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***In vitro* permeability of saponins and sapogenins from seed extracts by the parallel artificial
membrane permeability assay (PAMPA): effect of *in vitro* gastrointestinal digestion**

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19 **ABSTRACT**

20 The permeability of saponins and sapogenins from fenugreek and quinoa extracts, as well as dioscin and
21 diosgenin, was evaluated by the parallel artificial membrane permeability assay (PAMPA). The effect of the
22 digestion process on permeability was determined, previous development of a gastrointestinal process
23 coupled to PAMPA. Saponins from both seeds displayed a moderate-to-poor permeability ($> 1 \times 10^{-6}$ cm/s),
24 although the digestion enhanced their permeability to values in the order of 10^{-5} cm/s ($p < 0.001$).
25 Sapogenins exhibited a similar permeability to that of saponins, although the digestion enhanced the
26 permeability of sapogenins from quinoa ($1.14 \pm 0.47 \times 10^{-5}$ cm/s), but not from fenugreek ($2.33 \pm 0.99 \times 10^{-6}$
27 cm/s). An overall positive impact of co-existing lipids on the permeability was evidenced. PAMPA is shown
28 as a useful, rapid and easy tool for assessing the permeability of bioactive compounds from complex
29 matrices, but the previous gastrointestinal process being a relevant step.

30

31 **Keywords:** PAMPA, saponins, quinoa, fenugreek, gastrointestinal digestion

32

33 **1. Introduction**

34 Saponins are a wide group of structurally diverse compounds broadly distributed in plants and consist of one
35 or more hydrophilic sugar residues linked through an ether or ester glycosidic linkage to a hydrophobic
36 triterpenoid or steroid skeleton, known as sapogenin or aglycone.¹ Saponins can be widely found in leaves,
37 roots, seeds, fruits and legumes, although steroid-like saponins are commonly found in medicinal plants,
38 such as fenugreek, ginseng, asparagus, *Allium* species, or agave, whilst triterpenoid-like saponins are
39 commonly found in cultivated crops, such as quinoa, soybean, beans, lentils, sunflower seeds, or liquorice
40 roots.^{2,3} Concerning sapogenins, the natural presence of these compounds in these sources is very limited,
41 thus the hydrolysis of saponins is required for the release of the aglycone.⁴

42 A large number of bioactivities have been recently described for both saponins and sapogenins, including
43 immunostimulatory, hypocholesterolemic, hypotriglyceridemic, antitumor, anti-inflammatory and
44 antibacterial, among others.⁵ However, the extent of activity exerted by bioactive compounds in general, and
45 specifically by saponins and sapogenins, is closely linked to their bioavailability and cellular uptake after
46 gastrointestinal digestion. In case of saponins, a general poor bioavailability and low intestinal permeability
47 has been described for these compounds. The intestinal permeability of saponins is mainly affected by their
48 physicochemical properties, which mainly include solubility, molecular weight, chemical stability and the
49 total number of hydrogen bonds.⁵ In this sense, the number of sugar units is key for determining the
50 solubility of saponins, as it increases with the number of sugar residues. Nevertheless, an increase in the
51 number of sugar units inevitably leads to an increase in the molecular weight of the saponin (generally 700 to
52 2500 Da), which in turn causes a detrimental effect on its permeability.^{6,7,8} Sapogenins, on the contrary, have
53 more favorable molecular weights for a better permeability (generally 400 to 600 Da), but due to their higher
54 lipophilicity, their solubility in the aqueous gastrointestinal tract is considerably lower than their former
55 saponin. However, despite the fact that the solubility of sapogenins is worse than that of saponins, their
56 permeability and bioavailability seem to be higher, thanks to the reduced number of sugar moieties,
57 hydrogen-bonding capacity and molecular flexibility.⁹ Such general better bioavailability described for
58 sapogenins has been related to a superior bioactivity of these molecules compared to their precursor
59 saponins.^{5,6}

60 However, it is important to remark that the low or high permeability of saponins and sapogenins,
61 respectively, should not be generalized when these compounds are only a fraction of complex natural

62 extracts, which is a frequent situation in the study and production of saponins products. This is because,
63 within natural extracts, other co-existing compounds of the extracts might impact, either positively or
64 negatively, on the parameters that take place during the gastrointestinal process, such as dispersion,
65 solubility, bioaccessibility, permeability and, hence, the final bioavailability of the target compounds.^{10,11}
66 Therefore, instead of assuming a general poor bioavailability, the determination of the permeability of
67 bioactive compounds, when these compounds are obtained as complex rich extracts, would be necessary in
68 order to contribute to the validation of the potential of these extracts.

69 The *in vitro* determination of the permeability of compounds as well as the prediction of their oral
70 bioavailability is generally performed by cell cultures, being the Caco-2 monolayers models the most
71 popular.¹² Such assay allows to evaluate both active and passive transport of molecules and to assess the
72 bidirectional permeability of a compound, from the apical to the basolateral side or vice versa. However,
73 despite the generally recognized high reliability and robustness of Caco-2 models, its use as a high
74 throughput tool is very limited due to the long cell growth cycle and high implementation costs. Therefore,
75 the need for implementing high throughput and low cost models that maintain a good correlation with the *in*
76 *vivo* permeability has led to the development of artificial membranes as alternative models for
77 gastrointestinal permeability simulation.¹³ In this way, the most known and used *in vitro* assay that employs
78 artificial membranes is the parallel artificial membrane permeability assay (PAMPA), which has been
79 extensively used in the pharmaceutical field for the preliminary screening of potential drug candidates.

80 PAMPA is a fast, simple and low-cost method used to predict the passive transcellular absorption of
81 compounds, which is the most common transport of compounds in the gastrointestinal tract. It measures the
82 ability of a compound to diffuse from a donor compartment to an acceptor compartment through a PDVF
83 membrane that is coated with a lipophilic mixture containing phospholipids and cholesterol, which mimics
84 the basic composition of the membrane of a cell.^{14,15} This versatile and cost-effective method has been
85 validated in numerous works by comparing the permeability values of drugs with those obtained by more
86 complex models such as Caco-2 or even rat intestine models.¹⁶⁻¹⁸ However, due to the pharmaceutical
87 background of the PAMPA method, its use has been mostly limited to pure compounds as potential drugs,
88 and therefore, the evaluation of the permeability of compounds that are contained in complex matrices, such
89 as natural extracts, is quite scarce. The permeability of bioactive flavonoids and iridoids from extracts of
90 *Vitex agnus-castus* and *Silybum marianum* were assessed by PAMPA models and validated through Caco-2

91 assays,^{16,19,20} as well as furanocoumarins, alkaloids, flavonoid glycosides and flavonolignans from extracts of
92 *Angelica archangelica*, *Waltheria indica*, *Pueraria montana* or *Silybum marianum*, respectively.^{15,21} These
93 uses of PAMPA are of great interest, suggesting it as a novel, relevant and interesting tool to be explored for
94 the screening of nutraceuticals or food ingredients with bioactive purpose.

