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### Abstract

Irrigation needs in mature almond orchards are very high. Although almond trees grow in rainfed conditions, the yield response is very sensitive to irrigation. Continuous monitoring of the water status could be an adequate tool to optimize deficit irrigation. In this sense, trunk diameter fluctuations appeared as a very promising indicator at the beginning of the century, but few data have been published. The aim of this work is to present data about almond irrigation scheduling using trunk diameter fluctuations and the effect of water stress in the current yield. The experiment was performed in a commercial farm in Dos Hermanas (Seville, Spain) during the 2017 season on a 7-years-old orchard (cv Vayro). The irrigation treatments were Control (100% ET<sub>c</sub>), sustained deficit irrigation (SDI) with a maximum seasonal irrigation of 100mm and two regulated deficit treatments (RDI). Both RDI treatments (RDI-1 and RDI-2) were scheduled using the signal of maximum daily shrinkage (signal) and the midday stem water potential (SWP). In RDI-1, full irrigation conditions were provided before kernel filling and during postharvest, using the threshold values suggested in the bibliography. During kernel filling, the water stress level was approximately -1.5MPa (SWP) and 1.75 (signal). RDI-2 trees were irrigated using the same scheduling as RDI-1, but reaching a higher level of water stress in kernel filling (-2MPa and 2.75) and with a maximum seasonal amount of water of 100mm. SWP in Control trees was near the McCutchan and Shackel baseline for most of the season. None of the deficit treatments reached the signal values suggested. Moreover, the signal values were almost equal between treatments, with no water stress effect. The trunk growth rate (TGR) presented clear differences depending on the water status. There was a yield reduction, approximately 20%, and it was probably due to the effect of nut load in the current season.

<b>Keywords</b>	Kernel filling; kernel weight; maximum daily shrinkage; MDS; trunk growth rate; TGR.
<b>Taxonomy</b>	Crop Production, Agricultural Sensor, Crop Management
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during the first seasons

1 ~~Limitations of using trunk diameter fluctuations for deficit irrigation scheduling in~~  
2 ~~almond orchards.~~

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18

## 19 **ABSTRACT**

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21 in rainfed conditions, the yield response is very sensitive to irrigation. Continuous  
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30 treatments (RDI). Both RDI treatments (RDI-1 and RDI-2) were scheduled using the  
31 signal of maximum daily shrinkage (signal) and the midday stem water potential (SWP).

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33 postharvest, using the threshold values suggested in the bibliography. During kernel  
34 filling, the water stress level was approximately -1.5MPa (SWP) and 1.75 (signal). RDI-  
35 2 trees were irrigated using the same scheduling as RDI-1, but reaching a higher level of  
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37 water of 100mm. SWP in Control trees was near the McCutchan and Shackel baseline for  
38 most of the season. None of the deficit treatments reached the signal values suggested.  
39 Moreover, the signal values were almost equal between treatments, with no water stress  
40 effect. The trunk growth rate (TGR) presented clear differences depending on the water  
41 status. There was a yield reduction, approximately 20%, and it was probably due to the  
42 effect theof nut load in the current season.

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44 **Keywords:** Kernel filling, kernel weight, maximum daily shrinkage, MDS, trunk  
45 growth rate, TGR.

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60 **INTRODUCTION**

61 Almond trees (*Prunus dulcis* (Mill) DA Webb) are one of the main deciduous fruit crops  
62 in Mediterranean climate zones. This fruit species is considered drought resistant (Castel  
63 and Fereres, 1982) and, although cultivated in irrigated lands, there is also a large rainfed  
64 surface used around the world. Yield differences of about 10-fold have been reported  
65 between irrigated and rainfed orchards (Girona, 1992). The drought responses of this fruit  
66 species involve different processes of resistance and water stress avoidance. Water deficit  
67 conditions could produce minimum pre-dawn water values down to -4.0MPa in almond  
68 orchards, although they would cause a severe reduction in yield in the current and  
69 following seasons (Goldhamer and Viveros, 2000).

70 Goldhamer and Fereres (2017) suggested that, under the conditions of the San  
71 Joaquin valley (USA), the irrigation needs in mature almonds orchard is approximately  
72 1250mm, with a maximum marginal water productivity close to 1080mm. Goldhamer  
73 and Girona (2012), in a review of several studies, suggested that a reduction of 10-15%  
74 in crop evapotranspiration (ETc) had an almost negligible effect on yield. Therefore, the  
75 potential capacity of the almond production is very sensitive to water stress, even though  
76 it is possible to reduce the water needs. Regulated deficit irrigation (RDI) scheduling in  
77 almond crops is not easy because of this great drought sensitivity. The final nut yield is  
78 commonly related to two main periods, namely kernel filling and postharvest. There is a  
79 general consensus about postharvest water stress reducing the yield in the next season,  
80 with a reduction of the nut load (Goldhamer and Viveros, 2000; Esparza et al. 2001;  
81 Girona et al., 2005; Goldhamer et al., 2006). Goldhamer and Girona (2012) reported that,  
82 even in severe conditions of water stress before harvest, when trees were rehydrated  
83 during postharvest, the next season's yield was not affected. But the duration of this  
84 recovery period and the water status that trees should reach is not clear. Conversely, there

85 is no clear results about the impact of water stress during kernel filling periods, such lacks  
86 in the results have been associated with the level of water stress (García-Tejero et al.,  
87 2018).

