

1 **A multi-analytical platform based on pressurized-liquid extraction, in vitro assays and**  
2 **liquid chromatography/gas chromatography coupled to high resolution mass**  
3 **spectrometry for food by-products valorisation. Part 1: Withanolides-rich extracts**  
4 **optimization from goldenberry (*Physalis peruviana L.*) calyces as case study**

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19

20 **Keywords:**

21

22 Goldenberry calyx; Valorization; Pressurized-liquid extraction; LC-Q-TOF; GC-Q-TOF;

23 High-resolution mass spectrometry; Withanolides; Phenolic antioxidants;

24

25

26

- 27 **Abbreviations:**
- 28
- 29 4 $\beta$ HWE: 4 $\beta$ -hydroxywithanolide E
- 30 ABTS: 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulphonic acid)
- 31 ACN: acetonitrile
- 32 ANOVA: analysis of variance
- 33 Db: dry weight basis
- 34 DPPH: 2,2-diphenyl-1-picrylhydrazyl
- 35 EIC: extracted ion chromatogram
- 36 EtOAc: ethyl acetate
- 37 EtOH: ethanol
- 38 GAE: gallic acid equivalent
- 39 GC: gas chromatography
- 40 HSP: Hansen solubility parameters
- 41 LC: liquid chromatography
- 42 PLE: pressurized liquid extraction
- 43 q-TOF-MS/MS: quadrupole time-of-flight tandem mass spectrometry
- 44 TEAC: trolox equivalent antioxidant capacity
- 45 TFC: total flavonoid content
- 46 TPC: total phenolic content
- 47 WE: withanolide E

48 **ABSTRACT**

49 In this work, a multi-analytical platform that allows obtaining and characterizing high-added  
50 value compounds from natural sources is presented, with a huge potential in traditional  
51 medicine, natural products characterization, functional foods, etc. Namely, the proposed multi-  
52 analytical platform is based on the combination of pressurized liquid extraction (PLE), liquid  
53 chromatography (LC) and gas chromatography quadrupole time-of-flight mass spectrometry  
54 GC-q-TOF-MS(/MS), *in vitro* assays and modelling tools for guiding extraction optimization.  
55 As case study, goldenberry or cape gooseberry fruit (*Physalis peruviana* L.) was selected. In  
56 particular, the potential of *P. peruviana* calyces, an important by-product of goldenberry  
57 processing, as promising source of bioactive compounds was evaluated. Selection of the most  
58 suitable solvent for PLE was based on the Hansen solubility parameters (HSP) approach using  
59 4 $\beta$ -hydroxywithanolide E (4 $\beta$ HWE) and withanolide E (WE) as target compounds due to their  
60 bioactive potential. A surface response methodology was further applied for the optimization  
61 of the PLE parameters: temperature (50, 100 and 150 °C) and solvent composition (% EtOH in  
62 the mixture EtOH/EtOAc). The effects of the independent variables on extraction yield,  
63 withanolides content (4 $\beta$ HWE and WE), total phenolic content (TPC), total flavonoids content  
64 (TFC) and antioxidant activity (EC<sub>50</sub> and TEAC) were evaluated in order to obtain withanolide-  
65 rich extracts from *P. peruviana* calyces. The extract obtained under optimal conditions (at 125  
66 °C and 75% EtOH v/v) exhibited satisfactory extraction yield (14.7 %) and moderate  
67 antioxidant activity (with an EC<sub>50</sub> value of 77.18  $\mu\text{g mL}^{-1}$  and 1.08 mM trolox  $\text{g}^{-1}$ ), with  
68 4 $\beta$ HWE and WE concentrations of 8.8 and 2.3  $\text{mg g}^{-1}$ , respectively. LC-q-TOF-MS/MS  
69 analysis of the extract allowed the quantitation of 4 $\beta$ HWE and WE and the tentative  
70 identification of several other withanolides structures. The obtained results demonstrate the  
71 great potential of this multi-analytical approach for developing valorization strategies of food

72 by-products under sustainable conditions, to obtain bioactive-enriched extracts with potential  
73 medicinal or health-promoting properties.

## 74 **1. INTRODUCTION**

75 Scientific disciplines which study traditional medicine include herbalism, ethnomedicine,  
76 ethnobotany, and medical anthropology. In the search of different sources of bioactive  
77 compounds that can have a positive effect on our health, valorization of food by-products is  
78 one of the main challenges that administrations, scientists and agro-food industries have to face  
79 nowadays. *Physalis peruviana* L. is an herbaceous and perennial plant, belonging to the family  
80 *Solanaceae* [1]. *P. peruviana* produces an exotic fruit into a bladder-like calyx, which is known  
81 as goldenberry or cape gooseberry, being Colombia the largest producer of goldenberry  
82 worldwide [2]. This fruit has gained importance and popularity due to its organoleptic  
83 properties, nutritional quality values, and mainly due to the content of health-promoting  
84 compounds [1].

