RESEARCH ARTICLE

Experiences with a training DSW knowledge model for early-stage researchers [version 1; peer review: 1 approved, 3 approved with reservations]

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Abstract

Background: Data management is fast becoming an essential part of scientific practice, driven by open science and FAIR (findable, accessible, interoperable, and reusable) data sharing requirements. Whilst data management plans (DMPs) are clear to data management experts and data stewards, understandings of their purpose and creation are often obscure to the producers of the data, which in academic environments are often PhD students.

Methods: Within the RNAct EU Horizon 2020 ITN project, we engaged the 10 RNAct early-stage researchers (ESRs) in a training project aimed at formulating a DMP. To do so, we used the Data Stewardship Wizard (DSW) framework and modified the existing Life Sciences Knowledge Model into a simplified version aimed at training young scientists, with computational or experimental backgrounds, in core data management principles. We collected feedback from the ESRs during this exercise.

Results: Here, we introduce our new life-sciences training DMP template for young scientists. We report and discuss our experiences as principal investigators (PIs) and ESRs during this project and address the typical difficulties that are encountered in developing and understanding a DMP.

Conclusions: We found that the DS-wizard can also be an appropriate tool for DMP training, to get terminology and concepts across to researchers. A full training in addition requires an upstream step to present basic DMP concepts and a downstream step to publish a dataset in a (public) repository. Overall, the DS-Wizard tool was essential for our DMP training and we hope our efforts can be used in other projects.

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Any reports and responses or comments on the article can be found at the end of the article.

Keywords

Data Management Plan, metadata, student training, FAIR principles, open science, structural bioinformatics, molecular biology.

H2020 This article is included in the Horizon 2020 gateway.

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Introduction

During the last decade, Open Science practices have become mainstream, with Open Access publications now common (Mills, 2020), and data collection and sharing under the FAIR (findable, accessible, interoperable, and reusable) principles (Jacobsen et al., 2020) strongly encouraged by many research funding agencies[1]. Nowadays, for most research projects, a data management plan[2] (DMP) is required as a formal document that outlines how to handle research data both during research and after the research project is completed. The DMP essentially documents key activities in the research data lifecycle, such as the collection, description, preservation, and access or discovery of data. In brief, it should specify the services and legal support that the project needs to make the data as FAIR and open as possible. Such documentation is crucial for the reproducibility of research results, which is a fundamental precept of scientific investigations. International organisations such as the Research Data Alliance (RDA) host working groups which are trying to define DMP standards, while research funding agencies such as Horizon Europe propose template documents for DMPs. However, researchers that produce data need tools to help them create a DMP, and there are still only a limited number of online tools for filling in a DMP questionnaire, probably due to the lack of standardization. The Opidor DMP tool and the ELIXIR Data Stewardship Wizard are good examples of frameworks that facilitate DMP production.

Nevertheless, the collection of data in a way that enables FAIR data sharing is not trivial, and requires some knowledge of underlying principles of data annotation (metadata) and the overall ways in which data can be organized (formats, storage, etc.). Increasing efforts at academic and research institutions, mainly by employing data stewards to help the producers of the data, as well as upcoming changes in evaluation practices, are enabling FAIR data sharing, but there are still many hurdles present. For example, whilst data stewards can educate scientists in data management principles and help them, the scientist themselves have to understand the data management terminology and aims to a certain extent, so that they can reliably collect and organize relevant data, while remaining motivated to do so. This active participation of scientists is especially relevant as data (storage) is often very domain specific, making it impossible for data stewards to understand all the subtleties and prior practices of each field.

Our goal here is to describe the experience of learning about data management by Early- Stage Researchers (ESRs) in the frame of the RNAct Marie Sklodowska Curie European innovative training network (ITN) (Gownaris et al., 2022).

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[1] https://www.e-education.psu.edu/dmpt/node/645

[2] https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-data-management/data-management_en.htm

The RNAct ITN (https://rnact.eu/) started in 2018 and employed 10 ESRs in research with the main goal being the re-design of RNA Recognition Motif (RRM) protein domains. By investigating how these RRMs bind RNA molecules, through structural biology and bioinformatics approaches, their application in biosensor development and synthetic biology was envisaged. The project employed a mix of synergistic computational and experimental approaches, in a 50/50 ratio, and so encompassed two very different audiences in terms of how data is managed. To address this as part of the project, a data management subcommittee consisting of the principal investigators responsible for RNAct data management (DM PIs; Drs. Devignes, Smaïl-Tabbone, Chauvot de Beauchêne and Vranken) was initiated at the start of the project, with regular meetings held. This subcommittee trained the 10 ESRs on basic and practical data management principles, with the main goal the creation of individual Data Management Plans (DMPs). This process highlighted difficulties in explaining data management: what it means, which resources are available, how related it is to the publication of FAIR data, etc. A key difficulty for our ESRs was, for example, how to describe the data they were producing during their PhD thesis work. Explaining this required an iterative process of providing information about data management, while asking them for relevant information about their own datasets. During this process, we developed a 'simple' version of the generic Life Sciences Data Stewardship Wizard (DSW) Knowledge Model (Pergl et al., 2019). This simplified model is aimed at training young scientists, both with computational and experimental backgrounds, in core data management principles. We collected feedback from the ESRs during this exercise. We here report the experiences of ourselves and the ESRs and introduce our new training life sciences data management plan template for young scientists.

Methods

Data Stewardship Wizard (DSW) framework

The DSW framework (Pergl et al., 2019) has become well known through various working groups of ELIXIR, the European Research Infrastructure for bioinformatics (Harrow et al., 2021), where it is part of an associated set of tools around FAIR data management (Wilkinson et al., 2016). It is based on a set of core concepts, with default data management plan questionnaires provided, while still allowing flexibility in customization of these templates for specific purposes. We decided to use DSW based on the three following key features.

