Abstract—The performance of a device based on modified injection-locking techniques is studied by means of numerical simulations. The device incorporates master and slave configurations, each one with a DFB laser and an electroabsorption modulator (EAM). This arrangement allows the generation of high peak power, narrow optical pulses according to a periodic or pseudorandom bit stream provided by a current signal generator. The device is able to considerably increase the modulation bandwidth of free-running gain-switched semiconductor lasers using multiplexing in the time domain. Opportunities for integration in small packages or single chips are discussed.

Index Terms—Distributed feedback lasers, electrooptic materials/devices, integrated optoelectronics, monolithic integrated circuits.

I. INTRODUCTION

Fiber optic communication systems were developed to obtain large bandwidths in the transmission of coded information [1], [2]. The bit rate of typical laser sources is constrained by the limitations inherent in applying the pulse modulation to the drive current of the laser diode [3], [4]. When a single semiconductor laser is used as the optical source, the modulation frequency is limited by the characteristic relaxation oscillation frequency of the particular laser used, around a few GHz [5], [6]. When the modulation frequency is pushed beyond this limiting value, pattern effects arise and the amplitude of the emitted pulses decrease, leading to reduced eye openings and errors at the receiver [7]–[12].

Laser structures based on multiquantum wells have been developed to moderately increase the modulation bandwidth of single emitters [13]–[15]. Other methods for achieving better performance include modelocking to an external cavity [16], optoelectronic feedback [17] and Q-switching of multisec- tion structures with a saturable absorber [18]. An important drawback of these techniques, however, is the periodic nature of the pulse train, and as a consequence they do not allow the generation of coded messages. Methods that allow more flexibility are self-seeding [19]–[21], weak optical feedback [22]–[25], and optical injection [26]–[28].

Optical injection from a narrowband tunable master source is one of the most promising techniques. It was first used to provide tunable, small linewidth operation in steady-state [29]–[31]. The physical mechanisms underlying this improved performance are frequency locking to the master source and suppression of relaxation oscillations. During gain-switched and current modulated operation, the optically injected semiconductor laser shows a reduced time jitter and a considerably lower frequency chirp than the free-running laser [28]–[34]. These effects are beneficial and, in fact, several experimental setups have successfully implemented injection-locked devices in the above described way [26], [27], [35]. Further progress in the last years has come mainly from new designs of the single laser structures, while the injection-locking scheme remains the same.

Due to the moderate successes obtained working with single sources, alternative methods have been envisaged to overcome bandwidth limitations, including mainly multiplexing in the time or frequency domains [2], [4], [36]. Communication systems have already been implemented using wavelength division multiplexing, but this technique imposes severe linewidth requirements on the single emitters, and the manufacture of wavelength couplers, frequency converters, routers, demultiplexers and other elements performing specific tasks at high bit rates. Although using time division multiplexing the attainable transmission rate is not so high, the required technology to implement such links is less sophisticated and more robust.

Although time division multiplexing systems are forced to lower transmission rates, the technology needed to implement such links is less sophisticated, and the links seem to be more robust. Multiplexing in the time domain requires pseudorandom output of narrow, low jitter, high optical pulses from independent sources. In this way, several pulses can be combined in a single time slot and then demultiplexed at the receiver after transmission in a single-mode fiber.

The standard injection-locking technique assumes a stabilized master oscillator [29]–[31], [33], [34]. Efforts have been made to thermally stabilize the master output including electronic feedback loops. The turn-on process of the slave laser is thus triggered by the external seed supplied by the master source, instead of spontaneous emission events present in the active region of the slave [32]. The external seeding process forces an early turn on, reducing the time jitter but also leading to broader output pulses with a lower power peak, because the turn on occurs when the net gain is low [33], [34].
II. DEVICE DESCRIPTION

The proposed device is composed of several optoelectronic devices combined in a particular way to get the desired performance. The device is sketched in Fig. 1. External inputs to the device are dc currents for biasing and modulated current from a signal generator. Section II-A describes the optical arrangement, and the required driving circuitry is detailed in Section II-B.

A. Optical Arrangement

A single-mode semiconductor laser (DFB1) is used as the master oscillator. The continuous wave output of DFB1 is supplied to a fast-response external modulator (EAM1). Optionally, for miniaturization purposes, the external modulator can be monolithically integrated with the laser. In commercially available devices, EAM1 is usually an electroabsorption modulator. The master configuration is formed by the master oscillator DFB1 and the external modulator EAM1.