88 An accurate water management is very important to optimize irrigation in zones  
89 with scarce water resources. Continuous monitoring of the water status could improve  
90 yield results with deficit irrigations. However, there is little information about indicators  
91 and the relationship between yield responses and water stress levels. Goldhamer and  
92 Fereres (2004) reported very good results of controlled deficit irrigation scheduling using  
93 maximum daily shrinkage. But other than this, data provided in other works is limited  
94 and unclear. Nortes et al (2005) concluded that the MDS was not suitable for young  
95 almond crops and suggested the trunk growth rate as a continuous indicator. Puerto et al  
96 (2013), for mature almond trees, confirmed the data obtained by Goldhamer and Fereres  
97 (2004), but in both papers, deficit treatments presented similar MDS values, even with  
98 different water potential values. On the other hand, McCutchan and Shackel (1992)  
99 suggested a water potential baseline for prunus that has been used in several almond  
100 irrigation works (Shackel et al., 2011). The aim of this work was to design an irrigation  
101 scheduling using MDS data in order to compare the current effect of different water stress  
102 levels on the yield components.

## 103 **MATERIAL AND METHODS**

### 104 *Site description and experimental design*

105 The experiment was performed during the 2017 season at the commercial farm “La  
106 Florida” (37.23°N, -5.91°W, Dos Hermanas, Seville, Spain). The almond (*Prunus dulcis*  
107 (Mill) DA Webb) orchard, was 7 years-old at the moment of performing the experiment.  
108 There were 2 cultivars in the orchard in coupled lines, Guara cv and Vayro cv, and the  
109 tree spacing for both cultivars was 6m x 8m. The experimental plots had 4 lines of 3 trees

110 and measurements were performed in the central trees of the Vayro cv. The trees were  
111 irrigated with a line of drips ( $3.81 \text{ h}^{-1}$ ) separated 0.4 m. The soil was clay loam with over  
112 1m depth, a high percentage of carbonate (higher than 30%) and pH around 8. The  
113 percentage of organic matter in the 0-30cm layer is approximately 1.6%, with adequate  
114 levels of  $\text{P}_2\text{O}_5$  and  $\text{K}_2\text{O}$ .

115 The statistical design used randomized complete blocks with 4 repetitions and 4  
116 irrigation treatments. Two trees per plot were measured and these trees were surrounded  
117 by a line guard. The tree phenological stage and the water stress level were the two factors  
118 defining the irrigation treatments. In the current work, the phenological stages were  
119 divided into three phases in order to simplify those suggested by Nortes et al (2009).  
120 Phase I run from full bloom until the beginning of the kernel filling (31<sup>st</sup> May in this  
121 work). Phase II stretched from kernel filling to harvest (7<sup>th</sup> August in this work). Phase  
122 III covered the postharvest harvest. According to Nortes et al (2009), a sharp increase of  
123 kernel dry weight indicated the beginning of the kernel filling period. The irrigation  
124 season started on 17<sup>th</sup> March and finished on 2<sup>nd</sup> October. Irrigation scheduling methods  
125 varied according to the treatment considered:

126 **Control.** Covering 100% of crop evapotranspiration (ET<sub>c</sub>). ET<sub>c</sub> was estimated  
127 according to Steduto et al (2012). The crop coefficients (K<sub>c</sub>) were those suggested by  
128 Girona et al (2006 cited in Goldhamer and Girona, 2012). The reduction coefficient (K<sub>r</sub>)  
129 value was 0.45, estimated according to Steduto et al (2012).

130 **RDI-1.** Regulated deficit irrigation (RDI) with a period of water stress during  
131 kernel filling (phase II) and full irrigated conditions for the rest of the season. The  
132 irrigation scheduling was estimated according to the midday stem water potential (SWP)  
133 and the maximum daily shrinkage (MDS) of the trunk. During phases I and III, the  
134 baseline of McCutchan and Shackel (1992) was used to estimate the optimum SWP. The

135 baseline of MDS was estimated according to Goldhamer and Fereres (2004) around 15  
136 days before kernel filling (31<sup>st</sup> May). In order to minimize the environmental effect on  
137 MDS values, the ratio between measured MDS and optimum MDS (hereinafter, the MDS  
138 signal (signal)), was calculated (Goldhamer and Fereres (2001)). Full irrigated conditions  
139 were considered when the signal was equal to 1. During phase II, the SWP threshold was  
140 -1.5MPa (Garcia-Tejero et al 2018) and the signal threshold was 1.75 (Goldhamer and  
141 Fereres, 2004).

142 **RDI-2.** Regulated deficit irrigation for the same period that RDI-1, but with  
143 100mm of maximum seasonal water applied. The average irrigation needs were estimated  
144 using the seasonal average (10-years' average) of 230mm. This reduction was used to  
145 estimate the yield response with severe limitations of available water. The irrigation  
146 scheduling during phases I and III was the same. The water stress level in phase II was  
147 increased to -2.0MPa (SWP) and 2.75 (signal).

148 **SDI.** Sustained deficit irrigation throughout the experiment with 100mm  
149 maximum seasonal water applied.

150 The irrigation was changed daily and the water applied in the RDI treatments was  
151 estimated according to the difference between measured indicator and threshold value at  
152 each phenological stage. When the SWP and the signal were not in agreement, the most  
153 distant to the threshold was selected. The daily irrigation was based on the estimated  
154 maximum daily ET<sub>c</sub> (3mm) when a difference of more than 30% of the threshold was  
155 measured, and it was reduced to 1.5mm and 0.75 when differences were between 20-30%  
156 and 10-20%, respectively. If differences were lower than 10% or the measured value  
157 indicated a better-than-expected water status, trees were not irrigated.

158 *Meteorological conditions throughout the experiment*

159 The seasonal weather data were obtained from the "IFAPA Los Palacios" station, in the  
160 Andalusian weather stations network (Fig. 1). This station is about 6km away from the  
161 experimental orchard. The data for 2017 were typical of Mediterranean zones, with null  
162 rainfall during summer period and warm winters. Reference evapotranspiration values  
163 (ET<sub>o</sub>) higher than 6mm day<sup>-1</sup> were measured from the end of Spring until mid-August.  
164 The average ET<sub>o</sub> during the kernel filling period was 6.3mm day<sup>-1</sup> with null rainfall.  
165 During phase I, from full bloom until the kernel filling period, the average ET<sub>o</sub> was  
166 3.5mm day<sup>-1</sup> and the total rainfall was 94mm. But during the recovery period, from  
167 harvest until the end of October, rainfall was very scarce, 14.3mm, while ET<sub>o</sub> was still  
168 high, with a daily average of 4.2mm day<sup>-1</sup>. The total rainfall this year was very low,  
169 366.3mm, according to the seasonal average (539mm, AEMET, 2018).