1. Possibility to get an «RNAct instance» of the DS-Wizard hosted in the DSW Cloud, thanks to the resources provided by the ELIXIR infrastructure

2. Possibility to modify the default «Life Science DSW Knowledge Model» into an RNAct specific Knowledge Model

3. Possibility for the ESRs to create their own DMP projects based on this model.

The DSW framework includes three main levels: knowledge model, template, and project that are schematized in Figure 1.
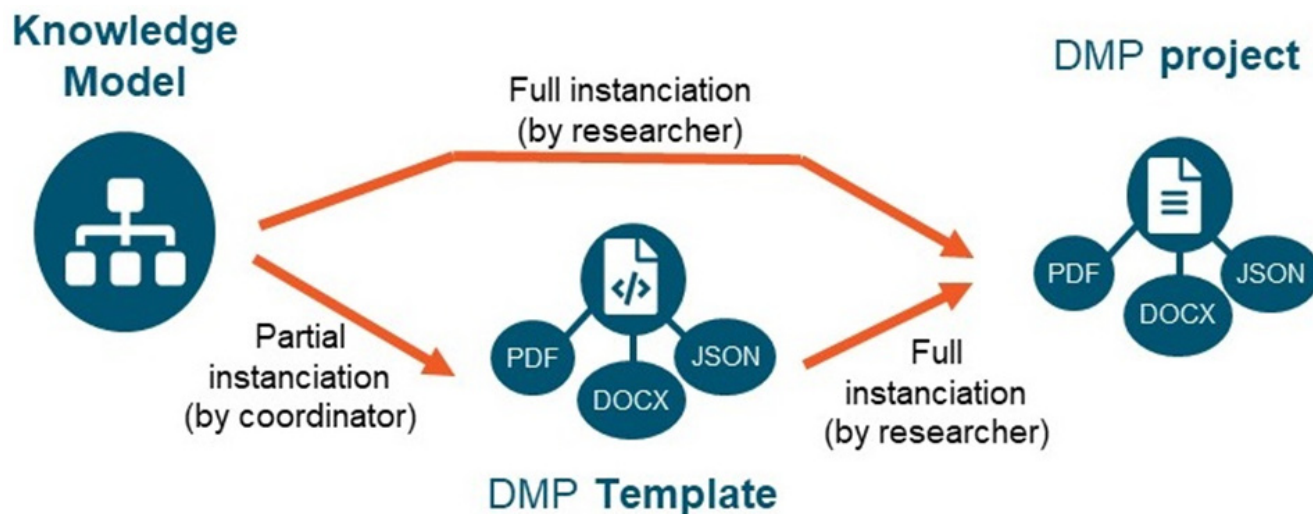
Figure 1. The three levels of DSW framework. The 'knowledge model' describes the elements of the DMP in the form of questions, which can be directly answered in by researchers to create a DMP 'project', exportable under various formats for various usages. Alternatively, the knowledge model questionnaire can be partially pre-filled by the project coordinator with common information to provide an initial DMP 'template' to be further completed by researchers. Permission has been given from the DSW to use their images in this figure.

The DS Wizard tool provides a user-friendly interface for editing the DSW **knowledge model** (Figure 2). It is organized by chapters, which capture aspects of data management (*e.g.* Administrative information). Each chapter has sections, which are more specific (*e.g.* contributors to a DMP) and which contain questions to collect information (*e.g.* name of a contributor). The questions are tagged depending on the timeline in a data/project lifecycle (*e.g.* start of the project), and depending on the impact of answers on the compliance with respect to FAIR principles (*e.g.* answering Yes to the question "Will you describe your data with standard vocabularies or ontologies?" will result in a green FAIR tag).

A knowledge model can be instantiated as a DMP **project** through a user-friendly questionnaire interface. Moreover, one can save a pre-filled version of a project as a **template**, when several DMP projects have to be produced with shared information (e.g. funding sources, licensing modes).

Other resources
When editing the knowledge model, we added references to the resources and documentation compiled in the ELIXIR RDMkit such as FAIR-SHARING to facilitate the search for relevant ontologies and vocabularies.

Experimental design
The experimental design was based on an iterative process, with task sharing between ESRs and DM PIs as data management supervisors (Figure 3). All ESRs had to select among all the data they produced one relevant dataset for which they had to produce a DMP project. Starting from the default Life Science DSW knowledge model (version 2.4.0), we performed two iterations of a process composed of four steps: (i) creating or updating the RNAct DSW knowledge model,

(ii) having the ESRs create their own DMPs, (iii) reviewing the DMPs and (iv) collecting feedback from the ESRs.

The first round of this process was interactively performed at a hybrid session during an RNAct workshop (3rd June 2021, Brussels), with 7 ESRs physically present and 3 present online. For this session, version 1.0.3 of the RNAct_ESRTraining_KM DMP questionnaire was used (see Vranken *et al.*, 2023). The following steps were then taken:

1. Presentation of general concepts around data management (available at Vranken *et al.*, 2023), including an introduction of the DSW and an overview of the DMP questionnaire.

2. The ESRs selected the dataset(s) that they wanted to create a DMP for, with diverse computational and experimental topics: protein domain structure data; binding and RNA/protein interface data; cell cultures and other data in relation to the Mushashi-1 protein; commercial data; and cell lines (see Table 1).

3. During a 3-hour session they filled in the DMP questionnaire, with technical help provided but minimal help on content, in order to let them independently explore the questionnaire and identify problems.

4. This session was followed by a one-hour session to qualitatively gather their feedback, for example in relation to how much they understood of the DMP terminology that was used, if they encountered specific needs with regard to their dataset, etc.

