1	Climatology of aerosols over the Caribbean islands: aerosol types, synoptic
2	patterns and transport
3	Albeht Rodríguez Vega <sup>1</sup> , Juan Carlos Antuña-Marrero <sup>2</sup> ,
4	David Barriopedro <sup>3</sup> , Ricardo García-Herrera <sup>3,4</sup> , Victoria E. Cachorro Revilla <sup>2</sup> , Ángel de
5	Frutos Baraja <sup>2</sup> , and Juan Carlos Antuña-Sánchez <sup>2</sup>
6	
7	<sup>1</sup> Atmospheric Optics Group, Meteorological Institute of Cuba, Camagüey, Cuba
8	<sup>2</sup> Group of Atmospheric Optics (GOA-UVa), Universidad de Valladolid, 47011,
9	Valladolid, Spain
10	<sup>3</sup> Instituto de Geociencias, IGEO (CSIC-UCM), Madrid, Spain
11	<sup>4</sup> Departamento de Física de la Tierra y Astrofísica, Facultad de Ciencias Físicas,
12	Universidad Complutense de Madrid, Madrid, Spain
13	
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18	Corresponding author:
19	Albeht Rodríguez Vega
20	Meteorological Institute of Cuba
21	Camagüey, Cuba
22	Email: <u>albertrodriguezvega@gmail.com</u> or albert@cmw.insmet.cu

#### 23 Abstract

We present a climatological study of aerosols in four representative Caribbean islands 24 25 based on daily mean values of aerosol optical properties for the period 2008-2016, using the Aerosol Optical Depth (AOD) and Ångström Exponent (AE) to classify the 26 27 dominant aerosol type. A climatological assessment of the spatio-temporal distribution of the main aerosol types, their links with synoptic patterns and the transport from 28 different sources is provided. Maximum values of AOD occur in the rainy season, 29 30 coinciding with the minimum in AE and an increased occurrence of dust, while the minimum of AOD occurs in the dry season, due to the predominance of marine 31 aerosols. Marine and dust aerosol are more frequent in the easternmost islands and 32 33 decrease westwards due to an increasing of continental and mixture dust aerosols. 34 Therefore, the westernmost station displays the most heterogeneous composition of aerosols. Using a weather type classification, we identify a quantifiable influence of the 35 36 atmospheric circulation in the distribution of Caribbean aerosols. However, they can occur under relatively weak and/or diverse synoptic patterns, typically involving 37 transient systems and specific configurations of the Azores High that depend on the 38 considered station. Backward trajectories indicate that dry-season marine aerosols and 39 rainy-season dust are transported by air parcels travelling within the tropical easterly 40 41 winds. The main source region for both types of aerosols is the subtropical eastern Atlantic, except for Cuba, where the largest contributor to dry-season marine aerosols is 42 the subtropical western Atlantic. Different aerosol types follow similar pathways, 43 suggesting a key role of emission sources in determining the spatio-temporal 44 distribution of Caribbean aerosols. 45

- 47 Key words: AERONET, aerosols, aerosol optical depth, Ångström exponent, backward
- 48 trajectories, weather types.

# 49 **1. Introduction**

Atmospheric aerosols, hereinafter aerosols, are solid or liquid particles suspended in the 50 51 air, emitted directly from natural and anthropogenic sources (sea salt, mineral aerosol or dust, smoke, volcanic dust, etc.) or formed by gas-to-particle conversion as a result of 52 chemical reactions. They have significant impacts on air quality and human health (e.g. 53 Kampa and Castanas, 2008; Monteil, 2008; Brunekreef, 2010; Cadelis et al., 2014). 54 Additionally, aerosols play an important role in the radiative balance of the Earth's 55 56 climate system, being regarded as one of the largest sources of uncertainty in climate models (e.g Zhang et al., 2012; Boucher et al., 2013; Myhre et al., 2013). The extinction 57 and absorption of solar radiation are the main direct effects of aerosols (Verma et al., 58 59 2015), while indirect effects are related to their action as condensation nuclei and ice 60 nuclei, causing modifications to the microphysical properties and lifetimes of clouds (Lohmann and Feichter, 2005; Weigel et al., 2011). 61

62 The different sources and atmospheric mechanisms of transport and deposition of aerosols cause complex spatial patterns and temporal variations. Therefore, an adequate 63 estimate of the aforementioned aerosols' effects requires detailed spatio-temporal 64 distributions, which can be inferred from measurements of their physical, optical and 65 66 chemical properties. Currently, aerosol properties can be measured and analyzed in situ 67 by different instruments (e.g Aerosol Mass Spectrometer, Roberts and Nenes, 2005; Snider et al., 2006; Reddington et al., 2017), or by active or passive remote sensing 68 instruments (Lidar, sun photometer, satellite sensors, etc.). Although ground-based 69 70 instruments are only representative of a small area around the monitoring site, they provide continuous time series of observations with very high temporal and spectral 71 resolutions (Bennouna et al., 2013; Kumar et al., 2017; Antuña-Marrero, et al, 2018). 72 73 This type of measurements is especially useful for small islands, where satellite sensors cannot provide a good representation of aerosol conditions and properties, due to
limitations of resolution to capture the islands' topography and coastlines (Levy et al.,
2013).

The Caribbean region embraces the Caribbean Sea, its islands and the surrounding coasts. It is located to the southeast of the Gulf of Mexico and the North American mainland, east of Central America, and north of South America. Its climate has been described as dry-winter tropical (Magaña et al., 1999; Giannini et al., 2000; Curtis, 2002; Mapes et al., 2005), with two main seasons in terms of rainfall patterns (dry and wet periods in November-April and May-October, respectively).

Regional studies have focused on the climatology and/or classification of aerosols for 83 84 specific areas of the Caribbean. The first works in the region were those of Prospero et 85 al. (1970) and Prospero and Carlson (1972). They focused on the transport of African dust across the Atlantic to the Caribbean, a topic further addressed in subsequent studies 86 87 (Gioda et al., 2011; Spiegel et al., 2013; Fitzgerald et al., 2015; Denjean et al., 2016; Prospero and Diaz, 2016; Raga et al., 2016; Valle-Díaz et al. 2016; Velasco-Merino et 88 al., 2018). In addition to African easterly waves, other synoptic patterns affect the 89 atmospheric circulation over the Caribbean region. They include the Azores 90 anticyclone, the Caribbean Low Level Jet, the Continental Anticyclone or polar low 91 92 pressure systems (Jones et al, 2003; Fernández and Díaz, 2003; Cook and Vizy, 2010; Jury and Santiago, 2010; Chadee and Clarke, 2015; Sáenz and Durán-Quesada, 2015; 93 Moron et al., 2016). However, studies linking weather patterns with aerosol types are 94 95 scarce and limited to specific sites of the Caribbean. In the framework of the CARRIBA (Cloud, Aerosol, Radiation and tuRbulence in the trade wInd regime over BArbados) 96 campaign, Wex et al. (2016) studied the transport of aerosols to Ragged Point 97

98 (Barbados), reporting three types of air masses. Jury (2017) determined the influence of
99 meteorological conditions in air quality for La Parguera (Puerto Rico).

100 Current knowledge on the distribution of aerosol types in the Caribbean is spatially fragmented and based on short records. Estevan et al. (2011a) analyzed the evolution of 101 aerosols in Camagüey (Cuba) for the period October 2008 to March 2009, which was 102 characterized as a maritime mixed environment due to the abundance of marine and 103 urban-polluted aerosols. Rivera et al. (2018) identified three different types of aerosols 104 (clean marine aerosol, African dust and volcanic aerosol) in Cape San Juan 105 Atmospheric Observatory (Puerto Rico). On the other hand, Kandler et al. (2018) 106 described the microphysical properties and composition of mineral dust, sea salt and 107 108 secondary compounds in Ragged Point (Barbados) during June-July 2013 and August 109 2016.

Therefore, previous research has mainly focused on dust transport from Africa and the 110 concentration of different types of aerosols at specific sites. However, using aerosol 111 112 measurements from a single station and short periods (sometimes less than a year) does not allow a comprehensive analysis of the distribution of aerosols, the heterogeneity of 113 aerosol types across the region and the relative influence of different sources and 114 115 meteorological patterns. In this paper we present a climatological study of aerosols in the Caribbean region for the period from 7 October 2008 to 31 December 2016 using 116 measurements of four representative stations from the AErosol RObotic NETwork 117 (AERONET), including an assessment of the main types of aerosols, their sources and 118 transport, and the favorable synoptic patterns associated with their occurrence. The 119 paper is structured as follows. In Section 2 AERONET data and the methodology are 120 presented. The results of the aerosol climatology and classification, the source regions 121

inferred from backward trajectories and the associated atmospheric circulation patternsare described in Section 3. Section 4 summarizes the main conclusions of this work.

