is positioned to do a $3\omega$-SThM measurement (Figure 4). The NW equivalent thermal resistance $(R_{eq})_{\text{NW}}$ can be modelled by 4 thermal resistances in series at the most: the tip-to-sample contact thermal resistance $R_{C}$, the constriction resistance $R_{\text{Tip-NW}}$ of the heat flux between the tip and the NW, the sample intrinsic thermal resistance $R_{\text{NW}}$, and the constriction resistance $R_{\text{NW-Sub}}$ of the heat flux between the NW and the substrate on which the NW is deposited

$$(R_{eq})_{\text{NW}} = R_{\text{Tip-NW}} + R_{C} + R_{\text{NW}} + R_{\text{NW-Sub}}.$$  \hspace{1cm} (2)

\begin{figure}[h]
\centering
\includegraphics[width=0.8\textwidth]{figure3.pdf}
\caption{Equivalent thermal resistance distribution on the alumina and NWs parts of the sample.}
\end{figure}
Then, to extract the mean NW thermal conductivity which is included in the intrinsic thermal resistance $R_{NW}$, we must determine the values of the three thermal resistances $R_{Tip-NW}$, $R_C$, and $R_{NW-Sub}$ as well as the expression of the NW intrinsic thermal resistance $R_{NW}$ as a function of its thermal conductivity $\lambda_{NW}$.

The constriction resistance $R_{Tip-NW}$ between the tip and the NW is negligible as the thermal exchange surface is bigger than the NWs section, the diameter $d_{NW}$ of the probed NWs varying from 200 to 400 nm. The constriction resistance between the NW and the substrate can be expressed as

$$R_{NW-Sub} = \frac{1}{2\lambda_{Sub}d_{NW}},$$

with $\lambda_{Sub}$ the substrate thermal conductivity. In our case of NWs deposited on Cr/Au, the mean value of this thermal resistance is of the order of $10^5$ W/K, depending on the NW diameter value. Therefore, it is negligible compared to the mean equivalent thermal resistance $\langle R_{eq}\rangle_{NW}$ measured on the NWs.

The contact thermal resistance $R_C$ can be evaluated measuring the equivalent thermal resistance on the porous alumina part of the thermal image. From 20 values measured on the porous alumina (Figure 3), we have previously deduced the mean equivalent thermal resistance $\langle R_{eq}\rangle_{Alu} = (1.75 \pm 0.02) \times 10^6$ W/K. This resistance is the sum of the mean contact thermal resistance $\langle R_C\rangle$ and of the constriction resistance between the tip and the alumina which can be expressed as

$$R_{Tip-Alu} = \frac{1}{4\lambda_{Alu}r_{ex}},$$

where $\lambda_{Alu}$ is the thermal conductivity of the porous alumina and its value has been previously measured to be 1.3 W/mK. Then the mean contact resistance can be estimated to $\langle R_C\rangle = (9.1 \pm 0.2) \times 10^5$ W/K. The low value of the uncertainty, which is calculated from the standard deviation of the values measured on the twenty positions on the alumina, indicates that the contact resistance does not vary much from one point to another. From the NW equivalent thermal resistances $\langle R_{eq}\rangle_{NW}$ we have measured, we can now deduce the mean intrinsic thermal resistance value $\langle R_{NW}\rangle = (6.01 \pm 0.41) \times 10^5$ W/K. Next step consists in deducing an estimation of the mean NW thermal conductivity $\langle \lambda_{NW}\rangle$. For that purpose, we need to know the expression of the NW intrinsic thermal resistance $R_{NW}$ as a function of $\lambda_{NW}$.

### B. NW composite thermal model and thermal conductivity

Classically, $R_{NW}$ is assumed to be given by the conductive thermal resistance

$$R_{NW} = \frac{1}{\lambda_{NW} S} \sum_{i=1}^{h} L_{NW}$$

of a thermal conductor of length $L$ and section $S$. Let us recall that the NWs have the c-axis oriented along their length, i.e., perpendicular to the alumina template surface. In addition, the measurements have been made in the same direction. The measured $\lambda_{NW}$ therefore corresponds to the thermal conductivity along the NWs length. According to Eq. (5), $R_{NW}$ should vary from one NW to another depending on the NW section, given that all the probed NWs have the same length while their diameter distribution is very large. Nevertheless, this behaviour is not observed since when dividing the section by a factor 2, the $R_{NW}$ value is not quite affected. The same conclusion can be reached noting that the dispersion on the $R_{NW}$ value is relatively low (7%) for a population with a 25% section dispersion.