We obtained 10 DMPs (available at Vranken *et al.*, 2023). This procedure revealed that most ESRs had particular difficulties with: i) the many nested questions inside the DMP
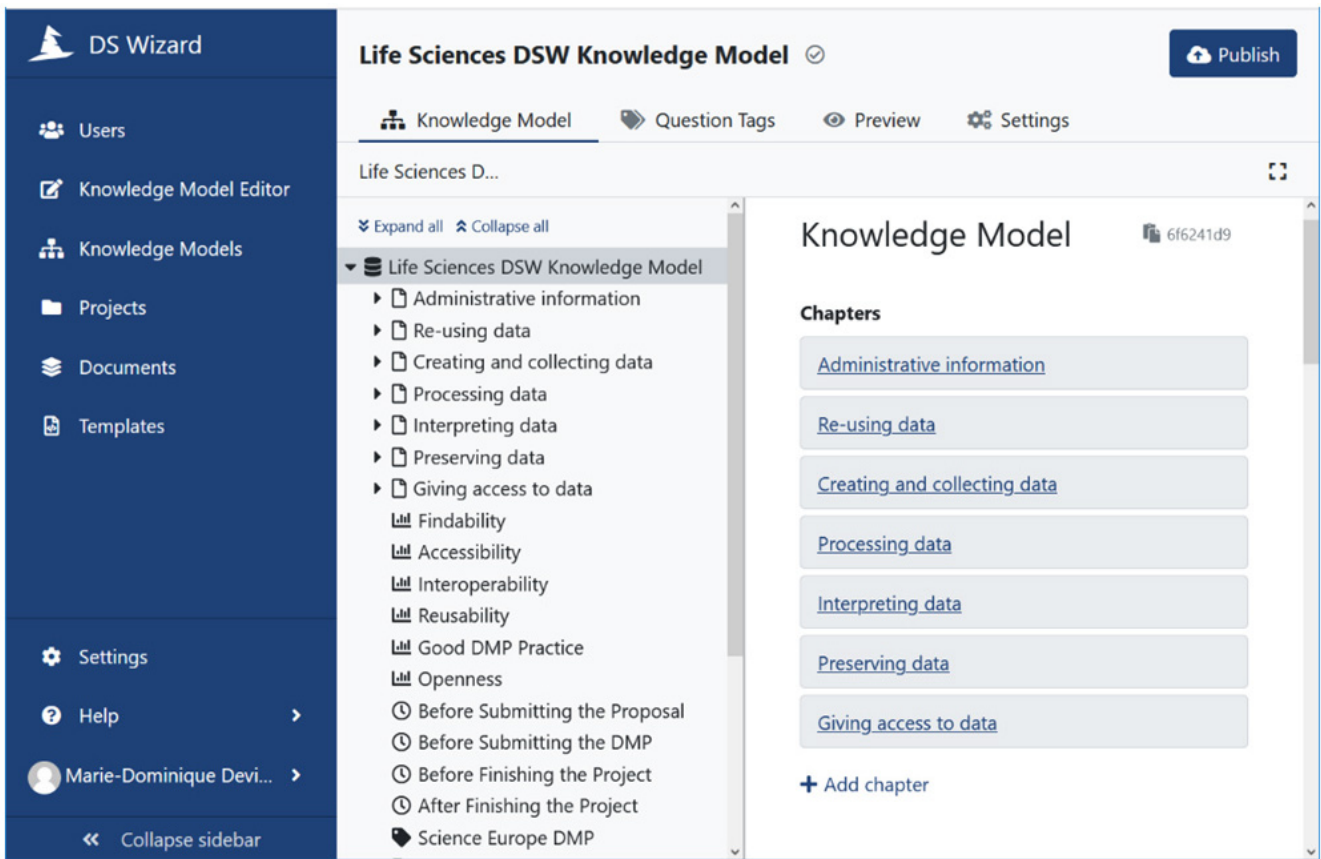
Figure 2. DS Wizard interface for Knowledge Model editor. Permission has been given from the DSW to use their images in this figure.
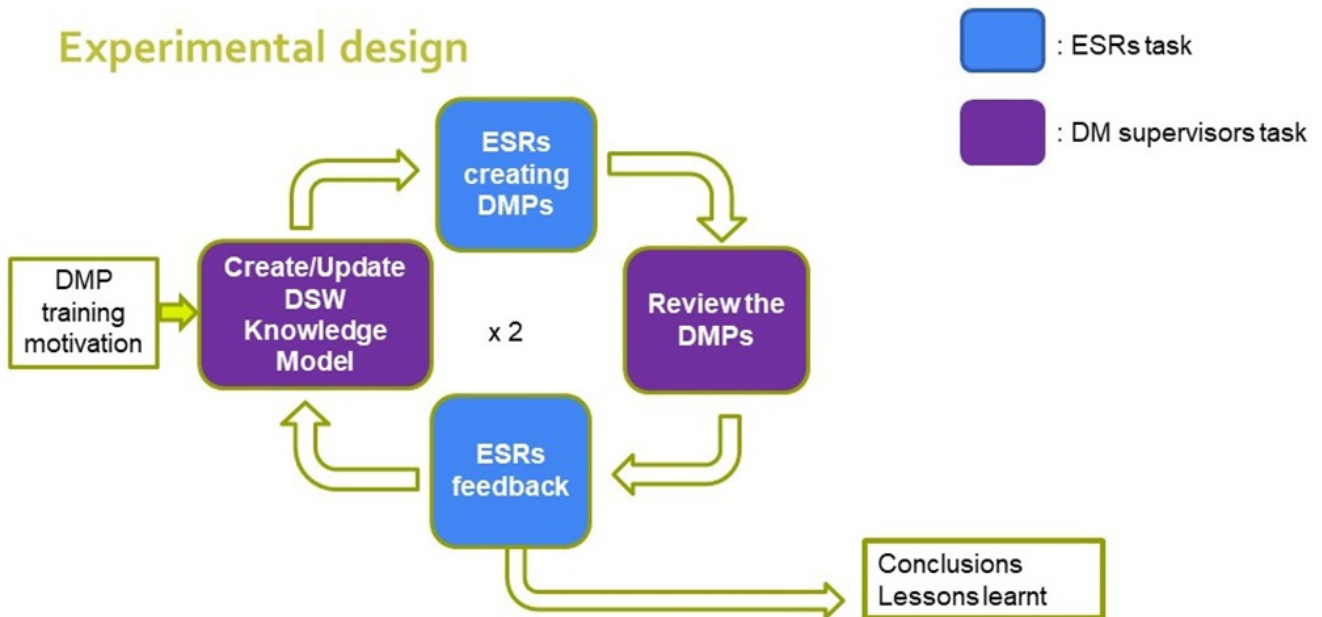
Figure 3. Experimental design for the DMP training. Starting from the modified RNAct knowledge model, ESRs created DMPs that were reviewed, to which ESRs provided further feedback that was taken into account to tune the knowledge model.

Table 1. Brief description of the datasets addressed by ESRs' DMPs. Only specific EDAM metadata terms are displayed (3rd column). Common terms are RNA and Protein interactions. The full table is available at Vranken et al., 2023 (RNA_datasets.xlsx).