85 Goldenberry fruit can be transformed in derived products such as juice, sauces, syrups,  
86 marmalades, and snacks, although it is mainly commercialized as fresh product [3]. Industrial  
87 processing of goldenberries generates two by-products: goldenberry pomace, including seeds  
88 and skin, and the calyx. According to Ramadan [4], during juice processing, goldenberry  
89 pomace represents approx. 27.4% of fruit weight, whereas the calyx represents 5% of the raw  
90 fruit [5]. The calyx is an inedible waste, weakly ribbed and pubescent straw-coloured  
91 parchment-like husk, which protects the fruit against insects, birds, diseases, and climatic  
92 situations during its development [1,6]. Previous research works have described goldenberry  
93 calyces as a potential source of bioactive compounds, particularly withanolides [7,8]. These  
94 compounds are a family of C<sub>28</sub> ergostane-type steroids with diverse oxygenation in the steroid  
95 skeleton in which C-26 and C-22, or C-26 and C-23, are oxidized in order to form a  $\delta$ - or  $\gamma$ -  
96 lactone. According to the difference in the substituted groups of C-17 side chain, withanolides

97 can be divided into type A ( $\delta$ -lactone or  $\delta$ -lactol) and type B ( $\gamma$ -lactone or  $\gamma$ -lactol) [9] (see  
98 Figure 1). Some interesting biological and pharmacological activities of this group of naturally  
99 steroids, include anti-inflammatory, antitumor, cytotoxic, hepatotoxic and antimicrobial  
100 activities [10][7][5][11][12] [13].

101 The valorisation of food industry by-products is an emerging trend in food science which has  
102 shown to be a valuable alternative to extract natural compounds with demonstrated bioactivity  
103 from food processing generated wastes, usually discarded or employed to produce animal feed.  
104 In this line, advanced extraction techniques such as pressurized liquid extraction (PLE) are  
105 considered powerful tools for the efficient extraction of bioactive compounds, providing high  
106 extraction yields in short extraction times using low volumes of extraction solvent [14]. Several  
107 review works [15–20] have reported the advantages of this extraction technique with especial  
108 emphasis in Foodomics applications. The selection of a suitable solvent for the extraction of  
109 the target bioactive, in agreement with green chemistry principles, is also a critical issue for  
110 the development of a sustainable valorisation process. In this regard, Hansen solubility  
111 parameters (HSP) have been recently employed as a useful tool to selectively extract valuable  
112 compounds from natural sources, employing PLE as extraction technique [21–26]. This  
113 theoretical approach is based on the estimation of HSP of the target compound in order to  
114 choose the most appropriate extraction solvent, thus reducing the number of experiments and  
115 solvent consumption [21,27].

116 Most of reported studies in literature about *P. peruviana* are focused on the fruit itself [28–30],  
117 whereas the identification of bioactive substances of the calyx has not been comprehensively  
118 described yet [7,31]. Considering the potential of goldenberries calyx as promising source of  
119 bioactive phytochemicals, a complete valorization strategy based on PLE (along with HSP  
120 approach as theoretical prediction tool to select the most suitable solvent), advanced analytical  
121 techniques (based on LC and GC coupled to Q-TOF mass spectrometry), *in vitro* assays and

122 experimental design to optimize extraction conditions is proposed in this work to obtain  
123 withanolides-enriched extracts from goldenberry calyces.

124

## 125 **2. MATERIAL AND METHODS**

### 126 *2.1 Samples of Physalis peruviana*

127 The calyces of the goldenberry fruit (*Physalis peruviana*) were collected in the central supply  
128 marketplace (www.corabastos.com.co) in Bogotá D.C., Colombia in June, 2017. Previously,  
129 calyces had been separated from the mature fruit and discarded. The calyces were washed with  
130 distilled water and dried at room temperature for 48 h in the darkness. The dried sample was  
131 ground in knife mill (Grindomix GM200-Retsch GmbH, Haan, Germany) using dry ice and  
132 then vacuum-packed and stored at -20 °C until its use.

133

### 134 *2.2 Reagents and materials*

135 Gallic acid, quercetin, trolox, withanolide A, 2,2'-azino-bis(3-ethylbenzothiazoline-6-  
136 sulphonic acid) (ABTS), 2,2-diphenyl-1-picrylhydrazyl (DPPH), potassium acetate,  
137 ammonium acetate, sodium carbonate, formic acid, potassium persulfate, aluminum chloride,  
138 were purchased from Sigma-Aldrich (Madrid, Spain). Merck (Darmstadt, Germany) provided  
139 the Folin-Ciocalteu phenol reagent. The solvents employed were HPLC-grade. Acetonitrile,  
140 ethanol and methanol were acquired from VWR Chemicals (Barcelona, Spain), whereas  
141 dichloromethane was provided from Fluka AG (Buchs, Switzerland) and ethyl acetate from  
142 Scharlau (Barcelona, Spain). Ultrapure water was obtained from a Millipore system (Billerica,  
143 MA, USA). For the UPLC-q-TOF-MS analyses, MS grade ACN and water from LabScan  
144 (Dublin, Ireland) were employed.