95 In any case, it is important to remark that the evaluation of the permeability of compounds by PAMPA
96 studies is performed by just solubilizing the samples in an aqueous donor media, which is mostly a buffering
97 aqueous solution. However, this simple media is far from reproducing the physiological complex
98 composition, conditions and changes that occur in the gastrointestinal tract during the digestion process and
99 that should take place before any membrane permeability is produced. Therefore, previous to perform a
100 PAMPA assay, and especially for the use of this tool for food purposes, we consider that the *in vitro*
101 gastrointestinal digestion of the matrix should be performed. This is because, on one hand, chemical and
102 physical transformations of the target compounds might take place during the gastrointestinal digestion that
103 might impact in the final permeability, as it has been effectively shown in the specific case of saponins.⁵ On
104 the other hand, and as previously exposed, other co-existing components of the extracts might impact on the
105 dispersion and solubility of the target compounds in the digestion medium,¹⁰ and hence, on permeability, as
106 already shown for saponins.¹¹ Finally, the own components of the gastrointestinal fluids might contribute to
107 the overall permeability acting as absorption enhancers, as it has been reported for bile salts or the own
108 mixed micellar structures that are formed after digestion.²²

109 Therefore, taking into account all these approaches, the aim of this work was to perform a comparative study
110 of the permeability of saponins and sapogenins from natural extracts by the PAMPA assay, with and without
111 previous digestion of the extracts, by previously developing a gastrointestinal process coupled to PAMPA.
112 Fenugreek extract or hydrolyzed fenugreek extract were used as examples of steroid-type saponins or
113 sapogenins extracts, respectively; whereas quinoa extract or hydrolyzed quinoa extract were used as
114 example of triterpenoid-type saponins or sapogenins extracts, respectively. Furthermore, the permeability of
115 two commercial standards of saponins and sapogenins, namely dioscin and diosgenin, was also evaluated.

116

117 **2. Materials and methods**

118 **2.1 Chemicals**

119 Seeds of red quinoa (*Chenopodium quinoa*) were purchased from Hijo de Macario Marcos (Salamanca,
120 Spain) and seeds of fenugreek (*Trigonella foenum-graecum*) were from Murciana de Herboristeria (Murcia,
121 Spain).

122 Diosgenin, 1,7-octadiene, L- α -Phosphatidylcholine from egg yolk Type XVI-E $\geq 99\%$, cholesterol,
123 pancreatin from porcine pancreas, Dulbecco's Phosphate Buffered Saline (PBS), pepsin, Amano lipase A
124 from *Aspergillus niger*, bile salts, phosphatidyl choline from dried egg yolk, sodium chloride, calcium
125 chloride, Trizma base, maleic acid, HCl and N,O-bis-(trimethylsilyl)trifluoroacetamide (BSTFA) were from
126 Sigma-Aldrich Chemie GmbH (Steinheim, Germany). Dioscin was from Cymit Quimica S.L (Barcelona,
127 Spain). All solvents used were HPLC grade, including methanol, hexane, 1-butanol, ethyl acetate, dimethyl
128 sulfoxide (DMSO) and acetonitrile, which were from Macron (Gliwice, Poland).

129

130 **2.2 Preparation of the saponin-rich extracts**

131 Seeds were ground in a knife mill (Grindomix GM200, Retsch, Haan, Germany) at 10000 rpm for 1 min and
132 the resulting powder was sieved in a vertical sieve (CISA Cedacería Industrial, Barcelona, Spain) until
133 obtaining fractions with a particle size between $> 100 \mu\text{m}$ and ≤ 250 . The subsequent extraction was based
134 on Herrera et al.⁴ with modifications. Samples was extracted with methanol at a ratio of sample to solvent of
135 1:10 (w/v) for 15 min by direct sonication (Branson SFX250 Digital Sonifier, Branson Ultrasonics, Danbury,
136 CT, USA) with an ultrasonic probe (1/2" diameter, output sonication amplitude of 60%) at 20 kHz. Then, the
137 mixture was centrifuged at 4500 rpm for 15 min. The supernatant was defatted with hexane at a ratio of 1:1
138 (v/v) by vortex agitation for 1 min and centrifuged at 4000 rpm for 10 min. The methanolic phase was
139 collected and evaporated under vacuum. In order to achieve a further enrichment in the compounds of
140 interest, the dried residue was extracted with water and 1-butanol. Briefly, miliQ water was added to the
141 dried residue at a ratio of sample to solvent of 1:20 (w/v). Once solubilized, 1-butanol was added to the
142 mixture at a ratio of water to 1-butanol of 1:2 (v/v), vortexed for 1 min and centrifuged at 4000 rpm for 10
143 min. The top phase was collected and the bottom phase was extracted again under the same proportions and
144 conditions. Both collected phases were dried under vacuum and the resulting extract was stored at $-20 \text{ }^\circ\text{C}$
145 until further use.

146

147 **2.2 Preparation of the sapogenin-rich extracts**

148 In order to produce saponin-rich extracts, the previously obtained saponin-rich extracts were acid-
149 hydrolyzed as described by Herrera et al.⁴ with modifications. Briefly, the saponin-rich extracts were heated
150 at 100 °C with HCl solution (2 mol L⁻¹) at a ratio of sample to acid solution of 1:50 (w/v) for 1 hour. After,
151 the mixture was ice-cooled for 5 min and liquid-liquid extracted with ethyl acetate at a ratio of 1:1 (v/v) by
152 vortex agitation for 1 min and centrifuged at 4500 rpm for 5 min. The top phase was collected and the
153 bottom phase was extracted again with the same volume of ethyl acetate under the described conditions.
154 Both collected phases were dried under N₂ stream and the resulting extract was stored at -20 °C until further
155 use.