ESR	Title	Metadata from EDAM ontology for content description	File formats	Size	Short description
1	Protein Conformational Variability predictions for RRMs	Prediction and recognition; Protein property; Protein folding, stability and design	json	10Mb	Dataset with predictions of the RRM proteins in the InteR3MDB with the ConforMine program.
2	RRM conservation and contact diversity	Sequence alignment analysis (conservation); Protein Structure alignment; Protein-nucleic acid interaction analysis	json, fasta	500Mb	Dataset listing conserved residues and protein-nucleic acid contacts for all positions of the RRM master alignment.
3	Database for RRM-RNA Interactions.	Data integration and warehousing; Residue interaction calculation	sql	500Mb	Complete and comprehensive database about RRMs (InteR3Mdb)
4	Protein bound ssRNA fragments (PSRNA)	Protein interaction data; Protein binding sites ; Residue interaction calculation	pdb, json	<5 Gb	List of characteristics for protein-RNA contacts extracted from PDB complexes. Each RNA is considered as a set of overlapping trinucleotides.
5	Phage-display results for novel RRM design	Protein-nucleic acid interaction analysis, Protein interaction experiment; Protein interaction data	xlsx	>1Gb	Protein-RNA interaction data produced from processing and analyzing phage display results corresponding to in vitro binding experiments of RNA/ ssDNA to Sex-lethal protein.
6	NMR data analysis for hnRNP A1 protein	Structural biology; NMR; Protein interaction data	xlsx	>1Gb	Data produced from processing and analyzing NMR data collected on hnRNP A1
7	NMR data analysis for Musashi-1 RRM domains	NMR; Protein interaction data	xlsx	>1Gb	Data produced from processing and analyzing NMR data collected on human Musashi-1 protein domains (RRM-1, RRM-2, RRM1-2 and RRM1-2 DM).
8	Fluorometric data on Musashi circuit	Synthetic Biology; Cytometry; Imaging	xlsx	>1Gb	Results of fluorometry on Musashi protein (from Varioskan Lux microplate reader).
9	Human Musashi-1 binding kinetics	Rate of association; Protein-nucleic acid interaction analysis	csv, etbl	>1 Gb	Binding kinetic traces (heliOS) and extracted association and dissociation rate constants, as well as affinity values for a determined protein-nucleic acid interaction (Musashi-1).
10	RNA-Musashi1 interaction in living cells	Protein-nucleic acid interaction analysis; Gene expression	ltr, ltv, txt	<5 Gb	Data collected using LigandTracer technology (.ltr) and analyzed using TraceDrawer (.ltv). Detection of RNA-protein binding and kinetics in living cells using real time binding assays.

questionnaire, pointing to its complexity, and ii) lack of understanding of data management concepts and terminology, especially the metadata section, which was overlooked by most ESRs because they did not comprehend why this was relevant.

The qualitative feedback therefore indicated a strong need to simplify the version 1.0.3 knowledge model, with more explanations provided for each of the questions. The 10 DMPs were therefore reviewed in detail by the DM PIs in subsequent meetings and the version 1.0.3 model was updated accordingly to result in the further simplified and annotated RNAct_ESR-Training_KM version 1.0.14 DMP questionnaire (available at Vranken et al., 2023) (Figure 4). A template project based on this questionnaire and pre-filled with general information

about the RNAct project (such as funding sources) was proposed to the ESRs in April 2022 (available at Vranken et al., 2023). The following steps were then taken:

1. The ESRs were asked to fill this template anew, now online using the – by then more extensive – information about their dataset.

2. To obtain more quantitative feedback, an online survey form (available at Vranken et al., 2023) was created for the ESRs to fill in after completing their DMP.

The resulting 8 full completed projects and the survey results were collected in June 2022 (available at Vranken et al., 2023). The survey results were qualitatively analysed by the participants in this study.
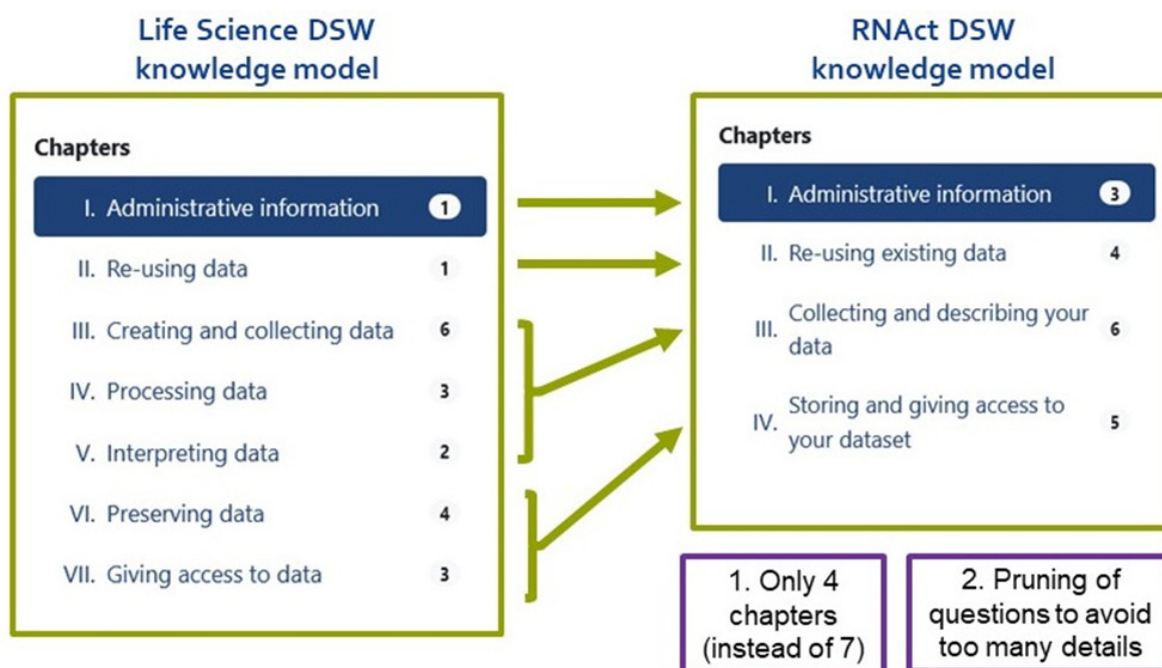
Figure 4. Summary of the initial modifications of the default Life Science DSW model. The number of chapters was reduced and questions were removed and/or simplified.

A preliminary report about this experiment was presented at the DM workshop during the ELIXIR All Hands meeting in June 2022 (Amsterdam, the Netherlands). Final missing ESR information for DMPs was collected separately during December 2022 to obtain 10 complete DMPs, with the data summarized in Table 1.

Results

The RNAct DSW Knowledge Model

We present the final RNAct DSW Knowledge Model that was implemented based on the ESR feedback after the first round of DMP production and which is available at https://registry.ds-wizard.org/knowledge-models/rnact:rnact-esr:1.1.0. We reduced the number of chapters from 7 to 4, thereby merging chapters III, IV and V about 'Creating and Collecting data', 'Processing data', and 'Interpreting data' into a single chapter III: 'Collecting and Describing your data'. We also merged Chapters VI and VII about 'Preserving data' and 'Giving access to data' into a single chapter IV 'Storing and giving access to your dataset'.

Meanwhile, we pruned certain nested questions to avoid cognitive overload. We mention here some examples of removed or simplified questions.

- In chapter I, we skipped questions relative to the description of diverse policies and procedures for data

management or requiring any additional specialist expertise (as these questions are beyond the ESR role in the RNAct project).