145

### 146 *2.3 Estimation of Hansen Solubility Parameters at normal conditions using HSPiP® software*

147 Based on the neural network technique of Yamamoto-Molecular Break method (Y-MB)[32],  
 148 HSPiP® software (Version 5.0m UK) was employed for estimating the Hansen solubility  
 149 parameters (HSP) for Withanolide E and 4β-hydroxywithanolide E. The individual Hansen  
 150 parameters, which include dispersion  $\delta_D$  (related to the van der Waals forces), dipole moment  
 151  $\delta_P$ , and hydrogen bond interactions  $\delta_H$ , were calculated. For this purpose, the methodology  
 152 described by Sánchez-Camargo et al. [24] was followed. Briefly, by means of their canonical  
 153 SMILES (Simplified molecular input line syntax) notations (Withanolide E,  
 154 CC1=C(C(=O)OC(C1)C(C)(C2(CCC3(C2(CCC4C3CC5C6(C4(C(=O)C=CC6)C)O5)C)O)O)O)C and 4βHWE,  
 155 CC1=C(C(=O)OC(C1)C(C)(C  
 156 2(CCC3 (C2(CCC4C3CC5C6(C4(C(=O)C=CC6O)C)O5)C)O)O)O)C) and the “Do It  
 157 Yourself” tool available in the software menu, the HSPs were calculated. The SMILES  
 158 notations were obtained from PubChem website. After the individual parameters calculations,  
 159 the most suitable bio-solvents for a selective extraction were carefully chosen from a list of  
 160 101 items from the solvent optimizer menu, taking into account the *R<sub>a</sub>* term as criteria. This  
 161 last term refers to the affinity between solute and solvent, and it measures “the distance” from  
 162 each other, as given by Equation 1. Thus, the smaller *R<sub>a</sub>* corresponds to the greater affinity  
 163 between solvent and solute. In equation 1, subscript *i* refers to the solute and *j* refers to the  
 164 solvent.

$$165 \quad R_a = \sqrt{4(\delta_{Di}-\delta_{Dj})^2 + (\delta_{Pi}-\delta_{Pj})^2 + (\delta_{Hi}-\delta_{Hj})^2} \quad \text{Eq. (1)}$$

166 In order to consider possible modifications of solubility parameters under pressurized liquid  
 167 conditions, Marrero & Gani group contribution method was used for estimation of critical data  
 168 [33]. With those results, Jayasri and Yaseen [34] method was employed to assess the  
 169 temperature dependence of the solubility parameter for the target compounds. The physical  
 170 properties of the sub-critical bio-solvents were calculated following the Gunn-Yamada method  
 171 [35]. On the other hand, the effect of sub-critical conditions (pressure and temperature) of the

172 bio-based solvents was evaluated by Williams and co-workers' method [27]. As it will be  
173 described later, pure ethanol and ethyl acetate, and their mixtures were used for the  
174 experimental design for pressurized liquid extraction. The solubility parameters of the mixed  
175 fluid were calculated by the Eq. (2), as follows:

$$176 \quad \delta_{Mix-D,P,H} = \Phi_{Ethanol} \times \delta_{Ethanol} + \Phi_{Ethyl\ acetate} \times \delta_{Ethyl\ acetate} \quad \text{Eq. (2)}$$

177 where  $\Phi$  is the volume fraction and  $\delta$  is the solubility parameter ( $D$ ,  $P$  and  $H$ ) of ethanol or  
178 ethyl acetate.

179

#### 180 *2.4 Pressurized liquid extraction (PLE)*

181 Extractions were performed using an accelerated solvent extractor (ASE 200, Dionex,  
182 Sunnyvale, CA, USA) equipped with a solvent controller unit. For each extraction, dried  
183 sample of goldenberry calyces (~1 g) was mixed with sea sand (~2 g). The mixture was loaded  
184 into an 11 mL stainless steel extraction cell. PLE experiments were developed at static  
185 extraction mode for 20 min and 100 bar. After the extraction, the solvent was evaporated under  
186 a stream of nitrogen at 25 °C (TurboVap® LV Biotage, Uppsala, Sweden). Extraction yield  
187 was expressed as g of extract/100 g dry weight basis of sample.

188

##### 189 *2.4.1 Experimental design*

190 PLE was optimized using a central composite design (CCD). The extraction temperature (50,  
191 100 and 150 °C) and percentage of EtOH in the mixture solvent EtOH/EtOAc (0, 50 and 100%  
192 v/v) were considered as independent variables. Extraction yield (g of extract/100 g dry  
193 weight basis of sample), relative content of 4βHWE and WE (mg/g dry weight basis of  
194 sample), total phenolic content (mg Gallic acid equivalents/g dry weight basis of sample), total  
195 flavonoid content (mg Quercetin equivalents/g dry weight basis of sample) and antioxidant  
196 capacity (EC50 µg/mL extract and TEAC mM Trolox/g extract) were selected as responses



197 variables. The experimental design results and data analysis were performed using a response  
198 surface methodology with STATISTICA 12 (Stat Soft, Inc., Tulsa, OK 74104, USA). The  
199 effects of the independent variables on the response variables in the extraction process were  
200 assessed using the pure error, considering a level of confidence of 95% for all the variables and  
201 the quadratic model proposed for each response variable  $Y_i$  was [36]:

$$202 \quad Y_i = \beta_0 + \beta_1 T + \beta_2 S + \beta_{1,1} T^2 + (\beta_{1,2} T \times S) + \beta_{2,2} S^2 + error \quad \text{Eq. (3)}$$

203 where  $T$  is the temperature,  $S$  is the solvent composition (percentage of ethanol in the mixture),  
204  $\beta_0$  is the intercept,  $\beta_1$ ,  $\beta_2$  are the linear coefficients,  $\beta_{1,1}$ ,  $\beta_{2,2}$  are the quadratic coefficients,  
205  $\beta_{1,2}$  is the linear-by-linear interaction coefficient, and *error* is the error variable. ANOVA was  
206 used for evaluation of the significance of independent variables' influence and interactions (the  
207 differences were statistically significant at  $p < 0.05$ ). Standardized Pareto charts were applied  
208 to obtain the significance of impact of tested variables on responses. The adequacy of the model  
209 was evaluated by the coefficient of determination ( $R^2$ ), and  $p$  values for the model and lack-of-  
210 fit testing [37]. A multiple response optimization was carried out by the combination of  
211 experimental factors, looking for maximizing the desirability function.

