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DNA-sustained, nanoparticulate architecture of artificial viruses build by multifunctional proteins

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1 **Abstract**

2 We have produced in bacteria two chimerical recombinant peptides of 10.2 kDa
3 each that contain four covalently joined, biologically active domains, and that
4 act as building blocks of protein-based artificial viruses for gene therapy. These
5 constructs bind and condense plasmid DNA, penetrate target mammalian cells
6 through RGD cell binding domains and promote significant levels of the reporter
7 transgene expression. To validate the artificial virus concept based on
8 multifunctional proteins we have analyzed the molecular organization of the
9 resulting DNA-protein complexes. While the protein alone aggregates as
10 amorphous, polydisperse clusters of more than 1000 nm, the presence of DNA
11 promotes the architectonic reorganization of these complexes as mechanically
12 stable, monodisperse, nanometric spherical entities of around 80 nm, very
13 efficient in the delivery of expressible transgenes. The structural analysis of the
14 protein in such nanoparticles indicates a molecular conformation of the
15 polypeptide chains with predominance of alpha helix and the absence of cross-
16 molecular, beta sheet-supported protein interactions. The nanoscale organizing
17 forces generated by DNA-protein interactions can be then observed as key
18 elements for the rational design of artificial viruses based on multifunctional
19 proteins, and as a critical but potentially tunable parameter for the successful
20 rational design of non-viral vehicles for gene therapy.

21

1 Introduction

2 Strategies for non-viral gene therapy are under continuous exploration,
3 pressured by the undesired side effects observed in viral-based gene therapy
4 trials ^(1,2,3,4,5). In this context, the “artificial virus” approach ^(6,7,8) implies the use
5 of non infectious bio-safe entities that mimic relevant activities of the viral life
6 cycle, as carriers in the cell-targeted delivery of therapeutic nucleic acids.
7 Liposomes, carbohydrates and proteins are the most used scaffolds for the
8 construction of bio-inspired artificial viruses, although the functionalization
9 necessary for specific receptor binding, endosomal escape and nuclear
10 trafficking, among others, is mostly provided by proteins (namely peptides, full-
11 length proteins or antibodies). In fact proteins, as presented in a diversity of
12 forms, are considered as excellent and fully biocompatible carriers for drug
13 delivery ⁽⁹⁾. In this regard, virus-like particles (VLPs) mainly formed by self-
14 assembling capsid proteins from *Papillomaviridae* and *Polyomaviridae* viral
15 families have been explored as gene therapy vehicles (once filled *in vitro* with
16 nucleic acids) ⁽¹⁰⁾, either by keeping the original tropism of natural viruses or
17 upon functionalization by the appropriate display of foreign functional peptides.
18 These studies have been also extended to bacterial viruses, which might be
19 more convenient regarding scaled-up production. For instance, phage MS2
20 VLPs loaded with antisense oligodeoxynucleotides and decorated with
21 transferrin have been proven active on leukemia cancer cells ⁽¹¹⁾. However,
22 despite the convenient size mono-dispersion exhibited by VLPs, their
23 architectonic constraints limit the tolerance to extensive engineering and the
24 possibility of functional tuning.

25
26 A more versatile type of protein-based carriers for therapeutic nucleic acids are
27 multifunctional proteins, constructed by the combination of appropriate
28 functional domains fused in a single polypeptide chain ⁽¹²⁾. The integrated
29 domains enable the whole construct to mimic the activities of the infective viral
30 cycle that are relevant to the targeted delivery of nucleic acids (namely DNA or
31 RNA binding, cell attachment and internalization, endosomal escape, proper
32 cytoplasmic trafficking, eventual nuclear transport and nucleic acid release).
33 The modular nature of such constructs permits the selection of functions using
34 relevant peptides identified from nature or combinatorial libraries, and a

1 functional redesign in iterative improvement processes ^(13,14). Diverse protein
2 vehicles within this category have been successful in promoting significant
3 transgene expression levels in vitro ^(15,16) and therapeutic effects in vivo ^(17,18),
4 proving the potential of this approach in the clinical context.