#### 170 *Measurements*

171 The water relations of the trees were studied in combination with the soil moisture, leaf  
172 gas exchange and midday stem water potential measurements. The soil moisture was  
173 measured with a portable FDR sensor (HH2, Delta-T, U.K.). Measurements were made  
174 in four plots per treatment. The access tubes for the FDR sensor were placed in the  
175 irrigation line, about 30cm from an emitter (Fernández et al., 1991). Data were obtained  
176 at 1m depth and 10cm intervals. The leaf gas exchange was measured with the midday  
177 leaf net photosynthesis using an infrared gas analyzer (CI-340, CID BioScience, USA) in  
178 one fully expanded sunny leaf per tree. The water potential was measured at midday in  
179 one leaf per tree, using the pressure chamber technique (Scholander et al., 1965). The  
180 leaves near the main trunk were covered with aluminium bags at least one hour before  
181 measurements were taken and a pressure bomb was used (PMS model 1000). In order to  
182 describe the cumulative effect of the water deficit, the water stress integral (SI) was  
183 calculated using the midday stem water potential data (Equation 1, Myers, 1988) from

184 the beginning of kernel filling until harvest, postharvest period and total season. The  
185 expression used was:

$$186 \quad SI = |\sum (SWP - c) * n| \quad (1)$$

187 where: SI is the stress integral

188 SWP is the average midday stem water potential for any interval

189 c is the maximum value of SWP

190 n is the number of the days in the interval

191 At the beginning of each season, ten shoots per tree were selected randomly and  
192 marked. For each shoot, the total length and number of nuts in the first 10cm were  
193 measured periodically. The nut length was measured with a randomized survey of ten  
194 fruits per tree on each measured date. The kernel dry weight was measured periodically  
195 throughout the experiment taking a sample of 6 nuts per plot.

196 The trunk diameter fluctuations were measured in one tree per repetition using a  
197 band dendrometer (5µm accuracy, D6, UMS, Germany) attached to the main trunk. The  
198 band dendrometer works like a beam when bending. The trunks were measured using the  
199 nodes of a wireless sensor with a network topology for easy installation and maintenance.  
200 The band rested on a part of the trunk surface. The ends of the band were joined with  
201 Invar steel, an alloy of Ni and Fe with a thermal expansion coefficient close to zero  
202 (Katerji et al., 1994), the band circled the trunk. A Teflon net below the steel prevented  
203 friction with the bark surface. Each band dendrometer was plugged into a node (Widhoc  
204 smart solution SL, Spain) near the sensor. These nodes were integrated by two different  
205 parts. One being the measurement interface and the other the processing, recording and  
206 communication system. The nodes generated a stabilized power supply of 10Vdc to the

207 band dendrometer. The data from each sensor node were sent wirelessly to the cloud. Ten  
208 measurements of each band dendrometer were taken every fifteen minutes.

209 Trunk diameter fluctuations are a daily cycle of shrinkage and swelling in which  
210 different indicators can be estimated. The most common ones are the maximum daily  
211 shrinkage (MDS) and the trunk growth rate (TGR) (Ortuño et al., 2010). The MDS is the  
212 difference between the daily maximum diameter, at the beginning of the day, and the  
213 minimum daily diameter that occurs at the end of the afternoon (Goldhamer et al., 1999).  
214 The TGR is the difference between two consecutive daily maximums (Goldhamer and  
215 Fereres, 2001), the TGR on day “n” is the difference between the maximum daily  
216 diameter for day “n+1” and for day “n”. The MDS signal was used to reduce the  
217 environmental effect on the MDS measured. The MDS signal is the ratio between the  
218 measured and the estimated full irrigated MDS (Goldhamer and Fereres, 2004). The full  
219 irrigated MDS was estimated using the baseline obtained before the kernel filling period  
220 (Goldhamer and Fereres, 2004).

221 The irrigation treatments were also evaluated from the point of view of quantity  
222 and quality of yield. Yield and nut relative humidity of two trees in each plot were  
223 measured. Nuts were dried until values lower than 5% relative humidity were reached  
224 (commercial reference). Then, a sample of 10 nuts per tree were obtained and the ratio  
225 kernel vs kernel plus shell was measured. The yield was expressed as kernel weight at  
226 5% of relative humidity. The water use efficiency (WUE) was estimated as the ratio  
227 between yield and water applied in each plot.

228 Data analyses were performed with ANOVA and the mean separation was made using a  
229 Tukey’s test with the Statistix (SX) program (8.0). Significant differences were  
230 considered when  $p\text{-level} < 0.05$  in both tests. Calculations of the p-level were performed  
231 considering the F-test of variance equality. When conditions of variance equality could

232 not be obtained, a decrease in the degree of freedom and, therefore, a more restrictive p-  
233 value was calculated. The number of samples measured is specified in the text and figures.