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#### 125 **2- Data and Method**

## 126 2.1 AERONET data

AERONET is a worldwide network of ground-based sun photometers for the spatiotemporal monitoring of the aerosol's spectral optical properties in the total column of the atmosphere (Holben et al., 1998; Giles et al., 2019). Several studies describe in detail the instrumentation, data collection, retrieval algorithms and calibration procedures in AERONET network (Holben et al., 1998; Eck et al., 1999; Smirnov et al., 2000; Dubovik and King, 2000).

In this study we used daily mean values of Aerosol Optical Depth at 440nm (AOD<sub>440</sub>), 133 and Ångström Exponent in the range from 440 to 870 nm (AE<sub>440-870</sub>). The former is the 134 most comprehensive single variable for the remote assessment of aerosol loading in the 135 atmospheric column, while the latter is inversely related to the average size of the 136 particles. Version 3.0 of the AERONET data products at the Level 2.0 (no clouds and 137 total calibration with assured quality) was used in this study, which is available at 138 https://aeronet.gsfc.nasa.gov/. Monthly and seasonal means, and their associated 139 standard deviations, were computed from the daily means, with the dry and rainy season 140 representing the November-to-April and May-to-October period, respectively. Four 141 Caribbean stations from the AERONET database, representative of the Greater and 142 Lesser Antilles and with a common measurement period (from 7 October 2008 to 31 143 December 2016) were selected: Ragged Point (Barbados), Guadeloupe, La Parguera 144 (Puerto Rico) and Camagüey (Cuba; Figure 1). Table 1 summarizes relevant 145 information of these four stations, including the geographical locations, distance to the 146

sea and the main continental areas, as well as the number of days with available daily mean data for the analyzed period. Cloud-free days set the conditions to perform aerosol measurements and could bias the statistics of aerosols if the frequency of cloudy days is high. However, cloud-free conditions account for more than two thirds of the days in all stations (Table 1) and, overall, they experience relatively small variations (~10% in the mean) across the year and sites.

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## 154 2.2 Aerosol type classification

155 Several studies have employed AERONET data to identify specific aerosol types. Typically, the basic parameters used to classify the aerosol type are AOD and AE (e.g. 156 Hess et al., 1998; Eck et al., 1999; Kaskaoutis et al., 2007; Toledano et al., 2007), or 157 158 some parameters derived from the AERONET inversion algorithms (e.g. Dubovik and King, 2000). AOD at a wavelength of 500 nm is frequently employed, but this was not 159 available at all sites during the period of analysis. For this reason, herein the 160 161 discrimination of aerosol types was conducted for each station using only daily mean values of AOD440 and AE440-870. This approach allows us identifying the dominant 162 aerosol type for all days with available measurements, while inversion products only 163 classify days with AOD values greater than 0.4. 164

It is not straightforward to determine the optimal thresholds of AOD<sub>440</sub> and AE<sub>440-870</sub> that discriminate the different aerosol types due to their continuous distributions. Hence, we have used a hybrid approach based on a review of thresholds proposed in previous studies (e.g. Dubovik et al., 2002; Toledano et al., 2007; Holben et al., 2001; Kaskaoutis et al., 2007, Raptis et al., 2020) , as summarized in Table S1, combined with statistical analyses of the frequency distributions of AOD<sub>440</sub> and AE<sub>440-870</sub>. Distinctive modes in their distributions can indicate the presence of different aerosol types (O'Neill et al.,

2000; Boselli et al., 2012). Therefore, we applied a Gaussian mixture model in order to 172 173 identify multiple normal distributions embedded in the distributions that can be associated with specific aerosol types. The Gaussian mixture fits were computed for the 174 175 InAOD<sub>440</sub> and AE<sub>440-870</sub> distributions. Note that the same station can display a different number of modes in the lnAOD440 and AE440-870 distributions, because they measure 176 different properties of the aerosol, therefore yielding complementary information for the 177 178 assessment of the aerosol type. We also evaluated the joint AOD<sub>440</sub> - AE<sub>440-870</sub> frequency distributions and compared the regions of high density in the AOD-AE space 179 with the thresholds proposed elsewhere. 180

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# 182 2.3 Weather types

To address the influence of the large-scale atmospheric circulation in the occurrence of 183 aerosol types at the Caribbean stations, we employed a weather type classification, 184 which assigns each day to a predefined synoptic pattern. Objective clustering techniques 185 have been used in this region to classify the atmospheric circulation into a manageable 186 187 number of recurrent weather patterns (e.g. Sáenz and Durán-Quesada, 2015; Moron et al., 2016). Following these studies, weather types were obtained from a k-means 188 clustering of the first 15 Principal Components (85% of the total variance) applied to 189 standardized daily anomalies of geopotential height (Z850) and wind vector at 850 hPa 190 over the domain [5, 50] °N and [10, 120] °W. The analysis was performed for each 191 season separately, using 100 iterations and 500 repetitions in order to retrieve robust 192 clusters. The number of weather types must be chosen a priori, and previous studies on 193 the Caribbean have shown that 8-to-11 weather types can capture the diversity and 194 seasonal variations of synoptic conditions throughout the year (Sáenz and Durán-195 196 Quesada, 2015; Moron et al., 2016). Based on the analysis described in Appendix A, we

retained four weather types for each season, in overall agreement with the number of
seasonal weather types reported in the aforementioned studies and in Schultz et al.
(1998) for the winter season.

To quantify the links between specific synoptic patterns and aerosol types, we computed for each station the fraction of days dominated by a given aerosol type and weather type,  $P_{a,w}$ . If weather conditions and aerosol types were independent, this probability should be equal to  $P_w$ , the climatological probability of occurrence of the weather type w. The ratio of these probabilities quantifies the change in the occurrence of that aerosol type for that weather type, as compared to the expected one. The significance of  $P_{a,w}$  is addressed with a two-tailed binomial test.

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## 208 2.4 Aerosol Transport and Backward trajectories

209 HYSPLIT Version 4.7 was used to determine the trajectory and origin of air masses 210 associated with different types of aerosols at each station (Draxler and Hess, 1997). The 211 model was initialized at 18 UTC (13:00 local time) using the NCEP/NCAR Reanalysis-1 (Kalnay et al., 1996). A preliminary evaluation of the NCEP/NCAR variables 212 employed for the initialization of the HYSPLIT model confirmed the good performance 213 of this reanalysis in the troposphere (not shown), as compared to observed profiles 214 (2008-2009) from 15 Caribbean stations of the Integrated Global Radiosonde Archive 215 (IGRA). Although aerosols are frequently transported below 1000 m, dust can also 216 travel at higher levels (Wex et al., 2016; Rittmeister et al., 2017; Rivera et al., 2018). 217 Therefore, backward trajectories were initialized at 500, 1500 and 3000 m a.g.l. of each 218 station. For each station, level and day of the analyzed period, one backward trajectory 219 spanning a 7-day interval was retrieved. The time step of the model's run was 1 hour, 220

leading to 168 hours of backward flight time over the 7-day period and 3008 trajectoriesfor each station and level.

223 To identify the most frequent source regions of the air masses, we followed the methodology of Toledano (2005) and Toledano et al. (2009), which uses predefined 224 sectors for the source regions, considering the dominant synoptic patterns that affect the 225 Caribbean region. These sectors are defined within the domain [5, 50] °N and [10, 120] 226 °W and aim to identify air masses with different characteristics, as described in Table 227 228 S2. The time of permanence of the air parcel over each predefined zone during its 7-day flight time was used to assign the trajectories to a given source region, assuming that the 229 air parcel acquires the properties of the zone it crosses. Thus, for each day and station, 230 231 we determined the number of time steps of its backward trajectory residing in each zone, so that the dominant aerosol type of that day was assigned to the source region 232 displaying the maximum residence time. This daily classification was applied to the 233 234 backward trajectories of each vertical level separately.

Figure 1 shows the six source regions of air masses for the classification of the backward trajectories. As the arrival of air masses to the Caribbean islands from these source regions is driven by the atmospheric circulation, our assessment of the dominant source regions can also be used to infer distinctive synoptic patterns related to the occurrence of aerosol types at each Caribbean station.

To better interpret the synoptic conditions associated with the long-term transport of different types of aerosols, we selected the days with a given aerosol type at each Caribbean station and computed composites of Z850 and wind vector fields at 850 hPa. Anomalies are defined with respect to the daily climatology of the 2008-2016 period. As compared to the backward trajectories, these composites correspond to the day of the aerosol arrival at the given station and hence they do not represent the mean

atmospheric circulation for the 7-day period of the backward trajectory. In doing so, we
retain synoptic features that would be filtered out by averaging over the 7-day backward
trajectory. The significance of the composites was assessed using a bootstrap test of
1000 trials, each one containing the same number of cases as in the composite but
selected randomly from the available days of each analyzed season. The composite and
backward trajectory analyses were carried out for each season separately.