5
6 Interestingly, non-viral vehicles based on multifunctional proteins have been
7 scantily characterized from the morphologic point of view. Therefore,
8 information about how these proteins might organize as building blocks of
9 higher order structures, and how protein-DNA complexes are formed and
10 shaped is in general not available. Therefore, particle size and molecular
11 organization, nanoscale properties potentially critical for cell attachment,
12 internalization and endosomal escape remain excluded from potential tailoring.
13 To approach this issue, we have produced in *Escherichia coli* two different
14 versions of very short structural proteins as subunits for artificial viruses based
15 on alternative combinations of four functional domains (an integrin-binding
16 motif, an endosomal escape domain, a nuclear localization signal and a DNA-
17 binding, cationic peptide) joined in short peptide stretches. Significant levels of
18 transgene expression driven by the complexes have been observed proving the
19 appropriate selection of the functional domains. On the other hand, in absence
20 of DNA, protein blocks self-organize as amorphous, polydisperse particulate
21 entities ranging from a few nanometers up to around one micron. However, in
22 presence of DNA, protein-DNA complexes appear as tight and rather
23 monodisperse spherical-like nanoparticles of around 80 nm in diameter that
24 resemble bacterial inclusion bodies, in which proteins remain attached by beta-
25 sheet-based cross molecular interactions. However, both protein modeling and
26 structural analysis of these complexes reveal an unexpected molecular
27 organization that does not rely on protein-protein cross-molecular interactions
28 but that is instead stirred by protein-DNA interactions. Such DNA-sustained
29 organization seems to generate an optimal architectural pattern that supports
30 virus-like performance of short multifunctional proteins as building blocks for
31 non-viral gene therapy vehicles.

32

1 **Materials and methods**

3 *Plasmid construction and protein sequence*

4 Plasmid pET28aTEV, derived from pET28a (Invitrogen) in which the DNA
5 sequence encoding the thrombin cleavage site was substituted by a DNA
6 fragment encoding a Tobacco Etch Virus (TEV) protease cleavage site, was
7 used to generate constructs pET28aTEV-HKRN and pET28aTEV-HNRK.
8 HKRN and HNRK correspond to DNA sequences coding for selected modules
9 in the specified order (Figure 1A). Plasmid construction was performed by
10 classical cloning methods introducing synthetic oligonucleotides encoding the
11 corresponding modules into selected restriction enzyme recognition sites of the
12 multiple cloning site of pET28aTEV. The RGD motif used here derives from
13 foot-and-mouth disease virus (serotype C₁) cell binding protein ⁽¹⁹⁾, and it is
14 known to bind mammalian cells through $\alpha_v\beta_3$ and $\alpha_5\beta_1$ integrins ^(20,21). The
15 nuclear localization signal of the Simian virus 40 (SV40) large T-antigen ⁽²²⁾ has
16 been universally used for the nuclear transport of delivered drugs and DNA ⁽²³⁾.
17 The polylysine tail (K10) is a cationic peptide extensively used as a DNA-
18 condensation agent in artificial viruses ⁽²⁴⁾, while the polyhistidine tail (H6) is
19 both an efficient endosomal-escape peptide ⁽¹⁴⁾ and a convenient tag for one-
20 step protein purification from bacterial cell extracts ⁽²⁵⁾. Finally, the biologically
21 irrelevant central amino acid stretch in both HKRN and HNRK was added to
22 enlarge the mass of the resulting modular peptides and to make them more
23 stable in bacterial cells, according to our previous laboratory experience
24 (unpublished data).

26 *Protein production and purification*

27 The production of both chimerical proteins was triggered by the addition of 1
28 mM IPTG to plasmid-containing BL21(DE3) *E. coli* cell cultures (at OD=0.4-0.6)
29 growing in LB medium at 37°C. Four hours later, cells were harvested by
30 centrifugation, washed with PBS and stored at -80 °C until use. The pellet was
31 resuspended in Lysis Buffer (20 mM Tris-HCl pH 8, 500 mM NaCl and 6 M
32 C1HG_u) and cells disrupted by sonication in presence of EDTA-free protease
33 inhibitor cocktail tablets. The soluble fraction was separated by centrifugation at
34 15,000 g for 45 minutes at 4 °C and filtered through 0.22 µm filters. Proteins

1 were purified in a single-step by Ni²⁺ affinity chromatography in an ÄKTA™
2 FPLC (GE Healthcare) using a 20 CV linear gradient to 100 % of elution buffer
3 (20 mM Tris-HCl pH 8.0, 500 mM NaCl, 6 M ClHGu and 1M Imidazole). Positive
4 fractions were collected and passed through a PD-10 desalting column (GE
5 Healthcare) with Heppes Buffered Saline (HBS) and quantified by Bradford's
6 method. Finally, proteins were stored at -80°C until use. Inclusion bodies used
7 for Scanning electron microcopy were purified as described elsewhere ⁽²⁶⁾.

8

9 *Retardation assay*

10 Different protein amounts were incubated with 300 ng of pcDNA3-tdTOMATO
11 plasmid DNA resulting in 0.05, 0.1, 0.25, 0.5, 0.75, 1, 1.5, 2.5, 5 and 7.5
12 protein/DNA ratios (w/w). Mixtures were incubated in microcentrifuge tubes at
13 room temperature for 1 hour in HBS, and complex formation was detected in
14 0.8% agarose gels.