234

## 235 **RESULTS**

236 The pattern of total water in the soil at 1m depth throughout the experiment is shown in  
237 Fig. 2. During the full bloom/nut set, there were no significant differences between  
238 treatments and the total amount of water in the soil was approximately 290mm. The kernel  
239 filling period started on day of the year (DOY) 151. In this period, from DOY 151 to 221,  
240 the water in soil for the RDI-1 and RDI-2 treatments was reduced continuously until  
241 values close to 250mm were reached. Trees in SDI also reduced the soil water but at a  
242 slower pace, until values nearing 280mm were reached. There were no significant  
243 differences between these treatments in this period, only Control trees presented a clear  
244 and significant higher amount of water in soil than the rest, with values close to 320mm.  
245 The period of soil moisture reduction during DOY 217-221 for Control trees was due to  
246 the dry period before harvest. In these two weeks, the deficit treatments were almost  
247 constant. After harvest, during the recovery period, the Control trees reached maximum  
248 values in three weeks (around 320mm), while the rest of treatments presented a delay and  
249 uncompleted rehydration. Only RDI-1, at the end of the irrigation season (DOY 269, 48  
250 days after harvest), presented values similar to the ones obtained in preharvest for Control.  
251 The increase in the soil water in RDI-2 and SDI was smaller than in RDI-1, and it stopped  
252 around DOY 269 because the maximum amount of irrigation was reached in some plots.  
253 During the recovery period, only the Control treatment presented significant differences  
254 with the rest of treatments.

255 Three different soil moisture profiles are presented in Fig. 3. At the beginning of  
256 the experiment (Fig. 3a) the soil moisture was similar in all treatments. On this date (DOY

257 95, full bloom/nut set phase), maximum values were measured from 100cm (around 40%  
258 v/v) and the soil moisture decreased from this depth, down to 10cm, where it reached a  
259 minimum of approximately 10%. At the end of the deficit period (Fig. 3b, DOY 207), the  
260 soil moisture profiles were different. The Control plots presented the highest values at all  
261 depths, with a maximum at 60 and 100cm (approximately 40% ) and a minimum at 10cm  
262 (approximately 25%). On this date, RDI-1 and RDI-2 presented a clear trend of being  
263 drier near the surface (10 and 20cm) with significant differences with Control at 10cm.  
264 These decreases were smaller for the treatments at depths between 30 and 60cm, but still  
265 significant in RDI-1 and almost null at 100cm. The SDI was an intermediate treatment  
266 with no significant differences with Control or the other two deficit treatments, but with  
267 clear reductions at 10cm and 60cm. At the end of the postharvest period (DOY 269), only  
268 the deeper horizons showed clear differences between Control and the rest of treatments.  
269 This was significant at 60cm depth, with values of approximately 45% in Control and  
270 35% in the deficit treatments. In the surface, from 10 to 40cm, there were no significant  
271 differences and the soil moisture was very similar.

272 Midday stem water potential data are shown in Fig. 4, where the three periods  
273 considered for regulated deficit irrigation (RDI) are presented. At the beginning of the  
274 irrigation season (full bloom and nut set), there were no significant differences between  
275 values of water potential. All treatments were near the baseline suggested. Irrigation  
276 restrictions were applied from day of the year (DOY) 151 (Phase of kernel filling). During  
277 this period, there were significant differences between Control and the rest of treatments  
278 from DOY 159 until DOY 204, almost the entire period. From DOY 204, there was a dry  
279 period before harvest in all the treatments and it reduced water potential. This decrease  
280 was greater in the Control treatment than in the rest, which had higher water stress levels.  
281 In the period DOY 159-204, there were some significant differences between SDI and

282 RDI-1 and 2, but the general trend was that the former showed higher values. RDI-1 and  
283 RDI-2 trees presented an almost equal water potential in this period. Minimum values of  
284 water potential reached -2MPa in the RDI-1 and RDI-2 treatments. Minimum values of  
285 the Control trees were around -1.5MPa just before harvest and higher than -1.2MPa before  
286 the drying period. The pattern of the baseline during this period was similar to the pattern  
287 of Control trees. Maximum differences between Control and baseline were approximately  
288 0.4MPa lower in the former. In the last period there were two parts; at the beginning, the  
289 recovery was delayed at least 4 weeks (the shortest period for Control trees) and even  
290 more for the rest of treatments. RDI-1 reached similar water potential values to Control  
291 on DOY 261, while RDI-2 and SDI were clearly and significantly lower. From DOY 261,  
292 some plots in RDI-2 and SDI treatments were not irrigated because they used the  
293 maximum amount of water for these treatments (100mm). The irrigation season finished  
294 by DOY 276 for the treatments and by DOY 298, after some rains, the stem water  
295 potential was almost equal for all treatments.

296         The stress integral (SI) during the entire experiment was significantly lower in  
297 Control (approximately 130MPa\*day) than in the rest of treatments (approximately  
298 200MPa\*day), without significant differences between deficit irrigations (Fig. 5). About  
299 85% of the SI values were measured in phase II and phase III due to water status  
300 conditions. In phase II, the Control trees presented values significantly lower than the  
301 rest, and the SDI was also statistically lower than RDI-1 and RDI-2. The SI values  
302 obtained in the phase III were very similar to the ones obtained in the phase II. In this  
303 period, only the Control trees showed a value significantly lower than the rest of  
304 treatments. RDI-1 was slightly lower than RDI-2 and SDI (12% less) but such differences  
305 were not significant.

306           The pattern of midday net photosynthesis throughout the experiment is showed in  
307 Fig. 6. Maximum seasonal midday Pn values were measured in the phase of full  
308 bloom/nut set and, from DOY 151, there was a slight decrease in all treatments until the  
309 middle of the kernel filling phase. There were a few dates with significant differences  
310 between treatments. On DOY 193, RDI-1 was significantly lower than the rest of  
311 treatments and, from this date until DOY 256, the trends showed lower values in deficit  
312 treatments than in Control. Such differences were significant only on DOY 235 and 256.  
313 From DOY 256, the Pn values were very similar for the different treatments. No  
314 differences were measured between deficit treatments.