Besides, the expression (5) would lead to a 410 W/mK NW thermal conductivity. Therefore, this expression may not be adapted to our configuration. Then, two hypothesis can be considered. The first one is that the length $L$ appearing in expression (5) is not correctly evaluated. Indeed, the NWs are relatively long (37 μm) and the thermal diffusion length in this material at 927 Hz is only 11 μm, taking into account a $6.9 \times 10^{-7}$ m$^2$/s thermal diffusivity of such NWs identified by Borca-Tasciuc et al. Then, we can assume the heat only diffuses over the thermal diffusion length and not along the total NW length. Consequently, we should take into account the thermal diffusion length in expression (5) instead of the real length of the NW.

Nevertheless, even in this case, the NW mean thermal conductivity remains very high. In addition, since the thermal diffusion length depends on the frequency, the measured $R_{NW}$ should also depend on it. Thus, we have performed thermal images at 309 Hz. Since the frequency has been divided by 3, the thermal diffusion length has increased to reach 19 μm and the mean NW thermal resistance $R_{NW}$ should then vary by a factor $\sqrt{3}$ but it only changes from $\langle R_{NW}\rangle = (6.01 \pm 0.41) \times 10^5$ W/K at 927 Hz to $\langle R_{NW}\rangle = (5.80 \pm 0.44) \times 10^5$ W/K at 309 Hz. Measurements done at two other frequencies (103 and 2781 Hz) have
confirmed that this hypothesis does not seem to work as expected.

In the second hypothesis, we do not consider the NWs as individual elements but as part of a composite made of Bi$_2$Te$_3$ NWs and the alumina matrix. This can be justified by the fact that the NWs are in partial contact with the alumina matrix as it can be seen in the SEM images (Figure 1) and not isolated from it. Moreover, the thermal conductivities of the alumina and of the NWs are expected to be of the same order. Therefore, when the tip is in contact with a NW, part of the heat flux propagating along a NW passes from the NW to the matrix. In addition, the thermal exchange surface is bigger than the NWs section. Then, the tip heats not only the NW but also the surrounding alumina matrix at the same time. In this case, the thermal resistance measured when scanning a NW is not given by expression (5) but by the expression of a constriction resistance on a semi-infinite effective medium

$$R_{NW} = \frac{1}{4\lambda_c r_{es}},$$

where $\lambda_c$ is the composite thermal conductivity. From the various measurements done on the images obtained at different frequencies, we can now deduce $(\lambda_c) = (1.68 \pm 0.20)$ W/mK. This value has been obtained using the measurements made on 49 NWs whose thermal conductivity distribution is presented in Figure 5. We can note a low uncertainty corresponding to a low dispersion on the measurements. As expected from Figure 3, this thermal conductivity is higher than the one of the porous alumina. This value, which is of great importance since it constitutes the thermal conductivity of the functional device, could be reduced if using another matrix with a lower thermal conductivity: Biswas et al.\cite{16} have shown that using epoxy resin SU-8 instead of porous anodic alumina, the composite thermal conductivity of 200 nm diameter Bi$_2$Te$_3$ NWs/matrix could be reduced from 1.4 W/mK with the alumina matrix to 1.1 W/mK with the SU-8 matrix.

### IV. NANOWIRES THERMAL CONDUCTIVITY AND DISCUSSION

From the composite thermal conductivity, we can now assess the NW thermal conductivity using the effective medium theory,\cite{22} neglecting the thermal resistance at the alumina/NW interface since the NWs are in contact with the alumina and both media are expected to have close thermal conductivity values. Then, the thermal conductivity of the composite made of the NW array and the matrix can be estimated as\cite{16,22}

$$\lambda_c = x\lambda_{NW} + (1-x)\lambda_m,$$

where x is the areal packing density of the NW array, $\lambda_{NW}$ and $\lambda_m$ are, respectively, the thermal conductivities of the NWs and matrix. From the SEM images, we have evaluated the percentage of NWs to be 41% and the percentage of alumina to be 59%. With the thermal conductivity of the solid alumina matrix evaluated to $\lambda_m = 1.9$ W/mK at room temperature,\cite{34} we can deduce from Eq. (7) that $\lambda_{NW} = (1.37 \pm 0.20)$ W/mK.