15

16 *Electron microscopy*

17 Complexes of protein and DNA were observed using transmission electron
18 microscopy (TEM) with the aid of negative staining. One drop of the mixture
19 was applied to glow-discharged carbon-coated copper grids (SPI) for 5 min and
20 then drained off with filter paper. Subsequently, one drop of 2 % uranyl acetate
21 was placed on the grid for 2-3 min before being drained off. The grid was then
22 placed in a transmission electron microscope (Jeol JEM 1400) operating at an
23 accelerating voltage of 120 kV. Images were acquired using a CCD camera
24 (Gatan) and saved as 8-bit images. A series of micrograph images were
25 obtained tilting the sample from -60° to +60° with a 914 High Tilt Holder.

26 Inclusion bodies were analyzed by Scanning Electron Microscopy (SEM) by
27 standard procedures using Quanta FEI 200 field-emission gun (FEG)
28 environmental scanning electron microscope (ESEM).

29

30 *Protein structure modeling*

31 The three-dimensional structures of the chimeric peptides were modeled
32 with modeller 9v7 ⁽²⁷⁾ using the coordinates of the original protein segments
33 (when available) as templates. Thus, the structures of the NLS and RGD
34 modules were based on chain B of 1Q1S ⁽²⁸⁾ and chain 5 of 1QGC ⁽²⁹⁾,

1 respectively. The poly-lysine module was modeled, on the sole basis of the
2 force field, as an unstructured segment, in line with the structural diversity
3 reported for poly-Lys peptides ⁽³⁰⁾ and the disorder of the poly-Lys tail in the
4 structure with PDB code 1KVN ⁽³¹⁾. The central region was modeled using the
5 chain A of 1HA0 ⁽³²⁾ as a template (61.9% similarity). Hexa-histidine peptides
6 have become one of the most popular tag for protein purification, but the
7 abundance of His-tagged protein models contrast with the lack of structure in
8 which this tag has been successfully solved. This fact clearly indicates that this
9 region tends to be intrinsically unstructured and it was not suitable for modeling
10 under our approach, being then absent in the models.

11

12 *Dynamic light scattering*

13 Volume-size distribution of DNA-protein complexes at different weight ratios
14 was determined in a dynamic light scattering (DLS) device (Zetasizer Nano ZS,
15 Malvern Instruments Limited) using DTS (Nano) ver. 5.10 software for data
16 evaluation.

17

18 *Transfection, flow cytometry analysis and fluorescent microscopy*

19 HeLa (ATCC-CCL-2) cell line was maintained in MEM (GIBCO) supplemented
20 with 10 % fetal calf serum (GIBCO) and incubated at 37 °C and 5 % CO₂ in a
21 humidified atmosphere in 24 wells plates at a cell confluence of 70-80 %. The
22 vectors pcDNA3-tdTOMATO and pEGFP-C1 (Clontech), carrying the gene of
23 the fluorescent proteins tdTOMATO and EGFP respectively were used to
24 monitor DNA transfection. DNA-HKRN or DNA-HNRK complexes were
25 prepared incubating different amounts of protein in 50 µL OPTIPRO medium
26 and different amounts of DNA in 50 µL OPTIPRO (GIBCO) medium. After 5
27 minutes DNA-protein complexes were generated by mixing DNA and protein at
28 specified protein/DNA ratios at room temperature for 1 hour. 100 µL of
29 OPTIPRO (GIBCO) was then added to the mixture and then to the cells.
30 Transfection and gene expression was monitored by flow cytometry in a
31 FACSCalibur system (Becton Dickinson) at 24 h and confirmed at 48 hours
32 post-transfection in a fluorescence microscope (Nikon ECLIPSE TE2000-E)
33 and. As controls we used non-treated cells, cells exposed only to the protein
34 and cells exposed only to plasmid DNA.

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Primary cell cultures

Cortical neuron-enriched cultures were prepared from 18-day-old Sprague–Dawley rat embryos (Charles River Laboratories), as described previously ⁽³³⁾. Animals were anaesthetized and killed by cervical dislocation. All procedures were approved by the Ethical Committee for Animal Use (CEEAA) at the University of Barcelona. Cells were seeded on 24-well plates at a density of 1580 cells/mm² in Neurobasal medium supplemented with 2 % B27 Supplement, 0.5 mM glutamine and 0.1 mg/ml gentamycin. Partial medium changes were performed on 4 and 7 day *in vitro* (DIV). Transfection was performed on 10 DIV as for HeLa cells, except that the transfection medium was Neurobasal:conditioned medium (2:1). Gene expression was confirmed at 24h post-infection in a fluorescence microscope (Olympus IX71).

Luciferase gene expression

HKRN or HNRK were incubated at room temperature for one hour with pGL3-BOS-luciferase reporter plasmid (kindly provided by Marta Barrachina) at the indicated ratios of protein/DNA in 20-30ul of OPTIMEM medium. Subconfluent HEK293 cells were washed once with OPTIMEM and then incubated with the protein/DNA complexes for 4 hours. Then, the medium was removed and cells maintained in DMEM+10%FBS for another 48 hours. The measurement of luciferase activity was performed according to the manufacturer’s instructions (Luciferase Reporter Gene Detection Kit, SIGMA Cat. LUC1-1KT). As a control reference, cells were transfected with Lipofectamine 2000 (Invitrogen, 2 µg lipofectamine + 1 µg DNA/well on 24 well plate) and data were expressed as % relative light units per µg of protein in the samples compared to lipofectamine 2000.

