

# Vertical Structure Content of Polarimetric Radio Occultations (PRO) and Applications to Weather Modeling

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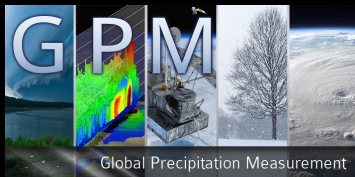
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**Jet Propulsion Laboratory**  
California Institute of Technology



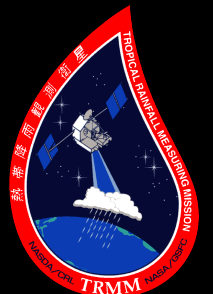
**UCLA**



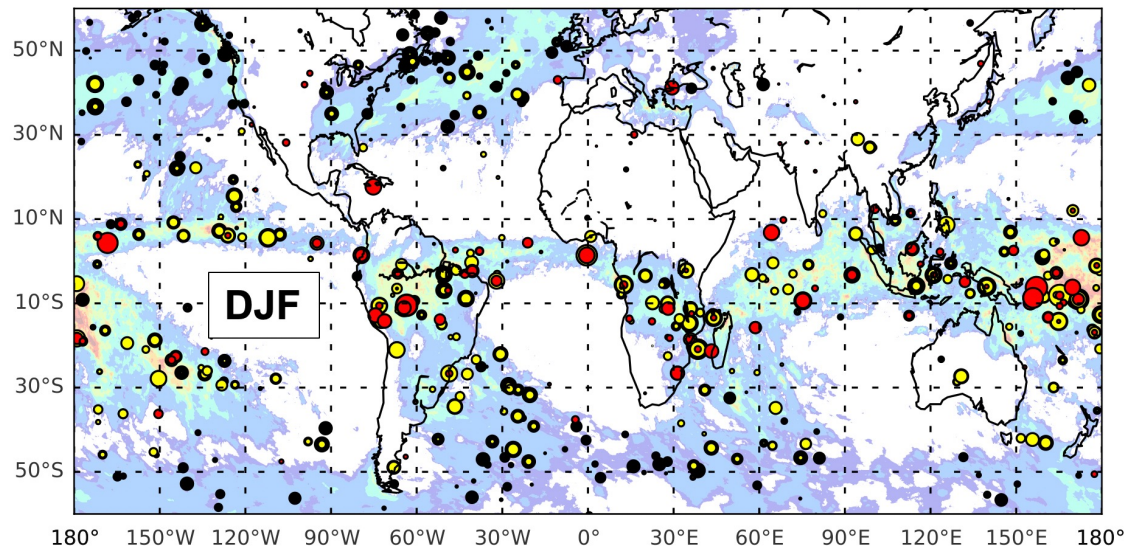
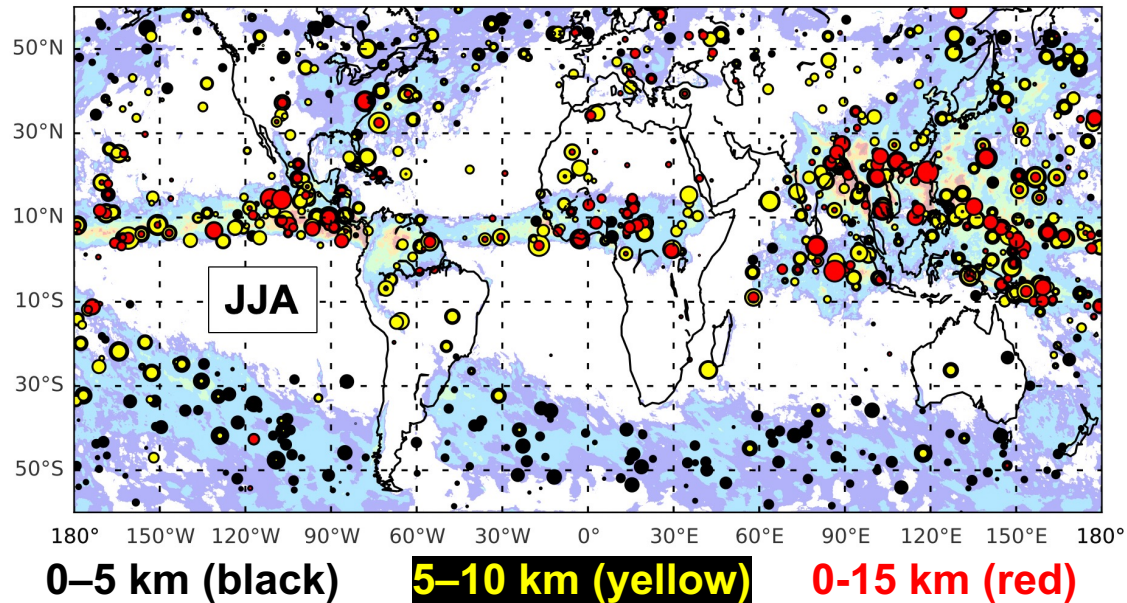
8<sup>th</sup> International Radio Occultations Working Group Workshop  
(IROWG-8)

April 7-9, 11-13, 2021

<https://paz.ice.csic.es>



# Precipitation Climatology



Geographical distribution of the upper percentile (top 2%) of the measured polarimetric phase shift ( $\Delta\phi$ ) from all ROHP observations

Each dot color denotes a vertical region where the  $\Delta\phi$  from all rays were averaged

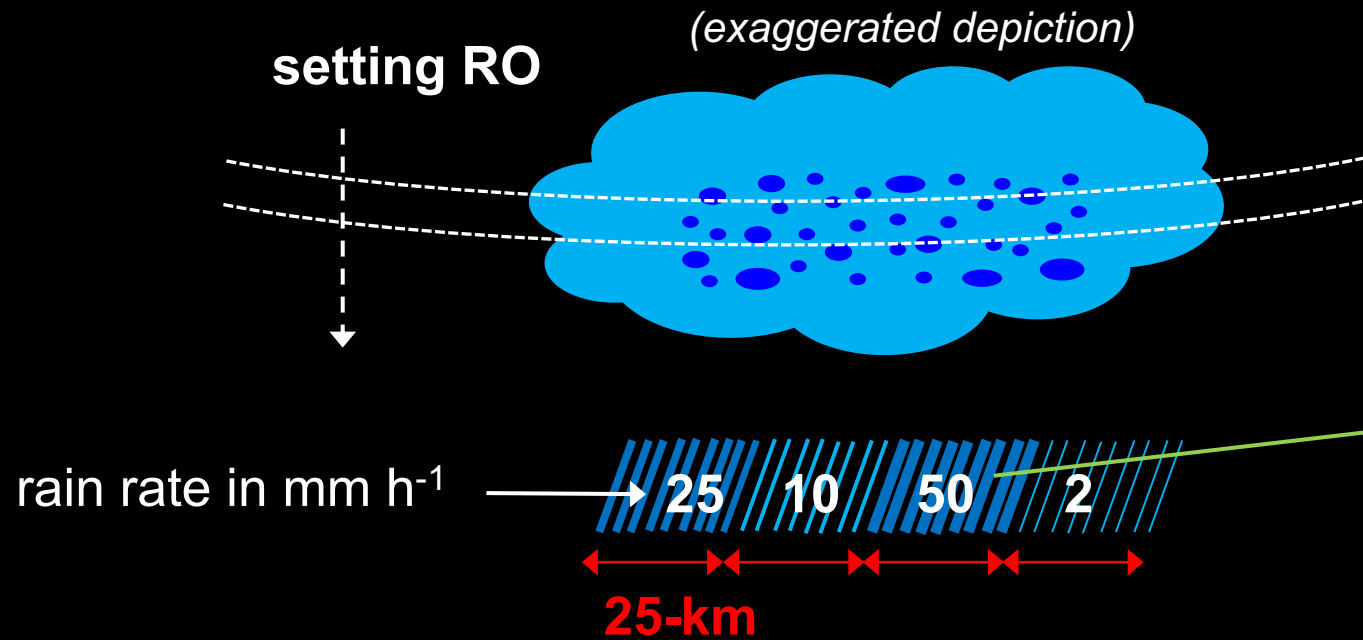
The color contour background is GPM-IMERG averaged over the same 3-month period

Geographical agreement with known global precipitation patterns

$\Delta\phi$  adds an indication of vertical precipitation structure to the  $(T, q, p)$  profile

*Purpose of this investigation is to characterize the  $\Delta\phi$  profile, to facilitate its science usage*

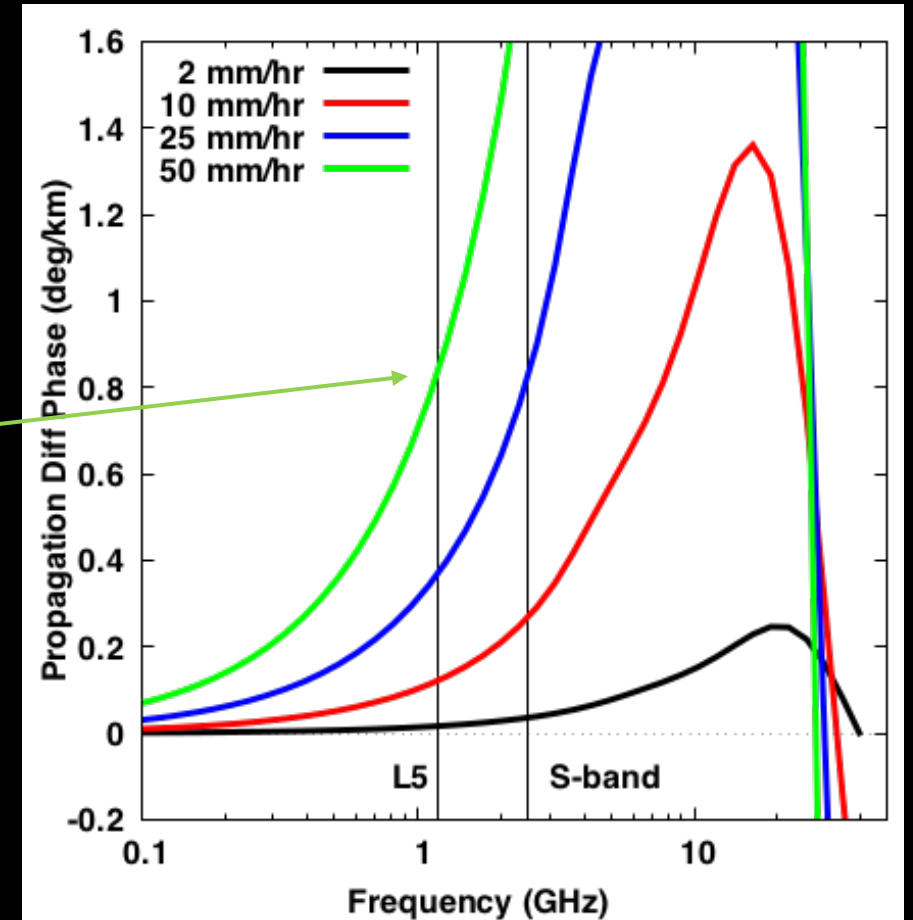
# Relating Polarimetric Phase Difference to Precipitation Structure