212

#### 213 2.4.2 Dynamic maceration (DM) procedure

214 For comparison, DM was performed using EtOH, EtOAc and EtOH/EtOAc (50:50 v/v) as  
215 solvents. Samples of goldenberry calyces (~1 g) were placed in conical centrifuge tube (Falcon  
216 15 mL) with 5 mL of solvent and agitated at 750 rpm, 20 °C for 24 h (Thermomixer R  
217 Eppendorf AG, Hamburg, Germany). Subsequently, the extract was separated from the sample  
218 by centrifugation (Eppendorf Centrifuge 5810 R, Hamburg, Germany) at 5000 rpm, 20 °C for  
219 10 min. After the separation, the solvent was evaporated under a stream of nitrogen at 25 °C  
220 (TurboVap® LV Biotage, Uppsala, Sweden). Extraction yield was expressed as g of  
221 extract/100 g dry weight basis of sample.

222

### 223 *2.5 Determination of total phenolic content (TPC)*

224 The total phenolic content was determined according to the method of Hosu et al. [38] with  
225 some modifications. Briefly, 50  $\mu\text{L}$  of Folin-Ciocalteu reagent (0.2 M) and 600  $\mu\text{L}$  of distilled  
226 water were added to 10  $\mu\text{L}$  of extract solution (4 mg  $\text{mL}^{-1}$  EtOH). The reaction mixture was  
227 allowed to react 5 min and then, 150  $\mu\text{L}$  of  $\text{Na}_2\text{CO}_3$  (20% w/v) and 190  $\mu\text{L}$  of distilled water  
228 were added. Reaction mixtures were incubated at room temperature, in dark place for 120 min,  
229 and then 300  $\mu\text{L}$  were transferred to a 96-well microplate. The absorbance was measured at  
230 760 nm in a microplate spectrophotometer reader (Synergy HT, BioTek Instruments, Winooski,  
231 VT, USA). A calibration curve was obtained using 0–100  $\mu\text{g}$  gallic acid/ $\text{mL}$  EtOH and was  
232 used to calculate the total phenolic content of extracts. The phenolic content was expressed as  
233 mg of gallic acid (GAE) per g of dry weight basis (Db) of sample. All analyses were done by  
234 triplicate.

235

### 236 *2.6 Determination of total flavonoid content (TFC)*

237 The total flavonoid content of extracts was determined according to the method of Hosu et al..  
238 [38] with some modifications. Briefly, 30  $\mu\text{L}$  of  $\text{AlCl}_3$  (10% w/v) were added to 100  $\mu\text{L}$  of  
239 extract solution (4 mg  $\text{mL}^{-1}$  EtOH). The reaction mixture was allowed to react 5 min and then,  
240 30  $\mu\text{L}$  of potassium acetate (1 M), 300  $\mu\text{L}$  of absolute EtOH and 540  $\mu\text{L}$  of distilled water  
241 were added. Reaction mixtures were incubated at room temperature for 30 min and then 300  
242  $\mu\text{L}$  were transferred to a 96-well microplate. The absorbance was measured at 415 nm in a  
243 microplate spectrophotometer reader (Synergy HT, BioTek Instruments, Winooski, VT, USA).  
244 A calibration curve was obtained using 0–100  $\mu\text{g}$  quercetin  $\text{mL}^{-1}$  EtOH and was used to  
245 calculate the total flavonoid content of extracts. The flavonoid content was expressed as mg of  
246 quercetin (QE) per g of dry weight basis (Db) of sample. All analyses were done by triplicate.

247

## 248 2.7 Antioxidant capacity assays

### 249 *DPPH assay*

250 Free radical scavenging capacity was evaluated using the procedure described by Brand-  
251 Williams, Cuvelier and Berset [39]. The extracts were diluted in MeOH at concentrations  
252 between 0.3 and 5.0 mg mL<sup>-1</sup>. An aliquot (25 µL) of the different concentrations of the extracts  
253 was added to 975 µL of DPPH solution (6×10<sup>-5</sup> M in MeOH). After vortexing for 1 min, the  
254 reaction mixture was allowed to stand in the dark for 4 h min at room temperature.  
255 Subsequently, 300 µL of the reaction mixture were transferred into a 96-well microplate, and  
256 the absorbance was measured at 516 nm in a microplate spectrophotometer reader (Synergy  
257 HT, BioTek Instruments, Winooski, VT, USA). A DPPH methanol solution was used as the  
258 reference sample. The DPPH concentration remaining in the reaction medium at the end of the  
259 reaction was calculated using a calibration curve. The percentage of remaining DPPH was then  
260 plotted against the extract concentration to obtain the EC<sub>50</sub>, the amount of antioxidant necessary  
261 to decrease the initial DPPH concentration by 50%. Therefore, the lower the EC<sub>50</sub> value, the  
262 higher the antioxidant capacity. Measurements were done in at least triplicate.