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# 253 **3. Results**

## 254 *3.1 Aerosol type characterization*

255 3.1.1 AOD<sub>440</sub> and AE<sub>440-870</sub> distributions.

Figure 2 shows the climatological monthly means of AOD<sub>440</sub>. All stations show annual 256 mean values of about 0.16, with a standard deviation of ~0.1 (Table 2). The annual 257 cycle is characterized by a marked increase during the rainy season, which peaks in 258 June-July for all stations, being less pronounced in Camagüey, where a second 259 maximum occurs around April. Lower values are observed during the dry season for all 260 stations, with minima in December. The highest standard deviations are also observed 261 in the rainy season, with comparable ranges of interannual variability for all stations, 262 slightly lower in Camagüey. 263

Figure 3 shows the frequency distributions of daily AOD<sub>440</sub>, with the modes derived from the Gaussian mixture model. In Guadeloupe and La Parguera the distributions have similar shape, and are very well described ( $R^2=0.99$ ) by the combination of two normal distributions. These preferred modes correspond to AOD<sub>440</sub> ranges (mean ± standard deviation) centered at 0.08 ± 2.89 and 0.29 ± 3.63 in Guadaloupe and at 0.08 ± 2.39 and 0.22 ± 3.74 in La Parguera. At both sites the first mode (AOD<sub>440</sub>~0.08) is dominant and reflects background aerosol loading (marine aerosol). The second mode
(AOD<sub>440</sub> ~ 0.22-0.29) corresponds to large aerosols such as dust episodes, which have
been identified in these stations of the Caribbean (Section 1, Estevan et al. 2014;
Prospero et al., 2014; Groß et al., 2016; Prospero and Diaz, 2016).

The lnAOD<sub>440</sub> distribution in Ragged Point fits well ( $R^2=0.99$ ) to three normal distributions centered at AOD<sub>440</sub> values of  $0.06 \pm 1.42$ ,  $0.19 \pm 2.07$  and  $0.24 \pm 2.83$ . The first and third modes match with those found in Guadeloupe and La Parguera, and are therefore representative of marine and dust aerosols, respectively. The second distinct mode has AOD<sub>440</sub> values in between and could be related to marine mixed aerosols (Estevan et al., 2011a, Toledano et al., 2007).

280 The  $lnAOD_{440}$  distribution of Camagüey is well described (R<sup>2</sup>=0.99) by the sum of four normal distributions centered at AOD<sub>440</sub> values of  $0.12 \pm 2.39$ ,  $0.18 \pm 2.56$ ,  $0.33 \pm 1.12$ 281 and  $0.41 \pm 2.64$ . The first and more recurrent mode has AOD<sub>440</sub> values larger than 0.10 282 (the marine mixed aerosol ranges found in the other stations), whereas the two largest 283 284 modes are characteristic of dust aerosol and high aerosol extinction (biomass burning), respectively (Boselli et al., 2012, Che et al., 2013). The second mode, between the 285 marine mixed and dust aerosol peaks, is more characteristic of polluted or mixture dust 286 aerosols, defined as the mixture of dust with marine, polluted or biomass burning 287 aerosols (Clarke et al., 2004; Ansmann et al., 2011; Groß et al., 2011; Tesche et al., 288 2011). 289

The annual cycle of AE<sub>440-870</sub> (Figure 4) is opposite to that of AOD<sub>440</sub>, with the largest values in the dry season (recall that large values of AE<sub>440-870</sub> correspond to fine aerosols). Overall, the standard deviation is also larger in the dry season for all stations, although this is less evident in Camagüey and La Parguera, which are also the stations with higher AE<sub>440-870</sub> all year round (Table 2). The areal extent of these islands and their proximity to the continent (Table 1) favor aerosol loading with high AE<sub>440-870</sub> (i.e.
continental, polluted and/or biomass burning) emitted from local and continental
sources.

The frequency distributions of AE440-870 shows positive skewness in Ragged Point, 298 299 Guadeloupe and La Parguera (Figure 5). In these stations, the AE440-870 distributions are well described ( $R^2 = 0.99$ ) by a Gaussian mixture model with two modes. In Ragged 300 Point and Guadeloupe, the most recurrent mode (more than 80% of the observations) 301 302 causes an asymmetric distribution, and its small AE<sub>440-870</sub> values ( $0.18 \pm 1.45$  and  $0.17 \pm$ 1.50, respectively) suggest a strong influence of coarse mode particles (sea salt and 303 dust). The frequency distribution of AE440-870 in these two stations decreases markedly, 304 leading to about 5% of days with AE440-870 above 1.0, which are embedded in the 305 306 second mode (0.46  $\pm$  1.95 and 0.99  $\pm$  1.86 in Ragged Point and Guadeloupe, respectively). This second mode reflects aerosols dominated by fine mode particles such 307 308 as continental, biomass burning and polluted aerosols. The comparatively longer right tail in Guadeloupe suggests the presence of different types of small size aerosols. 309

The two dominant modes of AE<sub>440-870</sub> in La Parguera ( $0.27 \pm 1.54$  and  $0.62 \pm 2.22$ ) are 310 close to the ranges found in Ragged Point and Guadeloupe for coarse and fine aerosols, 311 312 although they exhibit distinct rates of incidence, yielding a linear decreasing frequency distribution. Despite being close to a Gaussian, the AE440-870 distribution in Camagüey 313 can also be approximated ( $R^2 = 0.99$ ) to a bi-modal distribution distinguishing the 314 coarse and fine modes with centers at  $0.49 \pm 1.95$  and  $1.08 \pm 2.32$ . However, the mode 315 with higher AE440-870 values dominates the distribution, and this marked difference with 316 the other stations suggests a larger influence of anthropogenic activities. Indeed, the 317 highest frequency of AE440-870 values between 1.0 and 1.5 occurs in Camagüey (34%), 318 followed by La Parguera (13%), and typically correspond to intrusions of continental or 319

mixture dust aerosols (Eck et al., 2010; Burgos et al., 2017). The few cases with AE<sub>440</sub>above 1.5 (e.g. polluted, biomass burning aerosols) are also more frequent in
Camagüey.

Overall, the low annual mean AOD<sub>440</sub> implies a predominance of marine aerosols in the Caribbean. However, the presence of seasonal variations in AOD<sub>440</sub> and AE<sub>440-870</sub> suggests changes in the relative abundance of aerosol types throughout the year, with lower AOD<sub>440</sub> / higher AE<sub>440-870</sub> values characteristic of continental, polluted or biomass burning aerosols in the dry season, and higher AOD<sub>440</sub> / lower AE<sub>440-870</sub> values typical of dust aerosols in the rainy season. The magnitude of this seasonal cycle varies across stations, likely reflecting spatial variations in the frequency of different aerosol types.

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331 3.1.2 AOD<sub>440</sub> - AE<sub>440-870</sub> density plots.

A better characterization of the aerosol type can be done using AOD<sub>440</sub>-AE<sub>440-870</sub> scatter 332 plots (Figure 6; Kaskaoutis et al., 2007, Toledano et al., 2007; Kaskaoutis et al., 2011). 333 In all the stations the scatter plot shows a high frequency of measurements in the region 334 with AOD<sub>440</sub>  $\leq$  0.18 and AE<sub>440-870</sub>  $\leq$  1.5, which concentrates ~50% of the total cases in 335 336 Camagüey and ~70% in the others stations. Pure marine aerosols have been associated with AOD<sub>440</sub>  $\leq 0.15$  and AE<sub>440-870</sub> values from 0 to 1.05 (Smirnov et al., 2002, 337 Kambezidis and Kaskaoutis, 2008; Toledano et al., 2007; Estevan et al., 2011a, 2014; 338 Bennouna et al, 2016), although this region in the 2D space is not free of continental 339 influences (Hamilton et al., 2014; Wex et al., 2016). Indeed, other studies have also 340 341 identified marine environments mixed with other types of aerosol, including continental  $(AOD_{440} < 0.18 \text{ and } AE_{440-870} \text{ from } 0.7 \text{ to } 1.05)$  and dust aerosols  $(AOD_{440} \text{ between } 0.15)$ 342 and 0.18 and AE<sub>440-870</sub>  $\leq$  0.7; Estevan et al., 2011a, Toledano et al., 2007). All these 343

regions are well sampled in the diagrams of Fig. 6, and hence, the Caribbean can beregarded as a mixed marine environment.