156

157 **2.3 *In vitro* gastrointestinal digestion**

158 The *in vitro* digestion model was based on Navarro del Hierro et al.¹¹ but modifications were needed for
159 being coupled to PAMPA assays. For the gastric digestion, 25 mg of fenugreek extracts (saponins or
160 sapogenins) or 11.2 mg of quinoa extracts were mixed with a gastric solution (2.2 mL) at pH 2.5 containing
161 NaCl (150 mM), CaCl₂ (6 mM) and HCl (4 mM). In the case of digestions of the commercial standards, the
162 amount digested was equivalent to the content of saponins or sapogenins in the extracts. The mixture was
163 gently stirred in a water bath at 37 °C for 1 min to allow the dispersion of the components. The gastric
164 digestion started after the addition of 0.45 mL of a fresh extract of gastric enzymes containing gastric lipase
165 (16 mg/mL) and pepsin (5 mg/mL) in gastric solution previously stirred for 10 min. The reaction was
166 performed for 45 min. For the intestinal digestion, a solution simulating a biliary secretion at pH 7.5 was
167 previously prepared (6.3 mg of lecithin, 10.6 mg of bile salts, 0.5 mL of 325 mM CaCl₂ solution, 1.5 mL of
168 3.25 M NaCl solution, and 10 mL of trizma-maleate buffer 100 mM pH 7.5). All these components were
169 stirred for 5 min. At the end of gastric digestion, 1.9 mL of this biliary secretion were immediately added,
170 and the whole medium was stirred for 1 min at 37 °C. The intestinal digestion was initiated by the addition of
171 0.45 mL of a fresh pancreatin extract at 15.6 mg/mL in trizma-maleate buffer, which had been previously
172 stirred for 10 min and centrifuged at 4000 rpm for 15 min. The reaction was performed for 60 min.

173

174 **2.4 Parallel artificial membrane permeability assay**

175 The PAMPA experiment was based on Piazzini et al.¹⁶ with modifications. The assay was carried out in 96-
176 well multiScreen-IP PAMPA filter and transport receiver plates (Millipore Corporation, Cork, Ireland). First,

177 10 μL of a lipid mixture containing L- α -Phosphatidylcholine (10 mg/mL) and cholesterol (8 mg/mL) in 1,7-
178 octadiene solution were added to the PVDF filter of each well. Immediately after the artificial membrane was
179 coated, 300 μL of donor solutions were added to each well of the donor plate. For the undigested samples,
180 donor solutions were prepared at 5 mg/mL for both fenugreek extracts or 2.25 mg/mL for both quinoa
181 extracts in PBS (containing 5% DMSO, v/v). For the commercial standards, donor solutions were prepared at
182 equivalent concentration of saponins or sapogenins to that in the extracts. For the digested samples, the
183 donor solutions consisted of the digestion medium added to the wells immediately after the intestinal
184 digestion concluded, being the concentration of the fenugreek and quinoa extracts the same as for the
185 undigested samples. Next, 300 μL of either PBS (5% DMSO, v/v) (for undigested samples) or trizma-
186 maleate buffer (for digested samples) were added to each well of a multiScreen transport receiver plate.
187 Finally, the donor plate was placed upon the receiver plate, ensuring that the underside of the membrane was
188 in contact with the buffer. The resulting sandwich was incubated at room temperature for 4 hours.
189 The permeability of the compounds was calculated using the formula described by Chen et al.²³:

$$P_e = \frac{-\ln \left[1 - C_A(t)/C_{equilibrium} \right]}{A \times (1/V_D + 1/V_A) \times t}$$

$$C_{equilibrium} = [C_D(t) \times V_D + C_A(t) \times V_A]/V_D + V_A$$

192 where P_e is the effective permeability (cm/s). A = effective filter area = $f \times 0.3 \text{ cm}^2$, where f = apparent
193 porosity of the filter = 1, V_D = donor well volume = 0.3 mL, V_A = acceptor well volume = 0.3 mL,
194 t = incubation time = 14400 s, $C_A(t)$ = compound concentration in acceptor well at time t , and
195 $C_D(t)$ = compound concentration in donor well at time t .

197 2.6 Determination of saponins by HPLC-DAD

198 At the end of the PAMPA experiment, donor and acceptor solutions from at least 3 wells were individually
199 collected and directly analyzed according to Herrera et al.⁴ for the quantitative determination of the saponins
200 and dioscin. Initial obtained saponin-rich extracts were also analyzed. A high-performance liquid
201 chromatography (HPLC) system (Agilent Infinity 1260, Agilent Technologies Santa Clara, CA, USA) with
202 diode array detection (DAD) was used. Separation was carried out on an ACE 3 C18-AR column (150mm \times
203 4.6 mm, 3 μm particle size) protected by a guard column (Advanced Chromatography Technologies Ltd,

204 Aberdeen, Scotland). A gradient elution was applied using water with 0.05% trifluoroacetic acid (TFA)
205 (phase A), and acetonitrile with 0.05% TFA (phase B). The method was as follows: 0 min: 95% A; 20 min:
206 5% A; 45 min: 5% A; 46 min 95% A; 50 min: 95% A. The flowrate was constant at 0.4 mL/min, and the
207 column temperature was kept at 25 °C. The injection volume was 20 µL, and UV spectra were recorded from
208 190 to 700 nm. The chromatograms were registered at 205 nm. The identification and quantification of
209 saponins was performed according to Herrera et al.⁴

210

211 **2.7 Determination of sapogenins by GC-MS-FID**

212 At the end of the PAMPA assay, donor and acceptor solutions from at least 15 wells were collected together
213 for the extraction of sapogenins for the sapogenins and diosgenin determination. Donor and acceptor
214 solutions were extracted with ethyl acetate at a ratio of 1:1 (v/v). Then, the mixture was vortexed for 1 min
215 and centrifuged 10 min at 4000 rpm. The top phase was collected and the bottom phase was extracted again
216 with the same volume of ethyl acetate under the described conditions. Both collected phases were dried
217 under N₂. The resulting residue was derivatized with bis(trimethylsilyl) trifluoroacetamide (BSTFA) at 75 °C
218 for 1 h and then analysed by GC-MS-FID (Agilent 7890A, Agilent Technologies) according to Herrera et al.⁴
219 for the determination of sapogenins in both donor and acceptor compartments. Initial obtained sapogenin-
220 rich extracts were also analyzed.

221 The equipment consisted of a split/splitless injector, an electronic pressure control, a G4513A autoinjector,
222 and a 5975C triple-axis mass spectrometer detector. The column used was an Agilent HP-5MS capillary
223 column (30 m × 0.25 mm i.d., 0.25 µm phase thickness). Helium was used as carrier gas at 2 mL/min. The
224 injector temperature was 260 °C, and the mass spectrometer ion source and interface temperatures were 230
225 and 280 °C, respectively. The sample injections (1 µL) were performed in splitless mode. The temperature of
226 the oven started at 50 °C and was held for 3 min, increased to 310 °C at a rate of 15 °C/min and held for 25
227 min. The mass spectra were obtained by electronic impact at 70 eV. The scan rate was 1.6 scans/s at a mass
228 range of 30–700 amu. The identification and quantification of sapogenins was performed according to
229 Herrera et al.⁴

230

231 **2.8 Statistical analyses**

232 Statistical analyses were performed by means of the general linear model procedure of the SPSS 24.0
233 statistical package (SPSS Inc., Chicago, IL, USA) by one-way analysis of variance (ANOVA). Differences
234 were considered significant at $P \leq 0.05$.