315           The pattern of maximum daily shrinkage signal (Signal) is presented in Fig. 7.  
316 Most of the values measured throughout the experiment were almost equal for the  
317 different treatments and only a few significant differences were found. The seasonal  
318 pattern of the Signal showed values close to 1 during the phase of full bloom/nut set  
319 (Table 1). There was a slightly increase of the Signal during stage II, higher in the deficit  
320 treatments than in the Control one, but lower than the threshold considered (1.75 and 2.75,  
321 Table 1 and Fig. 7). The greatest increase of the Signal for all the treatments occurred  
322 during the postharvest period (Table 1, Fig 7), mainly until DOY 242, when Signal values  
323 of approximately 2 were measured. Only in the period between DOY 247-257, the Signal  
324 for SDI was significantly higher than for the rest of treatments.

325           The pattern of maximum diameter is presented in Fig. 8. In all the treatments,  
326 there was a continuous growth throughout the experiment. But trunk growth rate (TGR).  
327 The slope for maximum diameter data (Fig. 8) was clearly different under water stress  
328 conditions (Table 2). At the beginning of the experiment, phase I, although two significant  
329 differences were measured, the TGR average was very similar without a significant  
330 divergence (Table 2). During the kernel filling phase the greatest differences between

331 treatments appeared, mainly at the end of the period because there some data were lost  
332 for the Control treatment, during DOY 162-167. Differences in TGR were significant  
333 between Control and the RDI-1 and RDI-2 from DOY 201 to 214, when sensors were  
334 removed for harvest. Trees of SDI were an intermediate treatment with no significant  
335 differences or just a few days showing different values (Fig. 8). The TGR average for this  
336 period showed this pattern. The TGR in RDI-1 and RDI-2 was significantly lower in this  
337 treatment than in the Control one, but SDI was in between, although values in this latter  
338 treatment were half those of the Control one (Table 2). The average TGR in the Control  
339 treatment was similar for phase I and II, but it was clearly reduced in phase III. In this  
340 latter phase, TGR values were significantly different for most dates (slope in the Fig. 8)  
341 with higher values in the Control treatment than in the rest. All the treatments presented  
342 an almost constant TGR during postharvest, only on DOY 260, RDI-1 showed a slight  
343 increase. The TGR average during this period was significantly different between Control  
344 and the rest (Table 2). In all the deficit treatments, the average TGR was approximately  
345 half the ones measured during phase II (Table 2).

346         The vegetative growth response to the irrigation treatments was characterized  
347 using the crown volume and the shoot growth. All the treatments presented a clear  
348 increase of crown volume during the year (Fig. 9a). There were no significant differences  
349 between treatments on any of the dates. At the beginning of the season, the crown volume  
350 was approximately 22 m<sup>3</sup> per tree, while at the end it almost doubled. Only in RDI-1 trees,  
351 the crown volume at the end of the season was clearly lower than the rest of treatments,  
352 although the differences were not significant. The shoot expansion was very variable and  
353 there were no significant differences between treatments during the season (Fig. 9b). Most  
354 of the shoot expansion in all treatments occurred during phase I, before the kernel filling  
355 period.

356           The pattern of flower/nut per shoot in the first 10cm is showed in Fig. 10. The first  
357 data in this Figure were for flowers, while the rest were for nuts. There were fast decreases  
358 in the number of nuts from full bloom until one week before the end of phase I. This value  
359 decreased for all treatments until it reached a tenth of the number of nuts. Although  
360 significant differences were found during this period of decrease, the data were almost  
361 constant and not significantly different from DOY 144. During the decrease period, only  
362 RDI-1 treatments presented significantly lower number of nuts than RDI-2 (first data),  
363 RDI-2 and Control (next two data). At the end of the experiment, the number of nuts was  
364 very low, around 0.6 per 10cm shoot in Control, RDI-2 and SDI, but in RDI-1 it was even  
365 lower, approximately 0.3 nuts per 10cm shoots.

366           Nut development was described using measurements of the nut length, kernel dry  
367 weight and percentage of split hull (Fig. 11). The seasonal pattern of nut length growth  
368 was equal for all the treatments (Fig. 11a). There was a short period of fast growth, around  
369 4 weeks, until DOY 109. After that date, the nut size reached a maximum and remained  
370 unchanged throughout the experiment. There were only two significant differences in this  
371 parameter, both of them during the period of maximum nut size. Therefore, such  
372 differences were likely related to sampling mistakes and not to the irrigation treatments.  
373 The pattern of kernel dry weight showed a continuous increase throughout the experiment  
374 in all treatments (Fig. 11b). The change in the slope of dry weight accumulation in DOY  
375 151 was considered the indicator for the beginning of the kernel filling period. No  
376 significant differences between treatments were found on any of the dates. In the last  
377 measurement, just before harvest, the Control treatment showed a trend to increase over  
378 the rest of treatments and Control kernels were approximately 10% heavier than the RDI-  
379 2 nuts. The pattern of split nuts was clearly different between irrigation treatments (Fig.  
380 11c). Only on the first date, Control trees presented a significantly lower number of split

381 nuts (approximately 40%) than RDI-1 (approximately 70%). However, there was a clear  
382 delay trend in Control trees over those in deficit treatments, mainly RDI-1 and RDI-2. By  
383 DOY 207, the percentage of split nuts in these latter deficit treatments was 100%, while  
384 in the Control it was still lower than 80%.

