

CSIC INSTITUTIONAL OPEN ACCESS MANDATE

Considering the current international landscape of scientific communication, in which we are witnessing a paradigm shift towards the consolidation of Open Access, the multiplication of Open Access mandates by funding agencies and the rise of the Open Science movement,

Taking into account the institutional positioning with respect to these transformations, CSIC's formal adherence to the Berlin Declaration on Open Access to Knowledge in Science and Humanities in 2006, to Science Europe's recommendations on Open Access and adherence to the OA 2020 Initiative in 2016,

Bearing in mind that CSIC increases the visibility, use and impact of its scientific community's research results through its institutional repository DIGITAL.CSIC and support Open Access publication since 2008,

In accordance with the Sustainable Economy Law (2011, Chapter V, art.54), which sanctions the activities carried out by public research entities with respect to the results of their research activity,

Hoping that CSIC researchers will take advantage of existing technological opportunities to make their work available free of charge and without restrictions and that they will be sensitive to current transformations in scientific communication that promote the release of scientific results, while at the same time guaranteeing standards of quality and scientific rigour,

Encouraging CSIC researchers to retain ownership of the exploitation rights to their published articles whenever possible, to choose journals that allow self-archiving in institutional Open Access repositories, and to retain their revised author manuscripts in their working dynamics,

And hoping that CSIC researchers take advantage of DIGITAL.CSIC functionalities and services for Open Access dissemination of a wide variety of research results, traditional and non-traditional, and that scientific quality and rigor are their main feature,

CSIC:

- Requests that metadata (authorship, title, abstract, institutional affiliation, publisher, year of publication, identifiers, funding source and project reference) of all peer-reviewed publications (articles, book chapters, books, conference papers) of the institutional scientific community be permanently made public in DIGITAL.CSIC upon editorial acceptance.
- Requests that the full text of any peer-reviewed publication (articles, book chapters, books, conference papers) that has been accepted for publication and that has been financed wholly or partially with public funds be deposited into DIGITAL.CSIC.
- Requests that the revised full text of any published scientific article, book chapter, or conference communication be made available in Open Access at DIGITAL.CSIC as soon as possible. These full texts may be deposited into DIGITAL.CSIC in an embargoed Open-Access mode if required by editorial policy. If the editorial policy does not permit Open Access dissemination of the revised full text, it is then requested that the non-revised author's version (preprint) be deposited and disseminated in Open Access at DIGITAL.CSIC as soon as possible.

- Requests that metadata (authorship, title, identifiers, sources of funding and project reference, contextual information, identifiers of associated publications) of datasets associated with journal articles be made public permanently in DIGITAL.CSIC upon acceptance of publication of associated articles.
- Requests that datasets associated with publications be made available in Open Access in DIGITAL.CSIC as long as there are no legitimate reasons of confidentiality, intellectual property and/or security. These datasets in Open Access must be FAIR ("findable, accessible, interoperable, reproducible") and be accompanied by a standard licence that explicitly indicates the conditions of use and favours scientific reproducibility (for example, Creative Commons and Open Data Commons).
- Requests that all CSIC researchers have an ORCID identifier and make use of it when publishing journal articles or other research results.
- It does not support Hybrid Open Access as an effective strategy to complete the current global transition to an Open Access ecosystem. However, publication in Hybrid journals may be justified, on a temporary basis, for those publishers who are already working on their conversion into an Open Access model and are sensitive to financial unsustainability of the predominant subscription model.
- Supports publication in Open Access journals characterised by scientific rigour and quality and whose business model relies on either sustainable, fair publication fees (APCs) or effective cooperative models (e.g. SCOAP3 initiative).
- Requests that articles published in Open Access carry standard licenses that favour wide dissemination, distribution and reuse. In this sense, the Creative Commons CC-BY and CC BY-SA licenses comply with the goal of maximising the dissemination and exploitation of CSIC research.

For its effective compliance, CSIC provides the following:

a) This policy is part of the so-called green open access mandates (Immediate Deposit/Optional Access) and will enter into force after its presentation to the Scientific Advisory Committee, the Governing Council, on 1 April 2019. CSIC encourages researchers to open access to their research outputs prior to that date to the extent that signed editorial agreements and existing public electronic copies allow.

b) By default, free access to the full text publications will be restricted unless Open Access is authorized by editorial policies for archiving into DIGITAL.CSIC. In case of doubt, access to the full text will remain closed to avoid conflicts with editorial agreements.

c) The "Private Copy Request" functionality available at DIGITAL.CSIC will guarantee free personal access to those publications or other types of research results whose public access is temporarily embargoed.

d) In order to encourage compliance with this institutional policy, CSIC will take it into account in the "PCO" evaluation criteria. Likewise, the commitment of CSIC scientific community to Open Access to Science will be considered positively in evaluation processes.

e) To facilitate compliance with this institutional policy, CSIC scientific community will have the support of the so-called Delegated Archiving Service through DIGITAL.CSIC Technical Office (URICI) and the research support services by CSIC Library Network, as well as through institutional application that integrates conCIENCIA platform and DIGITAL.CSIC repository.

f) CSIC also makes available to its scientific community advisory activities, training and support on issues related to Open Access, good practices in research data management and dissemination DIGITAL.CSIC operation and services, copyright management issues, Open Access publishing and tools and standards to make Open Science a reality.

g) CSIC makes DIGITAL.CSIC Technical Office (URICI) available to its centres and institutes without a physical library and adhered to so-called 100% DIGITAL Plan for deposit and Open Access dissemination at DIGITAL.CSIC.

h) CSIC will work to increase the funds and the number of institutional agreements of its "Open Access Publication Support Programme". CSIC will consider only those publishers of Open Access journals based on fair and sustainable business models and with a consolidated reputation for scientific rigour and ethics in research.

i) CSIC will make available to institutional scientific community other infrastructures to support Open Science and long-term digital preservation.

j) And CSIC will actively participate in the implementation of a national Open Science plan as well as in strategic global initiatives aimed at accelerating scientific progress and the transfer of knowledge and innovation in an open, interoperable and collaborative environment.

Madrid, 29 March 2019

Signed:

THE PRESIDENT OF CSIC

Rosa Menéndez López