**Polarimetric differential phase shift  $\Delta\phi$  due to rain is a path-weighted sum =**

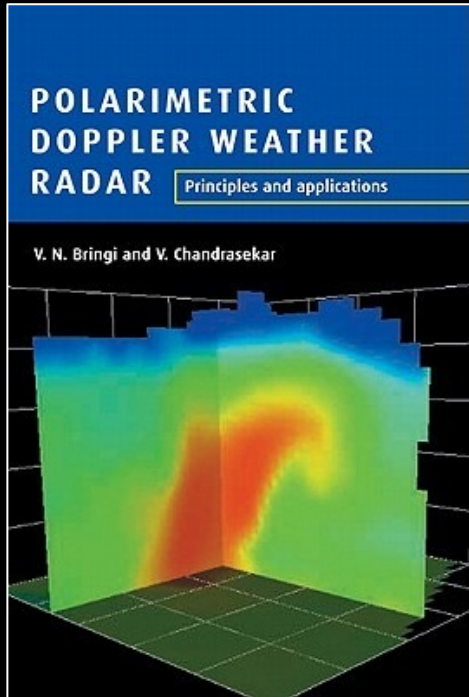
$$0.35(25) + 0.1(25) + 0.8(25) + 0.05(25) = 14.5 \text{ deg} = 5 \text{ mm}$$

This value would clearly indicate the presence of heavy precipitation somewhere along the ray path



However, different combinations of path lengths and rain intensities yield a similar phase difference

# Long Heritage in Polarimetric Doppler Radar Community



Specific differential phase shift (deg km<sup>-1</sup>)

$$K_{DP} = \frac{180}{\lambda} \int Re(f_H - f_V) N(D) dD$$

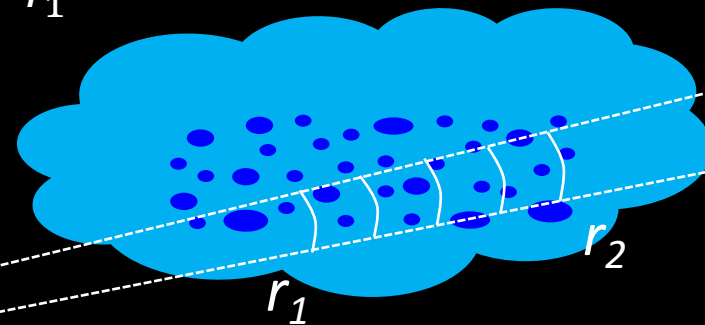
forward scattering amplitudes

Propagation differential phase shift (deg)

$$\phi_{DP} = 2 \int_{r_1}^{r_2} K_{DP}(r) dr$$

Analogous to the polarimetric RO  $\Delta\phi$  measurable

$N(D)$  = hydrometeor size distribution within each radar pulse volume



radar beam

rain rate in mm h<sup>-1</sup>



25-km

(exaggerated depiction)

NEXRAD ground-based radars

## Assessing ROHP with Current GPM MW Radiometer Constellation

ROHP Cal/Val has been done to date separating data by “near-surface” precipitation from GPM-IMERG data (*Padulles et al, 2020*)

The polarimetric signal responds to the precipitation vertical structure along each ray path. Further assessment requires an observational dataset that has 3-D condensed water content structure

Very few coincidences and ray-alignments within narrow swath GPM dual-frequency radar (DPR, 240-km Ku-band swath; 240-km Ka-band after May 2019) to compare with  $\Delta\phi$  profile

Use wide-swath GPM passive MW radiometer constellation (GPM/GMI, GCOM-W/AMSR-2, NPP/NOAA-20 ATMS, MetOp/MHS, DMSP/SSMIS, etc.)

Vertical profiles of the condensed water content provided by the Emissivity Principal Components (EPC) passive MW precipitation profiling algorithm, whose *a-priori* data comes from the DPR (*Utsumi et al 2020, Turk et al 2018*)

+/-15 min  
coincidences  
ROHP/GPM  
constellation  
passive MW



Run EPC for  
passive MW  
scans covering  
all RO rays from  
20-km to surface



Ray-tracing  
along same  
0.1-km level  
rays for ROHP



Propagate each  
ray through the 3-D  
cloud. Accumulate  
rain and ice water  
path

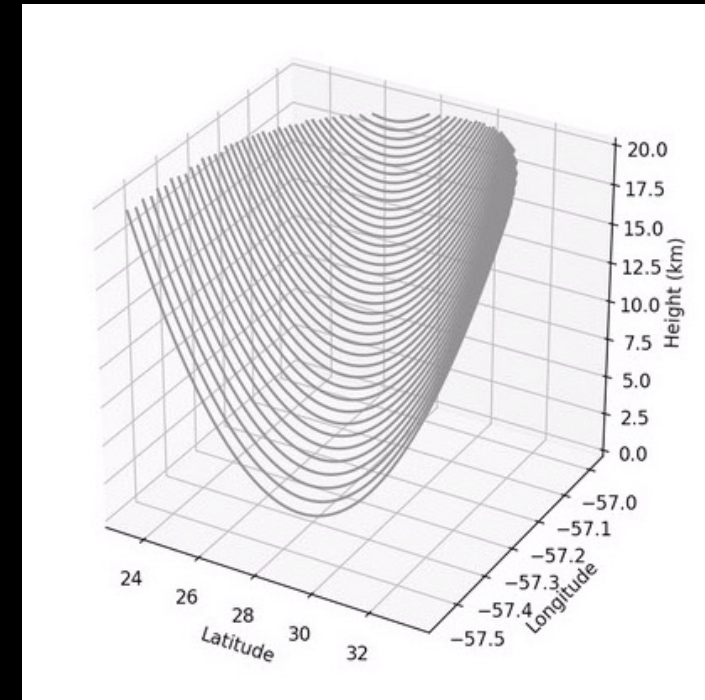
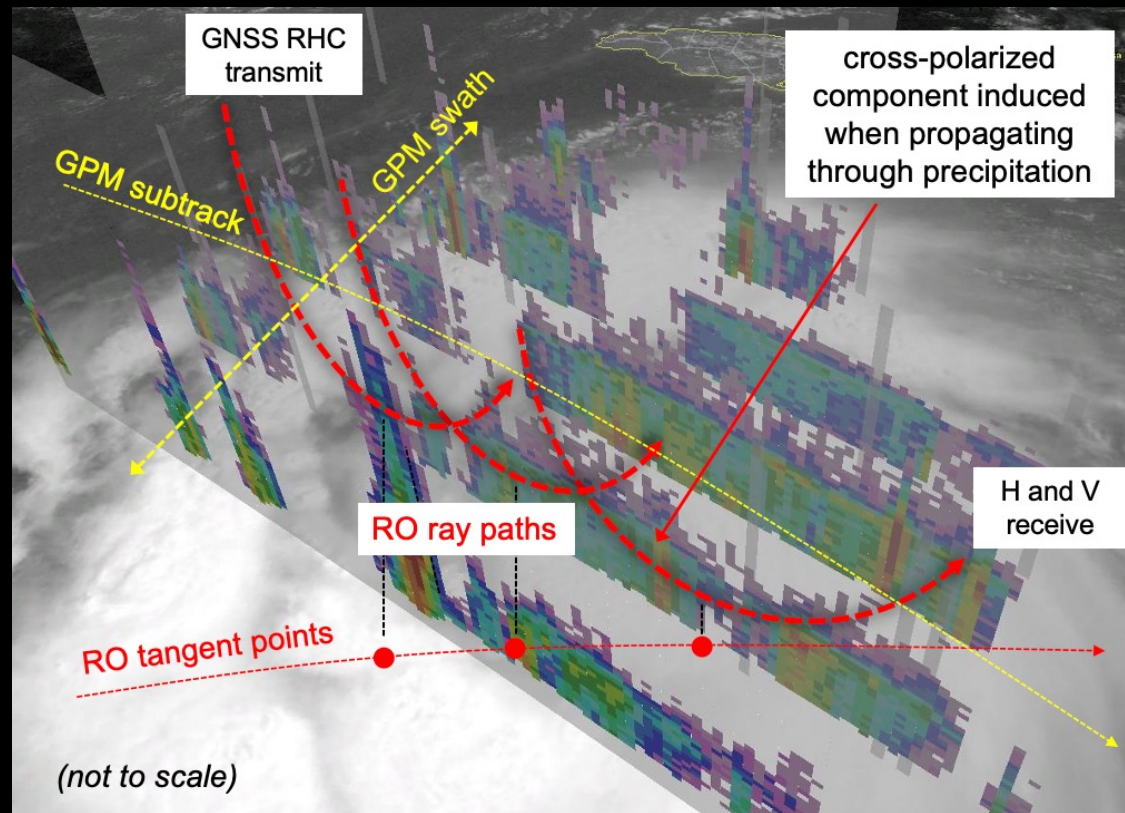