385         The irrigation scheduling varied the volume of water applied in preharvest stages  
386 and throughout the whole season for the treatments, but it did not reduce the yield  
387 significantly (Table 3). Neither of the yield parameters were significantly affected by  
388 irrigation treatments. In Control trees, the kernel yield (expressed at 5% of water content)  
389 tended to greater values than in the deficit treatments, the greatest differences were with  
390 RDI-2, showing a reduction of approximately 20%, but the average reduction was close  
391 to 15%. Such reductions were more related to the nut load than the kernel weight (Table  
392 3). In terms of nut load, the greatest differences, although not significant, were measured  
393 between Control and RDI-2 (approximately 10% lower than Control) and slightly higher  
394 than the other two deficit treatments (approximately 7%). The differences in kernel dry  
395 weight were lower than in nut load for RDI-2 and SDI, approximately 3% of reduction in  
396 both treatments vs Control, although there was a greater decrease in RDI-1 (10%). The  
397 ratio kernel vs kernel plus shell was almost equal for the SDI and Control treatments, and  
398 showed a slight reduction in RDI-1 (6% lower) and RDI-2 (8% lower). There were no  
399 significant differences for any of those two parameters. The pattern of water applied  
400 varied between deficit treatments. RDI-2 and SDI received a similar seasonal amount of  
401 water (approximately 100mm) but less than 50% was applied in preharvest in RDI-2,  
402 while more than 60% was used in SDI. The seasonal water applied in RDI-1 was greater  
403 than in RDI-2 and SDI, approximately 34% of Control vs 25%. The water applied in RDI-  
404 1 during preharvest was 20% lower than in the Control treatment and for postharvest this  
405 percentage was 54%. The water use efficiency (WUE) was clearly greater in the deficit

406 than in the Control treatments, but such differences were significant only between Control  
407 and RDI-2/SDI, more than triple in the latter than in the former. RDI-1 was a statistically  
408 intermediate treatment, but WUE was double in RDI-1 than in Control.

## 409 **DISCUSSION**

410 The maximum daily shrinkage (MDS) did not support irrigation management throughout  
411 the season (Fig. 7 and Table 1). This indicator showed no differences between treatments  
412 throughout the experiment. Only during the kernel filling period, the average MDS signal  
413 (Signal) tended to show higher values in deficit treatments than in the Control one, but  
414 the maximum value expected, 2.75, was never reached (Table 1). There are a few works  
415 that use trunk diameter fluctuations for almond crops. Goldhamer and Fereres (2004) is  
416 the reference suggesting the threshold values used in the current work. In this work, the  
417 Signal values were approximately 1.75 and 2.75, but data presented great variations for  
418 days with similar values for different treatments in some measurements, although water  
419 potential measurements were always different (Goldhamer and Fereres (2004)). Puerto et  
420 al (2013) also reported values of Signal higher than 2.75, but these data were, again,  
421 similar in other deficit treatments. In none of the two articles, data for full irrigated trees  
422 were presented. The lack of maximum Signal results in the present work could be related  
423 to trunk growth. Goldhamer and Fereres (2001) suggested that a large trunk growth could  
424 reduce the MDS. There was a continuous trunk growth during the present work (Fig. 8),  
425 which could reduce MDS values and then the Signal. Nortes et al (2005), in a three-years-  
426 old almond orchard, reported no significant differences in MDS between treatments and  
427 maximum MDS values around half those reported by Puerto et al (2013) in a twelve years-  
428 old almond orchard. In addition, although there were no data of trunk growth in  
429 Goldhamer and Fereres (2004) and Puerto et al (2013), yield and orchard age suggest that  
430 the trunk growth was likely lower than in the present work. Such decrease in MDS values

431 could affect the relationship between MDS and water potential. Puerto et al (2013)  
432 reported an exponential equation in the relationship between MDS and water potential,  
433 with a maximum MDS around 500 $\mu$ m and water potential near -1.8MPa. Thus, according  
434 to the water potential values obtained in the current work (Fig. 4), the MDS should be  
435 clearly different between treatments, and so should the Signal. However, the possible  
436 reduction in MDS could also affect this relationship with an even narrower interval for  
437 the MDS value and a small slope in the relationship of water potential.

438         Conversely, the trunk growth rate (TGR) presented differences that were clearer  
439 than Signal throughout the experiment. (Fig. 8 and Table 2). The main problems with this  
440 indicator are that the seasonal pattern changed during the year, the TGR decreased during  
441 phase III in Control trees (Table 2), and there are no data for interannual variations. The  
442 TGR decrease during the season was likely related to the physiology of almonds as  
443 deciduous trees (García-Tejero, et al., 2018). In the inter-annual variations, the trunk  
444 growth should theoretically decrease with the increase of the tree age and nut load.  
445 Therefore, the TGR values for one year would not be valid in the next season. Nortes et  
446 al (2005) concluded that, in young almond trees, the TGR is the most useful indicator for  
447 monitoring water stress when several water relations measurements were compared.  
448 Intrigliolo and Castel (2006) reported that the TGR was strongly related to the fruit load  
449 in plum trees. Egea et al (2009) reported the TGR seasonal pattern during three  
450 consecutive years for a mature almond orchard. In their work, TGR values presented a  
451 changeable seasonal pattern, with values that tended to decrease along the season and  
452 from the first to the third year of the experiment. These TGR values in Egea et al (2009)'s  
453 work were around half those reported in the current work and in Nortes et al (2005)'s  
454 work. This also supports the idea that trunk growth invalidated the MDS data.  
455 Unfortunately, the TGR has not been used in the comparison of water stress conditions,

456 except in the work of Nortes et al (2005); therefore its suitability for irrigation scheduling  
457 is not clear, as no reference trees have been considered.

458 Almond tree irrigation is affected by current and previous irrigation season  
459 (Goldhamer and Viveros (2000), Girona et al., 2005). The current work was focused on  
460 the first season of the experiment and then effects of the water management in the  
461 previous season were likely negligible. The level of water stress during the kernel filling  
462 period was similar between deficit treatments, with a short period showing a clear  
463 reduction of the gas exchange (Fig. 6), but a significant decrease in the water potential  
464 during most of phase II (Fig. 4 and 5) in comparison to Control. Such conditions tended  
465 to reduce yield, and the main yield component affected was the nut load (Table 3).  
466 However, the number of nut per shoot is not in agreement with this reduction in nut load  
467 (Fig. 10). The lack of conclusive results, when the number of nut per shoot is considered,  
468 suggests that the nut set could be greater in the higher parts of the trees, rather than at the  
469 surveyed height. In superhigh-density olive orchards, the fruit set is greater at the top than  
470 in the bottom of the hedgerow (Cherbiy-Hoffmann, S et al., 2012). The current data  
471 suggest that the nut load is more sensitive than the kernel weight to the current water  
472 stress conditions. The effect on nut load is commonly related only to postharvest water  
473 stress of the previous season (Goldhamer and Viveros (2000); Girona et al (2005);  
474 Goldhamer et al (2006); Egea et al (2009)). These results could be underestimating the  
475 effect of the current deficit treatments during the kernel filling because part of such  
476 reductions could have been associated to the effect on nut load.