- In chapter II, we merged questions relative to reference and non-reference data;

- In former chapter III, we skipped the questions concerning collaborations with groups/institutions, data integrity, and data integration tools; we also moved the questions relative to file naming/organization to the new chapter IV.

- In former chapter IV, we skipped the questions on how to validate the integrity of the results or plan the computing capacity required for processing data.

- In former chapter V, we removed all questions (e.g. asking for data formats, common ontologies for interpreting the results etc.) as these questions were included in the new chapter III for data description.

As for the difficulties encountered by ESRs concerning metadata concepts, we tried to explain metadata items by relating them to more familiar concepts, such as items describing published articles in Zotero or BibteX. The original metadata question in the Chapter 'Collecting and Describing your data' was restructured as shown in Figure 5. We added three
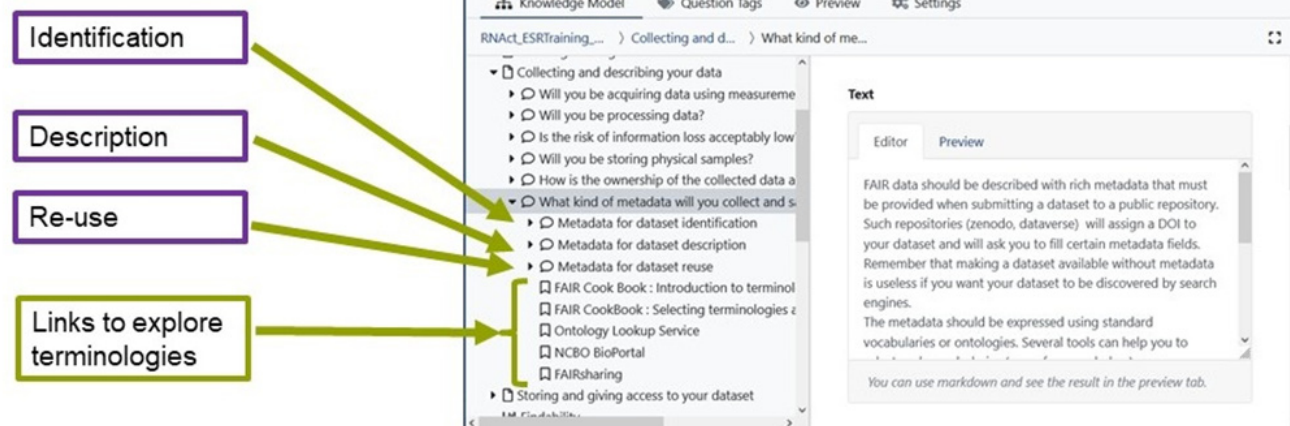
Figure 5. Summary of the structure of the metadata question in the RNAct DSW Knowledge Model. Permission has been given from the DSW to use their images in this figure.

sub-questions to the question "What kind of metadata will you collect and save?" to collect information on metadata for:

- Identifying the dataset
- Describing the dataset
- Reusing the dataset.

By doing so, we wanted to clarify what the metadata concretely refer to. We also provided examples of relevant vocabularies and ontologies for each category of metadata, as well as links to online resources to guide ESRs in the task of understanding and selecting proper vocabulary and ontology terms for their data.

ESR feedback

The discussions at the DM subcommittee and with the ESRs identified a series of issues that surfaced, and solutions which we employed, which are summarized in Table 2.

In addition, we formulated a final survey for the ESRs to quantify where the key problems are situated from their perspective. At the end of the process, ESRs were asked to answer this survey. Results for the three checkbox questions are presented in Figure 6.

- To the 1st question of the survey, ESRs mostly answered that they found the 4 chapters equally useful, while some of them specified that the most useful was Chapter III (Collecting and describing the data), others opted for Chapter IV (Storing and giving access to the dataset).
- To the 2nd question, concerning the most difficult chapters, answers were quite diverse and covered all chapters, except for the first one (administrative information).

- To the 3rd question, concerning the relevance of the chapters, most ESRs found that all 4 chapters are equally relevant.

In the survey, we also asked ESRs about what they learnt about data management during the exercise. They answered that they learnt i) good practices for data storage and accessibility, ii) how to use metadata, iii) how important and difficult it is to comply with all data management requirements. To the question about what remained unclear to them, the main issues were related to metadata, with one question about how to publish data in repositories. Finally, the ESRs suggested to further improve the RNAct knowledge model, in particular to include more explanations for experimentalists and video help to answer the most difficult questions.

Conclusion

A limitation of our study and results is that they only cover in depth the experiences of 10 ESRs in the life sciences field, although with a relatively wide coverage of topics from protein structure to biosensors and synthetic biology, and especially covering both experimental and computational angles. The data we collected is highly qualitative, with some quantitative aspects. The main product of the process is the RNAct knowledge model (version 1.1.0), which we are making available via https://registry.ds-wizard.org/knowledge-models/rnact:rnact-esr:1.1.0, with any updates available via https://registry-ppe.ds-wizard.org/knowledge-models/rnact:rnact-esr:latest. We also noticed that some ESRs filled in the absolute minimum in the templates, likely because of a lack of motivation. Indeed, the DMP exercise remains very abstract as long as there is no way to use the produced DMP as an operational guide for subsequent steps, such as dataset identification, description, storage and publication. This made it difficult to justify the choice of items to keep in the simplified model. The

Table 2. Issues and solutions related to data management identified during the project.