Simulate  $\Delta\phi$   
profile using rain  
and a few simple  
ice shape  
assumptions

Latest ROHP APC  
20200513  
reprocessing

Lookup tables of  $K_{DP}$   
for rain (Beard et al  
axis ratio) and ice  
(several axis ratios)  
using T-matrix

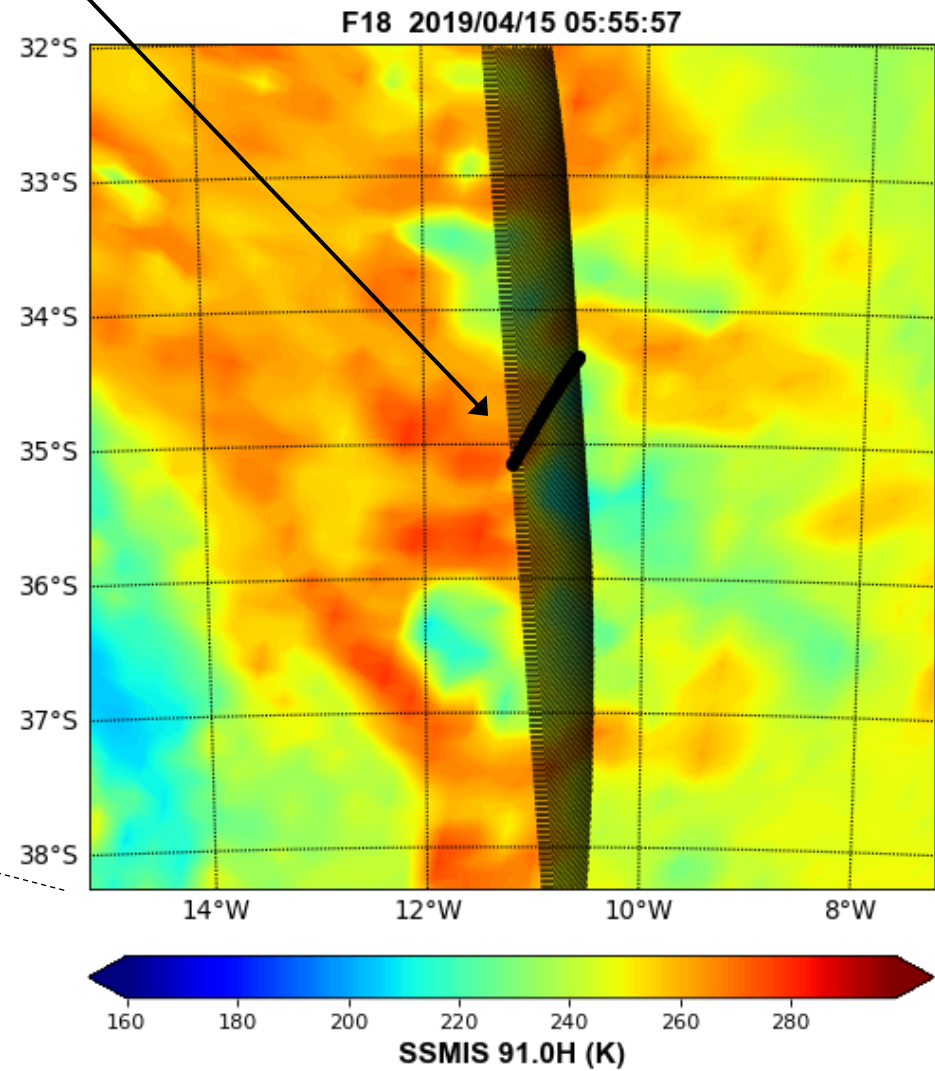
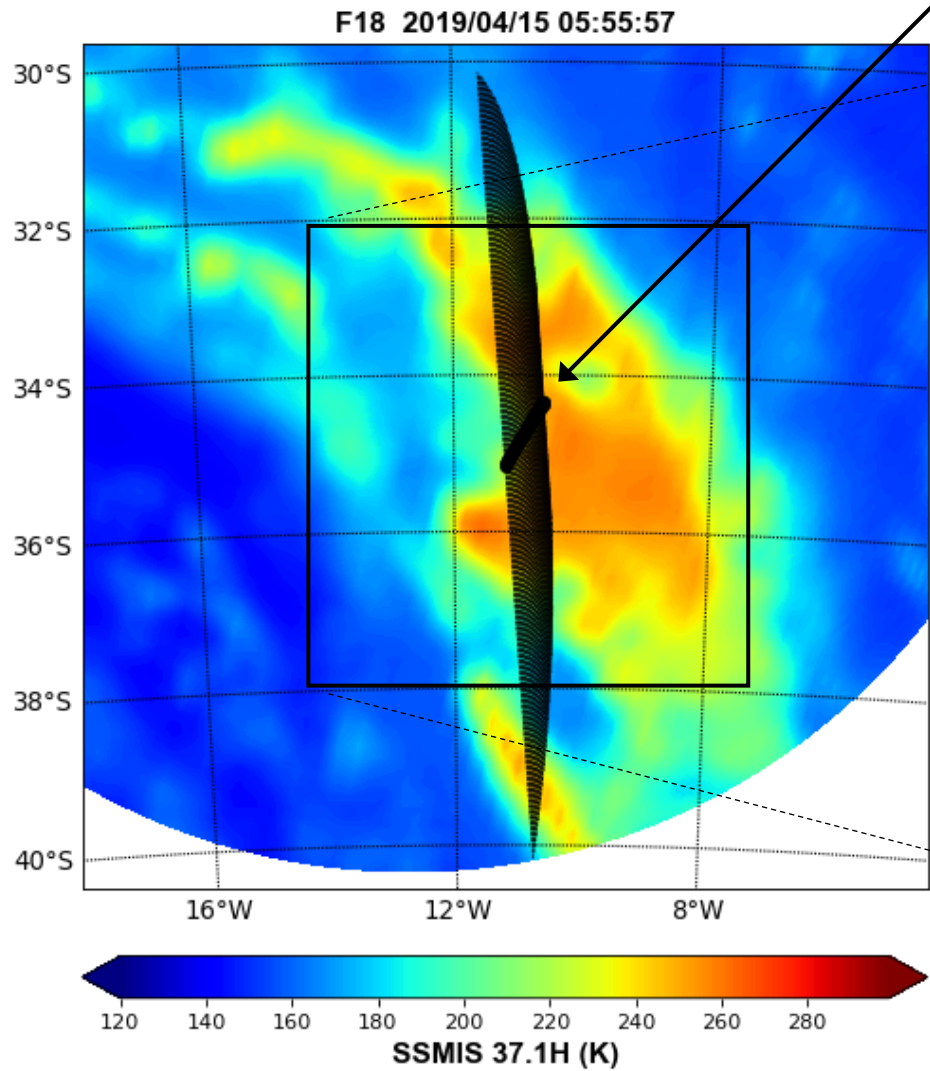
About 8000 ROHP  
cases