346 A secondary maximum of AOD<sub>440</sub>-AE<sub>440-870</sub> pairs is located in the lower right part of the diagrams, with high AOD<sub>440</sub> (> 0.18) and low AE<sub>440-870</sub> ( $\leq$  0.7) values, which 347 typically correspond to pure dust aerosols (Velasco-Merino et al., 2018; Estevan et al., 348 2014; Groß et al., 2016; Weinzierl et al., 2017). Similar AE440-870 thresholds have been 349 employed in previous studies of dust transport from North Africa to the Caribbean (e.g. 350 351 Estevan et al., 2011b, 2014; Velasco-Merino et al., 2018), although they are not very well constrained, likely due to changes in the size distributions of dust aerosols during 352 their long-term transport (Maring et al., 2003). In addition, pure dust aerosols can be 353 354 mixed with industrial or polluted aerosols and biomass burning aerosols increasing their 355 AE<sub>440-870</sub>, so that the region with AOD<sub>440</sub> > 0.18 and  $1.5 \ge AE_{440-870} > 0.7$  is often regarded as of mixed dust aerosols (Estevan et al., 2011b; Raga et al., 2016; Velasco-356 357 Merino et al., 2018).

358 The relatively high density of AOD<sub>440</sub>-AE<sub>440-870</sub> pairs in the upper half of the diagrams reflects the occurrence of continental, polluted and biomass burning aerosols. Within 359 this region,  $AOD_{440} \le 0.18$  and  $AE_{440-870} > 1.05$  pairs are typical of clean continental 360 areas (Bennouna et al, 2013, 2016; Patel et al., 2017; Boiyo et al., 2018; Holben et al., 361 2001) or other fine mode aerosols with high AE440-870 values, such as gases from 362 volcanic emissions (Sears et al., 2013; Sellitto et al., 2018). The so-called urban or 363 polluted aerosols also present high values of AE440-870(Hess et al., 1998; Holben et al., 364 2001; Toledano et al., 2007) and are identified in the region with AOD<sub>440</sub> between 0.18 365 and 0.35 and  $AE_{440-870} > 1.5$  in Fig. 6. Meanwhile, biomass burning aerosols are 366 characterized by  $AOD_{440} > 0.35$  and  $AE_{440-870} > 1.5$ , typical of a turbid atmosphere with 367 many fine particles (O'Neill et al., 2002; Eck et al., 2003). 368

Table 3 summarizes the thresholds used to classify the dominant aerosol type for each 369 370 station. They have been selected taking into account the previous scatter plots, frequency distributions and the references cited above. These thresholds are similar to 371 those employed in previous studies of the Caribbean (Estevan et al., 2011a, 2011b, 372 2014; Groß et al., 2016; Raga et al., 2016; Weinzierl et al., 2017; Velasco-Merino et al., 373 2018; see Table S1). The stations used in this study have AOD-AE distributions that 374 375 share some characteristics, despite their differences in geographical location and/or size of the island where they are placed. This suggests similarities in the overall distribution 376 of aerosol types across the Caribbean, supporting the choice of the same thresholds for 377 378 all stations.

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## 380 *3.2 Spatio-temporal distribution of aerosol types*

To explore in more detail the spatio-temporal distribution of aerosol types in the Caribbean, we have computed their relative frequencies (in percentage of days; Table 4) for all stations, following the classification of Table 3. Although there is always some degree of speciation, this approach allows us to assign each day to the dominant aerosol type.

Caribbean aerosols are mainly of marine origin. The proximity to the sea of Ragged 386 Point, Guadeloupe and La Parguera stations is in agreement with their high frequency of 387 marine aerosols (~70% of the days), while in Camagüey (the farthest station from the 388 sea) they occur ~48% of the time. Dust aerosol is the second most important type in all 389 stations (frequencies exceeding 20%), except in Camagüey, where continental aerosols 390 represent the second most frequent type of aerosol. As dust in the region is frequently 391 associated with Saharan episodes (Petit et al., 2005; Doherty et al., 2008; Estevan et al., 392 2014; Velasco-Merino et al., 2018), the number of days with this aerosol is conditioned 393

by the geographical position of the island with respect to Africa, leading to higherfrequencies in the easternmost stations.

396 Together, marine and dust aerosols account for about two thirds of the days, with differences across sites that range from almost ~60% in Camagüey to ~99% in Ragged 397 Point. Indeed, the comparison across stations reveals a spatial gradient, with higher 398 frequencies of these aerosols in the southeast. The reduced occurrence of these aerosols 399 400 towards the Gulf of Mexico and North America is mainly compensated by an increasing 401 frequency of continental and mixture dust aerosols, which are rare in the southeast (e.g. <1% in Ragged Point) but not in the northwest (e.g. ~20% each in Camagüey). The 402 contribution of local sources (agricultural activity, natural landscape areas, etc.) to 403 404 continental aerosols is expected to be low in small islands (Ragged Point and 405 Guadeloupe), and comparatively greater in La Parguera and Camagüey. Therefore, the 406 proximity of the islands to the main continental areas and their sizes explain well the 407 unequal load of continental aerosols across sites (and its relative importance with respect to marine aerosols). The specific contribution of these factors is difficult to 408 quantify, since the islands that are closer to North America are also the larger ones. 409

The presence of other aerosol types (mixture dust, polluted and biomass burning) is also 410 411 affected by the size of the island (larger for La Parguera and Camagüey stations), as well as agriculture and other human activities (traffic, land use, etc.). Ragged Point and 412 Guadeloupe report very few or no cases of polluted and biomass burning aerosols, 413 although sometimes they can be transported within dust layers from Africa and the 414 Amazonia during the dry season, being detected as mixture dust aerosols (Haywood et 415 al., 2004; Kaufman et al., 2005; Ansmann et al., 2011; Wex et al., 2016; Jury, 2017). As 416 a consequence of all these factors, Camagüey displays the most heterogeneous 417 composition of aerosols, and is also the only site with a non-negligible (albeit very 418

small, ~5% of the days) occurrence of atmospheric turbid conditions (either polluted or
biomass burning aerosols).

421 The long upper tail of the AE440-870 distribution in Guadeloupe can be associated with other marginal sources of fine mode particles (e.g. volcanic eruptions). Such is the case 422 423 of the episode of extreme continental aerosols detected therein between 27 September 2010 and 2 February 2011, which coincides with volcanic gas emissions from Soufrière 424 425 Hills (Montserrat; MVO Activity Reports 2010) located at ~80 km from the station. In 426 La Parguera and Camagüey, extreme continental aerosols were also observed several days after active episodes of the Soufrière Hills volcano (e.g. in May 2012 and in 427 October 2010, respectively; MVO Activity Reports 2012, 2013). We did not identify 428 429 additional matches with volcanic activity within the region. Therefore, volcanic aerosols 430 will not be considered separately from continental aerosols in the subsequent analyses.

The monthly frequency distributions of aerosol types reveal clear seasonal cycles frequency distributions (Figure 7). Marine aerosols are more frequent in the dry season, occurring in more than half of its days. There are differences among sites in the amplitude of this annual cycle, which is more pronounced for the stations with a larger contribution of marine aerosols (Ragged Point and Guadeloupe). The dry season also concentrates the highest frequencies of continental aerosols, which tend to show an annual maximum in autumn.

The decrease of marine and continental aerosols in the rainy season is partially compensated by an increase of dust aerosols, which display a pronounced peak between June and August (often exceeding 50% of the days), and a clear minimum in the dry season, with <10% of days between December and February for all sites. This annual cycle is opposite to that of marine aerosols, suggesting an anticorrelation between them. Indeed, the amplitude of the annual cycle of dust is also larger in the stations with

higher abundance of marine aerosols. The seasonality of dust aerosols is in agreement 444 445 with that reported for dust emissions in northern Africa (Middleton and Goudie, 2001; Goudie and Middleton, 2006), which shows minimum activity in October-December, 446 coinciding with the lowest loading of dust aerosols in the Caribbean region. The 447 emission of African dust aerosols starts to increase in January, reaching the highest 448 concentrations in April-June over the southern region of the Mauritania and Mali, and in 449 450 July-September over western Sahara and southern Morocco. The transatlantic transport of dust plumes from these two major source areas is linked to strong convective 451 disturbances and easterly waves crossing the North Atlantic within 5-7 days (Middleton 452 453 and Goudie, 2001).

Finally, polluted aerosols (only present in La Parguera and Camagüey) do not have a well-defined seasonal distribution, whereas mixture dust and biomass burning aerosols tend to display larger frequencies in the dry season (when the biomass moisture is low and forest fires are more frequent). However, the number of cases of these aerosols is low to retrieve robust estimates, exception made for mixture dust at Camagüey. Indeed, episodes of biomass burning aerosols can be observed outside of the dry season, as during the severe drought of 2012 in Camagüey.

461

# 462 *3.3. Weather types associated with Caribbean aerosols.*

To explore the links between atmospheric circulation and aerosol types we used a cluster-based classification of synoptic patterns (Section 2.3). Figures 8 and 9 show the main four weather types for the dry and rainy season, respectively. Differently to composite analyses, weather types do not emphasize the atmospheric circulation signatures associated with the occurrence of Caribbean aerosols (which may be favored by different weather types). However, they provide a useful tool to assess whether recurrent patterns play a role and how it varies across aerosol types and stations. This is
evaluated by comparing the likelihood of occurrence of aerosol types under different
synoptic patterns, as shown in Figure 10.