Issue	Possible solutions
The final aim of a DMP is very difficult to get across and is in general considered very abstract, hindering the students' motivation.	Concrete examples help to clarify why they are creating a DMP, and to motivate them.
The selection of the most important datasets to document and store is difficult, especially during exploratory phases of research. At which point should one archive data?	Provide a wider perspective: which datasets will in the end be most useful for other users? This is especially important for early-stage researchers that might not yet have this view.
It is very difficult to label datasets with metadata and other info in a structured way (using ontologies, …) so that it is easily re-usable. In other words, questions related to terminologies and vocabularies are difficult.	Propose a small concrete list of possible resources, relevant for their research field, from which they may choose.
There are large differences between the level of knowledge about data between ESRs with computational and experimental backgrounds. Both produce and/or work with data, but the way of organizing and labelling data is very different.	Minimum information standards that include both types of information focussed on a research field (*e.g.* MIADE for intrinsically disordered proteins) are essential to make this practical and easier.
There are large differences between the types of data being used. 'Large scale' data are often already in a more consistent digital form, whereas 'focussed individual projects' data are often not as well organised, with more freedom available in how to store the data.	In the focussed data case, organised file directory structures are often the key organisational feature, and help to understand dataset content.
The need for correctly licensing data is difficult to get across, but is essential for researchers to understand in relation to making their data open.	Online resources such as http://ufal.github.io/public-license-selector/ to guide the selection of suitable licenses can help.
Once the DMP is finalized, how should the dataset be published in public repositories, and where?	This question goes beyond the DMP production *per se* but it shows that the exercise can prepare to next steps of open science, *e.g.,* data sharing.
The experimentalists need more explanations and (video) tutorials to help them answering the most difficult questions.	Identify existing training material and adapt them to the audience if necessary, *e.g.*, from the ELIXIR TESS catalogue
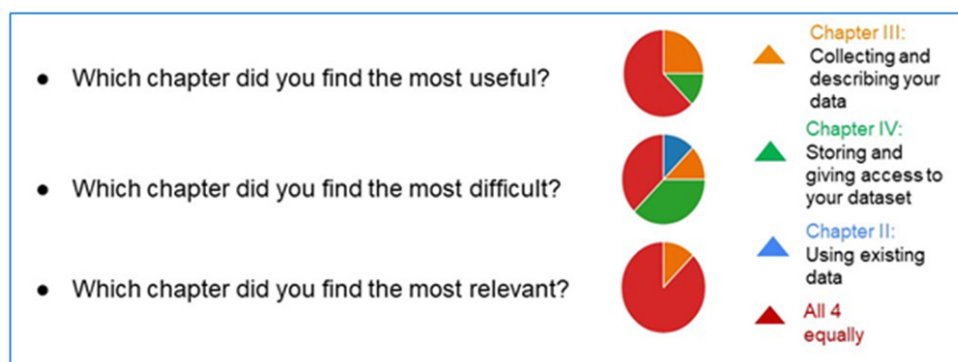
Figure 6. Response distribution for the first three survey questions. The full responses are available from Vranken *et al.*, 2023 (RNAct feedback questionnaire.xlsx).

produced DMPs are also not machine actionable; each DMP can be visualized and exported in various formats, but we still lack tools with functionalities such as querying, aggregating, performing statistical analyses, etc. Institutions increasingly ask for DMPs, but their further use beyond the initial generation stage, where it ideally makes researchers ponder their data, seems limited (Smale *et al.*, 2020). To exploit DMPs as the field progresses, it will become increasingly important to direct researchers to topic specific databases, where their data can be stored in a highly structured and meaningful way. The EOSC[3] (European Open Science Cloud) organisation is working towards this, but combined data storage and DMP infrastructure is required, as ideally the DMP should only describe the specific data locations where field-specific information will

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[3] https://eosc.eu/about-eosc

be stored. We hope our model will contribute to the mutual understanding between data stewards and researchers that is required to work towards this goal.

In conclusion, we found that the DS-wizard is not only relevant for data stewards, to collect and maintain information on DMPs, but that it is also an appropriate tool for DMP training. This is important, as data management is not an easy task, with the terminology and concepts difficult to get across to researchers. Young people need education on DM at least for at least for their next project submissions and in the more distant future to benefit society as a whole. Both ESRs and DM supervisors learnt a lot during the exercise we describe here, in terms of increased awareness of data management and interoperability requirements. To get a more complete picture of DMP training, we think that such an exercise with DS wizard should be extended with two other steps:

- An upstream step presenting basic concepts and principles on DMPs: such presentations can be selected from existing training material in the ELIXIR TESS catalogue, or can be built by exploring the ELIXIR RDMkit web resources,

- A downstream step that corresponds to the publication of the dataset in a public repository like Zenodo, for which some training material already exists in the FAIR CookBook or in the RDMkit.

Overall, the process we followed thanks to the DS-Wizard played a central role in our DMP training for ESRs in the frame of this RNAct project, and we hope that our efforts can be used in other projects, and by data stewards, to create more complete training on data management for young researchers.

Consent
All participants gave written informed consent for their participation in the research and for the use and publication of their feedback on the knowledge form. Ethical approval was not required for this research given the non-sensitive nature of the data and the consent and active involvement of the participants in the study.

Data availability
Underlying data
Zenodo: Supporting information for the RNAct Data Science Wizard (DSW) knowledge model for early-stage researchers. https://doi.org/10.5281/zenodo.7912419 (Vranken *et al.*, 2023)

This project contains the following files:
- RNAct feedback questionnaire.xlsx
- RNAct_datasets.xlsx
- dmp_development.zip

Data are available under the terms of the Creative Commons Attribution 4.0 International license (CC-BY 4.0).

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Version 1

Reviewer Report 22 August 2023

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❓ **Natalie Meyers** [ID]

University of Notre Dame, Notre Dame, Indiana, USA

The authors describe a unique learning experience wherein Early Stage Researchers (ESRs) from the RNAct EU Horizon 2020 project are offered data stewardship training and then use the Data Stewardship Wizard (DSW) tool for data management planning in a workshop setting. The ESRs encounter the default Life Sciences Knowledge Model (v1.0.3) when using the Data Stewardship Wizard (DSW) tool which has been used to instantiate a questionnaire that aids participants to prepare data management plans. The ESR participants, each having selected a dataset from diverse RNAct aligned computational and experimental topics, use the questionnaire in the DSW tool to prepare a first round of data management plans for their data sets. Qualitative feedback on the experience is gathered from the ESRs at the end of the workshop to inform a revision of the model (rnact:rnact-esr:1.1.0) in response to ESRs' usability concerns and better suited to the ESRs' data stewardship skill level.

About eleven months later the ESRs use the DSW tool again to respond to a new questionnaire (RNAct_ESR-Training_KM v 1.01.4) driven by the revised model. The ESRs use the questionnaire to prepare a second round of data management plans. At the end of the process the ESRs complete a survey to describe what they have learned about data management during the exercise and to provide feedback on using the knowledge model driven questionnaire inside the DSW tool.

The project has transparently released as an project output the *RNAct ESR Training KM,* a Data Stewardship Wizard (DSW) knowledge model emphasizing its design as a training tool to help teach early-stage researchers (ESRs) about Data Management Plans (DMP) in the life sciences. The revised model is shared alongside the project's survey data and its two rounds of DMP output. This sharing is commendable and the outputs should be re-useful to other data stewardship training efforts, to those studying impacts of data management training, as well as for those interested in improving tool usability for authoring data management plans.

While the project generated two sets of DMPs (before and after the implementation of rnact:rnact-esr:1.1.0) the write up offers no comparison of the quality of the plans or their likely relative effectiveness in guiding research activity in compliance with funder mandates or relative impact

on data management and sharing efficiencies for a project. Such comparisons could be a valuable output given this project's before/after structure. A follow up workshop activity where blinded reviewers rate both sets of DMPs and then unblind to compare the DMP reviews might be an interesting next step.