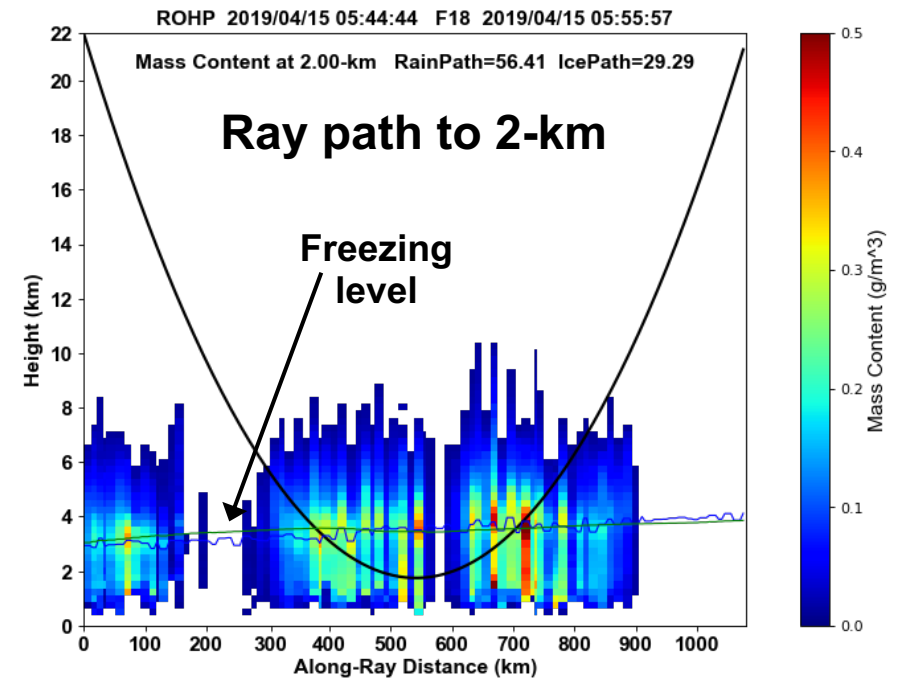
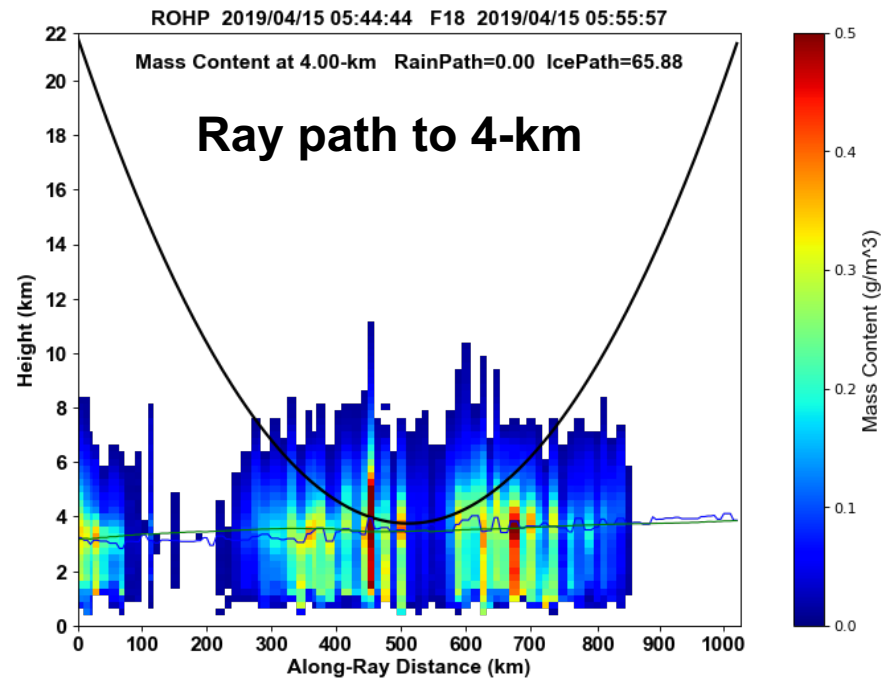
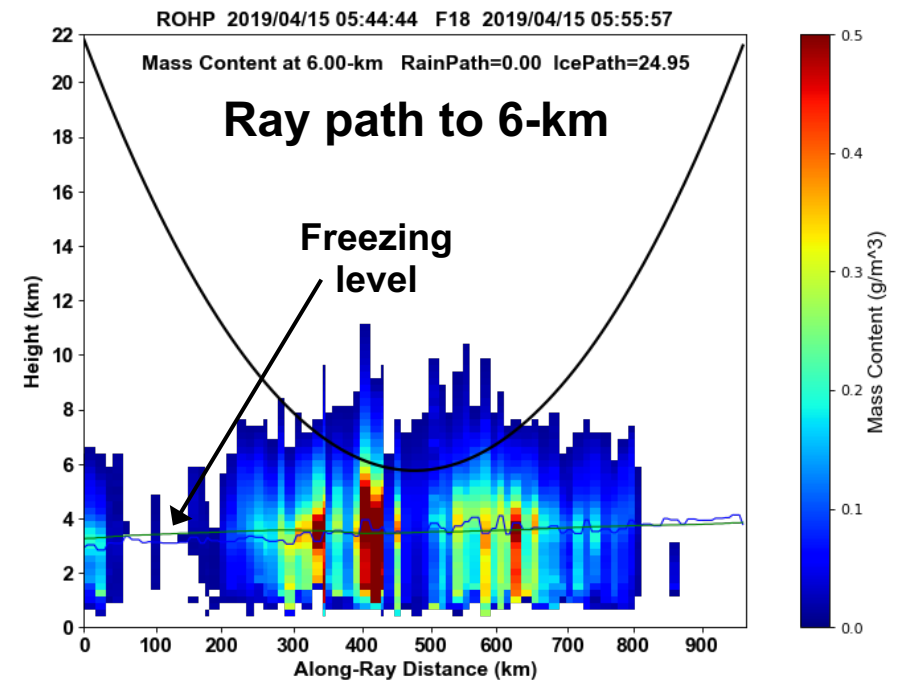
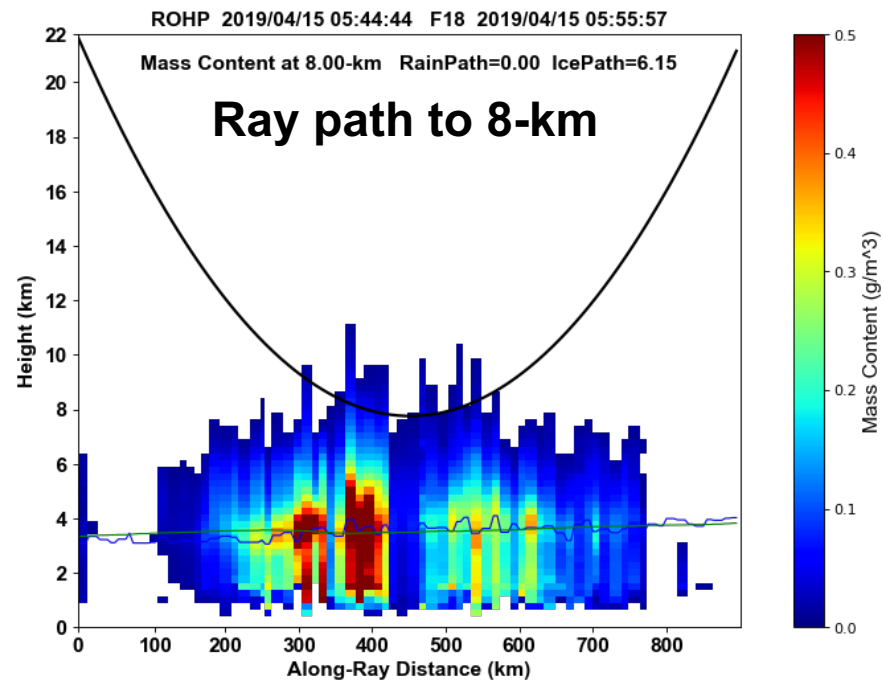


Exaggerated 3-D water content structure

**ROHP 2019/04/15 0544 UTC**  
**DMSP F-18 SSMIS 0556 UTC**

**RO tangent points locations  
and ray paths**

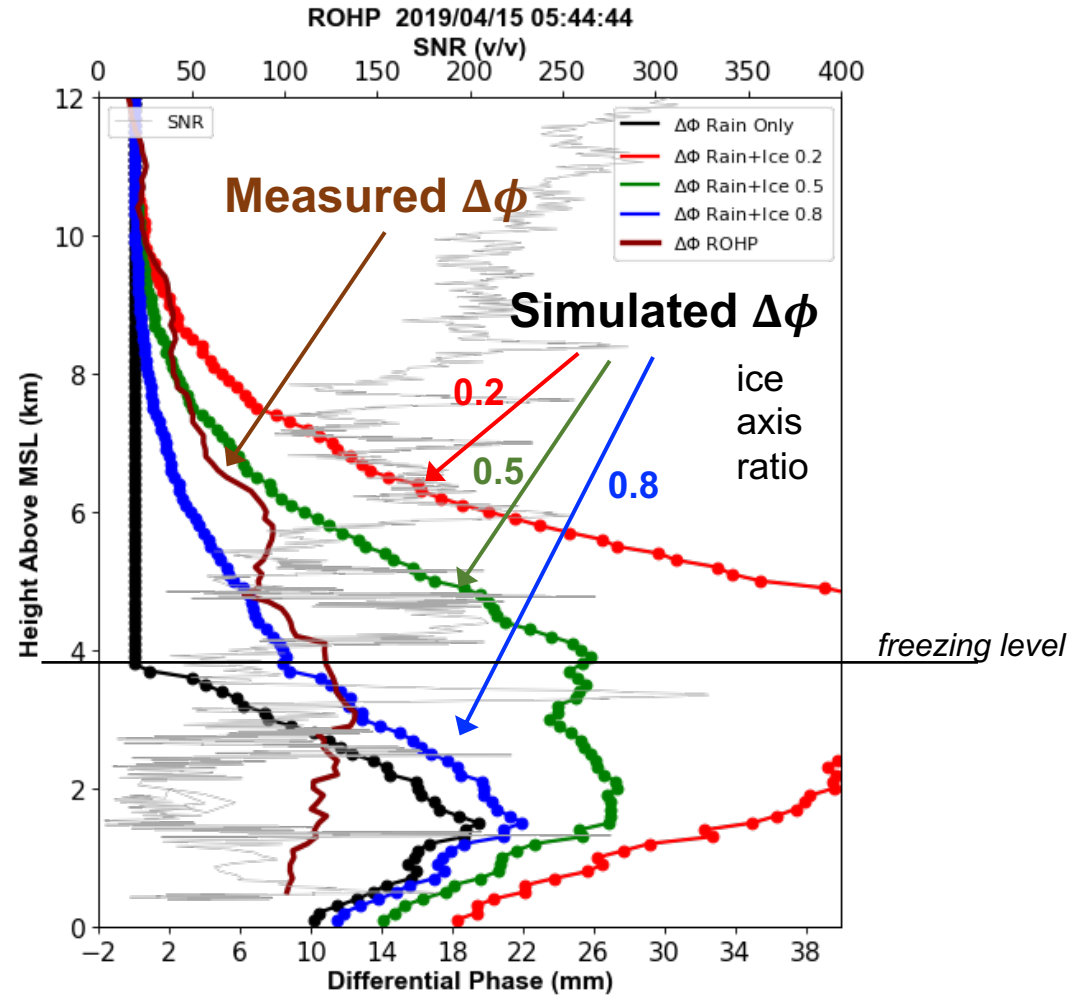
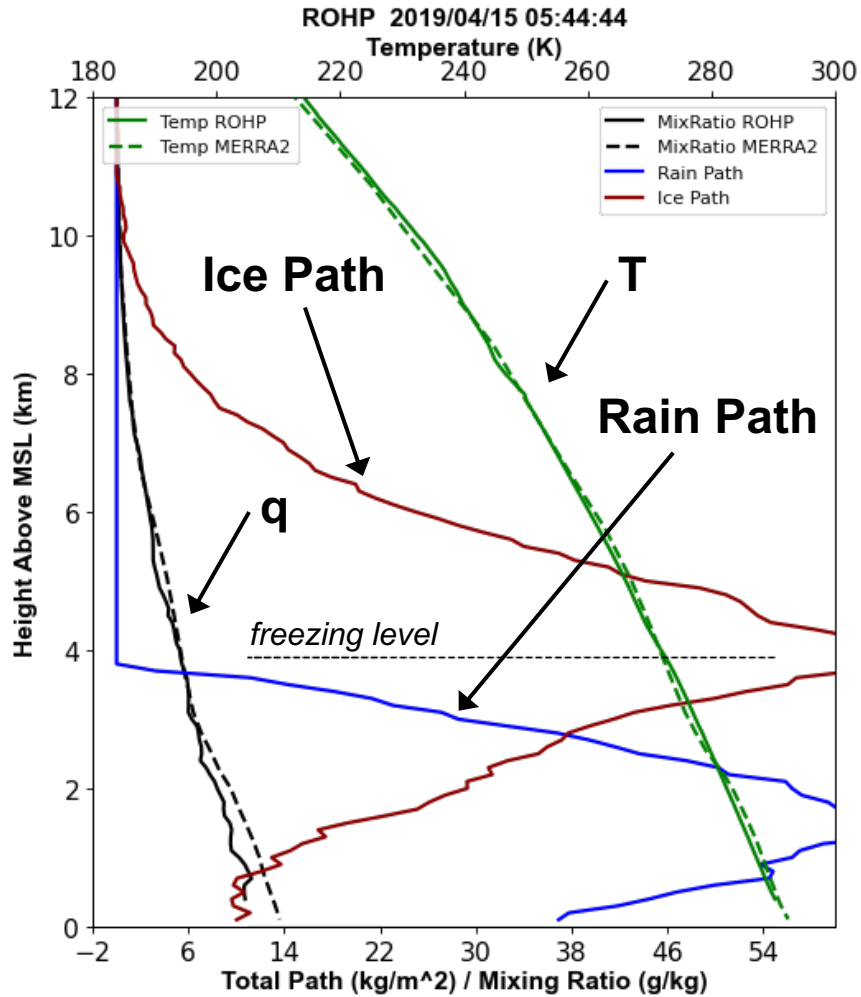