235

236 **3. Results and discussion**

237 **3.1 Permeability of saponins from the saponin-rich extracts**

238 *3.1.1 Permeability of saponins from undigested extracts*

239 First, the permeability of saponins was established by the traditional method of determination of permeability
240 in PAMPA, that is, solubilizing the extract in an aqueous buffer solution, which is also added to the acceptor
241 compartment.

242 The effective permeability values of total saponins from the two extracts is shown in Figure 1A. Saponins
243 from the fenugreek extract had a P_e of $1.63 \pm 0.55 \times 10^{-6}$ cm/s, while the P_e of saponins from the quinoa
244 extract was $9.40 \pm 6.7 \times 10^{-7}$ cm/s, not being statistically different the permeability between these steroid-like
245 (fenugreek) and triterpenoid-like (quinoa) saponins.

246 In order to understand the extent of permeability of a compound, a general categorization has been well
247 established in the literature. In general terms, a compound has a high permeability or good absorption if the P_e
248 is higher than 1×10^{-5} cm/s, a moderate absorption if the P_e is lower than 1×10^{-5} cm/s and higher than $1 \times$
249 10^{-6} cm/s, and a low absorption if the P_e is lower than 1×10^{-6} cm/s.^{24,25} Considering this classification, it
250 could be established that saponins from the fenugreek and quinoa extracts exhibited a low to moderate
251 permeability when the PAMPA assay was performed under traditional conditions.

252 The direct comparison of these results with those in the literature is quite difficult, since as far as we are
253 concerned, no previous studies describing the permeability of saponins from these or other plant sources
254 have been conducted by the PAMPA method. Nevertheless, a couple studies have assayed the permeability
255 of saponins by Caco-2 cultures. On the one hand, Stockdale, Challinor, Lehmann, De Voss, & Blanchfield²⁶
256 have recently evaluated the permeability of steroidal saponins from *Chamaelirium luteum* and found that
257 three of those saponins exhibited a moderate permeability, being the P_e values very similar to those from the
258 fenugreek saponins in the present study; while those with more than two sugar units were found to have low
259 permeability. On the other hand, Hu, Reddy, Hendrich, & Murphy²⁷ evaluated the permeability of the
260 triterpenoid-like soyasaponin I and found that this saponin had a very limited absorption, which lied between

261 the range of 9×10^{-7} to 3.6×10^{-6} cm/s, depending on its concentration. The values reported by these authors
262 are very much alike the P_e obtained for the triterpenoid saponins from the quinoa extract.

263 Therefore, this study shows for the first time values of permeability of saponins from complex natural
264 extracts of either fenugreek or quinoa by the *in vitro* PAMPA assay, suggesting their apparent poor
265 membrane permeability, regardless of their chemical structure as steroid or triterpenoid saponins.

266

267 3.1.2 Effect of the gastrointestinal digestion on the permeability of saponins

268 Concerning the alternative artificial membrane assays such as PAMPA, and as far to our knowledge, there is
269 an inexistent literature regarding the coupling of a gastrointestinal digestion to PAMPA. Therefore, previous
270 to perform such assay, it was necessary to develop a modified gastrointestinal digestion protocol to maintain
271 the integrity of the artificial membrane.

272

273 3.1.2.1 Development of *in vitro* gastrointestinal digestion model coupled to PAMPA

274 The gastrointestinal digestion model of Navarro del Hierro et al.¹¹ was initially tested to evaluate the
275 compatibility with the membranes in the PAMPA plate. However, it was found that the volume of a control
276 digestion medium added to the donor well completely permeated to the acceptor wells after a short while,
277 which meant a loss of membrane integrity. In order to assess which component of the digestion medium was
278 responsible for the disruption of the PAMPA membrane, we tested each of the individual components at their
279 final concentration in the digestion medium. The integrity of the membrane was confirmed by visually
280 comparing the volume of the donor wells to a control donor well filled with PBS. By ascending order of
281 complexity, the tested components were: gastric solution; gastric solution + lipase; gastric solution + lipase +
282 pepsin, and progressively increasing such complexity until reaching the mixture of gastric solution + lipase +
283 pepsin + Trizma Maleic buffer + pancreatin + lecithin + bile salts. It was with the introduction of bile salts
284 when the membrane visually and clearly disrupted, so we assumed that this component was the one whose
285 concentration in the digestion medium needed to be modified. The disruption of membranes by bile salts has
286 also been described in the literature, due to interactions occurring between them and the phospholipid
287 bilayer.²²

288 Subsequently, the effect of decreasing concentrations of bile salts of the digestion medium on the integrity of
289 the membranes was tested, ranging from the initial concentration at 12.65 mM to as low as 0.32 mM. The

290 criteria to choose this range of concentration were to reduce the amount of bile salts as needed but always
291 within *in vivo* physiological values. Therefore, the relevant reference of Riethorst et al.²⁸ was taken. These
292 authors described the range of the concentration of bile salts that were found in the human duodena during
293 fasted and fed state conditions from 20 volunteers. Such values varied from 0.03 mM to 36.18 mM at fasted
294 state and from 0.74 mM to 86.14 mM at fed state. In our study, we observed that the concentration of bile
295 salts that did not visually disrupt the PAMPA membrane was 1/16 from the initial one, that is, 0.79 mM.
296 Therefore, even though this concentration might seem low, it is still within the range of the concentration of
297 bile salts that can be found in the human duodena during fed state conditions,²⁸ so it was adopted to allow to
298 perform the PAMPA assays under physiological concentrations.

299 After adopting this modification of the bile salts concentration, it was necessary to take into account the
300 physiological ratio that exists between bile salts and phospholipids within the biliary secretions *in vivo*.
301 According to Riethorst et al.²⁸, such ratio has a mean value of approximately 3 under fed state conditions.
302 Therefore, the concentration of lecithin of the media was reduced to 0.26 mM accordingly.

303 This final modified gastrointestinal model was tested on PAMPA by a control digestion medium and the
304 volume of the donor well completely remained within the wells during a whole time of incubation for 4
305 hours.