263

### 264 *TEAC assay*

265 The total antioxidant capacity was determined by the TEAC method, which is based on the  
266 capacity of antioxidants to capture the radical 2,20-azino-bis-(3 ethylbenzothiazoline-6-  
267 sulfonic acid) (ABTS<sup>•+</sup>) [40]. The ABTS<sup>•+</sup> radical cation was prepared by mixing an ABTS  
268 stock solution (7.00 mM) with potassium persulfate solution (2.45 mM). The reaction was kept  
269 in the dark at room temperature for 16 h. The aqueous ABTS<sup>•+</sup> solution was diluted with 5mM  
270 phosphate buffer (pH 7.4) to an absorbance of 0.7 at 734 nm. The extracts were diluted with  
271 5mM phosphate buffer at concentrations between 0.3 and 5.0 mg mL<sup>-1</sup>. An aliquot (10 µL) of

272 the different concentrations of the extracts was added to 990  $\mu\text{L}$  of ABTS<sup>•+</sup> solution. Afterward,  
273 300  $\mu\text{L}$  of the mixture were transferred into a 96-well microplate and the absorbance was  
274 measured at 734 nm every 5 min for 45 min in a microplate spectrophotometer reader (Synergy  
275 HT, BioTek Instruments, Winooski, VT, USA). Trolox (0.25-2.0 mM) was used for calibration  
276 and results expressed as TEAC values (mM trolox  $\text{g}^{-1}$  extract). These values were obtained  
277 from five different concentrations of each extract that were tested in the assay and gave a linear  
278 response between 20 and 80% of the blank absorbance [36]. All analyses were performed in  
279 triplicate.

280

### 281 *2.8 Analysis of withanolides by liquid chromatography-mass spectrometry (UPLC-q-TOF-* 282 *MS)*

283 Liquid chromatography coupled to a high-resolution mass spectrometer was employed to  
284 analyze and quantify WE and 4 $\beta$ HWE. These analyses were performed using an ultrahigh  
285 performance liquid chromatography (UPLC) system 1290 from Agilent (Agilent Technologies,  
286 Santa Clara, CA, USA) coupled to a quadrupole-time-of-flight mass spectrometer (q-TOF MS)  
287 Agilent 6540 that was equipped with an orthogonal ESI source (Agilent Jet Stream, AJS, Santa  
288 Clara, CA, USA), and controlled by a PC running the Mass Hunter Workstation software 4.0  
289 (MH) from Agilent. The chromatographic method was carried out using a Zorbax Eclipse Plus  
290 C18 column (2.1  $\times$  100mm, 1.8  $\mu\text{m}$  particle diameter, Agilent Technologies, Santa Clara, CA)  
291 at 30  $^{\circ}\text{C}$ . The mobile phase composition was water with ammonium acetate (5 mM at pH 3.0  
292 adjusted with formic acid, A) and acetonitrile (+0.1% formic acid, B). The gradient program  
293 was as follows: 0 min, 0% B; 12 min, 80% B; 14 min, 100% B; 16 min, 100% B; 17 min, 0%  
294 B. A flow rate of 0.5 mL/min and an injection volume of 20  $\mu\text{L}$  were employed. The analyses  
295 were performed in positive ion mode (ESI<sup>+</sup>). The mass spectrometer was used in MS and  
296 MS/MS modes for the structural analysis of all compounds. MS parameters were the following:

297 capillary voltage, 4000 V; nebulizer pressure, 40 psi; drying gas flow rate, 10 L/min; gas  
298 temperature, 350 °C; skimmer voltage, 45 V; fragmentor voltage, 110 V. The MS and Auto  
299 MS/MS modes were set to acquire m/z ranging between 50-1100 and 50-800 amu, respectively,  
300 at a scan rate of 5 spectra per second. WE and 4 $\beta$ HWE were analyzed and relatively quantified  
301 by structural analogy respect to Withanolide A, since there no commercial standards were  
302 available from WE and 4 $\beta$ HWE. A calibration curve was obtained using 0.2–20  $\mu$ g  
303 Withanolide A mL<sup>-1</sup> in MeOH and was used to calculate WE and 4 $\beta$ HWE relative content in  
304 the extracts.

305

### 306 **3. RESULTS AND DISCUSSION**

#### 307 *3.1 Theoretical selection of the bio-based solvents for whitanolides extraction by Hansen*

##### 308 *Solubility Parameters estimation*

309 The theoretical approach of HSP has been used since the first half of the last century [32].  
310 However, it has recently received special attention for its usefulness as a predictive tool for the  
311 dissolution of an analyte in a particular solvent. Some recent applications for the extraction of  
312 compounds from natural sources include the prediction of the best cosolvents to solubilise fatty  
313 acids in supercritical CO<sub>2</sub> [25], the selection of bio-based solvents for the selective extraction  
314 of tannins from algae [23], carotenoids from microalgae [24], betulin from birch bark [21] and  
315 the study of  $\beta$ -carotene and lutein solubility in supercritical CO<sub>2</sub> [22]. HSP estimation is based  
316 on the principle of "like dissolves like" and it can be very favourable to obtain a first  
317 approximation for selective extraction processes, indicating the most suitable solvent(s) for a  
318 given application, avoiding the selection of impractical experimental conditions [24]. The  
319 results of the prediction of HSP by Yamamoto-molecular break method (HSPiP®) are given in  
320 Table 1 at ambient conditions. As can be seen, similar results for the estimation of the solubility  
321 parameters for WE and 4 $\beta$ HWE structures were obtained. The dispersion ( $\delta_D$ ) forces showed