# Water Path Profile (sum along each ray)

ROHP 2019/04/15 0544 UTC  
DMSP F-18 SSMIS 0556 UTC

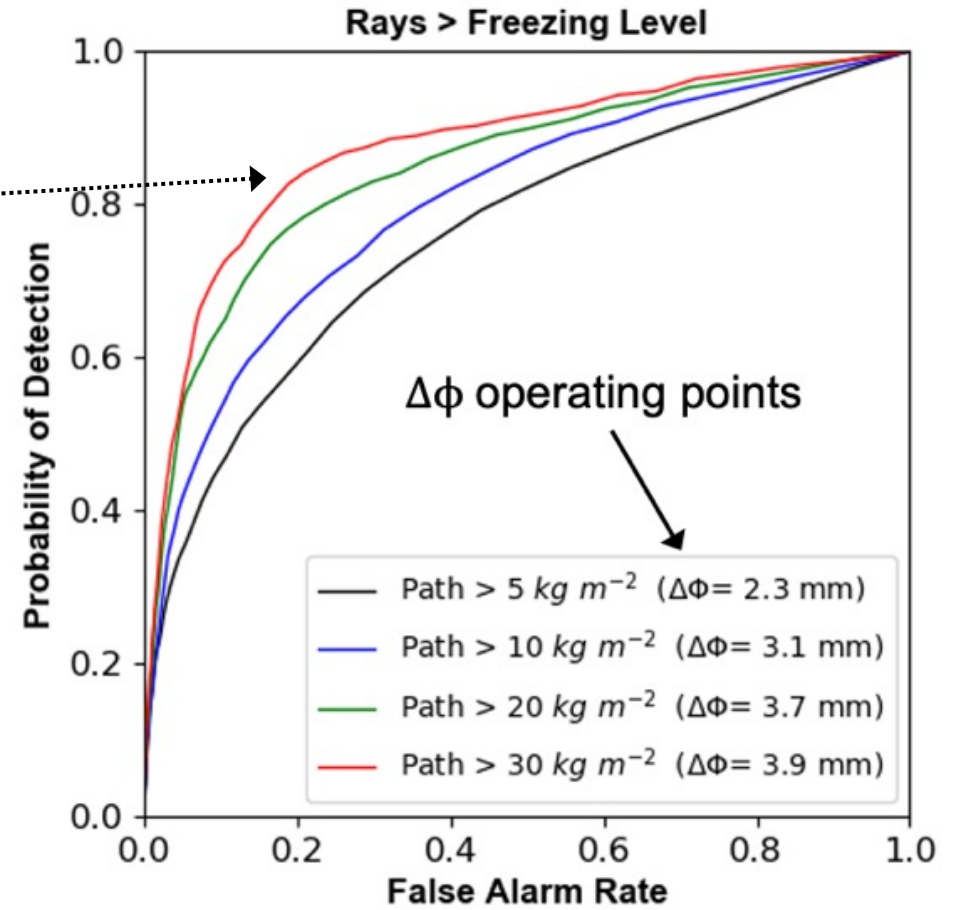
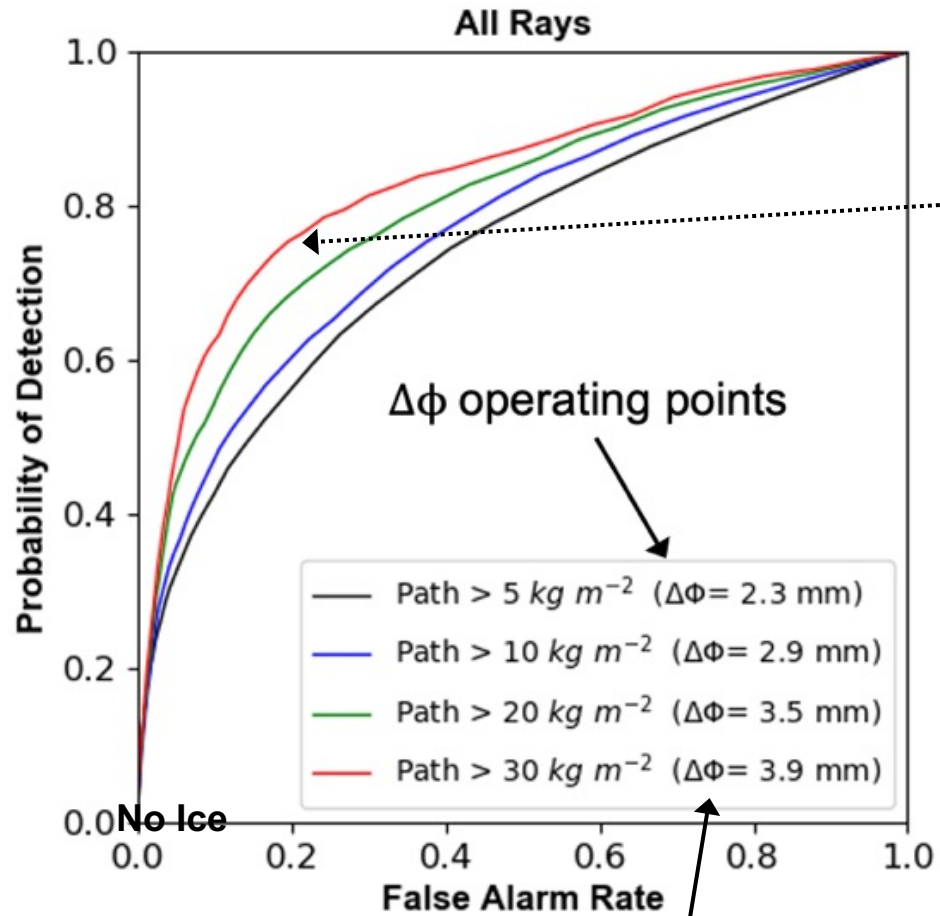


20 kg m<sup>-2</sup> = 1 g m<sup>-3</sup> along a 20-km path  
 = 0.5 g m<sup>-3</sup> along a 40-km path  
 = 0.2 g m<sup>-3</sup> along a 100-km path

From the actual observations, same water path could occur from many different cloud conditions

# Detection Characteristics: Total Rain+Ice Water Path

## ROHP Rays (Passing QC)



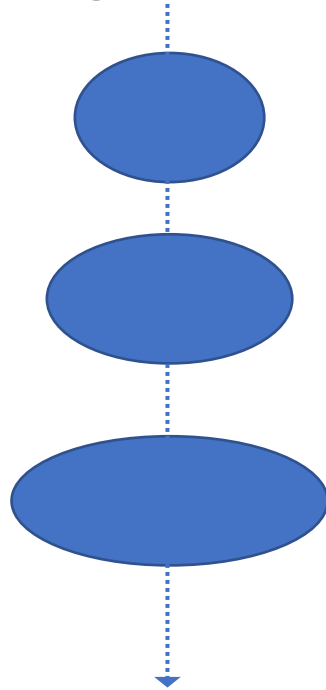
$\Delta\phi$  "operating point" (balance of POD and FAR)