306

307 3.1.2.2 Permeability of saponins from digested extracts

308 The effective permeability values of saponins from the two extracts submitted to gastrointestinal digestion is
309 shown in Figure 1A. Very interestingly, it was observed a generalized significant effect of the digestion
310 process on the increase of the permeability of saponins ($p < 0.001$). Focusing on the permeability of each of
311 the extracts, the P_e of saponins from the fenugreek extract submitted to digestion was $1.14 \pm 0.19 \times 10^{-5}$ cm/s,
312 which was 7 folds higher than the permeability of these saponins under traditional conditions of PAMPA
313 ($p < 0.001$). Saponins from the quinoa extract displayed a P_e value of $1.57 \pm 0.33 \times 10^{-5}$ cm/s, which was 16
314 folds higher than the permeability of these saponins under traditional conditions ($p = 0.002$). In this case,
315 saponins from quinoa exhibited a significantly higher permeability than those from fenugreek when
316 submitted to gastrointestinal digestion ($p = 0.039$), although such difference was not especially remarkable.
317 Therefore, according to the permeability classification previously described, saponins from both extracts

318 would be considered to have a high permeability and good absorption if an *in vitro* gastrointestinal digestion
319 is previously performed.

320 The reasons that might explain why such enhancement in the permeability was observed are complex, being
321 likely related to multiple factors. First, it is known that the amphiphilic nature of saponins causes them to
322 self-assembly as micelle-like aggregates in water, forming aggregates of about 50 molecules for certain types
323 of saponins.²⁹ These large structures might negatively interfere with the permeability of the saponins
324 contained in such aggregates due to the size they can reach. The formation of these structures might be one
325 of the reasons to explain why the permeability of saponins is moderate to low when assayed under simple
326 aqueous solutions as the traditional PAMPA assays.

327 On the other hand, during the digestion process, vesicles, emulsion droplets, lamellar and micellar structures
328 are naturally formed thanks to the presence of bile salts and phospholipids. Thus, the increased micellar
329 surface might allow saponins to incorporate into those micellar structures, favoring their dispersion and
330 preventing them from aggregation, and consequently, enhancing their permeability.³⁰ Additionally,
331 concerning bile salts, it is well-known that they act as drug absorption enhancers due to their double ability
332 to act as permeation-modifying and drug-solubilizing agents. They can partition into the membrane and
333 increase its fluidity and permeability, as well as associate with phospholipids and cause a dissociation of
334 integral membrane proteins, resulting in the breakdown of the cell membrane.²² In fact, this undesirable
335 effect of bile salts was observed in the current study, as already explained, which led to the necessary
336 reduction of the used bile salts concentrations for the gastrointestinal digestion model prior to PAMPA
337 assays. Therefore, despite the considerably low concentration of bile salts used in the digestion medium, it
338 could be thought that they might be, at least partially, enhancing the permeation of compounds through the
339 PAMPA membrane without causing an irreversible disruption of it.

340 Concerning other reasons that might be involved in the improvement of the permeability of saponins after
341 gastrointestinal digestion, it is important to remark that the assayed extracts also contained a lipid fraction, as
342 shown in Table 1. This fraction was previously determined according to Navarro del Hierro et al.¹¹ for total
343 lipids, and analyzed following the same GC-MS procedure described for saponins (for free fatty acids,
344 monoglycerides and diglycerides). Thus, the total lipid content was around 9% and 53% for fenugreek and
345 quinoa extracts, respectively. Under physiological conditions, gastrointestinal digestion of lipids leads to
346 main lipid products in the form of free fatty acids and monoglycerides. These lipid products also cause an

347 increase in the production of micellar structures by forming mixed micelles of bile salts and phospholipids
348 and, consequently, increasing the micellar surface.¹⁰

349 Therefore, in order to evaluate if the lipid co-existing components of the extracts were partially responsible
350 for the increased permeability of saponins, we tested the permeability of the saponin standard dioscin, a
351 steroid-like saponin representative of the fenugreek-like saponins, in absence and presence of lipids. A
352 mixture of triglycerides (in the form of extra virgin olive oil) and oleic acid were used in order to properly
353 mimic the lipid composition of the fenugreek extracts (Table 1). The proportions of all the components were
354 the same as those in the saponin-rich extract from fenugreek, according to Table 1. The assay was only
355 performed under traditional conditions of PAMPA, because under digestion conditions the co-elution of the
356 dioscin peak with other components of the digestion medium made it unable to be identified. As shown in
357 Figure 2A, the permeability of dioscin without lipids was $7.38 \pm 1.00 \times 10^{-9}$ cm/s, whereas the addition of
358 lipids significantly enhanced the permeability of dioscin to $1.30 \pm 0.33 \times 10^{-8}$ cm/s ($p = 0.015$). Even though
359 in both cases the permeability of this saponin would be considered very low, it was confirmed that this lipid
360 mixture was able to increase the permeability of dioscin up to 78% under the proportions studied. Gleeson et
361 al.³¹ have also proposed several candidates as intestinal permeation enhancers, including oleic acid and
362 medium-chain fatty acids as caproic acid. Other authors have confirmed the enhancement effect of oleic acid,
363 among other fatty acids, on the permeability of drugs across the skin³² and very recently across the buccal
364 mucosa³³. Although we demonstrated that oleic acid and triglycerides were able to improve the permeability
365 of dioscin, even without previous digestion, additional studies assessing the effect on the permeability of
366 saponins by other fatty acids, as well as different proportions would be considered of relevance.
367 Additionally, it is important to remark that the positive effect of lipids on the permeability of dioscin was
368 observed by the simple co-existence of these compounds within the aqueous solution of the saponins. This
369 would support the idea that the co-existing compounds of the natural extracts, or co-excipients,^{10,34} should be
370 considered relevant in the contribution of the final permeability of the target bioactive compounds.

371 As summary, the obtained results confirm the relevance that the gastrointestinal process has on the
372 permeability of bioactive compounds such as saponins when performed under *in vitro* PAMPA assays,
373 suggesting that the lack of mimicked digestion conditions might lead to the underestimation of the effective
374 permeability of these compounds. Additionally, the impact of co-existing components, as lipids, in the
375 permeability improvement of saponins is evidenced. This might have additional technological and economic

376 advantages, since the useful natural co-excipients of the extracts might be an alternative to the development
377 of specific, complex and costly formulations that are frequently produced for natural extracts in order to
378 enhance the final bioavailability and bioactivity of the desirable bioactive compounds.