322 a higher influence on the solubility parameters, due to the presence of steroid skeleton.  
323 Moreover, calculating  $Ra$  value it is possible to get a better idea about the optimal solvents to  
324 be chosen for the selective extraction. In descending order, d-limonene, ethyl acetate, ethyl  
325 lactate, ethanol and water were the calculated as the preferred bio-solvents from the software  
326 database. Despite d-limonene was calculated as the preferred solvent and it has already been  
327 employed as solvent for green extraction processes [24,26], the evaporation of d-limonene to  
328 obtaining dry extracts is a quite hard task considering the physico-chemical properties (boiling  
329 point: 178 °C at 1.01 bar) of this solvent, thus limiting its application. For this reason, ethyl  
330 acetate, ethanol, and their mixtures were preferred for PLE as selective extraction solvents. The  
331 results of  $Ra$  for different solvent mixtures at 50, 100 and 150 °C are presented in Table 2.  
332 From the theoretical estimation, low temperature (50 °C) and pure ethyl acetate provide the  
333 lowest  $Ra$  values ( $Ra = 9.01$  and  $8.84$ , for WE and  $4\beta$ HWE, respectively). This can be easily  
334 explained by the similarity of the individual solubility parameters corresponding to the target  
335 compounds and ethyl acetate, mainly  $\delta_H$  and  $\delta_P$  parameters (See Table 1). In contrast,  
336 increasing temperature and proportion of ethanol increases the  $Ra$  value, thus showing a lower  
337 selectivity. In this sense, it is important to highlight that HSP is an approximated model mainly  
338 based on thermodynamics data and that transport phenomena are not considered that can be  
339 influenced by temperature, as we already demonstrated in a previous work done in our  
340 laboratory [23]. On the other hand, other aspects related with molecule conformation and  
341 structure, and distribution of polar groups within the molecule can affect the solubilisation of  
342 the target compounds into the solvent. Therefore, further experimental optimization is  
343 recommended in order to overcome limitations imposed by the theoretical models.

344  
345 <**Table 1.** Hansen Parameter Solubility for withanolides and solvents calculated by HSPiP  
346 Software®>

347 <**Table 2.**  $Ra$  values for WE and  $4\beta$ HWE at different subcritical mixtures solvents>

348

### 349 3.2 Monitorization of withanolides enrichment of PLE extracts by LC-q-TOF

350 WE and 4 $\beta$ HWE were considered as target analytes to monitor the withanolides enrichment  
351 during PLE optimization, since they have been described as relevant compounds in *P.*  
352 *peruviana* extracts from a pharmacological point of view [12,41,42]. It is well reported in  
353 literature that withanolide compounds can be determined by generating the protonated  
354 molecular ion  $[M+H]^+$  as well as the adducts  $[M+NH_4]^+$  or  $[M+Na]^+$  [43,44]. Thus, operating  
355 in positive ionization mode and using ammonium acetate (5 mM) in the mobile phase to  
356 improve detectability, a targeted analysis of  $m/z$  ions 504.2956 and 520.2905, corresponding  
357 to  $[M+NH_4]^+$  adducts of WE and 4 $\beta$ HWE, respectively, was carried out. As shown in the EIC  
358 (extracted ion chromatogram) obtained in MS full scan acquisition mode (Figure 2A), two  
359 major peaks at 6.1 and 7.6 min. were detected and assigned to 4 $\beta$ HWE and WE, respectively.  
360 Other minor peaks with the same masses were also identified as isobaric forms of both target  
361 withanolides (see tentative structural elucidation in [45]).

362 As shown in Figure 2B, MS<sup>2</sup> product ion spectra of target withanolides exhibit characteristic  
363 fragmentation patterns in which the breakdown of precursor ion  $[M+NH_4]^+$  led to diagnostic  
364 ions  $m/z$  485.2545, 299.1642 and 169.0856 for 4 $\beta$ HWE and  $m/z$  469.2591, 283.1694 and  
365 169.0856 for WE. These product ions are mainly originated by the loss of a water molecule  
366  $[M+H-H_2O]^+$  from the precursor molecular ion, and by the removal of the lactone part after  
367 cleavage in C<sub>17</sub>–C<sub>20</sub> positions, subsequent rearrangement (-2H) and loss of a water molecule,  
368 leading to the protonated ergostane moiety  $[M+H-Lac]^+$  and the lactone part  $[Lac]^+$ . The  
369 observed fragmentation pattern is consistent with the mass fragmentation of withanolides  
370 reported in literature [44]. As mentioned in Section 2.8., relative quantitation of withanolides  
371 4 $\beta$ HWE and WE was performed based on commercially available congener withanolide A.

372 Accurate mass values of diagnostic ions and performance parameters for the quantitation of the  
373 target withanolides are summarized in Table 3.

374

375 <Table 3. Accurate m/z values of [M+NH<sub>4</sub>]<sup>+</sup> precursor ions, confirmation product ions and  
376 performance parameters for the quantitation of the target withanolides.>

377

### 378 3.3 Optimization of the PLE procedure

379 In order to optimize the extraction of withanolides, particularly 4βHWE and WE, from  
380 goldenberry calyces using PLE, a central composite design was applied. For this purpose,  
381 temperature (50, 100 and 150 °C) and solvent composition (percentage of EtOH in the mixture  
382 EtOH/EtOAc: 0, 50 and 100% v/v) were studied on 4βHWE and WE contents. In addition, the  
383 effects of the independent variables on extraction yield, TPC, TFC and antioxidant activity  
384 (EC<sub>50</sub> and TEAC) were also evaluated. Results are summarized in Table 4. As can be seen,  
385 different behaviours in the response variables can be observed; in order to determine the factors  
386 that influence each of the response variables, Pareto charts and surface responses were  
387 constructed (see Figure 3). As can be seen, the highest extraction yield (16.02 %) was obtained  
388 at the intermediate temperature evaluated (100 °C) and 50% EtOH as extraction solvent; Pareto  
389 chart for extraction yield (Figure 3 A) shows that both, solvent composition and temperature  
390 significantly contribute to the final yield, being the negative quadratic effect of solvent  
391 composition the most important. Therefore, a maximum can be reached considering  
392 intermediate conditions of temperature and solvent composition.