Better detection of total water path > freezing level

# Scattering of particles – Simple prolate spheroids

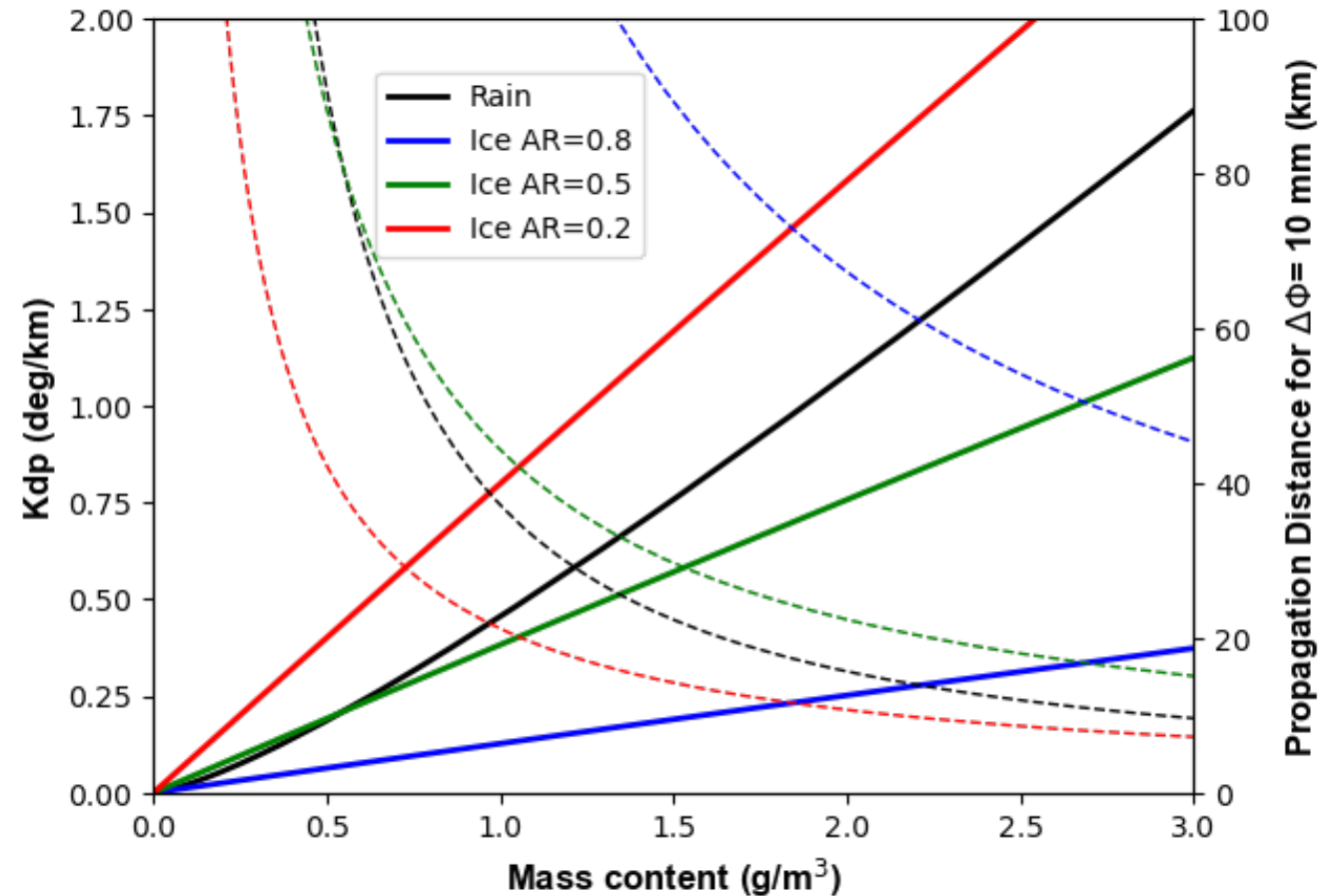
**Below freezing level**

Beard et al  
1984 axis  
ratio for  
rain DSD,  
parametric  
gamma  
(Haddad et  
al 1997)



**Above freezing level**

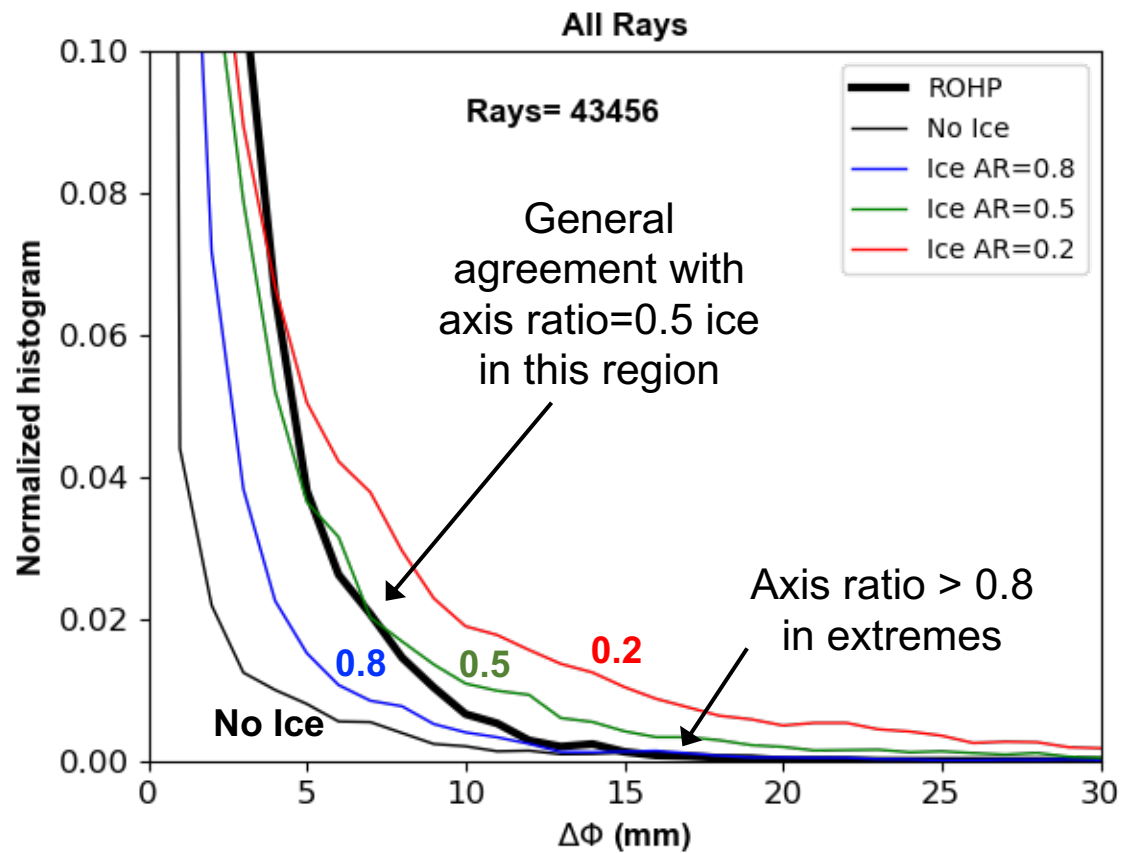
Fixed axis ratio (0.8, 0.5, 0.2)  
for solid ice



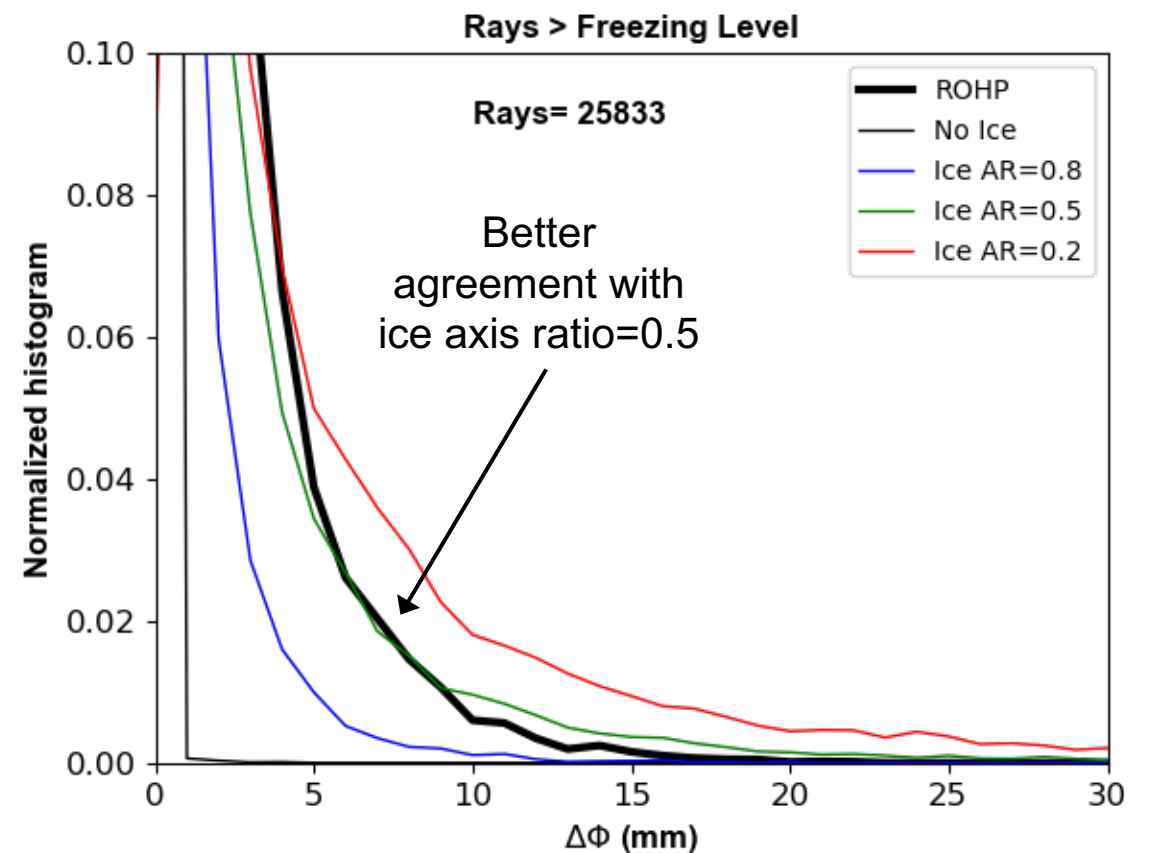
See Padullés et al IEEE-TGRS 2021 (also next talk right after this one)