The light beam emerging from the master configuration is then supplied to the active region of a second (preferably but not necessarily single-mode) semiconductor laser (DFB2), working at the same wavelength as DFB1. The master output is injected to optically match the dominant mode of DFB2. The output beam from DFB2 is modified by means of another modulator (EAM2) with a similar performance to EAM1. The slave configuration is formed by the semiconductor laser DFB2 and the modulator EAM2. The slave configuration is not typically envisioned in the form of a closed package as the master configuration, because it must allow for the injection of an external optical beam. The light emerging from EAM2 is finally considered as the output beam.

Alternatively, and more appropriate for integration purposes, the lasers DFB1 and DFB2 and the modulators EAM1 and EAM2 can be grown on the same substrate avoiding the use of collimating lenses. In usual injection-locking arrangements, an optical isolator must be placed connecting the master and slave configurations. This optical isolator is included in order to suppress any influence of the output beam on the CW operation of the master laser. In this way, control of both output power and frequency tuning can be achieved. Our device does not need such an optical isolator, as long as a careful adjustment of the distance between EAM1 and DFB2 is provided. This point will be clarified in Section IV.

B. Driving Circuitry

A dc current \( J_1 \) is supplied to DFB1 to drive the master oscillator well above its threshold value and thus provide stabilized output with a narrow linewidth. Further power or frequency stabilization is achieved by means of thermal stabilization. The dc current across DFB1 must be chosen to match the emission frequency of DFB2. The slave laser DFB2 is dc biased below its threshold value. A bit stream is superimposed to that dc current by means of a signal generator independent of the proposed device. The signal generator provides pulse coded information by means of 50 ps rectangular current pulses with 15 ps rise and fall times, in a return to zero (RZ) format. The modulation period is set at 200 ps. DFB2 is thus gain-switched in the standard way. The same modulated current is supplied to the external modulators EAM1 and EAM2 with appropriate delays. These delays caused by different lengths in the high frequency delay lines must be carefully selected. The delay time of EAM1 is chosen according to the performance of the laser DFB2 free of optical injection. The choice of the delay time of EAM2, by contrast, should be made after the mean width of the generated pulses is known. All these tricky adjustments can be avoided by inserting timing control circuits for externally varying the required modulator delays. Losses in the delay lines must match the required voltages of the external modulators.

III. MODELING

The performance of the proposed device is tested by numerical simulation of the several independent devices of the configuration. The single mode operation of the two lasers DFB1 and DFB2 is modeled by means of modified rate
equations, widely used to describe the behavior of free-running lasers [40], lasers exposed to conventional [24] and phase-conjugate [41] optical feedback and optically injected [27] or self-seeded [42] oscillators. The modified rate equations for the amplitude of the optical fields and the carrier number inside the laser cavities are

\[
\frac{dE_i}{dt} = \frac{1 + i\gamma_i}{2} \left[ \frac{g_i(N_i(t) - N_{\text{ref}})}{1 + s_i|E_i(t)|^2} - \gamma_i \right] E_i(t) + k_i E_j'(t)e^{i(\omega_j - \omega_i)t} \\
+ \sqrt{2\gamma_i N_i(t)} \xi(t) + \sqrt{2\gamma_i N_i(t)} \xi(t)
\]

valid for \( i = 1, j = 2 \), and \( i = 2, j = 1 \). The free-running operation of the lasers is perturbed by the injection terms \( k_i E_j'(t)e^{i(\omega_j - \omega_i)t} \). \( k_i \) is related to the injection coupling parameter \( k_i \), which depends on which particular laser structure is used, through

\[
k_i = k'_{i} \xi_{\text{ext}}
\]

and accounts for the coupling between the injected and the intracavity fields, suitably normalized. Power losses arising from mode matchings and other effects different to the losses introduced by the laser facet are considered. The parameter \( \gamma_{\text{ext}} \) lower than one, accounts for all these additional losses. The \( E_j'(t) \) field is the incoming beam to the laser \( i \) arising from the laser \( j \) at a time \( t - \tau \). The time \( \tau \) is the one required for the beams to travel through the optical path linking DFB1 and DFB2. The input beams to the lasers are modified by the fast modulators EAM1 and EAM2, with field transfer function \( h_i(t) \) (the same transfer function shifted in time is assumed for the two modulators). According to the above mentioned scheme, the incoming fields read

\[
E_i'(t) = E_i(t - \tau)h_i(t - \tau)e^{-\kappa_i \gamma_i \tau} \\
E_j'(t) = E_j(t - \tau)e^{-\kappa_j \gamma_j \tau} h_j(t).
\]