1 **Results**

2 The chimerical genes encoding the multifunctional proteins HKRN and HNRK
3 were constructed by ligation of partially overlapping and complementary
4 oligonucleotides encoding four selected protein domains, and in which the
5 codon usage had been optimized for *E. coli*. Both polypeptides, containing the
6 same functional motifs displayed in alternative positions (Figure 1A), were
7 successfully produced in *E. coli* BL21 (DE3) pLysS, in full-length forms and at
8 reasonably high yield (around 4 µg of protein per ml of culture). Western blot
9 analyses of purified proteins revealed the absence of truncated protein versions
10 and the minor occurrence of high molecular mass immunoreactive species,
11 especially in HKRN, what might indicate a tendency to form supramolecular
12 structures (Figure 1C). When HKRN and HNRK were challenged in DNA
13 retardation assays, HKRN showed a higher capability (one retardation unit
14 corresponding to a protein/DNA mass ratio of 1.5) than HNRK (one retardation
15 unit corresponding to a protein/DNA mass ratio of 2.5) to impede the mobility of
16 plasmid DNA (Figure 1B). This divergence could be accounted by either a
17 different oligomerization potential or by a different performance of the DNA
18 binding domain (K10) as alternatively positioned in HKRN and HNRK. In the
19 first case K10 was placed in an internal position within the amino terminal
20 protein moiety and in HNRK, this peptide overhanged as a carboxy terminal
21 end.

22
23 The resulting protein-DNA complexes (artificial viruses) were tested in HeLa cell
24 cultures for their ability to promote expression of a plasmid-harbored reporter
25 transgene. Although the design of non-viral vehicles for gene therapy is a rather
26 trial-an-error process, we expected that the combination of the FMDV integrin-
27 binding motif, the SV40 nuclear localization signal, the His-based endosomal
28 escape peptide and the Lys-based DNA binding stretch could summarize the
29 main viral functions required for cell uptake and trafficking of the cargo DNA and
30 result in significant levels of nuclear gene delivery and expression. In
31 agreement with this presumption, flow cytometry analysis of cultured cells 48 h
32 after exposure to HKRN- and HNRK-DNA complexes revealed the occurrence
33 of significantly prevalent cell subpopulations expressing the reporter *td tomato*
34 gene. In this context, more than 10 % of HeLa cells transfected with HNRK-

1 based vehicles emitted red fluorescence, indicating the proper nuclear delivery
2 and release of the carried DNA. However, being still significant, DNA delivery
3 mediated by HKRN resulted in rather moderate transgene expression that was
4 detected only 0.5 % in the cell population (Figure 2A). To discard that this value
5 could be due to experimental noise we examined in situ the cultures treated
6 with HKRN-based complexes by fluorescence microscopy, detecting clear
7 fluorescence emission in individual cultured HeLa cells when using two different
8 reporter genes, namely *EGFP* and *td tomato* (involving more than 10 % of cells,
9 at 24 h; Figure 2B, top, medium). Furthermore, in primary cultures of neurons
10 and glia several cells strongly expressing *tdTomato* were observed 24 h after
11 transfection with the DNA-HKRN complex. A cell with neuronal morphology
12 strongly expressing the *tdTomato* gene in the cell body and neurites is shown in
13 the inset of Figure 2B, bottom, demonstrating that neurons can be effectively
14 transfected and the transgene transcribed and translated into protein. An
15 additional transfection experiment on Hek293 cells with a third reporter
16 luciferase (*luc*) gene confirmed the consistent transgene expression mediated
17 by HKRN (Figure 2C). These data demonstrate the stability, robustness and
18 good performance of both HKRN and HNRK as non-viral gene vectors and the
19 appropriateness of the selected protein modules to mediate DNA delivery, being
20 the modular distribution in HNRK more convenient for the proper mimicking of
21 viral functions.