# Hydrometeor Asymmetry Characteristics

**Normalized Histograms**  
**ROHP Rays: All Rays**  
Total Rain+Ice Water Path

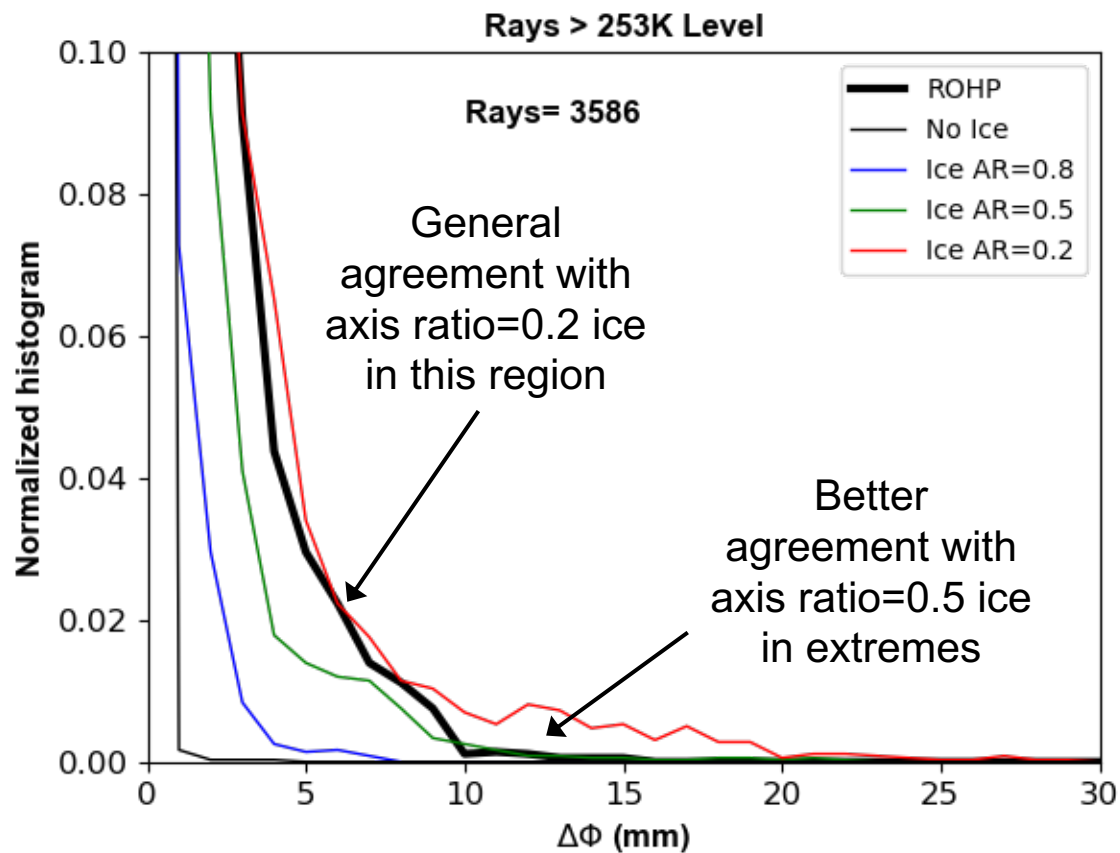


**Normalized Histograms**  
**ROHP Rays: Above Freezing Level**  
Total Rain+Ice Water Path

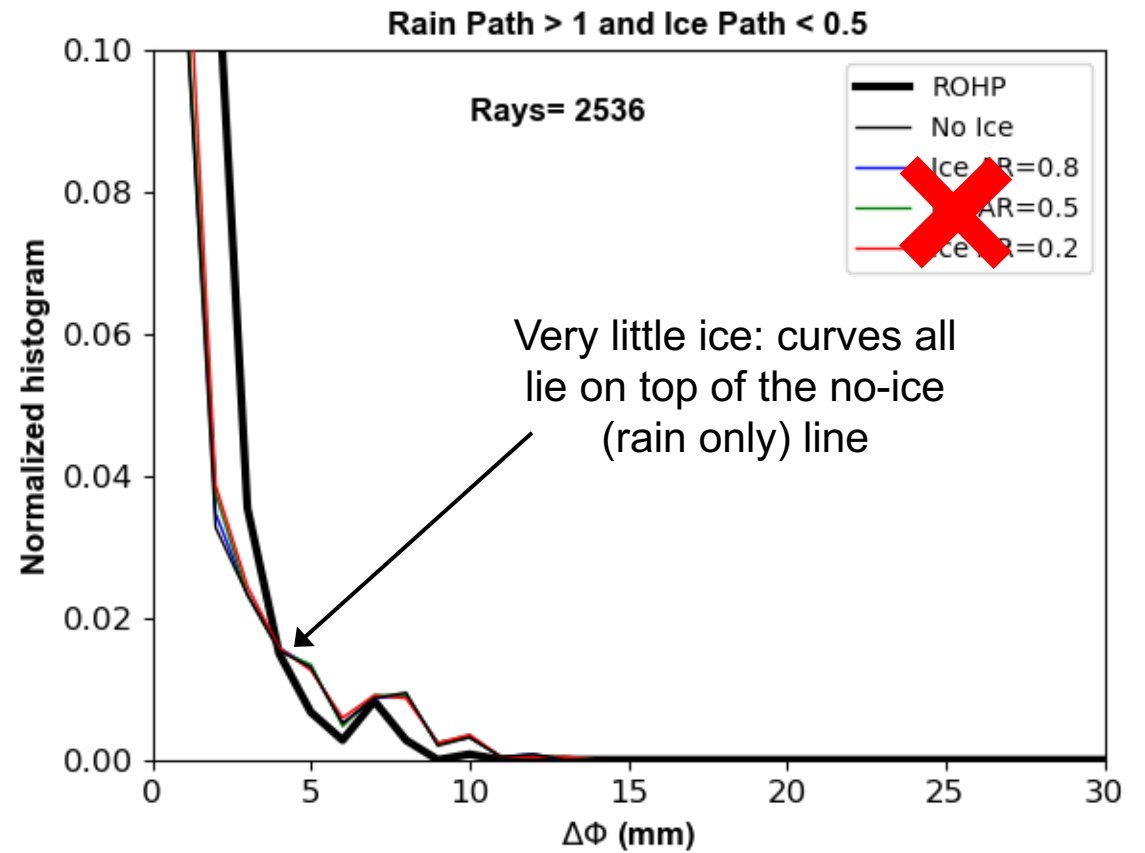


# Hydrometeor Asymmetry Characteristics

**Normalized Histograms**  
**ROHP Rays: T=253K Level and Above**  
Total Rain+Ice Water Path



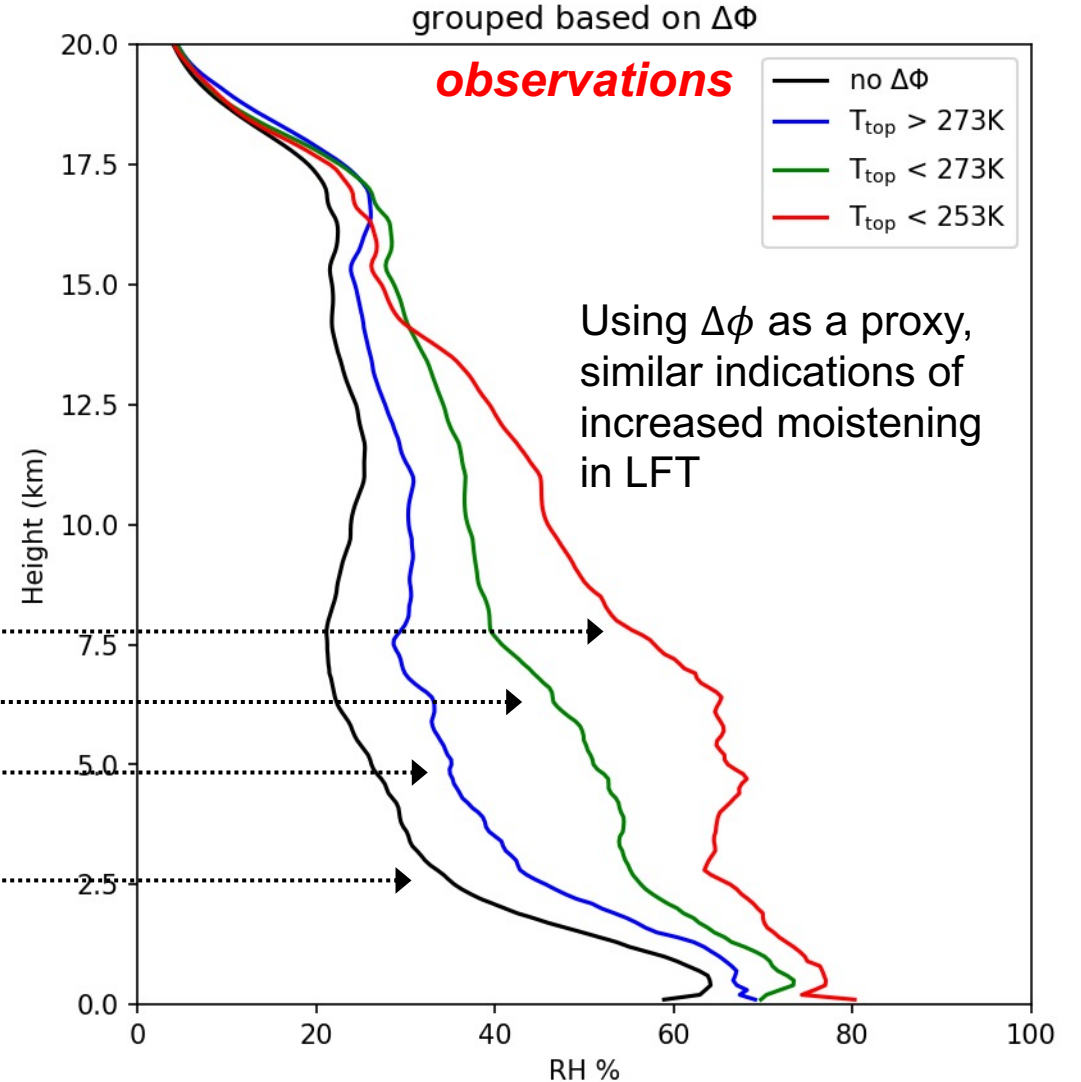
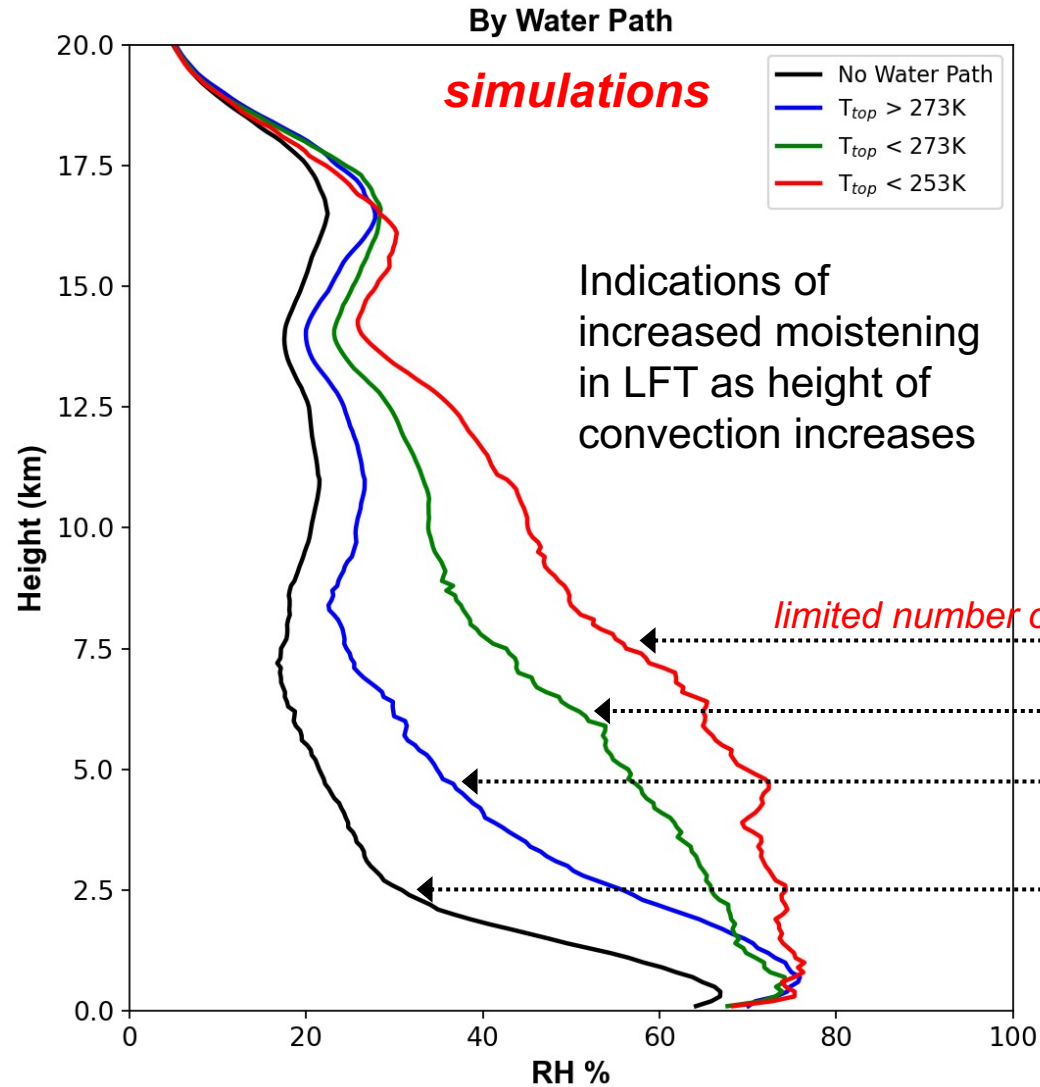
**Normalized Histograms**  
**ROHP Rays: Nearly All Rain**  
Total Rain+Ice Water Path



# Relation to Humidity Structure

Separated by Height of Top-Most Temperature Level where Path  $> 1 \text{ kg m}^{-2}$

Separated by Height of Top-Most Temperature Level where  $\Delta\phi > 3\text{-mm}$



# Summary

A poor man's simple forward operator was developed using passive MW profile retrievals and a ray tracing model, to compare a large number of simulated and observed  $\Delta\phi$  profiles

Even with perfect knowledge of the microphysics, cloud geometry relative to each ray is important to interpret (and simulate) the  $\Delta\phi$  profile - challenging to accurately forward model

Therefore, the relation between  $\Delta\phi$  and the total condensed water path was performed on a collective basis using detection statistics. FAR < 0.2 for total water path > 20 kg m<sup>-2</sup>, esp. for rays that don't fall below the freezing level height

Overall "qualitative agreement" with range of axis ratio of precipitation-sized ice phase hydrometeors noted by others (eg Matrosov et al 2005), and "rain-only" rays

Using  $\Delta\phi$  as a proxy for convection, sensitivity of precip to vertically-resolved moisture?

PAZ data are openly available, investigations and collaborations welcomed

<https://paz.ice.csic.es>

# Recent Publications

Padullés, R., Cardellach, E., Turk, F.J., Ao, C.O., Juárez, M. de la T., Gong, J., Wu, D.L., 2021. Sensing Horizontally Oriented Frozen Particles With Polarimetric Radio Occultations Aboard PAZ: Validation Using GMI Coincident Observations and Cloudsat a Priori Information. *IEEE Transactions on Geoscience and Remote Sensing*, accepted. <https://doi.org/10.1109/TGRS.2021.3065119>

Utsumi, N., Turk, F.J., Haddad, Z.S., Kirstetter, P.-E., Kim, H., 2020. Evaluation of precipitation vertical profiles estimated by GPM-era satellite-based passive microwave retrievals. *J. Hydrometeorol*, 22, 95-112. <https://doi.org/10.1175/JHM-D-20-0160.1>

Gong, J., Zeng, X., Wu, D.L., Munchak, S.J., Li, X., Kneifel, S., Ori, D., Liao, L., Barahona, D., 2020. Linkage among ice crystal microphysics, mesoscale dynamics, and cloud and precipitation structures revealed by collocated microwave radiometer and multifrequency radar observations. *Atmospheric Chemistry and Physics* 20, 12633–12653. <https://doi.org/10.5194/acp-20-12633-2020>