During the dry season, marine aerosols are more likely to occur under weather type 3, 472 which displays positive anomalies of the Continental North America Anticyclone and 473 weakening of the Azores High (Fig. 8). This weather type comprises ~25% of all days, 474 475 but  $\sim 40\%$  of the days with marine aerosols, which represents a 66% increase in its 476 probability of occurrence (p<0.01 after a binomial test; Fig. 10a). This weather regime cannot account for the high frequency of marine aerosols that characterize the dry 477 season. Weather type 1 comprises an additional fraction of days with marine aerosols 478 479 (~20% for all stations), which is higher (p<0.01) than the climatological occurrence of 480 that weather type ( $\sim 15\%$ ). Interestingly, this weather type shows some signatures that oppose to those of weather type 3, in particular a weakening of the Continental North 481 482 America Anticyclone and a contraction and intensification of the Azores High (Fig. 8). These results suggest that the weather types do not fully capture the key signatures 483 484 associated with marine aerosols or that the synoptic patterns can change from case to case. Regardless of the cause, the analysis shows a quantifiable (and statistically 485 significant) influence of the atmospheric circulation. As a matter of fact, the probability 486 487 of occurrence of marine aerosols decreases significantly (p<0.01) in all stations under weather types 2 and 4, indicating that these synoptic conditions are indeed unfavorable 488 (Fig. 10a). 489

The frequency of other aerosol types in the dry season also tends to be higher during weather types 1 and 3, suggesting that the same synoptic pattern can promote different aerosol types, although the assessment is hampered by the low number of cases in some stations. Their degree of influence varies across stations, though. In particular, there is a

tendency for continental, dust and mixture dust aerosols to be more likely in the 494 495 northern stations under weather type 3 (probability changes >50%), whereas weather type 1 is more favorable than weather type 3 for these aerosols in the southern stations. 496 Another remarkable (although non-significant) aspect concerns dust aerosols in the 497 northern stations, which are also favored by weather type 2, characterized by a 498 pronounced intensification of the Continental North America Anticyclone. These results 499 500 suggest that, different to the southern stations, the few dust episodes reported in Camagüey and La Parguera during the dry season may be associated with emissions 501 from North America. 502

The four clusters of the rainy season (Fig. 9) are substantially different from those of the 503 504 dry season. Overall, they are associated with strengthened (cluster 1) or weakened 505 (cluster 4) signatures in the northern flank of the Azores High, or shifted states (reflecting an expansion, cluster 3 or contraction, cluster 2) of the anticyclone. As in the 506 507 dry season, we find two favorable weather regimes (1 and 4) for the occurrence of Caribbean aerosols, which are detected across aerosol types (Fig. 10b). The other two 508 weather types tend to be unfavorable, to a varying extent depending on the station 509 510 and/or type of aerosol (p<0.01 for marine and dust aerosols). Weather type 4 is associated with a pronounced increase (~80% or higher) in the probability of occurrence 511 512 of marine and dust episodes in all stations (p < 0.01), although in Camagüey the largest increases in these aerosols are reported for weather type 1 (~90%). Moreover, weather 513 types 4 and 1 can, respectively, double the probability of occurrence of continental and 514 mixture dust aerosols where they occur (i.e. La Parguera and Camagüey, p<0.01), and 515 they are also the preferred patterns for the few cases of polluted and biomass burning in 516 Camagüey. Similar to the dry season, these weather types correspond to somehow 517 opposite atmospheric circulation patterns, although they both reflect synoptic departures 518

of the Azores High. Note that days with the same aerosol type are often detected in a 519 520 row (in the form of episodes). Therefore, a feasible explanation is that the same aerosol episode can occur under different weather patterns as the synoptic disturbance 521 522 promoting its transport travels along the Atlantic. This also applies to the dry season, for which synoptic perturbations in the northern flank of the Azores High and the 523 Continental North America anticyclone are the key features associated with Caribbean 524 525 aerosols. Overall, the results motivate a lagrangian-based description of aerosol episodes. 526

527

# 528 3.4 Transport and source regions of Caribbean aerosol types

In this section we characterize the large-scale transport of different types of Caribbean aerosols using composites of the atmospheric circulation in the lower troposphere (Z850 and wind vector anomalies at 850 hPa) and backward trajectories. We mainly focus on marine aerosols in the dry season (Figure 11) and dust in the rainy season (Figure 12), since they are the only combinations with enough number of cases in all stations (Fig. 7). Similar patterns of the composites of the atmospheric circulation are observed in the middle and upper troposphere (500 hPa and 250 hPa, Fig. S1 and S2).

As they dominate in the respective seasons, the number of days for the composites is very high, and would lead to overall weak anomalies and autocorrelation issues by the occurrence of successive days with the same aerosol type. Including all days of the same episode in the composite may also mask key synoptic features (e.g. travelling disturbances) due to the transient nature of the atmospheric circulation. Therefore, the composites only include the first day of independent events, defined as those of any duration separated by at least five days. Accordingly, if there are several occurrences of a given aerosol type within a five-day interval at a given station, the first day is onlyconsidered.

545 For coherence, the composited trajectories are also computed using only the 7-day backward trajectory for the first day of these episodes (the results are similar if all days 546 with the same aerosol type are considered; not shown). That way, the first day of the 547 composited trajectory corresponds to the onset of aerosol episodes at the given station, 548 and the remaining backward trajectory reports the transport of that aerosol type. To 549 assess the robustness of the composited trajectories, Figure S3 shows the density of 550 backward trajectories at different heights for the first day of all episodes of marine and 551 dust aerosols included in the composites of the dry and wet seasons, respectively. The 552 553 source region is determined for each episode by assigning this 7-day backward 554 trajectory to one of the predefined regions defined in Fig. 1, attending to its time of 555 residence (see Section 2.4). The main source regions of different aerosol type episodes 556 are summarized in Figure 13.

557

558 3.4.1 Dry season.

The occurrence of marine aerosols in the Caribbean is associated with synoptic 559 disturbances in the northern flank of the Azores High, often involving the passage of 560 extratropical cold fronts (Fig. 11). This is supported by composites for different lags 561 562 with respect to the day of the aerosol arrival at each station (not shown), which reveal travelling synoptic perturbations, as well as by composites at upper levels (Figure S1). 563 As a result, the composited fields of Fig. 11 tend to display significant anomalies with 564 respect to the climatology over small regions. Despite this, there is some tendency for a 565 zonal confinement and/or rearrangement of the Azores High, sometimes accompanied 566

by anomalies of the Continental Anticyclone over North America, in agreement with theanalysis of weather types (Section 3.3).

569 In the westernmost stations (La Parguera and Camagüey) there is a pronounced regional strengthening of the Continental Anticyclone (Figs. 11c, d). In Camagüey, where 570 marine aerosols are less frequent, the results suggest an additional weakening of the 571 Azores High and positive Z850 anomalies confined to western Caribbean and the east 572 573 coast of the United States. Differently, the easternmost stations (Ragged Point and 574 Guadeloupe) tend to show an overall weakening of the Continental Anticyclone, accompanied by positive Z850 anomalies over the northern flank of Azores high in the 575 case of Guadeloupe (Figs. 11a, b). Interestingly, the composites for the farthest stations 576 577 (Camagüey and Ragged Point) share a weakening of the Azores High, whereas the 578 stations in between (La Parguera and Guadeloupe) rather display an intensification 579 and/or zonal extension. This suggests that the occurrence of marine aerosol episodes is 580 strongly sensitive to the specific location of the station and/or its relative position with respect to the Azores High. As marine episodes can affect one island after the other as 581 they travel, the composites might reflect different snapshots of the same atmospheric 582 disturbance traveling westward and affecting the westernmost stations of La Parguera 583 584 and Camagüey when it reaches North America (see also Fig. S1). This would also 585 explain why days with the same aerosol type at a given station can be associated with opposite weather types (Fig. 10a; Section 3.3). 586

The inspection of backward trajectories (Fig. S3 Left Panel) and their composite (colored lines in Fig. 11) confirms that the air parcels originate in the subtropical Atlantic, moving southwards before veering to the west towards the Caribbean stations. As one proceeds from the southern to the northern stations, air parcels have closer origins and travel shorter distances, following the westward movement of the

atmospheric disturbances. These results stress the importance of regional anomalies of 592 593 the Azores High, which allow subtropical air masses being either trapped within the trade winds and transported to the Caribbean or recirculated within the Caribbean itself 594 in the case of Camagüey. The mean height of the air parcels arriving at 500 m increases 595 backwards in time for all stations, and the same behavior is observed for higher arrival 596 heights (not shown). The different pathways between the backward trajectories at the 597 three vertical levels (Fig. 11) support the strong baroclinic environment typical of 598 synoptic travelling disturbances. 599

In agreement with the composites, the principal source region of marine aerosols in the 600 Caribbean is Zone V (eastern subtropical Atlantic), which is associated with maritime 601 602 air masses transported by enhanced easterly winds towards the region (Fig. 13 Left 603 Panel). This configuration is typical of the Caribbean and can occur all year round (Jones at al., 2003; Jury and Santiago, 2010). The contribution of this zone is dominant 604 605 for more than 70% of the marine aerosol episodes in Ragged Point, and it decreases towards the western stations (less than 50% in Camagüey). Zone II (Caribbean) is the 606 second largest source of marine aerosols for all stations (Fig. S3 Left Panel), although 607 608 with a varying contribution. Camagüey and La Parguera have the highest contribution of this zone (>25%), which explains the decreasing influence of Zone V (Fig. 13 Left 609 610 Panel). Therefore, the contribution of nearby sources of marine aerosols (Zone II) increases for the western stations. Overall, we do not identify systematic differences 611 between the mean height of the backward trajectories associated with air masses coming 612 613 from Zone II and Zone V (not shown).