The output beam from DFB2 is partially absorbed by EAM2 so that the total power obtained at the device output is evaluated as \( E_2(t)h_2(t) \). The fast response transfer function of the modulators is related to \( s_i(t) \), the normalized unbiased electrical modulator input through [43]

\[
h(t) = e^{-\alpha_0 - \alpha_1 (1-s(t))} + 0.02
\]

where \( \alpha_0 \) is the low-loss value at the top of a pulse, while \( \alpha_1 \) is the high-loss value at the bottom. A maximum extinction ratio of 34 dB [44] has been assumed for the two modulators. Spontaneous emission events are considered through complex Gaussian white noise terms \( \xi_i(t) \) of zero mean and correlation

\[
\langle \xi_i(t) \xi_j'(t) \rangle = 2\gamma_i \delta(t_1 - t_2).
\]
Although the parameters used, listed in Table I, are the same for the two lasers DFB₁ and DFB₂, the formalism employed remains valid when different independent choices of the oscillators are made to fit the desired performance. The injection current \( J₁(t) = J₁ \) is kept to a constant value above threshold, while \( J₂(t) \) is the biased input from the signal generator. The frequency mismatch between the two lasers is set to zero due to the tuning provided to the master oscillator. In the above presented model, feedback effects due to optical reflections have been ignored. These effects should be included through Lang-Kobayashi [45] delayed feedback terms. In our numerical simulations we included such terms without any significant change in the results. This is due to the presence of direct optical injection terms, orders of magnitude more important than those arising from optical feedback.

The set of modified rate equations [(1), (2)] is numerically solved by means of a first-order Euler algorithm, with a time step of 0.01 ps, in order to obtain time traces of the output optical pulses. The time jitter of the generated pulses can be evaluated by averaging the turn-on time at a selected reference of the output power (usually at half the power peak) over many turn-on events. The chirped operation of the
generated output can be investigated by Fourier transforming the complex optical field inside a time slot. The performance of the proposed configuration can be optionally compared with that of the free-running slave laser DFB$_2$, by setting the coupling losses $\gamma^2_{\text{ext}}$ to zero and removing the external modulation supplied by EAM$_2$.

IV. RESULTS

According to the previous section, a pseudorandom bit stream at a modulation rate of 5 GHz is supplied to the device. In Fig. 2(a), a 1001110101 current bit stream was used. The bias current was raised 3.5 times above its threshold value. Fig. 2(b) shows the optical response of the solitary laser DFB$_2$ when no optical injection and optical modulators are considered. The peak power of the laser is around 7 mW, and the pulse width is as large as to occupy the whole assigned time slot of 200 ps. Pattern effects [40] arise giving undesired pulse overlapping and distortion, leading to small eye openings. A higher optical pulse-peak is obtained by supplying optical injection from the master configuration to DFB$_2$, as in Fig. 2(c) (coupling losses of $\gamma^2_{\text{ext}} = 0.33$ are assumed for the counterpropagating wave). Optical pulses as high as 25 mW with small FWHM are achieved by this
method. Nevertheless, we focus the attention of the reader on the large pulse widths at the bottom, which clearly prevents the implementation of multiplexing techniques in the time domain. This is why a second optical modulator EAM2 has been included in our device (see Fig. 2(d), where the final output power from the device is plotted). The modulator suppresses the pulse tails and is able to allocate the whole optical pulses of 25 mW in time slots narrower than 50 ps. In this way, up to four pulses can be multiplexed in the original time slot of 200 ps, leading to a potential 20 GHz modulation frequency.