In the conclusion, the authors state the "DMP exercise remains very abstract so long as there is no way to use the produced DMP as an operational guide for subsequent steps, such as data set identification, description, storage and publication." This finding is untestable through the project's method, and the claim itself not clearly justified by the shared project outputs therefore it should be further explicated for the reader.

The claim perhaps does not take enough into account how research projects can benefit when their DMPs are pre-populated with explicit targets defined by URLs and/or DOIs to indicate where a proposed project will do its future data storage & sharing, or how a lab can save time and error when a project's DMP explicitly states how outputs will be licensed. Such pre-declarations or DMP details can in turn be implemented as project defaults that streamline upload activities and assignment of preferred licenses at later time of sharing.

In the conclusion section it is also stated that " . . . the DMP should only describe the specific data locations where field-specific information will be stored." This claim is offered in the context of how it is likely to "become increasingly important to direct researchers to topic specific databases, where their data can be stored in a highly structured and meaningful way."

This position in and of itself is untestable through the project's method and can't be justified through the shared results. Some further clarity is needed here, because if strictly interpreted or taken out of context this position could significantly narrow the policy purposes of data management planning.

Many data management and sharing policies require DMP elements that describe: 1) related tools, software and code; 2) timelines for when and how long data will be available; 3) access, distribution, and re-use considerations, as well as; 4) details surrounding how plan compliance will be monitored and by whom; therefore a DMP compliant with such mandates must include more content than "specific data l;cations where field specific information will be stored".

The paper can be improved by grammatical attention to the repeated "at least for at least" phrase in the second paragraph of the conclusion which reads: "Young people need education on DM at least for at least for their next project submissions and in the more distant future to benefit society as a whole."

With attention to these few areas of concern this paper is approvable.

Is the work clearly and accurately presented and does it cite the current literature?
Yes

Is the study design appropriate and does the work have academic merit?
Yes

Are sufficient details of methods and analysis provided to allow replication by others?

Yes

If applicable, is the statistical analysis and its interpretation appropriate?
Not applicable

Are all the source data underlying the results available to ensure full reproducibility?
Yes

Are the conclusions drawn adequately supported by the results?
Partly

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Data stewardship for reproducible model driven research.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Reviewer Report 11 August 2023

https://doi.org/10.21956/openreseurope.16870.r33767

✔ **Christine R. Kirkpatrick** 🔟
UC San Diego Foundation, La Jolla, California, USA

This article describes a process of engaging with early career researchers through the Data Stewardship Framework to create a data management plan. The use of a knowledge model framework where questions are decided upon and then used by the cohort is an excellent approach, and they build upon prior scholarship and questions. The discussion of how they simplified the model as well as provide more explanation as needed for the target audience is useful and an exercise others will follow.

I read this article with great interest and found it contributed knowledge that those in the applicable fields will find useful. The conclusions are particularly helpful and timely, including the identification of perquisite knowledge needed to be successful, as well as training on how to prepare data for depositing in a community repository. I look forward to its indexing, so I can cite it and discuss with colleagues.

Specific suggestions:
- In the abstract, "Data management is fast becoming an essential part of scientific practice," one could argue it's been there the whole time since early astronomical observations, Mendel, etc. It hasn't always been done well or been called out. I'd argue the practice of

data management co-evolved with digital data's emergence. Perhaps change the phrasing here so one isn't disagreeing with tiny things before they read the major ideas.

○ Consider revising, "During the last decade, Open Science practices have become mainstream," Perhaps the discussion or prioritization has reached the mainstream, but how far that translates into practice is up for debate. I'd sidestep this normative claim and rephrase

○ I found the leap of creating a DMP for a dataset confusing vs. an entire project. If it's for an existing dataset, is the DMP a hypothetical exercise as if you were creating the dataset? Perhaps 1-2 more sentences in the introduction explaining for the non-Biologist how this represents a typical workflow would be helpful. I apologize if this is explained, and I just didn't get it. It might be a difference of terms and adding more explanation will make it clearer for non-European audiences.

○ The issue claimed in Table 2 seems like a leap. Perhaps this is the case for the data examined? "There are large differences between the types of data being used. 'Large scale' data are often already in a more consistent digital form, whereas 'focussed individual projects' data are often not as well organised, with more freedom available in how to store the data.

○ I would have liked to see more than an assumption here, but evidence for this guess: "We also noticed that some ESRs filled in the absolute minimum in the templates, *likely because of a lack of motivation*."

I believe all the data is available as described in the paper, but I could only find the knowledge model files and PDFs. I wasn't sure where the survey raw data was.

Is the work clearly and accurately presented and does it cite the current literature?

Yes

Is the study design appropriate and does the work have academic merit?

Yes

Are sufficient details of methods and analysis provided to allow replication by others?

Yes

If applicable, is the statistical analysis and its interpretation appropriate?

Yes

Are all the source data underlying the results available to ensure full reproducibility?

Partly

Are the conclusions drawn adequately supported by the results?

Partly

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Data management, computer science

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Response 18 Aug 2023
Natalie Meyers

The reviewer writes: *I believe all the data is available as described in the paper, but I could only find the knowledge model files and PDFs. I wasn't sure where the survey raw data was.* The share of supporting files appears to all be linked from the paper to a deposit on Zenodo, here: https://zenodo.org/record/7912419 Where it is described in part as: The two attached Excel sheets contain information about the datasets collected by the Early Stage Researchers (ESRs) in the RNAct MSCA-ITN project (RNAct_datasets.xlsx) and on the questionnaire that was put to the ESRs in relation to the Data Science Wizard (DSW) knowledge model that was developed as part of RNAct . . . Although described above as a questionnaire, the file shared as questionnaire.xlsx appears rather to contain a share of the survey data itself and is linked as:
https://zenodo.org/record/7912419/files/RNAct%20feedback%20questionnaire.xlsx

Competing Interests: No competing interests were disclosed.

Reviewer Report 26 July 2023

https://doi.org/10.21956/openreseurope.16870.r33768

? **Rob Hooft** 🆔
1 Dutch Techcentre for Life Sciences, Utrecht, The Netherlands
2 Architecture and Implementation, Health-RI, Utrecht, The Netherlands

The work is an interesting combination of a short feedback improvement cycle for a Data Stewardship questionnaire and a training for early career researchers on data stewardship. This concept of collecting feedback from people who are new to the subject of data stewardship is very interesting, and using it immediately to improve the structure and content of the questionnaire is valuable. It is an interesting puzzle to balance the goals of complete understanding of the questions by the trainees and improvement of the knowledge model.