379

380 **3.2 Permeability of sapogenins from the sapogenin-rich extracts**

381 *3.2.1 Permeability of sapogenins from undigested extracts*

382 Prior to the determination of the permeability of sapogenins, the acid hydrolysis of the saponin-rich extracts
383 was performed to produce extracts with a relevant content of sapogenins (Table 1). The effective
384 permeability values of total sapogenins from the two extracts under traditional PAMPA conditions is shown
385 in Figure 1B. Sapogenins from the fenugreek extract had a P_e of $1.64 \pm 0.48 \times 10^{-6}$ cm/s, while the P_e of
386 sapogenins from the quinoa extract was $3.36 \pm 1.80 \times 10^{-6}$ cm/s, not being statistically different the
387 permeability between the steroid-like and triterpenoid-like sapogenins. There were not either any significant
388 differences between the permeability of saponins and sapogenins of both seeds (Figure 1A and 1B),
389 suggesting that these compounds would exhibit a similar permeability under traditional PAMPA conditions,
390 which is not presumably affected by the molecular and chemical (hydrophilicity/lipophilicity) differences
391 between saponins and sapogenins when found in these extract matrices. Therefore, considering the
392 classification previously described, sapogenins from both fenugreek and quinoa extracts would be labelled as
393 “moderately absorbed” when the PAMPA assay was performed under traditional conditions.

394 Similar to saponins, the direct comparison of these results with those in the literature is a hard task, since as
395 far as our knowledge goes, only one study has described the permeability of the triterpenoid sapogenin
396 betulinic acid under the PAMPA method.³⁵ These authors were only able to describe a moderate to poor
397 permeability of betulinic acid derivatives. Nevertheless, a few studies have assayed the permeability of
398 sapogenins by Caco-2 cultures. Stockdale et al.²⁶ have described a low-to-moderate permeability across the
399 monolayers for halogenin, a steroidal sapogenin, and the authors suggested that its permeability was linked
400 to a poor solubility in the buffer. Hu et al.²⁷ described a low permeability for the triterpenoid soyasapogenol
401 B, which was between 0.3 to 0.6×10^{-7} cm/s, depending on its concentration. Qiang et al.³⁶ assessed the
402 permeability of ursolic acid from a *Salvia officinalis* extract, a pentacyclic triterpene acid whose structure is
403 very similar to the aglycones contained in quinoa. Very interestingly, the permeability exhibited by this
404 compound ($2.5 \pm 0.4 \times 10^{-6}$ cm/s) was very similar to the permeability value described for the sapogenins in

405 the quinoa extract from our study (Figure 1B). Additionally, these authors did not find any difference
406 between the permeability of pure ursolic acid and ursolic acid contained in the *Salvia officinalis* extract,
407 suggesting that the rest of the compounds from the plant extract did not affect the permeability of this
408 aglycone.

409 This study shows for the first time values of permeability of saponinins from complex natural extracts of
410 either fenugreek or quinoa by the *in vitro* PAMPA assay, suggesting their apparent moderate permeability,
411 and being comparable to that of their former saponins.

412 413 3.2.1 Effect of the gastrointestinal digestion on the permeability of saponinins

414 Following the gastrointestinal digestion protocol previously optimized, the digestion of the saponin-rich
415 extracts from fenugreek and quinoa was performed. The effective permeability values of total saponinins
416 from the two extracts submitted to gastrointestinal digestion is shown in Figure 1B. Considering the
417 statistical analysis of the effect of the digestion factor, and regardless of the seed, it was not observed a
418 significant effect of the digestion process on the increase of the permeability of saponinins when compared
419 to the traditional conditions. However, when focusing on the permeability of each of the extracts, the P_e of
420 saponinins from the quinoa extract submitted to digestion ($1.14 \pm 0.47 \times 10^{-5}$ cm/s) was significantly
421 superior, that is, 3 folds higher than the permeability of these saponinins under traditional conditions
422 ($p = 0.05$). On the contrary, saponinins from the fenugreek extract displayed a P_e value of $2.33 \pm 0.99 \times 10^{-6}$
423 cm/s, which was not different to that under traditional conditions. Additionally, such value of permeability of
424 digested fenugreek saponinins was considerably lower than the P_e of saponinins from quinoa under digestion
425 conditions ($p = 0.031$).

426 Taking into account these P_e values of the digested extracts, saponinins from quinoa would be considered to
427 have a moderate permeability, whilst those from fenugreek would be less permeable and therefore exhibit a
428 low permeability if an *in vitro* gastrointestinal digestion is previously performed. Therefore, in the case of
429 saponinins, the digestion process did not exceedingly improve the permeability of such compounds when
430 compared to the traditional conditions, as it remarkably happened for saponins. Since it has been described
431 that the major problem of the bioavailability of saponinins is related to their poor solubility,³⁷ it could be
432 hypothesized that the gastrointestinal digestion of the saponin-rich extracts did not extremely improve the
433 dispersion and solubility of these compounds, especially in case of fenugreek extracts.

434 It can be thought that this lack of relevant effect of the digestion process might be, at least, partly related to
435 the composition of these extracts, and more precisely, to their lipid profile, considering the role of lipids on
436 the formation of micellar structures necessary for the solubilization of lipophilic compounds, as sapogenins
437 are. According to Table 1, after hydrolysis of the saponin extracts, the lipid profile mainly consisted of FFA.
438 This different lipid composition might lead to a different final proportion of lipid digestion products after
439 digestion compared to the saponin-rich extracts. Whether this situation affected to the micellar structures
440 formation, and did not properly allow a better dispersion and solubility of sapogenins, especially in the case
441 of fenugreek, would need to be further studied.

442 In order to deepen into this, and as performed with the saponin dioscin, it was tested the permeability of its
443 corresponding sapogenin as diosgenin under traditional PAMPA conditions, under a previous gastrointestinal
444 digestion process, and under a previous gastrointestinal digestion process in which a mixture of lipids was
445 added (Figure 2B). The same lipid mixtures as the used for dioscin was added, for comparative purposes
446 (Figure 2A), but the proportions of all the components was the same as those in the sapogenin-rich extract
447 from fenugreek, according to Table 1. In this case, and unlike dioscin, the assay under traditional conditions
448 with the addition of the lipid mixture was not possible due to an inadequate solubilization of all the
449 components in the solution. As shown in Figure 2B, the permeability of diosgenin under traditional
450 conditions was $3.66 \pm 0.68 \times 10^{-7}$ cm/s, whereas the performance of a gastrointestinal digestion before
451 PAMPA increased the permeability of diosgenin to $1.03 \pm 0.30 \times 10^{-6}$ cm/s ($p = 0.02$). Therefore, these
452 results reveal that the digestion process alone is able to enhance the permeability of diosgenin when
453 compared to that under traditional conditions. However, since this result was not evidenced for the
454 hydrolyzed fenugreek extract (Figure 1B), this would suggest that the composition of the sapogenin-rich
455 extract from fenugreek might be either hindering the permeability of aglycones after the digestion process, or
456 enhancing the permeability of aglycones under traditional conditions, causing such permeability to be similar
457 in both cases.