Padullés, R., C.O. Ao, F.J. Turk, and M. de la Torre-Juárez, B.A. Iijima, K.N. Wang, E. Cardellach, 2019. Calibration and Validation of the Polarimetric Radio Occultation and Heavy Precipitation experiment Aboard the PAZ Satellite. *Atmos. Meas. Techniques*, <https://doi.org/10.5194/amt-2019-237>

Cardellach, E., S. Oliveras, A. Rius, S. Tomás, C.O. Ao., G.W. Franklin, B.A. Iijima, D. Kuang, T. Meehan, R. Padullés, F.J. Turk, et al., 2019. Sensing Heavy Precipitation with GNSS Polarimetric Radio Occultations. *Geophysical Research Letters*, 46, 1024–1031. <https://doi.org/10.1029/2018GL080412>

Turk, F.J.; Padullés, R.; Ao, C.O.; Juárez, M.T.; Wang, K.-N.; Franklin, G.W.; Lowe, S.T.; Hristova-Veleva, S.M.; Fetzer, E.J.; Cardellach, E.; Kuo, Y.-H.; Neelin, J.D., 2019. Benefits of a Closely-Spaced Satellite Constellation of Atmospheric Polarimetric Radio Occultation Measurements. *Remote Sens.*, 11, 2399. <https://doi.org/10.3390/rs11202399>

Padullés, R., Cardellach, E., Wang, K. N., Ao, C. O., Turk, F. J., and de la Torre-Juárez, M., 2018. Assessment of GNSS radio occultation refractivity under heavy precipitation, *Atmospheric Chemistry and Physics*, <https://doi.org/10.5194/acp-2018-66>.

Juárez, M. de la T., R. Padullés, F.J. Turk, and E. Cardellach, 2018: Signatures of Heavy Precipitation on the Thermodynamics of Clouds Seen From Satellite: Changes Observed in Temperature Lapse Rates and Missed by Weather Analyses. *J. Geophys. Res: Atmospheres*, 123, 13033-13045. <https://doi.org/10.1029/2017JD028170>

Tomás, S., Padullés, R. & Cardellach, E., 2018. Separability of Systematic Effects in Polarimetric GNSS Radio Occultations for Precipitation Sensing. *IEEE Transactions on Geoscience and Remote Sensing* 56, 4633–4649. <https://doi.org/10.1109/TGRS.2018.2831600>

Cardellach, E., Padullés, R., Tomás, S, Turk, F. J., Ao, C. O., and de la Torre-Juárez, M., 2017. Probability of intense precipitation from polarimetric GNSS radio occultation observations, *Q. J. Royal Meteorological Society*, 12. <https://doi.org/10.1002/qj.3161>

Padullés, R. Cardellach, E. de la Torre Juárez, M., Tomas, S., Turk, F. J., Oliveras, S., Ao, C. O. and Rius, A., 2016. Atmospheric polarimetric effects on GNSS Radio Occultations: the ROHP-PAZ field campaign, *Atmospheric Chemistry and Physics*, 16, 635-649, <https://doi.org/10.5194/acp-16-635-2016>.

Cardellach, E., Tomás, S., Oliveras, S., Padullés, R., Rius, A., De la Torre-Juárez, M., Turk, F.J., Ao, C.O., Kursinski, E.R., Schreiner, B., Ector, D. and Cucurull, L., 2014. Sensitivity of PAZ LEO Polarimetric GNSS Radio-Occultation Experiment to Precipitation Events, *IEEE Trans. Geoscience and Remote Sens.*, 53,190-206, <http://doi.org/10.1109/TGRS.2014.2320309>