22
23 Intriguingly, the morphology and structure of protein-DNA complexes in non
24 viral gene therapy has been historically neglected, and for protein-based
25 vehicles other than VLPs, the concept of artificial virus refers exclusively to
26 functional (instead of nanoscale physical) properties. Therefore, at this stage,
27 we were especially interested in evaluating the architectonic properties of both
28 constructs as building blocks of artificial viruses, and how these multifunctional
29 protein subunits could organize to hold the plasmid DNA. To explore the
30 molecular organization of the artificial viruses we approached their structural
31 analysis from different angles. Interestingly, the TEM images of both peptides
32 alone indicated the occurrence of amorphous, highly dispersed protein clusters
33 or around 1 μm without any apparent morphological pattern and internal
34 organization (Figure 3A). However, the artificial viruses formed by HKRN and

1 HNRK organized as regular, pseudo-spherical nanoparticles or around 80 nm in
2 diameter (Figures 3A), morphologically reminding the inclusion bodies
3 commonly observed in recombinant bacteria ^(34,35,36) (although these last
4 particles can be slightly larger, up to 450 nm in diameter ⁽³⁴⁾). The molecular
5 reorganization of the protein building blocks induced by the addition of DNA
6 occurred at 0.5 but not 2 RU (Figure 3B), and it did not prevent the parallel
7 emergence of larger protein clusters (Figure 3B). These micron sized particles,
8 as seen by DLS, are probably clusters of the 80 nm particles promoted by DNA,
9 as only these smaller structures were clearly identified by TEM (Figure 3A).
10 Despite the absence of nanosized particles at 2 RU, the size variability of
11 DNA-protein complexes was strongly reduced when comparing with proteins
12 alone (Figure 3B), indicating that the presence of DNA promoted conformational
13 alterations on the holding proteins with impact in the oligomeric organization.
14 The regularity of size in the protein-DNA complexes as compared with the
15 protein alone also indicates protein-condensing abilities of plasmid DNA that
16 reduce the molecular stickiness (their aggregation tendency) of HKRN and
17 HNRK proteins. This fact, strongly suggested that the cationic poly-lysine
18 stretches, responsible for DNA binding in multifunctional proteins ⁽²⁴⁾ and whose
19 charge is expected to be neutralized in the complexes, effectively drive the
20 unspecific formation of higher order, protein-alone clusters shown in Figure 3A.
21 Taken together, all these data indicate that HKRN and HNRK, apart from
22 exhibiting the functions associated to their forming protein domains, act as
23 efficient building blocks for the construction of artificial viruses under the
24 architectonic scope of this term.

25

26 In the regard of inclusion body resemblance, a $\pm 60^\circ$ TEM scan of HNRK
27 revealed a slightly flattered ellipsoid form of the protein-DNA complexes (Figure
28 4A), again very similar to the images of inclusion bodies formed by other
29 proteins seen by Atomic Force Microscopy (AFM) ⁽³⁴⁾. In fact, HKRN and HNRK
30 themselves are both partially found as inclusion bodies in the cytoplasm of the
31 producing bacteria (Figure 4C). As determined by conformational analysis
32 through FTIR ^(37,38,39), inclusion bodies gain their mechanical structure and
33 shape by cross-molecular protein-protein interactions sustained by a beta-
34 sheet-based, amyloid-like architecture ^(40,41). At this stage, we wondered if the

1 architecture of the 80 nm-artificial viruses formed by HKRN- and HNRK-DNA
2 could be also supported by protein-protein interactions, and therefore, we
3 approached the FTIR analysis of artificial viruses and their protein building blocs
4 taking HNRK as a model.

5
6 The conformational status of HNRK inclusion bodies was found to be similar to
7 those described previously as formed by other recombinant proteins, and
8 characterized by the presence of extended, crossmolecular β -pleated sheet
9 elements peaking at 1621 cm^{-1} (Figure 4 B, top) ^(42,38,41). These self-organizing
10 structures coexist with other secondary elements such as native alpha-helices
11 and unordered structures (corresponding to the overlapped region between
12 1640 and 1660 cm^{-1}). In solution, HNRK was instead characterized by the
13 presence of alpha-helix elements peaking at 1654 cm^{-1} (Figure 4 B, center,
14 green line), in agreement with that observed by its *in silico* modeling (Figure
15 4D). Note that although as expected for short peptides, both HKRN and HNRK
16 are in general unstructured, some locally structured regions inherited from their
17 templates were however noted in the models, namely a 3-10 helix spanning
18 residues 44 to 47 in HNRK and 45 to 48 in HKRN, apart from some additional
19 turns and bends (Figure 4D). Interestingly, upon lyophilizing, HNRK seemed to
20 evolve in a more lightly loose and unordered structure, as it can be seen by the
21 broad peak between 1640 and 1660 cm^{-1} , corresponding to alpha-helices and
22 unordered structures overlapped region (Figure 4B, center, black line).

23
24 To test the molecular organization of HNRK-DNA particles and the potential
25 molecular resemblance to HNRK when forming inclusion bodies, these artificial
26 viruses were lyophilized and analyzed as above. Interestingly, and in agreement
27 with the structural impact of DNA on the complexes suggested by the DLS data
28 (Figure 3), the presence of the plasmid DNA had a critical effect on the peptide
29 structure (Figure 4 B, bottom), preventing the smooth deconstruction of alpha-
30 helices observed during the liophilization of HNRK alone. Also, HNRK alpha-
31 helices gained looseness along with the increase of DNA: HNRK ratio, as can
32 be seen by the slight shift from lower wavenumber, from 1653 cm^{-1} in the
33 lyophilized sample without DNA (Figure 4B, bottom, black line) to 1651 and
34 1650 cm^{-1} in the HNRK 2 RU and 0.5 RU (Figure 4 B, bottom, red line and blue

1 line respectively). This minor but significant shift might suggest that the binding
2 of DNA to the protein shells is not a random but organized event possibly
3 involving the central alpha-helix region of the peptide. Such interaction could
4 account for the architectonic organization emerging in the artificial viruses and
5 absent in the protein building blocs alone.