393 As for 4βHWE, the highest content was 9.26 mg g<sup>-1</sup> Db of sample obtained at 150 °C-100%  
394 EtOH v/v and this response was highly influenced by the linear and quadratic effect of the  
395 temperature (Figure 3B). Regarding WE content, this variable was affected by temperature  
396 (quadratic and negative) and solvent composition (linear and positive) (Figure 3C) and its



397 highest value was 2.37 mg WE g<sup>-1</sup> Db of sample (100 °C-50% EtOH v/v). Calderón, Ruiz &  
398 Castellanos (2012) [7] purified 4βHWE from goldenberry calyx methanolic extract (Soxhlet  
399 extraction at 60 °C for 3h), getting 3.2 mg 4βHWE g<sup>-1</sup> Db of sample. Henrich et al. [46]  
400 extracted and isolated 4βHWE (2.9 mg) and WE (6.9 mg) from aerial part of *P. peruviana*  
401 employing MeOH:CH<sub>2</sub>Cl<sub>2</sub> (1:1); being the obtained 4βHWE results close to the ones achieved  
402 by dynamic maceration extraction (2.83-3.36 mg g<sup>-1</sup> Db of sample) in the present study.  
403 However, the content of WE obtained is lower than the value reported previously [46].  
404 Nevertheless, the extraction and solvent employed in this work are “green” in contrast to those  
405 used by the mentioned authors.

406 Phenolic compounds are broadly distributed in the plant kingdom and they are the most  
407 abundant secondary metabolites of plants. Phenolic compounds have been considered powerful  
408 antioxidants by their capacity for scavenging and suppressing radical species [47]. Likewise,  
409 these compounds may play a role in the inhibition of carcinogenesis by affecting the molecular  
410 events in the initiation, promotion, and progression stages, especially, thanks to their  
411 antioxidant capacity [48]. TPC and TFC are initial approximations to phenolic content. In this  
412 work, the highest TPC value (37.83 mg GAE g<sup>-1</sup> Db) was observed at 150 °C and 100% EtOH  
413 v/v and this response was principally influenced by the linear effect of the temperature and by  
414 the interaction of the independent variables (Figure 3D). This behavior may be explained by  
415 the mass transfer, which is favored by temperature, as well as, by the thermal stability and  
416 medium polarity of the phenolic compounds extracted at the evaluated conditions. Medina-  
417 Medrano et al. [49], studied the phenolic content in different organs of some species (*P.*  
418 *subulate*, *P. solanacea*, *P. patula*, *P. hederifolia* var. *hederifolia* and *P. angulata*) of the genus  
419 *Physalis* including the calyces. The TPC values reported by those authors (54.15-176.58 mg  
420 GAE g<sup>-1</sup> dry tissue) were higher than those found in this work. On the other hand, TFC results  
421 were not statistically different among the different PLE conditions assayed and were similar to

422 those obtained under DM extraction. In PLE extraction, TFC response was only influenced by  
423 quadratic and negative effect of solvent composition (Figure 3E). The highest TFC value was  
424 1.61 mg QE g<sup>-1</sup> Db and it was significantly lower than values reported previously in calyces for  
425 some species of the genus *Physalis* (16.48-39.63 mg QE g<sup>-1</sup> dry tissue) [49]; these differences  
426 may be due, among other factors, to the solvent employed for extraction (80% methanol in  
427 [49]), species and location. It is important to emphasize that significant tissue and species-  
428 dependent variations were already observed by Medina-Medrano et al. [49] for TPC and TFC;  
429 since no previous reports on *P. peruviana* calyces TPC and TFC could be found in the literature,  
430 it is difficult to compare with results obtained in the present study.

431 Antioxidant activity was evaluated by DPPH and TEAC assays. Although these assays are  
432 usually classified as *Single Electron Transfer* (SET) reactions, these two indicator radicals in  
433 fact may be neutralized either by direct reduction via electron transfers or by radical quenching  
434 via H atom transfer [50]. For this reason, DPPH and TEAC assays are some of the most used  
435 methods to evaluate antioxidant activity *in vitro*. Antioxidant capacity evaluated by DPPH was  
436 expressed in methanol extract concentration in µg mL<sup>-1</sup> required to inhibit 50% of radical  
437 (EC<sub>50</sub>). Therefore, the lower EC<sub>50</sub> value, the higher the antioxidant capacity. The lower EC<sub>50</sub>  
438 value obtained was 42.19 µg mL<sup>-1</sup> at 150 °C and 100% EtOH v/v and this response was  
439 principally affected by linear and negative effect of both, temperature and solvent composition  
440 (Figure 3F). As for TEAC, the best value obtained was 1.35 mM trolox g<sup>-1</sup> under the best  
441 conditions for DPPH. TEAC response was influenced by solvent composition and temperature  
442 (Figure 3G). The best results of EC<sub>50</sub> and TEAC are in agreement with the highest values of  
443 TPC and TFC under the same extraction conditions; therefore, in this case, the antioxidant  
444 capacity can be related to the phenolic content. Comparatively, the *P. peruviana* calyces'  
445 extracts had a moderate antioxidant capacity with respect to the one reported previously for  
446 other species of the genus *Physalis* (0.95-60.29 µg mL<sup>-1</sup> extract) [49]. Due to low TPC and