The remaining aerosol types are either uncommon or biased to specific stations so as to retrieve robust composites for the entire Caribbean region. For stations with enough number of episodes (e.g. continental and mixture dust aerosols in Camagüey), the backward trajectories (Figure S4) and source regions (Fig. 13 Left Panel) do not reveal
substantial differences with respect to those of marine aerosols. In particular, the
subtropical eastern Atlantic provides the largest contribution to dust aerosols in Ragged
Point, while nearby maritime (Zone II) and continental areas of North America (Zone I)
are the main sources of continental and mixture dust aerosols in Camagüey (Fig. 13 Left
Panel). The similar origin of the less common aerosol types may indicate that they can
travel embedded in the same air parcels transporting marine aerosols.

624

625 3.4.2 Rainy season.

Dust episodes in the Caribbean during the rainy season are often associated with 626 tropical easterly waves travelling from western Africa (Prospero et al., 2014; Weinzierl 627 et al., 2017). The structure of these travelling disturbances agrees with a generalized 628 629 tendency for Z850 rises over the considered station (Fig. 12) and the transient nature of the lagged (not shown) and upper-level composites (Figure S2). It also explains why the 630 same aerosol type can be associated with different (eventually opposite) weather types 631 632 (Section 3.3). Enhanced easterlies are also a common signature of dust episodes for all Caribbean stations, which are favored by slightly different configurations of the Azores 633 High depending on the specific island. In particular, dust episodes in Guadeloupe and 634 La Parguera are associated with a localized southwestern extension of the Azores High 635 and the trade winds. Differently, the southernmost and northernmost stations display a 636 637 generalized expansion and contraction of the Azores High, respectively, with hints of zonal dipole anomalies over the tropical Atlantic that are characteristic of easterly 638 waves (Diedhiou et al., 1999; Middleton and Goudie, 2001; New and Estupiñán 2013). 639

640 The regional enhancement of easterlies leads to robust backward trajectories for the dust641 episodes of all stations (Fig. S3 Right Panel). The air masses travel from the eastern

Atlantic within the Azores High and are transported by the trade winds towards the 642 643 Caribbean stations (Fig. 12), which supports the Saharan origin of the Caribbean dust episodes. There is a clear dominance of air masses from the tropical Atlantic (Zone V; 644 contributions of ~70% for all Caribbean stations), being slightly higher for the southern 645 stations (Fig. 13b). As in the case of marine aerosols of the dry season, the mean height 646 of the air parcels decreases as they approach to the target station (not shown). However, 647 the backward trajectories of dust episodes tend to follow more similar paths at different 648 649 altitudes than those of marine aerosols (cf. Figs. 11 and 12). Assuming that the injection of dust can reach these upper levels and remain therein, this would lend support to the 650 651 hypothesis of a long-term transport at a wide range of altitudes.

652 The main source of marine aerosols (the other dominant type of the rainy season) is also 653 Zone V (Fig. 13b), particularly for the southern stations, and its contribution decreases 654 towards the north, along with an increasing influence of the local Caribbean source 655 (Zone II). Different to the dry season, the trajectories are more zonally elongated towards the eastern tropical Atlantic, and show stronger resemblance to the rainy season 656 paths of pure dust (Fig. S3 Middle Panel). Therefore, marine and pure dust episodes 657 follow similar preferred trajectories, suggesting that the type of aerosol can be 658 determined by changes in the composition of the air parcels as they pass through 659 660 activated emission sources rather than by their specific origin and path. This is supported by the assessment of the remaining aerosol types (Fig. 13b). Zone V is the 661 main source region of the few cases of continental and mixture dust aerosols observed 662 663 in Ragged Point, Guadeloupe and La Parguera. The only exception is Camagüey, where the occurrence of continental and mixture dust aerosols is dominated by Zone II, 664 pointing to a North American origin. This region is also the leading source for the other 665 marginal aerosol types detected in Camagüey (polluted and biomass burning aerosols). 666

As mentioned above, there are eventual aerosol episodes with extreme continental characteristics (AE<sub>440-870</sub>>1.7). The inspection of their backward trajectories (red lines in Fig. S4) reveals that air parcels travelled close to the Soufrière Hills volcano (e.g. Guadeloupe and La Parguera events), or crossed the northeast coast of South America (most of the cases detected in Camagüey), likely linked to sulfur dioxide and other fine particles from the oil production industry.

673

#### 674 **4. Conclusions and discussion**

This paper presents a climatological study of aerosols in the Caribbean region, including the classification of aerosol types and the synoptic patterns and backward trajectories associated with their transport. To this end, we have employed daily mean observations of Aerosol Optical Depth (AOD) at 440nm (AOD<sub>440</sub>) and Ångström exponent at 440 and 870 nm (AE<sub>440-870</sub>) from four representative stations of the AERONET dataset.

680 The annual cycle of Caribbean aerosols is characterized by a marked increase of AOD<sub>440</sub> in the rainy season (April-October) and decreases in the dry season (November-681 March), with opposite variations of AE440-870. Seasonality in the aerosol source regions 682 and climatological features of the atmospheric circulation are the major drivers of these 683 seasonal changes in aerosol loading and speciation. In particular, the distinctive rainy 684 season signatures in the Caribbean are largely explained by the activation of African 685 dust emissions, along with the predominance of trade winds and associated easterly 686 waves in summer induced by seasonal changes in the configuration and position of the 687 688 subtropical anticyclone. We note here that Camagüey shows a secondary peak of AOD<sub>440</sub> during the dry season, in agreement with previous studies (García et al., 2015). 689 A similar double peak has been reported in other regions with large contribution of 690 polluted or dust aerosols such as the eastern United States (Zhao et al., 2018), Dakar 691

and Cape Verde (Xian et al., 2020) and El Arenosillo, Spain (Toledano et al., 2007). 692 693 Secondary peaks have also been detected in other Caribbean regions (e.g. Barbados and 694 the Lesser Caribbean; Prospero and Nees 1986; Doherty et al. 2008) and attributed to 695 dust. However, in Camagüey dust episodes are uncommon during the dry season, and continental air masses from North America with large contribution of anthropogenic 696 aerosols would better explain its secondary peak, as in the eastern United States. A 697 698 similar double peak is not observed in Ragged Point, Guadeloupe and La Parguera, arguably because dust aerosols typically follow the low-to-mid-level trade winds, which 699 flow at lower latitudes during the dry season (Prospero et al., 2014; Xian et al., 2020). 700