458 Very interestingly, the co-digestion of diosgenin with lipids improved two folds the permeability of this
459 sapogenin up to $2.18 \pm 0.64 \times 10^{-6}$ cm/s when compared to the digestion without lipids ($p = 0.049$) (Figure
460 2B). Therefore, it was evidenced that the incorporation of lipid forms during the digestion process, such as
461 FFAs and TAGs, enhance even more the permeability of diosgenin to a very similar value as the one
462 obtained for the sapogenins from the fenugreek extract, which might support the hypothesis that the lipid

463 composition of such extract is mostly responsible for the P_e observed. Nevertheless, it remains unclear if the
464 enhancement in the permeability due to the lipid forms is either consequence of a solubility improvement
465 after digestion, or if such lipid forms are acting as permeation enhancers *in situ*, that is, directly in the
466 PAMPA membrane, or a combination of both. Therefore, further studies would be of interest in order to
467 assess these enhancement mechanisms and to approach strategies to improve the effective action of
468 saponin-rich extracts or diosgenin products.

469 Finally, regarding the permeability of saponins and sapogenins when considering the type of aglycone
470 (triterpenoid or steroid), it seems that the differences between the two structures are only and clearly
471 evidenced for the saponin-rich extracts when performing the gastrointestinal digestion, as the triterpenoid-
472 like aglycones from quinoa exhibited a considerably higher permeability than the steroid-like ones from
473 fenugreek. These differences were not remarkable between the two type of sapogenins in aqueous solution or
474 between saponins from the two seeds in either aqueous solution or digested, suggesting that in general terms
475 the permeability of saponins and sapogenins contained in the extracts of quinoa and fenugreek is not
476 influenced by the type of structure of the aglycone. Besides, as this study assesses the permeability of only
477 steroid-like standards (dioscin and diosgenin), the further evaluation of the permeability of other
478 triterpenoid-like standards might be of interest in order to confirm if the structure of the aglycone is a
479 relevant factor when considering the permeability of these compounds.

480 As summary, PAMPA is shown as a novel, useful, rapid and easy tool for assessing the passive membrane
481 permeability of bioactive compounds from complex matrices for food purposes, such as natural extracts.
482 However, the relevance that the gastrointestinal process has on the permeability of bioactive compounds
483 when performed under *in vitro* PAMPA assays is evidenced. Additionally, the positive or negative impact of
484 co-existing components of the extracts in the permeability is evidenced, being co-existing lipids pointed out
485 as desirable compounds in the case of saponins and sapogenins. These preliminary *in vitro* results lay the
486 foundations and the interest of evaluating the permeability of these extracts in cell or animal models to
487 accurately determine the degree of intestinal absorption of their bioactive compounds saponins and
488 sapogenins. Besides, further studies would be considered relevant in order to know which of the other
489 components of the extracts might be responsible for an improvement or detrimental in the permeability of
490 saponins and sapogenins in order to approach strategies to favor their co-extraction, as a novel technological
491 tool to increase the efficacy of natural extracts.

492

493 **Abbreviations used**

DAG	Diglycerides
DMSO	Dimethyl sulfoxide
FFA	Free Fatty Acid
MAG	Monoglycerides
MCFA	Medium Chain Fatty Acid
OA	Oleic Acid
PAMPA	Parallel Artificial Membrane Permeability Assay
PBS	Phosphate Buffered Saline
P_e	Effective Permeability value
TAG	Triglycerides

494

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500

501 **Notes**

502 The authors declare no competing financial interests.

503

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- 604
- 605

606 **Figure Captions**

607

608 **Figure 1.** PAMPA effective permeability values, P_e (cm/s), of total saponins from saponin-rich extracts (A)
609 and total sapogenins from sapogenin-rich extracts (B) of fenugreek and quinoa under PBS 5% DMSO
610 conditions and under a previous gastrointestinal digestion. (*) Denote significant differences between the
611 two conditions ($p \leq 0.05$).

612

613 **Figure 2.** PAMPA effective permeability values, P_e (cm/s), of the compound dioscin under PBS 5% DMSO
614 conditions with and without the addition of a lipid mixture (A), and diosgenin under PBS 5% DMSO
615 conditions, under a previous gastrointestinal digestion and under a previous gastrointestinal digestion with
616 the addition of a lipid mixture (B). (*) Denote significant differences between the assays ($p \leq 0.05$).

617 Table 1. Saponin, sapogenin and lipid profile¹ (g/100 g of extract) of the different
618 extracts from fenugreek and quinoa.

619

620

Compound	Saponin-rich extracts		Sapogenin-rich extracts	
	Fenugreek	Quinoa	Fenugreek	Quinoa
Total Saponins	31.1	7.9	2	2
Total Sapogenins	nd	nd	6.9	5.6
FFAs	2.6	23.6	35.9	61.9
MAGs	0.4	2.2	1.0	4.1
DAGs	nd	18.0	6.1	18.4
TAGs*	5.5	9.5	nq	nq

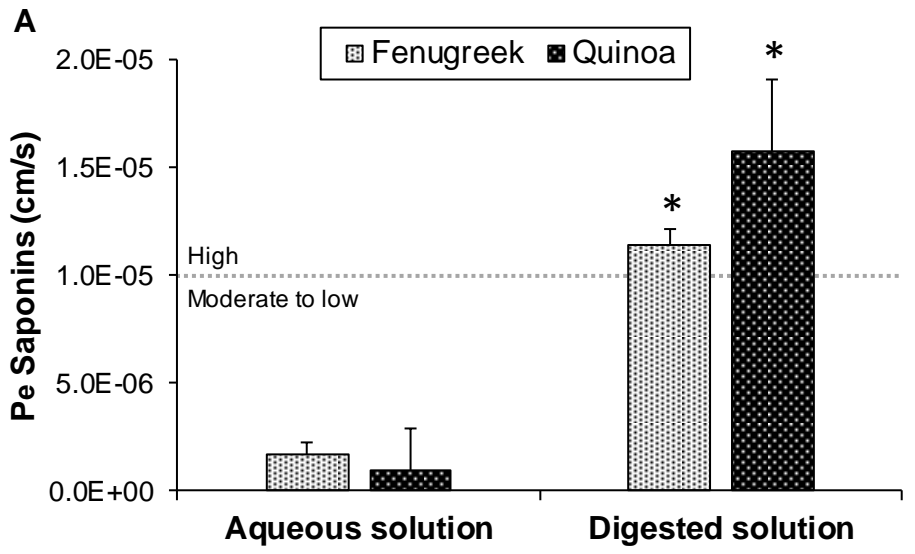
*Calculated as the difference between total lipids and the sum of FFA+MAG+DAG