In literature, other techniques developed to measure single NWs or whole arrays of NWs can be found. In single NWs thermal conductivity experiments, the NW is generally suspended onto the electrodes of a thermal microchip.\cite{13,14,36} These experiments have reported data for Bi$_2$Te$_3$ at room temperature of 1.3 W/mK for 55 nm diameter NWs.\cite{36} It is worth mentioning here that for this kind of experiments, the matrix has to be dissolved and this process, having the NWs in air, leads to the oxidation of the surface of the NWs.\cite{37} Other techniques,\cite{15,16,22} based on the effective medium theory, allow the measurement of the thermal conductivity of an array of NWs inside a matrix, obtaining the thermal conductivity of the whole structure. Then, the NW thermal conductivity can be estimated. Reported data for Bi$_2$Te$_3$ NWs measured with this technique are 0.75 W/mK for 120 nm diameter\cite{15} and 1.44 W/mK for 200 nm diameter.\cite{16}

The advantage of the 3ω-SThM, in comparison to these techniques, is the possibility to measure the thermal conductivity of single NWs embedded in the matrix whilst at the same time the thermal conductivity of the composite is locally measured. Moreover, a topographic picture of the surface of the sample can be also obtained to assure the local measurement.

As for the thermal conductivity value we have obtained, it lies within the range of the reported data mentioned above. Yet, we cannot rigorously compare these results because of the differences within the techniques and the samples. In particular, measurements with a thermal microchip and with 3ω-SThM must be compared with precaution for two main reasons: first, the reported samples have diameters far smaller than ours and second, the thermal microchip technique probes a single isolated NW, whereas 3ω-SThM probes an assembly of NWs embedded in a matrix. Nevertheless, comparing our value measured for NWs by the 3ω-SThM with identical NWs measured with a thermal microchip could enable to study the influence of the surrounding matrix or the oxidation of the single NW outside the matrix. Comparing 3ω-SThM results with the values

![Graph](image_url)
measured by the techniques based on the effective medium theory seems more appropriate since in both cases, the samples are embedded in a matrix. Even if no identical sample (same NW length, NW diameter, matrix and growing process) has been reported in literature, our value is in particular good agreement with the value given in Ref. 16, which corresponds to NWs with diameter and length of the same order than ours embedded in an alumina matrix.

Whatever the method, in all cases, these measurements show a small thermal conductivity reduction in comparison with the bulk Bi2Te3 value, as expected from nano-structuration. Molecular dynamics simulations38 have also predicted a thermal conductivity reduction limited to 20% (in comparison with the bulk thermal conductivity), even for 30 nm diameter Bi2Te3 NWs. This thermal conductivity reduction is less pronounced than in Si for which the thermal conductivity of 56 nm diameter NWs has been measured to be around 25 W/mK (Ref. 13) at room temperature, that is, 6 times lower than the bulk thermal conductivity. In this case, the phonon mean free path (250 nm in bulk Si at 300 K) is higher than the NW diameter, favouring phonon boundary scattering and a thermal conductivity reduction. In bulk bismuth telluride, the phonon mean free path is only 3 nm,36 which is much smaller than every diameter of the studied NWs. Therefore, the impact of phonon boundary scattering is in our case much smaller than in Si NWs, leading to a limited thermal conductivity reduction.

V. CONCLUSIONS

We have evaluated the thermal conductivity of a Bi2Te3 NWs array using SThM in a 3ω experimental configuration. The classical thermal model of the NWs based on a conductive intrinsic thermal resistance of the NWs could not be applied in our case, leading us to use an effective medium model. We could then deduce the mean thermal conductivity of the composite made of NWs embedded in alumina with a good precision: \( \langle \kappa_N \rangle = (1.68 \pm 0.20) \text{ W/mK} \). The NWs thermal conductivity was then estimated to \( \langle \lambda_{NW} \rangle = (1.37 \pm 0.20) \text{ W/mK} \).

Up to now, it was possible to measure either the thermal conductivity of single NWs outside their supporting matrix, e.g., using a thermal microchip, or the thermal conductivity of NWs embedded in a matrix as a whole, to later extract the NWs thermal conductivity.15,16,36 However, in this work, we present for the first time the measurement of the thermal conductivity of single Bi2Te3 NWs embedded in a matrix by 3ω-SThM technique, which allows the extraction of information not only of the NWs but also about the local thermal conductivity of the composite. Moreover, it must be noticed that a topographic image of the NW is also obtained, granting the local measurement of the technique. The reason why we propose this technique as an alternative to those reported for thermal conductivity measurements is not only its local resolution and analysis but also its nondestructive nature and the versatility of the samples that can be measured.

Next step could consist in using pump-probe measurements39 on the same sample in order to estimate the thermal conductivity of Bi2Te3 NWs and compare the results with the 3ω-SThM values. New samples with another kind of matrix with lower thermal conductivity, such as epoxy resin, could also be investigated in order to reduce the whole composite thermal conductivity. Finally, another interesting approach could be to create a sample with Bi2Te3 NWs isolated from the matrix to directly access the NW thermal conductivity by 3ω-SThM and/or pump-probe measurements.

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