I do have some questions about the execution of the changes in the knowledge model that could be valuable to address in the paper. The authors have taken different approaches on different parts of the feedback: for some of the concepts that the trainees found complicated or confusing the solution has been to take them out of the knowledge model, where for other concepts, like

metadata, they have restructured and augmented the knowledge model.

In general, the DSW was created with the idea that by incorporating expertise from different areas of data science and different branches of science into a single model, we could all learn to do things better.

○ Some concepts may be applicable only to part of the audience who is doing data stewardship planning. However, I think that a better approach than to remove such subjects from a derived knowledge model would be to structure the knowledge model in such a way that non-applicable concepts are "hidden" between questions that are identifying their relevance.

○ Other concepts may be difficult to grasp. Here, a similar point applies: difficult concepts should not be avoided, but possibly better explained and supported by links to training materials.

It is clear that not all branches can get equal attention in a process as described in this paper, but is removal of topics the best approach?

Regarding the restructuring of the chapters I would generally like to see some solid input from teachers of data stewardship on what version of the life cycle works best to bring across the topic. The existing chapters in the core knowledge model were aligned as much as possible to the stages in the data life cycle from the UK Data Service, which is a data life cycle (among many) that is frequently re-used and built upon. I would really hesitate to "chop" chapters out in general.

The development of tailored, pruned, knowledge models for specific audiences are often a first reaction to the broad data stewardship approach in DS Wizard. The experience gained by the authors of this paper is very valuable. However, it is my opinion that the highest value is obtained if the single "core" knowledge model of the DS Wizard captures as much as possible of diverse expertise. For example, I really like the way the "metadata" branch of the knowledge model was developed in the work described in this paper. The incorporation of the new extended metadata section into the core model would give it a larger exposure, and thereby benefit to a larger group of future research project. I am looking forward to working with the authors of this paper to see how we can achieve that goal together.

Some textual remarks:
○ Introduction, paragraph 2: "requires some knowledge of underlying principles of data annotation …. organized". I'm looking for a connection between this knowledge and the tool used for data stewardship planning. In your opinion, is it enough knowledge if the researcher is consciously incompetent about data stewardship? And could that state of "conscious incompetence" be achieved using the DS Wizard?

○ Figure 1: "instanciation" should be "instantiation".

○ Under Figure 1: "questions are tagged depending on the timeline in a data/project lifecycle" -> not timeline, but time point in the project lifecycle by when they should probably be answered.

○ "answering Yes to the question "Will you describe your data with standard vocabularies or

ontologies?" will result in a green FAIR tag" -> Can this be made a little bit more precise? The flag is already visible before the answer is given, because it is not an exam on FAIR knowledge, the flags are part of the guidance. The particular green flag in this example is "interoperability".

○ Under figure 2 "save a pre-filled version"; I would state "partially pre-filled".

○ The paper systematically talks about "DMP Projects", we as authors of DS Wizard usually think in the direction of a "Project DMP" where the DMP is not a separate project, but serving a research project.

○ Table 2: I usually try to inspire motivation to make a DMP through stories like irritant things that everyone encounters when dealing with data and that could be avoided using proper planning. An alternative is a more comprehensive story like the famous "panda movie" (search youtube for "data sharing and management snafu in 3 short acts").

○ Table 2: Expresses the need for video instruction. I'd like to express my agreement there.

In conclusion: I am very happy to read that DSW is suitable for training purposes. In the described work this is done in a "supervised" way with DSW in a co-functioning role with human experts; but the work described works on making the DSW tool more usable for self-education too.

Is the work clearly and accurately presented and does it cite the current literature?
Yes

Is the study design appropriate and does the work have academic merit?
Yes

Are sufficient details of methods and analysis provided to allow replication by others?
Yes

If applicable, is the statistical analysis and its interpretation appropriate?
Not applicable

Are all the source data underlying the results available to ensure full reproducibility?
Yes

Are the conclusions drawn adequately supported by the results?
Partly

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: I am one of the authors of the Data Stewardship Wizard, and engineer of the first versions of the Core Knowledge model. I am not an expert in training.

I confirm that I have read this submission and believe that I have an appropriate level of

expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Reviewer Report 18 July 2023

https://doi.org/10.21956/openreseurope.16870.r33051

?   **Fotis Psomopoulos** 🆔

Institute of Applied Biosciences, Centre of Research and Technology Hellas, Thessaloniki, Greece

The work presented here is an outcome of the RNAct project towards assessing data management challenges for early-stage researchers (ESRs). Ten ESRs from life sciences were trained on data management principles with the goal of creating individual Data Management Plans (DMPs). However, even the process of explaining data management and its relation to FAIR data publication had it's own challenges, especially when ESRs were asked to describe their own datasets. To overcome this, a simplified version of the Life Sciences Data Stewardship Wizard (DSW) Knowledge Model was developed, as a means towards offering training in data management. ESRs were asked to provide feedback on the use of the DSW, and based on their feedback, a new training template for life sciences data management plans was designed. The experimental approach towards the design of this new and simplified DMP was iterative, with revisions between feedback from the ESRs and re-design from the data management supervisors.

Overall the work presented here is interesting, and highlights (among others) the need to offer targeted training on data management and DMPs, especially for early career researchers. Some challenges are pervasive (such as insufficient motivation to feel in the DMP, or the lack of specificity of the DMP questions), but the work here established the impact a simplified version of a DMP might have.

The work is clearly and accurately presented, and there are sufficient citations on the current literature. The study design, although minimal and fairly limited in size and scope (10 ESRs from a subdomain within Life Sciences), is appropriate given that the challenges of DMPs are rather interdisciplinary.

Due to the nature of the work, it's rather hard to replicate the analysis - it would be recommended to provide some suggestions (or recipes) on how the overall approach could be reproduced in another domain or, ideally, generalized for a wider audience. Moreover, it would be also useful to provide some brief rationale on the design choices for the simplified model (e.g. given that a challenge is lack of specificity in the DMP questions, why were the tools for data integrity skipped and not replaced by a life-science specific list of "relevant" tools, etc).

The sharing of the data and the models is exemplary, deposited to zenodo with sufficient metadata to be reused in future studies.

Is the work clearly and accurately presented and does it cite the current literature?

Yes

Is the study design appropriate and does the work have academic merit?

Yes

Are sufficient details of methods and analysis provided to allow replication by others?

Partly

If applicable, is the statistical analysis and its interpretation appropriate?

Not applicable

Are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions drawn adequately supported by the results?

Partly

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Training, Software Management

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.