6
7 In summary, the FTIR analysis discarded any inclusion-body like organization of
8 artificial viruses and demonstrated that the architecture of these particles is not
9 based on cross-molecular protein-protein contacts but that it is instead
10 sustained by charge-dependent, but potentially stereospecific DNA-protein
11 interactions. These interactions generate artificial viruses able to transfect
12 expressible DNA, with morphologies and sizes within the nanoscale and
13 compatible with those found optimal for efficient cell interaction and further
14 uptake (in the range of those exhibited by natural virus particles) ^(43,44,45). A
15 further evidence of the architectonic role of DNA in the organization of artificial
16 viruses is that, upon treatment with DNase, the HNRK-based artificial viruses
17 disassemble in smaller entities whose lower range sizes (a few nm), are
18 compatible with those of individual polypeptides (Figure 3B).

1 **Discussion**

2 Artificial viruses are manmade constructs designed to mimic viral activities
3 relevant to the cell targeted delivery of therapeutic nucleic acids ⁽⁷⁾, thus
4 representing safer alternatives to viral gene therapy ^(6,2). Lipids and
5 polysaccharides with different molecular organizations are commonly used as
6 shells for nucleic acids acting as particulate cores of artificial viruses. On the
7 other side, because of the ability of proteins to interact with specific ligands,
8 these vehicles are often functionalized with antibodies, peptides or whole
9 proteins in an attempt to reach the target cell type or tissue. Although tissue
10 targeting in drug delivery can also be effectively achieved by distally applying
11 magnetic force on paramagnetic drug carriers ⁽⁴⁶⁾, the versatility of protein
12 engineering offers unique opportunities for the fine tailoring of the biological
13 properties of artificial viruses to attain, for instance, complex biodistribution
14 maps.

15

16 In the context of the tunable nature of proteins, artificial viruses can be
17 efficiently constructed by uniquely using these macromolecules, provided all the
18 functions required by nucleic acid condensation and intracellular delivery are
19 embraced. In this regard, an intriguing approach to protein-based artificial
20 viruses is the design of multifunctional proteins ⁽¹²⁾, that contain, in a single
21 polypeptide chain, functional peptides from different origins. Upon selected and
22 combined in a modular way, these functional units confer proper cellular
23 specificity and intracellular traffic to the DNA-protein complexes ^(13,14). Those
24 functional peptides can either be inserted in permissive sites of a scaffold
25 protein, or sequentially fused as a new, non natural peptide or short protein ⁽⁴⁷⁾.
26 Examples of constructs generated by these alternative strategies can be found
27 elsewhere ⁽¹²⁾.

28

29 From the material science point of view, the organization of protein-based
30 cages has been classified according to rather general schemes ^(48,9), but the
31 precise architecture of proteinaceous artificial viruses other than based on VLPs
32 remains poorly explored. In fact, multifunctional proteins based on large scaffold
33 proteins such as for instance *E. coli* beta-galactosidase ^(49,50), organize as
34 amorphous polydisperse protein clusters whose properties seemed to be

1 defined by protein features (the enzyme is a tetramer of around 460 kDa, ⁽⁵¹⁾
2 rather than by the presence of DNA ⁽⁵²⁾. Upon addition, plasmid DNA does not
3 modify the morphology of the complexes. In the same context, arginine rich
4 peptides (Rn), when displayed on the surface of a chimerical GFP, provide self-
5 assembling properties to the fusion protein (rendering planar 20 nm-particles)
6 also irrespectively of the presence of DNA ⁽¹⁵⁾. Here we have explored the
7 nanoscale organization of two short multifunctional proteins, namely HKRN and
8 HNRK (Figure 1), which are shown to be competent in gene delivery by using
9 both cultured cell lines and primary cell culture models (Figure 2 A,B). The
10 reached transgene expression levels and stability are comparable or higher
11 than those observed with previous prototypes of artificial viruses based on
12 multifunctional proteins ^(49,53,15,52,50), being in the case of the less active building
13 block, namely the construct HKRN, around 18 % than those achieved by using
14 lipofectamine (Figure 2 C). The slight differences in the ability to retain and
15 deliver expressible DNA are obviously due to the alternative disposition of
16 functional motifs, and the end terminal location of the cationic K10 peptide seem
17 to be specially convenient for the performance of the whole vehicle. These
18 proteins have been designed on the basis of a mere sequential fusion of
19 functional domains without any scaffolding protein, a strategy that resulted
20 favorable regarding their productivity in bacteria, when comparing with the
21 moderate yield in which high molecular mass engineered beta-galactosidases
22 had been obtained ^(49,52).