477 Water stress conditions were accurately described with soil moisture and water  
478 potential (Figs. 2,3,4,5). Soil moisture data are difficult to use as a reference indicator in  
479 different orchards because it is not easy to define an absolute value and the locations for  
480 the sensors. However, these data suggest that the main root activity is located 40-60cm

481 deep. Then, a small amount of irrigation during the recovery will delay the tree  
482 rehydration because the soil moisture at these depths would not increase enough. The  
483 midday stem water potential (SWP) could define different indicators to evaluate the water  
484 stress level, minimum value and stress integral, which includes the effect of duration and  
485 level of water stress. The baseline of McCutchan and Shackel (1992) could be a useful  
486 tool in fully irrigated conditions because the SWP values were close for most of the season  
487 (Fig. 4). The minimum SWP value was below -1.5MPa in SDI and near -2MPa in RDI-1  
488 and RDI-2, before the dry preharvest period. According to the gas exchange data (Fig. 6),  
489 these levels of water stress were moderate but lasted a long period. The reduction in net  
490 photosynthesis was approximately 40% for almost 2 months (Fig. 6), most of them during  
491 the recovery period. Different authors reported effects on net photosynthesis, but with  
492 more severe water potential values (-1.5MPa predawn, Romero et al., 2006; -2.5/-3.5MPa  
493 midday Gomes-Laranjo et al., 2006). An SWP of approximately -2MPa has been  
494 suggested as the threshold value to reduce yield (Hutmacher et al (1994); García-Tejero  
495 et al (2018)). This level of water stress was also reached by Control trees just before  
496 harvest (Fig. 4), but the gas exchange was not affected at any point in time (Fig. 6).  
497 Therefore, the minimum SWP for the period is probably not a good water stress indicator  
498 to consider. The stress integral near 50MPa\*day during the kernel filling phase could also  
499 be a possible threshold to considered for future works. The current one cannot evaluate  
500 the yield response because it is a single-season experiment, but it could suggest these  
501 values as possible thresholds for nut load reduction.

## 502 **CONCLUSIONS**

503 The MDS Signal was not a useful indicator for irrigation scheduling because of the great  
504 trunk growth. On the contrary, the TGR was a sensitive water stress indicator. Such results  
505 are in agreement with the response of young almond orchards. However, the suitability

506 of the TGR is restricted to the current season and only for reference trees, because there  
507 is no approach yet that can estimate this indicator throughout the orchard's life. The nut  
508 load was the main yield component affected by the current water stress. A SWP below -  
509 1.5MPa and a stress integral below 50MPa\*day during kernel filling could be the  
510 threshold value to minimize this effect. The SWP baseline is useful for full irrigation  
511 conditions.

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611 **Figure Captions**

612 Fig. 1. Annual pattern of potential evapotranspiration (ET<sub>o</sub>) and rainfall. Vertical lines  
613 indicate the period of kernel filling. Data were obtained from the “IFAPA Los Palacios”  
614 station which is approximately 6km away from the experiment site. This meteorological  
615 station is part of the Andalusian agroclimatic stations network (Junta de Andalucía).

616 Fig. 2. Pattern of total soil water at 1m depth throughout the experiment. Each point is  
617 the average of 4 values. Vertical bars represent the standard error. Vertical lines indicate  
618 the period of kernel filling. Solid square, Control; empty square, RDI-1; solid triangle,  
619 RDI-2; empty triangle, SDI. Stars indicate the date when significant differences were  
620 measured ( $p < 0.05$ , Tukey Test). Circles around DOY 95, 207 and 269 mark the dates  
621 when data of the amount of water in the soil profile are presented in Fig. 3.

622 Fig. 3. Soil moisture in the 1m profile on three different dates throughout the experiment  
623 (dates are indicated with a circle in Fig. 2). (a) DOY 95 (full bloom/nut set phase), (b)  
624 DOY 207 (kernel filling phase), (c) DOY 269 (postharvest phase) Each point is the  
625 average of 4 values. Horizontal bars represent the standard error. Solid square, Control;  
626 empty square, RDI-1; solid triangle, RDI-2; empty triangle, SDI. Stars indicate the depth  
627 where significant differences were measured ( $p < 0.05$ , Tukey Test).

628 Fig. 4. Pattern of midday stem water potential throughout the experiment. Each point is  
629 the average of 4 values. Vertical bars represent the standard error. Vertical lines indicate  
630 the period of kernel filling. Solid lines represent the baseline of McCuhan and Shackel  
631 (1992). Solid square, Control; empty square, RDI-1; solid triangle, RDI-2; empty triangle,  
632 SDI. Stars indicate the date when significant differences were measured ( $p < 0.05$ , Tukey  
633 Test).

634 Fig. 5. Stress integral for the whole experiment, in phase II (kernel filling) and in phase  
635 III (postharvest). Each column is the average of 4 values. Vertical bars represent the  
636 standard error. Different letters indicate significant differences in the period considered  
637 ( $p < 0.05$ , Tukey Test).

638 Fig. 6. Pattern of midday net photosynthesis (P<sub>n</sub>) throughout the experiment. Each point  
639 is the average of 4 values. Vertical bars represent the standard error. Vertical lines indicate  
640 the period of kernel filling. Solid square, Control; empty square, RDI-1; solid triangle,  
641 RDI-2; empty triangle, SDI. Stars indicate the date when significant differences were  
642 measured ( $p < 0.05$ , Tukey Test).