In the same direction as Fig. 2, the results concerning periodic instead of pseudorandom modulation are included in Fig. 3. In both cases the train of generated pulses was stable and the time jitter measured lower than 1 ps, so that the output pulses can be safely allocated in their assigned time slots. We attribute fluctuations of the peak-powers [see Figs. 2(d) and 3(d)] to the omission of an optical isolator which is present in typical injection-locking arrangements, giving rise to nonvanishing values of the coupling losses $\eta_{\text{ext}}$. The optical isolator is usually included to free the CW emission of the master laser from the influence of the slave. Our device has been designed without an optical isolator for low-cost and packaging purposes. There are two main reasons why it is important to remove the optical isolator used in
standard injection-locking techniques. First of all, from an economical point of view, the optical isolator is the most expensive component of these systems, and its removal would significantly reduce the price of the whole emitter. On the other hand, the optical isolator’s size prevents the implementation of the emitter in a very small package and, as a consequence, its integrability. Whenever a large amount of laser emitters is required, it is necessary to remove the isolator. The main drawback due to the omission of the optical isolator is the need of including timing circuit controls or, alternatively, a careful positioning of the individual components. By selecting the distance between EAM\textsubscript{1} and DFB\textsubscript{2}, the first modulator can be adjusted to receive light pulses from the slave when EAM\textsubscript{1} is in the off state, thus preventing almost any external optical input to the master DFB\textsubscript{1}. The arrangement is similar to that reported in [46] designed to avoid optical feedback effects in DFB laser/electroabsorption modulator packages. Just to illustrate this point, Fig. 4 shows the output power of the dc biased master laser DFB\textsubscript{1} and a train of optical pulses from the whole device. In Fig. 4(a) and (b), the coupling losses parameter is set to \( \eta_{\text{ext}}^1 = 0.13 \). This low numerical value gives rise to small fluctuations around a 10\% in the master laser output. As a consequence, almost no fluctuations of the peak powers of the output pulses is observed. On the other hand, the numerical value of \( \eta_{\text{ext}}^1 \) in Fig. 4(c) and (d) has been raised to unity. This situation would correspond to a grown structure in which no preferred propagation direction has been selected, such as a passive waveguide. In this case, large fluctuations in the DFB\textsubscript{1} operation around a 60\% are observed, which clearly lead to fluctuations of the peak powers of the optical pulses. However, we note that even in this unpleasant situation, the output pulses remain limited to their 50 ps time slot in spite of their peak-power dispersion, and are thus suitable for multiplexing applications.

![Fig. 5. The optical spectrum of (a) solitary gain-switched slave DFB\textsubscript{2} is compared to the (b) optical spectrum obtained with the reported device.](image)
Information regarding the frequency response of the device is supplied in Fig. 5. The optical spectrum [Fig. 5(b)] is clearly more symmetric than that of the solitary slave DFB$_2$, which presents the typical downchirp of gain-switched semiconductor lasers [Fig. 5(a)]. The FWHM of the optical spectrum generated with our device is only slightly larger than that of solitary gain-switched lasers, mainly due to their narrow pulse shape.

In the reported results, the time delays in the modulator delay lines have been selected according to the following strategy. The gain of the free-running slave laser DFB$_2$, provided by the carrier population, reaches its maximum just before the laser turns on, and then has a fast decay due to stimulated recombinations. This laser turn-on is usually triggered by spontaneous emission events. In our device the delay applied to EAM$_1$ is selected to supply optical injection just before the slave gain reaches its maximum, avoiding a noise-induced turn-on. The delay applied to EAM$_2$ is determined afterwards so as to suppress secondary pulses due to the slave laser dynamics.

Although identical lasers have been used in the reported simulations, different master and slave lasers can be used, as long as the two lasers are single-mode and operate at the same optical frequency. Slight detunings of up to 100 MHz do not lead to detrimental performances. In the case that two DFB lasers cannot match the frequency in such a way, multisection DBR lasers could be also used. The modulation bandwidth of the master laser is not relevant, since it is CW operated. The slave laser and the two EAM’s must allow a modulation bandwidth of 5 GHz, a modulation rate commercially available at present for these devices.

V. Conclusions

A low-cost generator of optical pulses based on modified injection-locking techniques has been demonstrated. The device incorporates two semiconductor lasers, one of them stabilized at a constant bias and the other current modulated according to a RZ periodic or pseudorandom bit stream. Width and height of the generated pulses are selected by means of controlled delays in the current applied to two electroabsorption modulators. These delays must be set so that the optical injection is supplied to the slave at the maximum of the material gain. In a particular configuration, a modulation bandwidth of 20 GHz has been reported by time multiplexing four optical pulses in a single time slot. The quality of the generated pulses is better than those generated in previously reported configurations. Finally, the proposed device can be implemented in a small package of a few millimeters due to the reduced size of the individual components.

References


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