23

24 The building blocks alone tend to passively aggregate as amorphous clusters
25 with averages sizes of around 1 μm (Figure 3). However, the presence of DNA
26 dramatically modifies the organization of the protein and at 0.5 RU, it induces
27 the formation of protein-DNA nanoparticles of around 80 nm from which DNA
28 molecules eventually overhang (Figures 3A and 4A). These artificial viruses,
29 having optimal size regarding their potential interaction with mammalian cells
30 and further uptake ⁽⁴³⁾, are able to promote the transgene expression in targeted
31 cultured cells, as observed by several models (Figure 2), more efficiently than
32 other vehicles constructed by us in the past, based on larger scaffold proteins
33 and showing amorphous organization ^(49,52).

34

1 Interestingly, the organization of these regular protein-DNA complexes based
2 on the short HKRN and HNRK multifunctional peptides is not dependent on
3 protein-protein interactions but on the sticky, glue-like potential of DNA (Figure
4 3B, Figure 4B), that seems to show some avidity for the internal alpha-helix
5 exhibited by both proteins (Figure 4D). The architectonic properties of DNA in
6 creating regular nanoparticles, based on charge-dependent interactions ⁽²⁴⁾,
7 strongly depend on the protein-DNA ratio (Figure 3B) and are probably more
8 relevant when interacting with short peptides than with large proteins, since in
9 this last case, no DNA-induced architectonic changes in similar but larger
10 protein building shells have been previously reported ^(15,52). In this context, the
11 particle size (80 nm) observed here by using two short chimerical proteins has
12 resulted very similar to that observed when associating other short peptides
13 with plasmid DNA, namely in adenoviral core peptide mu-DNA complexes (80-
14 120 nm, ^(54,55)) and in intermediates in toroid formation by histidylated
15 polylysine-DNA complexes (80-100 nm, ⁽⁵⁶⁾). Polylysine- and polyornithine-DNA
16 polyplexes have rendered, however, slightly larger particles (150-200 nm, ⁽⁵⁷⁾).
17 These organizing forces are probably depending on the ability of DNA to alter
18 the conformation of the shell proteins (Figure 4). In this context, it has been
19 previously proved, by elegant analysis, that short peptides affect the local and
20 distal secondary and tertiary structure of bound DNA ⁽⁵⁸⁾, but according the data
21 presented here the conformational changes in protein-DNA artificial viruses are
22 mutually induced.

23

24 The nanometric organizing abilities of DNA-multifunctional protein complexes,
25 reported for the first time in this study, opens intriguing possibilities for the
26 design and development of artificial viruses intended for gene therapy. The
27 small size of the protein counterpart seems to be a key element that facilitates
28 the DNA-promoted particle self-organization, while the position of the functional
29 domains within the multifunctional protein seems to have only a moderate
30 impact on the vehicle performance, mainly linked to the ability of the protein to
31 interact with the DNA through the cationic motif (Figure 1). The possibility to
32 generate monodisperse, viral-sized artificial viruses by engineering DNA-protein
33 interactions is offering a promising alternative to the engineering of VLP for
34 gene therapy, whose main advantage is their architectonic regularity. The

1 functional plasticity instead offered by the multifunctional protein approach,
2 combined with the particle size adjustment that a further comprehension of
3 DNA-protein morphogenetic forces should permit, open a road of investigation
4 to generate chemically hybrid, novel and improved bio-nanoparticles for nucleic
5 acid but also conventional drug delivery.

6 7 **Conclusions**

8 We have biologically produced short, mainly unordered multifunctional peptides
9 as building blocks of protein-based artificial viruses, which have shown an
10 excellent performance in transgene delivery under different biological models.
11 Interestingly, the artificial viruses resulting from protein-DNA association are
12 pseudo-spherical entities with regular particle sizes or around 80 nm, at specific
13 protein-DNA ratios in the range of those promoting high transgene expression
14 levels. A deep structural characterization of the protein components in these
15 artificial viruses has revealed that the global architecture of the particles is not
16 supported by protein-protein interactions but on the contrarily, unexpectedly
17 sustained by the DNA. The nucleic acids acts as a compacting, molecular glue
18 that affects the conformation of the protein building blocks, altering the alpha
19 helix structure of the central region, minimizing their aggregation tendency and
20 promoting an ordered, self-organization of the complexes in sizes compatible
21 with an efficient receptor-mediated cell uptake and proper intracellular trafficking
22 to the cell nucleus. This first description of the architectonic properties of DNA
23 at the nanoscale opens intriguing opportunities for a better rational design of
24 artificial viruses for gene therapy regarding their molecular and physical
25 organization.