447 TFC contents, as well as moderate antioxidant activity of *P. peruviana* calyces' extracts, PLE  
448 extraction was optimized respect to 4 $\beta$ HWE and WE responses. To achieve this purpose,  
449 desirability function combining 4 $\beta$ HWE and WE responses was calculated in the range from 0  
450 to 1 (Figure 4A), where 1 represents the most desirable response. Profiles for predicted values  
451 estimated by desirability function are shown in Figure 4B. Optimal conditions were 125 °C and  
452 75% EtOH v/v at 0.8 desirability value. Predicted response values obtained by desirability  
453 approach under optimum conditions were checked experimentally using same extraction  
454 conditions. Extraction yield, TPC, TFC and antioxidant activity variable responses were also  
455 predicted and evaluated. Table 5 presents experimental and predicted results for responses  
456 evaluated at optimum condition estimated. At these conditions, 4 $\beta$ HWE and WE content were  
457 simultaneously improved, whereas that extraction yield, TFC and TEAC results were close to  
458 the maximum values obtained by experimental design. However, TPC and EC<sub>50</sub> values  
459 decreased after applying the desirability function, since they were not included in the final  
460 desirability optimization function. Results obtained during validation of optimized conditions  
461 were close to predicted values and they were within the confidence intervals. This confirms  
462 that selected RSM model was successfully applied for PLE of *P. peruviana* calyces in order to  
463 obtain extracts with maximal 4 $\beta$ HWE and WE contents.

464 Although results obtained using RSM for optimizing 4 $\beta$ HWE and WE contents seemed  
465 contradictory with data presented in Table 2 in terms of Ra distances, it is important to consider  
466 that other effects more associated to the dielectric constant of the solvent (or relative static  
467 permittivity) should be also taken into account, including the possibility of having oxygen  
468 atoms (in whitanolides molecules) acting as hydrogen bond acceptor that could improve its  
469 solubility in more polar solvents; moreover, other aspects related with molecule conformation,  
470 structure, and distribution of polar groups within the molecule can affect the solubilisation of

471 the target compounds into the solvent, aspects that are not easy explained from a theoretical  
472 point of view.

473

474 <Table 4. Experimental design conditions (experiments 1 to 10) and results for each response  
475 variable studied for the optimization of the PLE and macerations (experiments I, II and III) of  
476 the goldenberries calyces. Values presented are mean  $\pm$  sd.>

477

478 <Table 5. Experimental and predicted values for all response variables at 75% EtOH and 125  
479 °C.>

480

#### 481 4. CONCLUSIONS

482 A sustainable valorisation strategy was successfully developed in this work, demonstrating the  
483 great potential of the proposed multi-analytical platform based on pressurized-liquid  
484 extraction, green solvents, in vitro assays and liquid chromatography coupled to q-TOF mass  
485 spectrometry to obtain and characterize bioactive extracts with huge potential as functional  
486 foods and in traditional medicine.

487 PLE was implemented optimizing withanolides 4 $\beta$ HWE and WE recoveries from *P. peruviana*  
488 calyces, which are reported as highly valuable health-promoting compounds. Optimization also  
489 allowed maximizing the extraction yield, total phenolic and total flavonoids content. By using  
490 a RSM and considering temperature and solvent composition (mixtures of EtOH/EtOAc) as  
491 factors, optimized conditions obtained were 125 °C and 75% EtOH in the EtOH/EtOAc solvent  
492 mixture. Results provided satisfactory extraction yield (14.7 %) and moderate antioxidant  
493 activity ( $EC_{50}$  77.18  $\mu$ g mL<sup>-1</sup>; 1.08 mM trolox g<sup>-1</sup>), with 4 $\beta$ HWE and WE concentration levels  
494 at 8.8 and 2.3 mg g<sup>-1</sup>, respectively.

495 Further research work will be carried out to evaluate the biological activity of the obtained PLE  
496 calyces extracts to better understand the promising benefits on human health under a foodomics

497 approach. In addition, the potential implementation of the developed PLE procedure at  
498 industrial level might also be considered after appropriate scale-up study and economic  
499 evaluation, taking into account the potential applications of the obtained bioactives-rich extract  
500 in nutraceutical application.

501

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503

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685 **Figure captions**

686 **Figure 1.** Chemical structures of Withanolides Type A and Type B.

687

688 **Figure 2.** Detection of target withanolides 4 $\beta$ -WE and WE in PLE extracts of *P. peruviana* by

689 LC- ESI(+)-q-TOF analysis. (A) Overlapped extracted ion chromatograms of PLE extracts

690 obtained in optimal (black) and non-optimal (orange) conditions; (B) MS/MS fragmentation

691 spectra of 4 $\beta$ -WE and WE compounds with annotated product ions.

692

693 **Figure 3.** Standardized Pareto charts for the response variables studied and their corresponding

694 response surfaces.

695

696 **Figure 4.** A) Desirability surface to maximize 4 $\beta$ HWE and WE response variables and B)

697 Profiles for predicted values at estimated optimal point 75% EtOH v/v and 125 °C.

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