FFA = Free Fatty Acid; MAG = Monoglycerides; DAG = Diglycerides; TAG =

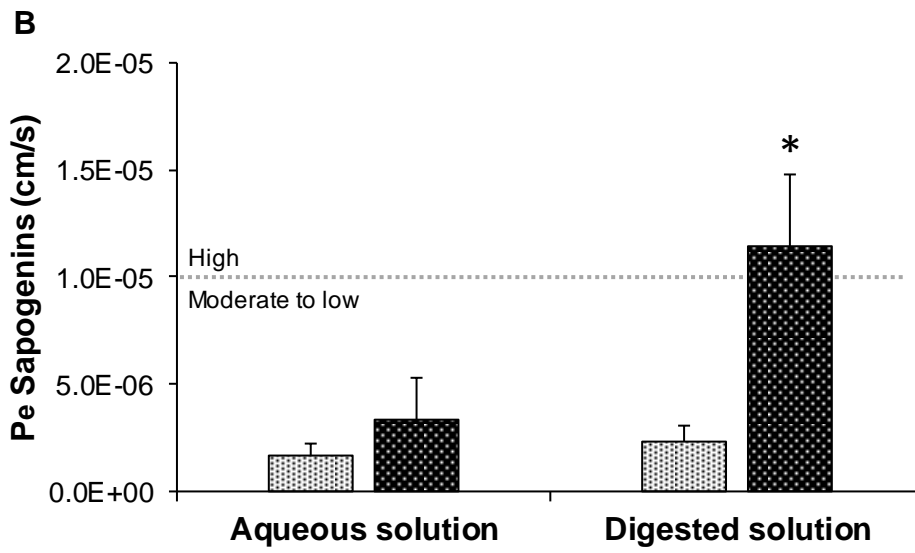
Triglycerides; nd = not detected; nq = not quantified

¹The concentration of each of the constituents is referred to the quantitation from a single extraction

621 **Figure 1.**



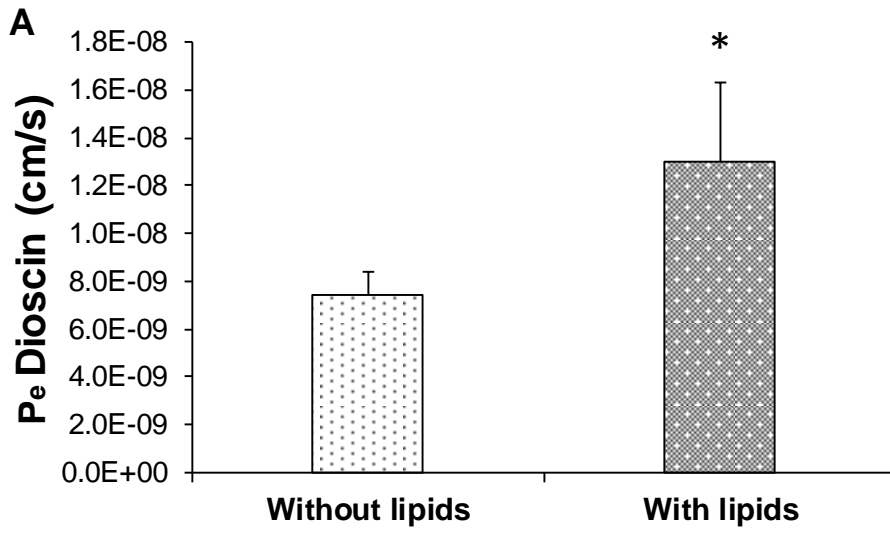
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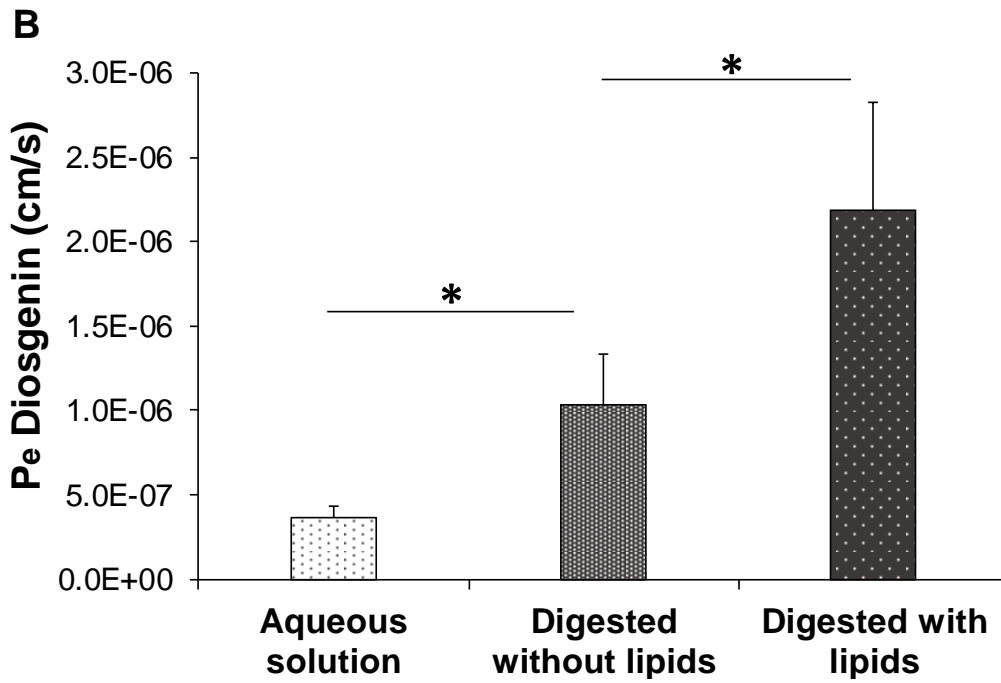
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625 **Figure 2.**



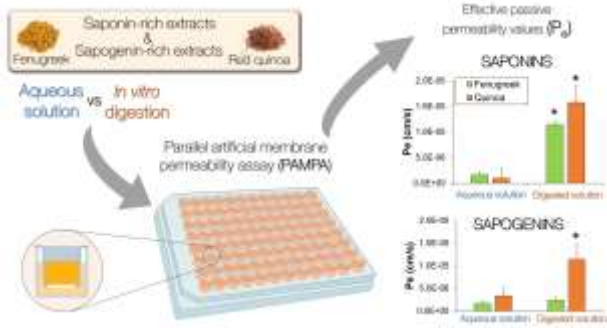
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629 **GRAPHIC FOR TABLE OF CONTENTS**



630