701 Several aerosol types are observed in the Caribbean region. The multimodal frequency 702 distributions of AOD440 and AE440-870 show three fundamental groups, with a 703 predominance of marine and dust aerosols (coarse group), followed by fine mode 704 aerosols (polluted, continental and biomass burning aerosols) and the mixture of these two groups. Overall, the results indicate a predominance of coarse aerosols in the 705 706 Caribbean throughout the year, resulting from higher abundances of marine aerosols in the dry season, and of dust aerosols in the rainy season. The frequency of days when 707 these aerosols are dominant and the amplitude of their seasonal cycles vary across 708 709 stations, modulated by the abundance of other secondary aerosol types (mainly continental and mixture dust). In particular, there is a spatial gradient in the distribution 710 of coarse aerosols, which are more frequent in the easternmost islands, and decrease 711 712 westwards. As such, Camagüey, and secondarily La Parguera display the most heterogeneous composition of aerosols, being the stations with the largest frequencies 713 of continental, polluted and mixture dust aerosols, and the only ones with detectable 714 biomass burning aerosols. The different frequencies of aerosol types across stations are 715 to a large extent in agreement with their distances to the open sea, as well as the 716

geographical location (proximity to North America) and spatial extension of their 717 718 islands. Local sources (agriculture, traffic) and/or sporadic natural phenomena (e.g. volcanic emissions) could partially explain some of the reported differences in the 719 720 frequency distributions of AOD<sub>440</sub> and AE<sub>440-870</sub> (degree of asymmetry, long tails, etc.). Overall, marine and continental aerosols are more frequent in the dry season and 721 decrease towards the rainy season, when dust aerosols dominate. The latter does not 722 723 mean an influence of dust aerosols in the occurrence of cloudy days, which does not display an obvious annual cycle over the Caribbean. The annual maxima of dust 724 aerosols do not agree with the climatological peaks in precipitation either, which tend to 725 726 occur in May-June for Camagüey and La Parguera, but in October for Ragged Point and 727 Guadeloupe (Taylor and Alfaro, 2005; Martinez et al., 2019). Indeed, dust aerosols can 728 inhibit cloud formation and precipitation, because they are usually accompanied by dry 729 air masses (Goudie and Middleton, 2006). However, if this is the case, such effect is not reflected in the climatological precipitation patterns, either: Camagüey and La Parguera 730 731 show mid-summer breaks in rainfall by late July - early August (when dust aerosols are more common), but the same is not observed in the stations with the largest frequencies 732 of dust (Ragged Point and Guadeloupe). On the other hand, polluted aerosols do not 733 734 have a well-defined seasonal distribution, whereas mixture dust and biomass burning aerosols tend to be more frequent in the dry season, although their low frequencies of 735 occurrence prevent robust estimates. 736

The analysis of weather types demonstrates a quantifiable influence of the atmospheric circulation in the occurrence of Caribbean aerosols. Out of the four weather types of each season, we identify two favorable (and two unfavorable) synoptic patterns that are common for all aerosol types and stations. The degree of influence varies more with the station than with the type of aerosol considered, stressing the importance of the

geographical location. In some cases, a specific weather type can double the probability 742 743 of occurrence of an aerosol type. However, the same aerosol type can occur under weather patterns with very different (eventually opposite) signatures. This points to 744 745 travelling synoptic disturbances at the core of the aerosol transport, with favorable weather types representing different stages of the long-term transport. Additional 746 composite analyses indicate that the Azores High and the Continental Anticyclone over 747 748 North America are the main drivers of the large-scale wind conditions favorable for the transport of aerosols to the Caribbean. The easterlies arise as the dominant wind 749 component associated with the main Caribbean aerosols all year round. During the dry 750 751 season, the synoptic patterns conductive to marine aerosols vary across the stations, but 752 when considered together, they suggest transient baroclinic disturbances travelling 753 westward through the northern flank of the Azores High. Accordingly, the same 754 synoptic perturbation could instigate episodes of the same aerosol type at different Caribbean stations as it travels over the Atlantic. Similarly, the synoptic patterns 755 756 associated with dust episodes during the dry season stress the importance of the trade winds and support the major role of easterly waves travelling from Northern Africa. 757

758 Backward trajectories confirm that marine aerosols in the dry season are transported in air parcels steered by a strengthened northwesterly flow over the North Atlantic towards 759 760 the main trade belt. In the rainy season, dust is frequently transported by air parcels with zonal paths along the tropical easterly winds. As a consequence, the eastern Atlantic 761 (Zone V) is the major source of marine and dust aerosols (the latter ultimately 762 originating in Northern Africa). The contribution of this region varies with the 763 considered station, being lower for the westernmost islands, which are more affected by 764 local sources in the Caribbean (Zone II) and the surrounding areas (North America and 765 766 subtropical northwestern Atlantic, Zone I). The determination of the main source

regions for other aerosol types is hampered by the overall low number of episodes. 767 768 However, the assessment of individual trajectories suggests similar origins (the eastern tropical Atlantic for continental aerosols in the easternmost stations, and a larger 769 770 contribution of nearby sources in the westernmost islands). Nearby regions (the Caribbean and North America) also act as the main sources of the few episodes of 771 biomass burning, polluted and mixture dust aerosols detected in the westernmost 772 773 stations, as well as of some extreme continental episodes linked to either natural (volcanic emissions) or anthropogenic (industrial activities) sources. Overall, the similar 774 trajectories and sources for the different aerosol types suggest that they could be 775 776 transported by the same air parcels. According to this hypothesis, once the synoptic conditions initiate the transport, the type of aerosol would be largely influenced by 777 778 changes in the composition of the air parcel as its travels over activated emission 779 sources. Dedicated modeling studies accounting for the local and remote sources/sinks of each aerosol type and associated processes (chemical reactions, deposition, etc.) that 780 781 intervene in the large-scale transport of air parcels would be required to achieve a more detailed understanding of the spatio-temporal distribution of aerosols in the Caribbean. 782

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# 802 Appendix A

The optimal number of clusters for the rainy and dry seasons was determined using the classifiability index (CI, Michelangeli et al., 1995):

805 
$$CI(k) = \frac{1}{M(M-1)} \sum_{1:m \neq m'}^{M} C(Pa_m(k), Pa_{m'}(k))$$

where C measures the similarity (pattern correlation) between two different partitions 806 out of M initial random seeds of k clusters. The analysis was applied to M = 100807 classifications of k clusters, ranging from 2 to 20. A CI(k) value of 1 means that the M 808 partitions yielded exactly the same k clusters. The CI distribution is compared to that 809 810 obtained from a red noise process with a first order (lag-1 autocorrelation) autoregressive model, CI' (Figure A1). An optimal number of clusters is that preserving 811 high CI(k) values and substantial departures from those of CI'(k). Accordingly, we 812 813 selected four weather types for each season.

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## 1207 **Table captions**

1208 Table 1. Characteristics of the Caribbean AERONET stations used in this study. The

- 1209 last but one column shows the number of non-missing days for the Level 2.0 of the
- 1210 AERONET Version 3.0 dataset over the analyzed period 7 October 2008 31 December

1211 2016.

- 1212 **Table 2.** Climatology (annual mean and interannual standard deviation, 2008-2016) of
- 1213 daily mean AOD<sub>440</sub> and AE<sub>440-870</sub> for each Caribbean station.
- 1214 **Table 3.** Thresholds of daily mean AOD<sub>440</sub> and AE<sub>440-870</sub> values used for the aerosol
- 1215 type classification.
- 1216 **Table 4.** Total number of days per aerosol type at each station in the analyzed period.
- 1217 The relative frequencies (in percentage of days) are shown in parentheses.

## 1219 Figure captions

Figure 1. Map of the Caribbean AERONET stations used in this study and Zones of airmasses (source regions) associated with aerosol transport to the Caribbean region.

**Figure 2.** Climatological (2008-2016) mean annual cycle of AOD<sub>440</sub> (dimensionless)

1223 for each Caribbean station. Gray shading shows the monthly mean plus / minus one

1224 (interannual) standard deviation. The whiskers denote 1.5 times the interguartile range

and the boxes indicate the  $25^{\text{th}}$  and  $75^{\text{th}}$  percentiles, with the median in between.

**Figure 3.** Total frequency distribution of daily mean lnAOD<sub>440</sub> over the analyzed period

1227 for each Caribbean station. Thick lines represent the multi-mode normal fits of the

distribution, with thin lines denoting the underlying normal distributions obtained from

- the Gaussian mixture model (see text for details).
- **Figure 4.** As Figure 2 but for AE<sub>440-870</sub> (dimensionless).
- 1231 **Figure 5.** As Figure 3 but for AE440-870.

Figure 6. Scatter plot of AOD<sub>440</sub> vs AE<sub>440-870</sub> based on daily mean data at each station.
The color scale indicates the density of measurements using bins of 0.01 for AOD<sub>440</sub>
and AE<sub>440-870</sub>. The regions delimiting the type of aerosol in the AOD<sub>440</sub> - AE<sub>440-870</sub> space
are highlighted.

Figure 7. Monthly frequency distribution (in percentage of days in the month) of
aerosol types for each Caribbean station. The number above the bars indicates the total
frequency of days in that month over the analyzed period.

**Figure 8**. Composites (2008-2016) of geopotential height (shading, m) and wind vector

1240 (arrows) anomalies at 850 hPa for the dry season days classified in each weather type.

1241 The top right of each panel shows the percentage of seasonal days with each weather

1242 type.

1243 **Figure 9**. As Figure 8 but for the rainy season.

Figure 10. Change in the probability of occurrence of weather types (columns) for the days with different aerosol types (rows) at each Caribbean station (panels): a) dry season; b) rainy season. Probability changes are expressed with respect to the climatology, using all days of the corresponding season. The sign (\*) denotes statistical significance at p<0.01 or p>0.99 according to a binomial test. Hatched (grey) cells identify aerosol types with less than 20% of occurrence (no episodes) in the given season.