26 27 28 **Executive summary**

29 - Short chimerical proteins produced in bacteria, that contain four functional
30 domains relevant to intracellular trafficking promote high transgene expression
31 levels when used as artificial viruses.

32 - The presence of DNA promotes conformational changes in the protein moiety
33 of the artificial viruses that affects the minor alpha helix region exhibited by
34 those rather unstructured peptides.

1 - The resulting artificial viruses are pseudo-spherical stable particles of around
2 80 nm, fully sustained by DNA-protein interactions rather than by protein-protein
3 crossmolecular beta-sheet interactions, which at difference from protein-only
4 aggregates, are undetectable.

5

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18

19 **Figure legends:**

20 **Figure 1. HKRN and HNRK organization and main features. (A)** Distribution
21 of functional modules in HKRN and HNRK and amino acid sequence of the
22 whole protein constructs. In both cartoons and sequence, the histidine (H) tail is
23 labeled in red, the lysine (K) tail in blue, the FMDV cell binding (RGD) in purple
24 and the SV40 nuclear localization signal (NLS) in green. The irrelevant central
25 region is depicted in grey. In the amino acid sequences, residues resulting from
26 the cloning process are underlined, a TEV protease target site introduced
27 between H and the immediate carboxy module is indicated in boldface and the
28 RGD motif within the FMDV peptide is shown in italics. Sizes of the modules in
29 the cartoons are not intended to be representative of the actual length in the
30 protein segments. **(B)** Up-shift of pcDNA 3.1 (harboring the *td tomato* gene)
31 mobility in agarose gel electrophoresis as induced by HKRN and HNRK. The

1 protein/DNA ratios at which migration of DNA is fully impeded (one retardation
2 unit, RU), are indicated by an asterisk. **(C)** Comassie-blue (Cb) staining and
3 Western-blot (Wb) analysis (using an anti-His antibody) of HKRN and HNRK
4 upon purification. Molecular masses of the markers (M) are indicated in the
5 central column.

6

7 **Figure 2. Transgene expression mediated by HKRN- and HNRK-based**
8 **artificial viruses. (A)** Fluorescence emission determined by flow cytometry of
9 cultured HeLa cells 48 h after exposure to 24 μg of pcDNA 3.1 or in absence of
10 foreign DNA (**no protein**). Cells were also exposed to HKRN-and HNRK-DNA
11 complexes and to these proteins alone (**protein**), and the P2 section in the plots
12 corresponds to the red fluorescence emitted by the td tomato protein. The
13 percentages of fluorescent cells are indicated above each plot. **(B)**
14 Fluorescence microscopy of cultured cells 24 h after exposure to HKRN- DNA
15 complexes formed at 2 RU. **(C)** Light emission of HEK293 cells 48 h after
16 exposure to HKRN-pBOS complexes formed at several RU (28,8 μg
17 HKRN/well). pBOS alone at a concentration equivalent to 2 RU (5.75 μg /well) or
18 Lipofectamine 2000 (2 μg /well + 1 μg pBOS) were used as a controls.

19

20 **Figure 3. Size and morphology of HKRN and HNRK and their derived**
21 **artificial viruses. (A)** Representative transmission electron microscopy images
22 of both HKRN and HNRK alone and as complexes with plasmid pcDNA3.1. **(B)**
23 Effective size of protein particles alone or protein-DNA complexes (HNRK in top
24 and HKRN in bottom) determined by dynamic light scattering. The size of
25 HNRK-based artificial viruses treated with DNase (7 $\mu\text{g}/\text{ml}$) for 30 min at 37 $^{\circ}\text{C}$
26 is also shown (medium).

27

28 **Figure 4. Molecular organization and conformation of HKRN and HNRK**
29 **building blocks. (A)** Selection of TEM micrograph images from a series of
30 images acquired at inclinations from -60° to $+60^{\circ}$. **(B)** Second derivative ATR-
31 FTIR absorption spectra in the Amide I region ranging from 1750 to 1550 cm^{-1} .
32 Vertical lines correspond to aggregated β -strands, unordered structures and α -
33 helices peaking at 1621, 1648 and 1653 cm^{-1} respectively. **Top**, Second

1 derivative spectrum of NHRP inclusion bodies. **Medium**, Second derivative of
2 lyophilized (solid black line) and soluble NHRP (solid grey line). **Bottom**,
3 Second derivative spectra of lyophilized NHRP (solid black line), NHRP 2 RU
4 (solid grey line) and NHRP 0.5 RU (dashed black line). **(C)** HNRK- and NHRP-
5 producing *E. coli* cells showing cytoplasmic inclusion bodies (left), and those
6 inclusion bodies as observed by SEM upon purification (right). **(D)** HNRK- and
7 NHRP models in which the different modules are colored according to the color
8 pattern of Figure 1 A. Note that the His segments are not shown.
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Figure 3

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