643 Fig. 7. Pattern of signal of maximum daily shrinkage (Signal) throughout the experiment.  
644 Each point is the average of 4 values. Vertical lines indicate the period of kernel filling.  
645 Solid square, Control; empty square, RDI-1; solid triangle, RDI-2; empty triangle, SDI.  
646 Stars indicate the date when significant differences were measured ( $p < 0.05$ , Tukey Test).

647 Fig. 8. Pattern of maximum diameter throughout the experiment. Each point is the average  
648 of 4 values. Vertical lines indicate the period of kernel filling. Solid line, Control; long  
649 dash line RDI-1; dotted line and line, RDI-2; short dash line SDI. Stars indicate the date  
650 when significant differences in the trunk growth rate (TGR, the slope of this graph) were  
651 measured ( $p < 0.05$ , Tukey Test).

652 Fig. 9. Vegetative growth response to irrigation treatments. (a) Crown volume at the  
653 beginning (January, black bars) and at the end (December, grey bars) of the experiment.  
654 (b) Shoot expansion throughout the experiment. Vertical lines represent the standard  
655 error. Solid square, Control; empty square, RDI-1; solid triangle, RDI-2; empty triangle,  
656 SDI. No significant differences were found between treatments on the same dates  
657 ( $p < 0.05$ , Tukey Test).

658 Fig. 10. Pattern of number of flower (only first data) or nuts in the first 10cm of the shoot  
659 throughout the experiment. Each point is the average of 40 values. Vertical bars represent  
660 the standard error. Vertical lines indicate the period of kernel filling. Solid square,  
661 Control; empty square, RDI-1; solid triangle, RDI-2; empty triangle, SDI. Stars indicate  
662 the date when significant differences were measured ( $p < 0.05$ , Tukey Test).

663 Fig. 11. Pattern of nut length (a), kernel dry weight (b) and split nuts (c) throughout the  
664 experiment. Each point is the average of 40 values. Vertical bars represent the standard  
665 error. Vertical lines indicate the period of kernel filling in a and b. Solid square, Control;  
666 empty square, RDI-1; solid triangle, RDI-2; empty triangle, SDI. Stars or different letters  
667 indicate the date when significant differences were measured ( $p < 0.05$ , Tukey Test).

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685 Table 1. Average maximum daily shrinkage signal (Signal) and standard error in the  
686 three phenological stages considered in the experiment. Phase I, from full bloom until  
687 kernel filling, Phase II from kernel filling until harvest, Phase III, postharvest. No  
688 significant differences were found (Tukey Test,  $p < 0.05$ ).

689

	<b>Phase I</b>	<b>Phase II</b>	<b>Phase III</b>
<b>Control</b>	1.13±0.11	1.13±0.15	1.58±0.07
<b>RDI-1</b>	1.00±0.13	1.24±0.11	1.50±0.17
<b>RDI-2</b>	1.11±0.11	1.37±0.24	1.41±0.19
<b>SDI</b>	1.14±0.03	1.32±0.10	1.84±0.04

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711 Table 2. Average trunk growth rate (TGR, mm day<sup>-1</sup>) and standard error in the three  
 712 phenological stages considered in the experiment. Phase I, from full bloom until kernel  
 713 filling, Phase II from kernel filling until harvest, Phase III, postharvest. Each value is  
 714 the average of 4 values. Different letters within the column indicate significant  
 715 differences (Tukey Test, p<0.05).

	<b>Phase I</b>	<b>Phase II</b>	<b>Phase III</b>
<b>Control</b>	0.34±0.10	0.29±0.08 a	0.18±0.03 a
<b>RDI-1</b>	0.24±0.03	0.07±0.03 b	0.04±0.00 b
<b>RDI-2</b>	0.37±0.04	0.06±0.02 b	0.01±0.01 b
<b>SDI</b>	0.43±0.11	0.15±0.05 ab	0.07±0.03 b

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717

718 Table 3. Yield and water applied during the experiment (average  $\pm$  standard error). Each  
 719 data is the average of 4 trees. Kernel dry weight (g), kernel yield (expressed at 5% water  
 720 content, Kg/ha), ratio between Kernel and kernel plus shell (%), nut load, applied water  
 721 during preharvest and in the whole season (mm), water used efficiency ( $\text{kg m}^{-3}$ ). Different  
 722 letters indicate significant differences (Tukey Test,  $p < 0.05$ ).

723

	<b>Control</b>	<b>RDI-1</b>	<b>RDI-2</b>	<b>SDI</b>
<b>Kernel dry weight (g)</b>	1.54 $\pm$ 0.07	1.39 $\pm$ 0.05	1.49 $\pm$ 0.00	1.51 $\pm$ 0.06
<b>Kernel yield (Kg/ha)</b>	664 $\pm$ 183	548 $\pm$ 167	533 $\pm$ 137	573 $\pm$ 207
<b>Kernel/Kernel+Shell (%)</b>	31.0 $\pm$ 0.3	29.2 $\pm$ 0.4	28.4 $\pm$ 1.2	30.5 $\pm$ 0.1
<b>Nut load</b>	2578 $\pm$ 736	2414 $\pm$ 764	2331 $\pm$ 585	2369 $\pm$ 887
<b>Preharvest Applied Water (mm)</b>	250 $\pm$ 18.8a	49 $\pm$ 15b	43 $\pm$ 9b	73 $\pm$ 1b
<b>Total Applied Water (mm)</b>	433 $\pm$ 26a	148 $\pm$ 25b	103 $\pm$ 3b	114 $\pm$ 13b
<b>Water Used Efficiency (<math>\text{Kg/m}^3</math>)</b>	0.15 $\pm$ 0.05b	0.35 $\pm$ 0.13ab	0.51 $\pm$ 0.20a	0.46 $\pm$ 0.16a

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