Figure 11. Composites of geopotential height (shading, m) and wind vector (arrows) 1251 anomalies at 850 hPa for the first day of marine aerosol episodes in the dry season and 1252 1253 each Caribbean station. Contours show the mean geopotential height at 850 hPa for the 1254 composited days (m). The three colored lines indicate the mean backward trajectories arriving at 500 m (green), 1500 m (magenta) and 3000 m (brown), with colored dots 1255 1256 denoting the mean positions for each day of the 7-day backward trajectories. The top right of each panel shows the number of cases employed in the composite with respect 1257 1258 to the total number of days with that aerosol. See text for details.

**Figure 12**. As Figure 11 but for the dust aerosol episodes in the rainy season. White dots indicate significant differences in geopotential height with respect to the climatology at the 90% confidence level, as derived from a 1000-trial Monte Carlo test.

Figure 13. Main source regions of aerosol type episodes (rows) at the Caribbean stations (columns) for the: a) dry and b) rainy season. For each station the left / middle / right columns show the main sources of the backward trajectories initialized at 500 / 1500 / 3000 m. Colors identify the source region according to the legend, with the degree of darkness denoting the level of contribution (light / medium / dark shading corresponds to <40, [40-55], >55% of episodes in the season). Hatched (grey) cells identify aerosol types with less than 20% of occurrence (no episodes) in the givenseason.

Figure A1. Classifiability index CI distribution against the number of clusters. The black line shows the observed CI. The red solid line is the one-sided p<0.01significance level from 100 red-noise simulations.

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Table 1. Characteristics of the Caribbean AERONET stations used in this study. The last but one column shows the number of non-missing days
for the Level 2.0 of the AERONET Version 3.0 dataset over the analyzed period 7 October 2008 - 31 December 2016.

Station	Lat, Lon	Elevation	Size of the	Distance	Distance to	Start date of	Non-missing	Cloud free days
	(N,E)	(m)	island (km <sup>2</sup> )	to the sea	North	available	days in the	(% of non-
				(km)	America (km)	measurements	analyzed period	missing days)
Ragged Point	13.17,	40.0	$132  \rm km^2$	0.052	2715	28/8/2007	1556	67
(Barbados)	-59.43		452 KIII	0.032	2713			
Guadeloupe	16.22,	39.0				18/2/1997	1197	66
(Overseas	-61.53		848 km <sup>2</sup>	0.385	2275			
territory of								
France)								
La Parguera	17.97,	12.4	$8000  1 m^2$	0.005	1700	30/6/2000	1804	85
(Puerto Rico)	-67.05		8900 KIII	0.095	1790			
Camagüey	21.42,	122.0	$100994  \mathrm{lm}^2$	05	570	7/10/2008	1497	78
(Cuba)	-77.85		109804 KIII 83		378			

**Table 2.** Climatology (annual mean and interannual standard deviation, 2008-2016) of

	Ragged Point	Guadeloupe	La Parguera	Camagüey
AOD <sub>440</sub>	$0.16 \pm 0.12$	$0.16 \pm 0.14$	$0.15 \pm 0.12$	$0.16\pm0.09$
AE440-870	$0.28 \pm 0.22$	$0.33 \pm 0.31$	$0.50 \pm 0.34$	$0.88 \pm 0.42$

1283 daily mean AOD<sub>440</sub> and AE<sub>440-870</sub> for each Caribbean station.

**Table 3.** Thresholds of daily mean AOD<sub>440</sub> and AE<sub>440-870</sub> values used for the aerosol

1286 type classification.

Aerosol Type	Classification criteria
Marine	$AOD \leq 0.18$ and $AE \leq 1.05$
Continental	$AOD \leq 0.18$ and $AE > 1.05$
Pure Dust	$AOD > 0.18$ and $AE \le 0.7$
Mixture Dust	$AOD > 0.18 \text{ and } 0.7 < AE \le 1.5$
Polluted	$0.18 < AOD \le 0.35$ and $AE > 1.5$
Biomass Burning	$AOD > 0.35$ and $AE \ge 1.5$

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**Table 4.** Total number of days per aerosol type at each station in the analyzed period.

	Stations						
Aerosol Type							
	Ragged Point	Guadeloupe	La Parguera	Camagüey			
Marine	1085 (69.7%)	820 (68.5%)	1271 (70.4%)	715 (47.7%)			
Continental	12 (0.8%)	53 (4.4%)	99 (5.5%)	304 (20.3%)			
Pure Dust	453 (29.1%)	319 (26.7%)	375 (20.8%)	130 (8.7%)			
Mixture Dust	6 (0.4%)	5 (0.4%)	54 (3.0%)	280 (18.7%)			
Polluted	0 (0%)	0 (0%)	4 (0.2%)	57 (3.8%)			
Biomass Burning	0 (0%)	0 (0%)	1 (~0%)	11 (0.7%)			

1290 The relative frequencies (in percentage of days) are shown in parentheses.

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Figure 1. Map of the Caribbean AERONET stations used in this study and Zones of air
masses (source regions) associated with aerosol transport to the Caribbean region.



Figure 2. Climatological (2008-2016) mean annual cycle of AOD<sub>440</sub> (dimensionless) for each Caribbean station. Gray shading shows the monthly mean plus / minus one (interannual) standard deviation. The whiskers denote 1.5 times the interquartile range and the boxes indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles, with the median in between.





**Figure 3.** Total frequency distribution of daily mean lnAOD<sub>440</sub> over the analyzed period for each Caribbean station. Thick lines represent the multi-mode normal fits of the distribution, with thin lines denoting the underlying normal distributions obtained from the Gaussian mixture model (see text for details).





**Figure 4.** As Figure 2 but for AE<sub>440-870</sub> (dimensionless).



**1313 Figure 5.** As Figure 3 but for AE<sub>440-870</sub>.



Figure 6. Scatter plot of AOD<sub>440</sub> vs AE<sub>440-870</sub> based on daily mean data at each station.
The color scale indicates the density of measurements using bins of 0.01 for AOD<sub>440</sub>
and AE<sub>440-870</sub>. The regions delimiting the type of aerosol in the AOD<sub>440</sub> - AE<sub>440-870</sub> space
are highlighted.



Figure 7. Monthly frequency distribution (in percentage of days in the month) of
aerosol types for each Caribbean station. The number above the bars indicates the total
frequency of days in that month over the analyzed period.



## Dray Season 850 hPa



Figure 8. Composites (2008-2016) of geopotential height (shading, m) and wind vector
(arrows) anomalies at 850 hPa for the dry season days classified in each weather type.
The top right of each panel shows the percentage of seasonal days with each weather
type.

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**Figure 9**. As Figure 8 but for the rainy season.





Figure 10. Change in the probability of occurrence of weather types (columns) for the days with different aerosol types (rows) at each Caribbean station (panels): a) dry season; b) rainy season. Probability changes are expressed with respect to the climatology, using all days of the corresponding season. The sign (\*) denotes statistical significance at p<0.01 or p>0.99 according to a binomial test. Hatched (grey) cells identify aerosol types with less than 20% of occurrence (no episodes) in the given season.



## Dry season Marine Aerosols Composite 850 hPa

Figure 11. Composites of geopotential height (shading, m) and wind vector (arrows) 1345 anomalies at 850 hPa for the first day of marine aerosol episodes in the dry season and 1346 each Caribbean station. Contours show the mean geopotential height at 850 hPa for the 1347 composited days (m). The three colored lines indicate the mean backward trajectories 1348 arriving at 500 m (green), 1500 m (magenta) and 3000 m (brown), with colored dots 1349 denoting the mean positions for each day of the 7-day backward trajectories. The top 1350 right of each panel shows the number of cases employed in the composite with respect 1351 to the total number of days with that aerosol. See text for details. 1352


Wet season Dust Aerosols Composite 850 hPa

**Figure 12**. As Figure 11 but for the dust aerosol episodes in the rainy season. White dots indicate significant differences in geopotential height with respect to the climatology at the 90% confidence level, as derived from a 1000-trial Monte Carlo test.

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b) Rainy Season



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Figure 13. Main source regions of aerosol type episodes (rows) at the Caribbean 1359 1360 stations (columns) for the: a) dry and b) rainy season. For each station the left / middle / right columns show the main sources of the backward trajectories initialized at 500 / 1361 1500 / 3000 m. Colors identify the source region according to the legend, with the 1362 degree of darkness denoting the level of contribution (light / medium / dark shading 1363 corresponds to <40, [40-55], >55% of episodes in the season). Hatched (grey) cells 1364 identify aerosol types with less than 20% of occurrence (no episodes) in the given 1365 1366 season.

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Figure A1. Classifiability index CI distribution against the number of clusters. The
black line shows the observed CI. The red solid line is the one-sided p<0.01</li>
significance level from 100 red-noise simulations.